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RESTORE – Cognitive Functional Therapy with or without movement sensor biofeedback versus usual care for chronic, disabling low back pain: study protocol for a randomised controlled trial

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Complete List of Authors:	<p>Kent, Peter; Curtin University, School of Physiotherapy and Exercise Science; University of Southern Denmark, Department of Sports Science and Clinical Biomechanics</p> <p>O'Sullivan, P; Curtin University, School of Physiotherapy and Exercise Science</p> <p>Smith, Anne; Curtin University, School of Physiotherapy</p> <p>Haines, Terrence; Monash University, Faculty of Medicine, Nursing & Health Science</p> <p>Campbell, Amity; Curtin University, Physiotherapy and Exercise Science</p> <p>McGregor, Alison; Imperial College London, Dept of Surgery and Cancer</p> <p>Hartvigsen, Jan; University of Southern Denmark, Department of Sports Science and Clinical Biomechanics; Nordic Institute of Chiropractic and Clinical Biomechanics</p> <p>O'Sullivan, Kieran; Aspetar Qatar Orthopaedic and Sports Medicine Hospital, Sports Spine Centre</p> <p>Vickery, Alistair; The University of Western Australia, General Practice</p> <p>Caneiro, J.P.; Curtin University, Physiotherapy and Exercise Science</p> <p>Schütze, Robert; Curtin University, School of Physiotherapy and Exercise Science</p> <p>Laird, Robert; Superspine</p> <p>Attwell, Stephanie; Macquarie University, Department of Health Professions</p> <p>Hancock, Mark; Macquarie University, Department of Health Professions</p>
Keywords:	Low back pain, wearable devices, rehabilitation, clinical trial protocol

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Manuscripts

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3 **RESTORE – Cognitive Functional Therapy with or without movement sensor**
4 **biofeedback versus usual care for chronic, disabling low back pain: study protocol**
5 **for a randomised controlled trial**
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10 **Peter Kent**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
11 Perth, Australia

12 **Peter O’Sullivan**, PhD, School of Physiotherapy and Exercise Science, Curtin
13 University, Perth, Australia

14 **Anne Smith**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
15 Perth, Australia

16 **Terry Haines**, PhD, School of Primary and Allied Health Care, Monash University,
17 Melbourne, Australia

18 **Amity Campbell**, PhD, School of Physiotherapy and Exercise Science, Curtin
19 University, Perth, Australia

20 **Alison McGregor**, PhD, Department of Surgery & Cancer, Imperial College, London,
21 UK

22 **Jan Hartvigsen**, PhD, Department of Sports Science and Clinical Biomechanics,
23 University of Southern Denmark, Odense, Denmark; Nordic Institute of Chiropractic
24 and Clinical Biomechanics, Odense, Denmark

25 **Kieran O’Sullivan**, PhD, Aspetar Orthopaedic and Sports Medicine Hospital, Doha;
26 School of Allied Health, University of Limerick, Limerick, Ireland

27 **Alistair Vickery**, MBBS, University of Western Australia, Perth, Australia

28 **J.P. Caneiro**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
29 Perth, Australia

30 **Rob Schutze**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
31 Perth, Australia

32 **Rob Laird**, PhD, Superspine, Melbourne, Australia

33 **Stephanie Attwell**, PhD, Department of Health Professions, Macquarie University,
34 Sydney, Australia

35 **Mark Hancock**, PhD, Department of Health Professions, Macquarie University,
36 Sydney, Australia

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55
56
57
58 **Correspondence to:** Peter Kent
59
60

1
2
3 Contact address: School of Physiotherapy and Exercise Science, Curtin University,
4 Kent Street, Bentley, Western Australia, Australia, 6102
5
6 Email address: peter.kent@curtin.edu.au
7
8
9

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For peer review only

1 **ABSTRACT**

2 Introduction: Low Back Pain (LBP) is the leading cause of disability globally and its costs
3 exceed those of cancer and diabetes combined. Recent evidence suggests that individualised
4 cognitive and movement rehabilitation combined with lifestyle advice (Cognitive Functional
5 Therapy (CFT)) may produce larger and more sustained effects than traditional approaches,
6 and movement sensor biofeedback may enhance outcomes. Therefore, this three-arm
7 randomised controlled trial (RCT) aims to compare the clinical effectiveness and economic
8 efficiency of individualised CFT delivered with or without movement sensor biofeedback,
9 with usual care for patients with chronic, disabling LBP.

10 Methods and analysis: Pragmatic, three-arm, randomised, parallel group, superiority RCT
11 comparing usual care (n=164) with CFT (n=164) and CFT-plus-movement-sensor-
12 biofeedback (n=164). Inclusion criteria include: adults with a current episode of LBP >3
13 months; sought primary care ≥ 6 weeks ago for this episode of LBP; average LBP intensity of
14 ≥ 4 (0-10 scale); at least moderate pain-related interference with work or daily activities. The
15 CFT only and CFT-plus-movement-sensor-biofeedback participants will receive seven
16 treatment sessions over 12 weeks plus a 'booster' session at 26 weeks. All participants will be
17 assessed at baseline, 3, 6, 13, 26, 40 and 52 weeks. The primary outcome is pain-related
18 physical activity limitation (Roland Morris Disability Questionnaire). Linear mixed models
19 will be used to assess the effect of treatment on physical activity limitation across all time
20 points, with the primary comparison being a formal test of adjusted mean differences between
21 groups at 13 weeks. For the economic (cost-utility) analysis, the primary outcome of clinical
22 effect will be quality-adjusted life years measured across the 12-month follow up using the
23 EQ-5D-5L.

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3 1 Ethics and dissemination: Approved by Curtin University Human Research Ethics Committee
4
5 2 (HRE2018-0062, 6th Feb 2018). Study findings will be disseminated through publication in
6
7 3 peer-reviewed journals and conference presentations.
8
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10 4 Trial Registration: Australian and New Zealand Clinical Trials Register:
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12 5 ACTRN12618001396213
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19 8 **Strengths and limitations of this study:**

- 20
21 9 • The first fully powered study comparing Cognitive Functional Therapy to usual care as
22
23 10 control
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25 11 • Three-arm trial to quantify the added contribution of movement sensor biofeedback to
26
27 12 Cognitive Functional Therapy
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29 13 • Evaluation of whether cognitive or movement changes mediate improvements
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31 14 • Evaluation of economic efficiency in addition to clinical effectiveness
32
33 15 • Full participant and therapist blinding not possible
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42 18 **Keywords**

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44 19 Low back pain, wearable electronic devices, rehabilitation, clinical trial protocol
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1 INTRODUCTION

2
3 Globally, low back pain (LBP) carries the greatest burden of disease in terms of years lived
4 with disability¹. Most people with an episode of LBP improve rapidly, however, many have
5 recurrent pain and some develop chronic LBP (pain lasting >3 months) with high levels of
6 disability². This group of patients is responsible for most of the cost and burden associated
7 with LBP³. The resultant societal costs of chronic LBP are enormous, exceeding that of
8 cancer and diabetes combined^{4 5}, with the majority of these costs being due to loss of work
9 participation and on-going care-seeking. Current care models are failing, with LBP-related
10 disability increasing 45% from 1990 to 2010⁶.

11
12
13 LBP guidelines recommend that patients seeking care for LBP are initially offered simple
14 interventions (e.g. advice and self-management strategies) and, if they do not improve
15 quickly, then other interventions such as anti-inflammatory medication, exercise therapy and
16 manual therapies⁷. For those patients who fail to respond to these interventions, care is often
17 rapidly escalated, to more invasive, expensive, and potentially harmful interventions,
18 including opioids⁸, injections⁹, and surgery¹⁰, which have limited evidence of effectiveness
19 despite carrying substantial risks. Furthermore, these patients frequently undergo expensive
20 imaging, which does not improve outcomes and may actually be detrimental¹¹. There is an
21 urgent need for effective 'second line' primary care interventions for those patients who do
22 not improve with early standard management, in order to reduce chronicity and limit the
23 number of people progressing to secondary care.

24
25 Exercise approaches are the most widely recommended interventions for patients with
26 chronic disabling LBP¹². A number of exercise approaches, including graded activity, Pilates

1 and motor control exercises, have been shown to produce small to moderate effects but with a
2 variable duration of improvements.¹³⁻¹⁶ One aspect this has been attributed to is a lack of
3 individualised management of known psychological barriers to recovery and inadequate
4 targeting of exercise to each individual's specific functional movement limitations.

5
6 Cognitive Functional Therapy (CFT) was developed as a physiotherapist-led, individualised
7 cognitive and behavioural self-management approach to chronic disabling LBP that helps
8 people to: 1) reconceptualise their pain from a biopsychosocial perspective, while dispelling
9 unhelpful beliefs and identifying new cognitive and behavioral responses to pain, 2) build
10 confidence to engage in functional activities related to their goals through functional
11 movement training and 3) adopt a healthy lifestyle by targeting activity avoidance, poor sleep
12 habits, stress management and dietary advice.¹⁷ A Norwegian study of patients with chronic
13 LBP (N=121), found CFT resulted in large sustained effect sizes (12-month standardised
14 effect sizes from 0.7 to 0.9) compared with guideline-recommended manual therapy and
15 exercise.¹⁸ These findings suggest a large, high quality study is now required.

16
17 With advances in technology, movement sensors enable accurate measurement and
18 monitoring of lumbar spine movements outside the research laboratory¹⁹. Wearable
19 movement sensors enable clinicians to precisely measure movement patterns, postures
20 (functional movements) and their relationship to pain, both in the clinical setting but more
21 importantly, during patients' normal activities (work, rest and play) outside the clinic. In
22 addition, movement sensors could help patients to develop an awareness of how they move
23 and the postures they use during normal activities, where changes to these habituated
24 functional movement behaviors are most important. This technology has the potential to
25 increase the effectiveness of therapies aimed at correcting functional movement behaviours.

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2
3 1 A recent pilot RCT (N=112) of patients with chronic LBP showed that individualised
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5 2 rehabilitation, based on addressing functional movement behaviours, combined with
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7 3 biofeedback from wearing wireless movement sensors, resulted in large and sustained clinical
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9 4 improvements compared with guideline-recommended treatment (12-month effect sizes from
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11 5 0.5 to 1.0).²⁰
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17 7 Therefore, this three-arm RCT aims to compare the clinical effectiveness and economic
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19 8 efficiency of individualised CFT, delivered with or without movement sensor biofeedback,
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21 9 with usual care for patients with chronic, disabling LBP.
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11 **METHODS AND ANALYSIS**

12 The RESTORE study is a pragmatic, three-arm, parallel group, superiority RCT comparing
13
14 13 usual care with CFT only and CFT-plus-movement-sensor-biofeedback in patients with
15
16 14 chronic LBP (Figure 1). The trial will be conducted in Perth and Sydney, Australia. Curtin
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18 15 University Human Research Ethics Committee approved the study (HRE2018-0062, 6
19
20 16 February 2018) and the trial is registered with the Australian and New Zealand Clinical Trials
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22 17 Registry (ACTRN12618001396213). The protocol follows the SPIRIT recommendations²¹.
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20 **Participants**

21 We will recruit 492 adult participants who meet these inclusion criteria: a current episode of
22
23 23 LBP lasting more than 3 months; presenting to a primary care clinician at least 6 weeks ago
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25 24 for this episode of LBP; scoring an average LBP intensity of 4 or more on a 0-10 Numerical
26
27 25 Rating Scale²²; and having at least moderate pain-related interference with normal work or
28
29 26 daily activities (measured by item 8 of the SF-36)²³. Patients will be excluded if they have
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31 27 any diagnosed medical conditions that prevent them from being physically active; have a
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1 serious spinal pathology (e.g. fracture, infection, cancer); are pregnant or have given birth
2 within the previous 3 months; have inadequate English to comprehend the study's
3 questionnaires and instructions; have a skin allergy to hypoallergenic band-aid or tape
4 adhesives; or are scheduled for major surgery in the next 3 months. In addition to those
5 inclusion criteria, participants will be informed of the locations of the physiotherapy clinics
6 for the study intervention groups and will only be included in the trial if they are willing to
7 travel for treatment to at least one site delivering either of the possible interventions.

8 9 Patient and public involvement

10 Patients and the Public were not directly involved in the design, recruitment to or conduct of
11 this study. They will be involved in our plans to disseminate the study results to participants
12 and relevant community groups, by assisting in the choice of what information/results to
13 share, and in what format.

14 15 **Recruitment**

16 Trial participants will be recruited via clinicians (e.g. GPs, physiotherapists, pain clinics,
17 surgeons), or directly from the community (e.g. via print media and social media). Clinicians
18 will conduct a preliminary screening of patients with LBP and inform potential trial
19 participants about the study. Those patients who request further information about the study
20 will be provided with a flyer, which directs them to the study website
21 (<https://www.restorebackpain.com/>) where greater study details, including the participant
22 information sheet and consent form, are provided. Potential participants can opt to have the
23 research team contact them or can simply take the study flyer and contact the research team
24 directly.

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3 1 Participants will also be recruited directly from the community, without a health practitioner
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5 2 referral. Information about the trial will be disseminated via social media (including
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7 3 Facebook, LinkedIn, Twitter etc.) and print media (including flyers, newsletters, etc.) which
8
9 4 will direct to the website and the research team.
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14 6 All potential participants will be screened for eligibility over the phone by a researcher prior
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16 7 to inclusion. The researcher will also note in the trial database any reasons for excluding a
17
18 8 referred patient but not any identifying details of that person. Recruitment into RESTORE
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20 9 commenced on 23 October 2018.
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25 26 11 **Consent process**

27
28 12 Consent will be sought from potential participants who meet the inclusion criteria. A
29
30 13 researcher will discuss the trial protocol and offer participants the opportunity to provide
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32 14 consent electronically or by mail (Appendix 1). Electronic consent for the trial will be via a
33
34 15 weblink to an electronic version of the consent form. The consent form also asks patients to
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36 16 indicate whether they are comfortable or not with videos being taken of some treatment
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38 17 sessions. Videos are used to monitor fidelity of the physiotherapist in delivering the
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40 18 individualised rehabilitation as per the study protocol. Participants can withdraw for any
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42 19 reason at any time.
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49 21 All recruited patients will be asked to provide consent for access to their Medicare and
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51 22 Pharmaceutical Benefits Scheme records for the 12-month time period that they are involved
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53 23 in the study. These data will be only used for the analysis of economic efficiency. A paper
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55 24 version of the Federal Department of Human Services-supplied consent form will be sent to
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1 participants for signing and returning via a postage-paid envelope. Declining this consent will
2 not affect eligibility to participate in the clinical effectiveness component of the trial.

