Effectiveness of interventions to improve hand motor function in individuals with moderate to severe stroke: a systematic review protocol

Hewei Wang, Ray Arceo, Shugeng Chen, Li Ding, Jie Jia, Jun Yao

ABSTRACT

Introduction The human hand is extremely involved in our daily lives. However, the rehabilitation of hand function after stroke can be rather difficult due to the complexity of hand structure and function, as well as neural basis that supports hand function. Specifically, in individuals with moderate to severe impairment following a stroke, previous evidence for effective treatments that recover hand function in this population is limited, and thus has never been reviewed. With the progress of rehabilitation science and tool development, results from more and more clinical trials are now available, thereby justifying conducting a systematic review.

Methods and analysis This systematic review protocol is consistent with the methodology recommended by the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols and the Cochrane handbook for systematic reviews of interventions. Electronic searches will be carried out in the PubMed, CINAHL, Physiotherapy Evidence Database and Cochrane Library databases, along with manual searches in the reference lists from included studies and published systematic reviews. The date range parameters used in searching all databases is between January 1999 and January 2019. Randomised controlled trials (RCTs) published in English, with the primary outcome focusing on hand motor function, will be included. Two reviewers will screen all retrieved titles, abstracts and full texts, perform the evaluation of the risk bias and extract all data independently. The risk of bias of the included RCTs will be evaluated by the Cochrane Collaboration’s tool. A qualitative synthesis will be provided in text and table, to summarise the main results of the selected publications. A meta-analysis will be considered if there is sufficient homogeneity across outcomes. The quality of the included publications will be evaluated by the Grading of Recommendations Assessment, Development and Evaluation system from the Cochrane Handbook for Systematic Reviews of Interventions.

Ethics and dissemination No ethical approval is needed, and the results of this review will be disseminated via peer-reviewed publications and conference presentations.

Trial registration number CRD42019128285.

INTRODUCTION

Stroke is one of the main causes of long-term disabilities among adults. Up to 85% of stroke survivors have hemiparesis that affects the upper extremity on one side, and less than half of them can regain proper arm function 6 months after stroke. Generally, hemiparesis impacts the movement function of the hand and wrist more than shoulder and elbow. As we know, hand movement plays a core role in upper limb function because of its indispensable and sophisticated function in human daily lives. Many vital activities of daily living, such as using a fork, buttoning a shirt, and opening a door handle, require various hand functions. The losses in hand function can seriously affect patients’ functional independence and quality of life.

Currently, for mildly impaired stroke survivors (about 20%–25%), constraint-induced movement therapy (CIMT) has been reported to produce significantly greater gains in hand/arm function compared with
conventional therapy. However, for stroke survivors who have moderate to severe impairment and do not meet the inclusion criteria of CIMT, intervention options for hand motor function recovery are limited. The complexity of hand structure and function together with the neural basis that supports hand function might contribute to the great difficulty of hand function rehabilitation after stroke. Enormous biomechanical complexity makes the hand extensively represented in a large region of the motor cortex of the brain, which suggests that fine control of hand movement depends heavily on an intact corticospinal tract. When the ipsilesional corticofugal tract is seriously damaged due to stroke, contralesional motor-related cortical recruitment becomes the main neural compensatory model for these moderate to severe stroke patients, according to previous studies. That the inhibition of contralesional motor cortex using transcranial magnetic stimulation or transcranial direct current stimulation (tDCS) can lead to more disrupted performance of a simple motor task in patients with poorer motor outcome serves as evidence to support such opinion. The contralesional cortical recruitment may rely on contralesional corticobulbospinal tract such as the corticoreticulospinal tract to control the affected upper limb. However, the compensatory corticoreticulospinal tract branches at multiple segments in spinal cord, and innervates proximal muscles more than distal ones, and prefers the flexors but lacks comparable resolution and innervation to hand and finger extensor muscles. The aforementioned features result in the abnormal involuntary coupling between shoulder abduction and wrist/finger flexion, which is also known as the ‘flexion synergy’, as well as muscle weakness especially at extensors of distal joints, thus further constrains functional hand movements especially hand opening. In short, it seems that extension at distal joints, like hand opening, depends more on the function of corticospinal tract, primarily projected from the lesioned hemisphere, and lacks compensatory neural system to provide ‘backup’ driving. This neural basis makes effective restoration of hand function in moderate to severe stroke patients become extremely challenging. Furthermore, the resulting ‘none-use-decay’ can cause further decrease of the hand function. Although full of challenges, some of the research findings demonstrate that hand function recovery in this population is still feasible, with evidence showing both feasibility in intervention-induced changes in behaviour and neural plasticity measures. We therefore focus on hand function recovery in the group of stroke survivors with moderate to severe impairment in this systematic review.

