BMJ Open Health system factors and caesarean sections in Kosovo: a cross-sectional study

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ABSTRACT

Objective To investigate the association of caesarean section rates with the health system characteristics in the public hospitals of Kosovo.

Design Cross-sectional survey.

Setting Five largest public hospitals in Kosovo.

Participants 859 women with low-risk deliveries who delivered from April to May 2015 in five public hospitals in Kosovo.

Outcome measures The prespecified outcomes were the crude and adjusted OR of births delivered with caesarean section by health system characteristics such as delivery by the physician who provided antenatal care, health insurance status and other. Additional prespecified outcomes were caesarean section rates and crude ORs for delivery with caesarean in each public hospital.

Results Women with personal monthly income had increased odds for caesarean (OR 1.55, 95% CI 1.06 to 2.27), as did women with private health insurance coverage (OR 3.44, 95% CI 1.20 to 9.85). Women instructed by a midwife on preparation for delivery had decreasing odds (OR 0.32, 95% CI 0.19 to 0.51) while women having preference for a caesarean had increasing odds for delivery with caesarean (OR 3.84, 95% CI 1.96 to 7.51). The odds for caesarean increased also in the case of delivery by a physician who provided antenatal care (OR 2.06, 95% CI 1.16 to 3.67) and delivery during office hours (OR 2.36, 95% CI 1.37 to 4.05), while delivery at the University Clinical Centre of Kosovo decreased the odds for caesarean (OR 0.46, 95% CI 0.24 to 0.90).

Conclusions We found that several health system characteristics are associated with the increase of caesarean sections in a low-risk population of delivering women in public hospitals of Kosovo. These findings should be explored further and addressed via policy measures that would tackle provision of unnecessary caesareans. The study findings could assist Kosovo to develop corrective policies in addressing overuse of caesareans and may provide useful information for other middle-income countries.

INTRODUCTION

The increase of caesarean section (CS) rates has become a global epidemic and concern.^{1–7} According to WHO estimates, about 6.2 million unnecessary CSs are performed annually worldwide.⁸ Understanding the reasons behind the increase of

Strengths and limitations of this study

- The study design, including the questionnaire design and sample selection process and criteria, was based on previously published studies.
- Key Kosovar clinicians and administrators at health-care institutions were consulted for the questionnaire and study design.
- The survey questionnaire was developed, tested and revised before data collection.
- The selection of low-risk cases reduced the variation in clinical determinants and the potential for confounding.
- The main limitations of this study are the cross-sectional nature of the survey, the relatively small sample size, and the fact that the data were collected only during a specific period of the year.

CS is critical in determining if this procedure is being used appropriately.^{1 6} In addition to potential adverse effects on women and newborns,^{2 5 9} overuse of CS shifts resources that could otherwise be used for the patient's benefit.^{1 8 10 11} The published literature has identified a number of determinants that can influence CS rates.^{1 2 12} These determinants act and interact at different levels of health-care systems.¹² Health insurance arrangements, national guidelines on delivery of care as well as cultural factors are examples of macrolevel characteristics which influence CS rates.^{1 12 13} Ownership and teaching status of the hospital are among examples of mesolevel factors that can influence the odds for CS.^{1 12 14} Mother and newborn characteristics, physician characteristics and perinatal care influence CS rates at a microlevel.¹

Kosovo too is experiencing a rapid rise in CS rates. From 2000 to 2015, CS rates have increased from 7.5% to 27.3%.¹⁵ In 2015, across public hospitals in Kosovo, CS rates ranged from 9.6% to 35.2% of total births.¹⁵ Among reasons affecting the decision for CS and thus increasing and varying CS rates in Kosovo, we found women's preferences for CS, influence of financial incentives, a lack of

adequate training and experience of physicians and physicians' fear of committing mistakes.¹² Kosovo is a low and middle-income country,¹⁶ located in South East Europe. The country has an unclear health financing system^{17 18} with underfunded public healthcare institutions^{17–19} and growing but unregulated private sector.^{17 20} Since 2011, healthcare reform efforts have focused around health financing reform and reorganisation of healthcare system¹⁷ and maternal care is a major priority due to high natality rate.²¹ Therefore, in this study, we investigated the association of CS rates with the health system characteristics in the public hospitals of Kosovo.

