Utilizing a 3S (strategies, source and setting) approach to understand the patient’s preferences when addressing medication non-adherence in patients with diabetes: a focus group study in a primary outpatient clinic

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ABSTRACT
Objective Non-adherence with diabetes medicines is a challenge. Approximately 54% of patients are non-adherent with their diabetes medicines. The objective of this study was to understand patients’ preferences when addressing non-adherence based on the 3S approach—strategies (what approaches can be used in addressing non-adherence), settings (when and where should the intervention happen) and sources (who should provide the intervention).

Design A focus group research design was used.
Setting A primary outpatient clinic from an integrated healthcare system in the USA.
Participants Patients who were non-adherent with diabetes medicines.
Data collection The focus group guide was based on the Medication Adherence Reasons Scale, which has 19 reasons identified for non-adherence. For each item in the scale, patients were asked for strategies that can be used in addressing that issue, the setting in which the interventions should be provided and the source to provide the interventions.
Data analysis Open coding and content analysis.
Results Two focus groups, each group having seven patients, were conducted. The major strategies identified were patient education, self-responsibility of patients, family support, reminders and societal support. The key educational needs were dealing with side effects and learning to use insulin properly, and a need for different learning styles when offering education. For the source, the major ones were physicians and pharmacists, having a continuous dialogue about the disease and medicines, and individuality in managing the disease. Respondents also recommended using a peer support group. For the setting, the patients preferred continuity of patient education throughout the disease.
Conclusion The 3S approach was able to elicit several recommendations from patients to improve their adherence with diabetes medicines. Educational strategies were identified as the foremost approach coming from physicians and pharmacists throughout their disease journey, in addition to peer support.

INTRODUCTION
Medication non-adherence is considered a silent epidemic. According to the WHO, approximately 50% of patients on medications for chronic conditions are non-adherent.1 Non-adherence costs the US healthcare system an estimated $289 billion every year.2 It has been stated by the WHO that ‘increasing the effectiveness of adherence interventions may have far greater impact on the health of the population than any improvement in specific medical treatments’3. However, a major challenge faced in medication adherence research is the low success rate of interventions. Studies have shown that success rates with current interventions to improve medication adherence are as low as 4%–11%, primarily because interventions are often developed based on literature reviews, clinician inputs and theoretical models.3 A potential deficit in this
process of developing interventions may be the lack of patient input. This is concerning since the patient is the ultimate user of these intervention strategies.

Studies have shown that most providers use an informational style versus shared decision making when planning care for their patients. Improved communication that builds trust and rapport between the patient and the healthcare provider is often cited as one of the best ways to improve medication adherence. The required elements of patient-centredness in improving medication adherence are communication, shared decision making and support for self-management. Studies show that patients with chronic illnesses prefer a patient-centred approach because it meets their needs, preferences and values, and includes them in shared decision making.

Shared decision making enables the application of patient-centred solutions to medication adherence and medication management problems based on the patient’s perspective and their attitudes towards their treatment. However, in everyday clinical practice, with the limited time available for patient consultation, the patient may not have sufficient time to communicate with the provider regarding their needs and concerns about medication adherence. Additionally, the provider may not be able to come up with the most effective intervention strategy in the few minutes he or she has with the patient. If we can understand from the patient’s point of view the intervention strategies that could work for them to overcome the various barriers to medication adherence, patient-centred interventions may be developed a priori and then implemented by providers. Likewise, patients could inform researchers regarding the potential source for an adherence intervention, that is, whether the intervention should be administered by someone specific within the healthcare team, such as the physician, the nurse, the pharmacist or someone else. Furthermore, patients are able to provide information on the best setting or timeline to deliver the adherence intervention (right after the diagnosis by the physician, during the follow-up call by the nurse or during a visit to the pharmacist). Thus, knowing the needs, preferences and values of patients can assist in developing patient-centred intervention strategies.

Non-adherence with diabetes medicines is especially detrimental. The reported non-adherence rate with diabetes medications is 53.6%, and non-adherent patients have an annual inpatient cost 41% higher than the adherent patients. In a disease where non-adherence can lead to significant future impairment (eg, dialysis, blindness, neuropathy and so on), it is essential for providers to understand why patients are non-adherent and how providers can impact patients’ adherence with their diabetes medicines.

