Protocol of a scoping review assessing injury rates and their determinants among healthcare workers in western countries

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ABSTRACT

Introduction Healthcare workers (HCWs) are exposed to various risk factors and risky behaviours that may seriously affect their health and ability to work. The aim of this protocol is to detail the steps to follow in order to carry out a scoping review to assess the prevalence/incidence of injuries among HCWs.

Methods and analysis The study will be carried out in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses-Protocols guidelines. Studies will be selected according to the following criteria: P (HCWs), E (exposure to injuries), C (different types of exposure and different categories of HCWs) and O (prevalence/incidence and determinants of injuries). A time filter has been set (literature between 2000 and 2018) to enable updated, direct comparison between the findings and the epidemiological data available at national and local ‘Istituto Nazionale per l’Assicurazione contro gli Infortuni sul Lavoro’ (National Institute for Insurance Against Accidents at Work) centres in Italy. No language restriction will be applied.

Ethics and dissemination Formal ethical approval is not required; primary data will not be collected, as they have already been published. The results will be disseminated through peer-reviewed publication(s), conference presentation(s) and the press.

BACKGROUND

The healthcare system is the fastest-growing sector in western countries and employs millions of workers: over 18 million in the USA and more than 59 million worldwide. Healthcare workers’ (HCWs) is an umbrella term which refers to all people engaged in the promotion, protection, care or improvement of the health of the population. This term includes a variety of different figures, ranging from medical doctors (such as specialists, paediatricians and general practitioners) to midwives and nurses, other allied health professionals, central supply workers and technicians, and residents.

Several variables may constitute risk factors and impact on HCWs’ health and safety, potentially leading to occupational injuries and diseases. These include: the individual characteristics of the HCWs (age, gender, education, smoking status and other lifestyle habits) and the features of the patient under care (sociodemographic characteristics and type of disease) and of the healthcare setting (eg, organisation, workload or night shifts) and procedures (such as invasive treatment).

Like other working environments, hospitals and other healthcare facilities are not completely safe workplaces for professionals. HCWs may be exposed to several occupational health hazards which may impose a considerable clinical, economic and human burden. In western countries, injury rates are higher among HCWs than among workers in any other field. Some classic occupational hazards seem to re-emerge owing to societal changes, including biological hazards (such as hepatitis B virus or HBV, hepatitis C virus or HCV, human immunodeficiency virus or...
HIV, measles, influenza, varicella and tuberculosis infections, among others.1-15 These constitute a major occupational health problem, as most of them are bloodborne diseases which can be acquired through needle-stick or sharps injuries. HCWs have contact with infected patients and their body fluids. Moreover, they frequently perform exposure-prone procedures that may cause injury. This risk involves surgeons, midwives, microbiologists, pathologists and blood bank and dialysis staff, among others. According to a recent review by Cooke and Stephens, in 2015 a needle-stick injury generated a cost of $747 (range $199–$1691).16 Prüss-Ustün and collaborators used mathematical modelling to estimate the global burden of infections due to percutaneous injuries (PIs) among HCWs. Their model was based on the probability of injury, the prevalence of infection, the susceptibility of the worker and the potential of percutaneous transmission. In Western countries, 1510 cases of HCV, 360 cases of HBV and 11 cases of HIV occurred in the year 2000, accounting for 8%-27%, 1%-8% and 0.5%-3.1% of infections, respectively.17 A recently published systematic review and meta-analysis of the literature performed by Auta and coworkers18 computed a global 1-year prevalence of infections of 36.6% (95% CI 32.9 to 40.0), ranging from 9.5% (95%CI 6.7 to 12.4) in Australsia to 15.7% (95%CI 12.1 to 19.3) in North America and to 31.8% (95%CI 25.0 to 38.5) in Europe. Incidence rates of sharps injuries ranged from 1.4 to 9.5 per 100 HCWs, with a weighted mean of 3.7/100 HCWs per year and a related mean societal cost of €272.19 According to Deuffic-Burban and colleagues,20 the risk of transmission of bloodborne pathogen infections in susceptible HCWs (ie, without postexposure prophylaxis or adequate hepatitis B vaccination) is estimated to be 30%, 0%-0.5% and <0.3% for HBV, HCV and HIV, respectively. Other biological risks, such as airborne pathogen infections, pose serious health risks for both HCWs and patients. In recent years, large measles outbreaks, with nosocomial transmission among HCWs, have been documented in Italy and the UK.21-22

