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## Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

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Title: Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

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## ABSTRACT

**Objectives:** Problem-solving skills training is adaptable, inexpensive and simple to deliver. However, its application with prisoners who self-harm is unknown. The study assessed the feasibility and acceptability of a Problem-Solving Training (PST) intervention for prison staff and prisoners who self-harm, to inform the design of a large-scale study.

**Design and setting:** A mixed methods design used routinely collected data, individual outcome measures, an economic protocol and qualitative interviews at four prisons in Yorkshire and Humber, UK.

**Participants:** (i) front-line prison staff, (ii) male and female prisoners with an episode of self-harm in the previous two weeks.

**Intervention:** The intervention comprised a one-hour staff training session and a 30-minute prisoner session using adapted workbooks and case studies.

**Outcomes:** We assessed the study processes - coverage of training; recruitment and retention rates and adequacy of intervention delivery - and available data (completeness of outcome data, integrity of routinely collected data and access to NHS resource information). Prisoner outcomes assessed incidence of self-harm, quality of life and depression at baseline and at follow-up. Qualitative findings are presented elsewhere.

**Results:** Recruitment was higher than anticipated for staff  $n=280$ , but lower for prisoners,  $n=48$ . Retention was good with 43/48 (89%) prisoners completing the intervention, at follow-up we collected individual outcome data for 34/48 (71%) of prisoners. Access to routinely collected data was inconsistent. Prisoners were frequent users of NHS healthcare. The additional cost of training and intervention delivery was deemed minimal in comparison to 'treatment as usual'. Outcome measures of self-harm, quality of life and depression were found to be acceptable.

**Conclusions:** The intervention proved feasible to adapt. Staff training was delivered but on the whole it was not deemed feasible for staff to deliver the intervention. A large-scale study is warranted, but modifications to the implementation of the intervention are required.

## STRENGTHS AND LIMITATIONS OF THIS STUDY

Prison staff and prisoners were involved in the development of our questionnaires, the intervention adaptation and production of the workbooks.

The feasibility study was conducted across four prison sites including male and female prisoners.

Outcome data were collected via a variety of different sources demonstrating variability and differences in data collection procedures.

It was not deemed feasible for staff to deliver the intervention.

## INTRODUCTION

Problem-solving skills training delivered in a systematic manner provide a non-specialist intervention that is accessible to anyone following brief training. Deficits in problem-solving skills are often found in people who self-harm and can result in reliance on others, leading to a passive as opposed to an active problem-solving approach [1-3]. Trials of problem-solving skills in the *community* demonstrate that teaching people to use brief problem-solving skills can reduce repetition of self-harm behaviour [4-6].

In prison, despite growing numbers of those who self-harm there is a lack of psychological support for prisoners and a recognised need to provide adequate staff training (NICE Guidance CG133: <https://www.nice.org.uk/guidance/cg133/chapter/2-Research-recommendations>). Evaluations of trials in prisons have explored alternative therapy models for those who self-harm (e.g., cognitive behaviour therapy and interpersonal psychotherapy), but such interventions require the use of extensive resources, large numbers of therapy sessions and qualified clinical therapists, making them inaccessible for prisoners who might only be incarcerated for short periods of time [7, 8].

Use of a brief PST intervention offers one solution to this problem. It has the advantages of being deliverable by any member of staff making it an attractive, inexpensive opportunity to reduce repeat self-harm. However, it is unclear whether the training is acceptable, or whether it can be implemented by staff in this setting. We therefore assessed the feasibility and acceptability of adapting an existing PST for frontline prison staff with the intention that they would deliver the intervention to prisoners who self-harm. This article reports on the acceptability and feasibility of the training, and the implementation of the intervention. Detailed methods on the qualitative findings are submitted elsewhere [9].

## MATERIALS AND METHODS

### Study design and setting

The study used a mixed-methods design - including quantitative collection of routine data, individual outcome measures and economic resource data, and information from staff to identify how much time was spent on 'usual care'.

The study took place in four prisons in Yorkshire and Humber between September 2014 and May 2017. The study sites included two male adult local prisons where the majority of prisoners are awaiting sentence (housing up to 1,212 and 1052 prisoners, prisons A and B), one female prison (housing up to 416: prison C) and one resettlement prison where sentenced prisoners are housed prior to transfer (housing up to 825: prison D). We report on our intervention using the template for intervention description and replication (TIDier) checklist [10].

### Patient and Public Involvement

Our research questions and outcome measures were not informed by prisoner preferences and prisoners were not involved in the recruitment to the study. Prisoners did contribute significantly to the format and adaptation of the training materials. The training materials were printed from within the prison by prisoners. The results were disseminated using an A4 summary sheet which was sent to prisoners and prison staff.

### The intervention

1  
2  
3 The PST intervention was originally devised in New Zealand for patients who self-harm in  
4 the community [11]. The seven-step model includes 'getting the right attitude' (step one),  
5 reflection and recognising triggers (step two), defining a clear problem (step three), brain  
6 storming solutions (step four), decision making (step five), making a plan (step six) and  
7 reviewing progress (step seven). Problem-solving skills are an approach that encourages an  
8 individual to address their problems in a proactive manner using the systematic seven-step  
9 process. The theory behind social problem-solving is well established and often forms part of  
10 more extensive cognitive behaviour therapy sessions [12, 13].  
11

### 12 **The adaptation of the training and intervention materials**

13  
14 The training was adapted using focus groups. They were used to ensure (i) the  
15 appropriateness and context of the case materials and (ii) to promote discussion with staff and  
16 prisoners about their views on how the study might work.  
17

### 18 **Staff training and recruitment**

19  
20 Staff were recruited with the help of prison liaison staff who assisted with room bookings,  
21 shift management and allocation of individuals to attend the training course. Using estimates  
22 provided by the prison about: the number, and type of staff employed by the prison, we  
23 estimated a feasible recruitment goal of 125 trained staff across the four sites in our 12-month  
24 training period.  
25

26 Staff received a one-hour training session between March 2015 and August 2016. Training  
27 was delivered by the research team in a flexible manner (e.g., during induction or on a  
28 lunchtime). Eligible prison staff included anyone with responsibility for prisoners who were  
29 at risk of self-harm and who were monitored under the prison system (Assessment Care in  
30 Custody Teamwork: ACCT[14]). Invited staff groups included management, probation,  
31 teaching, prison officers, chaplaincy, psychologists, specialist suicide prevention assessors  
32 and nursing staff. All staff receiving the training gave full informed consent.  
33

### 34 **Recruitment and implementation of the intervention with prisoners who self-harmed**

35  
36 Recruitment of prisoners occurred at prison sites A, B and D. In site C access to the prison  
37 site was limited. Our feasible recruitment goal of 120 were based on access to three sites and  
38 monthly prison information on the numbers of those 'at risk'.  
39

40  
41 Prisoners were identified via the ACCT register and approached by a member of the research  
42 team or prison staff. Eligible prisoners were 1) >16 years or over and (2) with an episode of  
43 self-harm or attempted suicide in the previous two weeks. Prisoners were excluded if (i) an  
44 ACCT was opened for reasons other than actual self-harm or attempted suicidal behaviour,  
45 (ii) they were deemed too unwell by prison staff, or (iii) posed a risk to the researchers.  
46 Consenting participants completed baseline and follow-up questionnaires.  
47

48  
49 The entirety of the intervention was delivered in a 30-minute session. The session  
50 demonstrated use of the seven-steps using the booklets and case studies developed in the  
51 focus groups. Prisoners were invited to attend subsequent follow-up sessions to assess  
52 progress and support their engagement with the intervention.  
53

### 54 **Feasibility and acceptability measures**

Data were collected on rates of recruitment, consent and retention for staff and prisoners. Reasons for non-participation and withdrawal were collected, where possible.

For outcome measures we assessed feasibility and acceptability by recording completion and follow-up rates. Typically, completion rates <50% are taken to indicate non-feasibility, >75% as indicating feasibility, and 51-74% as ambiguous - requiring modifications to design or implementation plans and reconsideration.

Our primary outcome proposed for a definitive trial was incidence of self-harm. Data on self-harm and/or attempted suicides were recorded at three months prior to baseline, baseline, post-intervention and at three months follow-up (or up to point of release or transfer) from SystmOne using the search terms 'self-harm' and 'F213'<sup>7</sup>.

Individual secondary outcomes at baseline and follow-up included measurement of quality of life using the EQ-VAS: [15] and depression using the PHQ-9:[16]. The EQ-VAS is a self-rated questionnaire providing description of the subject's current health in five dimensions i.e., mobility, self-care, usual activities, pain/discomfort and anxiety/depression and is rated into one of three degrees of disability (severe, moderate or none). The PHQ-9 is a well validated tool for the measurement of depression with robust psychometric properties, reliability and validity in adult community populations.

Costs were estimated by: (i) completion of a self-report questionnaire reporting on access to NHS treatment before, during and after the study (ii) staff interviews to ascertain the average time spent on each ACCT process, (iii) a case note review of eleven prisoner ACCT documents to record the amount of staff time involved in the ACCT procedure, and (iv) the number of training sessions, numbers of staff attending each session, and the duration and timing of each training session.

We obtained routinely collected electronic ACCT data consisting of individual and monthly ACCT information between January 2012 and December 2016. The time period of the data collection was prescribed by the individual prison data collection protocols (table 1 supplementary materials). We found that data were comparable from our four prison sites across this time period. Prior to 2012 the comparability of data and access to data were found to be limited and December 2016 was the latest date for which all prisons had complete data.

## DATA ANALYSIS

Data were summarised, by prison, using descriptive summary statistics. The information included the description of the focus group participants, the number of training sessions and staff attending training sessions. The feasibility and success of recruitment of prisoners to the study is evaluated through summaries of the screening, eligibility, consent and recruitment processes.

A summary of the variability of available routine data across: outcomes, prison and wings (where available), and the estimated cost of usual care were informed using staff information and case review process. Delivery and implementation of the PST intervention were estimated using the numbers of training sessions, numbers of staff attending, standardised staff costs, facilitator time in the delivery of the session and preparation for each session alongside the cost of materials. Summary statistics for prisoner's baseline characteristics and

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<sup>7</sup> F213 is the title of the form used by the prison service to record incidents of self-harm behaviour



outcomes for the incidence of self-harm behaviour, quality of life, depression and information on access to NHS treatment were recorded.

## RESULTS

### Feasibility assessment

#### *Adapting and developing the materials*

During 2015 staff and prisoners were nominated by each prison to participate in focus groups. 31 staff participants attended (table two supplementary materials). They comprised of mainly operational 17/31(55%) or managerial 6/31 (19%) staff with a mean age of 37 years. The majority were female 20/31 (66%), spoke English as their first language 27/31 (88%) and were British 27/31 (90%).

Six focus groups involving 67 prisoners, included mainly male prisoners 56/67 (83.6%) with a mean age of 39.8 years (SD 9.63). There were fewer prisoners on remand or first-time offenders involved in the focus groups, compared to recruited prisoners for the study (table three supplementary materials). The process resulted in two gender-specific picture booklets and a series of exercises with associated case study scenarios that were used in the training and delivery of the intervention.

#### *Coverage of staff training and recruitment*

280 prison staff were trained between March 2015 and August 2016 (see figure 1). Training was delivered by the research team to staff groups with a mean size of 8 staff (range of group sizes 2-19). Recruitment of staff to training sessions appeared to be acceptable and feasible.

*[Insert Figure 1 here]*

Staff trained were mainly operational (120, 43%) or healthcare staff (78, 28%); other staff included a number of voluntary, managerial, admin, education, and offender manager probation staff. Mean age of staff trained was 42 years, 59% were male, and almost all spoke English as their first language and were British. Trained staff had spent a median of 8 years (range <1 month – 36 years) working in the prison service (see table 1).

*[Insert table 1 here]*

#### *Screening and recruitment of prisoners*

During the three-month recruitment period at each site a total of 281 prisoners were eligible to participate as per the study criteria. Of these, 106/281 (37%) were released or transferred to another prison site prior to invitation to attend an appointment in healthcare. The average time between identification of an eligible participant and meeting them to inform them about the studied varied between at each site between one and three weeks.

Of the remaining 175 (62%), 95/175 were not seen in healthcare for a variety of reasons. These included: 66/95 (69%) people who did not attend their appointment to be informed about the study following three consecutive invitations, 9/95 (9%) were considered too dangerous to approach, 6/95 (6%), lacked sufficient capacity, 5/95 (5%), were transferred or released prior to attending the appointment, 8/95 (8%), were not approached by the research team due to limited resources within the team and one person died 1/95 (1%). Of the remaining 83 people, 6 (7%) attending the appointment were deemed not eligible reporting



no incident of actual self-harm behaviour. For the remaining 75 people 29/75 (39%) did not consent to take part leaving 48/75 (66%) consenting participants.

[Insert Figure 2 here]

The median age of prisoners was 30 years (range 19 to 58 years). All but three were White British, and all spoke English as their first language. Two thirds 32/48 (67%) were single and had never married; the majority smoked 39/48 (83%) and did not have a physical or learning disability (36/48 77% and 33/48 69%). Only a minority of prisoners recruited from prison B and none of those in prison D were on remand, whilst almost half of prison A recruited prisoners were on remand 22/48 (46%). Only a quarter were first time offenders 12/48 (25%), the number of times prisoners had been in prison ranged up to 50, with a median of 3 times. The median length of sentence was 27 months, with prisoners having spent a median of 3 months (range 2 days to 2 years) in their current and a median of 9 months left in prison (range 3 days to 15 years). For self-harm details see table four supplementary materials.

### ***Retention***

5/48 (10%) participants did not complete the intervention and withdrew from the study (figure 2); although general reasons were not provided for withdrawal. We tracked the transfer of 7/48 (15%) prisoners between our study sites. Transfer reasons included the progression of prisoners through their sentence (e.g., from a local prison to our resettlement prison) or were unexpected due to a security breach.

### ***Adequacy of intervention delivery with prisoners who self-harmed***

Between August 2015 and June 2016 delivery of the intervention by staff occurred for only two prisoners. At prison C the research team had limited access to deliver the intervention and instead the prison decided to take the booklets and distribute them on the wings to target bullying. For the remaining 46/48 (96%) participants the intervention was delivered by members of the research team in the healthcare unit.

The median time spent on intervention delivery was 40 minutes per prisoner, (range 30-90 minutes). The overall time spent with the researcher, including the baseline assessment, intervention delivery, follow up questionnaire for outcomes and qualitative interview averaged a median of 80 minutes, (range 30 minutes up to 2 hours 30 minutes) over a period of 1-7 contact appointments. In interviews, the intervention was acceptable to prisoners who received the intervention [5].

### ***Acceptability of outcome measures***

#### ***Use of routinely collected data to inform large-scale study***

We found that reporting of self-harm data was complicated and recorded by several different methods, with variability in recording and differing definitions of self-harm across the four sites (table five supplementary materials). Figure 3 shows the variability in monthly number of ACCTs opened at each site per 100 prisoners. The greatest variability of open ACCTs was displayed in prison C (our female site): figures 1 and 2 supplementary materials provide further details.

