Bibliometric analysis of gaps in research on asbestos-related diseases: declining emphasis on public health over 26 years

Ro-Ting Lin, Matthew John Soeberg, Lung-Chang Chien, Scott Fisher, Jukka Takala, Richard Lemen, Tim Driscoll, Ken Takahashi

ABSTRACT

Objectives The global burden of asbestos-related diseases (ARDs) is significant, and most of the world’s population live in countries where asbestos use continues. We examined the gaps between ARD research and suggestions of WHO and the International Labour Organization on prevention.

Methods From the Web of Science, we collected data on all articles published during 1991–2016 and identified a subset of ARD-related articles. We classified articles into three research areas—laboratory, clinical and public health—and examined their time trends. For all and the top 11 countries publishing ARD-related articles, we calculated the proportions of all ARD-related articles that were in each of the three areas, the average rates of ARD-related articles over all articles, and the average annual per cent changes of rates. 

Results ARD-related articles (n=14284) accounted for 1.3% of all articles in 1991, but this had declined to 0.8% by 2016. Among the three research areas, the clinical area accounted for the largest proportion (65.0%), followed by laboratory (26.5%) and public health (24.9%). The public health area declined faster than the other areas, at −5.7% per year. Discrepancies were also observed among the top 11 countries regarding emphasis on public health research, with Finland and Italy having higher, and China and the Netherlands lower, emphases.

Conclusions There is declining emphasis on the public health area in the ARD-related literature. Under the ongoing global situation of ARD, primary prevention will remain key for some time, warranting efforts to rectify the current trend in ARD-related research.

INTRODUCTION

WHO and the International Labour Organization (ILO) have repeatedly stated that the best way to eliminate asbestos-related diseases (ARDs) is for countries to stop using asbestos. Although more than 60 countries have banned partial or all use of asbestos, the majority of the world’s population currently live in countries with ongoing asbestos consumption. In 2015, asbestos was mined in five countries, while in 2014 at least 30 countries imported raw asbestos, and presumably a much larger number of countries consumed asbestos-containing products. A recent Global Burden of Diseases (GBDs) study estimated that in 2016 more than 222,000 deaths were attributable to occupational exposure to asbestos. ARDs, including asbestos-related cancers such as mesothelioma and lung cancer, are caused by occupational as well as environmental exposure to asbestos. 

The incidence rates of ARD are expected to peak in the coming decades as a consequence of past and ongoing exposure to asbestos as well as the long latency period between exposure and disease development. Odgerel et al recently have shown that the GBD estimates may still be significantly underestimated.

Tackling the burden of ARD requires policies based on sound scientific knowledge. Relevant and high-quality research on asbestos and ARDs should provide the evidence base required for the prevention of asbestos exposure, clinical intervention, patient care and policy-making. Although the scientific community has long been engaged in
research on a wide spectrum of themes related to asbestos and ARDs, resulting in many publications (ARD-related articles, hereinafter), the extent to which such research aligns with societal priorities reflected in the WHO/ILO statements is unclear. Moreover, variations in the topics addressed in ARD-related articles in relevant research among countries and over time is a separate, but related theme of interest. Bibliometric analysis of the global ARD-related literature could shed light on the quantity (or ‘number’) and the quality (or ‘articles published in a specific research area’). The results of such an analysis may also assist in optimising resource allocation and the translation of research into policy.

The ongoing global situation in relation to ARDs and the WHO/ILO statements lend support to the notion that public health and disease prevention should be areas of high priority in research on ARDs. Although the overall trends in the mesothelioma literature have been analysed in previous studies, to date no analysis of the overall trends in the mesothelioma literature has been conducted aimed at elucidating the research priorities in previous works while accounting for the different areas of scientific expertise. Therefore, the objectives of this study were to describe trends in ARD-related research with an emphasis on the area of public health and intercountry variations by analysing the numbers and research areas of articles published during 1991–2016.

