ABSTRACT

Objectives To examine the associations between dementia and 1-year health outcomes (urgent hospitalisation, long-term care (LTC) admission, mortality) among long-stay home care recipients and the extent to which these associations vary by clients’ frailty level.

Design A retrospective cohort study using linked clinical and health administrative databases.

Setting Home care in Ontario, Canada.

Participants Long-stay (≥60 days) care clients (n=153125) aged ≥50 years assessed between April 2014 and March 2015.

Main outcome measures Dementia was ascertained with a validated administrative data algorithm and frailty with a 66-item frailty index (FI) based on a previously validated FI derived from the clinical assessment. We examined associations between dementia, FI and their interactions, with 1-year outcomes using multivariable Fine-Gray competing risk (urgent hospitalisation and LTC admission) and Cox proportional hazards (mortality) models.

Results Clients with dementia (vs without) were older (mean±SD: 83.3±7.9 vs 78.9±11.3 years, p<0.001) and more likely to be frail (30.3% vs 24.2%, p<0.001). In models adjusted for FI (as a continuous variable) and other confounders, clients with dementia showed a lower incidence of urgent hospitalisation (adjusted subdistribution HR (aHR)=0.84, 95% CI: 0.83 to 0.86) and mortality rate (adjusted HR=0.87, 95% CI: 0.84 to 0.89) but higher incidence of LTC admission (adjusted aHR=2.60, 95% CI: 2.53 to 2.67). The impact of dementia on LTC admission and mortality was significantly modified by clients’ FI (p<0.001 interaction terms), showing a lower magnitude of association (ie, attenuated positive (for LTC admission) and negative (for mortality) association) with increasing frailty.

Conclusions The strength of associations between dementia and LTC admission and death (but not urgent hospitalisation) among home care recipients was significantly modified by their frailty status. Understanding the public health impact of dementia requires consideration of frailty levels among older populations, including those with and without dementia and varying degrees of multimorbidity.

BACKGROUND

An estimated 500 000 Canadians currently live with dementia and this number is expected to double over the next 10–15 years. Though increasing functional impairment and behavioural challenges often lead to institutionalisation, many with dementia reside in the community with substantial support provided by family, friends and formal home care services. Beyond the implications for health and well-being of those living with or affected by dementia, projected increases in dementia prevalence raise concerns about the ability of the healthcare system to deal with anticipated demand and costs. Previous work, largely from the USA, has demonstrated elevated healthcare utilisation and expenditures for community-dwelling older adults with dementia relative to matched comparison groups. This includes an increased...
likelihood for hospitalisation, emergency department visits, and long-term care (LTC) placement. These utilisation patterns are important from a public payer perspective but may also highlight possible inadequacies in the availability and/or effectiveness of community-based care for persons with dementia. Many of the resultant transitions in care, especially hospitalisations, are associated with worse outcomes for those with dementia, and may be potentially avoidable with timely and adequate care in the community setting. Recent healthcare reforms in Canada and elsewhere have called for an expansion of publicly funded home and community-based care, with the aim of potentially reducing costly acute and LTC admissions among vulnerable older adults. Consequently, there is considerable value in understanding patterns of healthcare utilisation among older home care recipients, especially for persons with dementia and/or other indices of heightened risk or vulnerability.

Population-based reports on the impact of dementia on health outcomes and healthcare use among vulnerable older adults are relatively scarce in Canada, with the exception of a few recent studies on dementia in the context of multimorbidity only, including previous work by our team. Notably absent are studies examining the joint impact of dementia and frailty on healthcare outcomes in community-dwelling older adults, including those receiving care in the home. Frailty, defined as an increased vulnerability to stressors arising from multisystem dysfunction and subsequent loss of homeostatic reserve and resiliency, is an important predictor of care transitions among older populations, though its predictive value in dementia is less clear. Emerging data support a bidirectional relationship between frailty and dementia, with both becoming more common with increasing age. As frailty level may reflect dementia severity or stage as well as overall vulnerability, it is an important consideration in understanding the health system implications of dementia prevalence trends.

To inform current and future regional and national dementia strategies and related policy and resource planning decisions regarding home and community-based services for this vulnerable population, we sought to (1) investigate the relative effect of dementia on the incidence of urgent (non-elective) hospitalisation and LTC admission and rate of death over 1 year among a current cohort of community-dwelling home care recipients in Ontario, and (2) explore variation in these associations by client frailty. In doing so, we provide important baseline empirical data to assist with the prioritisation and evaluation of novel client and system-level interventions to improve the healthcare and outcomes of vulnerable persons with and without dementia.

