Neurophysiological correlates of motor planning and movement initiation in ACL-reconstructed individuals: a case-control study

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ABSTRACT

Introduction Current evidence suggests that the loss of mechanoreceptors after anterior cruciate ligament (ACL) tears might be compensated by increased cortical motor planning. This occupation of cerebral resources may limit the potential to quickly adapt movements to unforeseen external stimuli in the athletic environment. To date, studies investigating such neural alterations during movement focused on simple, anticipated tasks with low ecological validity. This trial, therefore, aims to investigate the cortical and biomechanical processes associated with more sport-related and injury-related movements in ACL-reconstructed individuals.

Methods and analysis ACL-reconstructed participants and uninjured controls will perform repetitive countermovement jumps with single leg landings. Two different conditions are to be completed: anticipated (n=35) versus unanticipated (n=35) successful landings. Under the anticipated condition, participants receive the visual information depicting the requested landing leg prior to the jump. In the unanticipated condition, this information will be provided only about 400 msec prior to landing. Neural correlates of motor planning will be measured using electroencephalography. In detail, movement-related cortical potentials, frequency spectral power and functional connectivity will be assessed. Biomechanical landing quality will be captured via a capacitive force plate. Calculated parameters encompass time to stabilisation, vertical peak ground reaction force, and centre of pressure path length. Potential systematic differences between ACL-reconstructed individuals and controls will be identified in dependence of jumping condition (anticipated/unanticipated, injured/uninjured leg and controls) by using interference statistics. Potential associations between the cortical and biomechanical measures will be calculated by means of correlation analysis. In case of statistical significance (α<0.05) further confounders (cofactors) will be considered.

Ethics and dissemination The independent Ethics Committee of the University of Frankfurt (Faculty of Psychology and Sports Sciences) approved the study. Publications in peer-reviewed journals are planned. The findings will be presented at scientific conferences.

Trial status At the time of submission of this manuscript, recruitment is ongoing.

Trial registration number NCT03336060; Pre-results.

INTRODUCTION

Anterior cruciate ligament (ACL) tears of the knee represent one of the most common sports-related injuries, particularly among young, physically active individuals. The disorder represents the leading cause of sports-related surgery and, besides the severe acute and long-term consequences (eg, pain, functional disability and impairments), is associated with a higher lifetime risk of knee osteoarthritis. Despite several multidisciplinary therapeutic approaches aiming to restore preinjury neuromuscular function, the odds of sustaining a second tear are significantly increased in afflicted individuals who returned to sports. It may, therefore, be inferred that current rehabilitation paradigms fail to eliminate all impairments of the injury.

Besides affecting mechanical stability, ACL rupture is associated with substantial destructions of ligament mechanoreceptors. Under healthy conditions, the sensory
receptors located in the ACL, for example, Ruffini and Pacini corpuscles, provide essential proprioceptive information\textsuperscript{11–13} and regulate the activity of the hamstring muscles.\textsuperscript{14–16} Representing a synergist of the ACL, the hamstrings are paramount for functional stability of the knee joint.\textsuperscript{17,18} As the neural drive to the muscle depends on the sensory input, the above described peripheral deafferentation (mechanoreceptor damage), secondary to the rather acute consequences of the injury (eg, pain, swelling and inflammation), could induce neuroplastic changes in the brain.\textsuperscript{19,20}

Current evidence demonstrates persistent central nervous system adaptations occurring after ligamentous injuries and subsequent reconstruction surgeries.\textsuperscript{21} Electroencephalographic (EEG) studies revealed increased activity of the frontal\textsuperscript{22} and frontoparietal cortex\textsuperscript{23} during the execution of sensorimotor tasks in ACL-reconstructed individuals compared with unimpaired controls. It has been suggested that this may be related to an increased attentional control and somatosensory information processing related to a higher working memory load.\textsuperscript{22,23} Similarly, neuroimaging studies showed ACL-injured individuals to exhibit a higher recruitment of cortical areas responsible for motor planning, sensory processing and visual motor control during the execution of repetitive knee extensions.\textsuperscript{24,25} It may be concluded that the brain of ACL-injured and ACL-reconstructed individuals relies more on higher order motor control areas\textsuperscript{26} and executive function even during simple, feedback-controlled movements, such as joint repositioning\textsuperscript{27} and knee extensions\textsuperscript{28–30} in order to compensate for the reduced sensory input.\textsuperscript{21,25,27}