[Insert Figure 3 here]

### ***Estimating the costs***

The estimated cost of usual care were gathered in staff interviews whereby staff told us how much time on average they spent conducting each element of the ACCT process (figure 3 supplementary materials). Using this data each task in the ACCT process was assigned a proportionate salary costs (table six supplementary materials).

The eleven case reviews identified a total of twenty-four ACCTS documents. For two prisoners the ACCT was in use at the point of data collection providing a conservative estimate of cost. The numbers of case reviews for each prisoner ranged from one to thirty-three, the number of staff observations ranged between 0 and 5520. The total administrative costs for the eleven prisoners was estimated at £21,650, an average of £1,968 per prisoner (range £375-£6416).

Training costs included a notional hourly rate (of £15 per person) to release staff attending the training session. The delivery costs included travel, preparation time and cost of course materials. Across sites we estimated training and intervention costs of between £500 and £6406 equating to a cost per prisoner of between £125 and £246 (table seven supplementary material). Overall it proved feasible to gather resource information to provide a cost estimate of usual care, delivery of training and implementation of the intervention.

### ***Prisoner outcomes***

100% of those agreeing to participate in the study completed the baseline assessment. Follow-up times varied considerably, taking place a median of 2.8 months after recruitment but up to a maximum of 15 months for one prisoner (see figure 4). The timing of follow up assessments fell into three clusters, the largest cluster taking place within the first three months post recruitment, a further set taking place between 6 and 8 months post recruitment in prison A. Follow-up was affected when access to prison A was halted for a three-month period. Overall the average follow-up rate for questionnaire returns was 34/48 (71%) across the three sites. The changes in scores reflect them as potentially useful outcome measures that could be used in a large-scale evaluation.

[Insert Figure 4 here].

### ***Primary outcome: incidence of self-harm behaviour***

Incidence of self-harm behaviour appeared to decrease over the life time of the project. At 3 months prior to baseline, 32/48 (66%) prisoners had harmed themselves. This reduced to 9/48 (18%) prisoners at post-test. Data on prisoner ACCTs are shown in figure three supplementary materials.

### ***Secondary outcomes***

#### ***Quality of life***

A total of 32/48 (66%) of individuals completed full information on the EQ-VAS. The baseline mean score (0.504, SD 0.34) fell post intervention (0.625, SD, 0.347).

#### ***Depression***

At baseline, median scores were high at 18 and most prisoners had either moderately severe 18/48 (38%) or severe depression 20/48 (40%). Prisoners' at follow-up had lower depression

1  
2  
3 scores with just 7/48 (15%) classed as moderately severely depressed, and 13/48 (27%) as  
4 severely depressed.

### 5 *Access to NHS services*

6  
7 All 48 prisoners had received some NHS service provision whilst in prison. Access to a GP  
8 (range 1-10 appointments), pharmacist (daily drug dispensing) or duty nurse (range 1-35  
9 appointments) appointments were the most cited points of contact. 35/48 (73%) prisoners  
10 reported accessing mental health services, two reported access to a psychological therapy.  
11 Just under a quarter 11/48 (23%) had experienced a hospital admission and 13/48 (27%)  
12 (range 1-9) reported attending accident and emergency in the three months prior to  
13 incarceration. In all cases, admissions were related to synthetic cannabinoids intake,  
14 overdose, attempted hanging or feeling suicidal. There were no adverse events reported as  
15 part of the PST intervention during the study period.  
16  
17

## 18 **DISCUSSION**

19  
20 The study aimed to assess the feasibility and acceptability of adapting and implementing a  
21 brief PST intervention for prison staff and prisoners at risk of self-harm. Our results indicate  
22 that staff can be trained in using these skills though most were unable to implement them  
23 with those who self-harmed. Prison staff faced severe time pressures, and limited resources  
24 making it difficult to accommodate the translation of knowledge into practice. This is a  
25 common problem in the design and implementation of complex interventions in organisations  
26 other than healthcare [17]. These findings emerged during the implementation phase. The  
27 brief nature of the training sessions themselves did not, perhaps, facilitate the expression of  
28 these doubts or tackle approaches to translation of skills into practice in a pressured  
29 environment.  
30  
31

32  
33 Attrition from the study sample by prisoners was minimal due to the 30-minute intervention  
34 design. Previous prison trials have demonstrated relatively high levels of attrition. In our  
35 study (despite a lack of access to one site for three months) we managed to produce  
36 encouraging follow-up rates (71%) suggesting that our outcomes were acceptable. Our  
37 findings are comparable with other pilot trials of self-harm in prisons [7, 8] and trials of  
38 suicide prevention more broadly in the community [18]. We were able to track participants  
39 through our sites. This allowed us to collect follow-up data on seven participants who were  
40 released from prison A, and either returned back to the same prison during the study period or  
41 were transferred to prison C prior to release. Prison function is therefore an important  
42 consideration. Turnover of prisoners at our local prison sites (e.g., prisons A and B) was  
43 considerably greater than in our resettlement prison. This finding is supported elsewhere  
44 with data provided from prison A in a recent Inspectorate report showing that 430/1109  
45 (38%) were imprisoned for less than three months in 2017. Prisoners followed from prison A  
46 through to prison C were notably in a better position to engage with training when in the  
47 resettlement prison. This system of 'tracking' participants provides a potential mechanism to  
48 ensure adequate follow-up in a large-scale study.  
49  
50

51 There were limitations with the development of our economic protocol in the assumptions  
52 made with regards to costs for usual care which are not necessarily representative. Access,  
53 quality and consistency of these data varied across the prison sites and led us to conclude that  
54 such routine data could only be used to measure the impact of any future evaluation if  
55 additional data were provided or stricter collection protocols and monitoring were deployed.  
56  
57

We also propose that any new study should include individual self-report information and information from local and national data sources. This method is not dissimilar to other data collection mechanisms in two pilot trials of self-harm in UK prisons where prisoners report suicidal behaviours, thoughts and feelings [7, 19].

In designing a large-scale study, we have sufficient information to inform our outcomes of measurement and feasibility of data collection. However, alternative implementation mechanisms need to be identified prior to any large-scale study. Our qualitative findings (submitted elsewhere) suggest two alternative options: first, use of trusted prisoners as ‘problem-solving champions’ to deliver the skills to peers on the wings and/or second, delivery of problem-solving skills to prisoners through education classes.

## CONCLUSIONS

The study suggests that the modified version of PST, adapted for training, was acceptable to prisoners. Although the study demonstrated that it was currently not feasible to deliver the intervention using prison staff it provides insight into how such an intervention with prisoner-staff involvement can be adapted for use in a different environment.

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### Contributors:

AP, AH AND MW designed and conducted most of the study with considerable input from AH. AWH and AF took the lead in performing the statistical analyses together and JG was the lead for analysing the qualitative interviews with AP. GR and NW led the development of the economic protocol and information on the study costs. NW supported access to the prison sites and all authors provided input into the writing of the manuscript.

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**Patient consent:** Obtained

**Competing interests** None declared

**Ethics approval:** Ethical approval for the study was obtained for phase one from NHS REC approval [NRES, North East York, 28.10.14] and NOMS [1.9.14] and phases two-five [Bristol REC Centre, London South East, 6.1.15] from NHS REC approval, NOMS [6.3.15] and the Department of Health Sciences at the University of York for all phases [11.12.14]. As the material was adapted and developed for appropriate use within each prison we were granted one substantive amendment to the project from all parties during July 2015.

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**Data sharing statement:** Participant level data, the full data set and statistical codes are available from the corresponding author.

### Figure Legends:

Figure 1: Staff trained and participating focus groups

Figure 2: Flow of study participants through study

Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 4: Time between recruitment and questionnaire follow-up assessment

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Table one: Demographic information of staff trained

	PrisonA (n=175)	PrisonB (n=79)	PrisonC (n=18)	PrisonD (n=8)	Total (n=280)
<b>Time working in the prison service (Years)</b>					
N	172	78	18	7	275
Mean (SD)	8.5 (8.93)	13.0 (9.04)	12.9 (8.45)	12.1 (9.91)	10.1 (9.16)
Median (Range)	6.0 (0.0, 36.0)	11.8 (0.1, 35.3)	12.5 (0.5, 25.0)	10.5 (0.5, 29.2)	8.0 (0.0, 36.0)
<b>Time working in this prison (Years)</b>					
N	172	78	18	7	275
Mean (SD)	6.2 (7.48)	11.2 (8.15)	7.9 (7.61)	9.0 (7.24)	7.8 (7.96)
Median (Range)	3.3 (0.0, 31.0)	10.9 (0.1, 35.3)	4.5 (0.3, 24.3)	7.9 (1.1, 20.8)	5.5 (0.0, 35.3)
<b>Since working here have you encountered an incident of self-harm?</b>					
Yes	119 (68.0%)	68 (86.1%)	18 (100.0%)	8 (100.0%)	213 (76.1%)
No	52 (29.7%)	11 (13.9%)	0 (0.0%)	0 (0.0%)	63 (22.5%)
Missing	4 (2.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	4 (1.4%)
<b>Most recent self-harm incident?</b>					
Within the past 7 days	44 (37.0%)	28 (41.2%)	7 (38.9%)	3 (37.5%)	82 (38.5%)
Within the past month	24 (20.2%)	17 (25.0%)	4 (22.2%)	0 (0.0%)	45 (21.1%)
Two months or more	20 (16.8%)	8 (11.8%)	2 (11.1%)	1 (12.5%)	31 (14.6%)
Missing	31 (26.1%)	15 (22.1%)	5 (27.8%)	4 (50.0%)	55 (25.8%)
<b>Type of incident?</b>					
Self-poisoning	10 (8.4%)	1 (1.5%)	0 (0.0%)	1 (12.5%)	12 (5.6%)
Self-injury	94 (79.0%)	61 (89.7%)	16 (88.9%)	6 (75.0%)	177 (83.1%)
Mixed self-poisoning and self-injury	7 (5.9%)	4 (5.9%)	2 (11.1%)	1 (12.5%)	14 (6.6%)
Suicide	7 (5.9%)	2 (2.9%)	0 (0.0%)	0 (0.0%)	9 (4.2%)
Missing	1 (0.8%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.5%)
<b>Attended self-harm training?</b>					
Yes	74 (42.3%)	48 (60.8%)	13 (72.2%)	3 (37.5%)	138 (49.3%)
No	96 (54.9%)	30 (38.0%)	3 (16.7%)	5 (62.5%)	134 (47.9%)
Cannot recall	4 (2.3%)	1 (1.3%)	2 (11.1%)	0 (0.0%)	7 (2.5%)
Missing	1 (0.6%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.4%)
<b>Time since self-harm training?</b>					
N	66	45	13	3	127
Mean (SD)	30.5 (38.22)	20.4 (27.32)	41.7 (53.84)	19.0 (20.42)	27.8 (36.64)
Median (Range)	12.0 (0.0, 180.0)	12.0 (0.0, 120.0)	12.0 (1.0, 168.0)	12.0 (1.0, 42.0)	12.0 (0.0, 180.0)
<b>Who provided this training?</b>					
Prison service	59 (79.7%)	42 (87.5%)	8 (61.5%)	3 (100.0%)	112 (81.2%)
NHS	3 (4.1%)	0 (0.0%)	2 (15.4%)	0 (0.0%)	5 (3.6%)
Nurse Training	2 (2.7%)	1 (2.1%)	0 (0.0%)	0 (0.0%)	3 (2.2%)



	<b>PrisonA (n=175)</b>	<b>PrisonB (n=79)</b>	<b>PrisonC (n=18)</b>	<b>PrisonD (n=8)</b>	<b>Total (n=280)</b>
Other including University	3 (4.1%)	2 (4.2%)	1 (7.7%)	0 (0.0%)	6 (4.3%)
Missing	7 (9.5%)	3 (6.3%)	2 (15.4%)	0 (0.0%)	12 (8.7%)
<b>Length of training?</b>					
1 hour	19 (25.7%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	20 (14.5%)
2 hours	9 (12.2%)	4 (8.3%)	1 (7.7%)	1 (33.3%)	15 (10.9%)
Half day	16 (21.6%)	14 (29.2%)	1 (7.7%)	1 (33.3%)	32 (23.2%)
Full day	13 (17.6%)	18 (37.5%)	7 (53.8%)	1 (33.3%)	39 (28.3%)
More than one day	7 (9.5%)	4 (8.3%)	3 (23.1%)	0 (0.0%)	14 (10.1%)
Missing	10 (13.5%)	8 (16.7%)	0 (0.0%)	0 (0.0%)	18 (13.0%)

For peer review only

Figure 1: Staff trained and participating focus groups

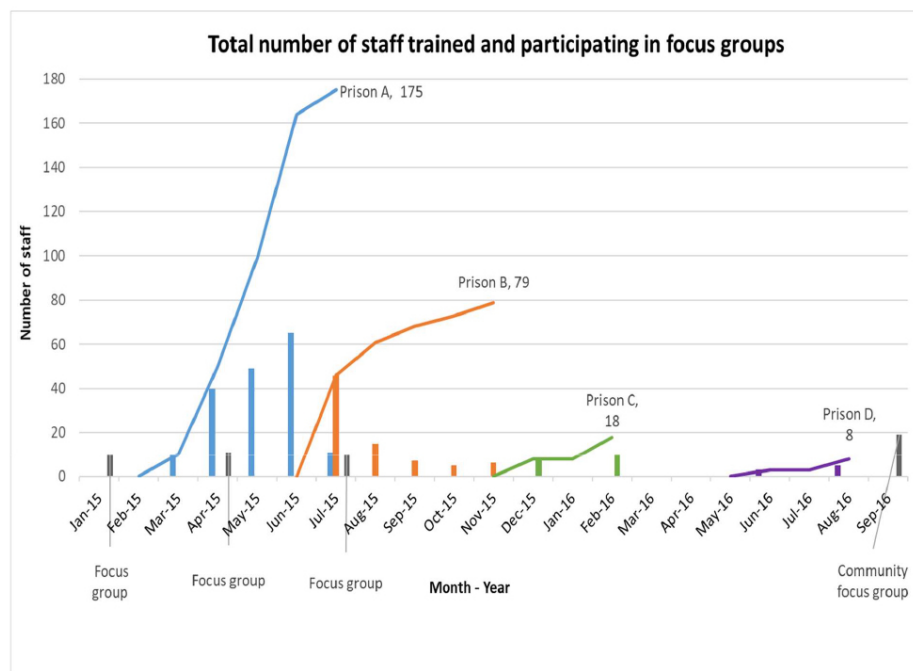


Figure 1: Staff trained and participating focus groups

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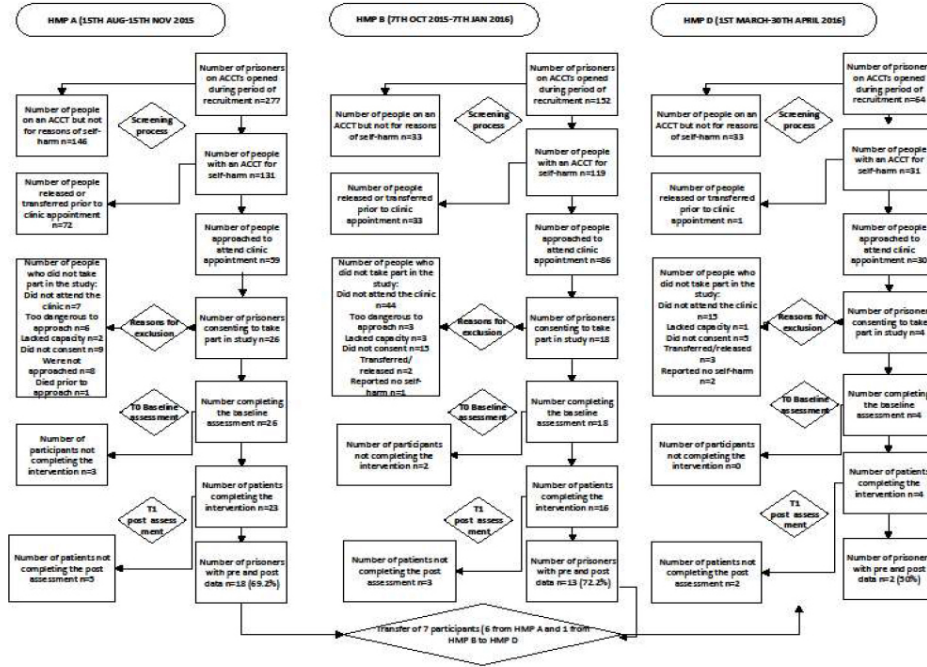