**METHODS**

**Data source**

We searched for ARD-related publications in the database ‘Web of Science Core Collection’ on the Web of Science platform (Clarivate Analytics) by using the keywords ‘asbestos’ OR ‘mesothelioma’. We entered our keywords under the search field ‘Topic’, which includes Title, Abstract, Author Keywords and Keywords Plus on the Web of Science platform. The inclusion criteria were original scientific articles or reviews (articles, hereinafter) and year of publication during 1991–2016. We chose this period for two reasons. First, the International Classification of Diseases 10th version (ICD-10), which assigned the code C45 to ‘malignant mesothelioma’, was endorsed by the 43rd World Health Assembly in 1990. Second, the yearly number of ARD-related articles increased markedly after 1991. Data obtained from the Web of Science were imported into the InCites (Clarivate Analytics) tool for further grouping and analyses.

**Group definition and process**

To assess the trends of ARD-related research in specific research fields, we classified the Web of Science’s 252 subject categories into three areas: (1) laboratory: including toxicology, cell biology, biochemistry and molecular biology, etc; (2) clinical: including oncology, respiratory system, pathology, etc; (3) public health: including public, environmental and occupational health, environmental sciences, environmental engineering, etc and (4) irrelevant: not (1, 2) or (3). There are three premises to assign each article to relevant subject categories. First, each article was published in only one journal. Second, each journal, according to the design of the Web of Science platform, can be assigned to one or more relevant subject categories. Third, each category, according to our grouping approach, can be assigned to only one research area. Hence, according to above three premises, each article was assigned by the Web of Science to a single or multiple subject categories, but each category was classified to only one research area. This allowed us to use the Web of Science’s 252 subject categories as surrogate indicators of the research focus of each article. We assigned these subject categories to the three research areas via a three-step process. First, two researchers independently classified the 252 subject categories into the three areas with 72% (n=182) agreement. Second, a senior rater independently assigned the categories to the three areas with no knowledge of the assignments of the two researchers for the disputed cases (n=70) and then reached 96% agreement (n=243). Finally, for the remaining 4% (n=9) of subject categories without agreement, the three researchers discussed each subject category and reached a consensus on the area to which it should be assigned. Articles in research areas (1, 2) and (3) were extracted for further analyses. The assignments of the subject categories to the three areas are listed in online supplementary file, table S1, including laboratory (n=22), clinical (n=38) and public health (n=32).

**Analysis**

We calculated the numbers and proportions of ARD-related articles in each research area for all countries and for the top 11 countries. These 11 countries accounted for 83.0% of ARD-related articles during 1991–2016. We treated all articles in research areas (1, 2) and (3) in InCites as a reference group.

To estimate the linear trend of ARD-related articles over time, we applied the joinpoint regression modelling approach to consider the years with significant joinpoints (the maximum number of nodes was two in each model) and calculated the average annual per cent change (AAPC, a weighted average of yearly change), by fitting a log-linear regression:

\[
\ln(\text{publication rate}) = \beta_0 + \beta_1 \times (t)
\]

where \(t\) denotes the calendar time, from 1 for the year of 1991 to 26 for the year of 2016, and \(\beta_0\) and \(\beta_1\) denote the intercept and slope. We further applied a generalised additive mixed model to examine the intercountry variations in the rate of ARD-related articles relative to all articles. We adjusted for per capita asbestos consumption (defined as the volume of asbestos production and importation divided by the total population in each year) and the age-adjusted mortality rate of mesothelioma calculated by dividing the number of mesothelioma deaths (C45 in ICD-10) of 5-year age groups by the population of corresponding age groups in each...
year during 1995–2013, weighted as the number of ARD-related articles in county $i$ at calendar time $t$, which follows a Poisson distribution with a mean parameter $\mu_{it}$. The model equation can be expressed as follows:

$$\ln(\mu_{it}) = \alpha + \alpha_i + f(t) + X_{ASB} + X_{MOR} + \text{offset}$$

where $\alpha$ and $\alpha_i$ denote the fixed and random intercepts. $X_{ASB}$ and $X_{MOR}$ represent asbestos consumption and the age-adjusted rate of mortality from mesothelioma in country $i$ at time $t$. A cubic spline $f(t)$ was included to control for temporal autocorrelation. Offset denotes the natural logarithm of all articles in each country per year. The final model for each research area only included covariates that were significantly associated with ARD-related articles. We performed the statistical analyses using Joinpoint Regression Program V.4.5.0.1 (National Cancer Institute, USA) and R studio V.1.0.143 (R Foundation for Statistical Computing, Austria). The significance level was set at 5%.23

**Patient and public involvement**

No patients and or public were involved in this study.