3 4 **Baseline assessment**

5 Following informed consent, participants will self-complete the baseline assessment,
6 including patient demographics and outcome measures, via the online database. A researcher
7 will be available by phone if they require assistance. A detailed description of the baseline
8 variables is provided in Table 1.

9 10 **Randomisation**

11 After completing the baseline assessment, dynamic (adaptive) random allocation will be used
12 to randomise participants to treatment groups. Randomisation using a 1:1:1 allocation ratio
13 will be conducted by a research assistant by phoning the NHMRC Clinical Trials Centre (24-
14 hour phone service), thereby ensuring concealment of treatment allocation. The NHMRC
15 Clinical Trials Centre will be blinded to baseline assessment. After randomisation and only
16 for those randomised to the CFT-only and CFT-plus-movement-sensor-biofeedback groups, a
17 research assistant will make an appointment for them with a study clinician at an accessible
18 location in their city.

19 20 **Study treatment**

21 *Group 1: Usual care*

22 This treatment will be the usual care pathway the participant's health providers recommend
23 and/or the participant chooses. Treatment in this group will not be impacted in any way by
24 participation in the study. Participants in this group only will be paid a token reimbursement
25 for their time completing follow-up questionnaires (AU\$30 for the 3-month questionnaire,

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3 1 \$30 for the 12-month questionnaire and an additional \$50 if they complete all the six follow-
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5 2 up questionnaires (3 and 6 week, 3, 6, 9 and 12 month).
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10 4 *Commonalities across the two CFT treatment groups (Groups 2 and 3)*

11 5 Both CFT treatment groups will have the same treatment frequency of seven treatment
12
13 6 sessions over 12 weeks plus a 'booster' session at 26 weeks (initial consultation 60 minutes,
14
15 7 follow ups 30-40 minutes), in physiotherapy clinics. In both groups, clinicians will use a
16
17 8 structured approach to address the relevant cognitive, emotional and behavioural (functional
18
19 9 and lifestyle) factors deemed relevant to the individual's presentation¹⁷.
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26 11 Based on prior screening (Orebro Musculoskeletal Pain Questionnaire and the Patient-
27
28 12 Specific Functional Scale) combined with a comprehensive interview and functional
29
30 13 examination, the clinician will identify the multidimensional contributors to pain, distress and
31
32 14 disability. This will enable the physiotherapist to design a management plan that is tailored to
33
34 15 the person's unique clinical presentation and context.
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40 17 There are three broad components to the intervention:

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42 18 ***Making sense of pain:*** a reflective process that combines the person's own narrative
43
44 19 (interview) and experience (during guided behavioural experiments) to develop a personally-
45
46 20 relevant, multidimensional understanding of pain for the patient. In this process, unhelpful
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48 21 beliefs and responses to pain are disconfirmed, and new helpful cognitive and behavioural
49
50 22 responses (functional and lifestyle) to pain are identified that are linked to their personally
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52 23 relevant goals¹⁷.
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58 25 ***Exposure with 'control':*** a process of behavioural change through experiential learning
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1 following a 'graded exposure' model, designed to challenge expectations of pain and damage
2 consequences via guided behavioural experiments. Specifically, sympathetic nervous system
3 responses (rapid upper chest breathing and body tension) and safety-seeking behaviours
4 (protective muscle guarding, breath-holding, movement avoidance and propping of the hand)
5 that manifest during exposure to painful, feared or avoided functional tasks are explicitly
6 targeted and controlled. This provides patients with strategies to relax, control respiration,
7 normalise postural and movement behaviours that they nominate as painful, feared or
8 avoided. The new strategies are immediately integrated into goal orientated daily activities to
9 build self-efficacy and body conditioning.

10
11 **Lifestyle change:** behavioural modification addressing unhelpful lifestyle factors aimed at
12 increasing physical activity levels based on preference, sleep habits, regulation of stress (via
13 relaxation techniques) and/or dietary advice, where relevant.

14
15 CFT is underpinned by a strong therapeutic alliance and motivational interviewing style
16 (open, non-judgmental, reflective)¹⁷ providing validation and facilitating disclosure^{24 25}. An
17 individualised progressive self-management program will be provided, monitored and
18 progressed that includes cognitive restructuring, progressive functional exercises and lifestyle
19 changes, tailored to the individual's goals.

20
21 All participants in the CFT-only and CFT-plus-movement-sensor-biofeedback groups will
22 wear the movement sensors for the same duration and frequency, but for the CFT-only group,
23 the movement sensors will be a placebo, meaning that the sensors will collect data but neither
24 the patient nor the clinician will have access to it (only the researchers have access). The
25 ViMove2 device (DorsaVi P/L, Melbourne, Australia) consists of miniaturised sensors

1 attached to the lumbar spine with hypoallergenic tape, and communicate wirelessly with a
2 tablet or mobile phone (Figure 2). At all treatment sessions, patients in both CFT groups will
3 perform forward bending in standing and two other clinically-relevant functional movements
4 selected by the physiotherapist based in the patient specific functional scale. All three
5 movements will be repeated three times and data recorded via the movement sensors.

6 7 *Differences across the two CFT treatment groups*

8 *Group 2: Cognitive Functional Therapy only (CFT-only)*

9 Clinicians and patients in this group will be blinded to all movement sensor output by a
10 software block that only allows the sensors to be configured/started and for the data to be
11 automatically uploaded to a secure cloud-based server. Participants will be told the device is
12 being used to collect outcome data.

13 14 *Group 3: CFT-plus-movement-sensor assessment and biofeedback (CFT-plus-movement- 15 sensor-biofeedback)*

16 Clinicians in this group will treat patients with the same CFT approach as in the CFT-only
17 group except that in addition, these clinicians will have access to data measured by the
18 movement sensors and be able to use these data for assessment, movement retraining and
19 providing biofeedback. The identification of clinically relevant functional movement
20 behaviors in this particular treatment group will also be informed by data from the movement
21 sensors that are graphically analysed and displayed by the ViMove2 software (Figure 3).

22
23 This additional information could assist in guiding individualised movement retraining
24 incorporating the following strategies. Firstly, 'live assessment' can assist in identifying
25 unusual kinematic parameters or movement patterns.²⁶ Secondly, 'live training' in the clinic,

1 allows visual interaction by observing real-time kinematic and EMG on-screen data to
2 facilitate changing functional movement behaviours. Thirdly, using the ViMove2 software,
3 clinicians can program movement sensor biofeedback alerts (audio ‘beeps’ and messages via
4 a trial-supplied iPhone) that will reinforce key principles from the treatment session while the
5 participant goes about their normal daily activities for the rest of the day. The device will
6 prompt the patient when they ‘break a movement rule’ that has been programmed for them by
7 the clinician. Individualised movement ‘prompts’ may be time-based, such as reducing long
8 periods of sitting without getting up and moving, or may be kinematically-based, such as
9 reducing sitting in an excessively upright position.

10
11 There is no provision for trial-funded ancillary or post-trial care.

12 13 **Clinician recruitment and training**

14 Depending on recruitment and training success, approximately 16 physiotherapists (8 in each
15 city) will deliver the interventions at private physiotherapy clinics. Each physiotherapist will
16 deliver only one CFT treatment arm, to prevent learning (contamination) from experience
17 using the movement sensor output being applied to the CFT-only patients. Physiotherapists
18 will be randomised into either the CFT-only group or CFT-plus-movement-sensor-
19 biofeedback group. Up to four additional physiotherapists will be recruited and trained in
20 each city to act as reserves if required. For physiotherapists to be considered for inclusion in
21 the training program, they will need to have: at least 2 years clinical experience post-
22 graduation; experience treating people with chronic LBP; an interest in applying
23 biopsychosocial management principles via CFT; a willingness to use movement sensors
24 clinically; less than 4 days of prior exposure to CFT training; and a willingness to be

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3 1 observed and videoed for mentoring and feedback purposes while treating a *non-trial* patient
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5 2 with disabling LBP.
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10 4 The clinician training for both the CFT-only group and CFT-plus-movement-sensor-
11
12 5 biofeedback group will consist of three components: (i) clinical workshops including live
13
14 6 patient demonstrations and mentoring of the physiotherapists while treating patients, (ii)
15
16 7 online resources (e.g. e-book and training videos) and (iii) Facebook private support group
17
18 8 pages.
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22 23 24 10 *CFT training*

25
26 11 Six clinical workshops will be conducted (a two-day workshop every month for 6 months) in
27
28 12 each city where both CFT-only and CFT-plus-movement-sensor-biofeedback groups will
29
30 13 train together. A final single day workshop will be held for each group separately when
31
32 14 clinicians will need to demonstrate a pre-defined level of competency, as evaluated by the
33
34 15 CFT and movement sensor clinical trainers using a structured competency check-list, before
35
36 16 being eligible to deliver the relevant intervention in the trial. The training workshops will
37
38 17 include an initial introductory workshop about CFT with patient involvement, a workshop to
39
40 18 build skills regarding communication and behavioural experiments, and four workshops
41
42 19 involving observation of each physiotherapist examining and treating people with disabling
43
44 20 LBP using CFT. The later four sessions will be observed by the clinical trainers, who will
45
46 21 provide personalised feedback using a competency checklist developed for the training. The
47
48 22 CFT training will be conducted by physiotherapists (POS and JPC) who developed the CFT
49
50 23 approach and have extensive experience using and teaching CFT. Clinical competency will
51
52 24 be assessed in a final one-day workshop, or by ongoing videos of patients if required.
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1 *Movement sensor training*

2 Because the ViMove2 movement sensors are worn by participants in both CFT groups, all
3 participating clinicians will attend a 2-hour technical workshop on setting up and using the
4 ViMove2 devices. This workshop will focus on sensor placement, how to test they are
5 working and how to troubleshoot technical issues. The training will occur after the 6th
6 training workshop and at least 2 weeks before the final single-day workshop. The clinicians
7 in the CFT-plus-movement-sensor-biofeedback group will attend a second 4-hour workshop
8 on accessing and interpreting the movement data (kinematic and EMG) and programming
9 biofeedback. These movement sensor workshops will be conducted by a physiotherapist (RL)
10 with extensive experience using these movement sensors clinically and teaching clinicians in
11 their use. Personalised mentoring by RL will be available over the phone to each
12 physiotherapist for up to five post-hoc reviews of treatment sessions of trial participants.

13 *Ongoing support for both clinician groups*

14 During the trial, private Facebook pages (one on CFT, one on movement sensors for the
15 CFT-only group and one on movement sensors for the CFT-plus-movement-sensor-
16 biofeedback group) and 3-monthly virtual or face-to-face meetings with a clinical trainer will
17 be provided for both clinician groups separately to provide a forum for the discussion of
18 challenges faced when implementing the intervention or with technical issues related to the
19 sensors. The trainers will contribute to the Facebook discussion and 3-monthly meetings.

20 *Treatment fidelity checking*

21 Every seventh participant of each clinician will be selected, and their treatment monitored by
22 the appropriate clinician trainer to ensure ongoing treatment fidelity. If the seventh
23 participant does not consent to this occurring, each subsequent participant of that clinician

1 will be asked until one consents. This process is recommended in the Spillane (2007)²⁷
2 framework for implementation fidelity in trials. This will take the form of video recordings of
3 three consultations (a consultation early in the treatment process, one in the middle and one
4 close to the end of the treatment period) that will be reviewed by a randomly selected
5 clinician trainer (POS, JPC or KOS) with brief feedback provided if required.

7 **Data collection and outcome measures**

8 Data collection will occur at baseline, and at 3, 6, 13, 26, 40 and 52 weeks. Where ever
9 possible, all data will be completed on-line directly into the trial database. Alternatively,
10 patients can complete follow ups over the telephone with a researcher. If participants do not
11 complete follow-ups within 2 day of the scheduled date, they will receive an email reminder
12 and then 2 days later will be contacted by one of the study team. Data collected via the ViMove
13 sensors at each clinical visit will be directly uploaded to a database. A detailed description of
14 the data collected at each time point is presented in Table 1.

16 *Primary outcomes*

17 The primary clinical outcome will be pain-related physical activity limitation measured using
18 the Roland Morris Disability Questionnaire^{28 29} (RMDQ). For the economic efficiency (cost-
19 utility) analysis, the primary outcome of clinical effect will be quality-adjusted life years
20 calculated using the area under the curve approach based on responses to the EQ-5D-5L
21 questionnaire³⁰ across each of the assessment time points.

23 *Secondary outcomes*

24 The secondary outcomes include:

- 25 • Pain intensity (three numeric rating scales)³¹

- 1 • Patient-specific activity limitation (Patient-Specific Functional Scale)³²
- 2 • Pain catastrophisation (Pain Catastrophizing Scale)³³
- 3 • Pain self-efficacy (Pain Self-efficacy Questionnaire)³⁴
- 4 • Fear of movement (physical activity subscale of the Fear Avoidance Beliefs
5 Questionnaire)³⁵
- 6 • Patient-perceived global improvement (1 question)³⁶
- 7 • Patient satisfaction with care and treatment (1 question)³⁷
- 8 • Adverse events (defined as any morbidity or events causing unwarranted distress to a
9 participant that were potentially related to any trial-related intervention). Clinicians and
10 follow-up questionnaires will inquire about any adverse events.
- 11 • Lumbosacral movement will be measured in both CFT treatment groups using ViMove2
12 wearable wireless sensors and used in the mediation analysis.
- 13 • Direct health costs attributable to consumption of health care resources (measured using
14 extracts from Medicare and Pharmaceutical Benefits databases and direct patient reports)
15 and productivity costs (measured using the iMTA Productivity Cost Questionnaire³⁸).