Figure 2: Flow of study participants through study

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Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

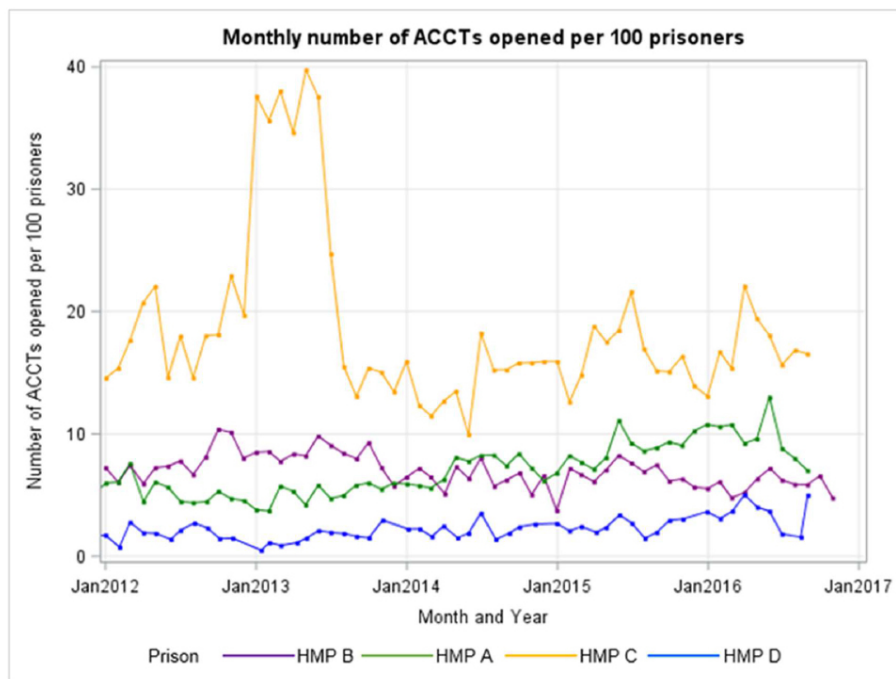
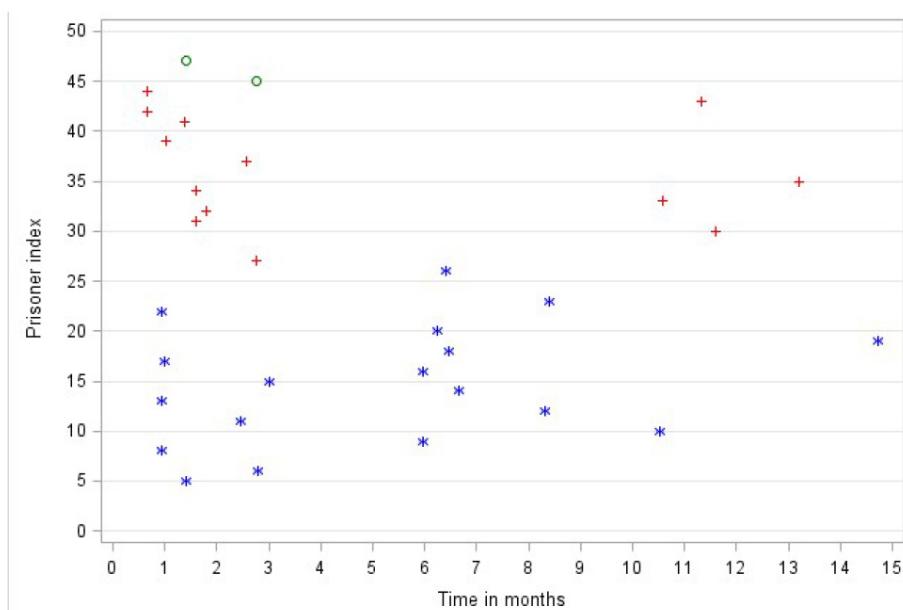


Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

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Figure 4: Time between recruitment and questionnaire follow-up assessment



Key: \* = HMP A, + = HMP B, o = HMP D.

Figure 4: Time between recruitment and questionnaire follow-up assessment

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## Web Appendix Supplementary Materials

Appendix A: Table one data collection protocols

Appendix B: Table two prison staff focus group participation

Appendix C: Table three prisoner focus group participation

Appendix D: Table four prisoner self-harm details

Appendix E: Table five coding variability across prison sites

Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month

Appendix G: Figure 2 monthly number of ACCTs opened per 100 prisoners

Appendix H: Figure 3 Assessment Care in Custody and Teamwork (ACCT) process

Appendix I: Table six standardised ACCT process costs

Appendix J: Table seven training and implementation costs

Appendix K: Figure three prisoner ACCTs, intervention and post assessment relative to baseline assessment

Appendix L: Figure four Phq-9 score at baseline and follow-up

## Appendix A: Table one data collection protocols

Prison Site	Prison			
	Prison A	Prison B	Prison D	Prison C
<b>Frequency of ACCTs per year</b>				
2009			61	
2010			118	
2011	754		168	
2012	756	840	170	779
2013	730	734	154	718
2014	1012	645	208	688
2015	1219	798	249	729
2016 – partial*	1010	675	262	605
<b>Number of ACCTs per year (2012 - 2015)</b>				
Mean (SD)	929.3 (231.31)	754.3 (84.88)	195.3 (42.39)	728.5 (37.86)
Median (Range)	884 (730, 1219)	766 (645, 840)	189 (154, 249)	724 (688, 779)

\*2016 frequencies up to September in Prison A, D and C1, and up to November in Prison B.



Appendix B: Table two prison staff focus group participation

	<b>PrisonA (n=10)</b>	<b>PrisonB (n=11)</b>	<b>PrisonC (n=10)</b>
<b>Type of staff</b>			
Operational staff	7 (70.0%)	7 (63.6%)	3 (30.0%)
Managerial staff	0 (0.0%)	1 (9.1%)	5 (50.0%)
Healthcare staff	0 (0.0%)	1 (9.1%)	2 (20.0%)
Visitor to the prison	2 (20.0%)	0 (0.0%)	0 (0.0%)
Admin / Probation	1 (10.0%)	1 (9.1%)	0 (0.0%)
Missing	0 (0.0%)	1 (9.1%)	0 (0.0%)
<b>Gender</b>			
Male	4 (40.0%)	5 (45.5%)	3 (30.0%)
Female	6 (60.0%)	6 (54.5%)	7 (70.0%)
<b>Age</b>			
N	10	10	9
Mean (SD)	33.7 (10.07)	42.7 (11.41)	46.9 (6.15)
Median (Range)	33.0 (19, 49)	44.0 (21, 58)	47.0 (36, 56)
<b>First language</b>			
English	8 (80.0%)	11 (100.0%)	10 (100.0%)
Hungarian	0 (0.0%)	0 (0.0%)	0 (0.0%)
German	0 (0.0%)	0 (0.0%)	0 (0.0%)
Missing	2 (20.0%)	0 (0.0%)	0 (0.0%)
<b>Ethnic group</b>			
British	10 (100.0%)	11 (100.0%)	9 (90.0%)
Irish / Other white background	0 (0.0%)	0 (0.0%)	0 (0.0%)
White and Black Caribbean	0 (0.0%)	0 (0.0%)	0 (0.0%)
Indian / Pakistani	0 (0.0%)	0 (0.0%)	1 (10.0%)
<b>Religious preference</b>			
No religion	2 (20.0%)	6 (54.5%)	1 (10.0%)
Christian	8 (80.0%)	5 (45.5%)	8 (80.0%)
Muslim	0 (0.0%)	0 (0.0%)	1 (10.0%)
Hindu	0 (0.0%)	0 (0.0%)	0 (0.0%)
<b>Consider yourself disabled?</b>			
Yes	0 (0.0%)	0 (0.0%)	0 (0.0%)
No	10 (100.0%)	11 (100.0%)	10 (100.0%)
<b>Highest academic qualification?</b>			
Post Graduate	0 (0.0%)	0 (0.0%)	0 (0.0%)
Graduate	5 (50.0%)	5 (45.5%)	2 (20.0%)
A Level or equivalent	5 (50.0%)	3 (27.3%)	7 (70.0%)
GCSE or equivalent	0 (0.0%)	3 (27.3%)	1 (10.0%)

Appendix C: Table three prisoner focus group participation

	<b>PrisonA (n=13)</b>	<b>PrisonB (n=43)</b>	<b>PrisonC (n=11)</b>	<b>Total (n=67)</b>
<b>Are you on Remand?</b>				
Yes	2 (15.4%)	2 (4.7%)	1 (9.1%)	5 (7.5%)
No	11 (84.6%)	38 (88.4%)	10 (90.9%)	59 (88.1%)
Missing	0 (0.0%)	3 (7.0%)	0 (0.0%)	3 (4.5%)
<b>First time offender?</b>				
Yes	4 (30.8%)	19 (44.2%)	7 (63.6%)	30 (44.8%)
No	9 (69.2%)	23 (53.5%)	4 (36.4%)	36 (53.7%)
Missing	0 (0.0%)	1 (2.3%)	0 (0.0%)	1 (1.5%)
<b>Age first entered prison (years)</b>				
N	13	42	11	66
Mean (SD)	21.3 (7.61)	30.0 (13.13)	40.5 (12.71)	30.0 (13.35)
Median (Range)	18.0 40.0)	(15.0, 27.0 61.0)	(13.0, 39.0 60.0)	(18.0, 26.0 61.0)
<b>Number of times in prison?</b>				
N	13	40	11	64
Mean (SD)	7.2 (8.67)	2.8 (3.12)	1.2 (0.40)	3.4 (4.94)
Median (Range)	4.0 (0.0, 30.0)	1.5 (1.0, 15.0)	1.0 (1.0, 2.0)	1.0 (0.0, 30.0)
<b>Time spent in this prison? (months)</b>				
N	13	42	11	66
Mean (SD)	9.2 (10.66)	29.0 (25.27)	34.8 (26.98)	26.0 (24.71)
Median (Range)	6.0 (1.0, 42.0)	24.0 102.0)	(2.0, 24.0 84.0)	(11.0, 16.0 102.0)
<b>Length of sentence (months)</b>				
N	11	38	11	60
Mean (SD)	167.5 (344.02)	208.5 (231.48)	181.9 (108.94)	196.1 (236.56)
Median (Range)	30.0 (18, 1188)	120.0 (8, 666)	168.0 (42, 333)	126.0 (8, 1188)
<b>Months left until sentence expiry</b>				
N	9	30	8	47
Mean (SD)	36.1 (44.62)	64.0 (59.95)	76.5 (56.46)	60.8 (57.15)
Median (Range)	16.3 120.2)	(3.7, 41.0 257.9)	(0.8, 56.4 154.6)	(6.2, 40.2 257.9)

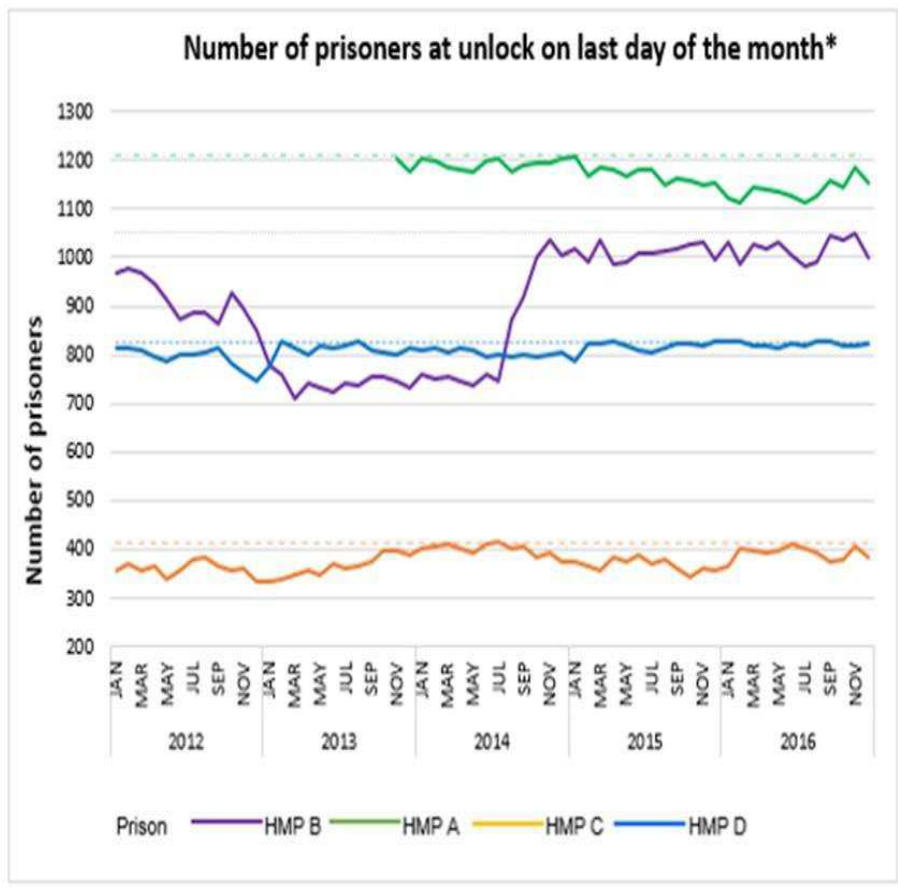
Appendix D: Table four prisoner self-harm details

