Validation of discharge diagnosis codes to identify serious infections among middle age and older adults

Andrew D Wiese,1 Marie R Griffin,1,2 C Michael Stein,3 William Schaffner,1 Robert A Greevy,1 Edward F Mitchel Jr,1 Carlos G Grijalva1,2

ABSTRACT

Objectives Hospitalisations for serious infections are common among middle age and older adults and frequently used as study outcomes. Yet, few studies have evaluated the performance of diagnosis codes to identify serious infections in this population. We sought to determine the positive predictive value (PPV) of diagnosis codes for identifying hospitalisations due to serious infections among middle age and older adults.

Setting and participants We identified hospitalisations for possible infection among adults >=50 years enrolled in the Tennessee Medicaid healthcare programme (2008–2012) using International Classifications of Diseases, Ninth Revision diagnosis codes for pneumonia, meningitis/encephalitis, bacteraemia/sepsis, cellulitis/soft-tissue infections, endocarditis, pyelonephritis and septic arthritis/osteomyelitis.

Design Medical records were systematically obtained from hospitals randomly selected from a stratified sampling framework based on geographical region and hospital discharge volume.

Measures Two trained clinical reviewers used a standardised extraction form to abstract information from medical records. Predefined algorithms served as reference to adjudicate confirmed infection-specific hospitalisations. We calculated the PPV of diagnosis codes using confirmed hospitalisations as reference. Sensitivity analyses determined the robustness of the PPV to definitions that required radiological or microbiological confirmation. We also determined inter-rater reliability between reviewers.

Results The PPV of diagnosis codes for hospitalisations for infection (n=716) was 90.2% (95% CI 87.8% to 92.2%). The PPV was highest for pneumonia (96.5% [95% CI 93.9% to 98.0%]) and cellulitis (91.1% [95% CI 84.7% to 94.9%]), and lowest for meningitis/encephalitis (50.0% [95% CI 23.7% to 76.3%]). The adjudication reliability was excellent (92.7% agreement; first agreement coefficient: 0.91). The overall PPV was lower when requiring microbiological confirmation (45%) and when requiring radiological confirmation for pneumonia (79%).

Conclusions Discharge diagnosis codes have a high PPV for identifying hospitalisations for common, serious infections among middle age and older adults. PPV estimates for rare infections were imprecise.

INTRODUCTION

Infectious diseases remain a leading cause of morbidity and mortality in the USA and elsewhere.1 Middle age and older adults, in particular, are at high risk for serious infections and their long-term consequences.2,3 Among older adults, community-acquired serious infections (including pneumonia, sepsis and meningitis) often require hospitalisation and represent a substantial burden on the US healthcare system.4–7 The incidence of community-acquired pneumonia is very high among adults >=50 years of age (248 cases per 100 000 adults) with an even higher burden among adults >80 years of age (1643 cases per 100 000 adults).8 Sepsis, cellulitis and pyelonephritis are also very common (sepsis: 100 cases per 100 000 and cellulitis/pyelonephritis: >150 hospitalisations per 100 000 adults) with an increasing incidence of severe sepsis with increased age.9–11 Meningitis and
endocarditis are relatively rare (around two to three cases per 100 000), although the case fatality rate is very high.12 13 Therefore, it is important to monitor the incidence of these infections, identify important risk factors and determine the impact of preventative policies (eg, vaccination) on these diseases among middle age and older adults.14–16

Large-scale epidemiological studies using administrative data often use serious infections as outcomes.17–21 However, few studies have evaluated the performance of diagnosis codes to identify serious infections among middle age and older adults. Most previous studies that have assessed the performance of coded discharge diagnosis codes to identify serious infections have focused mainly on common infections (eg, pneumonia or sepsis), specific populations (eg, patients with rheumatoid arthritis) or on healthcare-associated or hospital-acquired infections.22–31 Nevertheless, the performance of coded discharge diagnoses for accurately identifying infections requiring hospitalisation among middle age and older adults is unclear. Therefore, we sought to determine the positive predictive value (PPV) of specific discharge diagnoses for identifying infections that required hospitalisation among middle age and older adults.