The incidence of occupational exposure, and, therefore, of PIs can be reduced by adopting preventive measures, such as taking standard precautions or implementing training sessions targeting both long-term HCWs and students at risk. For instance, in France, the proportion of PIs preventable by means of standard precautions decreased from 52.5% in 2004 to 45.8% in 2008.20

Other hazards are ergonomic/physical. Musculoskeletal injuries caused by patient handling and overexertion are among the most frequent traumas sustained by HCWs. Musculoskeletal injuries, which may involve muscles, nerves, tendons and ligaments, joints and cartilage, are due to such factors as repetitive movements, force, awkward postures, contact stress or vibration. Personnel assigned to hospital housekeeping, laundry and food services, maintenance, central supply and office tasks, as well as those involved in patient care, are susceptible to such injuries. Patient-handling injuries may be caused by manually lifting patients, who are generally more overweight or obese than in the past. Consequently, a ‘Safe Patient Handling—No Manual Lifting’ policy should be adopted. This is especially important today, given the current shortage of HCWs, particularly of nurses, and the need to mobilise patients early and to assist them with physical activities.23-24 According to some epidemiological surveys, up to two-thirds of nurses have suffered from musculoskeletal disorders for at least 14 days at least once in their working lives.25 Physiotherapists are also at high risk of developing musculoskeletal disorders: according to a recent comprehensive narrative review, their lifetime and yearly prevalence rates are 55%-91% and 40%-91.3%, respectively. Injuries generally affect the lower back, neck, upper back and shoulders.26

Physical violence is another occupational hazard, and severely impacts on HCWs’ well-being and job motivation, affecting healthcare provision and quality.27 According to WHO estimates, from 8% to 38% of HCWs have been assaulted by patients or visitors at least once in their careers. Recently, the WHO, the ‘International Labour Organisation’, the ‘International Council of Nurses’ and the ‘Public Services International’ jointly drafted a document entitled ‘Framework guidelines for addressing workplace violence in the health sector’.28 The risk of physical violence is particularly high among HCWs working in psychiatric wards.29 Indeed, according to a recent review of the literature, lifetime rates of overall assaults, physical and verbal threats and sexual harassment in acute psychiatric units are 24%-80%, 46%-78.6%, 43%-78.6% and 9.5%-37.2%, respectively. The complications of such episodes include fractures, eye injuries and permanent disability, as well as psychological symptoms such as anxiety, depression, post-traumatic stress disorder or avoidance behaviour.30-31 Another environment in which the risk of violence/assault is high is the emergency department (ED): according to a recent qualitative meta-synthesis, ED staff members perceive aggression as unavoidable and feel that they are too often left to handle it alone.32 Aggressors typically suffer from psychiatric disorders, have a history of drug or alcohol use, carry weapons, are themselves victims of violence and are unable to cope rationally with situational crises.33 In recent years, the number of assaults against HCWs has risen. For example, in one university teaching hospital in northern Italy, non-fatal violent events increased from 20.65/10 000 in 2012 to 22.81/10 000 in 2014, resulting in 431 days of absence from work and generating a direct cost of €64170. Up to 75% of violent episodes occurred in the ED, intermediate care, psychiatry and geriatrics wards.34

A less common source of injury among HCWs is exposure to chemicals (inhalation of anaesthetics, solvents, detergents or reagents)35 or physical agents (such as ionising and non-ionising radiations).36

A particular type of disorder is also known to affect shift workers. Research among shift and non-shift workers has revealed a strict, statistically significant relationship
between shift work and excess daytime sleepiness.\textsuperscript{37-40} Owing to workforce shortages, high workloads and the need to work at night, 32\% of HCWs report not getting enough sleep.\textsuperscript{40}

Systematically identifying working conditions associated with exposure to health hazards and subsequently taking remedial actions can play a major role in primary prevention.\textsuperscript{41}

Review objectives
The objectives of our planned study will be to: (1) provide a comprehensive overview of all studies dealing with injuries among HCWs in western countries; (2) identify the most frequent kinds of injuries among HCWs; (3) identify the type(s) of HCWs most prone to injuries; (4) identify which variable(s) impact(s) on the occurrence of injuries among HCWs; (5) quantify the burden of injuries among HCWs in terms of related disabilities, residual working capability, absence from work and direct/indirect costs generated; (6) identify preventive measures that can effectively curb the occurrence of injuries among HCWs; and (7) disseminate review findings in the published literature on injuries among HCWs.