	<b>PrisonA (n=26)</b>	<b>PrisonB (n=18)</b>	<b>Prison D (n=4)</b>	<b>Total (n=48)</b>
<b>Ever harmed yourself?</b>				
Yes	26 (100.0%)	18 (100.0%)	4 (100.0%)	48 (100.0%)
<b>Time since most recent self-harm (months)</b>				
N	26	16	4	46
Mean (SD)	1.0 (0.72)	0.7 (0.45)	1.5 (1.86)	1.0 (0.79)
Median (Range)	1.0 (0.0, 3.0)	0.7 (0.0, 1.5)	0.8 (0.1, 4.2)	0.7 (0.0, 4.2)
<b>Self-Harm frequency</b>				
Every day	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Twice a week	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
Once a week	4 (15.4%)	1 (5.6%)	1 (25.0%)	6 (12.5%)
Every two weeks	0 (0.0%)	4 (22.2%)	1 (25.0%)	5 (10.4%)
Once a month	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
3 monthly	3 (11.5%)	1 (5.6%)	1 (25.0%)	5 (10.4%)
Less often than three monthly	12 (46.2%)	7 (38.9%)	1 (25.0%)	20 (41.7%)
Missing	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)
<b>Type of most recent self-harm</b>				
Ligature	2 (7.7%)	3 (16.7%)	0 (0.0%)	5 (10.4%)
Cutting	14 (53.8%)	11 (61.1%)	4 (100.0%)	29 (60.4%)
OD Medication/ Recreational drug overdose	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Electrocution	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Hunger strike	3 (11.5%)	0 (0.0%)	0 (0.0%)	3 (6.3%)
<b>How easy was it for you to get help?</b>				
Very Easy	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Took some time	10 (38.5%)	6 (33.3%)	2 (50.0%)	18 (37.5%)
There was no help available	7 (26.9%)	4 (22.2%)	0 (0.0%)	11 (22.9%)
I didn't bother to ask	3 (11.5%)	3 (16.7%)	2 (50.0%)	8 (16.7%)
can't remember	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)

Appendix E: Table five coding variability across prison sites

Prison B	Prison A	Prison C	Prison D
<p><b>Prior to Nov 2013:</b> Not available</p> <p><b>Nov 2013 – Aug 2014:</b> coded as self-harm or concerns</p> <p><b>Aug 2014 – Oct 2015:</b> free text reasons</p> <p><b>Oct 2015 – present:</b></p> <ol style="list-style-type: none"> <li>1. Suicide attempt or statement of intent to take own life</li> <li>2. Self-injury or statement to self-harm</li> <li>3. Unusual behaviour/talk</li> <li>4. Very low mood</li> <li>5. Drug Alcohol Withdrawal</li> <li>6. Other concerns</li> <li>7. Self-harm warning received from court</li> </ol> <p><i>Not possible to distinguish acts of self-harm and suicide from intent, statements, or concerns.</i></p>	<p>Recorded as free text.</p> <p><i>Not always possible to distinguish acts of self-harm and suicide from intent, statements, or concerns.</i></p>	<p>Not available</p>	<p><b>Prior to mid-2016:</b></p> <ol style="list-style-type: none"> <li>1. Suicide Attempt</li> <li>2. Statement/thoughts of intent to kill self</li> <li>3. Self-Harm</li> <li>4. Statement of intent/thoughts to self-harm</li> <li>5. Unusual Behaviour</li> <li>6. Low mood</li> <li>7. Problems related to Drug / Alcohol withdraw</li> <li>8. External Concerns</li> <li>9. Deportation</li> <li>10. Bullying</li> <li>11. Other</li> </ol> <p><b>Mid-2016 to present:</b></p> <ol style="list-style-type: none"> <li>1. Suicide attempt or Statement of intent to take own life</li> <li>2. Self-Injury or Statement to Self-Harm</li> <li>3. Unusual behaviour/Talk</li> <li>4. Low Mood</li> <li>5. Drug Alcohol Withdrawal</li> <li>6. Other Concerns</li> </ol> <p><i>Method coding also provided and varies</i></p>
<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 39% Related to SH or suicide</li> <li>• 20% Other</li> <li>• 41% Missing</li> </ul>	<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 36% due to SH incident or suicide attempt</li> <li>• 39% related to SH or suicide</li> <li>• 25% Other</li> <li>• &lt;1% Missing</li> </ul>		<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 39% due to a SH incident or suicide attempt</li> <li>• 24% related to SH or suicide</li> <li>• 33% Other</li> <li>• 4% Missing</li> </ul>

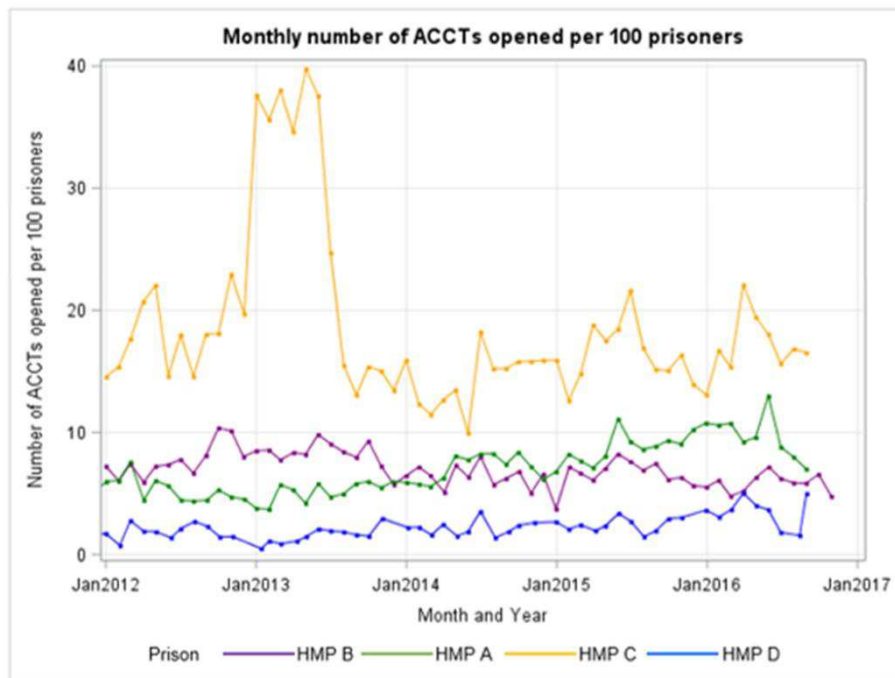
Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month



\*---- indicates capacity

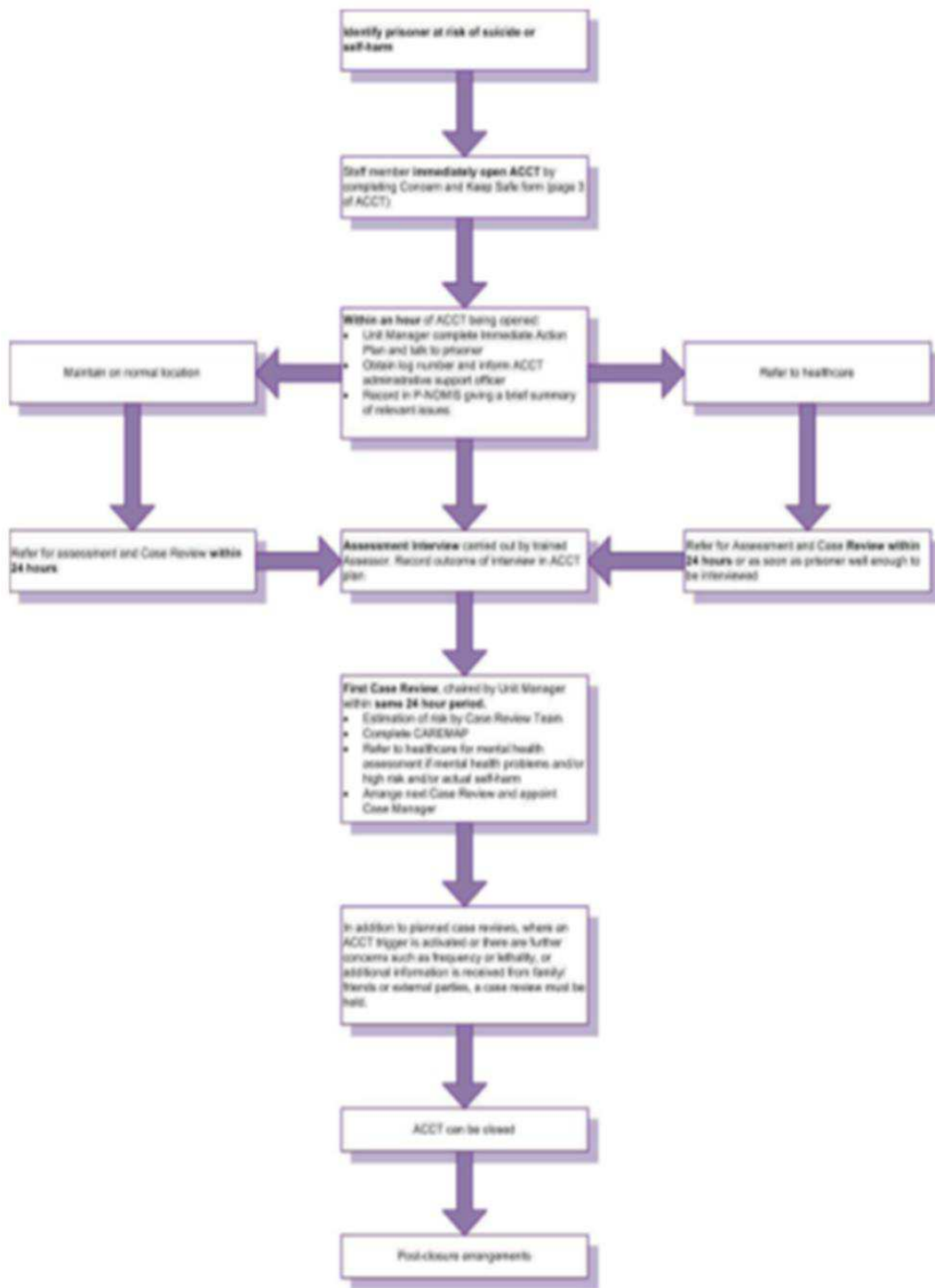


Appendix G: Figure 2 monthly number of ACCTs opened per 100 prisoners



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Appendix H: Figure 3 Assessment Care in Custody and Teamwork (ACCT) process





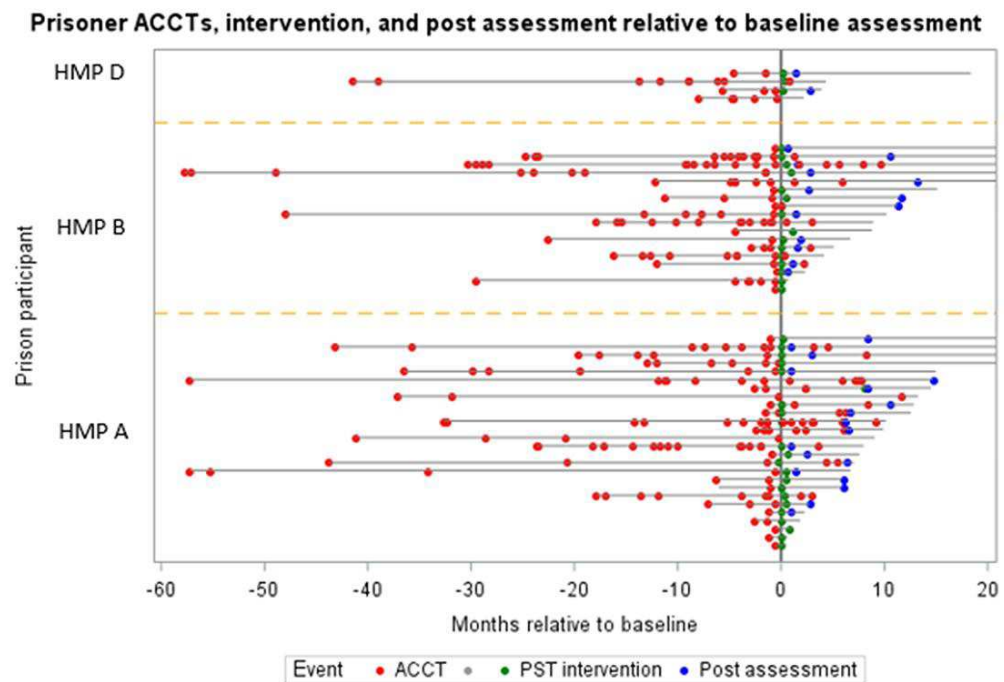
Appendix I: Table six standardised ACCT process costs

ACCT task per person	Initial ACCT opening and assignment of case manager by safer custody administration staff (minutes) £	Initial assessment by Case Manager (minutes) £	Case review attendance by two operational staff, one healthcare/other agency e.g., chaplaincy (minutes) £	Observation and case note entry into the ACCT documentation by Case manager (minutes) £	Post closure review (7 days after an ACCT has been shut). Interview between patient and Case Manager (minutes) £	Audit checks and data entry on the ACCT documentation once the ACCT shut by safer custody administrator (minutes) £
Time allocated	(30)	(30)	(60)	(5)	(30)	(30)
Standardised cost	4.60	6.50	39	1.05	6.50	4.60

Appendix J: Table seven training and implementation costs

Prison	Training Period	Number of staff trained	Number of training sessions	Cost for staff attending the training sessions (£)	Average cost per training sessions (£)]	Number of prisoners receiving the intervention	Overall intervention time (minutes)	Average intervention time spent per person (minutes)	Cost of intervention per head (£)	Cost of training and intervention delivery (£)	Overall cost per prisoner (£)]
HMP A	15.2.15-7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP B	15.2.15-7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP C	11.12.15-26.2.16	18	2	270	207.6	-	-	-	-	415.20	0
HMP D	23.6.16-9.8.16	8	2	120	132.6	4	90	22.5	£28.87	500.7	125.17

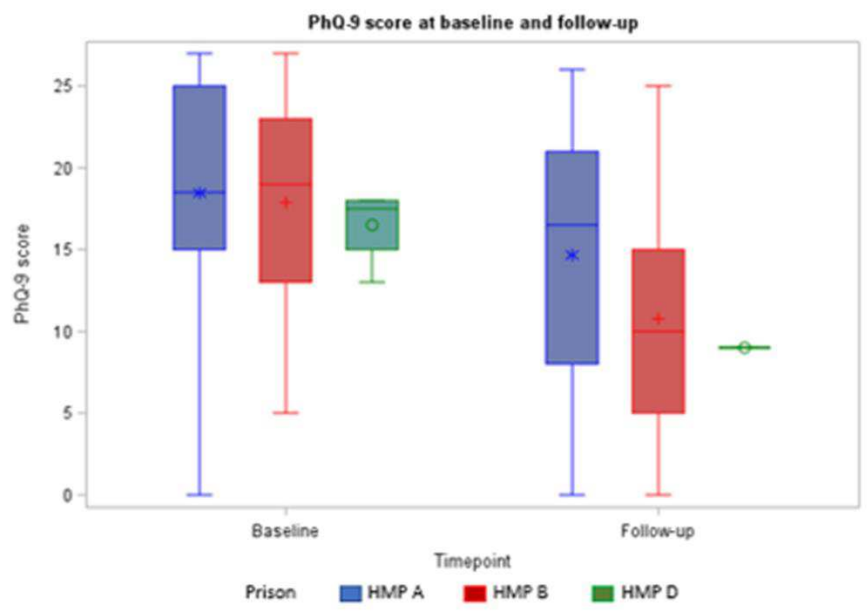
Appendix K: Figure three prisoner ACCTs, intervention and post assessment relative to baseline assessment\*



\*Note that ACCT data were available up to 5 months in HMP D, 12 months in HMP B, and 13 months in HMP A.