METHODS
Data sources
TennCare is the managed Medicaid programme in the state of Tennessee that provides healthcare insurance to those who are Medicaid eligible (around 20% of the Tennessee population).32 The adult TennCare population consists of low-income pregnant women and individuals who are elderly or have a disability (over 600000 annually).32 We used data from TennCare, supplemented with data from the Tennessee Hospital Discharge Data System (a registry for all hospitalisations in Tennessee) and pharmacy information from Medicare part D for those that were dual eligible, to identify a retrospective cohort of TennCare enrollees >=50 years of age with pharmacy benefits (2008–2012). We restricted the hospitalisations for serious infection to those occurring from 2008 to 2012 to only include more recent hospitalisations for which medical records are more likely to be available. Cohort members had at least 180 days of baseline continuous enrolment before cohort entry, and were also required to be free of certain life-threatening conditions known to increase the risk of infection (solid organ transplantation, end-stage renal disease, HIV/AIDS, malignancy and serious kidney, liver and respiratory disease) that may limit longitudinal follow-up and impact the assessments of patients’ exposures and their risk of infections. Cohort members were also required to have evidence of at least one pharmacy prescription fill and evidence of at least one healthcare encounter during baseline (to ensure use of benefits so that if a healthcare encounter for an infection occurred, it would be detected). Follow-up started on the earliest date the inclusion criteria were met and continued through the earliest of the following: study end date (31 December 2012), the day prior to diagnosis of a serious life-threatening condition that would have precluded entry to the cohort, loss of enrolment or date of death. From this retrospective cohort, we identified possible hospitalisations for serious infections (see below) for our validation study. To avoid including infections that may have originated due to a previous hospital stay, we excluded hospitalisations for infections that occurred in the 30-day period after discharge from a previous hospitalisation.

Identification of hospitalisations for serious infection
Clinical knowledge and a literature review were used to identify primary discharge diagnosis codes that have been used previously to identify specific serious infections that require hospitalisation (study infections), including pneumonia (alone or with a primary diagnosis of bacteraemia/sepsis), bacteraemia/sepsis, pyelonephritis, meningitis/encephalitis, osteomyelitis/septic arthritis, endocarditis and cellulitis.31 33–35 Specific International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes used to identify possible hospitalisations for each infection type are presented in table 1. As the objective of our study was to determine the PPV of coding algorithms to identify serious infections that required hospitalisation, we focused only on primary diagnoses of infection to reduce the possibility of detecting concurrent infections that may not have led to hospitalisation or nosocomial infections that developed during the course of the hospitalisation.35

Sampling strategy
We used stratified random sampling to select a representative subset of study infection hospitalisations from among all possible cases identified in the retrospective cohort from among hospitals within 200 miles of Vanderbilt University Medical Center (VUMC). Since larger hospitals would be over-represented in a purely random sampling, and because there may also be regional variability in coding practices and infection prevalence, we constructed a sampling framework where hospitals were stratified based on their geographical region in Tennessee (West, Central and East), and tertiles of reported discharge volume (low, medium and high) during the study period.36–38 From this sampling framework, we randomly selected three hospitals from each of these nine sampling strata, and retrieved their medical records for review and validation (figure 1). This strategy, relative to a purely random sample, ensured better representation of infections identified in smaller hospitals and those in more rural regions of the state of Tennessee. If a hospital refused to participate, it was replaced by another hospital randomly selected from the same sampling stratum.

The overall goal was to review and validate 675 hospitalisations for serious infection from 27 hospitals (25 hospitalisations for each of the three hospitals comprising a stratum, yielding 75 hospitalisations for each of the nine...
strata) (figure 1). We conservatively assumed that up to 80% of records requested would be available for review, and so we requested 32 records per hospital to receive an average of 25 records from each (figure 1). To ensure that we reviewed sufficient rare infections, we preferentially selected any identified possible hospitalisations for meningitis/encephalitis, osteomyelitis/septic arthritis and endocarditis from each hospital in the sample. We randomly selected the remaining set of possible hospitalisations for other serious infections based on the proportional distribution of common infections at each hospital (pneumonia, bacteraemia/sepsis, pyelonephritis and cellulitis) until 32 infections were identified. For hospitals with fewer than 32 infections during the study period, all infections were requested.