According to our knowledge of the literature, ample summary of the efficacy of various interventions for upper limb function rehabilitation in stroke patients can be found in published systematic reviews. Most of these reviews evaluate the efficacy of a single category of therapeutic technique, such as CIMT, robot-assisted therapy, bilateral training, task-oriented training, exercise therapy, functional electrical stimulation (FES), orthotics, mental practice, mirror therapy, action observation, non-invasive cerebral stimulation, brain-computer interface, virtual reality, home-based therapy programmes and so on. There are also some comprehensive systematic reviews on general function treatment of upper limb after stroke or other specific problems, such as motor dysfunction, sensory impairment, spasticity, decreased quality of life, and shoulder pain and subluxation. In addition, other important issues of upper limb rehabilitation after stroke, like timing of intervention, dose of training, effects of severity on motor recovery, outcome measures and predictors of functional restoration were systematically reviewed as well. However, much less attention has been paid to the systematic review of hand function rehabilitation after moderate to severe stroke. Fortunately, with growing attention to this research field in recent decades, an increased number of clinical trials that focus on moderate to severe stroke patients is now available, involving various intervention methods, like electromyography (EMG)-triggered electrical stimulation, tDCS, robot-assisted movement training, repetitive transcranial magnetic stimulation and mirror therapy. Although with relatively small sample sizes, a review of these reported works will provide insight for the future direction along this line of research and thus may further impact future clinical practice for this large population.

Comprehensive overview of hand motor function rehabilitation in individuals with moderate to severe stroke has long been neglected not only in systematic reviews but also in the main guidelines for stroke rehabilitation. In the most recent Guidelines for Adult Stroke Rehabilitation and Recovery released by the American Heart Association and the American Stroke Association in 2016, we can only locate recommendations for the treatment of upper extremity activity but can hardly find any evidence-based suggestions for hand function training. The 2015 update of the Canadian Stroke Best Practice Recommendations: Stroke Rehabilitation Practice Guidelines has provided a series of recommendations on the management of upper extremity following stroke, including the restoration of sensorimotor function, and relief of spasticity and pain. Regarding the hand function rehabilitation after stroke, limited recommendations are scattered among evidence for other forms of upper extremity interventions, such as FES and CIMT for hand motor function, botulinum toxin for hand spasticity and range of motion, and exercise and massage for hand oedema. Similar problems can be found in stroke rehabilitation guidelines in UK and Australia, which mainly provide recommendations on upper extremity management while lacking a detailed description of the current evidence on hand function recovery. The absence of systematic evidence in guidelines for hand rehabilitation following stroke greatly increases the difficulty of clinical work.
In short, a standardised systematic review on the effectiveness of interventions is warranted to improve hand motor function in individuals with moderate to severe stroke. Therefore, the aim of this review is to provide an overview of the following:

1. To identify which interventions that have been employed to increase hand function in individuals with moderate to severe stroke.
2. To verify the effectiveness of these interventions.
3. To identify the gaps in the literature.

METHODS AND ANALYSES

Study design

The review protocol was written and reported following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis Protocols (PRISMA-P) (see the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA-P) checklist in online supplementary table 1). For the results of this systematic review, we will publish it following the PRISMA statement.

Eligibility criteria

Types of study

We will include all randomised controlled trials (RCTs) published in English that investigated the efficacy of rehabilitation interventions to improve hand motor function in individuals with moderate to severe stroke. The random allocation process should be performed in a standard way. Quasi-RCTs or trials without control group such as case series and case reports will be excluded. Preliminary and pilot studies, abstracts published in congress and conferences will also be excluded.