While the consequences of this supraspinal compensation strategy may be invisible during activities of daily living, they may place an athlete at risk of injury during sports and competition. To maintain neuromuscular control in a complex and dynamic athletic environment, a constant interaction between intrinsic (eg, motor planning, joint position and movement) and extrinsic factors (eg, other players, ball and unanticipated stimuli) is required, based on the simultaneous integration and processing of varying proprioceptive, visual and vestibular information.\textsuperscript{8,28–30} In most situations leading to an injury, athletes, under high time constraints, are required to quickly adapt to the changing environment and cannot rely on preplanned, anticipated movements exclusively.\textsuperscript{28,29} Against this background, current evidence suggests that rapid movement adaptations such as single leg landings and side-cuttings in response to an unanticipated visual stimulus induce aberrant knee kinematics and kinetics that may increase the risk of injury.\textsuperscript{31}

To date, studies investigating the cortical alterations during movement of ACL patients focused on simple, anticipated tasks mainly requiring feedback control and assesses in sitting or lying position.\textsuperscript{22–25} Such tasks have low ecological validity as they mimic sport-specific movement characteristics only to a limited degree. Our planned trial, therefore, aims to gain further insight into the cortical and biomechanical processes associated with anticipated/preplanned versus unanticipated/unforeseen single leg jump landings in ACL-reconstructed individuals and healthy controls. Specifically, the hypothesis will be tested that ACL-reconstructed individuals compared with control individuals exhibit increased cortical motor planning prior jumping. Furthermore, we assume that this higher use of cerebral resources will be associated with a lower landing quality in ACL-reconstructed individuals.

**METHODS**

**Study design and ethical standard**

An explorative case–control study will be conducted. All participants provide written informed consent. The study has been prospectively registered at Clinicaltrials.gov (NCT03336060).

**Study setup**

After study enrolment, each individual will be scheduled for two visits within 1 week (figure 1). At visit 1, potential confounders (for details see Potential confounders below) are assessed. Subsequently, participants will be familiarised with the anticipated and unanticipated jump-landing tasks of the study. At visit 2, the main measurements are
performed. Both visits will take place at comparable time of day.

Sample
Recruited participants will be ACL-reconstructed individuals (cases) and healthy, uninjured individuals (controls). All participants will be recruited at local physical rehabilitation centres, physiotherapists and medical practices, sports clubs, fitness centres and the local university’s sports campus by means of flyers, emails and personal addressing. Inclusion criteria for all participants are: (1) male sex, (2) age between 20 years and 40 years, and (3) engagement in regular physical activity. Cases will be included if they have a history of unilateral ACL rupture with reconstruction surgery (>1 year), irrespective of the graft used for reconstruction and surgical procedure, and full clearance to return to sport provided by the treated physician. The following exclusion criteria will be applied:

- Exorbitant concomitant knee injury (ie, bone bruise grade 3 or 4, full-thickness articular cartilage lesion larger than 1 cm² and ‘unhappy triad’) (cases).
- Previous ACL injury or surgery of the uninvolved knee (cases).
- Life-quality impairing somatic/psychological diseases/disorders (all participants).
- Acute or chronic inflammation/disorders/pain of the musculoskeletal system (all participants).
- Medication modifying pain perception and proprioception (all participants).
- Muscle soreness (all participants).
- Any severe musculoskeletal injury of the lower limb (controls).
- History of head injuries (ie, concussions, all participants).

Patient and public involvement
Patients will be not involved in this study. We only include ACL-reconstructed individuals (minimum 1 year after surgery) who have returned to their initial daily, physical and sportive activities and have restored their neuromuscular performance of the injured lower leg indicated by a side symmetry of single leg hop for distance testing above 85 percent. Achieving a ratio of at least 85 percent is recommended before return to unrestricted sport activities as a lower limb symmetry increases the risk for reinjury.