To develop patient-centred intervention strategies, it is essential to know what type of interventions are needed, who should provide it and when and how it should be provided. Thus, a 3S approach—Strategies, Source and Setting—was developed for this study’s purpose. The aim of this study was to identify patient-proposed solutions to improve medication adherence in patients with diabetes using this 3S approach. The specific objectives of this study were to understand what patients with diabetes perceive is needed for the development of a successful adherence intervention by gathering and defining the following information: (1) what intervention ‘strategies’ are recommended depending on the reason for non-adherence, (2) the preferred ‘source’ (physicians vs pharmacists vs nurse) to facilitate the intervention, and (3) the ‘setting’ to provide the intervention, such as the point of time in the disease (at the time of diagnosis, 3 months after diagnosis and so on) or the format for the delivery of the intervention (face to face or telephone, and so on).

**METHODOLOGY**

**Study design and sample**

The study used a qualitative focus group research design. The research team included two researchers with expertise in medication adherence and qualitative research, and an ambulatory care pharmacist. The researchers did not have any relationship with the patients.

Patients over the age of 18 with diabetes who were non-adherent with their diabetes medications were recruited for the study (EVW). The patients were recruited from a University of Utah outpatient clinic using convenience sampling. The inclusion criteria for patient eligibility were (1) diagnosed with type 2 diabetes (based on the International Classification of Diseases, 10th Revision codes), (2) prescribed diabetes medicines (from pharmacy claims database), and (3) members of the University of Utah health insurance who were non-adherent to their diabetes medicines based on the adherence measure, proportion of days covered (PDC). The study only included members of the University of Utah health insurance to ensure access to the patient’s pharmacy claims database, so that the research team was able to track the medication adherence of these patients by calculating the PDC. Only patients who were non-adherent to their diabetes medicines were included in the study. The definition of non-adherence in this study was having a PDC less than 80%. The Pharmacy Quality Alliance recommends 80% as the threshold for adherence with most chronic therapies. Any patient with critical illnesses such as cancer, in the last stages of life (with less than a year to live), with severe comorbidity (more than six chronic disease conditions), diagnosed with depression and non-English-speaking were excluded from the study. For these individuals, their reasons for medication non-adherence and coping skills may be different. The pharmacist manually searched the electronic health record to identify eligible patients. Once the patients were identified, the pharmacist invited these patients to participate in the study by telephone. If they agreed to be part of the study, they were called again and reminded about their study participation the day before the focus groups.

**Data collection**

The Medication Adherence Reasons Scale (MAR-Scale) was used as the reference in developing the focus group
Box 1 Items from the Medication Adherence Reasons Scale that were used to develop the focus group guide

- I had side effects from this medicine.
- I did not have money to pay for this medicine.
- I was not comfortable taking it for personal reasons (eg, tired of taking medicines, too sick, my religious beliefs).
- I was not comfortable taking it for social reasons (eg, I was with friends).
- I don’t think that I need this medicine anymore.
- I don’t think that this medicine is working for me.
- I sometimes skip this medicine to see if it is still needed.
- I am concerned about possible side-effects from this medicine.
- I am concerned about long-term effects from this medicine.
- I had difficulty opening the container OR I had difficulty getting the injection ready to use (eg, opening the package, mixing contents, drawing out the medicine).
- I had difficulty swallowing this medicine OR I had difficulty with injecting this medicine (eg, fear of needles, physical or sensory problems).
- I didn’t have the medicine because the pharmacy/provider was out of this medicine, I was out of refills, or the mail order did not reach in time.
- I didn’t have the medicine because I didn’t have a way to get to the pharmacy/provider.
- I am not sure how to take this medicine.
- I have trouble managing all the medicines I have to take.
- I would have taken it but simply missed it.
- I would have taken it but missed it because of busy schedule/change in routine.
- I would have taken it but have difficulty remembering things in my daily life.
- I do not consider taking this medicine as a high priority in my daily routine.