17 **Sample size calculation**

18 The sample size was calculated for the primary outcome using the program STATA. A total
19 of 492 patients (164 per group) will be recruited to detect a difference of 2 points (0-24 scale)
20 on the RMDQ between the CFT-only group and CFT-plus-movement-sensor-biofeedback
21 group, $p < 0.05$, 80% power, a common standard deviation of 6 points and a worst-case
22 scenario of 20% drop-out rate. Based on our pilot study results^{20 39}, we hypothesise that the
23 CFT-plus-movement-sensor-biofeedback group would have an average score of 7.5 points on
24 the RMDQ and the Usual care group would have a score of 11.5 points. Pragmatically and
25 arbitrarily, we assume the CFT-only group will have a mean outcome that is half-way (9.5)

1 between the other two groups and so we will power the trial to detect this as the smallest
2 likely between-group difference ($11.5-9.5=2.0$).

4 **Blinding**

5 Patients will not be informed of any anticipated results of the trial and will be told that the
6 trial is comparing usual care to two evidence-based interventions. All outcome measures will
7 be either self-reported by patients via web-based questionnaires or collected via the
8 movement sensors or MBS/PBS registers. Unblinded clinicians will deliver only type of one
9 treatment and play no role in collecting data, other than performing a standardised movement
10 protocol with the resultant movement data being automatically uploaded by the sensors to a
11 server without clinician input. Statisticians will be blind to groups.

13 **Statistical analysis**

14 Almost all participant-reported data will be entered directly into an electronic database,
15 where range values are automatically checked. In addition, all data will be checked for range
16 values and outliers prior to analysis.

18 *Treatment efficacy analysis*

19 Repeated-measure linear mixed models will be used to assess the effect of treatment on pain-
20 related physical activity limitation across all time points (3, 6, 13, 26, 40 and 52 weeks), with
21 the primary comparison being a formal test of adjusted mean differences between groups at
22 13 weeks using intention-to-treat principles. Appropriate sensitivity analyses will be
23 performed on multiple imputed datasets. Estimates of treatment effect will be adjusted for
24 baseline scores of symptom duration, pain intensity, activity limitation (RMDQ score),
25 treatment expectations and significant clinician cluster effects.

1 The secondary outcome measures will be evaluated using the equivalent repeated-measure
2 linear mixed models.

3 4 *Analysis of economic efficiency*

5 Direct healthcare and indirect (productivity) costs incurred by participants will be measured
6 over the 12-month follow-up period. Direct health costs will be collected using Medicare
7 Benefits Scheme (MBS) and Pharmaceutical Benefits Scheme (PBS) database extractions,
8 and patient questionnaires to capture other health care costs (e.g. hospitalisations). Indirect
9 health costs (e.g. travel to appointments) and productivity costs (including absenteeism and
10 presenteeism) will also be captured in the 3-monthly patient questionnaires. Productivity
11 costs will be measured using the 'iMTA Productivity Cost Questionnaire'. Productivity costs
12 measured at specific time points will be extrapolated to the full one-year period using an area
13 under the curve approach. All costs will be calculated using a 2019-2020 financial base year.
14 Hospital costs will be valued using the National Weighted Activity Unit calculators for the
15 2019-2020 year.

16
17 An incremental cost-utility analysis will calculate the difference in costs between intervention
18 and control groups divided by the difference in quality-adjusted life years. Incremental cost-
19 utility analyses will be undertaken from societal (primary analysis) and health service
20 (secondary analysis) perspectives. There will also be analyses undertaken for valuation of
21 productivity costs using human capital (primary analysis) and friction (secondary analysis)
22 methods. Bootstrap resampling (2000 replications of original sample size) will be used to
23 generate a 95% confidence ellipse surrounding the incremental cost-utility estimate. Cost-
24 effectiveness acceptability curve analyses will be undertaken if the intervention is not found
25 to dominate the control condition.

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Moderation analysis

7 3 To investigate if treatment effect is moderated by cognitive flexibility, baseline activity
8 4 limitation, baseline pain, catastrophisation or self-efficacy (all groups), the interaction term
9 5 between the potential moderator and the treatment group variable will be assessed in the
10 6 repeated-measure linear mixed models for pain-related activity limitation and pain intensity
11 7 described above. Only in the CFT groups, similar moderation analysis will also occur using
12 8 the STaRT MSK Tool^{40 41} (measured at baseline), therapeutic alliance⁴² (measured at 3
13 9 weeks) and participant-rated adherence to the treatment program measured at weeks 3, 6 and
14 10 12 with a study-specific single question ('How would you rate your adherence to the
15 11 treatment program your physiotherapist has recommended?' 0-10 no adherence to complete
16 12 adherence).

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Mediation analysis

15 15 To investigate whether improvement in patients' activity limitation was mediated by
16 16 correction of habituated functional movement behaviors, or changing patient's pain-related
17 17 cognitions and emotions, a multilevel structural equation model framework will be utilised.
18 18 Investigation of the mediation roles of cognitions and emotions will occur using data from all
19 19 patients; whereas, investigation of the mediation roles of change in movement will occur
20 20 using data from only patients in the CFT groups. Results will be expressed as standardised
21 21 estimates of mediated treatment effect with bootstrapped 95% confidence intervals.

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Monitoring:

24 24 Because this is not a drug trial and the funder has no access to the data, a data monitoring
25 25 committee will not be formed and there is no planned trial audit. This does not preclude the

1 administering institution choosing to conduct an audit. There will be no interim analysis and,
2 due to the very low risk of harm, there are no stopping guidelines.

3 4 **Ethics and dissemination:**

5 This study will be conducted in accordance with the Therapeutic Goods Administration's
6 Note for Guidance on Good Clinical Practice, the NHMRC National Statement on Ethical
7 Conduct in Human Research and the Australian Code for the Responsible Conduct of
8 Research.

9
10 Authorship will be based on the Vancouver Convention⁴³ and no professional writers will be
11 involved.

12
13 Any protocol amendments will be detailed in the trial registration
14 (ACTRN12618001396213).

15
16 Metadata and appropriate copies of publications will be deposited in the Curtin University
17 eSpace, which is an open access digital repository.

18
19 Results will be disseminated via publications in peer-reviewed scientific journals, popular
20 press articles, social media and presentations to scientific and general public audiences.

21
22 De-identified data and statistical code will be made available on request soon after each
23 report of the data has been published. Different aspects of the data will be published
24 separately, which will determine when those data are publicly available. A data-sharing

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3 1 agreement will require a commitment to using the data only for specified research purposes,
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5 2 to securing the data appropriately and to destroying the data after a nominated period.
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10 4 **Acknowledgments**
11

12 5 The investigators acknowledge the National Health & Medical Research Council (grant
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14 6 number 1145271).
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Authors' contributions:

PK and MH wrote the initial draft manuscript. All authors (PK, POS, AS, TH, AC, AM, JH, KOS, AV, JPC, RS, RL, SA and MH), contributed to and revised subsequent drafts and approved the final version.

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Competing interests statement:

JPC, KOS and POS deliver continuing education workshops on Cognitive Functional Therapy, for which they receive honoraria. The authors declare no other competing interests.

Figure legends:

Figure 1: Flow chart

Figure 2: Placement of the ViMove2 movement sensors

Figure 3: Example movement data (flexion) graphically analysed and displayed by the ViMove2 software

Protocol version:

Version 1, 12 April 2019

Table 1: Trial data collected and their purpose

Construct	Measure	Time points (weeks)	Purpose
Age	Date of birth	0	Describe population
Sex	Male/Female	0	Describe population
Duration of episode	Weeks	0	Describe population
Duration since care-seeking	Weeks	0	Describe population
Previous lifetime episodes	Number	0	Describe population
Height	Centimeters	0	Describe population
Weight	Kilograms	0	Describe population
Education	Categorical	0	Describe population
Current role	Categorical	0	Describe population
Employed	Yes/No	0	Describe population and analysis of economic efficiency
Occupation	Open text	0	Describe population and analysis of economic efficiency
Hours working	Hours	0	Describe population and analysis of economic efficiency
Days working	Days	0	Describe population and analysis of economic efficiency
Sick leave last 3/12	Yes/No	0, 12, 26, 40 and 52	Describe population and analysis of economic efficiency
Days of sick leave 3/12	Days	0, 12, 26, 40 and 52	Describe population and analysis of economic efficiency
Pain-related physical activity limitation	Roland Morris Disability Questionnaire ⁴⁴	0, 3, 6, 12, 26, 40 and 52	Describe population, primary outcome, analysis of economic efficiency

Functional limitation	Patient-Specific Functional Scale ⁴⁵	0, 3, 6, 12, 26, 40 and 52	Secondary outcome
Pain intensity	Numeric Pain Rating Scales ³¹	0, 3, 6, 12, 26, 40 and 52	Describe population, secondary outcome
Fear avoidance beliefs	Fear Avoidance Beliefs Questionnaire (physical activity sub-scale) ³⁵	0, 12, 26, 40 and 52	Describe population, secondary outcome, mediator
Analgaesic use	Participant self-report text box	0	Describe population, secondary outcome (when matched to 12-month Pharmaceutical Benefits Scheme data)
Catastrophising	Pain Catastrophizing Scale ³³	0, 3, 6, 12, 26, 40 and 52	Describe population, secondary outcome, mediator and moderator
Pain self-efficacy	Pain Self-efficacy Questionnaire ³⁴	0, 3, 6, 13, 26, 40 and 52	Describe population, secondary outcome, mediator and moderator
Quality-adjusted life years	EuroQOL EQ-5D-5L ⁴⁶	0, 12, 26, 40 and 52	Analysis of economic efficiency outcome
Treatment expectations	A tailored question, based on Rofail, Myers and Froggatt 2016 ⁴⁷	0 (post-randomisation)	Clinical effectiveness baseline covariate
Confidence in intervention	A tailored question, based on Rofail, Myers and Froggatt 2016 ⁴⁷	3 (CFT groups only)	Mediator
Cognitive flexibility	Cognitive Flexibility Inventory ⁴⁸	0	Moderator
Therapeutic alliance	Working Alliance/Theory of Change Inventory ⁴²	3 (CFT groups only)	Moderator
Risk stratification	STarT MSK Tool ⁴¹	0	Moderator
Patient-perceived global improvement	Tailored question, based on Kamper et. al. 2009 recommendations ⁴⁹	12, 26, 40 and 52	Secondary outcome
Satisfaction with care and treatment	Tailored question, based on Client Satisfaction Questionnaire ⁵⁰	12	Secondary outcome

Productivity costs	iMTA Productivity Cost Questionnaire ⁵¹ (iPCQ)	12, 26, 40 and 52	Analysis of economic efficiency
Direct health costs attributable to consumption of health care resources	Extracts from Medicare and Pharmaceutical Benefits Scheme databases and direct patient report	12, 26, 40 and 52	Analysis of economic efficiency
Functional movement	Wearable wireless sensors (DorsaVi P/L)	Every consultation (CFT groups only)	Mediator
Adverse events	Tailored question, based on recommendations of the CIOMS Working Group VI ⁵²	3, 6, 12, 26, 40, 52 and every consultation	Monitoring adverse events

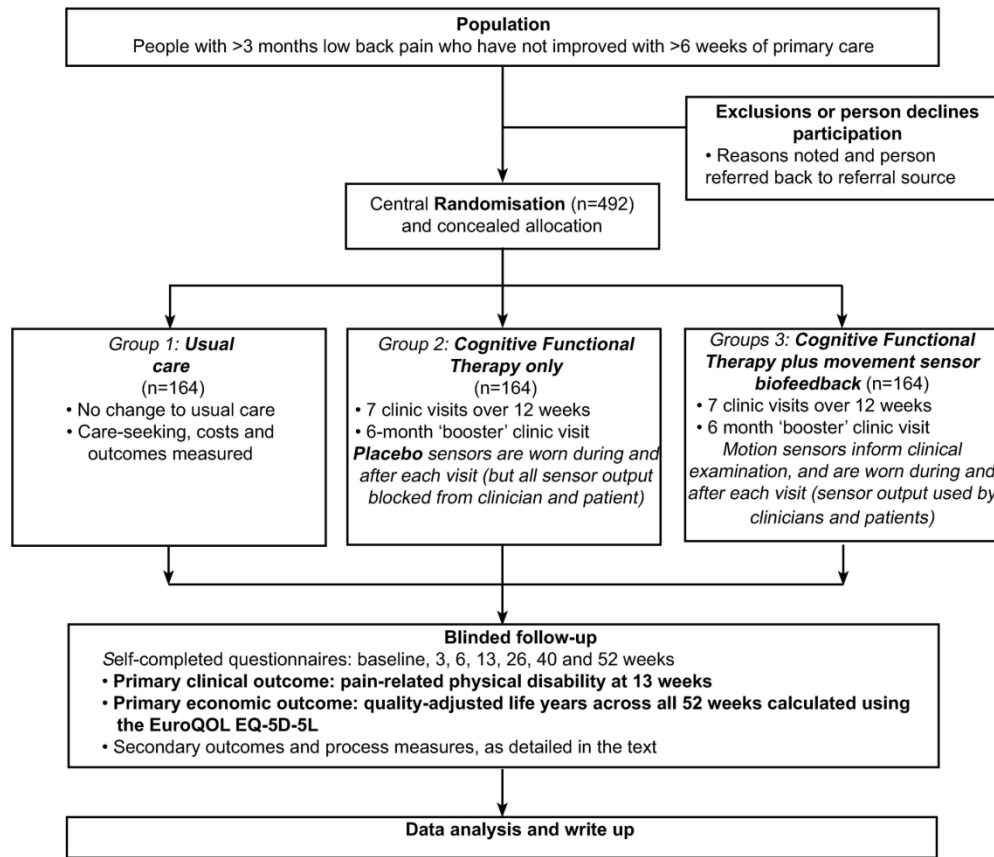
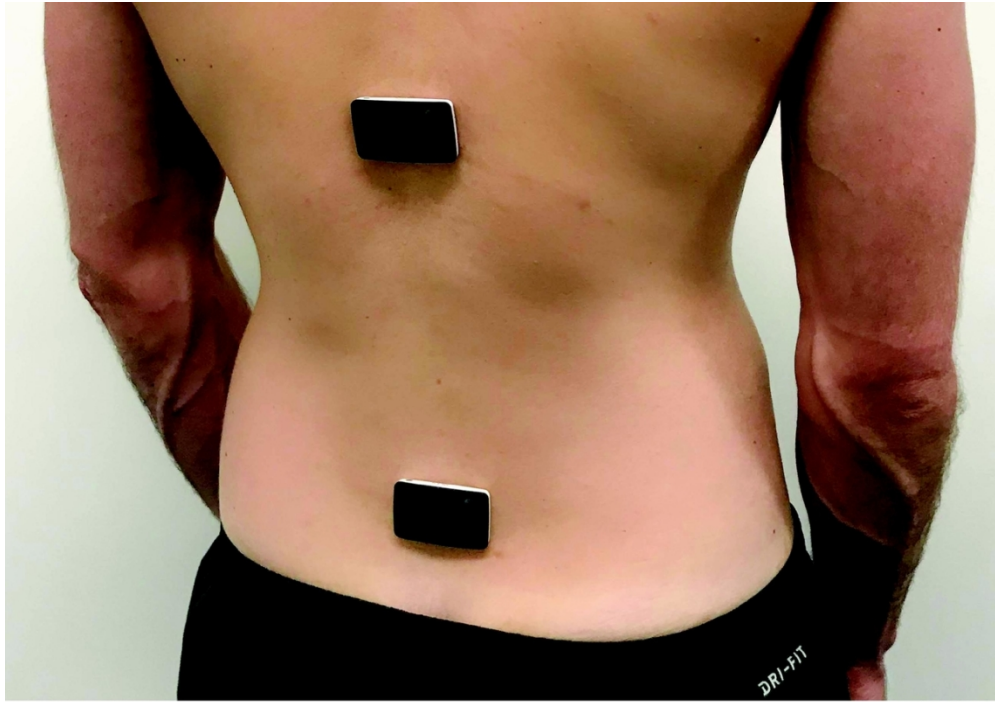