Experimental approach
All participants will perform repetitive countermovement jumps (hands placed at the hip) with single leg landings.

Two different conditions are to be completed: anticipated versus unanticipated landings. For the anticipated condition, the participants receive a visual information depicting the requested landing leg prior to the jump. In the unanticipated condition, this information will be provided only after take-off. After a brief standardised warm-up (30 jumping jacks) and three test jumps, all participants have to perform a total of 70 successful jumps (n=35 per condition), using the above described paradigm. Pilot data indicated that a number of 35 successful trials per condition (5 min breaks in sitting position after each 14 trials) are sufficient in order to produce stable results (neural correlates of motor planning; EEG) without evoking measurable exhaustion in any assessed parameter.

The indication of the requested landing leg will be delivered by means of a laptop screen (17-inch diameter). It is positioned at 2.5 m distance in front of the participants (figure 2). On the screen, a slide (Microsoft PowerPoint 2010) with a left or right footprint located on the left or right side of a vertical line is shown (figure 2).

In anticipated trials, the slide indicating the landing leg will be presented constantly before take-off (for details, refer to figure 3).

For the unanticipated trials, a single button USB switch (KKmoon; South Africa) connected to the laptop will be used in order to elicit a slide change (120 ms delay) from the fixation cross to the landing leg slide upon take-off (for details, refer to figure 4; online supplementary file 3).

A successful jump is defined as holding a stable landing position for at least 10 sec. The participants will be allowed to use their arms to equilibrate the postural sway immediately after landing. After landing, their hands need to be repositioned on the hip while focusing a cross on the wall at eye level. Unsuccessful trials are categorised as standing errors (touching the ground with the free leg, leaving the force plate and touching the ground with the hands, and falls) and/or landing errors (landing on the wrong foot or both foot). To prevent excessive exhaustion during the experiment, the 70 jumps will be stratified into blocks of 14 with 5 min rests (sitting position) in between. Randomised selection of the jump conditions will be performed using BIAS for windows (University Frankfurt, Germany, V.11.06).

Previous pilot testing revealed longer flight times for unanticipated jump-landings compared with anticipated landings. Therefore, two strategies will be used to ensure...
uniform flight durations between the two disposed conditions. First, during the familiarisation session, the participants will be trained to constantly achieve comparable flight times of 480–520 msecs regardless of the jump condition. This duration, corresponding to a jumping height of about 30 cm, was chosen because the button switch has a latency of 120 msecs from release to slide appearance and because other similar trials have used flight times of 400 msecs.34 35 Second, in addition to the task familiarisation, during the breaks of the actual experiment, the participants will be provided with feedback regarding the achieved flight heights. All participants are required to wear sports clothes (t-shirt and shorts) and indoor sports shoes during both task familiarisation session and the actual jump landing experiment.

Measurements

Cortical measures of motor planning and preparation serve as the main outcome of the trial. They were assessed prior to jumping. To ensure self-initiated movements the start of the jump is not triggered to an external stimulus but to voluntary movements and can be subdivided into successive three parts that will be assessed in the planned trial: bereitschaftspotential—negative slope—motor potential (for a review, see ref 39). The bereitschaftspotential (BP) is a slowly rising, bilateral negativity, generated in the supplementary and presupplementary motor area (1.5 to 0.5 sec before movement onset). Subsequently, a steeper negativity, the negative slope occurs and relates to the activity of the contralateral primary motor cortex (starting about 0.5 sec prior to movement onset). Both signals will be recorded using a 32-channel EEG system with a wireless amplifier (LiveAmp, BrainProducts, Gilching, Germany). The device samples data at a frequency of 500Hz (24-bit analogue-to-digital) and has an integrated three-axis acceleration sensor (measurement range: ±2g, resolution: 1mg/bit, 12 bit; error: ±200 g). It is carried in a custom-made backpack (700 g), which is attached to the upper back of the participants. It is equipped with a power bank to guarantee permanent power supply of the amplifier (200 g). Positioning of the active slim electrodes embedded in the EEG cap (actiCAP, Easycap, Herrsching, Germany) will be performed according to the 10–20 international system. Impedance will be kept below 5 kΩ, and no online filters will be applied.