METHODS

Survey details

We used data from a cross-sectional survey that examined women's antenatal care and birth experience and targeted the total population of low-risk births that occurred in five public hospitals within a 2-month period. Total sample size was 859. Data were collected from April to end of May 2015 from the five largest public hospitals in Kosovo. These hospitals serve the majority (over 87%) of obstetrical patients that deliver in public hospitals in Kosovo.^{22–24}

The survey was fielded by a non-governmental organisation. A survey questionnaire was developed, tested and revised before the data collection. Data collectors (junior residents in participating hospitals) were trained and then monitored on a weekly basis by the project team throughout the data collection period. Eligible women were identified after their delivery. Interviewers first reviewed the charts of all deliveries to assess eligibility. When found eligible, women were informed of the study and asked for a written consent. The data were collected from patients' medical records and through direct interviews (in the postdelivery period). Women were interviewed on average 1 day after the delivery, earliest on the same day of delivery and latest 12 days after delivery. The interviewer had to ensure that the women were in condition and willing to take part in the interview. Both the medical record and patient interview data were filled in the survey questionnaire by hand (paper and pencil). The data were then entered into the database.

Sample selection

The sample was selected using a modified checklist used by Coulm *et al*.²⁵ to identify low-risk pregnancies. The selection criteria were as follows: women at labour, primiparae; women without previous health conditions (such as chronic hypertension, insulin-dependent type 1 diabetes, chronic organ failure, thrombophilia, lupus erythematosus and antiphospholipid syndrome, severe epilepsy requiring treatment, seropositivity for hepatitis B or C or HIV); women who delivered after the 36th week; a newborn weight up to 3999 g; live births; cephalic presentation of fetus; women without in vitro fertilisation; women who were younger than 36 at delivery date and

no complications during delivery (ie, placenta previa, dystocia).

Variables

The survey dataset contained information on the antenatal care and birth experience of women, including maternal status, newborn status, antenatal care, pre-delivery care, delivery management and setting (ie, University Clinical Centre of Kosovo [UCCCK]). We identified, selected, coded and recoded all variables that are known to influence the risk for CS,^{1 13 14} including mother and newborn information as well as health system determinants, including the ones that we thought are particular and important for Kosovo context. Mother information included ethnicity, which like race is known to influence delivery care patterns,^{26–29} and was coded into Albanian ethnicity (yes/no) which represents majority of population in Kosovo.²¹ Age was coded to mark young delivering women^{26 30 31} while body mass index (before pregnancy started) variable was calculated from weight and height data in the survey and was categorised according to WHO classification into underweight (<18.5 kg/m²), normal range (18.5–24.99 kg/m²), overweight (25–29.99 kg/m²) and obese (≥30 kg/m²).³² The pregnancy problems reported by mothers variable recorded any issues reported by mothers during interview but did not include any of the exclusion criteria based on which we selected the sample. Variables high school or higher education (≥12 years) and urban residence serve as reliable indicators of the social status of women. Newborn information included weight ≥2500 g which distinguished between low and normal weight newborns^{26 30} and >41 weeks of gestation which recorded if the newborn was late term or post-term as defined by the latest classification.³³

Several variables measured the influence of financial incentives, including three variables which described the physician–patient interaction during antenatal care and delivery. The first (any antenatal care visit in the private sector) was a dichotomy of utilisation of care in private sector (yes/no). The second variable (majority of antenatal care visits in private sector, >50%) was dichotomised to distinguish between women who had received more than 50% of antenatal care visits in private institutions and those who had received 50% or less. The last variable (delivery by the physician who provided antenatal care) is also a binary variable recording whether the supervision of the delivery was performed by a physician who provided antenatal care. First two variables would test the effect of private care during the prenatal period. Last variable assessed the assumption that women use antenatal services to ensure that attending physicians will take good care of them (in the form of CS) during delivery in a public hospital. We have also included personal monthly income and health insurance coverage as variables which are known to shape the behaviour of providers in the delivery of care.^{13 34} The personal monthly income variable was dichotomised into women who received income (ie, had a job), and women who did not receive income

(ie, did not have a job). A major part of the female population in Kosovo does not work, and women receiving personal income would be considered to have a higher socioeconomic status.³⁵ Health insurance coverage refers to insurance provided by private companies, which are known to increase the risk for CS.¹³ Referred by another healthcare facility is an indicator of how a physician treats the women from other hospitals that have not visited them in private practices. Residence in catchment area of hospital could also measure similar effect but at larger scale.