**Abstraction of medical records**

Relevant clinical information was abstracted from the medical record (transfer notes, emergency room summary, admission summary, physical/history, pharmacy, laboratory, microbiology and radiology information, and discharge summary) of each hospitalisation with a primary discharge diagnosis code indicative of infection using a standardised and customised Research Electronic Data Capture instrument hosted at Vanderbilt University.\(^3\) As we were interested in infections that led to hospitalisations, we focused our reviews on clinical, microbiological and radiological information from the 2 days prior to the admission date through 2 days after admission to limit the possibility of identifying infections that developed during the hospitalisation (ie, nosocomial infections). In preparation for this study, the case report form was pilot tested among a separate, convenience sample of 354 possible infections identified in the cohort from three hospitals in the same city as Vanderbilt University. This separate sample of hospitalisations was used only for pilot testing the case report form, and was not included in the current study. One trained medical reviewer abstracted the relevant information for all selected records using the case report form. During the abstraction process, the lack of a particular finding in the medical record was treated as a lack of evidence for that finding, and so no information was considered missing after abstraction.

### Table 1 Discharge diagnosis code definitions (ICD-9-CM) for hospitalisations for serious infection

<table>
<thead>
<tr>
<th>Serious Infection</th>
<th>Primary (first listed) discharge diagnosis code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pneumonia-primary definition</td>
<td>003.22, 480.x*, 481, 482.x, 483.x, 484.x, 485.x, 486.x, 487.0</td>
</tr>
<tr>
<td>Pneumonia-secondary definition (primary diagnosis code with pneumonia diagnosis (above) in any other diagnosis field)</td>
<td>510.x, 038.x, 790.7, 995.91, 995.92</td>
</tr>
<tr>
<td>Meningitis/encephalitis</td>
<td>003.21, 036.0, 047.x, 049.x, 053.0, 054.72, 072.1, 091.81, 094.2, 098.82, 100.81, 320.x, 036.1, 054.3, 056.01, 058.21, 058.29, 062.x, 063.x, 064.x, 066.41, 072.2, 094.81, 130.0, 323.x</td>
</tr>
<tr>
<td>Bacteraemia/sepsis†</td>
<td>038.x, 790.7, 995.91, 995.92</td>
</tr>
<tr>
<td>Cellulitis/soft-tissue infections</td>
<td>035, 040.0, 569.61, 681.x, 682.x, 728.86, 785.4</td>
</tr>
<tr>
<td>Endocarditis</td>
<td>036.42, 074.22, 093.2x, 098.84, 421.x, 422.92</td>
</tr>
<tr>
<td>Pyelonephritis</td>
<td>590.x</td>
</tr>
<tr>
<td>Septic arthritis/osteomyelitis</td>
<td>003.23, 056.71, 098.5x, 711.0, 711.00–711.07, 711.09, 711.9x, 003.24, 376.03, 526.4, 730.0x, 730.1x, 730.2x</td>
</tr>
</tbody>
</table>

*The letter ‘x’ indicates all numeric values (0–9).
†Without a diagnosis of pneumonia in any other diagnosis field.
Adjudication of medical records
All records received were reviewed, abstracted and adjudicated. We made the final determination of whether a hospitalisation represented a confirmed infection or not using a priori definitions of clinical, radiological and/or microbiological findings compatible with infection for each infection type. Previous validation studies and expert clinical knowledge were used to define these specific a priori definitions for each infection type (online supplementary appendix). 43–46

Statistical analysis
We calculated the PPV of the ICD-9-CM discharge diagnosis codes for identifying hospitalisations for serious infection using the results of the a priori definitions applied to the information abstracted from the medical records as the reference (ie, the proportion of cases identified with discharge diagnosis codes that were determined to be true cases after adjudication of the medical record information). We calculated 95% CIs for the PPV using Wilson’s formula. 41 Secondary analyses assessed the PPV for hospitalisations for serious infection across hospitals of different sizes and in different geographical regions of Tennessee.