The EEG signal will be recorded throughout the whole jump landing experiment. In addition, EEG data will be collected during 2 min sitting rests prior to and after the 70 successful jumps. To reduce artefacts resulting from eye movements during these measurements, the participants will be instructed to fixate a cross, which is displayed on the laptop screen.

Three EEG parameters will be analysed: movement-related cortical potentials (MRCPs), frequency power spectra and functional connectivity. The MRCPs occur about 2 sec prior to voluntary movements and can be subdivided into successive three parts that will be assessed in the planned trial: bereitschaftspotential—negative slope—motor potential (for a review, see ref 39). The bereitschaftspotential (BP) is a slowly rising, bilateral negativity, generated in the supplementary and presupplementary motor area (1.5 to 0.5 sec before movement onset). Subsequently, a steeper negativity, the negative slope occurs and relates to the activity of the contralateral primary motor cortex (starting about 0.5 sec prior to movement onset). Both signals
are followed by the motor potential, the peak negativity corresponding to the movement onset itself. MCRPs are thought to reflect the motor cortical involvement during motor planning and preparing of a self-initiated movement. For each of the MRCP measures, acceptable test–retest reliability has been reported.

To investigate the attentional and working memory processes needed for initiating and executing the jumps different frequency power spectra (theta, beta and alpha) will be captured for frontal, central and parietal brain areas. Theta power will be measured in the frontal cortex and increases with higher levels of focused attention. Alpha-2 power, inversely related to the activation of the underlying somatosensory cortex, decreases with higher demands of sensory information processing during sensorimotor tasks. Both frontal theta and parietal alpha-2 have been shown to be strongly associated with working memory load. It is, furthermore, well known that the planning and preparation of voluntary movements are accompanied by an event-related desynchronisation of the alpha and beta (including sensorimotor rhythm) frequencies power corresponding to the parietal and sensorimotor areas. EEG power measures have been demonstrated to be highly reliable during both rest and sensorimotor tasks.

Coherence analyses will be applied to examine the functional connectivity between the brain region specific cortex areas involved in the generation of the jumps and in the assessment of the landings. Following the approach of Sauseng et al and Silva et al, coherence analysis will be conducted for the above-mentioned frequency bands (eg, theta, beta and alpha). The test–retest reliability of coherence testing has been shown to be sufficient to high for most brain areas and frequency bands.

Biomechanical parameters
A capacitive force measurement platform (50 Hz, Zebris FDM, Zebris Medical GmbH, Isny, Germany) will be used to assess postural stability following the single leg landings. Three parameters will be investigated: time to stabilisation (TTS), peak ground reaction force (GRF) and centre of pressure (COP) path length. TTS describes the capability to regain a stable stance as quickly as possible. It will be computed according to Colby et al and Wikstrom et al. Here, the dynamic cumulative average weight is calculated, based on the continuous force plate recordings until 10 sec after landing. A stable stance is assumed as soon as the sequential average no longer exceeds the threshold of 0.25 SD of the overall mean ground vertical force. The TTS has been demonstrated to exhibit moderate to high reliability. Vertical peak GRF is the maximal vertical force impact on landing. Using the raw data, the highest value (Newton) will be identified. COP path length represents the absolute cumulative sway of the total covered distance by the COP during the trial duration. The path length will be assessed up until 2.5 sec after the initial ground contact, which corresponds to the duration of the early dynamic landing phase. In terms of balance assessment, COP measures have been demonstrated satisfactory reliability. Intraindividual mean values will be calculated for TTS, peak GRF and COP path length in dependence of the disposed conditions.