Data analysis

Data were analysed using open coding and content analysis. The domains and items in the MAR-Scale as well as the 3S approach served as a starting point for the open coding. The analysis started with repetitive reading of transcripts for immersion of data. The three study investigators worked independently to achieve data immersion, create initial codes, make notes and add additional notes with repeated reading, resulting in either editing the older codes or creating newer codes. Once the open coding was completed for each item in the MAR-Scale, these codes were further analysed to understand how they fit with the various MAR-Scale domains and the 3S approach. The investigators met and discussed their independent findings and any discrepancies. The discrepancies were discussed in further detail and the original transcripts were referenced as necessary, until agreement was reached. Once the themes were formed, categories were developed for strategies, sources and setting. All the analyses were conducted manually.

Patient and public involvement

There was no patient or public involvement in the development of research questions, design and data collection process in this study.

RESULTS

There were two focus groups with seven patients in each group. Of the 47 patients who were identified, 31 agreed to be a part of the study and only 14 actually participated.
The mean age of the participants was 53.75 years (range: 32–78). On average, participants had been taking oral diabetes medicines for 10 years, ranging from 6 months to 30 years, and 41% of the participants were on insulin for an average of 4 years, ranging from 3 months to 9 years. The participants were taking an average of 5.66 medicines every day, ranging from 2 to 12. Table 1 describes the major categories of patient-identified strategies, sources and setting to be better adherent with their medicines for diabetes.

**Strategies from the 3S approach**

The patients identified seven major categories for adherence interventions (table 1). These included education, physician’s role, pharmacist’s role, patient’s role, society, family support and reminders.

A major intervention strategy that emerged was patient education. The patients responded with very specific educational needs, such as a timeline on stopping medicines when they have side effects, probabilities of the side effects and proper use of medicines, especially insulin. The patients wanted both physicians and pharmacists to educate them, as well as pharmacists to perform follow-up calls. The patients also recommended the use of different learning styles when offering education.

The patients recognised the role of physicians in improving medication adherence. For example, they wanted physicians to maintain patient individuality while prescribing and managing diabetes, and to be mindful of the costs of the medicines. In addition to explaining the need and the long-term effects from the medicines to the patients, they also wanted physicians to have regular discussion regarding medicines and re-evaluate the necessity of medicines periodically so they remained assured of the benefit.

The patients identified the role of pharmacists for cost control, continuous access to medicines and education. To reduce costs and improve access, the patients suggested various strategies such as automatic 90-day refills, use of coupons, home delivery of medicines, offering pillboxes and identifying social services to assist with medication costs. Additionally, the patients wanted pharmacists to focus on their medication education. Patient education needs included teaching them how to use their medicines, especially insulin injections, and insurance coverage especially for supplemental services such as transportation.

The study participants advocated for a patient-centred role in improving medication adherence. They strongly recommended the need for patients to communicate with physicians before stopping medicines. Another strategy recommended by the patients were diabetes support groups since their peers understand their needs and concerns better and provide support and encouragement. The patients perceived that this would allow them to come together monthly or bi-monthly to discuss their issues, disease management strategies, recipes for diet management and so on.

The patients also recognised a societal role for improving medication adherence. A major intervention strategy voiced by the participants was the need for private spaces for injecting diabetes medication when they were in public. Privacy was more an issue with young patients and non-whites, who felt more uncomfortable injecting in public. The respondents also mentioned the need to increase awareness about diabetes and diabetes management to increase the social acceptability of the disease.

Family plays an important support role in improving medication adherence. Support from families included opening pill bottles for them, and reminding and encouraging them to take their medicines. The patients also wanted families to be more sensitive to the difficulties faced by them, such as diet restrictions, and suggested educating families on diabetes and diabetes management so that they are more empathetic.

Since forgetfulness was one of the most commonly reported reasons for non-adherence, reminders was one of the strategies recommended by the participants. The various reminder interventions recommended by the participants included pillboxes, visual aids, organising medicines, alarms and making a conscious effort to remember to take the medicines when there is a change in schedule.