Participants

We will include all RCTs which have recruited adult patients (≥18 years of age) with first or recurrent stroke. Stroke is defined as ‘a clinical syndrome consisting of rapidly developing clinical signs of focal (or global in case of coma) disturbance of cerebral function lasting more than 24 hours or leading to death with no apparent cause other than a vascular origin’ by WHO. The diagnosis of stroke should be confirmed by CT or MRI. The participants in all trials should be assessed as moderate to severe unilateral hand dysfunction as indicated by hand functional assessments such as the Fugl-Meyer Upper Extremity Scale (<45) and Chedoke-McMaster Stroke Assessment (Stage 4). Patients with subarachnoid haemorrhage or subdural haematoma will be excluded. Studies with participants with transient ischaemic attack will be excluded since all neurological symptoms would disappear.

Types of interventions

We will select all trials assessing interventions that at least have one of the treatment goals targeting the regaining of post-hand hand function in individuals with moderate to severe stroke. These interventions should be compared with a control intervention (eg, no treatment, standard care, conventional training or the same intervention method with different parameters). Trials focusing only on the training of elbow and shoulder will be excluded. The interventions here encompass many different, individual interventions, such as FES, mirror therapy, robot training, CIMT, brain–computer interface, repetitive transcranial magnetic stimulation and so on. Interventions can either be one-to-one or in group setting, hospital-based or home-based (under the supervision of professional), supervised by therapists or self-training. No limits will be placed on the timing, frequency and duration of interventions.

Type of outcome measures

The primary outcomes of this systematic review will focus on changes in patients’ hand function using various assessments from baseline to the last available follow-up. The assessments can be divided into two groups: body functions measures (targeting impairments of hand function, such as Fugl-Meyer Assessment hand part, Chedoke-McMaster Stroke Assessment, Motricity Index, etc) and activity measures (assessing limitations of activities, such as Action Research Arm Test, Box and Block Test, Wolf Motor Function Test, etc).

Secondary outcome measures will include kinematic analysis of hand movement, possible improvements of quality of life, and mental health improvements related to the hand motor function recovery. The adverse events associated with interventions and adherence to treatment will also be considered.

Search strategy for the identification of relevant studies

Electronic searches will be performed for potentially eligible RCTs in the PubMed, CINAHL, Physiotherapy Evidence Database and Cochrane Library databases with restriction in articles with full texts in English. The date range parameters used in all databases will be between January 1999 and January 2019. Searches will combine terms from medical subject headings and keywords in title, abstract and text for the population, intervention and outcomes. The Cochrane Library Database search strategy in table 1 will be adapted for other databases. Furthermore, RCTs will also be obtained from the reference lists of included studies and published systematic reviews of interventions to improve upper limb or hand motor function in individuals with moderate to severe stroke.

Screening of the studies

The reference management software, Endnote (V.X9; Thomson Reuters, New York, USA), will be used to help upload, store and select the literature results. For each database, a separate library group will be created to keep all original search results. All separate library group copies will then merge into a new library group and duplicate checking will be carried out in the new library group using a Find Duplicates dialogue box in the Endnote. Two independent reviewers (HWW, RA) will screen all...
Table 1  Search strategy in Cochrane Library Database