**METHODS**

**Study design and setting**

We conducted a retrospective cohort study of long-stay home care clients in Ontario from April 2014 to March 2016 using linked health administrative and clinical databases. During this period, Ontario’s population included over 13.5 million residents with approximately 5 million aged 50 years and older. Most are covered by a universal, publicly funded health insurance programme for all necessary medical and emergency care services. Included are costs for hospital and physician services and prescription drugs for those aged 65 years and older or on social assistance or receiving services under the home care programme. Referrals for publicly funded home care may be made by healthcare providers, institutions, clients and/or their family and potential clients are assessed for eligibility and level of care by regional case managers. Services may include homemaking, transportation, personal care, nursing care, end-of-life care, physiotherapy, occupational and speech-language therapy and can vary by type and amount across health regions.

Home care is provided on either a short-stay (ie, services provided for <60 days (eg, to aid in recovery postsurgery or injury)) or long-stay (ie, clients requiring services in the home for ≥60 days in a single episode) basis. For all long-stay clients (approximately 40% of all home care clients), the province has mandated the administration of the Resident Assessment Instrument for Home Care (RAI-HC) on admission and at regular (~6-month) intervals. The RAI-HC is completed by trained staff and provides standardised data on clients’ sociodemographic characteristics, health conditions, physical and cognitive status, behaviours and service use.

RAI-HC data were linked with several provincial administrative databases using unique encoded identifiers and analysed at ICES. These included the Continuing Care Reporting System for Long-Term Care (CCRS-LTC), Canadian Institute for Health Information’s Discharge Abstract database (DAD), Ontario Health Insurance Plan (OHIP) database, Ontario Drug Benefit (ODB) database and Registered Persons Database (RPDB). The use of data in this project was authorised under section 45 of Ontario’s Personal Health Information Protection Act, which does not require review by a Research Ethics Board. Informed consent from participants was not required because we used health information routinely collected in Ontario and held in health administrative databases. The study is reported as per RECORD guidelines (online supplementary S1 table).

**Study cohort**

All RAI-HC assessments dated between 1 April 2014 and 31 March 2015 among clients aged 50–105 years (n=250987) were identified. Records were excluded for data quality issues (n=609) and for those ineligible to receive healthcare services or who resided outside the province (n=230). Given our interest in community-based home care clients, we excluded records for those who had resided in LTC (n=8816) or had received designated palliative care (n=14003), or only case management (n=5775) in the year prior to RAI-HC assessment. We excluded clients receiving palliative home care as they represent a unique subgroup with different objectives of
care and drivers of healthcare utilisation with their own policy and practice implications. For those with multiple RAI-HC assessments, only the first assessment in the study period was examined (index assessment, n=160 209). We excluded those in hospital at the time of this assessment (n=7084), resulting in a final sample of 153 125 clients.

**Patient and public involvement**

Patients were not involved in the design or conduct of this study.

**Dementia and frailty**

Presence of a dementia diagnosis prior to the index assessment was ascertained using a validated algorithm based on the presence of a dementia-related hospitalisation code (DAD), or three physician claims for dementia within a 2-year period each separated by 30 days (OHIP) or a prescription filled for a cholinesterase inhibitor (ODB). Baseline frailty was defined using a validated frailty index (FI), calculated as the proportion of accumulated potential health deficits based on 72 variables derived from the index RAI-HC. Given our focus on both dementia and frailty as predictors, we excluded dementia diagnoses and cognitive items from the original FI, an approach consistent with that employed by other researchers, resulting in a 66-item FI. This FI was examined as a continuous variable, with higher values indicative of greater frailty. In sensitivity analyses, a categorical FI was examined with robust (FI <0.2), prefrail (FI 0.2–0.3) and frail (FI >0.3) clients identified based on previously defined thresholds.

**Covariates**

Client age (at index assessment) and sex were identified from the RPDB, and neighbourhood-level income quintile and rural residence (ie, community with <10 000 individuals) from the 2006 Statistics Canada census. Marital status was derived from the index RAI-HC. Multimorbidity was based on a count of 16 high-impact chronic conditions (exclusive of dementia) using common case ascertainment algorithms for DAD and OHIP databases. Additional details regarding these conditions and codes are provided in online supplementary S2 table and elsewhere. Multimorbidity was coded as zero or one, two, three, four, five or six-plus conditions.