We have included several other health system and health service provision characteristics which are known to influence the risk for CS.¹ The variable (provision of antenatal care by a single physician) is a binary variable reporting on whether care was provided by the same physician all along during antenatal care (yes/no). The women instructed by a midwife on preparation for delivery variable recorded if women had received advice from nurses on how to prepare for delivery in terms of hygiene, mental preparation, how they should participate during labour and similar. Maternal preference is often a strong CS decision predictor¹ and a dichotomised variable mother or family requesting CS measured if the mother has asked for CS and if the family was involved in such a request, which is quite typical in Kosovo context. We were also interested if women were informed about the side effects of CS that could have influenced their preference for CS, hence the variable *women* informed by a physician about negative effects of caesarean was included. Induction of delivery has been treated often as a procedure that may affect the risk for CS,^{36–38} while the variable treating physician had full access to patient's antenatal care records is an indicator for a common problem in Kosovo, that is, patients showing up in a delivery room without full history which creates difficulty for clinical decision-making. The delivery during office hours variable was constructed with weekday delivery (working days vs weekend) and staff daily shift (regular, 7:00 to 15:00 hours vs on duty, ie, after 15:00 hours) information. Women who delivered during working days and regular working hours were considered to have delivered during office hours, and is a good indicator of medical staff convenience in the provision of care.^{39 40} We also added the variable UCK to distinguish between a large, tertiary, national referral centre which provides the most advanced care in the country (n=1) and the secondary care, regional hospitals, (n=4) which act as general hospitals and operate within specific regions of the country. Hospital size, type as well as level of care it provides are known to influence the risk for CS.¹

Outcome measures

The prespecified outcomes were the crude and adjusted OR of births delivered with CS by health system characteristics such as delivery by the physician who provided antenatal care, health insurance status and other. Additional

prespecified outcomes were CS rates and crude ORs for delivery with CS in each public hospital.

Statistical analysis

We performed descriptive analysis of mode of delivery (CS vs vaginal delivery) against several categories of variables. Crude univariable logistic regression was performed to test the unadjusted associations of variables with the odds for CS. Then, all the variables representing key health system features and mother and newborn characteristics that are known to influence CS rates and had a $p < 0.10$ were included in the mixed-effect model. The mixed-model function accounts for the hospital level factor (UCK), while allowing for random variation of other patient-level characteristics within the hospital level. The mixed-model ORs estimates are cluster-specific estimates, so interpretation is conditional to the hospital of birth. We performed X^2 test and linear trend in case of ordered strata (variable body mass index). Because six variables used in the adjusted analysis had missing values, ranging from 1 to 24 missing responses per variable, the adjusted models were run using the multiple imputation method, with 20 imputations. The study is reported according to the Strengthening the Reporting of Observational Studies in Epidemiology statement for observational studies.⁴¹ Analyses were performed using IBM SPSS Statistics V.22.0 software (IBM).

Patient and public involvement

The study questionnaire was tested with patients. There was no other patient involvement in preparation or review of this study.

RESULTS

Over 20% (178 cases) of all low-risk births were delivered via CS (table 1). CS rate ranged between 15.2% and 36.9% among hospitals. Over half of the women in the sample (435 cases) delivered within the UCK. Most women had received antenatal care in the private sector (790, 92%) (table 2). A total of 216 women (25.1%) were delivered by a physician who had also provided antenatal care to them. Twelve per cent (102) had requested CS by themselves or through family members.

Unadjusted analysis

Unadjusted analysis (table 2) shows that the odds for CS were increased among mothers younger than 20 years old, women living in urban locations, women living in catchment area of hospital and women that reported to have received monthly income.

All characteristics measuring antenatal care showed a small increasing (ie, any antenatal care visit in private sector, majority of antenatal care visits in private sector and pregnancy problems reported by the mother) or decreasing (ie, provision of antenatal care by a single physician) effect but did not reach statistical significance.