**RESULTS**

We identified 15,405 ARD-related articles published during 1991–2016 in the Web of Science, of which 14,284 had information regarding the year of publication, the country of authors and the subject categories to analyse using InCites for categorisation into the three research areas (see online supplementary file, table S1).

As shown in figure 1, both the total number of scientific articles and the number of ARD-related articles increased during 1991–2016, but the proportions of all articles that were ARD-related decreased, from 1.3‰ (proportion multiplied by 1000) in 1991 to 0.8‰ in 2016.

Figure 2 shows the trends in the proportions of articles that were in each of the three research areas among all and ARD-related articles during 1991–2016. Note that, in each year, the sum of the proportions of the three areas exceeded 100% because each article could be classified into more than one area. Among all articles, the proportion in the public health area grew over the study period to narrow the gap with the other two other areas (figure 2A). In contrast, for ARD-related articles, the proportion in the public health area fluctuated but steadily decreased (from 39.3% in 1991 to 22.5% in 2016), whereas the proportion in the clinical area fluctuated but generally increased and that in the laboratory area fluctuated with no discernible trend (figure 2B).

Among all ARD-related articles published in all countries during 1991–2016, the clinical area accounted for the largest proportion (65.0%), followed by the laboratory (26.5%) and public health (24.9%) areas (table 1). In terms of the rate of ARD-related articles relative to all articles, the clinical area was the highest (1.3‰/year), followed by the public health (0.8‰/year) and laboratory (0.6‰/year) areas. The AAPC of ARD-related articles relative to all articles was negative with no statistical significance for the clinical area (−0.1 (95% CI −0.5 to 0.4)%/year), and negative with statistical significance for the public health (−5.7 (95% CI −7.6 to −3.8)%/year) and laboratory (−1.1 (95% CI −2.0 to −0.3)%/year) areas.

When ARD-related articles from the top 11 countries were analysed, similar patterns were observed to those found for all countries. However, distinctive patterns emerged when the 11 top countries were examined individually. In terms of relative proportions, the clinical area was dominant in all 11 countries, with Australia showing
the highest proportion (75.0%) and Canada the lowest (56.2%). When the areas of public health and laboratory were compared, seven countries had higher proportions for laboratory and four countries had higher proportions for public health. In terms of rate, 9 out of the 11 countries had the highest rates of ARD-related articles for the clinical area among the three areas, led by Australia (2.2‰/year). In the public health area, Finland and Italy had the highest rates of ARD-related articles (4.2‰/year and 2.8‰/year, respectively), while the Netherlands and China had the lowest (0.5‰/year and 0.6‰/year, respectively).

In terms of the AAPC of ARD-related relative to all articles among the top 11 countries (see online supplementary file, figure S1), the clinical area showed statistically significant increases in two countries, specifically, Japan (4.3 (95% CI 0.5 to 8.3)%/year) and Italy (2.6 (95% CI 1.6 to 3.6)%/year), but statistically significant decreases in five countries. Similarly, the laboratory area showed statistically significant increases in Japan (5.9 (95% CI
### Table 1  Trend of ARD-related articles during 1991–2016 in all and the top 11 countries by research area