**Outcomes**

We determined the time (in days) to first urgent hospitalisation (DAD data), first LTC admission (CCRS-LTC data) and death (RPDB data) during the 1-year period following clients’ index assessment. Of note, 92% of first hospital admissions were urgent (ie, non-elective or unplanned).

**Statistical analyses**

Descriptive statistics were calculated for baseline characteristics (including frailty) and key outcomes by dementia status, using χ² tests for categorical variables and one-way analysis of variance for continuous variables.

We modelled associations between dementia, frailty and 1-year outcomes using Fine-Gray competing risk models for urgent hospitalisation (accounting for death and LTC admission) and LTC admission (accounting for death) and Cox proportional hazards models for mortality. Associations are reported as either subdistribution-HRs (sHR, Fine-Gray models) or HRs (Cox models) with corresponding 95% CIs. For clients where no event was observed, follow-up time was censored at 1 year after the index assessment. For interpretation, continuous FI estimates are expressed per 0.1-unit increase, which equates to 6–7 additional deficits.

Initial models assessed the separate associations of dementia and frailty with outcomes, adjusting for age and sex. Full multivariable models included dementia and frailty adjusting for age, sex, marital status, income quintile, rural/urban residence and multimorbidity, consistent with previous work. A two-way dementia–frailty interaction was then added to this model and statistical significance of the regression term assessed. From these models, we estimated the sHR or HR and corresponding CI for dementia (yes vs no) across the FI continuum. To assist with interpretation, we report the estimated associations of dementia with outcomes at the 25th and 75th percentiles of the FI distribution in the study population (FI=0.177 and 0.303, respectively).

In sensitivity analyses (ie, categorical FI variable), the significance of dementia–frailty interaction terms for all outcomes were examined with Wald tests, with resulting coefficients plotted for visual representation. Coefficients represent sHR or HR for each dementia–frailty group relative to a reference group of robust clients without dementia (considered the lowest risk group for comparative purposes).

Observations with missing data (<0.4% of cohort) were excluded from all analyses. All statistical analyses were conducted using Stata/MP V15.

**RESULTS**

The mean age of the sample was 80.1 (±10.7) years, 65% were women, almost half were widowed and the majority (87%) resided in an urban setting (table 1). Twenty-seven percent (n=40 956) had a dementia diagnosis. High levels of multimorbidity were evident. The most prevalent were hypertension (83.6%), osteoarthritis (66.3%), diabetes (40.8%), coronary syndrome (33.9%) and congestive heart failure (26.8%) (online supplementary S3 table). Clients’ mean FI was 0.24 (±0.09) and 26% were categorised as frail (with 40% prefrail and 34% robust). Clients with dementia (vs without) were significantly more likely to be older, male and to have lower levels of multimorbidity but a higher mean FI, with a greater proportion categorised as frail (30.3% vs 24.2%) (online supplementary S1 figure).
Over the 1 year, a greater proportion of clients with dementia were admitted to LTC (30.0% vs 11.1%), while slightly fewer had an urgent hospitalisation (36.7% vs 38.8%). The distribution of the most common causes of all urgent hospitalisations by dementia status are shown in supplementary S4 table. Crude mortality did not vary significantly by dementia status (~15% for both groups). In age-sex and fully adjusted models, the incidence of urgent hospitalisation was significantly lower among clients with dementia and higher for those with greater...
frailty (table 2). The dementia–FI interaction term was modestly significant (p=0.036) and suggested that the lower incidence of urgent hospitalisation for dementia was slightly more pronounced with increasing frailty (figure 1A). For example, the estimated sHR for urgent hospitalisation associated with dementia at the 25th and 75th percentiles of FI was 0.86 (CI: 0.84 to 0.88) and 0.84 (CI: 0.82 to 0.86), respectively.

In age-sex and fully adjusted models, both dementia and higher frailty levels were significantly associated with a higher incidence of LTC admission. The dementia–FI interaction term was significant (p<0.001, table 2), and showed that the relative magnitude of the increased incidence of LTC admission associated with dementia was lower with increasing frailty (figure 1B). The estimated sHR for LTC admission among those with dementia (vs without) at the 25th and 75th percentiles of FI was 3.48 (CI: 3.36–3.61) and 2.42 (CI: 2.35–2.48), respectively.

The rate of mortality was significantly lower for clients with dementia and higher for those with greater frailty in both age-sex and fully adjusted models. The dementia–FI interaction term was significant (p<0.001, table 2) and indicated that the lower mortality rate associated with dementia was attenuated with increasing frailty (figure 1C). The estimated HR for death among clients with dementia (vs without) at the 25th and 75th percentiles of FI was 0.79 (CI: 0.76–0.83) and 0.88 (CI: 0.85–0.91), respectively. At FI levels beyond 0.5 (ie, the most frail 1%), clients with dementia showed an increased mortality rate.