Table 1 Caesarean section versus vaginal delivery among hospitals

Hospital	Caesarean section	Vaginal delivery	Caesarean section rate (%)	Crude OR (95% CI)	P value
	Events (%)	Events (%)			
Regional hospital 1	28 (15.7)	65 (9.5)	30.1	2.41 (1.44 to 4.03)	0.001
Regional hospital 2	16 (9.0)	72 (10.6)	18.2	1.24 (0.68 to 2.27)	0.480
Regional hospital 3	24 (13.5)	41 (6.0)	36.9	3.27 (1.86 to 5.77)	<0.001
Regional hospital 4	44 (24.7)	134 (19.7)	24.7	1.84 (1.19 to 2.82)	0.006
University Clinical Centre of Kosovo	66 (37.1)	369 (54.2)	15.2	1.00 (reference)	<0.001
Total	178 (100)	681 (100)	20.7		

Several predelivery features such as information provided to women about negative effects of CS, mother or family preference for CS, delivery by a physician who provided antenatal care and delivery during office hours showed increased odds for CS. Contrary to this, women who were instructed by midwives during preparation for delivery and delivery at the UCCK showed substantial decrease in the odds for CS.

Adjusted analysis

The adjusted analysis (table 3) confirmed the results of the unadjusted analysis. In both models, women younger than 20 years had decreased odds for CS, while for women with monthly income the odds increased, as it did for possessing private health insurance coverage. Women instructed by a midwife during the delivery preparation showed decreasing odds, while women preferring CS had increasing odds for CS delivery. The odds also increased in the case of delivery by a physician who provided antenatal care and for deliveries during office hours, while they decreased for deliveries at the UCCK.

DISCUSSION

Hospital CS rates for low-risk births varied from 15.2% to 30.1% among hospitals. The adjusted odds for CS were increased in women with personal monthly income, if they possessed private health insurance coverage, if women preferred to deliver with CS, in case of delivery by a physician who provided antenatal care and if delivery occurred during office hours. The odds decreased if women were instructed by a midwife during delivery preparation and in case of delivery at the UCCK. The results were adjusted for age, education, urban residence, residence near the catchment area of a hospital, income, health insurance status, information provided to women by a physician, instruction of women by a midwife, preference for CS, delivery by a physician who provided antenatal care, physician access to patient's antenatal care records, delivery during office hours and UCCK.

Strengths and limitations

The study design, including the questionnaire design and sample selection process and criteria, was based on previously published studies.^{25 42–46} In addition, key Kosovar clinicians and administrators at healthcare institutions were consulted for the questionnaire and study design, which was piloted before a final application. The selection of low-risk cases reduced the variation in clinical determinants and the potential for confounding. The main limitations of this study are the cross-sectional nature of the survey, the relatively small sample size and the fact that the data were collected only during a specific period of the year. The survey also did not record if the CS was emergency CS or not, therefore, we were unable to use that factor in the data analysis. Only one hospital, among five where data were collected, was a teaching hospital (ie, UCCK) which limits the generalisation of findings with regard to teaching status of hospital. Finally, women response on preference for CS may have been influenced by post-CS reporting of preference. Women are known to report a higher preference for CS after undergoing a CS as compared with reports given before the birth.⁴⁷

Context

Three studies have previously examined CS rates in Kosovo but none has investigated the effect of health system factors on CS rates.^{48–50} In other countries, for example, Brazil, concordant with our findings, Gomes *et al* found a 2.51 times increase in the odds of CS (95% CI 1.46 to 4.32) if the delivery was done by the same physician who provided antenatal care.⁵¹ Ribeiro *et al* found a weaker, although statistically significant association (RR 1.07, 95% CI 1.01 to 1.14).⁵² de Regt *et al*, in the USA, found a positive association between receiving private antenatal care and the odds of CS.⁵³ Although maternal preference is known to influence the odds for CS,¹ we have not found any studies that explicitly link maternal request to the odds for CS. Our study in Kosovo adds to the scarce evidence for such associations.