**Sources for the intervention**

Four major sources for interventions became evident from the focus groups. These included physician’s office, diabetes educator, support coordinator and the healthcare team. From the physician’s office, the patients wanted education as to why they are taking the medicine and reinforcement about the absolute necessity of taking medicines as prescribed. The patients wanted to be part of the decision-making process as well. From the diabetes educator, they wanted a more in-depth education about the disease and disease management. Another interesting source they wanted was a support coordinator who schedules and facilitates support groups. The patients also understood the importance of having a healthcare team for diabetes management, especially since diabetes is a ‘lifelong disease journey’.

**Setting for the intervention**

The patients reiterated the importance of continuity of information as the disease and the disease management change over time, and regular feedback was preferred to keep up with the changes. There was no preference for the method of communication, such as face to face or telephone. The emphasis was on communication and the continuity of information. The patients echoed the ambiguity in diabetes management especially in the initial years and the need for constant communication from the providers. Although they agreed that information given to them right at the point of diagnosis might not be that useful, they still wanted some information. Additionally, changes such as medication dosage happen throughout the disease, warranting continuity of information. The
# Table 1  Categories of intervention strategies, sources and setting

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<thead>
<tr>
<th>Category</th>
<th>Theme</th>
<th>Sample quote</th>
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<tbody>
<tr>
<td><strong>Strategies</strong></td>
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<tr>
<td>Education</td>
<td>When to stop taking a medication due to side effects (timeline).</td>
<td>“putting a time line on it will help you a little better…”</td>
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<td></td>
<td>Probabilities for the side effects (statistics).</td>
<td>“…say well one out of a hundred thousand usually get this side effect and it usually lasts for 2 months…”</td>
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<td></td>
<td>Pharmacies educating patients how to use the insulin.</td>
<td>“When I first started it, the pharmacist just told me how to do it. I’m one of those type of people that has to do it in order to learn it and just put it in…pull it out…”</td>
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<td>Pharmacists doing follow-up calls on using insulin.</td>
<td>“Then the pharmacist downstairs keeps tabs on me and you know so they’d call me every other week to see how I’m doing and uh to see how my blood is…”</td>
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<td>Pharmacists instructing the patients when there is a change in the dosage.</td>
<td>“No, it’s not that silly. Seriously, I did not know it was 4 pills…Cause it’s always been one time a day until they changed the size of the pills. Then it went from one time a day to two times a day and they broke the pills into halves so that’s 4 pills instead of just 2 pills…”</td>
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<td></td>
<td>Incorporating different learning styles.</td>
<td>“…but not everybody learns the same.”</td>
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<td>Physicians</td>
<td>Need individuality to prescribing and management of diabetes.</td>
<td>“So, I feel a lot of times that those that have either lifelong diseases or ones that are probably just going have this forever such as diabetes that everybody is sort of liked up to one big this is what we are going to do for everybody and there’s no individuality to it…”</td>
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<td>Have to explain the need of medicines.</td>
<td>“…he said you’re diabetic and gave me metformin that was it…and when I came back later it was 249 he said that’s the right range and so he never really explained what was going on and where I needed to be or anything like that…”</td>
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<td>Need to explain the long-term effects (need) of the medicines.</td>
<td>“Well with diabetes I wish they would tell you more it’s a long term effects…creep up on you one day you can feel fine the next day or when I woke up the next day I could not see my hand before my face…”</td>
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<td></td>
<td>Re-evaluate the necessity of medications periodically so that the patients are reassured.</td>
<td>“…but I think just through the whole journey like I’m on something I always go to the doctor and say Do I really need to take this? I don’t know that it’s doing any good and I’d rather not take it. But you know I want to take as little as I can…”</td>
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<td></td>