We also assessed the reliability of the abstraction process. A second trained medical reviewer abstracted relevant information from a subset of selected records, which included all meningitis and endocarditis records, and a random selection of 10% of each of the remaining infection types. Each reviewer conducted the process independently and blinded from one another. For the subset of records abstracted by both reviewers, inter-reviewer agreement for the adjudication of a true or misidentified infection was assessed using the Gwet’s first agreement coefficient (AC1). 42–44 Since Cohen’s kappa statistic can be unreliable when the prevalence of the event and the level of observer agreement are high in the study sample, we used Gwet’s AC1 as a reliability measure unlikely to be affected by these concerns. 44–46

In planned sensitivity analyses, we first assessed the impact of excluding hospitalisations that occurred after the individual was transferred from another healthcare facility, as initial documentation and details of the infection could be missing or incomplete in the receiving hospital. 40 We also assessed the impact on the PPV for all infections when requiring microbiological identification of a pathogen (excluding common contaminants) from a sterile site within 2 days before or after the hospitalisation admission date. A final sensitivity analysis among hospitalisations for possible pneumonia assessed the PPV when radiological evidence of pneumonia was required (ie, pneumonia, opacity or infiltrate mentioned in a chest X-ray or CT scan report) (online supplementary appendix). All analyses were performed in Stata-IC, V.15.1.

Patient and public involvement
No patients were involved in the development of the research question, the outcome measures, or the design or conduct of the study. As we conducted a retrospective study using administrative data, we have no plans to disseminate the results of the research to study participants.

RESULTS
Cohort characteristics
Among a retrospective cohort of 129 465 adults >=50 years of age enrolled in TennCare, 9769 hospitalisations for serious infection were identified during the study period (2008–2012) among 7770 unique patients (figure 2). Cohort members were primarily female (57.8%) with a median age of 54 years (mean: 57 years; range: 50–110). For efficiency considerations, our medical chart review activities then focused on hospitalisations for serious infection (n=8322) that occurred at hospitals within 200 miles of VUMC. Pneumonia, cellulitis and bacteraemia/sepsis were the most common infections identified using discharge diagnosis codes (54.3%, 20.5% and 18.4%, respectively), followed by pyelonephritis (3.8%) and septic arthritis/osteomyelitis (2.5%). Fewer than 1% of hospitalisations were due to meningitis/encephalitis (n=30) and endocarditis (n=18).

Collection, review and adjudication of selected medical records
Of the 27 hospitals that were initially selected for the sample, 21 (78%) were able to participate. We selected seven additional hospitals to replace the six non-participants to achieve the desired sample size, including an additional small hospital in the East region due to a large number of unavailable records from a single participating hospital.

We received 716 (88.6%) of 808 requested records from 28 participating hospitals (table 2). Record availability from participating hospitals was lower in medium size hospitals (81.8%) compared with small (93.5%) and large hospitals (91.7%), but did not differ by geographical region. Record availability by infection type was greater than 86% for all infection types, with the exception of hospitalisations for the rare endocarditis cases (57.1%; only four of seven cases).

The sample of hospitalisations for serious infection included patients who were primarily female (63.6%), with a median age of 60 years (mean: 64 years; range: 50–101) at the time of hospitalisation. There was evidence of transfer from a prior healthcare facility for 21.8% of the hospitalisations for serious infection (highest percentage of transfers for bacteraemia/sepsis (38.5%) and pneumonia (25.1%)). The most common healthcare facility source was a nursing home/skilled nursing facility (84.6%), and included group home sources (7.7%), other sources (4.5%) (assisted living facility, mental health centre) and another acute care hospital (3.2%). There was evidence of an emergency department visit within 7 days prior to admission date for the serious infection hospitalisation in 4.8% of the records.