Figure 1: Flow chart

173x154mm (300 x 300 DPI)



Hypoallergenic over-wraps are applied when used during normal daily activities. When EMG sensors are included, they are placed paraspinally at the L3 level.

Figure 2: Placement of the ViMove2 movement sensors

109x91mm (300 x 300 DPI)

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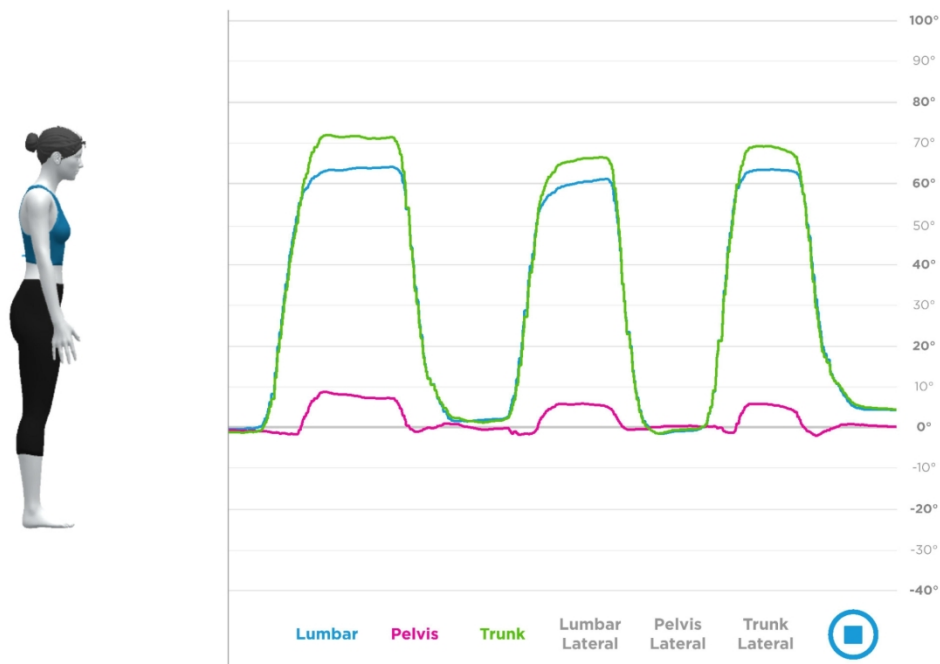


Figure 3: Example movement data (flexion) graphically analysed and displayed by the ViMove2 software

175x119mm (300 x 300 DPI)

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Appendix 1: Consent form (paper version)

RESTORE clinical trial

CONSENT FORM

Curtin University Human Research Ethics Committee (HREC) has approved this study (HREC number HRE2018-0062). Should you wish to discuss the study with someone not directly involved, in particular, any matters concerning the conduct of the study or your rights as a participant, or you wish to make a confidential complaint, you may contact the Ethics Officer on (08) 9266 9223 or the Manager, Research Integrity on (08) 9266 7093 or email hrec@curtin.edu.au

HREC Project Number	
Project Title	'RESTORE - Individualised movement rehabilitation for chronic, disabling low back pain'
Principal Investigator	Associate Professor Peter Kent, PhD
Version Number	Version 7
Version Date	22 November 2018

- I have read the Participant Information Sheet and I understand its contents
- I believe I understand the purpose, extent and possible risks of my involvement in this project.
- I voluntarily consent to take part in this research project and I know I can refuse or withdraw at any time.
- I have had an opportunity to ask questions and I am satisfied with the answers I have received.
- I understand that this project has been approved by Curtin University Human Research Ethics Committee and will be carried out in line with the National Statement on Ethical Conduct in Human Research (2007) – updated May 2015.
- I consent to the storage and use of my information in future ethically-approved research projects.
- If I have been advised not to exercise, I consent to having a Research Assistant contact my GP to clarify whether participating in this project will be appropriate for me.
- If I am receiving third-party compensation due to my low back pain, I consent to having a Research Assistant contact my case manager to clarify whether participating in this project will be appropriate for me.
- I understand that I will receive a copy of this Consent Form and the Participant Information Sheet.
- I understand that if I am in one of the individualised rehabilitation groups, there is a random 1 in 7 chance that three of my treatment sessions might be selected to be potentially videoed. The purpose is to ensure that my physiotherapist is delivering the individualised rehabilitation in the ideal way. If I am selected, I do / do not give permission (please tick the preferred answer) for up to three of my treatment sessions being videoed for that purpose, understanding that I may participate in the trial regardless of the way I answer this:
- Yes, I give permission No, I do not give permission
- I understand that I have the option of consenting to the use of my Medicare / Pharmaceutical Benefits data for the 12 months period of my involvement in the trial and that if I agree to this option, I will be mailed a consent form for my signature. I tick my preferred answer below, understanding that I may participate in the trial regardless of the way I answer this:
- Yes, I will give permission No, I will not give permission
- If yes, please provide a postal address to which we can post the consent form:

Participant Name	
Participant Signature	
Date	

RESTORE clinical trial

Declaration by researcher: I have supplied a Participant Information Sheet and Consent Form to the participant who has signed above, and believe they understand the purpose, extent and possible risks of their involvement in this project.

Researcher Name	
Researcher Signature	
Date	

Reporting checklist for protocol of a clinical trial.

		Reporting Item	Page Number
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	P1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	P4
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	Throughout manuscript
Protocol version	#3	Date and version identifier	P28
Funding	#4	Sources and types of financial, material, and other support	P28
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	P28
Roles and responsibilities: sponsor contact information	#5b	Name and contact information for the trial sponsor	P28
Roles and responsibilities: sponsor and funder	#5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	P28
Roles and responsibilities: committees	#5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	P21

1	Background and	#6a	Description of research question and justification for	P5
2	rationale		undertaking the trial, including summary of relevant	
3			studies (published and unpublished) examining	
4			benefits and harms for each intervention	
5				
6				
7	Background and	#6b	Explanation for choice of comparators	P6
8	rationale: choice of			
9	comparators			
10				
11				
12				
13	Objectives	#7	Specific objectives or hypotheses	P6, P7
14				
15	Trial design	#8	Description of trial design including type of trial (eg,	P7
16			parallel group, crossover, factorial, single group),	
17			allocation ratio, and framework (eg, superiority,	
18			equivalence, non-inferiority, exploratory)	
19				
20				
21				
22	Study setting	#9	Description of study settings (eg, community clinic,	P8, P11
23			academic hospital) and list of countries where data	
24			will be collected. Reference to where list of study	
25			sites can be obtained	
26				
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28				
29	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If	P7, P8
30			applicable, eligibility criteria for study centres and	
31			individuals who will perform the interventions (eg,	
32			surgeons, psychotherapists)	
33				
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35				
36	Interventions:	#11a	Interventions for each group with sufficient detail to	P10-14
37	description		allow replication, including how and when they will	
38			be administered	
39				
40				
41	Interventions:	#11b	Criteria for discontinuing or modifying allocated	P9
42	modifications		interventions for a given trial participant (eg, drug	
43			dose change in response to harms, participant	
44			request, or improving / worsening disease)	
45				
46				
47				
48	Interventions:	#11c	Strategies to improve adherence to intervention	P17
49	adherence		protocols, and any procedures for monitoring	
50			adherence (eg, drug tablet return; laboratory tests)	
51				
52				
53	Interventions:	#11d	Relevant concomitant care and interventions that are	P8
54	concomitant care		permitted or prohibited during the trial	
55				
56				
57	Outcomes	#12	Primary, secondary, and other outcomes, including	P17,
58			the specific measurement variable (eg, systolic blood	
59				
60				

1		pressure), analysis metric (eg, change from baseline,	Table 1
2		final value, time to event), method of aggregation	
3		(eg, median, proportion), and time point for each	
4		outcome. Explanation of the clinical relevance of	
5		chosen efficacy and harm outcomes is strongly	
6		recommended	
7			
8			
9			
10	Participant timeline	#13 Time schedule of enrolment, interventions (including	Figure 1,
11		any run-ins and washouts), assessments, and visits	Table 1
12		for participants. A schematic diagram is highly	
13		recommended (see Figure)	
14			
15			
16			
17	Sample size	#14 Estimated number of participants needed to achieve	P18
18		study objectives and how it was determined,	
19		including clinical and statistical assumptions	
20		supporting any sample size calculations	
21			
22			
23	Recruitment	#15 Strategies for achieving adequate participant	P8, P9
24		enrolment to reach target sample size	
25			
26			
27	Allocation:	#16a Method of generating the allocation sequence (eg,	P10
28	sequence generation	computer-generated random numbers), and list of any	
29		factors for stratification. To reduce predictability of a	
30		random sequence, details of any planned restriction	
31		(eg, blocking) should be provided in a separate	
32		document that is unavailable to those who enrol	
33		participants or assign interventions	
34			
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38	Allocation	#16b Mechanism of implementing the allocation sequence	P10
39	concealment	(eg, central telephone; sequentially numbered,	
40	mechanism	opaque, sealed envelopes), describing any steps to	
41		conceal the sequence until interventions are assigned	
42			
43			
44			
45	Allocation:	#16c Who will generate the allocation sequence, who will	P10
46	implementation	enrol participants, and who will assign participants to	
47		interventions	
48			
49			
50			
51	Blinding (masking)	#17a Who will be blinded after assignment to interventions	P19
52		(eg, trial participants, care providers, outcome	
53		assessors, data analysts), and how	
54			
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1	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	Allocation is not
2	emergency			blinded to trial staff
3	unblinding			
4				
5				
6	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	P17, Table 1
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19	Data collection	#18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	P17
20	plan: retention			
21				
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26	Data management	#19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	P19
27				
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36	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	P19
37				
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41				
42	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	P21
43	analyses			
44				
45				
46	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	P19
47	population and			
48	missing data			
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53	Data monitoring:	#21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further	P21
54	formal committee			
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1 details about its charter can be found, if not in the
 2 protocol. Alternatively, an explanation of why a
 3 DMC is not needed
 4

5	Data monitoring:	#21b	Description of any interim analyses and stopping	P22
6	interim analysis		guidelines, including who will have access to these	
7			interim results and make the final decision to	
8			terminate the trial	
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12	Harms	#22	Plans for collecting, assessing, reporting, and	P31
13			managing solicited and spontaneously reported	
14			adverse events and other unintended effects of trial	
15			interventions or trial conduct	
16				
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19	Auditing	#23	Frequency and procedures for auditing trial conduct,	P21
20			if any, and whether the process will be independent	
21			from investigators and the sponsor	
22				
23				
24	Research ethics	#24	Plans for seeking research ethics committee /	P7
25	approval		institutional review board (REC / IRB) approval	
26				
27				
28	Protocol	#25	Plans for communicating important protocol	P22
29	amendments		modifications (eg, changes to eligibility criteria,	
30			outcomes, analyses) to relevant parties (eg,	
31			investigators, REC / IRBs, trial participants, trial	
32			registries, journals, regulators)	
33				
34				
35				
36	Consent or assent	#26a	Who will obtain informed consent or assent from	P9
37			potential trial participants or authorised surrogates,	
38			and how (see Item 32)	
39				
40				
41				
42	Consent or assent:	#26b	Additional consent provisions for collection and use	Appendix 1
43	ancillary studies		of participant data and biological specimens in	
44			ancillary studies, if applicable	
45				
46				
47	Confidentiality	#27	How personal information about potential and	P22
48			enrolled participants will be collected, shared, and	
49			maintained in order to protect confidentiality before,	
50			during, and after the trial	
51				
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54	Declaration of	#28	Financial and other competing interests for principal	P28
55	interests		investigators for the overall trial and each study site	
56				
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1	Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	P28
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6	Ancillary and post	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	P14. No provision for compensation, as this type of physiotherapy is a very low risk intervention.
7	trial care			
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15	Dissemination	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	P22
16	policy: trial results			
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25	Dissemination	#31b	Authorship eligibility guidelines and any intended use of professional writers	P22
26	policy: authorship			
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29	Dissemination	#31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	P22
30	policy: reproducible			
31	research			
32				
33				
34	Informed consent	#32	Model consent form and other related documentation given to participants and authorised surrogates	Appendix 1
35	materials			
36				
37				
38	Biological	#33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a. No biological data collected
39	specimens			
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BMJ Open