<table>
<thead>
<tr>
<th>Country</th>
<th>N*</th>
<th>Rate (% per year)†</th>
<th>AAPC (% per year)‡</th>
<th>N*</th>
<th>Proportion (%)§</th>
<th>Rate (% per year)†</th>
<th>AAPC (% per year)‡</th>
<th>N*</th>
<th>Proportion (%)§</th>
<th>Rate (% per year)†</th>
<th>AAPC (% per year)‡</th>
<th>N*</th>
<th>Proportion (%)§</th>
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<td>Three areas combined</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>All countries</td>
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<td>1.0</td>
<td>−1.8¶</td>
<td>3782</td>
<td>26.5</td>
<td>0.6</td>
<td>−1.1¶</td>
<td>9280</td>
<td>65.0</td>
<td>1.3</td>
<td>−0.1</td>
<td>3554</td>
<td>24.9</td>
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<td>Top 11 countries</td>
<td>11852</td>
<td>1.1</td>
<td>−2.0¶</td>
<td>3179</td>
<td>26.8</td>
<td>0.7</td>
<td>−0.9</td>
<td>7817</td>
<td>66.0</td>
<td>1.4</td>
<td>0.2</td>
<td>2893</td>
<td>24.4</td>
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<tr>
<td>USA</td>
<td>5276</td>
<td>1.1</td>
<td>−2.8¶</td>
<td>1590</td>
<td>30.1</td>
<td>0.9</td>
<td>−0.5</td>
<td>3467</td>
<td>65.7</td>
<td>1.4</td>
<td>−1.0¶</td>
<td>1301</td>
<td>24.7</td>
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<td>3.0¶</td>
<td>442</td>
<td>27.8</td>
<td>1.6</td>
<td>4.3¶</td>
<td>945</td>
<td>59.4</td>
<td>2.5</td>
<td>2.6¶</td>
<td>448</td>
<td>28.1</td>
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<tr>
<td>Japan</td>
<td>1356</td>
<td>1.2</td>
<td>3.5</td>
<td>377</td>
<td>27.8</td>
<td>0.7</td>
<td>5.9¶</td>
<td>974</td>
<td>71.8</td>
<td>1.7</td>
<td>4.3¶</td>
<td>213</td>
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<td>322</td>
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<td>0.7</td>
<td>−1.6</td>
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<td>0.4</td>
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<td>France</td>
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<td>−0.2</td>
<td>197</td>
<td>19.9</td>
<td>0.5</td>
<td>−0.1</td>
<td>649</td>
<td>65.6</td>
<td>1.7</td>
<td>0.5</td>
<td>268</td>
<td>27.1</td>
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<tr>
<td>Germany</td>
<td>856</td>
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<td>204</td>
<td>23.8</td>
<td>0.4</td>
<td>−2.7¶</td>
<td>575</td>
<td>67.2</td>
<td>1.1</td>
<td>−1.7¶</td>
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<td>21.5</td>
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<td>Australia</td>
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<td>−0.3</td>
<td>148</td>
<td>19.9</td>
<td>1.0</td>
<td>0.6</td>
<td>559</td>
<td>75.0</td>
<td>2.2</td>
<td>0.2</td>
<td>142</td>
<td>19.1</td>
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<tr>
<td>Canada</td>
<td>596</td>
<td>0.9</td>
<td>−3.4¶</td>
<td>132</td>
<td>22.1</td>
<td>0.6</td>
<td>−2.6¶</td>
<td>335</td>
<td>56.2</td>
<td>1.1</td>
<td>−2.5¶</td>
<td>234</td>
<td>39.3</td>
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<tr>
<td>China</td>
<td>381</td>
<td>0.4</td>
<td>−4.0</td>
<td>114</td>
<td>29.9</td>
<td>0.2</td>
<td>−5.3¶</td>
<td>257</td>
<td>67.5</td>
<td>0.7</td>
<td>−3.5</td>
<td>74</td>
<td>19.4</td>
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<tr>
<td>The Netherlands</td>
<td>355</td>
<td>0.9</td>
<td>−2.6¶</td>
<td>74</td>
<td>20.8</td>
<td>0.6</td>
<td>−2.7¶</td>
<td>264</td>
<td>74.4</td>
<td>1.2</td>
<td>−3.1¶</td>
<td>64</td>
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<td>Finland</td>
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<td>−5.8¶</td>
<td>81</td>
<td>22.8</td>
<td>1.9</td>
<td>−3.0¶</td>
<td>208</td>
<td>58.6</td>
<td>3.1</td>
<td>−3.3¶</td>
<td>137</td>
<td>38.6</td>
</tr>
</tbody>
</table>

ARD-related articles=articles with a theme of asbestos and asbestos-related diseases. Articles were defined as articles or reviews belonging to any of three research areas (see online supplementary file, table S1) in InCites (Clarivate Analytics).

* n=Number of articles. Each article may be assigned to more than one research area and country. Duplicates were excluded when the three areas were combined.

†Rate (unit: ‰ per year) was calculated by first dividing the number of asbestos-related articles (area-specific and three areas combined) by the total number of scientific articles (area-specific and three areas combined) for each year, and then averaging the results over 26 years and multiplying by 1000 (thus, the unit is ‰ per year, which is a rate rather than a proportion).

