

Appendix 1a: Ethics approval for Scottish data linkage from Privacy Advisory Committee in
Information Services Division, NHS National Services Scotland

Information Services Division



Area 151A
Gyle Square
1 South Gyle Crescent
Edinburgh, EH12 9EB
Telephone 0131 275 6000
Fax 0131 275 7606
www.isdscotland.org

Ms M Mukherjee
Senior Data Analyst
The University of Edinburgh
Medical School (Doorway 3)
Teviot Place
EDINBURGH
EH8 9AG

Date 17 June 2014
Your Ref
Our Ref PAC 48/13

Enquiries to
Direct Line 0131 275 6092
Email David.Brewster@nhs.net

Dear Ms Mukherjee

Identifying the true cost of asthma in the UK: secondary analyses of national stand-alone and linked databases in England, Northern Ireland, Scotland and Wales

The Privacy Advisory Committee has considered and approved your application for a data linkage in support of the above study.

Conditions applied: None

Time period: As specified

Points highlighted: None

The approval of the Committee is for a period of 5 years from the date of this letter. Any change to the terms of your application, including changes in data user(s), additional data fields or extension of the time period approved must be requested through Susan Kerr, PAC Administrator on 0131 275 6445 or nss.pac@nhs.net.

Please note that the access to data facilitated by this approval is subject to the satisfactory completion of approved information governance training, which must be updated every 3 years.

Please note that the following details about your application will be published under the following headings on the PAC website at http://www.nhsnss.org/pages/corporate/pac_meetings_and_decision_making.php later this year:

No	Title	Type	Summary	Date sent to PAC	PAC Responses	NSS Decision	Date Completed
----	-------	------	---------	------------------	---------------	--------------	----------------

In order to progress your request please contact the eDRIS team on telephone 0131 275 7333 or email nss.eDRIS@nhs.net.

Yours sincerely

Dr David Brewster
Consultant in Public Health Medicine

cc eDRIS



Chair Professor Elizabeth Ireland
Chief Executive Ian Crichton
Director Phillip Couser

*NHS National Services Scotland is the common name of the
Common Services Agency for the Scottish Health Service.*

Appendix 1b: SAIL Collaboration Review System approval for Welsh component of the work



22 November 2013

Dr Gwyneth Davies

College of Medicine
Swansea University
Singleton Park
Swansea
SA2 8PP

Dear Gwyneth

Re: Identifying the true cost of asthma in the UK

Your proposal to use the SAIL databank has been assessed by the SAIL Collaboration Review System (CRS). The CRS consists of the SAIL Management Team and the Information Governance Review Panel (IGRP). The membership of the IGRP is comprised of senior representatives from:

- British Medical Association (BMA)
- National Research Ethics Service (NRES)
- Public Health Wales
- NHS Wales Informatics Service (NWIS)
- Involving People

After careful consideration the proposal has been given approval to commence with analysis.

The project has been given a HIRU project number of 0243.

Creation of project specific data view

Work will now commence on the creation of the project specific data view. The analyst working on this will be Martin Heaven and they will be in contact with you to confirm your data specification.

User access

Once the project specific data view has been created you will be allocated a SAIL Gateway user account and details of using this will be sent in due course.

Publication statement

All publications must acknowledge the use of SAIL data.

Yours sincerely

Cynthia McInerney

Information Governance Coordinator

SAIL DATABANK

Institute of Life Science 2
College of Medicine
Swansea University
Singleton Park
Swansea
SA2 8PP

SAILDatabank@swansea.ac.uk

www.SAILDatabank.com

Appendix 1c: NHS South East Scotland Research Ethics Service confirmation that NHS ethical review not required for Scottish component of the work on anonymised and aggregated data

South East Scotland Research Ethics Service

Waverley Gate
2-4 Waterloo Place
Edinburgh
EH1 3EG



Name: Mome Mukherjee
Address: Health Services Research
Unit (HSRU) & eHealth
Research Group
Centre for Population
Health Sciences
Teviot Place
Edinburgh
EH8 9AG

Date: 14/05/2013
Your Ref:
Our Ref: NR/1305AB7
Enquiries to: Alex Bailey
Direct Line: 0131 465 5679
Email: alex.bailey@nhslothian.scot.nhs.uk

Dear Mome,

Project Title: Identifying the true cost of asthma in the UK

You have sought advice from the South East Scotland Research Ethics Service on the above project. This has been considered by the Scientific Officer and you are advised that, based on the submitted documentation (email correspondence, Level 1 - Self Audit Checklist STAFF academic res fellows mar13 v2_AUKdraft1.doc), it does not need NHS ethical review under the terms of the Governance Arrangements for Research Ethics Committees (A Harmonised Edition).

The advice is based on the following:

- *The project is limited to the utilisation of data previously collected in the course of normal care (without an intention to use it for research at the time of collection) that is anonymised to the researcher*

If the project is considered to be research you may require ethical approval as outlined in The Research Governance Framework for Health and Community Care. You may wish to contact your employer or professional body to arrange this. You may also require NHS management permission from host care organisations (R&D approval). You should contact the relevant NHS R&D departments to organise this.

For projects that are not research and will be conducted within the NHS you should contact the relevant local clinical governance team who will inform you of the relevant governance procedures required before the project commences.

This letter should not be interpreted as giving a form of ethical approval or any endorsement of the project, but it may be provided to a journal or other body as evidence that NHS ethical approval is not required. However, if you, your sponsor/funder feels that the project requires ethical review by an NHS REC, please write setting out your reasons and we will be pleased to consider further. You should retain a copy of this letter with your project file as evidence that you have sought advice from the South East Scotland Research Ethics Service.

Yours sincerely,

Alex Bailey



1 Headquarters
Waverley Gate, 2-4 Waterloo Place, Edinburgh EH1 3EG

Chair Dr Charles J Winstanley
Chief Executive Tim Davison
Lothian NHS Board is the common name of Lothian Health Board