RESTORE – Cognitive Functional Therapy with or without movement sensor biofeedback versus usual care for chronic, disabling low back pain: study protocol for a randomised controlled trial

Journal:	<i>BMJ Open</i>
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Primary Subject Heading:	Rehabilitation medicine
Secondary Subject Heading:	Health economics
Keywords:	Low back pain, wearable devices, rehabilitation, clinical trial protocol

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3 **RESTORE – Cognitive Functional Therapy with or without movement sensor**
4 **biofeedback versus usual care for chronic, disabling low back pain: study protocol**
5 **for a randomised controlled trial**
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10 **Peter Kent**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
11 Perth, Australia

12 **Peter O’Sullivan**, PhD, School of Physiotherapy and Exercise Science, Curtin
13 University, Perth, Australia

14 **Anne Smith**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
15 Perth, Australia

16 **Terry Haines**, PhD, School of Primary and Allied Health Care, Monash University,
17 Melbourne, Australia

18 **Amity Campbell**, PhD, School of Physiotherapy and Exercise Science, Curtin
19 University, Perth, Australia

20 **Alison McGregor**, PhD, Department of Surgery & Cancer, Imperial College, London,
21 UK

22 **Jan Hartvigsen**, PhD, Department of Sports Science and Clinical Biomechanics,
23 University of Southern Denmark, Odense, Denmark; Nordic Institute of Chiropractic
24 and Clinical Biomechanics, Odense, Denmark

25 **Kieran O’Sullivan**, PhD, Aspetar Orthopaedic and Sports Medicine Hospital, Doha;
26 School of Allied Health, University of Limerick, Limerick, Ireland

27 **Alistair Vickery**, MBBS, University of Western Australia, Perth, Australia

28 **J.P. Caneiro**, PhD, School of Physiotherapy and Exercise Science, Curtin University,
29 Perth, Australia

30 **Robert Schutze**, PhD, School of Physiotherapy and Exercise Science, Curtin
31 University,
32 Perth, Australia

33 **Robert Laird**, PhD, Superspine, Melbourne, Australia

34 **Stephanie Attwell**, PhD, Department of Health Professions, Macquarie University,
35 Sydney, Australia

36 **Mark Hancock**, PhD, Department of Health Professions, Macquarie University,
37 Sydney, Australia

38 **Correspondence to: Peter Kent**
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1
2
3 Contact address: School of Physiotherapy and Exercise Science, Curtin University,
4 Kent Street, Bentley, Western Australia, Australia, 6102

5
6 Email address: peter.kent@curtin.edu.au
7
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10 **Word count:** 4557
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For peer review only

1 **ABSTRACT**

2 Introduction: Low Back Pain (LBP) is the leading cause of disability globally and its costs
3 exceed those of cancer and diabetes combined. Recent evidence suggests that individualised
4 cognitive and movement rehabilitation combined with lifestyle advice (Cognitive Functional
5 Therapy (CFT)) may produce larger and more sustained effects than traditional approaches,
6 and movement sensor biofeedback may enhance outcomes. Therefore, this three-arm
7 randomised controlled trial (RCT) aims to compare the clinical effectiveness and economic
8 efficiency of individualised CFT delivered with or without movement sensor biofeedback,
9 with usual care for patients with chronic, disabling LBP.

10 Methods and analysis: Pragmatic, three-arm, randomised, parallel group, superiority RCT
11 comparing usual care (n=164) with CFT (n=164) and CFT-plus-movement-sensor-
12 biofeedback (n=164). Inclusion criteria include: adults with a current episode of LBP >3
13 months; sought primary care ≥ 6 weeks ago for this episode of LBP; average LBP intensity of
14 ≥ 4 (0-10 scale); at least moderate pain-related interference with work or daily activities. The
15 CFT only and CFT-plus-movement-sensor-biofeedback participants will receive seven
16 treatment sessions over 12 weeks plus a 'booster' session at 26 weeks. All participants will be
17 assessed at baseline, 3, 6, 13, 26, 40 and 52 weeks. The primary outcome is pain-related
18 physical activity limitation (Roland Morris Disability Questionnaire). Linear mixed models
19 will be used to assess the effect of treatment on physical activity limitation across all time
20 points, with the primary comparison being a formal test of adjusted mean differences between
21 groups at 13 weeks. For the economic (cost-utility) analysis, the primary outcome of clinical
22 effect will be quality-adjusted life years measured across the 12-month follow up using the
23 EQ-5D-5L.

1
2
3 1 Ethics and dissemination: Approved by Curtin University Human Research Ethics Committee
4
5 2 (HRE2018-0062, 6th Feb 2018). Study findings will be disseminated through publication in
6
7 3 peer-reviewed journals and conference presentations.
8
9

10 4 Trial Registration: Australian and New Zealand Clinical Trials Register:
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12 5 ACTRN12618001396213
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19 8 **Strengths and limitations of this study:**

- 20
21 9 • The first fully powered study comparing Cognitive Functional Therapy to usual care as
22
23 10 control
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25 11 • Three-arm trial to quantify the added contribution of movement sensor biofeedback to
26
27 12 Cognitive Functional Therapy
28
29 13 • Evaluation of whether cognitive or movement changes mediate improvements
30
31 14 • Evaluation of economic efficiency in addition to clinical effectiveness
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33 15 • Full participant and therapist blinding not possible
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42 18 **Keywords**

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44 19 Low back pain, wearable electronic devices, rehabilitation, clinical trial protocol
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1 INTRODUCTION

2
3 Globally, low back pain (LBP) carries the greatest burden of disease in terms of years lived
4 with disability¹. Most people with an episode of LBP improve rapidly, however, many have
5 recurrent pain and some develop chronic LBP (pain lasting >3 months) with high levels of
6 disability². This group of patients is responsible for most of the cost and burden associated
7 with LBP³. The resultant societal costs of chronic LBP are enormous, exceeding that of
8 cancer and diabetes combined^{4 5}, with the majority of these costs being due to loss of work
9 participation and on-going care-seeking. Current care models are failing, with LBP-related
10 disability increasing 45% from 1990 to 2010⁶.

11
12
13 LBP guidelines recommend that patients seeking care for LBP are initially offered simple
14 interventions (e.g. advice and self-management strategies) and, if they do not improve
15 quickly, then other interventions such as anti-inflammatory medication, exercise therapy and
16 manual therapies⁷. For those patients who fail to respond to these interventions, care is often
17 rapidly escalated, to more invasive, expensive, and potentially harmful interventions,
18 including opioids⁸, injections⁹, and surgery¹⁰, which have limited evidence of effectiveness
19 despite carrying substantial risks. Furthermore, these patients frequently undergo expensive
20 imaging, which does not improve outcomes and may actually be detrimental¹¹. There is an
21 urgent need for effective 'second line' primary care interventions for those patients who do
22 not improve with early standard management, in order to reduce chronicity and limit the
23 number of people progressing to secondary care.

24
25 Exercise approaches are the most widely recommended interventions for patients with
26 chronic disabling LBP¹². A number of exercise approaches, including graded activity, Pilates

1 and motor control exercises, have been shown to produce small to moderate effects but with a
2 variable duration of improvements.¹³⁻¹⁶ One aspect this has been attributed to is a lack of
3 individualised management of known psychological barriers to recovery and inadequate
4 targeting of exercise to each individual's specific functional movement limitations.

5
6 Cognitive Functional Therapy (CFT) was developed as a physiotherapist-led, individualised
7 cognitive and behavioural self-management approach to chronic disabling LBP that helps
8 people to: 1) reconceptualise their pain from a biopsychosocial perspective, while dispelling
9 unhelpful beliefs and identifying new cognitive and behavioral responses to pain, 2) build
10 confidence to engage in functional activities related to their goals through functional
11 movement training and 3) adopt a healthy lifestyle by targeting activity avoidance, poor sleep
12 habits, stress management and dietary advice.¹⁷ A Norwegian study of patients with chronic
13 LBP (N=121), found CFT resulted in large sustained effect sizes (12-month standardised
14 effect sizes from 0.7 to 0.9) compared with guideline-recommended manual therapy and
15 exercise.¹⁸ These findings suggest a large, high quality study is now required.

16
17 With advances in technology, movement sensors enable accurate measurement and
18 monitoring of lumbar spine movements outside the research laboratory¹⁹. Wearable
19 movement sensors enable clinicians to precisely measure movement patterns, postures
20 (functional movements) and their relationship to pain, both in the clinical setting but more
21 importantly, during patients' normal activities (work, rest and play) outside the clinic. In
22 addition, movement sensors could help patients to develop an awareness of how they move
23 and the postures they use during normal activities, where changes to these habituated
24 functional movement behaviors are most important. This technology has the potential to
25 increase the effectiveness of therapies aimed at correcting functional movement behaviours.

1 A recent pilot RCT (N=112) of patients with chronic LBP showed that individualised
2 rehabilitation, based on addressing functional movement behaviours, combined with
3 biofeedback from wearing wireless movement sensors, resulted in large and sustained clinical
4 improvements compared with guideline-recommended treatment (12-month effect sizes from
5 0.5 to 1.0).²⁰

6
7 Therefore, this three-arm RCT aims to compare the clinical effectiveness and economic
8 efficiency of individualised CFT, delivered with or without movement sensor biofeedback,
9 with usual care for patients with chronic, disabling LBP.

10

11 **METHODS AND ANALYSIS**

12 The RESTORE study is a pragmatic, three-arm, parallel group, superiority RCT comparing
13 usual care with CFT only and CFT-plus-movement-sensor-biofeedback in patients with
14 chronic LBP (Figure 1). The trial will be conducted in Perth and Sydney, Australia. Curtin
15 University Human Research Ethics Committee approved the study (HRE2018-0062, 6
16 February 2018) and the trial is registered with the Australian and New Zealand Clinical Trials
17 Registry (ACTRN12618001396213). The protocol follows the SPIRIT recommendations²¹.

18

19

20 **Participants**

21 We will recruit 492 adult participants who meet these inclusion criteria: a current episode of
22 non-specific LBP lasting more than 3 months (including cases with leg pain); presenting to a
23 primary care clinician at least 6 weeks ago for this episode of LBP; scoring an average LBP
24 intensity of 4 or more on a 0-10 Numerical Rating Scale²²; and having at least moderate pain-
25 related interference with normal work or daily activities (measured by item 8 of the SF-36)²³.

26 Patients will be excluded if they have any diagnosed medical conditions that prevent them

27

1 from being physically active; have a serious spinal pathology (e.g. fracture, infection,
2 cancer); are pregnant or have given birth within the previous 3 months; have inadequate
3 English to comprehend the study's questionnaires and instructions; have a skin allergy to
4 hypoallergenic band-aid or tape adhesives; or are scheduled for major surgery in the next 3
5 months. In addition to those inclusion criteria, participants will be informed of the locations
6 of the physiotherapy clinics for the study intervention groups and will only be included in the
7 trial if they are willing to travel for treatment to at least one site delivering either of the
8 possible interventions.

9 Patient and public involvement

10 Patients and the Public were not directly involved in the design, recruitment to or conduct of
11 this study. They will be involved in our plans to disseminate the study results to participants
12 and relevant community groups, by assisting in the choice of what information/results to
13 share, and in what format.

14 **Recruitment**

15 Trial participants will be recruited via clinicians (e.g. GPs, physiotherapists, pain clinics,
16 surgeons), or directly from the community (e.g. via print media and social media). Clinicians
17 will conduct a preliminary screening of patients with LBP and inform potential trial
18 participants about the study. Those patients who request further information about the study
19 will be provided with a flyer, which directs them to the study website
20 (<https://www.restorebackpain.com/>) where greater study details, including the participant
21 information sheet and consent form, are provided. Potential participants can opt to have the
22 research team contact them or can simply take the study flyer and contact the research team
23 directly.

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5 2 Participants will also be recruited directly from the community, without a health practitioner
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7 3 referral. Information about the trial will be disseminated via social media (including
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9 4 Facebook, LinkedIn, Twitter etc.) and print media (including flyers, newsletters, etc.) which
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11 5 will direct to the website and the research team.
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17 7 All potential participants will be screened for eligibility over the phone by a researcher prior
18
19 8 to inclusion. The researcher will also note in the trial database any reasons for excluding a
20
21 9 referred patient but not any identifying details of that person. Recruitment into RESTORE
22
23 10 commenced on 23 October 2018.
24
25
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28

29 12 **Consent process**

30
31 13 Consent will be sought from potential participants who meet the inclusion criteria. A
32
33 14 researcher will discuss the trial protocol and offer participants the opportunity to provide
34
35 15 consent electronically or by mail (Appendix 1). Electronic consent for the trial will be via a
36
37 16 weblink to an electronic version of the consent form. The consent form also asks patients to
38
39 17 indicate whether they are comfortable or not with videos being taken of some treatment
40
41 18 sessions. Videos are used to monitor fidelity of the physiotherapist in delivering the
42
43 19 individualised rehabilitation as per the study protocol. Participants can withdraw for any
44
45 20 reason at any time.
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51 22 All recruited patients will be asked to provide consent for access to their Medicare and
52
53 23 Pharmaceutical Benefits Scheme records for the 12-month time period that they are involved
54
55 24 in the study. These data will be only used for the analysis of economic efficiency. A paper
56
57 25 version of the Federal Department of Human Services-supplied consent form will be sent to
58
59
60

1 participants for signing and returning via a postage-paid envelope. Declining this consent will
2 not affect eligibility to participate in the clinical effectiveness component of the trial.