Review questions
The review questions of our planned study are: (1) What is the incidence/prevalence rate of injuries among HCWs in western countries? (2) What are the determinants of injuries among HCWs in western countries? (3) What type of injury most commonly occurs among HCWs in western countries? (4) Among the different professional figures within the umbrella term of HCWs, which one(s) is/are the most affected by injuries in western countries? (5) What is the burden imposed by injuries among HCWs in terms of related disabilities, residual working capability, absence from work and direct/indirect costs generated? (6) What are the state-of-art preventive measures that can be adopted in order to effectively reduce injuries among HCWs in western countries?

METHODS
Study design
In order to properly address the research questions, a scoping review will be performed by means of the six-stage methodological framework initially proposed by Arksey and O’Malley.\textsuperscript{48} This framework comprises six steps: namely, (1) identifying the research question(s); (2) identifying relevant studies; (3) study selection; (4) charting the data; (5) collating, summarising and reporting the results; and (6) consultation exercise.\textsuperscript{43,44} This conceptual scheme has been made more detailed and explicit by Levac \textit{et al}.,\textsuperscript{45} further refined by Colquhoun \textit{et al}.,\textsuperscript{46} and subsequently modified by the Joanna Briggs Institute (JBI) in the ‘JBI scoping review methods’ manual.\textsuperscript{46,47}

The specific methodology of the scoping review,\textsuperscript{47,48} was chosen in order to take into account both the nature and the specific requests of a national project co-funded and performed in collaboration between the Department of Health Sciences, Occupational Medicine—University of Genoa, Italy, and the National Institute for Insurance Against Accidents at Work (in Italian, ‘Istituto Nazionale per l’Assicurazione contro gli Infortuni sul Lavoro’, INAIL). This objective requires the rapid assessment of a large amount of diverse scholarly literature, the aim being to achieve breadth rather than depth. For this reason, other types of review, such as systematic reviews, umbrella reviews or rapid reviews,\textsuperscript{49} were not deemed methodologically effective.

As maintained by Arksey and O’Malley,\textsuperscript{42} scoping reviews may be used to assess the topology of a vast body of literature, in order to identify current gaps of knowledge and future prospects.\textsuperscript{42,50} These aims corresponded to the objectives of our project.

Drafting and registration of the study protocol
This protocol is reported in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analysis Protocols (PRISMA-P) guidelines.\textsuperscript{51} However, despite the recommendation of these guidelines, it was not possible to register the scoping review protocol in the International Prospective Register of Systematic Reviews,\textsuperscript{52} in that this register does not currently accept scoping review protocols.

Stage I: Identifying the research question(s)
Five authors (NLB, GD, VM, AT and PD) drafted the research questions; the other authors (VP, CB, RL, FB, BDA, EM, AM and ND) helped to develop these questions. The research objectives and questions have been formulated as they are stated in the previous sections ‘Review objectives’ and ‘Review questions’.

Stage II: Identifying relevant studies
The identification of relevant studies will follow the three-step process recommended by the JBI: namely, (1) preliminary search conducted on at least two databases; (2) preparation of a list of search terms and words to be used in the subsequent running of the search on a larger number of databases; and (3) possible additional searches (cross-checking/cross-referencing of reference lists of potentially eligible studies, hand-searching in target journals relevant to the topic, etc).

Preliminary literature search
We carried out a preliminary literature search on the topic of interest in order to clarify inclusion/exclusion criteria. After familiarising ourselves with the literature, we were able to further expand and/or modify/define the search strategy with the help of an expert, qualified research librarian.

The preliminary literature search was carried out on two widely used scholarly databases (PubMed/MEDLINE and Scopus), using ‘healthcare injuries’ as keywords and adopting a time filter; this yielded 27844 and 139073 studies, respectively. In the second stage, the research team inspected titles and abstracts of potentially relevant
articles and prepared a list of pertinent words and index terms to inform the subsequent search process.

Structured search strategy

Based on the previously prepared list of key terms, a systematic literature search will be carried out on several scholarly databases, namely PubMed/MEDLINE (NLM), Scopus, SciVerse ScienceDirect, Science Citation Index Expanded and Social Sciences Citation Index from the ISI/Web of Science, ProQuest Research Library, ABI/INFORM, CBCA, via the UNO per TUTTI Primo Central (Ex Libris) platform databases.

We will include all studies reporting epidemiological figures of injuries among HCWs in western countries in terms of prevalence/incidence rates. The search will be performed by using an appropriate string of relevant search terms based on controlled vocabulary and Boolean connectors (table 1). For PubMed/MEDLINE, for instance, Medical Subject Headings key terms and wild card option (truncated key terms) will be used. This structured search strategy will be adapted to the other databases, in order to obtain database-specific search strategies. If a decision on the inclusion or exclusion of a study cannot be made on the basis of the abstract, the full text of the article will be examined. During this process, we will use the Peer Review of Electronic Search Strategies 2015 Guideline statement as a guide.53

In the third phase of the search strategy, we will scan reference lists of the studies chosen and prior reviews. Additionally, we will hand-search target journals relevant to the topic under study.

