

**A TWO-STEP APPROACH TO IDENTIFYING INPATIENT ADVERSE EVENTS
TOOL IB – SCREENING TOOL (PEDIATRICS)**

REVIEWER

Q.1 Reviewer ID : _____
 Q.2 Date of data collection : _____

PATIENT

Q.3 Case Number : _____
 Q.4 Date of birth (dd/mm/yyyy) : _____
 Q.5 Sex (1 = Female, 2 = Male) : _____
 Q.6 Service Line : _____
 Q.7 Department : _____
 Q.8 Admission status : _____
(1 = Elective, 2 = Acute, 3 = Direct admission, 4 = Don't Know)
 Q.9 Date of admission (dd/mm/yyyy) : _____
 Q.10 Date of discharge (dd/mm/yyyy) : _____
 Q.11 Discharge disposition : _____

| Q.12 | Diagnosis | |
|------------|-------------|------|
| ICD-9 Code | Description | Type |
| | | A |
| | | 1 |
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| Discharge Disposition | |
|-----------------------|---------------------------------------------------|
| DOA | Dead on arrival |
| DOR | Discharged on request |
| E | Expired |
| LAMA | Left against medical advice |
| SH | Sent home |
| TR | Transferred |
| TRV | Transferred due to non-availability of ventilator |
| TROR | Transferred due to other reasons |

| Q.13 | Procedure | |
|------------|-------------|------|
| ICD-9 Code | Description | Type |
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| Diagnosis Type Key | |
|--------------------|------------------------------|
| A | Admitting diagnosis |
| 1 | Primary diagnosis |
| Y | Associated diagnoses |
| N | Comorbidities |
| U | New conditions |
| W | Post-operative complications |

| Procedure Type Key | |
|--------------------|---------------------|
| 1 | Primary procedure |
| 2 | Secondary procedure |
| n | Secondary procedure |

Q.14 Pediatric Comorbidity Index score

| No. | Condition Description | Points |
|-----|-----------------------------|--------|
| 1 | Any malignancy | 5 |
| 2 | Depression | 4 |
| 3 | Diabetes mellitus | 4 |
| 4 | Epilepsy or convulsions | 4 |
| 5 | Drug abuse or dependence | 3 |
| 6 | Psychotic disorders | 3 |
| 7 | Anemia | 2 |
| 8 | Cardiovascular conditions | 2 |
| 9 | Chromosomal anomalies | 2 |
| 10 | Congenital malformations | 2 |
| 11 | Menstrual disorders | 2 |
| 12 | Smoking | 2 |
| 13 | Weight loss | 2 |
| 14 | Alcohol abuse / dependence | 1 |
| 15 | Anxiety or panic disorder | 1 |
| 16 | Asthma | 1 |
| 17 | Conduct disorders | 1 |
| 18 | Developmental delays | 1 |
| 19 | Eating disorders | 1 |
| 20 | Gastrointestinal conditions | 1 |
| 21 | Joint disorders | 1 |
| 22 | Nausea or vomiting | 1 |
| 23 | Pain conditions | 1 |
| 24 | Sleep disorders | 1 |

| NCC-MERP INDEX HARM CATEGORY (HC) | |
|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Code | Description |
| NO ERROR | |
| A | Circumstances or events that have the capacity to cause error |
| ERROR, NO HARM | |
| B | An error occurred but the error did not reach the patient (An "error of omission" does reach the patient) |
| C | An error occurred that reached the patient but did not cause patient harm |
| D | An error occurred that reached the patient and required monitoring to confirm that it resulted in no harm to the patient and/or required intervention to preclude harm |
| LIKELY AN ADVERSE EVENT | |
| ERROR, HARM | |
| E | An error occurred that may have contributed to or resulted in temporary harm to the patient and required intervention |
| F | An error occurred that may have contributed to or resulted in temporary harm to the patient and required initial or prolonged hospitalization |
| G | An error occurred that may have contributed to or resulted in permanent patient harm |
| H | An error occurred that required intervention necessary to sustain life |
| ERROR, DEATH | |
| I | An error occurred that may have contributed to or resulted in the patient's death |

TRIGGER COMPONENTS

| Q.15 | GENERAL CARE COMPONENT | | |
|-------------|-----------------------------------------------------------------------------------|-----------|--------------------------|
| CODE | TRIGGER | HC | EVENT DESCRIPTION |
| PG1 | Early warning score ¹ | | |
| PG2 | Tissue damage or pressure ulcer ² | | |
| PG3 | Readmission within 30 days ³ | | |
| PG4 | Unplanned admission ⁴ | | |
| PG5 | Abnormal cranial imaging ⁵ | | |
| PG6 | Respiratory or cardiac arrest / crash calls ⁶ | | |
| PG7 | Diagnostic imaging for embolus / thrombus ± confirmation ⁷ | | |
| PG8 | Complication of procedure or treatment | | |
| PG9 | Transfer to higher level of care (including specialist unit/ICU/HDU) ⁸ | | |
| PG10 | Hypoxia O ₂ sat <85% ⁹ | | |
| PG11 | Cancelled elective procedure / delayed discharge ¹⁰ | | |
| PG12 | Other | | |

| Q.16 | SURGICAL CARE COMPONENT | | |
|-------------|-------------------------------------------|-----------|--------------------------|
| CODE | TRIGGER | HC | EVENT DESCRIPTION |
| PS1 | Unplanned return to operating room | | |
| PS2 | Change in planned procedure ¹¹ | | |