3 4 **Baseline assessment**

5 Following informed consent, participants will self-complete the baseline assessment,
6 including patient demographics and outcome measures, via the online database. A researcher
7 will be available by phone if they require assistance. A detailed description of the baseline
8 variables is provided in Table 1.

9 10 **Randomisation**

11 After completing the baseline assessment, dynamic (adaptive) random allocation will be used
12 to randomise participants to treatment groups. Randomisation using a 1:1:1 allocation ratio
13 will be conducted by a research assistant by phoning the NHMRC Clinical Trials Centre (24-
14 hour phone service), thereby ensuring concealment of treatment allocation. The NHMRC
15 Clinical Trials Centre will be blinded to baseline assessment. After randomisation and only
16 for those randomised to the CFT-only and CFT-plus-movement-sensor-biofeedback groups, a
17 research assistant will make an appointment for them with a study clinician at an accessible
18 location in their city.

19 20 **Study treatment**

21 *Group 1: Usual care*

22 This treatment will be the usual care pathway the participant's health providers recommend
23 and/or the participant chooses. Treatment in this group will not be impacted in any way by
24 participation in the study. Participants in this group only will be paid a token reimbursement
25 for their time completing follow-up questionnaires (AU\$30 for the 3-month questionnaire,

1 \$30 for the 12-month questionnaire and an additional \$50 if they complete all the six follow-
2 up questionnaires (3 and 6 week, 3, 6, 9 and 12 month).

3 4 *Commonalities across the two CFT treatment groups (Groups 2 and 3)*

5 Both CFT treatment groups will have the same treatment frequency of seven treatment
6 sessions over 12 weeks plus a 'booster' session at 26 weeks (initial consultation 60 minutes,
7 follow ups 30-40 minutes), in physiotherapy clinics. In both groups, clinicians will use a
8 structured approach to address the relevant cognitive, emotional and behavioural (functional
9 and lifestyle) factors deemed relevant to the individual's presentation¹⁷.

10
11 Based on prior screening (Orebro Musculoskeletal Pain Questionnaire and the Patient-
12 Specific Functional Scale) combined with a comprehensive interview and functional
13 examination, the clinician will identify the multidimensional contributors to pain, distress and
14 disability. This will enable the physiotherapist to design a management plan that is tailored to
15 the person's unique clinical presentation and context.

16
17 There are three broad components to the intervention:

18 ***Making sense of pain:*** a reflective process that combines the person's own narrative
19 (interview) and experience (during guided behavioural experiments) to develop a personally-
20 relevant, multidimensional understanding of pain for the patient. In this process, unhelpful
21 beliefs and responses to pain are disconfirmed, and new helpful cognitive and behavioural
22 responses (functional and lifestyle) to pain are identified that are linked to their personally
23 relevant goals¹⁷.

24
25 ***Exposure with 'control':*** a process of behavioural change through experiential learning

1 following a 'graded exposure' model, designed to challenge expectations of pain and damage
2 consequences via guided behavioural experiments. Specifically, sympathetic nervous system
3 responses (rapid upper chest breathing and body tension) and safety-seeking behaviours
4 (protective muscle guarding, breath-holding, movement avoidance and propping of the hand)
5 that manifest during exposure to painful, feared or avoided functional tasks are explicitly
6 targeted and controlled. This provides patients with strategies to relax, control respiration,
7 normalise postural and movement behaviours that they nominate as painful, feared or
8 avoided. The new strategies are immediately integrated into goal orientated daily activities to
9 build self-efficacy and body conditioning.

10
11 **Lifestyle change:** behavioural modification addressing unhelpful lifestyle factors aimed at
12 increasing physical activity levels based on preference, sleep habits, regulation of stress (via
13 relaxation techniques) and/or dietary advice, where relevant.

14
15 CFT is underpinned by a strong therapeutic alliance and motivational interviewing style
16 (open, non-judgmental, reflective)¹⁷ providing validation and facilitating disclosure^{24 25}. An
17 individualised progressive self-management program will be provided, monitored and
18 progressed that includes cognitive restructuring, progressive functional exercises and lifestyle
19 changes, tailored to the individual's goals.

20
21 All participants in the CFT-only and CFT-plus-movement-sensor-biofeedback groups will
22 wear the movement sensors for the same duration and frequency, but for the CFT-only group,
23 the movement sensors will be a placebo, meaning that the sensors will collect data but neither
24 the patient nor the clinician will have access to it (only the researchers have access). The
25 ViMove2 device (DorsaVi P/L, Melbourne, Australia) consists of miniaturised sensors

1 attached to the lumbar spine with hypoallergenic tape, and communicate wirelessly with a
2 tablet or mobile phone (Figure 2). At all treatment sessions, patients in both CFT groups will
3 perform forward bending in standing and two other clinically-relevant functional movements
4 selected by the physiotherapist based in the patient specific functional scale. All three
5 movements will be repeated three times and data recorded via the movement sensors.

6 7 *Differences across the two CFT treatment groups*

8 *Group 2: Cognitive Functional Therapy only (CFT-only)*

9 Clinicians and patients in this group will be blinded to all movement sensor output by a
10 software block that only allows the sensors to be configured/started and for the data to be
11 automatically uploaded to a secure cloud-based server. Participants will be told the device is
12 being used to collect outcome data.

13 14 *Group 3: CFT-plus-movement-sensor assessment and biofeedback (CFT-plus-movement- 15 sensor-biofeedback)*

16 Clinicians in this group will treat patients with the same CFT approach as in the CFT-only
17 group except that in addition, these clinicians will have access to data measured by the
18 movement sensors and be able to use these data for assessment, movement retraining and
19 providing biofeedback. The identification of clinically relevant functional movement
20 behaviors in this particular treatment group will also be informed by data from the movement
21 sensors that are graphically analysed and displayed by the ViMove2 software (Figure 3).

22
23 This additional information could assist in guiding individualised movement retraining
24 incorporating the following strategies. Firstly, ‘live assessment’ can assist in identifying
25 unusual kinematic parameters or movement patterns.²⁶ Secondly, ‘live training’ in the clinic,

1 allows visual interaction by observing real-time kinematic and EMG on-screen data to
2 facilitate changing functional movement behaviours. Thirdly, using the ViMove2 software,
3 clinicians can program movement sensor biofeedback alerts (audio ‘beeps’ and messages via
4 a trial-supplied iPhone) that will reinforce key principles from the treatment session while the
5 participant goes about their normal daily activities for the rest of the day. The device will
6 prompt the patient when they ‘break a movement rule’ that has been programmed for them by
7 the clinician. Individualised movement ‘prompts’ may be time-based, such as reducing long
8 periods of sitting without getting up and moving, or may be kinematically-based, such as
9 reducing sitting in an excessively upright position.

10
11 There is no provision for trial-funded ancillary or post-trial care.

12 13 **Clinician recruitment and training**

14 Depending on recruitment and training success, approximately 16 physiotherapists (8 in each
15 city) will deliver the interventions at private physiotherapy clinics. Each physiotherapist will
16 deliver only one CFT treatment arm, to prevent learning (contamination) from experience
17 using the movement sensor output being applied to the CFT-only patients. Physiotherapists
18 will be randomised into either the CFT-only group or CFT-plus-movement-sensor-
19 biofeedback group. Up to four additional physiotherapists will be recruited and trained in
20 each city to act as reserves if required. For physiotherapists to be considered for inclusion in
21 the training program, they will need to have: at least 2 years clinical experience post-
22 graduation; experience treating people with chronic LBP; an interest in applying
23 biopsychosocial management principles via CFT; a willingness to use movement sensors
24 clinically; less than 4 days of prior exposure to CFT training; and a willingness to be

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3 1 observed and videoed for mentoring and feedback purposes while treating a *non-trial* patient
4
5 2 with disabling LBP.
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9
10 4 The clinician training for both the CFT-only group and CFT-plus-movement-sensor-
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12 5 biofeedback group will consist of three components: (i) clinical workshops including live
13
14 6 patient demonstrations and mentoring of the physiotherapists while treating patients, (ii)
15
16 7 online resources (e.g. e-book and training videos) and (iii) Facebook private support group
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18 8 pages.
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21 9

22 23 24 10 *CFT training*

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26 11 Six clinical workshops will be conducted (a two-day workshop every month for 6 months) in
27
28 12 each city where both CFT-only and CFT-plus-movement-sensor-biofeedback groups will
29
30 13 train together. A final single day workshop will be held for each group separately when
31
32 14 clinicians will need to demonstrate a pre-defined level of competency, as evaluated by the
33
34 15 CFT and movement sensor clinical trainers using a structured competency check-list, before
35
36 16 being eligible to deliver the relevant intervention in the trial. The training workshops will
37
38 17 include an initial introductory workshop about CFT with patient involvement, a workshop to
39
40 18 build skills regarding communication and behavioural experiments, and four workshops
41
42 19 involving observation of each physiotherapist examining and treating people with disabling
43
44 20 LBP using CFT. The later four sessions will be observed by the clinical trainers, who will
45
46 21 provide personalised feedback using a competency checklist developed for the training. The
47
48 22 CFT training will be conducted by physiotherapists (POS and JPC) who developed the CFT
49
50 23 approach and have extensive experience using and teaching CFT. Clinical competency will
51
52 24 be assessed in a final one-day workshop, or by ongoing videos of patients if required.
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1 *Movement sensor training*

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3 Because the ViMove2 movement sensors are worn by participants in both CFT groups, all
4
5 participating clinicians will attend a 2-hour technical workshop on setting up and using the
6
7 ViMove2 devices. This workshop will focus on sensor placement, how to test they are
8
9 working and how to troubleshoot technical issues. The training will occur after the 6th
10
11 training workshop and at least 2 weeks before the final single-day workshop. The clinicians
12
13 in the CFT-plus-movement-sensor-biofeedback group will attend a second 4-hour workshop
14
15 on accessing and interpreting the movement data (kinematic and EMG) and programming
16
17 biofeedback. These movement sensor workshops will be conducted by a physiotherapist (RL)
18
19 with extensive experience using these movement sensors clinically and teaching clinicians in
20
21 their use. Personalised mentoring by RL will be available over the phone to each
22
23 physiotherapist for up to five post-hoc reviews of treatment sessions of trial participants.
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33 *Ongoing support for both clinician groups*

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35 During the trial, private Facebook pages (one on CFT, one on movement sensors for the
36
37 CFT-only group and one on movement sensors for the CFT-plus-movement-sensor-
38
39 biofeedback group) and 3-monthly virtual or face-to-face meetings with a clinical trainer will
40
41 be provided for both clinician groups separately to provide a forum for the discussion of
42
43 challenges faced when implementing the intervention or with technical issues related to the
44
45 sensors. The trainers will contribute to the Facebook discussion and 3-monthly meetings.
46
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51 *Treatment fidelity checking*

52
53 Every seventh participant of each clinician will be selected, and their treatment monitored by
54
55 the appropriate clinician trainer to ensure ongoing treatment fidelity. If the seventh
56
57 participant does not consent to this occurring, each subsequent participant of that clinician
58
59
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1 will be asked until one consents. This process is recommended in the Spillane (2007)²⁷
2 framework for implementation fidelity in trials. This will take the form of video recordings of
3 three consultations (a consultation early in the treatment process, one in the middle and one
4 close to the end of the treatment period) that will be reviewed by a randomly selected
5 clinician trainer (POS, JPC or KOS) with brief feedback provided if required.

7 **Data collection and outcome measures**

8 Data collection will occur at baseline, and at 3, 6, 13, 26, 40 and 52 weeks. Where ever
9 possible, all data will be completed on-line directly into the trial database. Alternatively,
10 patients can complete follow ups over the telephone with a researcher. If participants do not
11 complete follow-ups within 2 day of the scheduled date, they will receive an email reminder
12 and then 2 days later will be contacted by one of the study team. Data collected via the ViMove
13 sensors at each clinical visit will be directly uploaded to a database. A detailed description of
14 the data collected at each time point is presented in Table 1.

16 *Primary outcomes*

17 The primary clinical outcome will be pain-related physical activity limitation measured using
18 the Roland Morris Disability Questionnaire^{28 29} (RMDQ). For the economic efficiency (cost-
19 utility) analysis, the primary outcome of clinical effect will be quality-adjusted life years
20 calculated using the area under the curve approach based on responses to the EQ-5D-5L
21 questionnaire³⁰ across each of the assessment time points.

23 *Secondary outcomes*

24 The secondary outcomes include:

- 25 • Pain intensity (three numeric rating scales)³¹

- 1 • Patient-specific activity limitation (Patient-Specific Functional Scale)³²
- 2 • Pain catastrophisation (Pain Catastrophizing Scale)³³
- 3 • Pain self-efficacy (Pain Self-efficacy Questionnaire)³⁴
- 4 • Fear of movement (physical activity subscale of the Fear Avoidance Beliefs Questionnaire)³⁵
- 6 • Patient-perceived global improvement (1 question)³⁶
- 7 • Patient satisfaction with care and treatment (1 question)³⁷
- 8 • Adverse events (defined as any morbidity or events causing unwarranted distress to a participant that were potentially related to any trial-related intervention). Clinicians and follow-up questionnaires will inquire about any adverse events.
- 11 • Lumbosacral movement will be measured in both CFT treatment groups using ViMove2 wearable wireless sensors and used in the mediation analysis.
- 13 • Direct health costs attributable to consumption of health care resources (measured using extracts from Medicare and Pharmaceutical Benefits databases and direct patient reports) and productivity costs (measured using the iMTA Productivity Cost Questionnaire³⁸).