Table 2 Caesarean section versus vaginal delivery characteristics

	Caesarean section		Vaginal delivery		Crude OR (95% CI)	P value
	Events/total (%)		Events/total (%)			
Mother						
Albanian ethnicity	174/178	(97.8)	655/681	(96.2)	1.73 (0.60 to 5.01)	0.32
Age <20 years	8/178	(4.5)	75/681	(11.0)	0.38 (0.18 to 0.80)	0.011
Body mass index (kg/m ²)						0.88*
Underweight (<18.5)	9/166	(5.4)	60/636	(9.4)	1.00 (reference)	
Normal range (18.5–24.99)	109/166	(65.7)	381/636	(59.9)	1.91 (0.92 to 3.97)	
Overweight (25–29.99)	43/166	(25.9)	163/636	(25.6)	1.76 (0.81 to 3.83)	
Obese (≥30)	5/166	(3.0)	32/636	(5.0)	1.04 (0.32 to 3.37)	
High school or higher education (≥12 years)	129/178	(72.5)	500/680	(73.5)	0.95 (0.65 to 1.37)	0.78
Urban residence	92/170	(54.1)	290/665	(43.6)	1.53 (1.09 to 2.14)	0.014
Residence in catchment area of hospital	89/174	(51.1)	238/661	(36.0)	1.86 (1.33 to 2.61)	<0.001
Personal monthly income	51/178	(28.7)	122/681	(17.9)	1.84 (1.26 to 2.69)	0.002
Health insurance coverage	8/178	(4.5)	14/676	(2.1)	2.23 (0.92 to 5.39)	0.08
Newborn						
Weight ≥2500 g	176/178	(98.9)	669/681	(98.2)	1.58 (0.35 to 7.12)	0.55
>41 weeks of gestation	26/178	(14.6)	71/681	(10.4)	1.47 (0.91 to 2.38)	0.12
Antenatal care						
Any antenatal care visit in private sector	165/178	(92.7)	625/681	(91.7)	1.14 (0.61 to 2.13)	0.69
Majority of antenatal care visits in private sector (>50%)	147/178	(82.6)	551/681	(81.5)	1.08 (0.70 to 1.66)	0.74
Provision of antenatal care by a single physician	120/178	(67.4)	475/681	(69.8)	0.90 (0.63 to 1.28)	0.55
Pregnancy problems reported by mother	44/178	(24.7)	151/681	(22.2)	1.15 (0.78 to 1.70)	0.47
Predelivery†						
Referred by another healthcare facility	55/178	(30.9)	250/681	(36.7)	0.77 (0.54 to 1.10)	0.15
Women informed by a physician about negative effects of caesarean	116/178	(65.1)	379/670	(56.6)	1.44 (1.02 to 2.03)	0.04
Women instructed by a midwife on preparation for delivery	111/176	(63.1)	503/674	(74.6)	0.58 (0.41 to 0.83)	0.002
Mother or family requesting caesarean section	46/176	(26.1)	56/677	(8.3)	3.89 (2.52 to 6.00)	<0.001
Delivery						
Induction of delivery	9/178	(5.1)	19/677	(2.8)	1.86 (0.83 to 4.18)	0.14
Delivery by a physician who provided antenatal care	75/178	(42.1)	141/681	(20.7)	2.79 (1.96 to 3.96)	<0.001
Treating physician had full access to patient's antenatal care records	152/178	(85.4)	541/681	(79.4)	1.51 (0.96 to 2.39)	0.08
Delivery during office hours	93/178	(52.2)	191/681	(28.0)	2.81 (2.00 to 3.94)	<0.001
Setting						
University Clinical Centre of Kosovo	66/178	(37.1)	369/681	(54.2)	0.50 (0.35 to 0.70)	<0.001

*Test for trend.

†Predelivery refers to the period after being admitted to hospital before delivery.

For other health system features, similar to our study, studies have confirmed an increasing effect on the odds for CS of women with private insurance as compared with women without insurance^{26 28 31 42 54–61} or public insurance^{26–29 31 42 55–58 60–65} and delivery during office hours.^{29 39 40 66–74} Published studies have also confirmed a decreasing effect on CS for women taken care by a

midwife during preparation for delivery^{75–80} as well as deliveries in a teaching hospital.^{81–85}

Mechanisms

Although evidence shows that private care increases the odds for CS such as in the case of delivery at for-profit hospitals¹⁴ or when receiving private antenatal care,⁵³ in