Sensitivity analyses
Incorporating a three-level categorical FI variable (to define robust, prefrail and frail groups) into the models for each outcome produced comparable findings, except that the dementia–FI interaction term was no longer statistically significant for urgent hospitalisation (p=0.124; online supplementary S5 table and figure 2A–C). Cumulative incidence plots illustrating the dementia–categorical FI associations with each outcome are presented in figure 3A–C (with 1-year estimates shown in online supplementary S6 table). The latter figures illustrate the magnitude of absolute risk (percentage estimates) for each of our three outcomes across comparison groups that vary in dementia and (categorical) frailty status.

Discussion
In this population-based study of primarily urban-dwelling older long-stay home care clients in Ontario, just over one quarter had dementia with a similar proportion categorised as frail. Clients with dementia (vs without) were older and more likely to be frail (30% vs 24%) but showed lower levels of multimorbidity. Both groups showed meaningful variation in frailty status with close to a third being robust. In adjusted analyses accounting for relevant

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**Table 2:** Estimated associations† between dementia, frailty (and dementia–frailty interaction) and 1-year health outcomes, among long-stay home care clients aged 50+ years in Ontario

<table>
<thead>
<tr>
<th>Outcome</th>
<th>Age–sex adj. s/HR</th>
<th>Age–sex adj. s/HR</th>
<th>Fully adj. s/HR‡</th>
<th>Fully adj. s/HR‡</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Urgent hospitalisation</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dementia</td>
<td>0.815* (0.800, 0.832)</td>
<td>–</td>
<td>0.843* (0.827, 0.860)</td>
<td>0.891* (0.844, 0.941)</td>
</tr>
<tr>
<td>Frailty (FI continuous)</td>
<td>–</td>
<td>1.209* (1.199, 1.220)</td>
<td>1.159* (1.149, 1.169)</td>
<td>1.165* (1.153, 1.177)</td>
</tr>
<tr>
<td>Dementia–frailty term</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>0.979* (0.960, 0.999)</td>
</tr>
<tr>
<td>P for interaction</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>0.036</td>
</tr>
<tr>
<td><strong>LTC admission</strong></td>
<td></td>
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</tr>
<tr>
<td>Dementia</td>
<td>2.749* (2.679, 2.821)</td>
<td>–</td>
<td>2.598* (2.530, 2.668)</td>
<td>5.814* (5.413, 6.245)</td>
</tr>
<tr>
<td>Frailty (FI continuous)</td>
<td>–</td>
<td>1.472* (1.454, 1.490)</td>
<td>1.490* (1.471, 1.509)</td>
<td>1.727* (1.697, 1.757)</td>
</tr>
<tr>
<td>Dementia–frailty term</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>0.748* (0.730, 0.767)</td>
</tr>
<tr>
<td>P for interaction</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td><strong>Mortality</strong></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Dementia</td>
<td>0.901* (0.874, 0.928)</td>
<td>–</td>
<td>0.869* (0.843, 0.895)</td>
<td>0.677* (0.619, 0.740)</td>
</tr>
<tr>
<td>Frailty (FI continuous)</td>
<td>–</td>
<td>1.507* (1.488, 1.527)</td>
<td>1.478* (1.459, 1.498)</td>
<td>1.442* (1.419, 1.465)</td>
</tr>
<tr>
<td>Dementia–frailty term</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>1.090* (1.059, 1.122)</td>
</tr>
<tr>
<td>P for interaction</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

*P<0.05.
†For urgent hospitalisation and LTC admission, estimates are subdistribution HRs and corresponding 95% CIs from Fine-Gray model; for mortality, estimates are HRs and corresponding 95% CIs from Cox proportional hazards regression model.
‡Models adjusted for age, sex, marital status, rurality, income quintile and multimorbidity count; Model 2 additionally includes dementia–frailty interaction term.
FI, frailty index; LTC, long-term care.
competing risks, the impact of dementia on LTC admission and mortality over 1 year was significantly modified by frailty status. Specifically, the higher incidence of LTC admission and lower mortality rate evident among those with (vs without) dementia, observed overall, was attenuated with increasing frailty. There was less compelling evidence of a significant modification by client frailty for the impact of dementia on urgent hospitalisation.