60.8% < 50 years of age
20.4% No medication use during the study period
12.1% No active follow-up after January 1, 2008
1.9% Lack of continuous enrolment
0.9% Lack of healthcare utilization
0.4% Diagnosis of a serious/life-threatening condition

129,465 Qualifying adults ≥ 50 years of age (2008-2012)

7,770 patients with ≥ 1 hospitalisation for serious infection
9,769 total hospitalisations for serious infections

14.8% Hospitalisation > 200 miles from Vanderbilt University Medical Center

8,322 total hospitalisations from hospitals within 200 miles of Vanderbilt University Medical Center

Figure 2  Identifying a retrospective cohort of patients ≥50 years of age without serious/life-threatening conditions, Tennessee Medicaid (2008–2012).

Performance of discharge diagnosis codes
A total of 646 (PPV: 90.2% (95% CI 87.8% to 92.2%)) of the hospitalisations for serious infection identified using ICD-9-CM primary discharge diagnosis codes were confirmed by applying the a priori definitions to the abstracted data. The PPV was highest for pneumonia and cellulitis (96.5% (95% CI 93.9% to 98.0%) and 91.1% (95% CI 84.7% to 94.9%), respectively), and was ≥75% for bacteraemia/sepsis, pyelonephritis, septic arthritis/osteomyelitis and endocarditis. The PPV was lowest for meningitis/encephalitis (50.0% (95% CI 23.7% to 76.3%)), although the precision was limited due to a low

<table>
<thead>
<tr>
<th>Type</th>
<th>Expected number of records</th>
<th>Records received</th>
<th>PPV (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>675</td>
<td>716</td>
<td>90.2 (87.8 to 92.2)</td>
</tr>
<tr>
<td>Region-specific</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>West</td>
<td>225</td>
<td>195</td>
<td>91.3 (86.5 to 94.5)</td>
</tr>
<tr>
<td>Central</td>
<td>225</td>
<td>225</td>
<td>88.9 (84.1 to 92.4)</td>
</tr>
<tr>
<td>East</td>
<td>225</td>
<td>296</td>
<td>90.5 (86.7 to 93.4)</td>
</tr>
<tr>
<td>Bed volume size specific</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Low</td>
<td>225</td>
<td>230</td>
<td>93.9 (90.0 to 96.3)</td>
</tr>
<tr>
<td>Medium</td>
<td>225</td>
<td>233</td>
<td>92.7 (88.6 to 95.4)</td>
</tr>
<tr>
<td>High</td>
<td>225</td>
<td>253</td>
<td>84.6 (79.6 to 88.5)</td>
</tr>
<tr>
<td>Serious infection</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Pneumonia</td>
<td>305</td>
<td>340</td>
<td>96.5 (93.9 to 98.0)</td>
</tr>
<tr>
<td>Cellulitis/soft-tissue infections</td>
<td>125</td>
<td>123</td>
<td>91.1 (84.7 to 94.9)</td>
</tr>
<tr>
<td>Pyelonephritis</td>
<td>80</td>
<td>89</td>
<td>87.6 (79.2 to 93.0)</td>
</tr>
<tr>
<td>Bacteraemia/sepsis</td>
<td>100</td>
<td>92</td>
<td>82.6 (73.6 to 89.0)</td>
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<tr>
<td>Septic arthritis/osteomyelitis</td>
<td>50</td>
<td>58</td>
<td>75.9 (63.5 to 85.0)</td>
</tr>
<tr>
<td>Endocarditis</td>
<td>5</td>
<td>4</td>
<td>75.0 (30.1 to 95.4)</td>
</tr>
<tr>
<td>Meningitis/encephalitis</td>
<td>10</td>
<td>10</td>
<td>50.0 (23.7 to 76.3)</td>
</tr>
</tbody>
</table>
number of available records for review (table 2). Among the 10 potential cases of meningitis/encephalitis, seven cases were meningitis/meningoencephalitis and three were encephalitis. The respective PPVs for meningitis/meningoencephalitis and encephalitis were 71.4% (95% CI 35.9% to 91.8%) and 0%, respectively.