Five authors (NLB, GD, VM, AT and PD) will identify relevant studies, with the aid of the other authors (VP, CB, RL, FB, BDA, EM, AM and ND).

Stage III: Study selection

Once the search strategy has been successfully completed, search results will be collated and exported to EndNote VX7 (Clarivate Analytics). Duplicates will be automatically removed before the file containing a set of unique records is made available to reviewers for further processing (ie, study screening and selection).

The studies will be independently screened by two authors (NLB and GD), who will read study titles and abstracts for potential eligibility. Screening questions will be drafted and pilot-tested on a subset of records randomly chosen before implementation. Inter-rater agreement will be assessed by means of the K statistic and any disagreement will be resolved through discussion; a third reviewer (PD), acting as a final referee, will be involved if necessary.

Inclusion criteria

Studies meeting the following PECO criteria will be considered for inclusion54:

- **P** (patient, problem or population): HCWs (of any type, medical, nursing or dental practitioners, trainees/residents, other allied health professionals, central supply workers and technicians) working in western countries.
- **E** (exposure): injuries (of any type, due to exposure to biological, chemical, physical/ergonomic and any other potential risk and hazard).
- **C** (comparison, control or comparator): different types of HCWs (medical versus nursing or dental practitioners, trainees or residents); exposed HCWs versus non-exposed; before and after a preventive programme.
- **O** (outcome/outcomes of interest): prevalence/incidence and determinants of injuries, occupational burden (in terms of related disabilities and absence from work) and economic burden generated (in terms of direct and indirect costs).

Exclusion criteria

Articles that do not meet the above-stated PECO criteria, or which provide insufficient information, and studies designed as editorials, letters to the editor, commentaries, expert opinions, case reports, case series and reviews will be excluded.

Stage IV: Charting the data

An ad hoc data-extraction template reflecting the research questions and the purposes/objectives of the review will be created. This will be used both to confirm study relevance and to extract the data. In detail, the template will gather information regarding the key characteristics of the studies, such as study authors, year of publication, study population (type(s) of HCWs recruited) and study country, study design, percentage of male HCWs, mean age, sample size, attrition rate, years of professional experience, working setting, prevalence/incidence rate of injuries, knowledge, attitudes and practices concerning the adoption of standard procedures, and injury-related burden (table 2). These a priori data items have been individuated and developed through a preliminary exercise by all the research team.
The data-extraction process will be performed independently by two authors (NLB and GD) and will be pilot-tested on a small sample of randomly selected studies, until consensus is reached. On the basis of this pilot test, if deemed necessary by the research team, the data-extraction form will be reviewed and revised, in order to capture any relevant information contained in the studies included. Any change to the data-collection form will be documented and explained. To assist this process of the scoping review, the ‘Covidence’ software (Cochrane) for systematic review management will be used.

**Stage V: Collating, summarising and reporting the results**
Stage V is aimed at providing a summary and synthesis of the findings. However, Levac *et al.* have suggested...
breaking down this review step into the following three smaller and distinct phases: namely, (a) data collation and analysis; (b) reporting of the results and outcome(s) in such a way as to guide and inform the overall study purposes/objectives, and research questions; and (c) taking into account the meaning of the findings in relation to the study purposes/objectives and research questions, and discussion of the potential, practical implications that the findings may have on future research, practice and policy.

The data collected during stage IV will be stored in an Excel electronic database. In addition to the narrative review describing how the results relate to the review purposes/objectives and questions, we will provide a table showing the main characteristics of the studies included in the scoping review. We will also assess the frequency of studies investigating: (1) the type(s) of HCWs recruited, (2) the types of injuries and (3) the outcomes studied (injury rate and determinants; burden generated by the injuries; and preventive measures that can be adopted to curb the injury rate).

Findings will be reported according to the PRISMA Extension for Scoping Reviews checklist.

**Stage VI: Consultation exercise and stakeholder involvement**

Both Arksey and O’Malley and Levac et al have suggested that the consultation exercise stage can provide opportunities to involve key stakeholders, providing insights beyond those that can be found in the scholarly literature. The development of our planned scoping review will include the ongoing involvement of relevant scientific societies, such as the Italian Society of Occupational Medicine (‘Società Italiana di Medicina del Lavoro’), and of the national and international networks of occupational physicians. Prior to publication and dissemination of the findings, we will once again consult with these stakeholders in order to receive their feedback and to ensure that the data have been clearly and accurately presented.