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|-----|----------------------------------------------------------------------|--|--|
| PS3 | Surgical site infection or hospital acquired urinary tract infection | | |
| PS4 | Removal / injury/ repair of organ ¹² | | |
| PS5 | Other | | |

| Q.17 | INTENSIVE CARE COMPONENT | | |
|------|---------------------------------------------------------------------|----|-------------------|
| CODE | TRIGGER | HC | EVENT DESCRIPTION |
| IP1 | Readmission to Intensive Care or High Dependency Care ¹³ | | |
| IP2 | Other | | |

| Q.18 | MEDICATION COMPONENT | | |
|------|---------------------------------------------------------------|----|-------------------|
| CODE | TRIGGER | HC | EVENT DESCRIPTION |
| PM1 | Vitamin K (except for routine dose in neonates) ¹⁴ | | |
| PM2 | Naloxone use ¹⁵ | | |
| PM3 | Flumazenil use ¹⁵ | | |
| PM4 | Glucagon or glucose \geq 10% ¹⁵ | | |
| PM5 | Chlorphenamine or antihistamine ¹⁵ | | |
| PM6 | Anti-emetics ¹⁶ | | |
| PM7 | IV Bolus \geq 10ml/kg colloid or crystalloid given | | |

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|-----|-----------------------------------------------------|--|--|
| PM8 | Abrupt stoppage of multiple or long-term medication | | |
| PM9 | Other | | |

| Q.19 | LABORATORY TEST COMPONENT | | |
|------|----------------------------------------------------------------|----|-------------------|
| CODE | TRIGGER | HC | EVENT DESCRIPTION |
| PL1 | Thrombocytopenia (platelets <100) ¹⁷ | | |
| PL2 | High INR >5 or aPTT >100 ¹⁸ | | |
| PL3 | Transfusion ¹⁵ | | |
| PL4 | Abrupt drop in Hb or Hct (>25%) ¹⁹ | | |
| PL5 | Rising urea or creatinine (>2x baseline) ⁹ | | |
| PL6 | Hypo/Hyponatremia (Na ⁺ <130 or >150) ²⁰ | | |
| PL7 | Hypo/Hyperkalemia (K ⁺ <3.0 or >6.0) ²⁰ | | |
| PL8 | Hypoglycemia (<3mmol/l) ¹⁷ | | |
| PL9 | Hyperglycemia (>12mmol/l) ¹⁷ | | |
| PL10 | Drug level out of range | | |
| PL11 | MRSA bacteremia | | |
| PL12 | C. difficile positive | | |
| PL13 | Vancomycin-resistant enterococcus (VRE) | | |

| | | | |
|------|--------------------------------------|--|--|
| PL14 | Nosocomial pneumonia | | |
| PL15 | Positive blood culture ²¹ | | |
| PL16 | Other | | |

Q.21 Any triggers present? **(1 = Yes, 2 = No)** _____

Q.22 Number of triggers present? _____

Q.23 Number of potential adverse events? _____

Q.24 Please state the positive trigger codes (TC) and whether they were associated with an adverse event (AE) **(1 = Yes, 2 = No)**

| TC | AE | TC | AE | TC | AE | TC | AE | TC | AE |
|----|----|----|----|----|----|----|----|----|----|
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¹ Look for lack of a score or incomplete observations, or a score or observation requiring a response.

² All pressure ulcers, including tissue damage from intravenous therapy.

³ Related to a previous admission.

⁴ Any unscheduled admission for a known or previously-diagnosed condition.

⁵ Excluding congenital anomalies.

⁶ Not resulting from an acute, undiagnosed condition or as part of a pathological prognosis. Should include all cardiac or pulmonary arrests occurring intra-op, in the PACU, and first 24 hours post-op.

⁷ All in-patient DVTs or PEs. If hospitalization occurs due to a DVT or emboli, look for drug-related causes or association with previous admissions.

⁸ Not as part of a pathological prognosis or a pre-planned transfer based on a treatment protocol.

⁹ Not as part of a pathological prognosis due to a congenital or chronic condition.

¹⁰ Due to non-clinical reasons or not within reason.

¹¹ Not due to a change in the patient's clinical condition or unexpected findings.

¹² Not as part of a planned procedure or in trauma cases where it is merited.

¹³ Not as part of treatment of a pathological prognosis.

¹⁴ In response to increased INR and with evidence of either bleeding, drop in Hb/Hct or guaiac-positive stool. Not as part of routine administration for neonates.

¹⁵ When given as a result of receiving medical care and not as part of treatment/natural pathology of a known disease/condition.

¹⁶ Excluding intra-op and post-op Recovery Room (RR) administration but including 72 hours period post-op starting from patient being shifted out of RR. Not as part of treatment of a pathological prognosis.

¹⁷ As a result of receiving medical care and not as part of treatment/natural pathology of a known disease.

¹⁸ In the presence of any evidence of a bleed or following a medical intervention.

¹⁹ Within approximately 24-48 hours of receiving a medical treatment/surgical intervention.

²⁰ According to local lab reference ranges.

²¹ Occurring during hospitalization.