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Appendix L: Figure four Phq-9 score at baseline and follow-up



Note: follow-up took place a median of 2.8 months post-baseline, between 3 weeks and 15 months.



# BMJ Open

## Problem-Solving Training: assessing the feasibility and acceptability of delivering and evaluating a problem-solving training model for front-line prison staff and prisoners who self-harm.

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3 Title: Problem-Solving Training: assessing the feasibility and acceptability of delivering and  
4 evaluating a problem-solving training model for front-line prison staff and prisoners who  
5 self-harm.  
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## ABSTRACT

Objectives: Problem-solving skills training is adaptable, inexpensive and simple to deliver. However, its application with prisoners who self-harm is unknown. The study assessed the feasibility and acceptability of a Problem-Solving Training (PST) intervention for prison staff and prisoners who self-harm, to inform the design of a large-scale study.

Design and setting: A mixed methods design used routinely collected data, individual outcome measures, an economic protocol and qualitative interviews at four prisons in Yorkshire and Humber, UK.

Participants: (i) front-line prison staff, (ii) male and female prisoners with an episode of self-harm in the previous two weeks.

Intervention: The intervention comprised a one-hour staff training session and a 30-minute prisoner session using adapted workbooks and case studies.

Outcomes: We assessed the study processes - coverage of training; recruitment and retention rates and adequacy of intervention delivery - and available data (completeness of outcome data, integrity of routinely collected data and access to NHS resource information). Prisoner outcomes assessed incidence of self-harm, quality of life and depression at baseline and at follow-up. Qualitative findings are presented elsewhere.

Results: Recruitment was higher than anticipated for staff  $n=280$ , but lower for prisoners,  $n=48$ . Retention was good with 43/48 (89%) prisoners completing the intervention, at follow-up we collected individual outcome data for 34/48 (71%) of prisoners. Access to routinely collected data was inconsistent. Prisoners were frequent users of NHS healthcare. The additional cost of training and intervention delivery was deemed minimal in comparison to 'treatment as usual'. Outcome measures of self-harm, quality of life and depression were found to be acceptable.

Conclusions: The intervention proved feasible to adapt. Staff training was delivered but on the whole it was not deemed feasible for staff to deliver the intervention. A large-scale study is warranted, but modifications to the implementation of the intervention are required.

## STRENGTHS AND LIMITATIONS OF THIS STUDY

Prison staff and prisoners were involved in the development of our questionnaires, the intervention adaptation and production of the workbooks.

The feasibility study was conducted across four prison sites including male and female prisoners.

Outcome data were collected via a variety of different sources demonstrating variability and differences in data collection procedures.

It was not deemed feasible for staff to deliver the intervention.



## INTRODUCTION

Problem-solving skills training delivered in a systematic manner provide a non-specialist intervention that is accessible to anyone following brief training. Deficits in problem-solving skills are often found in people who self-harm and can result in reliance on others, leading to a passive as opposed to an active problem-solving approach [1-3]. Problem solving skills have been used in a variety of different contexts and most recently are promoted by The World Health Organisation as ‘Problem Management Plus’ (PM+ [4]) . They refer to their scheme as a simplified, scalable intervention, in that their delivery requires a less intensive level of specialist human resource use [5]. Trials of problem-solving skills in the *community* demonstrate that teaching people to use brief problem-solving skills can reduce repetition of self-harm behaviour [6-8].

In prison, despite growing numbers of those who self-harm there is a lack of psychological support for prisoners and a recognised need to provide adequate staff training (NICE Guidance CG133: <https://www.nice.org.uk/guidance/cg133/chapter/2-Research-recommendations>). Evaluations of trials in prisons have explored alternative therapy models for those who self-harm (e.g., cognitive behaviour therapy and interpersonal psychotherapy), but such interventions require the use of extensive resources, large numbers of therapy sessions and qualified clinical therapists, making them inaccessible for prisoners who might only be incarcerated for short periods of time [9, 10].

Use of a brief PST intervention offers one solution to this problem. It has the advantages of being deliverable by any member of staff making it an attractive, inexpensive opportunity to reduce repeat self-harm. However, it is unclear whether the training is acceptable, or whether it can be implemented by staff in this setting. We therefore assessed the feasibility and acceptability of adapting an existing PST for frontline prison staff with the intention that they would deliver the intervention to prisoners who self-harm. This article reports on the acceptability and feasibility of the training, and the implementation of the intervention. Detailed methods on the qualitative findings are elsewhere [11].

## MATERIALS AND METHODS

### Study design and setting

The study used a mixed-methods design - including quantitative collection of routine data, individual outcome measures and economic resource data, and information from staff to identify how much time was spent on ‘usual care’.

The study took place in four prisons in Yorkshire and Humber between September 2014 and May 2017. The study sites included two male adult local prisons where the majority of prisoners are awaiting sentence (housing up to 1,212 and 1052 prisoners, prisons A and B), one female prison (housing up to 416: prison C) and one resettlement prison where sentenced prisoners are housed prior to transfer (housing up to 825: prison D). We report on our intervention using the template for intervention description and replication (TIDier) checklist [12].

### Patient and Public Involvement

Our research questions and outcome measures were not informed by prisoner preferences and prisoners were not involved in the recruitment to the study. Prisoners did contribute significantly to the format and adaptation of the training materials. The training materials

1  
2  
3 were printed from within the prison by prisoners. The results were disseminated using an  
4 A4 summary sheet which was sent to prisoners and prison staff.  
5

### 6 **The intervention**

7  
8 The PST intervention that we adapted for use in our study was originally devised in New  
9 Zealand for patients who self-harm in the community [13]. The theory behind social  
10 problem-solving is well established and often forms part of more extensive cognitive  
11 behaviour therapy sessions [14, 15]. The seven-step model includes ‘getting the right  
12 attitude’ (step one), reflection and recognising triggers (step two), defining a clear problem  
13 (step three), brain storming solutions (step four), decision making (step five), making a plan  
14 (step six) and reviewing progress (step seven). Problem-solving skills are an approach that  
15 encourages an individual to address their problems in a proactive manner using the  
16 systematic seven-step process.  
17  
18

### 19 **The adaptation of the training and intervention materials**

20  
21 The training was adapted using focus groups. They were used to ensure (i) the  
22 appropriateness and context of the case materials and (ii) to promote discussion with staff and  
23 prisoners about their views on how the study might work. The refinement process involved a  
24 series of structured discussions facilitated by the research team to inform literacy levels in the  
25 population and scenario situations that could be used in training as examples of people that  
26 staff and prisoners could recognise and/or deal with on a regular basis.  
27  
28

### 29 **Staff training and recruitment**

30  
31 Staff were recruited with the help of prison liaison staff who assisted with room bookings,  
32 shift management and allocation of individuals to attend the training course. Using estimates  
33 provided by the prison about: the number, and type of staff employed by the prison, we  
34 estimated a feasible recruitment goal of 125 trained staff across the four sites in our 12-month  
35 training period.  
36  
37

38 Staff received a one-hour training session between March 2015 and August 2016. Training  
39 was delivered by the research team in a flexible manner (e.g., during induction or on a  
40 lunchtime). Eligible prison staff included anyone with responsibility for prisoners who were  
41 at risk of self-harm and who were monitored under the prison system (Assessment Care in  
42 Custody Teamwork: ACCT[16]). Invited staff groups included management, probation,  
43 teaching, prison officers, chaplaincy, psychologists, specialist suicide prevention assessors  
44 and nursing staff. All staff receiving the training gave full informed consent.  
45  
46

### 47 **Recruitment and implementation of the intervention with prisoners who self-harmed**

48  
49 Recruitment of prisoners occurred at prison sites A, B and D. In site C access to the prison  
50 site was limited. Our feasible recruitment goal of 120 were based on access to three sites and  
51 monthly prison information on the numbers of those ‘at risk’.  
52  
53

54 Prisoners were identified via the ACCT register and approached by a member of the research  
55 team or prison staff. Eligible prisoners were 1) >16 years or over and (2) with an episode of  
56 self-harm or attempted suicide in the previous two weeks. Prisoners were excluded if (i) an  
57 ACCT was opened for reasons other than actual self-harm or attempted suicidal behaviour,  
58 (ii) they were deemed too unwell by prison staff, or (iii) posed a risk to the researchers.  
59 Consenting participants completed baseline and follow-up questionnaires.  
60

1  
2  
3 The entirety of the intervention was delivered in a 30-minute session. The session  
4 demonstrated use of the seven-steps using the booklets and case studies developed in the  
5 focus groups. Prisoners were invited to attend subsequent follow-up sessions to assess  
6 progress and support their engagement with the intervention.  
7  
8  
9

### 10 **Feasibility and acceptability measures**

11 Data were collected on rates of recruitment, consent and retention for staff and prisoners.  
12 Reasons for non-participation and withdrawal were collected, where possible.  
13  
14

15 For outcome measures we assessed feasibility and acceptability by recording completion and  
16 follow-up rates. Typically, completion rates <50% are taken to indicate non-feasibility, >75%  
17 as indicating feasibility, and 51-74% as ambiguous - requiring modifications to design or  
18 implementation plans and reconsideration.  
19

20 Our primary outcome proposed for a definitive trial was incidence of self-harm. Data on self-  
21 harm and/or attempted suicides were recorded at three months prior to baseline, baseline,  
22 post-intervention and at three months follow-up (or up to point of release or transfer) from  
23 SystmOne using the search terms 'self-harm' and 'F213'<sup>7</sup>. We explored recording of self-harm  
24 incidents through the prison ACCT register but found inconsistencies in the coding of data  
25 across the four sites.  
26  
27

28 Individual secondary outcomes at baseline and follow-up included measurement of quality of  
29 life using the EQ-VAS: [17] and depression using the PHQ-9:[18]. The EQ-VAS is a self-  
30 rated questionnaire providing description of the subject's current health in five dimensions  
31 i.e., mobility, self-care, usual activities, pain/discomfort and anxiety/depression and is rated  
32 into one of three degrees of disability (severe, moderate or none). The PHQ-9 is a well  
33 validated tool for the measurement of depression with robust psychometric properties,  
34 reliability and validity in adult community populations.  
35  
36

37 Cost of usual care were estimated by: (i) completion of a self-report questionnaire reporting  
38 on access to NHS treatment before, during and after the study, (ii) staff interviews to  
39 ascertain the average time spent on each ACCT process and (iii) a case note review of eleven  
40 prisoner ACCT documents to record the amount of staff time involved in the ACCT  
41 procedure.  
42  
43

44 The costs of training included (i) the costs to release staff in attending the training sessions,  
45 (ii) the facilitator time in the delivery of the training and (iii) the number of training sessions,  
46 numbers of staff attending each session, and the duration and timing of each training  
47 session. We obtained routinely collected electronic ACCT data consisting of individual and  
48 monthly ACCT information between January 2012 and December 2016. The time period of  
49 the data collection was prescribed by the individual prison data collection protocols  
50 (Appendix A supplementary materials). We found that data were comparable from our four  
51 prison sites across this time period. Prior to 2012 the comparability of data and access to data  
52 were found to be limited and December 2016 was the latest date for which all prisons had  
53 complete data.  
54  
55

### 56 **DATA ANALYSIS**

57  
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<sup>7</sup> F213 is the title of the form used by the prison service to record incidents of self-harm behaviour

1  
2  
3 Data were summarised, by prison, using descriptive summary statistics. The information  
4 included the description of the focus group participants, the number of training sessions and  
5 staff attending training sessions. The feasibility and success of recruitment of prisoners to the  
6 study is evaluated through summaries of the screening, eligibility, consent and recruitment  
7 processes.  
8  
9

10 A summary of the variability of available routine data across: outcomes, prison and wings  
11 (where available), and the estimated cost of usual care were informed using staff information  
12 and case review process. Delivery and implementation of the PST intervention were  
13 estimated using the numbers of training sessions, numbers of staff attending, standardised  
14 staff costs, facilitator time in the delivery of the session and preparation for each session  
15 alongside the cost of materials. Summary statistics for prisoner's baseline characteristics and  
16 outcomes for the incidence of self-harm behaviour, quality of life, depression and information  
17 on access to NHS treatment were recorded.  
18  
19

## 20 RESULTS

### 21 Feasibility assessment

#### 22 *Adapting and developing the materials*

23  
24 During 2015 staff and prisoners were nominated by each prison to participate in focus  
25 groups. 31 staff participants attended (Appendix B supplementary materials). They  
26 comprised of mainly operational 17/31(55%) or managerial 6/31 (19%) staff with a mean  
27 age of 37 years. The majority were female 20/31 (66%), spoke English as their first language  
28 27/31 (88%) and were British 27/31 (90%).  
29  
30  
31

32 Six focus groups involving 67 prisoners, included mainly male prisoners 56/67 (83.6%) with  
33 a mean age of 39.8 years (SD 9.63). There were fewer prisoners on remand or first-time  
34 offenders involved in the focus groups, compared to recruited prisoners for the study  
35 (Appendix C supplementary materials). The process resulted in two gender-specific picture  
36 booklets and a series of exercises with associated case study scenarios that were used in the  
37 training and delivery of the intervention.  
38  
39

#### 40 *Coverage of staff training and recruitment*

41  
42 280 prison staff were trained between March 2015 and August 2016 (see figure 1). Training  
43 was delivered by the research team to staff groups with a mean size of 8 staff (range of group  
44 sizes 2-19). Recruitment of staff to training sessions appeared to be acceptable and feasible.  
45  
46

47 *[Insert Figure 1 here]*

48 Staff trained were mainly operational (120, 43%) or healthcare staff (78, 28%); other staff  
49 included a number of voluntary, managerial, admin, education, and offender manager  
50 probation staff. Mean age of staff trained was 42 years, 59% were male, and almost all spoke  
51 English as their first language and were British. Trained staff had spent a median of 8 years  
52 (range <1 month – 36 years) working in the prison service (see table 1).  
53  
54

55 *[Insert table 1 here]*

#### 56 *Screening and recruitment of prisoners*

57  
58 During the three-month recruitment period at each site a total of 281 prisoners were eligible  
59 to participate as per the study criteria. Of these, 106/281 (37%) were released or transferred  
60

1  
2  
3 to another prison site prior to invitation to attend an appointment in healthcare. The average  
4 time between identification of an eligible participant and meeting them to inform them about  
5 the studied varied between at each site between one and three weeks.  
6

7 Of the remaining 175 (62%), 95/175 were not seen in healthcare for a variety of reasons.  
8 These included: 66/95 (69%) people who did not attend their appointment to be informed  
9 about the study following three consecutive invitations, 9/95 (9%) were considered too  
10 dangerous to approach, 6/95 (6%), lacked sufficient capacity, 5/95 (5%), were transferred or  
11 released prior to attending the appointment, 8/95 (8%), were not approached by the research  
12 team due to limited resources within the team and one person died 1/95 (1%). Of the  
13 remaining 83 people, 6 (7%) attending the appointment were deemed not eligible reporting  
14 no incident of actual self-harm behaviour. For the remaining 75 people 29/75 (39%) did not  
15 consent to take part leaving 48/75 (66%) consenting participants.  
16  
17