17 **Sample size calculation**

18 The sample size was calculated for the primary outcome using the program STATA. A total
19 of 492 patients (164 per group) will be recruited to detect a difference of 2 points (0-24 scale)
20 on the RMDQ between the CFT-only group and CFT-plus-movement-sensor-biofeedback
21 group, $p < 0.05$, 80% power, a common standard deviation of 6 points and a worst-case
22 scenario of 20% drop-out rate. Based on our pilot study results^{20 39}, we hypothesise that the
23 CFT-plus-movement-sensor-biofeedback group would have an average score of 7.5 points on
24 the RMDQ and the Usual care group would have a score of 11.5 points. Pragmatically and
25 arbitrarily, we assume the CFT-only group will have a mean outcome that is half-way (9.5)

1 between the other two groups and so we will power the trial to detect this as the smallest
2 likely between-group difference ($11.5-9.5=2.0$).

4 **Blinding**

5 Patients will not be informed of any anticipated results of the trial and will be told that the
6 trial is comparing usual care to two evidence-based interventions. All outcome measures will
7 be either self-reported by patients via web-based questionnaires or collected via the
8 movement sensors or MBS/PBS registers. Unblinded clinicians will deliver only type of one
9 treatment and play no role in collecting data, other than performing a standardised movement
10 protocol with the resultant movement data being automatically uploaded by the sensors to a
11 server without clinician input. Statisticians will be blind to groups.

13 **Statistical analysis**

14 Almost all participant-reported data will be entered directly into an electronic database,
15 where range values are automatically checked. In addition, all data will be checked for range
16 values and outliers prior to analysis.

18 *Treatment efficacy analysis*

19 Repeated-measure linear mixed models will be used to assess the effect of treatment on pain-
20 related physical activity limitation across all time points (3, 6, 13, 26, 40 and 52 weeks), with
21 the primary comparison being a formal test of adjusted mean differences between groups at
22 13 weeks using intention-to-treat principles. Appropriate sensitivity analyses will be
23 performed on multiple imputed datasets. Estimates of treatment effect will be adjusted for
24 baseline scores of symptom duration, pain intensity, activity limitation (RMDQ score),
25 treatment expectations and significant clinician cluster effects.

1
2
3 1 The secondary outcome measures will be evaluated using the equivalent repeated-measure
4
5 2 linear mixed models.
6
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8 3

9
10 4 As widely recommended, we will focus on reporting the size of the effect and its uncertainty
11
12 5 (including describing compatibility intervals and p-values) rather than making judgements
13
14 6 based on an arbitrary p-value threshold⁴⁰⁻⁴². In the papers that report the outcomes of this
15
16 7 clinical trial, effect sizes will be discussed relative to those obtained by other interventions in
17
18 8 comparable populations.
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22 23 24 10 *Analysis of economic efficiency*

25
26 11 Direct healthcare and indirect (productivity) costs incurred by participants will be measured
27
28 12 over the 12-month follow-up period. Direct health costs will be collected using Medicare
29
30 13 Benefits Scheme (MBS) and Pharmaceutical Benefits Scheme (PBS) database extractions,
31
32 14 and patient questionnaires to capture other health care costs (e.g. hospitalisations). Indirect
33
34 15 health costs (e.g. travel to appointments) and productivity costs (including absenteeism and
35
36 16 presenteeism) will also be captured in the 3-monthly patient questionnaires. Productivity
37
38 17 costs will be measured using the 'iMTA Productivity Cost Questionnaire'. Productivity costs
39
40 18 measured at specific time points will be extrapolated to the full one-year period using an area
41
42 19 under the curve approach. All costs will be calculated using a 2019-2020 financial base year.
43
44 20 Hospital costs will be valued using the National Weighted Activity Unit calculators for the
45
46 21 2019-2020 year.
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53 23 An incremental cost-utility analysis will calculate the difference in costs between intervention
54
55 24 and control groups divided by the difference in quality-adjusted life years. Incremental cost-
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57 25 utility analyses will be undertaken from societal (primary analysis) and health service
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1 (secondary analysis) perspectives. There will also be analyses undertaken for valuation of
2 productivity costs using human capital (primary analysis) and friction (secondary analysis)
3 methods. Bootstrap resampling (2000 replications of original sample size) will be used to
4 generate a 95% confidence ellipse surrounding the incremental cost-utility estimate. Cost-
5 effectiveness acceptability curve analyses will be undertaken if the intervention is not found
6 to dominate the control condition.

7 8 Moderation analysis

9 To investigate if treatment effect is moderated by cognitive flexibility, baseline activity
10 limitation, baseline pain, catastrophisation or self-efficacy (all groups), the interaction term
11 between the potential moderator and the treatment group variable will be assessed in the
12 repeated-measure linear mixed models for pain-related activity limitation and pain intensity
13 described above. Only in the CFT groups, similar moderation analysis will also occur using
14 the STaRT MSK Tool^{43 44} (measured at baseline), therapeutic alliance⁴⁵ (measured at 3
15 weeks) and participant-rated adherence to the treatment program measured at weeks 3, 6 and
16 12 with a study-specific single question ('How would you rate your adherence to the
17 treatment program your physiotherapist has recommended?' 0-10 no adherence to complete
18 adherence).

19 20 Mediation analysis

21 To investigate whether improvement in patients' activity limitation was mediated by
22 correction of habituated functional movement behaviors, or changing patient's pain-related
23 cognitions and emotions, a multilevel structural equation model framework will be utilised.
24 Investigation of the mediation roles of cognitions and emotions will occur using data from all
25 patients; whereas, investigation of the mediation roles of change in movement will occur

1 using data from only patients in the CFT groups. Results will be expressed as standardised
2 estimates of mediated treatment effect with bootstrapped 95% confidence intervals.

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10 **Monitoring:**

11 Because this is not a drug trial and the funder has no access to the data, a data monitoring
12 committee will not be formed and there is no planned trial audit. This does not preclude the
13 administering institution choosing to conduct an audit. There will be no interim analysis and,
14 due to the very low risk of harm, there are no stopping guidelines.

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24 **Ethics and dissemination:**

25 This study will be conducted in accordance with the Therapeutic Goods Administration's
26 Note for Guidance on Good Clinical Practice, the NHMRC National Statement on Ethical
27 Conduct in Human Research and the Australian Code for the Responsible Conduct of
28 Research.

29 Authorship will be based on the Vancouver Convention⁴⁶ and no professional writers will be
30 involved.

31 Any protocol amendments will be detailed in the trial registration
32 (ACTRN12618001396213).

33 Metadata and appropriate copies of publications will be deposited in the Curtin University
34 eSpace, which is an open access digital repository.

1 Results will be disseminated via publications in peer-reviewed scientific journals, popular
2 press articles, social media and presentations to scientific and general public audiences.
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10 **Data sharing**

11 De-identified data and statistical code will be made available on request soon after each
12 report of the data has been published. Different aspects of the data will be published
13 separately, which will determine when those data are publicly available. A data-sharing
14 agreement will require a commitment to using the data only for specified research purposes,
15 to securing the data appropriately and to destroying the data after a nominated period.
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28 number 1145271).
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For peer review only

Authors' contributions:

PK and MH wrote the initial draft manuscript. All authors (PK, POS, AS, TH, AC, AM, JH, KOS, AV, JPC, RS, RL, SA and MH), contributed to and revised subsequent drafts and approved the final version.

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Competing interests statement:

JPC, KOS and POS deliver continuing education workshops on Cognitive Functional Therapy, for which they receive honoraria. The authors declare no other competing interests.

Figure legends:

Figure 1: Flow chart

Figure 2: Placement of the ViMove2 movement sensors

Figure 3: Example movement data (flexion) graphically analysed and displayed by the ViMove2 software

Protocol version:

Version 1, 12 April 2019

Table 1: Trial data collected and their purpose

Construct	Measure	Time points (weeks)	Purpose
Age	Date of birth	0	Describe population
Sex	Male/Female	0	Describe population
Duration of episode	Weeks	0	Describe population
Duration since care-seeking	Weeks	0	Describe population
Previous lifetime episodes	Number	0	Describe population
Height	Centimeters	0	Describe population
Weight	Kilograms	0	Describe population
Education	Categorical	0	Describe population
Current role	Categorical	0	Describe population
Employed	Yes/No	0	Describe population and analysis of economic efficiency
Occupation	Open text	0	Describe population and analysis of economic efficiency
Hours working	Hours	0	Describe population and analysis of economic efficiency
Days working	Days	0	Describe population and analysis of economic efficiency
Sick leave last 3/12	Yes/No	0, 12, 26, 40 and 52	Describe population and analysis of economic efficiency
Days of sick leave 3/12	Days	0, 12, 26, 40 and 52	Describe population and analysis of economic efficiency
Pain-related physical activity limitation	Roland Morris Disability Questionnaire ⁴⁷	0, 3, 6, 12, 26, 40 and 52	Describe population, primary outcome, analysis of economic efficiency

Functional limitation	Patient-Specific Functional Scale ⁴⁸	0, 3, 6, 12, 26, 40 and 52	Secondary outcome
Pain intensity	Numeric Pain Rating Scales ³¹	0, 3, 6, 12, 26, 40 and 52	Describe population, secondary outcome
Fear avoidance beliefs	Fear Avoidance Beliefs Questionnaire (physical activity sub-scale) ³⁵	0, 12, 26, 40 and 52	Describe population, secondary outcome, mediator
Analgaesic use	Participant self-report text box	0	Describe population, secondary outcome (when matched to 12-month Pharmaceutical Benefits Scheme data)
Catastrophising	Pain Catastrophizing Scale ³³	0, 3, 6, 12, 26, 40 and 52	Describe population, secondary outcome, mediator and moderator
Pain self-efficacy	Pain Self-efficacy Questionnaire ³⁴	0, 3, 6, 13, 26, 40 and 52	Describe population, secondary outcome, mediator and moderator
Quality-adjusted life years	EuroQOL EQ-5D-5L ⁴⁹	0, 12, 26, 40 and 52	Analysis of economic efficiency outcome
Treatment expectations	A tailored question, based on Rofail, Myers and Froggatt 2016 ⁵⁰	0 (post-randomisation)	Clinical effectiveness baseline covariate
Confidence in intervention	A tailored question, based on Rofail, Myers and Froggatt 2016 ⁵⁰	3 (CFT groups only)	Mediator
Cognitive flexibility	Cognitive Flexibility Inventory ⁵¹	0	Moderator
Therapeutic alliance	Working Alliance/Theory of Change Inventory ⁴⁵	3 (CFT groups only)	Moderator
Risk stratification	STarT MSK Tool ⁴⁴	0	Moderator
Patient-perceived global improvement	Tailored question, based on Kamper et. al. 2009 recommendations ⁵²	12, 26, 40 and 52	Secondary outcome
Satisfaction with care and treatment	Tailored question, based on Client Satisfaction Questionnaire ⁵³	12	Secondary outcome

Productivity costs	iMTA Productivity Cost Questionnaire ⁵⁴ (iPCQ)	12, 26, 40 and 52	Analysis of economic efficiency
Direct health costs attributable to consumption of health care resources	Extracts from Medicare and Pharmaceutical Benefits Scheme databases and direct patient report	12, 26, 40 and 52	Analysis of economic efficiency
Functional movement	Wearable wireless sensors (DorsaVi P/L)	Every consultation (CFT groups only)	Mediator
Adverse events	Tailored question, based on recommendations of the CIOMS Working Group VI ⁵⁵	3, 6, 12, 26, 40, 52 and every consultation	Monitoring adverse events

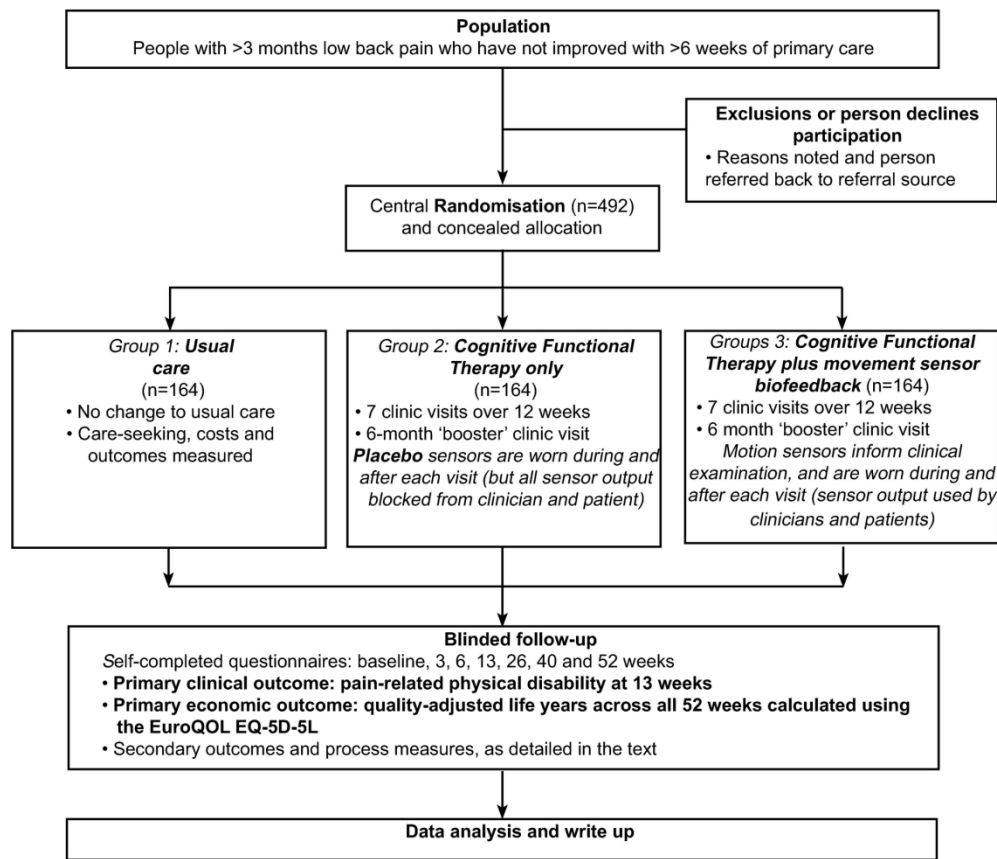
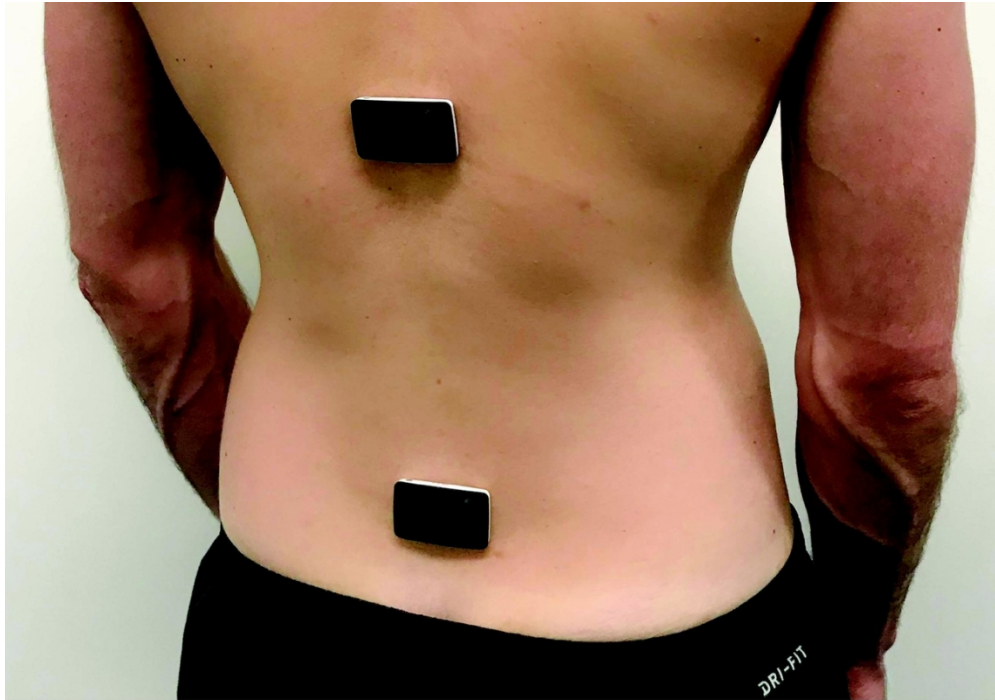