‡AAPC=average annual per cent change (unit: % per year). AAPC is a summary measure of the trend over the study period of 1991–2016, which was calculated as the average % change per year of the proportion of asbestos-related articles relative to all articles (area-specific and three areas combined) during the study period, weighted by the interval length of each annual per cent change, using joinpoint regression modelling.

§Proportion (unit: %) was calculated by dividing the number of area-specific asbestos-related articles by the number of three areas combined asbestos-related articles over 26 years. Because the sum of the number of articles in the three research areas exceeded that of the three areas combined, the sum of the proportions of the three research areas may exceed 100%.

¶ P value <0.05.

ARD, asbestos-related diseases.
Our analysis of trends in scientific articles published throughout the world between 1991 and 2016 revealed that the growth of ARD-related articles published lagged considerably behind that of the overall scientific output. We further examined the proportions of papers published in three research areas of interest—public health, laboratory and clinical—both in the entire and ARD-related literature. We found that, in the entire literature, the proportion of papers with a public health orientation was initially much lower than those of laboratory and clinical, but that during the study period the proportion of public health-oriented papers grew, narrowing the gap among the three areas. When we analysed only the ARD-related literature, by contrast, the public health area did not keep up with the clinical area and criss-crossed with the laboratory area. Although the clinical area was consistently dominant, individual countries showed distinct patterns in the proportions of articles in the three research areas and the trends in those proportions over time. Overall, the presence of ARD-related articles has been weakening in the scientific literature, which was enhanced by a decline in emphasis on public health in ARD-related research. This raises serious concerns in view of the ongoing relevant global situation and the WHO/ILO declarations.2 3

ARD is increasingly recognised as a global health issue, where a variety of unmet needs require multidisciplinary cooperation on a global scale.5 9 WHO has been joined by the ILO to emphasise that implementing public health measures is the most effective approach to eliminating ARD.2 3 24 This is plausible as the majority of ARD cases are reported in developed countries that already have an asbestos ban, but an estimated 125 million people, primarily in low/middle-income countries, continue to be occupationally exposed to asbestos.2 These countries typically lack the medico-social infrastructure to prevent asbestos exposure and to deal adequately with patients, including diagnosis, treatment, care and compensation. The relevant experience and expertise acquired by
developed countries have yet to be shared with the countries that are in need. Our findings in regard to the trends in ARD-related research, specifically the slow growth of ARD-related research in general and a lack of emphasis on the public health area in particular, indicate a failure to support the global health cause and the WHO/ILO positions.

Our analysis showed that ARD-related research has recently been gravitating towards the clinical area. This is corroborated by an earlier study which found an association between ARD-related research output and national burden of mesothelioma, although that study did not differentiate between research areas.15 We found that countries with higher age-adjusted rates of mortality from mesothelioma had higher numbers of ARD-related articles, but only for the clinical area and the three areas combined (data not shown). In addition, our selection criteria of ARD-related articles (ie, ‘asbestos’ OR ‘mesothelioma’) likely increased the proportion of articles with a clinical emphasis. A preponderance of clinical papers may be explained by the important themes explored in clinical ARD-related research, such as methods for improving the diagnosis and treatment of patients. Moreover, the proportion of clinical papers may be inflated by many papers in the laboratory area having a clinical aspect, but not vice versa. That is, ARD-related laboratory research addressing important themes, such as improving our understanding of the disease mechanism, may be conducted in the context of clinical and/or public health research. Moreover, the laboratory area is probably driven in tandem with the clinical area by the growing emphasis on translational research in which laboratory work is positioned as ‘preclinical.’

A key factor that may support or impede the growth of ARD-related research in the public health area is funding due to its known substantial impact on the quantity and quality of scientific articles. For example, austerity policies on public health in general can also impact public health research. Funding agencies usually allocate a larger portion of funding to emerging challenges or high priority research programmes that are in line with their policy direction.25 The majority of research funding comes from governments,26 but diverse funding structures among countries lead to different research agendas in public health.27 For example, in most countries in the European Union, the Ministry of Science or Ministry of Education is the primary funding agency for public health research.27 Funding of medical research can cover the public health area, but public health usually accounts for only a small portion of the entire medical research budget.25 The lack of national public health research strategies when allocating funds or unsustainable investment in public health research could downplay the importance of public health science.25 Furthermore, the capacity of national insurance system to detect and deal with ARD is an important factor,29-31 whereby a limited capacity will obstruct visibility in the public arena, the public agenda and hence public funding. Further research is warranted to assess the funding situations of ARD-related research and how they relate to research areas.