Potential confounders
The following parameters, potentially affecting the biomechanical and cortical outcomes, will be assessed and analysed for their confounding influence:
- Dynamic stability feed-forward performance of the lower limb (single leg hop for distance).
- Postural control during single leg stance (capacitive force measurement plate Zebris PDMS, Zebris, Isny, Germany).
- Limb alignment in frontal plane evaluated by using Single-Leg Landing Error Scoring System.
- Current and former physical/sports activities (ie, primary sport, frequency/duration per week, performance level and years of experience).
- Self-reported knee function (Lysholm Knee Score Scale).
- Self-reported perceived fatigue of the lower limbs (10 cm visual analogue scale (VAS)).
- Kinesiophobia, or fear of movement/ (re-)injury (Tampa Scale for Kinesiophobia).
- Task-specific fear of movement/reinjury (10 cm VAS).
- Level of arousal and alertness (10 cm VAS).
- Risk-taking behaviour (domain-specific risk-taking/DOSPERT scale).

EEG data processing
All EEG data will be filtered with a Butterworth high-pass filter of 0.001 Hz (24 dB/octave) and a low-pass filter of 40 Hz (24 dB/octave). For movement onset detection, the accelerometer data of the amplifier are used. In each jump trial, the EEG signals will be segmented into epochs of 2500 msec, from 2,000 msec before to 500 msec after movement onset. Components that are associated with eye movements and blinks will be removed by using independent component analysis according to Winkler et al. Artefact removal will be applied according to the criteria used by Saliasi et al by using automated artefact rejection. Afterwards, all segments will be also visually inspected and trials with remaining artefacts (ie, eye blinks and movement artefact) will be removed. Only artefact-free trials will be used for analysis.

Time-domain specific analysis will be conducted to investigate the MRCP prior each jump. According to Spring et al, the MRCP will be divided into three successive epochs as follows: the bereitschaftspotential divided in an
early (BP-1: −1.500 msec to −1.000 msec) and late component (BP-2: −1.000 msec to −500 msec) and the negative slope component (−500 msec to 0 msec), including the motor potential. The mean and peak activity as well as onset time of the MRCP will be calculated primarily for the fronto-central (FC1 and FC2) and central electrodes (C3, Cz and C4) as these channels correspond mainly to the supplementary and primary motor areas.

Frequency domain (spectral) analysis will be conducted by means of fast Fourier transformation dividing artefact-free epochs into the frequency power spectra for both measurement at rest (continuous EEG) and during the jump landing experiment. For the latter, in terms of time–frequency analysis, the 1.5 sec EEG prior to movement onset will be separated into three successive 0.5 sec epochs: −1.500 msec to −1.000 msec (T1), −1.000 msec to −500 msec (T2) and −500 msec to 0 msec (T3). According to the literature, the mean frequency power will be mainly analysed for the frontal theta (Fz), central beta (C3, Cz and C4) and parietal alpha-2 (P3, Pz and P4). Finally, to examine functional connectivity, coherence analysis in the respective frequency bands will be applied. All electrocortical outcomes will be calculated for each condition (anticipated/unanticipated, injured/uninjured leg and controls). The EEG at rest measurements will be considered as control condition. All EEG data processing will be applied by using the BrainVision Analyzer software (Brain Products, Gilching, Germany).

Statistics
All calculations will be performed after checking the underlying assumptions for parametric or non-parametric testing (Shapiro-Wilk normality test for testing of normal distribution, while Levene test for variance homogeneity testing). The EEG outcome measures will be transformed to normalise distributions by using logarithmic based or arcsine transformation, if indicated. Data will be reported descriptively as means, SD and 95% CIs. Potential systematic differences between cases and controls (between-subject factors) and within both groups (within-subject factors) will be identified in dependence of jumping condition (anticipated/unanticipated, injured/uninjured and controls) by using interference statistics. The relationships between the cortical activity and biomechanical measures will be analysed by means of correlation analyses. The influence of the potential confounders on cortical and biomechanical outcomes during the jump landing task will be determined by correlation analysis likewise. If statistical associations occur, significant confounders will be considered by means of cofactor analysis. To maintain homogeneity, participants of both groups will be matched based on age, jump performance and their current physical/sports activities (open vs closed skill sports).