Figure 1: Flow chart

173x154mm (300 x 300 DPI)



Hypoallergenic over-wraps are applied when used during normal daily activities. When EMG sensors are included, they are placed paraspinally at the L3 level.

Figure 2: Placement of the ViMove2 movement sensors

109x91mm (300 x 300 DPI)

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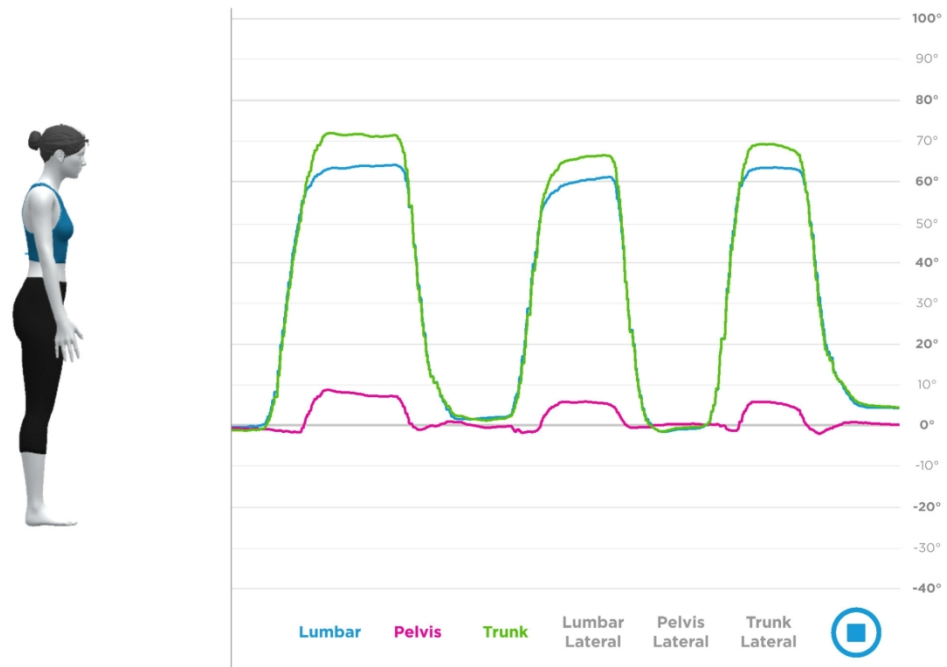


Figure 3: Example movement data (flexion) graphically analysed and displayed by the ViMove2 software
175x119mm (300 x 300 DPI)

Appendix 1: Consent form (paper version)

RESTORE clinical trial

CONSENT FORM

Curtin University Human Research Ethics Committee (HREC) has approved this study (HREC number HRE2018-0062). Should you wish to discuss the study with someone not directly involved, in particular, any matters concerning the conduct of the study or your rights as a participant, or you wish to make a confidential complaint, you may contact the Ethics Officer on (08) 9266 9223 or the Manager, Research Integrity on (08) 9266 7093 or email hrec@curtin.edu.au

HREC Project Number	
Project Title	'RESTORE - Individualised movement rehabilitation for chronic, disabling low back pain'
Principal Investigator	Associate Professor Peter Kent, PhD
Version Number	Version 7
Version Date	22 November 2018

- I have read the Participant Information Sheet and I understand its contents
- I believe I understand the purpose, extent and possible risks of my involvement in this project.
- I voluntarily consent to take part in this research project and I know I can refuse or withdraw at any time.
- I have had an opportunity to ask questions and I am satisfied with the answers I have received.
- I understand that this project has been approved by Curtin University Human Research Ethics Committee and will be carried out in line with the National Statement on Ethical Conduct in Human Research (2007) – updated May 2015.
- I consent to the storage and use of my information in future ethically-approved research projects.
- If I have been advised not to exercise, I consent to having a Research Assistant contact my GP to clarify whether participating in this project will be appropriate for me.
- If I am receiving third-party compensation due to my low back pain, I consent to having a Research Assistant contact my case manager to clarify whether participating in this project will be appropriate for me.
- I understand that I will receive a copy of this Consent Form and the Participant Information Sheet.
- I understand that if I am in one of the individualised rehabilitation groups, there is a random 1 in 7 chance that three of my treatment sessions might be selected to be potentially videoed. The purpose is to ensure that my physiotherapist is delivering the individualised rehabilitation in the ideal way. If I am selected, I do / do not give permission (please tick the preferred answer) for up to three of my treatment sessions being videoed for that purpose, understanding that I may participate in the trial regardless of the way I answer this:
 - Yes, I give permission No, I do not give permission
- I understand that I have the option of consenting to the use of my Medicare / Pharmaceutical Benefits data for the 12 months period of my involvement in the trial and that if I agree to this option, I will be mailed a consent form for my signature. I tick my preferred answer below, understanding that I may participate in the trial regardless of the way I answer this:
 - Yes, I will give permission No, I will not give permission
 - If yes, please provide a postal address to which we can post the consent form:

Participant Name	
Participant Signature	
Date	

RESTORE clinical trial

Declaration by researcher: I have supplied a Participant Information Sheet and Consent Form to the participant who has signed above, and believe they understand the purpose, extent and possible risks of their involvement in this project.

Researcher Name	
Researcher Signature	
Date	

Reporting checklist for protocol of a clinical trial.

		Reporting Item	Page Number
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	P1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	P4
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	Throughout manuscript
Protocol version	#3	Date and version identifier	P28
Funding	#4	Sources and types of financial, material, and other support	P28
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	P28
Roles and responsibilities: sponsor contact information	#5b	Name and contact information for the trial sponsor	P28
Roles and responsibilities: sponsor and funder	#5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	P28
Roles and responsibilities: committees	#5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or	P21

1			groups overseeing the trial, if applicable (see	
2			Item 21a for data monitoring committee)	
3				
4	Background and	#6a	Description of research question and	P5
5	rationale		justification for undertaking the trial, including	
6			summary of relevant studies (published and	
7			unpublished) examining benefits and harms	
8			for each intervention	
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12	Background and	#6b	Explanation for choice of comparators	P6
13	rationale: choice of			
14	comparators			
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18	Objectives	#7	Specific objectives or hypotheses	P6, P7
19				
20				
21	Trial design	#8	Description of trial design including type of	P7
22			trial (eg, parallel group, crossover, factorial,	
23			single group), allocation ratio, and framework	
24			(eg, superiority, equivalence, non-inferiority,	
25			exploratory)	
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29	Study setting	#9	Description of study settings (eg, community	P8, P11
30			clinic, academic hospital) and list of countries	
31			where data will be collected. Reference to	
32			where list of study sites can be obtained	
33				
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36	Eligibility criteria	#10	Inclusion and exclusion criteria for	P7, P8
37			participants. If applicable, eligibility criteria for	
38			study centres and individuals who will perform	
39			the interventions (eg, surgeons,	
40			psychotherapists)	
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45	Interventions:	#11a	Interventions for each group with sufficient	P10-14
46	description		detail to allow replication, including how and	
47			when they will be administered	
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51	Interventions:	#11b	Criteria for discontinuing or modifying	P9
52	modifications		allocated interventions for a given trial	
53			participant (eg, drug dose change in response	
54			to harms, participant request, or improving /	
55			worsening disease)	
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1	Interventions:	#11c	Strategies to improve adherence to	P17
2	adherence		intervention protocols, and any procedures for	
3			monitoring adherence (eg, drug tablet return;	
4			laboratory tests)	
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8	Interventions:	#11d	Relevant concomitant care and interventions	P8
9	concomitant care		that are permitted or prohibited during the trial	
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12	Outcomes	#12	Primary, secondary, and other outcomes,	P17,
13			including the specific measurement variable	Table 1
14			(eg, systolic blood pressure), analysis metric	
15			(eg, change from baseline, final value, time to	
16			event), method of aggregation (eg, median,	
17			proportion), and time point for each outcome.	
18			Explanation of the clinical relevance of	
19			chosen efficacy and harm outcomes is	
20			strongly recommended	
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27	Participant timeline	#13	Time schedule of enrolment, interventions	Figure 1,
28			(including any run-ins and washouts),	Table 1
29			assessments, and visits for participants. A	
30			schematic diagram is highly recommended	
31			(see Figure)	
32				
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34				
35	Sample size	#14	Estimated number of participants needed to	P18
36			achieve study objectives and how it was	
37			determined, including clinical and statistical	
38			assumptions supporting any sample size	
39			calculations	
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44	Recruitment	#15	Strategies for achieving adequate participant	P8, P9
45			enrolment to reach target sample size	
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48	Allocation:	#16a	Method of generating the allocation sequence	P10
49	sequence		(eg, computer-generated random numbers),	
50	generation		and list of any factors for stratification. To	
51			reduce predictability of a random sequence,	
52			details of any planned restriction (eg,	
53			blocking) should be provided in a separate	
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1			document that is unavailable to those who	
2			enrol participants or assign interventions	
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4	Allocation	#16b	Mechanism of implementing the allocation	P10
5	concealment		sequence (eg, central telephone; sequentially	
6	mechanism		numbered, opaque, sealed envelopes),	
7			describing any steps to conceal the sequence	
8			until interventions are assigned	
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12	Allocation:	#16c	Who will generate the allocation sequence,	P10
13	implementation		who will enrol participants, and who will	
14			assign participants to interventions	
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18	Blinding (masking)	#17a	Who will be blinded after assignment to	P19
19			interventions (eg, trial participants, care	
20			providers, outcome assessors, data analysts),	
21			and how	
22				
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25	Blinding (masking):	#17b	If blinded, circumstances under which	Allocation is not
26	emergency		unblinding is permissible, and procedure for	blinded to trial staff
27	unblinding		revealing a participant's allocated intervention	
28			during the trial	
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32	Data collection	#18a	Plans for assessment and collection of	P17,
33	plan		outcome, baseline, and other trial data,	Table 1
34			including any related processes to promote	
35			data quality (eg, duplicate measurements,	
36			training of assessors) and a description of	
37			study instruments (eg, questionnaires,	
38			laboratory tests) along with their reliability and	
39			validity, if known. Reference to where data	
40			collection forms can be found, if not in the	
41			protocol	
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48	Data collection	#18b	Plans to promote participant retention and	P17
49	plan: retention		complete follow-up, including list of any	
50			outcome data to be collected for participants	
51			who discontinue or deviate from intervention	
52			protocols	
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1	Data management	#19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	P19
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11	Statistics:	#20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	P19
12	outcomes			
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18	Statistics:	#20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	P21
19	additional analyses			
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22	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	P19
23	population and			
24	missing data			
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29	Data monitoring:	#21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	P21
30	formal committee			
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42	Data monitoring:	#21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	P22
43	interim analysis			
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50	Harms	#22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	P31
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1	Auditing	#23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	P21
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8	Research ethics approval	#24	Plans for seeking research ethics committee / institutional review board (REC / IRB) approval	P7
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13	Protocol amendments	#25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC / IRBs, trial participants, trial registries, journals, regulators)	P22
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24	Consent or assent	#26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	P9
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29	Consent or assent: ancillary studies	#26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	Appendix 1
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35	Confidentiality	#27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	P22
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43	Declaration of interests	#28	Financial and other competing interests for principal investigators for the overall trial and each study site	P28
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49	Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	P28
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1 2 3 4 5 6 7 8 9	Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	P14. No provision for compensation, as this type of physiotherapy is a very low risk intervention.
10 11 12 13 14 15 16 17 18 19 20 21	Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	P22
22 23 24 25	Dissemination policy: authorship	#31b	Authorship eligibility guidelines and any intended use of professional writers	P22
26 27 28 29 30 31 32	Dissemination policy: reproducible research	#31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	P22
33 34 35 36 37	Informed consent materials	#32	Model consent form and other related documentation given to participants and authorised surrogates	Appendix 1
38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a. No biological data collected