<td>Having regular talks with the patients about the medicine.</td>
<td>“…So, at least I appreciate the fact that she is open to discuss it with me every time I go in and I bring that up again and she at least is very open to discuss that with me and um I appreciate that I think that’s important to kind of put your mind at ease okay I am concerned about these long term effects but my doctor is taking the time we’re talking about it and we’ll go another 3 months…”</td>
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<td></td>
<td>Showing patients how to use the insulin.</td>
<td>“My doctor had me go downstairs and get my medicine and come back up and they showed me how to use my insulin…”</td>
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<td>Prescribing drugs that are covered by insurance.</td>
<td>“I went to my physician before I came here and I told her that my insurance changed like…So my doctor took the time she actually went in and oh, your insurance will cover this She switched all my medicines and stuff…”</td>
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<tr>
<th>Category</th>
<th>Theme</th>
<th>Sample quote</th>
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<tr>
<td>Pharmacists</td>
<td>Logistics regarding opening pill bottle—</td>
<td>“I think they should ask you if you want child proof…”</td>
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<td>asking if the patient want non-child-resistant containers and avoid dispensing in manufacturer’s bottles.</td>
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<td>Educating patients how to inject the medicine—device training/refer patients to classes for device training.</td>
<td>“If there was an alert in their system: Hey, you’re starting this new medication. Do you want us to show you how to use it?”</td>
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<td>Should offer alternatives if patients cannot swallow.</td>
<td>“But they did give me alternatives. Liquid metformin is horrible. I didn’t take it because it was so horrible.”</td>
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<td>Working with patient to ensure access—automatic 90-day refills, delivering medicines to home.</td>
<td>“I don’t think we should have to ask for a 90 day. It should just automatically…”</td>
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<td></td>
<td>Working with patient to reduce cost—automatic 90-day refills, coupons, identifying social services for patients who cannot afford medicines.</td>
<td>“Yeah, but they didn’t offer me a discount…It would have helped if they were like well, let’s see if we have a coupon. That’s really nice…”</td>
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<td>Technicians helping with understanding copays.</td>
<td>“…we have specialized pharmacy technicians who start looking at your various insurance have books of what’s covered and what isn’t what copay there are…”</td>
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<td>Educating patients about insurance coverage for transportation services.</td>
<td>“My insurance company calls me every so often because of one of the medications I am on. I am not supposed to stop taking it at all and I did stop taking it and they were right on top of me on that but…uhm…she asked me why and I explained I had the kids and I can’t get out to the pharmacy and get back home all within a reasonable amount of time and she goes, we have transportation and I’m like sign me up.”</td>
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<td>Offering pillbox for medicine management.</td>
<td>“My pill box came from my pharmacist that calls me every month to make sure I am taking my medication.”</td>
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<td>Patients</td>
<td>Responsibility of taking medicines as committed.</td>
<td>“Okay I have one doctor how many patients does that doctor have? So isn’t it my responsibility for my own health not his he’s not God he’s not going to fix things he’s helping me fix myself…”</td>
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<td>Need to talk to the physicians before stopping the medicines.</td>
<td>“Because I did not know what I could eat or what I couldn’t eat I stopped eating altogether I was just drinking water because I was so scared that I would eat something that would kill me…”</td>
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<td>Form diabetes support groups to help each other.</td>
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<td>Society</td>
<td>Have a private area for injecting in public.</td>
<td>“Like me and him were in the restaurant. Just stopped and made him like turn so no one saw what I was doing I hope they don’t look over hey, what are you doing? You have a place for moms to breast feed, right? Do you have a diabetic room?”</td>
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<td>Making it more socially acceptable with education and increased awareness.</td>
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patients also reinforced the necessity to educate patients with proper insulin injection techniques for at least 6–12 months after diagnosis.