Although the rate of articles published in the ARD-related literature in the public health area consistently declined across the top 11 countries, Finland and Italy maintained a greater emphasis on public health research, whereas China and the Netherlands had the least emphasis. We speculate that the following factors may have been in play. Previous studies have highlighted that Nordic countries had more publications in occupational and environmental health compared with other European countries.32 Finland has been the dominant country in occupational and environmental health research (accounting for 28% of public health research in Finland vis-à-vis 11% in the Netherlands and 8% in the UK).32 In addition, the Finnish Institute of Occupational Health implemented many initiatives to address the global asbestos/ARD situation, including international conferences that formulated the Helsinki Criteria.33 34 In Italy, since the banning of asbestos in 1992, nationwide recognition grew regarding the need to initiate a permanent surveillance system for mesothelioma, which culminated in the establishment of the National Register of Malignant Mesothelioma in 2002.35 This had the additional effect of promoting research,35 most likely in the public health area. In China, researchers may be less incentivised to conduct ARD-related research, particularly on the public health aspect, as it is a country where the production and consumption of asbestos remain rampant, with government oversight. The Netherlands accelerated translational research in medicine (ie, from bench to bedside), to become the central hub of European Advanced Translational Research Infrastructure in Medicine integrating basic and clinical research. This may have shifted emphasis away from public health to the laboratory and clinical areas.

The present study had several limitations. First, we classified research into three areas based on the subject categories provided by the Web of Science,18 which may have led to misclassifications. For example, some laboratory studies may have been conducted in the context of public health research, but our method was unable to discern context. Each article may also be assigned to more than one country because the article was contributed by authors from different countries. However, any misclassification bias will have been reduced by the capacity of our method to assign each article to multiple categories and to multiple countries. An inevitable side effect, however, was that the sum of the number of articles in the three research areas exceeded that of the three areas combined. Similarly, the sum of the number of articles for each country exceeded that of all countries. Second, when making longitudinal comparisons, we focused on countries that have published higher numbers of ARD-related articles. However, the ARD burden will eventually shift to low/middle-income countries that currently use asbestos. In those countries, low numbers of ARD-related articles were published during the study period, which...
limited the information to be analysed. Third, some ARDs, such as asbestos-related lung cancer, would have been under-represented in our analysis due to our selection criteria for articles. However, the inclusion of lung cancer, as it relates to a wide range of causal factors other than asbestos, would have reduced the specificity for ARD-related articles. We believe that our criteria enabled a reasonable representation of ARD-related articles.

For society to effectively tackle a research theme such as ARD, research efforts and resources must be apportioned according to the priority needs under conditions of limited resources. To achieve this, research trends should be constantly reviewed to determine whether those needs are being addressed, and if not, to adjust the focus of effort. Developed countries are contending with a lingering ARD epidemic as well as a long-term issue of exposure to in situ asbestos. Moreover, various low/middle-income countries are faced with an emerging ARD epidemic compounded by ongoing exposure to asbestos in current use. Clearly, from the standpoint of ARD prevention, the public health area of research needs strengthening. In doing so, attention should be extended to the many subareas of public health, including health policy, laws and other social issues, as implicated by the wide range of research subjects that was accorded to public health in our study.

CONCLUSION

In conclusion, the present analysis found that the proportion of the overall scientific literature that is ARD-related has declined substantially over the last quarter century, and that this decline was enhanced by a decrease in emphasis on public health, although with notable inter-country variations. The future direction of ARD-related research warrants a review in consideration of the ongoing situation on asbestos and foreseeable situation for ARD reflected in the pertinent WHO/ILO recommendations. Setting research priorities with improved emphasis on public health is essential to fill the gaps in research on ARD.

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Competing interests RL testifies in asbestos litigation.

Patient consent Not required.

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Data sharing statement All available data can be obtained by contacting the corresponding author.

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REFERENCES