The level of statistical significance is set to α<0.05. Based on the exploratory nature of this study, no alpha-error adjustment will be performed for multiple hypotheses testing. Microsoft Excel 2010 for Windows and SPSS Statistics (V.24.0) will be used for statistical data analysis.

DISCUSSION
To the best of our knowledge, the planned study is the first to explore both, the cortical and biomechanical fundamentals underlying unanticipated single leg landings in ACL-reconstructed individuals. Hence, this study will provide the first evidence of the neural mechanism of motor planning associated with sport-relevant and injury-relevant movement affordances.

Another strength of our design consists in the standardised assessment of relevant confounders potentially influencing the chosen outcomes. This, particularly, relates to cognitive functions, which have been identified to be associated with athletic performance (e.g., ball game83,84) as well as knee injury risk85 and incidence86–88.

Our study will reveal results relevant for practice. If the hypothesised association between increased use of motor planning capacities and lower postural control during landing are verified, this would have major implications for rehabilitation. Three key aspects may be of particular relevance: above all (1), an increased reliance on motor planning during athletic high-risk situations could represent a new factor predisposing for ACL (re-)injury. Future prospective observational studies may therefore include unanticipated jump-landing tasks in order to elucidate its value in predicting injury and monitoring the return to play/return to sports process.

Another issue (2) relates to the elaboration of new training approaches. In addition to physical exercise, for example, dynamic balance, dual/multitask training approaches (including external focus) and visual motor exercise paradigms8, electrophysiological methods, such as neuromuscular electrical stimulation89, transcutaneous electrical nerve stimulation,90 electromyography biofeedback91 and transcranial magnet stimulation,92 may represent intriguing options to restore somatosensory function and quadriceps corticomotor excitability of ACL-reconstructed individuals. Their application may open new therapeutic avenues, if changes in motor planning prior to unanticipated jump landings could be evidenced in the cases.

Finally (3), affordable devices for daily practice would be needed to assess an individuals’ ability to react and properly adjust his motor plan to an unforeseen/unanticipated external visual stimulus. Despite the promising approach, some limitations have to be taken into account. No investigator nor participant binding is possible using a quasiexperimental approach. Moreover, the neural correlates of motor planning are only detectable prior to the jump, but not after take-off due to serious EEG artefacts caused by the jump. Female athletes are at higher risk for non-contact ACL injuries compared with their male counterparts.93,94 To exclude the influences due to this variable, only participants of one sex will be considered for inclusion. Males are chosen because pilot
testing indicated that those were more likely to achieve the required jump height. The study results will refer to successful landings only. However, unsuccessful trials (i.e., landing errors) may provide additional information in terms of predicting injury risk. It could therefore be useful to investigate if cortical activities differ between successful and unsuccessful trials. This would certainly require a considerable increase of the total number of jump landings in order to obtain a sufficient amount of error trials for EEG analysis. Due to the considerably increased risk of fatigue and a greater effort for the participants resulting from this, adoptions to the described paradigm may be the second step and should be performed after proving the feasibility of the current approach.

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Contributors FG developed the jump-landing setup and selected the neurophysiological and biomechanical outcome measures. FG wrote the first draft of the manuscript, revised the manuscript and provided final approval. TE assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. TE revised the manuscript, provided critical review and final approval. JW assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. JW revised the manuscript, provided critical review and final approval. DN revised the manuscript, provided critical review and final approval. LV revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. WB revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. The material within has not been and will not be submitted for publication elsewhere except as an abstract. The authors agree that the copyright for our article is transferred to the publisher if and when the article is accepted for publication.

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Competing interests None declared.

Patient consent Not required.

Ethics approval The study was approved by the local Ethics Committee of the Faculty of Psychology and Sport Science, Goethe-University Frankfurt (reference number: 2017/27). The trial will be carried out according to the Guidelines for Good Clinical Practice and according to the Declaration of Helsinki, including its modification of Fortaleza.

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