**DISCUSSION**

The goal of the study was to understand patient-proposed suggestions and recommendations in developing
interventions to improve medication adherence in patients with type 2 diabetes. The recommendations were derived from a 3S approach—strategy, source and setting. The patients identified education as the major strategy to improve medication adherence, and they were specific about their expectations from each healthcare provider. Additionally, the patients realised the significance of social support and the continuity of information provided to them as diabetes is a lifelong journey. Although there is vast literature on intervention to improve medication adherence among patients with type 2 diabetes, there is limited literature on incorporating the preferences of patients into the development of these interventions. A recent study by McMullen et al.12 engaged various stakeholders such as patients, providers, researchers, payers, policy makers, product makers and funders in identifying and prioritising adherence interventions and medication management.13 Compared with the existing literature, the strengths of this study are that it focused on one disease condition and used a focus group study design to identify the solutions from the patient’s perspective for each of the commonly found reasons of non-adherence.

As can be seen from the results, education was a major strategy recommended by study participants in improving adherence to diabetes medications. This is similar to the results from McMullen et al.13 However, the results from this study also demonstrate the specific educational needs of the patients. Interestingly, the patients wanted the crucial part of education, the necessity of medicines, coming from the physicians themselves. Zullig et al. in describing successful and scalable interventions, point out that, although educational interventions are important, it can come from other health professionals such as health educators or nurses.14 However, the proposed strategy from the patients in this study underscores findings from prior literature that patients’ beliefs about their medicines and illnesses are important factors that contribute towards medication adherence. Once patients are convinced of the necessity of taking medicines and that the medicines are individualised for them by their physicians, they are open to further education from other sources such as diabetes educators. The major education they needed from pharmacists were techniques in taking their medicines correctly, such as proper injection techniques. All these educational strategies show how patients look at various members of the healthcare team for different educational needs.

Ensuring continuous and economical access to medicines was important for patients as they believed that pharmacists were the most prepared to carry out this aspect of an adherence intervention with their knowledge about insurance coverage. While being mindful about the fact that the society and families may lack understanding about diabetes and diabetes management, the patients wanted the society and family to be empathetic and more accepting of patients with diabetes. These results are similar to the results from the literature that has shown a positive correlation between family/social support and diabetes management and improved adherence.15 16

A significant source for the delivery of adherence interventions that were reported by the study participants was the use of a support group. McMullen et al.13’s study results also reported that peer support was a priority for patients, although not for researchers.13 The participants felt that having a monthly or bimonthly meeting on diabetes management among patients could be more hands-on and beneficial in addition to meeting with the providers. The participants reported that support groups could be used for sharing successful strategies for improved medication adherence and better management of the disease. The impact of using peer support on chronic disease management is established in the literature, and the success from peer support is attributed to gaining mastery of tasks and improving clinical outcomes based on the sharing of experiences.17–19

Another major observation from this study was how patients prioritised the continuity of information needed for improved medication adherence. Realising that the management of diabetes is a lifelong journey, participants wanted the providers, especially the physician, to have a continuous dialogue with them on the disease as well as the management of the disease. For them, this dialogue should start from the day of disease diagnosis and should be continued over time. Probably, these consistent conversations would empower patients to be better decision makers regarding disease management. The continuity of information corresponds with the literature that provides adequate evidence on the importance of physician–patient communication in disease management.20–24

The study has some limitations. The study only had two focus groups. However, the analysis of the data showed data saturation. The fact that the data were analysed by three investigators with different professional and educational backgrounds and were able to reach consensus demonstrates data adequacy. While the primary investigator was an expert on medication adherence and the developer of the MAR-Scale, which was used as the basis for the focus group guide, the second author was a clinical pharmacist and the third author an expert on qualitative methodology. All the study participants were from the same clinic which is part of a university hospital, which can limit the generalisability of the study. One exclusion criterion for the study was that patients with more than six comorbid conditions should be excluded. Although multimorbidity is becoming more common, we excluded those patients since their reasons for non-adherence and coping skills can be different from other patients. However, this exclusion can decrease the representativeness of the sample.

Further studies are needed to determine if patients from different settings (such as Medicaid, Medicare and commercially insured population not in a university setting) have the same recommendations to improve medication adherence. Clinical studies incorporating interventions based on the findings from the study...
are also needed to determine the effectiveness of the patient-proposed interventions.

CONCLUSION

A complex problem such as medication non-adherence needs patient-centred intervention for its success. The 3S approach used in eliciting input from the patients about medication adherence strategies, source and setting approach used in eliciting input from the patients about needs patient-centred intervention for its success. The 3S complex problem such as medication non-adherence is non-commercial. See: http://creativecommons. This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is deidentified, there are references to their experiences with taking medicines; thus, we prefer to not make these transcripts publicly available.

Contributors All the three authors met all the four author criteria established by the ICMJE. EJU: study design, data collection, data analysis and reporting. EVW: patient recruitment, data analysis and reporting. GOS: data analysis and reporting.

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Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Due to the qualitative nature of this study, the data are written transcripts of patient focus groups. Although participant information is deidentified, there are references to their experiences with taking medicines; thus, we prefer to not make these transcripts publicly available.

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