When performance was evaluated across stratification sampling parameters, no apparent differences were observed in the PPV for records from hospitals in different geographical regions of Tennessee. Although the PPV was high for all three discharge volume groups, the PPV was significantly lower in high-volume hospitals (84.6% (95% CI 79.6% to 88.5%)) compared with low-volume hospitals (93.9% (95% CI 90.0% to 96.3%); PPV difference: −9.3% (95% CI −14.7% to −3.9%)) and medium-volume hospitals (92.7% (95% CI 88.6% to 95.4%); PPV difference: −8.1% (95% CI −13.7% to −2.6%)) (table 2). This was likely driven by the different distributions in the types of infections selected for review in the hospital groups. Large hospitals had a higher proportion of non-pneumonia infections (70.4%) compared with medium and small hospitals (49.4% and 36.1%, respectively). Importantly, the PPV for pneumonia was similar in each discharge volume group (range: 96.0%−96.6%), whereas the PPV was smaller for non-pneumonia infections in large hospitals (79.8%) compared with medium (88.7%) and small (89.2%) hospitals.

In the 82 records independently abstracted by two reviewers to assess reliability, there was 92.7% (95% CI 86.9% to 98.4%) agreement for identifying true hospitalisations for serious infection. The inter-rater agreement was also high when assessing reliability, independent of the outcome prevalence, with an AC1 of 0.91 (95% CI 0.84 to 0.99). Of the six discordant cases, three were meningitis/encephalitis (one meningitis/meningoencephalitis and two encephalitis), with one each of bacteraemia/sepsis, pyelonephritis and septic arthritis. The main reason for a discrepancy between reviewers was whether or not treatment for the infection of interest occurred within 2 days of the admission date, which was one of the major criteria for adjudication (see online supplementary appendix).

**Sensitivity analyses**

The PPV was virtually unchanged when excluding the 21.8% of hospitalisations that occurred as transfers from another healthcare facility (90.1% (95% CI 87.4% to 92.3%)). Microbiological evidence of the specific infection type was found in 47.6% of records, leading to reduced PPVs when requiring microbiological evidence (45.4% (95% CI 41.8% to 49.1%)). Microbiological evidence of infection was highest in hospitalisations for suspected pyelonephritis (94.4%), but was ≤80% for every other infection type (pneumonia (42.7%); cellulitis/soft-tissue infections (58.5%); bacteraemia/sepsis (26.1%)). When requiring radiological confirmation of pneumonia, the PPV for coded diagnoses was 78.8% (95% CI 74.2% to 82.8%). Approximately, 95.6% of possible hospitalisations for pneumonia had at least one documented chest X-ray or CT-scan. Among those patients with a chest X-ray or CT-scan report available (n=325), 83.4% had a finding compatible with pneumonia. The main findings among the 54 patients with possible pneumonia and a radiological report available, but without radiological confirmation of pneumonia included atelectasis (n=6), interstitial pneumonitis (n=3), chronic heart failure with pulmonary oedema (n=1) and no radiological findings of any kind (n=44).

**DISCUSSION**

Discharge diagnoses for identifying hospitalisations due to serious infections among middle age and older adults had an overall PPV of 90.2%, with the highest values for the identification of common serious infections. PPVs were consistently high across different hospital types and regions of Tennessee. Furthermore, the PPV was similar after exclusion of hospitalisations for serious infection that were the result of a transfer from another healthcare facility (eg, acute care hospital, skilled nursing facility). Microbiological confirmation was available for fewer than 50% of patients admitted with possible hospitalisations for serious infections, and as expected, the requirement resulted in a low PPV for all infections, with the exception of pyelonephritis. Importantly, the PPV for pneumonia hospitalisations remained relatively high even when requiring radiological confirmation.