18  
19 *[Insert Figure 2 here]*  
20

21 The median age of prisoners was 30 years (range 19 to 58 years). All but three were White  
22 British, and all spoke English as their first language. Two thirds 32/48 (67%) were single and  
23 had never married; the majority smoked 39/48 (83%) and did not have a physical or learning  
24 disability (36/48 77% and 33/48 69%). Only a minority of prisoners recruited from prison B  
25 and none of those in prison D were on remand, whilst almost half of prison A recruited  
26 prisoners were on remand 22/48 (46%). Only a quarter were first time offenders 12/48  
27 (25%), the number of times prisoners had been in prison ranged up to 50, with a median of 3  
28 times. The median length of sentence was 27 months, with prisoners having spent a median  
29 of 3 months (range 2 days to 2 years) in their current and a median of 9 months left in prison  
30 (range 3 days to 15 years). For self-harm details see Appendix D supplementary materials.  
31  
32

### 33 **Retention**

34  
35 5/48 (10%) participants did not complete the intervention and withdrew from the study  
36 (figure 2); although general reasons were not provided for withdrawal. We tracked the  
37 transfer of 7/48 (15%) prisoners between our study sites. Transfer reasons included the  
38 progression of prisoners through their sentence (e.g., from a local prison to our resettlement  
39 prison) or were unexpected due to a security breach.  
40

### 41 ***Adequacy of intervention delivery with prisoners who self-harmed***

42  
43 Between August 2015 and June 2016 delivery of the intervention by staff occurred for only  
44 two prisoners. At prison C the research team had limited access to deliver the intervention  
45 and instead the prison decided to take the booklets and distribute them on the wings to target  
46 bullying. For the remaining 46/48 (96%) participants the intervention was delivered by  
47 members of the research team in the healthcare unit.  
48  
49

50 The median time spent on intervention delivery was 40 minutes per prisoner, (range 30-90  
51 minutes). The overall time spent with the researcher, including the baseline assessment,  
52 intervention delivery, follow up questionnaire for outcomes and qualitative interview  
53 averaged a median of 80 minutes, (range 30 minutes up to 2 hours 30 minutes) over a period  
54 of 1-7 contact appointments. In interviews, the intervention was acceptable to prisoners who  
55 received the intervention [7].  
56  
57

### 58 **Acceptability of outcome measures**

### 59 ***Use of routinely collected data to inform large-scale study***



1  
2  
3 We found that reporting of self-harm data was complicated and recorded by several different  
4 methods, with variability in recording and differing definitions of self-harm across the four  
5 sites (Appendix E supplementary materials). Figure 3 shows the variability in monthly  
6 number of ACCTs opened at each site per 100 prisoners. The greatest variability of open  
7 ACCTs was displayed in prison C (our female site): Appendices F supplementary materials  
8 provide further details.  
9

10  
11 *[Insert Figure 3 here]*  
12

### 13 ***Estimating the costs of usual care***

#### 14 ***Access to NHS services***

15  
16 All 48 prisoners had received some NHS service provision whilst in prison. Access to a GP  
17 (range 1-10 appointments), pharmacist (daily drug dispensing) or duty nurse (range 1-35  
18 appointments) appointments were the most cited points of contact. 35/48 (73%) prisoners  
19 reported accessing mental health services, two reported access to a psychological therapy.  
20 Just under a quarter 11/48 (23%) had experienced a hospital admission and 13/48 (27%)  
21 (range 1-9) reported attending accident and emergency in the three months prior to  
22 incarceration. In all cases, admissions were related to synthetic cannabinoids intake,  
23 overdose, attempted hanging or feeling suicidal. There were no adverse events reported as  
24 part of the PST intervention during the study period.  
25  
26  
27  
28  
29

30 We collected information from staff about how much time they spent conducting each  
31 element of the ACCT process (Appendix G supplementary materials). Using an average time  
32 spent, each task in the ACCT process was assigned a proportionate salary costs (Appendix H  
33 supplementary materials).  
34

35 We combined this staff information with data that we collected from the case review of  
36 eleven prisoners who had been on an ACCT during the study period. The eleven prisoners  
37 represented a total of twenty-four ACCTS documents that had been 'open' and 'shut' during  
38 their stay within the prison. For two prisoners the ACCT was in use at the point of data  
39 collection providing a conservative estimate of cost. We added up the numbers of case  
40 reviews for each prisoner which ranged from one to thirty-three, and added up the number of  
41 staff observations per ACCT document which ranged between 0 and 5520 staff observations.  
42 The total administrative costs for the eleven prisoners was estimated at £21,650, an average  
43 of £1,968 per prisoner (range £375-£6416).  
44  
45

#### 46 ***Estimating the costs of training***

47  
48 Training costs included a notional hourly rate (of £15 per person) to cover the cost of  
49 releasing staff to attend the training session, and included the travel, preparation time and  
50 facilitator time in delivering the course and the cost of course materials. Across sites we  
51 estimated the training costs of between £500 and £6406 equating to a cost per prisoner of  
52 between £125 and £246 (Appendix H supplementary material).  
53  
54

55 Overall it proved feasible to gather resource information to provide a cost estimate of usual  
56 care, delivery of training and implementation of the intervention  
57

#### 58 ***Prisoner outcomes***

1  
2  
3 100% of those agreeing to participate in the study completed the baseline assessment.  
4 Follow-up times varied considerably, taking place a median of 2.8 months after recruitment  
5 but up to a maximum of 15 months for one prisoner (Appendix I supplementary materials).  
6 The timing of follow up assessments fell into three clusters, the largest cluster taking place  
7 within the first three months post recruitment, a further set taking place between 6 and 8  
8 months post recruitment in prison A. Follow-up was affected when access to prison A was  
9 halted for a three-month period (figure 4). Overall the average follow-up rate for  
10 questionnaire returns was 34/48 (71%) across the three sites. The changes in scores reflect  
11 them as potentially useful outcome measures that could be used in a large-scale evaluation.  
12  
13

14 *[Insert Figure 4 here].*

### 15 **Primary outcome: incidence of self-harm behaviour**

16  
17  
18 Incidence of self-harm behaviour appeared to decrease over the life time of the project. At 3  
19 months prior to baseline, 32/48 (66%) prisoners had harmed themselves. This reduced to  
20 9/48 (18%) prisoners at post-test.  
21

### 22 **Secondary outcomes**

#### 23 **Quality of life**

24  
25  
26 A total of 32/48 (66%) of individuals completed full information on the EQ-VAS. The  
27 baseline mean score (0.504, SD 0.34) fell post intervention (0.625, SD, 0.347).  
28  
29

#### 30 **Depression**

31  
32  
33 At baseline, median scores were high at 18 and most prisoners had either moderately severe  
34 18/48 (38%) or severe depression 20/48 (40%). Prisoners' at follow-up had lower depression  
35 scores with just 7/48 (15%) classed as moderately severely depressed, and 13/48 (27%) as  
36 severely depressed (Appendix J supplementary materials).  
37  
38  
39

## 40 **DISCUSSION**

41  
42  
43 The study aimed to assess the feasibility and acceptability of adapting and implementing a  
44 brief PST intervention for prison staff and prisoners at risk of self-harm. Our results indicate  
45 that staff can be trained in using these skills though most were unable to implement them  
46 with those who self-harmed. Prison staff faced severe time pressures, and limited resources  
47 making it difficult to accommodate the translation of knowledge into practice. This is a  
48 common problem in the design and implementation of complex interventions in organisations  
49 other than healthcare [19]. These findings emerged during the implementation phase. The  
50 brief nature of the training sessions themselves did not, perhaps, facilitate the expression of  
51 these doubts or tackle approaches to translation of skills into practice in a pressured  
52 environment.  
53

54  
55  
56 Attrition from the study sample by prisoners was minimal due to the 30-minute intervention  
57 design. Previous prison trials have demonstrated relatively high levels of attrition. In our  
58 study (despite a lack of access to one site for three months) we managed to produce  
59 encouraging follow-up rates (71%) suggesting that our outcomes were acceptable. Our  
60 findings are comparable with other pilot trials of self-harm in prisons [9, 10] and trials of



1  
2  
3 suicide prevention more broadly in the community [20]. We were able to track participants  
4 through our sites. This allowed us to collect follow-up data on seven participants who were  
5 released from prison A, and either returned back to the same prison during the study period or  
6 were transferred to prison C prior to release. Prison function is therefore an important  
7 consideration. Turnover of prisoners at our local prison sites (e.g., prisons A and B) was  
8 considerably greater than in our resettlement prison. This finding is supported elsewhere  
9 with data provided from prison A in a recent Inspectorate report showing that 430/1109  
10 (38%) were imprisoned for less than three months in 2017. Prisoners followed from prison A  
11 through to prison C were notably in a better position to engage with training when in the  
12 resettlement prison. This system of 'tracking' participants provides a potential mechanism to  
13 ensure adequate follow-up in a large-scale study.  
14  
15

16  
17 There were limitations with the development of our economic protocol in the assumptions  
18 made with regards to costs for usual care which are not necessarily representative. Access,  
19 quality and consistency of these data varied across the prison sites and led us to conclude that  
20 such routine data could only be used to measure the impact of any future evaluation if  
21 additional data were provided or stricter collection protocols and monitoring were deployed.  
22 We also propose that any new study should include individual self-report information and  
23 information from local and national data sources. This method is not dissimilar to other data  
24 collection mechanisms in two pilot trials of self-harm in UK prisons where prisoners report  
25 suicidal behaviours, thoughts and feelings [9, 21].  
26  
27

28 In designing a large-scale study, we have sufficient information to inform our outcomes of  
29 measurement and feasibility of data collection. However, alternative implementation  
30 mechanisms need to be identified prior to any large-scale study. Our qualitative findings [11]  
31 suggest two alternative options: first, use of trusted prisoners as 'problem support mentors' to  
32 deliver the skills to peers on the wings and/or second, delivery of problem-solving skills to  
33 prisoners through education classes.  
34  
35

## 36 CONCLUSIONS

37 The study suggests that the modified version of PST, adapted for training, was acceptable to  
38 prisoners. Although the study demonstrated that it was currently not feasible to deliver the  
39 intervention using prison staff it provides insight into how such an intervention with prisoner-  
40 staff involvement can be adapted for use in a different environment.  
41  
42  
43  
44

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### Contributors:

AP, AH AND MW designed and conducted most of the study with considerable input from AKH. AWH and AF took the lead in performing the statistical analyses together and JG was the lead for analysing the qualitative interviews with AP. GR and NW led the development of the economic protocol and information on the study costs. NW supported access to the prison sites and all authors provided input into the writing of the manuscript.

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### Figure Legends:

Figure 1: Staff trained and participating focus groups

Figure 2: Flow of study participants through study

Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

Figure 4: Time between recruitment and questionnaire follow-up assessment

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Table 1: Demographic information of staff trained

	<b>PrisonA (n=175)</b>	<b>PrisonB (n=79)</b>	<b>PrisonC (n=18)</b>	<b>PrisonD (n=8)</b>	<b>Total (n=280)</b>
<b>Time working in the prison service (Years)</b>					
N	172	78	18	7	275
Mean (SD)	8.5 (8.93)	13.0 (9.04)	12.9 (8.45)	12.1 (9.91)	10.1 (9.16)
Median (Range)	6.0 (0.0, 36.0)	11.8 (0.1, 35.3)	12.5 (0.5, 25.0)	10.5 (1.3, 29.2)	8.0 (0.0, 36.0)
<b>Time working in this prison (Years)</b>					
N	172	78	18	7	275
Mean (SD)	6.2 (7.48)	11.2 (8.15)	7.9 (7.61)	9.0 (7.24)	7.8 (7.96)
Median (Range)	3.3 (0.0, 31.0)	10.9 (0.1, 35.3)	4.5 (0.3, 24.3)	7.9 (1.1, 20.8)	5.5 (0.0, 35.3)
<b>Since working here have you encountered an incident of self-harm?</b>					
Yes	119 (68.0%)	68 (86.1%)	18 (100.0%)	8 (100.0%)	213 (76.1%)
No	52 (29.7%)	11 (13.9%)	0 (0.0%)	0 (0.0%)	63 (22.5%)
Missing	4 (2.3%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	4 (1.4%)
<b>Most recent self-harm incident?</b>					
Within the past 7 days	44 (37.0%)	28 (41.2%)	7 (38.9%)	3 (37.5%)	82 (38.5%)
Within the past month	24 (20.2%)	17 (25.0%)	4 (22.2%)	0 (0.0%)	45 (21.1%)
Two months or more	20 (16.8%)	8 (11.8%)	2 (11.1%)	1 (12.5%)	31 (14.6%)
Missing	31 (26.1%)	15 (22.1%)	5 (27.8%)	4 (50.0%)	55 (25.8%)
<b>Type of incident?</b>					
Self-poisoning	10 (8.4%)	1 (1.5%)	0 (0.0%)	1 (12.5%)	12 (5.6%)
Self-injury	94 (79.0%)	61 (89.7%)	16 (88.9%)	6 (75.0%)	177 (83.1%)
Mixed self-poisoning and self-injury	7 (5.9%)	4 (5.9%)	2 (11.1%)	1 (12.5%)	14 (6.6%)
Suicide	7 (5.9%)	2 (2.9%)	0 (0.0%)	0 (0.0%)	9 (4.2%)
Missing	1 (0.8%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.5%)
<b>Attended self-harm training?</b>					
Yes	74 (42.3%)	48 (60.8%)	13 (72.2%)	3 (37.5%)	138 (49.3%)
No	96 (54.9%)	30 (38.0%)	3 (16.7%)	5 (62.5%)	134 (47.9%)
Cannot recall	4 (2.3%)	1 (1.3%)	2 (11.1%)	0 (0.0%)	7 (2.5%)
Missing	1 (0.6%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	1 (0.4%)
<b>Time since self-harm training?</b>					
N	66	45	13	3	127
Mean (SD)	30.5 (38.22)	20.4 (27.32)	41.7 (53.84)	19.0 (20.42)	27.8 (36.64)
Median (Range)	12.0 (0.0, 180.0)	12.0 (1.0, 120.0)	12.0 (1.0, 168.0)	12.0 (1.0, 42.0)	12.0 (3.0, 180.0)
<b>Who provided this training?</b>					
Prison service	59 (79.7%)	42 (87.5%)	8 (61.5%)	3 (100.0%)	112 (81.2%)
NHS	3 (4.1%)	0 (0.0%)	2 (15.4%)	0 (0.0%)	5 (3.6%)
Nurse Training	2 (2.7%)	1 (2.1%)	0 (0.0%)	0 (0.0%)	3 (2.2%)