Table 3 Adjusted analysis with mixed-effects logistic regression

	Adjusted OR (95% CI)	P value
Mother		
Age <20 years	0.44 (0.17 to 1.14)	0.09
High school or higher education (≥12 years)	0.75 (0.50 to 1.14)	0.18
Urban residence	1.25 (0.71 to 2.20)	0.43
Residence in catchment area of hospital	1.53 (0.98 to 2.39)	0.06
Personal monthly income	1.55 (1.06 to 2.27)	0.03
Health insurance coverage	3.44 (1.20 to 9.85)	0.02
Predelivery care		
Women informed by a physician about negative effects of caesarean	1.09 (0.56 to 2.13)	0.80
Women instructed by a midwife on preparation for delivery	0.32 (0.19 to 0.51)	<0.001
Mother or family requesting caesarean section	3.84 (1.96 to 7.51)	<0.001
Delivery		
Delivery by a physician who provided antenatal care	2.06 (1.16 to 3.67)	0.01
Treating physician had full access to patient's antenatal care records	1.39 (0.80 to 2.40)	0.24
Delivery during office hours	2.36 (1.37 to 4.05)	<0.001
Setting		
University Clinical Centre of Kosovo	0.46 (0.24 to 0.90)	0.02

our study, we surprisingly found a small increasing effect for private antenatal care with no significant results. Nonetheless, we found a substantial increase in the odds for delivery by physician that provided antenatal care. This finding may speak of a particular nature and interplay of financial incentives in Kosovo. While in developed countries financial incentives will play a role via institutional mechanisms and arrangements,^{13 14} in low/middle-income countries such as Kosovo, financial incentives can play via informal rewards and incentives.^{86–94} CS in a public hospital may represent a ‘reward’ of ‘good care’ to patients who are loyal to their treating physician during antenatal care.¹² The fact that CS as invasive and more resource intensive procedure would be perceived as ‘good’ care by women in Kosovo is a cultural phenomenon found in other contexts as well.^{95–97} Correspondingly, higher odds for CS in privately insured women may be influenced by the perception of patient’s socioeconomic status rather than the provider’s financial incentives associated with disbursement.^{13 14}

The higher odds for CS during office hours reflect physician convenience,^{39 40} hospitals resource planning and cost-saving strategies^{40 70 74} or the effect of contractual arrangements with physicians.⁷¹ Higher odds in women preferring a CS could reflect cultural preferences,^{34 98} fear of pain during labour and birth,^{199–102} beliefs for the delivery at a specific time of the day¹⁰³ or the desire to retain a perceived intact structure and function of the perineum.^{74 99} Physicians are also increasingly responding more positively to such demand (ie, preference) for CS.¹⁰⁴ The lower odds for CS in case of care by midwives reflect practice patterns among midwives that tend to avoid labour technology,^{75 105 106} or their own personal patience

in delivery of care as compared with more anxious physicians.¹⁰⁷ Availability of new technology, procedures and professional capacity,^{81–83 108} higher accountability due to more intense interactions during clinical decision-making,¹⁰⁶ a systematic review of clinical decisions⁸² and higher compliance with clinical guidelines^{36 82 84} are features that may explain lower rates of CS in teaching hospitals.

Policy and research implications

The fact that our study reports data from a low-risk sample that received many CSs (most likely) without a clinical need for them, does imply that in Kosovo’s public hospitals we have elements of overuse^{10 11} of CS in delivery care. This situation should be addressed in two ways. First, further research should clarify further interactions of physician with patients during antenatal care to explore in more detail incentives that may be driving overprovision of CS. Second, there is enough evidence that CSs are overused in Kosovo, and this study further confirms the need for discussion of the policy measures that could address it. In addition, as the reform process unfolds, it is also important to avoid policies which could further incentivise CS.

CONCLUSION

We found that several health system characteristics are associated with the increase of CS in a low-risk population of delivering women in the public hospitals of Kosovo. These findings should be explored further and addressed via policy measures that would tackle provision of unnecessary caesareans. The study findings could assist Kosovo

to develop corrective policies that address overuse and improve delivery services and care. This study may also provide useful information for other middle-income countries that are likely to face similar challenges in over-provision of care.

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Contributors IH and DCG developed the idea for the study. IH, AF, PJ and DCG designed the study and the plan for analysis. IH, AF and MA managed and supervised data collection and performed literature review. IH, AF, PJ and DCG analysed and interpreted the data. IH, AF, PJ and DCG drafted the report, which was critically reviewed by all authors.

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