| #1 | MeSH descriptor: [Stroke] explode all trees |
| #2 | Stroke:ti OR Cerebrovascular Accident*:ti OR CVA:ti OR Cerebrovascular Event*:ti OR Cerebrovascular Insult*:ti OR Brain:ti Vascular Accident*:ti OR Apoplexy*:ti OR Brain Infraction*:ti |
| #3 | #1 OR #2 |
| #4 | MeSH descriptor: [Hand] explode all trees |
| #5 | (Hand* OR Palm* OR Finger* OR Thumb* OR Wrist*):ti,ab,kw |
| #6 | #4 OR #5 |
| #7 | MeSH descriptor: [Rehabilitation] explode all trees |
| #8 | MeSH descriptor: [Exercise] explode all trees |
| #9 | MeSH descriptor: [Therapeutics] explode all trees |
| #10 | (Rehab* OR Exercis* OR Therap* OR Treat*):ti,ab,kw |
| #11 | (electrical stimulation) OR FES OR (mirror therapy) OR (constraint-induced movement therapy) OR CIMT OR robot OR (brain-computer interface) OR BCI OR (repetitive transcranial magnetic stimulation) OR rTMS OR (transcranial direct current stimulation) OR tDCS OR (task-oriented training) OR (task-based training) OR acupuncture OR (bilateral treatment) OR (motor relearning) OR (manual therapy) OR orthosis OR stretch OR biofeedback OR (virtual reality) OR VR OR (motor imagery) OR (action observation);ti,ab,kw |
| #12 | #7 OR #8 OR #9 OR #10 OR #11 |
| #13 | #3 AND #6 AND #12 in Trials |

MeSH, medical subject headings.

Risk of bias

The risk of bias of the included RCTs will be evaluated by the Cochrane Collaboration’s tool (table 8.5.a in the Cochrane Handbook for Systematic Reviews of Interventions). Disagrees between the two reviewers will be solved by a third reviewer (JY) to reach a consensus. All reasons for exclusion of any publications will be noted. The PRISMA flow of information through the different phases of a systematic review will be filled in, to record the whole screening process in detail.

Data extraction

Two independent reviewers (HWW, RA) will carry out the data extraction following recommendations from the PRISMA statement. Disagrees between the two reviewers will be solved by a third reviewer (JY) to reach a consensus. The extracted data will include general study information (authors, year of publication and ethics), characteristics of participants (sample size, inclusion/exclusion criteria, random process and allocation, age, gender, type and time since the onset of the stroke), interventions (type of intervention, dose, duration, frequency, supervision and comparison/control group), outcome measures (observation time points, hand function assessments, hand movement kinematic analysis, quality of life changes, possible mental improvement, dropout, length of follow-up, adverse events and conflict of interest). If necessary, the corresponding authors of the selected publications will be contacted for missing data and further information.

Strategy for data synthesis

We will provide a qualitative synthesis, in text and table, to summarise the main results of the selected publications. A narrative synthesis will be included to demonstrate the findings, structured around the type of intervention, target population characteristics, intervention content and types of outcome. We will check the heterogeneity of included studies by performing the χ² test (significant level: 0.1) and the I² statistic (high levels of heterogeneity: I²≥50%). For studies that have sufficient data, and are homogeneous regarding the interventions and outcome measures, we will synthesise the results in meta-analysis using the Review Manager software (RevMan, V.5.3). In
Rehabilitation of hand motor function after stroke is different from other parts of the body like the lower extremity, trunk and even the proximal part of the upper limb, which recover faster and more completely. The neural basis underlying the hand rehabilitation in moderate to severe stroke patients makes effective restoration of hand motor function extremely challenging, therefore, currently this cohort of stroke survivors is largely ignored for hand function rehabilitation. To date, there is also no systematic review or guideline that focuses extensively on the effectiveness of interventions to improve hand motor function in individuals with moderate to severe stroke. To the best our knowledge, this is the first systematic review that concentrates on hand rehabilitation approaches in moderate to severe stroke patients and attempts to make a comprehensive analysis of the existing evidence to fill in the gaps in this research field.

This systematic review has several strengths. First, the preparation of this protocol is consistent with the methodology recommended by the PRISMA-P and the Cochrane handbook for systematic reviews of interventions. Second, we only include RCTs which have recruited participants with moderate to severe hand function after stroke. This is because publications have provided us with convincing evidence that patients with baseline ability to control wrist and finger extension can achieve improvements in hand function and quality of life after receiving treatment procedures like modified CIMT. However, there is no consensus on the effectiveness of intervention methods for stroke patients with more severely impaired hand function. Third, more and more clinical trials on this topic have been published in recent decades, and the time for a systematic review is now.

The results of this systematic review will provide a detailed summary of the current progress of evidence for interventions to improve hand motor function in individuals with moderate to severe stroke. Such a review can contribute by not only identifying the gaps, thus providing guidance for further research, but by also offering valuable information for therapeutics to help stroke survivors with impaired hand function.