	<b>PrisonA (n=175)</b>	<b>PrisonB (n=79)</b>	<b>PrisonC (n=18)</b>	<b>PrisonD (n=8)</b>	<b>Total (n=280)</b>
Other including University	3 (4.1%)	2 (4.2%)	1 (7.7%)	0 (0.0%)	6 (4.3%)
Missing	7 (9.5%)	3 (6.3%)	2 (15.4%)	0 (0.0%)	12 (8.7%)
<b>Length of training?</b>					
1 hour	19 (25.7%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	20 (14.5%)
2 hours	9 (12.2%)	4 (8.3%)	1 (7.7%)	1 (33.3%)	15 (10.9%)
Half day	16 (21.6%)	14 (29.2%)	1 (7.7%)	1 (33.3%)	32 (23.2%)
Full day	13 (17.6%)	18 (37.5%)	7 (53.8%)	1 (33.3%)	39 (28.3%)
More than one day	7 (9.5%)	4 (8.3%)	3 (23.1%)	0 (0.0%)	14 (10.1%)
Missing	10 (13.5%)	8 (16.7%)	0 (0.0%)	0 (0.0%)	18 (13.0%)

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Figure 1: Staff trained and participating focus groups

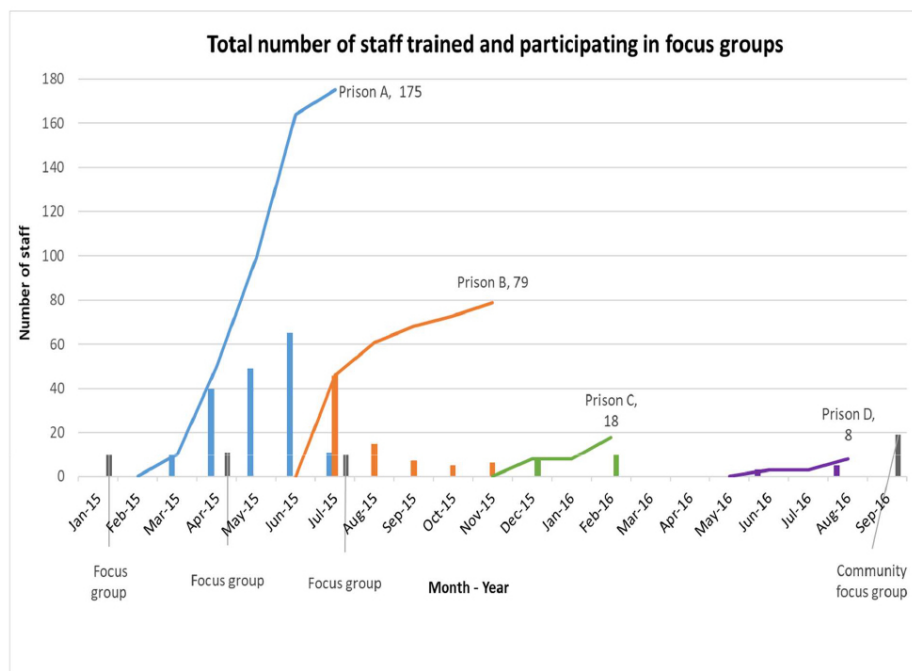


Figure 1: Staff trained and participating focus groups

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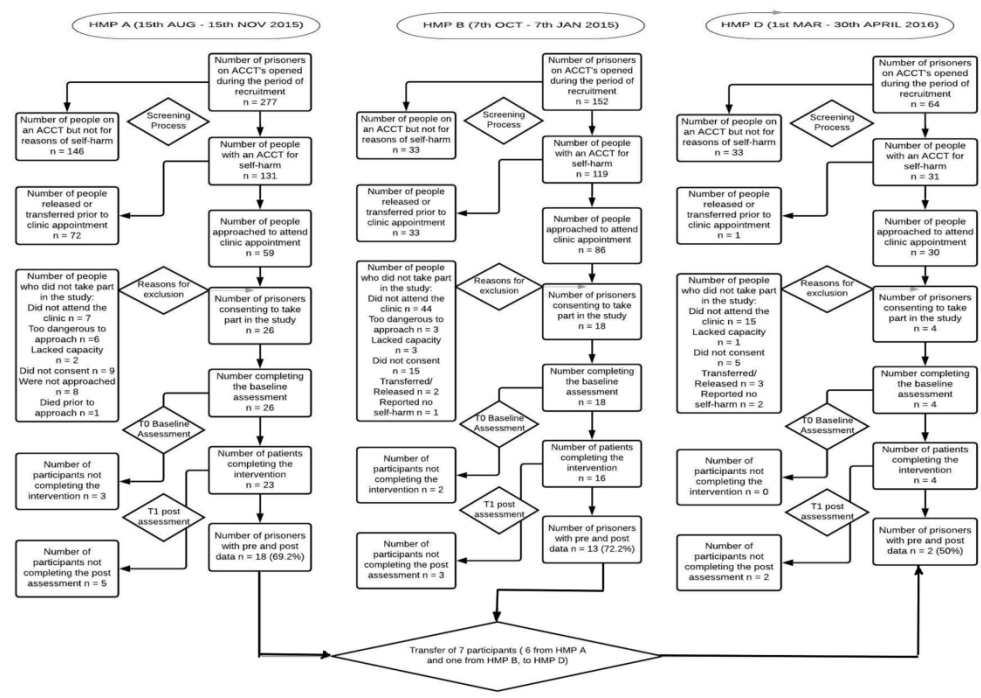


Figure 2

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Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

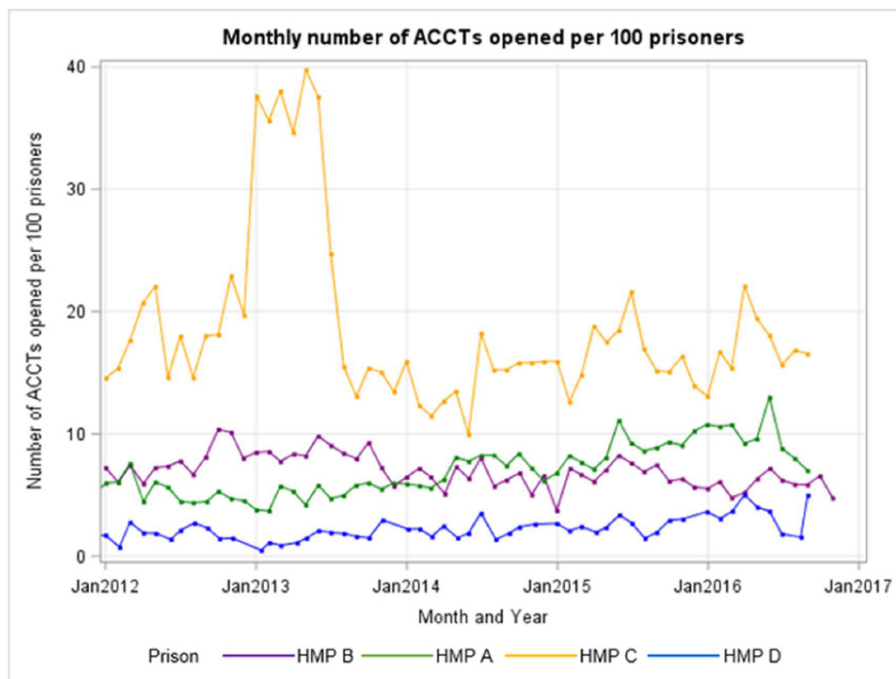


Figure 3: Monthly numbers of ACCTs opened per 100 prisoners

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Figure 4: Time between recruitment and questionnaire follow-up assessment

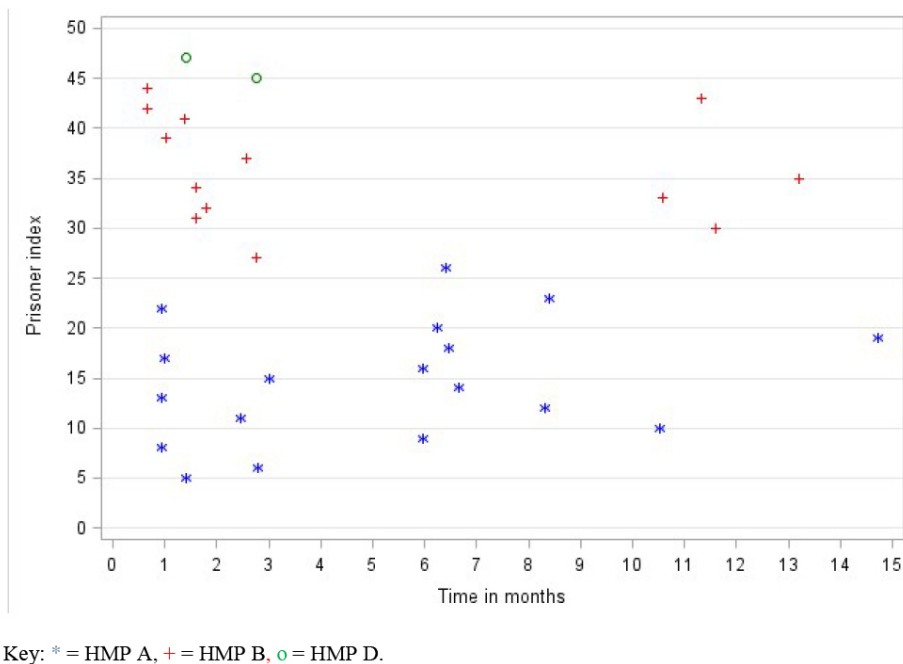


Figure 4: Time between recruitment and questionnaire follow-up assessment

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## Web Appendix Supplementary Materials

Appendix A: Table one data collection protocols

Appendix B: Table two prison staff focus group participation

Appendix C: Table three prisoner focus group participation

Appendix D: Table four prisoner self-harm details

Appendix E: Table five coding variability across prison sites

Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month

Appendix G: Table six standardised ACCT process costs

Appendix H: Table seven training and implementation costs

Appendix I: Figure 2 prisoner ACCTs, intervention and post assessment relative to baseline assessment

Appendix J: Figure 3 Phq-9 score at baseline and follow-up

## Appendix A: Table one data collection protocols

Prison Site	Prison			
	Prison A	Prison B	Prison D	Prison C
<b>Frequency of ACCTs per year</b>				
2009			61	
2010			118	
2011	754		168	
2012	756	840	170	779
2013	730	734	154	718
2014	1012	645	208	688
2015	1219	798	249	729
2016 – partial*	1010	675	262	605
<b>Number of ACCTs per year (2012 - 2015)</b>				
Mean (SD)	929.3 (231.31)	754.3 (84.88)	195.3 (42.39)	728.5 (37.86)
Median (Range)	884 (730, 1219)	766 (645, 840)	189 (154, 249)	724 (688, 779)

\*2016 frequencies up to September in Prison A, D and CI, and up to November in Prison B.

Appendix B: Table two prison staff focus group participation

	<b>PrisonA (n=10)</b>	<b>PrisonB (n=11)</b>	<b>PrisonC (n=10)</b>
<b>Type of staff</b>			
Operational staff	7 (70.0%)	7 (63.6%)	3 (30.0%)
Managerial staff	0 (0.0%)	1 (9.1%)	5 (50.0%)
Healthcare staff	0 (0.0%)	1 (9.1%)	2 (20.0%)
Visitor to the prison	2 (20.0%)	0 (0.0%)	0 (0.0%)
Admin / Probation	1 (10.0%)	1 (9.1%)	0 (0.0%)
Missing	0 (0.0%)	1 (9.1%)	0 (0.0%)
<b>Gender</b>			
Male	4 (40.0%)	5 (45.5%)	3 (30.0%)
Female	6 (60.0%)	6 (54.5%)	7 (70.0%)
<b>Age</b>			
N	10	10	9
Mean (SD)	33.7 (10.07)	42.7 (11.41)	46.9 (6.15)
Median (Range)	33.0 (19, 49)	44.0 (21, 58)	47.0 (36, 56)
<b>First language</b>			
English	8 (80.0%)	11 (100.0%)	10 (100.0%)
Hungarian	0 (0.0%)	0 (0.0%)	0 (0.0%)
German	0 (0.0%)	0 (0.0%)	0 (0.0%)
Missing	2 (20.0%)	0 (0.0%)	0 (0.0%)
<b>Ethnic group</b>			
British	10 (100.0%)	11 (100.0%)	9 (90.0%)
Irish / Other white background	0 (0.0%)	0 (0.0%)	0 (0.0%)
White and Black Caribbean	0 (0.0%)	0 (0.0%)	0 (0.0%)
Indian / Pakistani	0 (0.0%)	0 (0.0%)	1 (10.0%)
<b>Religious preference</b>			
No religion	2 (20.0%)	6 (54.5%)	1 (10.0%)
Christian	8 (80.0%)	5 (45.5%)	8 (80.0%)
Muslim	0 (0.0%)	0 (0.0%)	1 (10.0%)
Hindu	0 (0.0%)	0 (0.0%)	0 (0.0%)
<b>Consider yourself disabled?</b>			
Yes	0 (0.0%)	0 (0.0%)	0 (0.0%)
No	10 (100.0%)	11 (100.0%)	10 (100.0%)
<b>Highest academic qualification?</b>			
Post Graduate	0 (0.0%)	0 (0.0%)	0 (0.0%)
Graduate	5 (50.0%)	5 (45.5%)	2 (20.0%)
A Level or equivalent	5 (50.0%)	3 (27.3%)	7 (70.0%)
GCSE or equivalent	0 (0.0%)	3 (27.3%)	1 (10.0%)

Appendix C: Table three prisoner focus group participation

	<b>PrisonA (n=13)</b>	<b>PrisonB (n=43)</b>	<b>PrisonC (n=11)</b>	<b>Total (n=67)</b>
<b>Are you on Remand?</b>				
Yes	2 (15.4%)	2 (4.7%)	1 (9.1%)	5 (7.5%)
No	11 (84.6%)	38 (88.4%)	10 (90.9%)	59 (88.1%)
Missing	0 (0.0%)	3 (7.0%)	0 (0.0%)	3 (4.5%)
<b>First time offender?</b>				
Yes	4 (30.8%)	19 (44.2%)	7 (63.6%)	30 (44.8%)
No	9 (69.2%)	23 (53.5%)	4 (36.4%)	36 (53.7%)
Missing	0 (0.0%)	1 (2.3%)	0 (0.0%)	1 (1.5%)
<b>Age first entered prison (years)</b>				
N	13	42	11	66
Mean (SD)	21.3 (7.61)	30.0 (13.13)	40.5 (12.71)	30.0 (13.35)
Median (Range)	18.0 (15.0, 40.0)	27.0 (13.0, 61.0)	39.0 (18.0, 60.0)	26.0 (13.0, 61.0)
<b>Number of times in prison?</b>				
N	13	40	11	64
Mean (SD)	7.2 (8.67)	2.8 (3.12)	1.2 (0.40)	3.4 (4.94)
Median (Range)	4.0 (0.0, 30.0)	1.5 (1.0, 15.0)	1.0 (1.0, 2.0)	1.0 (0.0, 30.0)
<b>Time spent in this prison? (months)</b>				
N	13	42	11	66
Mean (SD)	9.2 (10.66)	29.0 (25.27)	34.8 (26.98)	26.0 (24.71)
Median (Range)	6.0 (1.0, 42.0)	24.0 (2.0, 102.0)	24.0 (11.0, 84.0)	16.0 (1.0, 102.0)
<b>Length of sentence (months)</b>				
N	11	38	11	60
Mean (SD)	167.5 (344.02)	208.5 (231.48)	181.9 (108.94)	196.1 (236.56)
Median (Range)	30.0 (18, 1188)	120.0 (8, 666)	168.0 (42, 333)	126.0 (8, 1188)
<b>Months left until sentence expiry</b>				
N	9	30	8	47
Mean (SD)	36.1 (44.62)	64.0 (59.95)	76.5 (56.46)	60.8 (57.15)
Median (Range)	16.3 (3.7, 120.2)	41.0 (0.8, 257.9)	56.4 (6.2, 154.6)	40.2 (0.8, 257.9)