Past research has shown higher healthcare utilisation (including hospitalisation and emergency department visits) for community-dwelling persons with dementia relative to controls. We found that the incidence of urgent hospitalisation, though high overall, was significantly lower among those with (vs without) dementia across all frailty levels. Our findings regarding the substantial burden of unplanned hospitalisation among community-residing older adults receiving home care, but lower incidence of hospitalisation among clients with (vs without) dementia are consistent with earlier studies of older home care recipients from North America and Europe. The lower incidence observed for clients with dementia may be explained by several factors. Our cohort included long-stay home care clients who were generally older and more impaired relative to other community-based samples. Given our primary focus on community-residing, long-stay home care clients, we also excluded clients who had received LTC or palliative care in the year prior to their index assessment. These clients would be expected to have more severe or late-stage dementia and thus, potentially different health outcomes (and drivers) compared with our study population. The coordination, monitoring and support available through home care may have contributed to the lower incidence of hospitalisation observed for clients with dementia.

Consistent with the literature, both dementia and greater frailty were associated with a significantly higher incidence of LTC admission and LTC placement and (C) death, illustrating the impact of dementia (yes vs no) on outcomes across frailty (FI) level. FI, frailty index; LTC, long-term care.

Figure 1 Plots of dementia–frailty (FI) interaction for 1-year health outcomes ((A) urgent hospitalisation; (B) LTC placement and (C) death), illustrating the impact of dementia (yes vs no) on outcomes across frailty (FI) level. FI, frailty index; LTC, long-term care.
who are relatively robust (representing 34% of clients in our cohort). Second, when compared with those at lowest risk (ie, robust clients without dementia), the co-occurrence of being frail and having dementia resulted in the highest (seven-fold higher) incidence of LTC admission.

Contrary to expectations, we observed a lower mortality rate among clients with dementia, though this association was less evident with higher levels of frailty and reversed in direction for the most frail (FI scores ≥0.5). Though we adjusted for many factors associated with...
mortality, including multimorbidity and a comprehensive frailty measure derived from physical and psychosocial items, important differences may have persisted between these two client groups, as discussed above for our hospitalisation finding. As noted earlier, it is also possible that aspects of the home care provided to clients may have resulted in better outcomes overall for those with dementia.

Strengths of our study include the population-based sample of long-stay, non-palliative clients, timeliness of data, availability of comprehensive clinical and functional measures derived from the RAI-HC and linked administrative databases and adjustment for competing risks. This allowed for a more sophisticated exploration of the joint impact of dementia and frailty on healthcare outcomes of interest to clients, healthcare practitioners and policy makers. Our analyses also employed previously validated algorithms for both dementia \(^{34}\) and frailty.\(^{24,25}\)

Limitations include the absence of data for some covariates of interest (e.g., the presence of advance directives, 

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Figure 3  Plots of cumulative incidence (A) urgent hospitalisation, (B) LTC placement and cumulative hazard (C) death, based on multivariable regression models that include dementia–frailty (categorical FI) interaction. FI, frailty index; LTC, long-term care.
CONCLUSIONS

Our findings support the notion that dementia and frailty, though related, represent distinct clinical considerations in our understanding of the potential impact of population ageing on healthcare utilisation and costs. For older adults receiving home care on a long-stay basis, a population at high risk of potentially inappropriate care transitions and associated adverse outcomes, we showed that the likelihood for LTC admission and death (but not urgent hospitalisation) for clients with compared with those without dementia was significantly modified by their frailty status. Given projected increases in the prevalence of both dementia and frailty, future work should examine the extent to which the quality, appropriateness and outcomes of health and social care services vary for persons with dementia and with varying degrees of frailty.

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Contributors All coauthors fulfill the criteria required for authorship. CJM, LM, DBH and WPW conceived and designed the study. LM carried out the statistical analyses with assistance from MAC and CJM. LM, WPW and SEB contributed to the acquisition of relevant data. CJM wrote the manuscript and all authors (LM, DBH, MAC, SEB, DPS and WPW) contributed substantially to the critical appraisal, review and interpretation of findings and the final preparation of the manuscript. All authors claim responsibility for the integrity of the data and analyses and have approved the submitted version of the manuscript.

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Competing interests DPS has participated as a site investigator for a clinical trial sponsored by Hoffman La Roche.

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Data sharing statement The data from this study are held securely in coded form at ICES. While data sharing agreements prohibit ICES from making the data publicly available, access may be granted to those who meet pre-specified criteria for confidential access, available at www.ices.on.ca/DAS.

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