**Patient and public involvement**

In the development of the planned scoping review, there will be no specific involvement of patients or the public.
HCWs are subject to various risk factors and risky behaviours, which may have a serious impact on their health and safety. Moreover, both non-fatal and fatal work-related injuries and illnesses impose an economic burden on society.56–58 Thus, a major challenge for western countries is to promote the health and well-being of individuals at both the occupational and community levels, in order to enable workers to stay at work longer and in good health.59 60 Mapping the existing literature on injuries in the healthcare setting enables us to understand both traditional and emerging health problems at work (such as the impact of ageing, musculoskeletal and psychosocial problems, shift work, gender perspectives, re-emergent infectious diseases) and provides useful insights into their determinants. This approach underpins the planning and implementation of high-quality occupational health interventions in the currently changing world of work.61

DISCUSSION
Implications

As yet, no reviews of studies conducted in western countries concerning injuries among HCWs have been carried out. As such, this scoping review will provide the first rigorous analytical, updated synthesis of primary research data on the epidemiology and the economic and occupational burden of injuries among HCWs in western countries. Stratifying injuries according to type and the work tasks involved could add meaningful information and increase our understanding of the determinants of injuries among HCWs.

Owing to the changing conditions of our society and of healthcare settings, new hazards are emerging alongside classic occupational risk factors and ensuring health protection in the workplace is mandatory. Acquiring good evidence concerning the epidemiology of injuries and associated determinants among HCWs in western countries is the scientific basis for implementing programmes and properly orienting the activities of occupational health services and policies. This would bring considerable benefits not only to workers but to entire organisations and societies, which is an objective of occupational and public health programmes.

Furthermore, this scoping review will allow us to assess the scholarly literature for knowledge gaps that researchers will be able to address in their future

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<td>Country</td>
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<td>Number of healthcare workers who took part in the survey, number of non-responders.</td>
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<td>Years spent in profession by healthcare workers included in the study.</td>
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research. Being conducted in partnership with the INAIL and the national and international networks of occupational physicians, the planned scoping review will also be useful to decision- and policy-makers, in order to design, develop, implement and foster adequate, cost-effective ad hoc policies and practices for primary prevention and educational programmes.

Strengths and limitations

The main strength of this scoping review lies in the rigour, transparency and reproducibility of our approach. Indeed, it will be based on the present scoping review protocol, which has been submitted separately for review and publication, in order to ensure high methodological standards. Any amendments to the present scoping review protocol will be precisely documented, listed and explained in the final review publication(s).

Another strength is the multidisciplinary nature of our team, which comprises an experienced epidemiologist and research methodologist (NLB), a biologist with a background in the field of public health (VP), occupational physicians from the academic setting (GD, AT, FB, BDA, EM, AM, ND and PD) and occupational physicians with expertise in the field of social security and insurance (CB, RL and VM).

However, some shortcomings must also be mentioned. The main limitation concerns the time filter (studies published between 2000 and 2018 will be included in the scoping review). However, this will enable us to make an updated, direct comparison of the findings with the epidemiological figures available at national and local INAIL centres. Moreover, as the process of scoping reviews does not include formal critical quality assessment and appraisal of the studies included, the findings reported may lack reliability and validity.

DISSEMINATION

Following the successful completion of the scoping review, its findings will be submitted to peer-reviewed journals for potential publication(s) and will be the subject of ad hoc oral/poster communication(s) in relevant national and international scientific congresses and conferences. All members of the research team have established relationships with national and international occupational medicine networks which will also be used to further disseminate the review findings.

We will not be able to provide any recommendations, since the studies selected will not be critically and formally appraised for methodological quality. The findings of this scoping review could be used to guide the education of HCWs (eg, to inform the development and implementation of courses for continuous medical learning) and the health policy-making and decision-making process.

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Contributors NLB, GD, VP, CB, RL, VM, AT, FMB, BDA, EM, AM, ND and PD conceived the idea. NLB, GD, VM, AT and PD developed the research questions. NLB, GD and PD developed the study methods. VP, CB, RL, FB, BDA, EM, AM and ND aided in developing the research question and study methods. All authors participated in drafting the article, editing or revising it critically for important intellectual content; all authors gave final approval of the version to be submitted and any revised version.

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Competing interests None declared.

Patient consent Not required.

Ethics approval No ethical clearance is required for the present scoping review protocol and for its subsequent implementation, in that it will summarise knowledge and analyse data that have been already collected and published.

Provenance and peer review Not commissioned; externally peer reviewed.

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