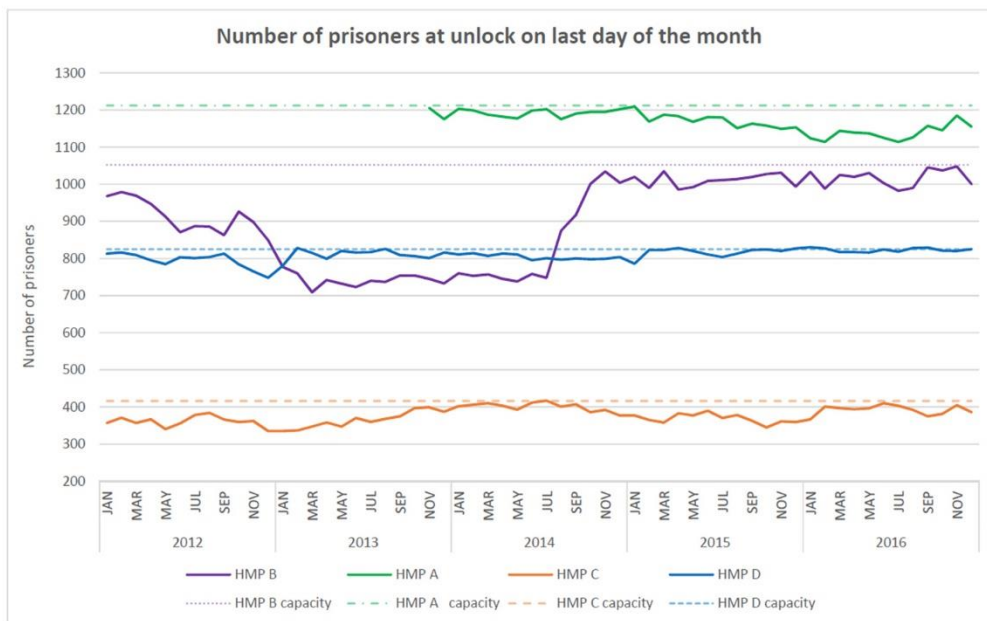
Appendix D: Table four prisoner self-harm details

	<b>PrisonA (n=26)</b>	<b>PrisonB (n=18)</b>	<b>Prison D (n=4)</b>	<b>Total (n=48)</b>
<b>Ever harmed yourself?</b>				
Yes	26 (100.0%)	18 (100.0%)	4 (100.0%)	48 (100.0%)
<b>Time since most recent self-harm (months)</b>				
N	26	16	4	46
Mean (SD)	1.0 (0.72)	0.7 (0.45)	1.5 (1.86)	1.0 (0.79)
Median (Range)	1.0 (0.0, 3.0)	0.7 (0.0, 1.5)	0.8 (0.1, 4.2)	0.7 (0.0, 4.2)
<b>Self-Harm frequency</b>				
Every day	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Twice a week	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
Once a week	4 (15.4%)	1 (5.6%)	1 (25.0%)	6 (12.5%)
Every two weeks	0 (0.0%)	4 (22.2%)	1 (25.0%)	5 (10.4%)
Once a month	3 (11.5%)	2 (11.1%)	0 (0.0%)	5 (10.4%)
3 monthly	3 (11.5%)	1 (5.6%)	1 (25.0%)	5 (10.4%)
Less often than three monthly	12 (46.2%)	7 (38.9%)	1 (25.0%)	20 (41.7%)
Missing	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)
<b>Type of most recent self-harm</b>				
Ligature	2 (7.7%)	3 (16.7%)	0 (0.0%)	5 (10.4%)
Cutting	14 (53.8%)	11 (61.1%)	4 (100.0%)	29 (60.4%)
OD Medication/ Recreational drug overdose	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Electrocution	1 (3.8%)	0 (0.0%)	0 (0.0%)	1 (2.1%)
Hunger strike	3 (11.5%)	0 (0.0%)	0 (0.0%)	3 (6.3%)
<b>How easy was it for you to get help?</b>				
Very Easy	6 (23.1%)	4 (22.2%)	0 (0.0%)	10 (20.8%)
Took some time	10 (38.5%)	6 (33.3%)	2 (50.0%)	18 (37.5%)
There was no help available	7 (26.9%)	4 (22.2%)	0 (0.0%)	11 (22.9%)
I didn't bother to ask	3 (11.5%)	3 (16.7%)	2 (50.0%)	8 (16.7%)
can't remember	0 (0.0%)	1 (5.6%)	0 (0.0%)	1 (2.1%)

Appendix E: Table five coding variability across prison sites

Prison B	Prison A	Prison C	Prison D
<p><b>Prior to Nov 2013:</b> Not available</p> <p><b>Nov 2013 – Aug 2014:</b> coded as self-harm or concerns</p> <p><b>Aug 2014 – Oct 2015:</b> free text reasons</p> <p><b>Oct 2015 – present:</b></p> <ol style="list-style-type: none"> <li>1. Suicide attempt or statement of intent to take own life</li> <li>2. Self-injury or statement to self-harm</li> <li>3. Unusual behaviour/talk</li> <li>4. Very low mood</li> <li>5. Drug Alcohol Withdrawal</li> <li>6. Other concerns</li> <li>7. Self-harm warning received from court</li> </ol> <p><i>Not possible to distinguish acts of self-harm and suicide from intent, statements, or concerns.</i></p>	<p>Recorded as free text.</p> <p><i>Not always possible to distinguish acts of self-harm and suicide from intent, statements, or concerns.</i></p>	<p>Not available</p>	<p><b>Prior to mid-2016:</b></p> <ol style="list-style-type: none"> <li>1. Suicide Attempt</li> <li>2. Statement/thoughts of intent to kill self</li> <li>3. Self-Harm</li> <li>4. Statement of intent/thoughts to self-harm</li> <li>5. Unusual Behaviour</li> <li>6. Low mood</li> <li>7. Problems related to Drug / Alcohol withdraw</li> <li>8. External Concerns</li> <li>9. Deportation</li> <li>10. Bullying</li> <li>11. Other</li> </ol> <p><b>Mid-2016 to present:</b></p> <ol style="list-style-type: none"> <li>1. Suicide attempt or Statement of intent to take own life</li> <li>2. Self-Injury or Statement to Self-Harm</li> <li>3. Unusual behaviour/Talk</li> <li>4. Low Mood</li> <li>5. Drug Alcohol Withdrawal</li> <li>6. Other Concerns</li> </ol> <p><i>Method coding also provided and varies</i></p>
<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 39% Related to SH or suicide</li> <li>• 20% Other</li> <li>• 41% Missing</li> </ul>	<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 36% due to SH incident or suicide attempt</li> <li>• 39% related to SH or suicide</li> <li>• 25% Other</li> <li>• &lt;1% Missing</li> </ul>		<p><b>Following coding:</b></p> <ul style="list-style-type: none"> <li>• 39% due to a SH incident or suicide attempt</li> <li>• 24% related to SH or suicide</li> <li>• 30% Other</li> <li>• 4% Missing</li> </ul>

Appendix F: Figure 1 numbers of prisoners at unlock on last day of the month



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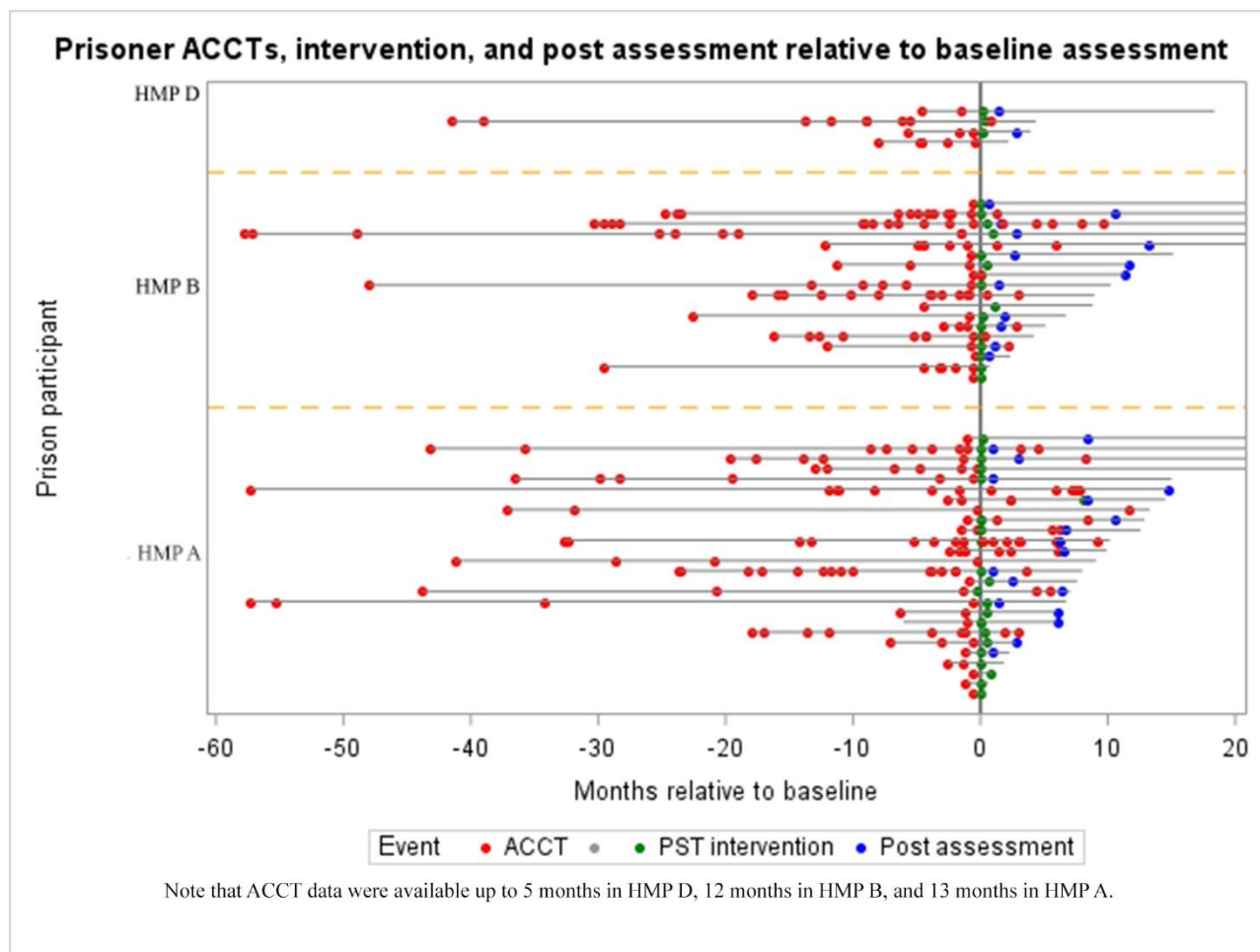
Appendix G: Table six standardised ACCT process costs

ACCT task per person	Initial ACCT opening and assignment of case manager by safer custody administration staff (minutes) £	Initial assessment by Case Manager (minutes) £	Case review attendance by two operational staff, one healthcare/other agency e.g., chaplaincy (minutes) £	Observation and case note entry into the ACCT documentation by Case manager (minutes) £	Post closure review (7 days after an ACCT has been shut). Interview between patient and Case Manager (minutes) £	Audit checks and data entry on the ACCT documentation once the ACCT shut by safer custody administrator (minutes) £
Time allocated	(30)	(30)	(60)	(5)	(30)	(30)
Standardised cost	4.60	6.50	39	1.05	6.50	4.60

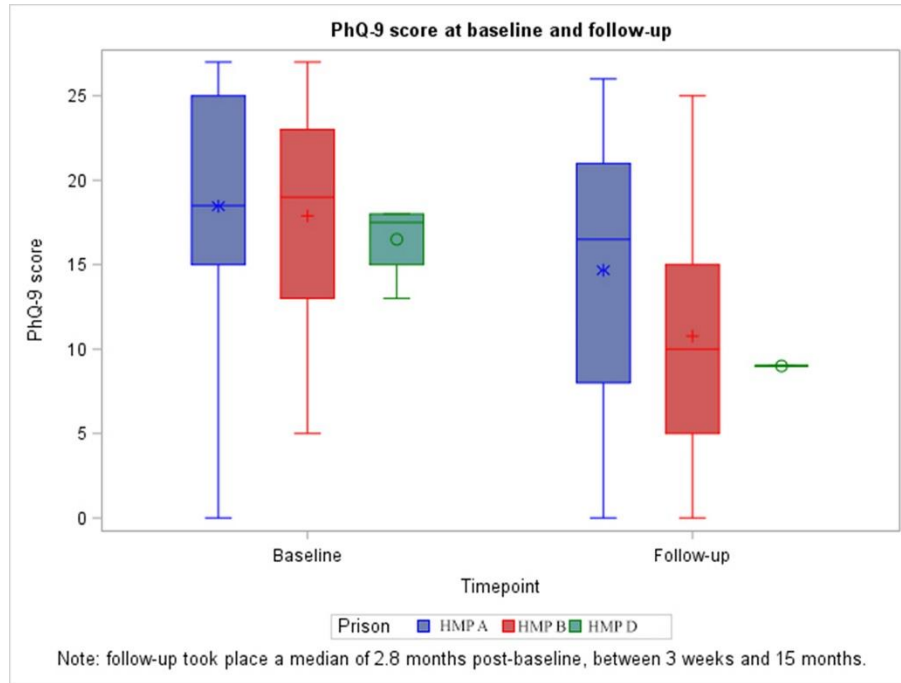
Appendix H: Table seven training and implementation costs

Prison	Training Period	Number of staff trained	Number of training sessions	Cost for staff attending the training sessions (£)	Average cost per training sessions (£)]	Number of prisoners receiving the intervention	Overall intervention time (minutes)	Average intervention time spent per person (minutes)	Cost of intervention per head (£)	Cost of training and intervention delivery (£)	Overall cost per prisoner (£)]
HMP A	15.2.15-7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP B	15.2.15-7.7.15	175	24	2625	172.87	26	1055	40.5	£35.17	6478	249.17
HMP C	11.12.15-26.2.16	18	2	270	207.6	-	-	-	-	415.20	0
HMP D	23.6.16-9.8.16	8	2	120	132.6	4	90	22.5	£28.87	500.7	125.17

Appendix I: Figure 2 prisoner ACCTs, intervention and post assessment relative to baseline assessment



Appendix J: Figure 3 Phq-9 score at baseline and follow-up



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