**Table 2** Quality of evidence and definitions

<table>
<thead>
<tr>
<th>Quality of evidence</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>High quality</td>
<td>Further research is very unlikely to change the confidence in the estimate of effects</td>
</tr>
<tr>
<td>Moderate quality</td>
<td>Further research is likely to have an important impact on the confidence in the estimate of effect and may change the estimate</td>
</tr>
<tr>
<td>Low quality</td>
<td>Further research is very likely to have an important impact on the confidence in the effect and is likely to change the estimate</td>
</tr>
<tr>
<td>Very low quality</td>
<td>Any estimate of the effect is very uncertain</td>
</tr>
</tbody>
</table>

Analyses of subgroups or subsets

We will perform the subgroups analyses if sufficient data are available. These analyses will involve differences between the stroke phases (ie, acute/subacute/chronic), the main therapeutic goal of treatment (ie, aiming at the recovery of hand function/aiming at the recovery of arm and hand function), the measurement tools (eg, activity measures/body function measures), intervention details (type, duration and delivery of the intervention), participation of patients in trials (active movement training/passive training) and quality and risk of bias.

Quality of evidence

According to the recommendations from the Cochrane Handbook for Systematic Reviews of Interventions, the Grading of Recommendations Assessment, Development and Evaluation system will be used to assess the body of the evidence for all outcomes. This system involves consideration of within-study risk of bias, consistency, directness of evidence, precision of effects estimates and publication bias. The overall quality of evidence will be adjudicated at four levels: high, moderate, low and very low (table 2).

Ethics and dissemination

This systematic review does not need ethical approval and informed consent. Findings of this review will be disseminated via peer-reviewed publications and conference presentations.

Patient and public involvement

No patient involved.

DISCUSSION

Rehabilitation of hand motor function after stroke is different from other parts of the body like the lower extremity, trunk and even the proximal part of the upper limb, which recover faster and more completely. The neural basis underlying the hand rehabilitation in moderate to severe stroke patients makes effective restoration of hand motor function extremely challenging, therefore, currently this cohort of stroke survivors is largely ignored for hand function rehabilitation. To date, there is also no systematic review or guideline that focuses extensively on the effectiveness of interventions to improve hand motor function in individuals with moderate to severe stroke. To the best our knowledge, this is the first systematic review that concentrates on hand rehabilitation approaches in moderate to severe stroke patients and attempts to make a comprehensive analysis of the existing evidence to fill in the gaps in this research field.

This systematic review has several strengths. First, the preparation of this protocol is consistent with the methodology recommended by the PRISMA-P and the Cochrane handbook for systematic reviews of interventions. Second, we only include RCTs which have recruited participants with moderate to severe hand function after stroke. This is because publications have provided us with convincing evidence that patients with baseline ability to control wrist and finger extension can achieve improvements in hand function and quality of life after receiving treatment procedures like modified CIMT. However, there is no consensus on the effectiveness of intervention methods for stroke patients with more severely impaired hand function. Third, more and more clinical trials on this topic have been published in recent decades, and the time for a systematic review is now.

The results of this systematic review will provide a detailed summary of the current progress of evidence for interventions to improve hand motor function in individuals with moderate to severe stroke. Such a review can contribute by not only identifying the gaps, thus providing guidance for further research, but by also offering valuable information for therapeutics to help stroke survivors with impaired hand function.

Contributors JY is the lead and the guarantor of this review. HW and RA conceptualised the review and drafted the manuscript. HW and RA developed the search strategy included in the protocol. JJ, LD and SC revised the protocol critically. All authors read and provided feedback on the draft and approved the final manuscript.

Funding This research is funded by National Key R&D Program of China (Grant No. 2018YFC0202300 and 2018YFC2002301), the China National Nature Science Young Foundation (Grant No. 81401859) and the Science and Technology Commission of Shanghai Municipality (Grant Nos. 15441901602 and 16441905303).

Competing interests None declared.

Patient consent for publication Not required.

Provenance and peer review Not commissioned; externally peer reviewed.
REFERENCES