The PPV for hospitalisations for pneumonia in previous smaller validation studies has ranged from 72% to 86% in different healthcare systems, but those studies were not focused on middle age and older adults. In our study of hospitalisations among middle age and older adults, we found that coded discharge diagnoses have a higher PPV for pneumonia compared with previous studies. The PPV for bacteraemia/sepsis was also on the higher range of previously reported PPVs for diagnosis codes to identify bacteraemia/sepsis from administrative data in other populations (reported range from 45% to 97.7%), and for septic arthritis/osteomyelitis compared with a previous study conducted among patients with diabetes (63.9% vs 75.9% in our study). Overall, the observed PPV for all infections in our study was comparable to two previous comprehensive validation studies of bacterial infections, one among patients with rheumatoid arthritis in a single hospital system and another among patients in one of the Veteran’s Affairs integrated service networks. Compared with these two previous studies of ICD-9 codes, we abstracted and adjudicated a larger number of records while using a more systematic sampling strategy to retrieve and review records for hospitalisations from multiple regions and hospital types as opposed to a single hospital or healthcare system. However, some of the PPVs for individual infections were less precise and less similar to these previous studies. This was especially true for rare infections, as would be expected due to the low numbers of rare infections in our study and across previous studies. The results of our study are also similar to previous validation studies that used corresponding ICD-10 diagnosis codes to identify hospitalisations for serious infection.
One limitation to consider in our study was that it was not designed to estimate the sensitivity and specificity of the coding algorithms. This would have required the identification, review and adjudication of a sample of hospitalisations that did not fulfil our algorithm (ie, presence of the ICD-9 primary discharge diagnosis codes indicative of infection). However, when the prevalence of an outcome is low, the PPV approximates the specificity. Importantly, any non-differential outcome misclassification between exposure groups resulting from the use of imperfect but highly specific measurements would attenuate the impact of the misclassification on the relative risk estimates.

In addition, we found that the PPV of coded discharge diagnoses for serious infections remained high across hospitals of different sizes and across different geographical areas of Tennessee, which may have different rates of hospitalisations for serious infection. Although our study applied a systematic sampling strategy to assure the representation of different settings in our population, our population was restricted to middle age and older adults enrolled in a state Medicaid programme. Therefore, caution is warranted when extrapolating the study findings to other populations.

Another limitation is the use of available clinical information to operationalise definitions for adjudication of true hospitalisations for infections. It is possible that some procedures, laboratory findings and diagnoses that informed the final diagnosis of infection were not fully recorded in the medical records, and thus, were not available for our review and may have contributed to the observed PPV for some infections. Although we used previous validation studies and clinical information to build prespecified definitions for the adjudication of true infections, our reference criteria may be imperfect, considering the retrospective nature of our determinations and potential variability in clinical practice. Nevertheless, we also assessed how the availability of selected findings (ie, microbiological and radiological information) in the medical record impacted the overall and infection-specific PPV. We demonstrated that relying on highly specific clinical diagnostics, such as microbiological and radiological information, to confirm true infections would result in lower PPVs for identification of infections in administrative data. Requiring microbiological confirmation to confirm true infections is challenging because of the known low sensitivity of culture-based diagnostic methods (most commonly used in clinical practice), which may lead to misclassification. In addition, requiring radiological evidence compatible with pneumonia within 2 days of hospital admission did lower the observed PPV for pneumonia hospitalisations. Nevertheless, the observed PPV remained close to 80%, which should reduce concerns about using diagnosis codes to identify hospitalisations due to pneumonia. Finally, the coding algorithms were based on the ICD-9-coding system only. Although these findings will be helpful for retrospective studies that encompass periods of ICD-9 use, additional studies evaluating the performance of ICD-10-based codes would be useful to complement our findings.

Our study demonstrated that discharge diagnosis codes can be used to accurately identify hospitalisations for serious infections among middle age and older adults. The highest PPVs were observed for the most common infections, and the PPV for pneumonia remained high when requiring radiological confirmation. Importantly, consistently high PPVs were observed across different hospital sizes and regions. However, the estimated PPV was lower and less precise for very rare infections (eg, encephalitis). This should be an important consideration for studies specifically focused on those less frequent outcomes, especially when strict microbiological confirmation is required. Taken together, these findings support the use of discharge diagnosis codes for infections to identify outcomes in ongoing and future epidemiological studies among middle age and older adults.

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Contributors ADW planned the medical record collection and statistical analysis, analysed and interpreted the data, and drafted and revised the paper. MRG, WS, CMS and RG planned the statistical analysis, interpreted the data and revised the paper. EFM prepared the data and revised the paper. CGG designed the project, acquired the data from TennCare, planned the medical record collection and statistical analysis, interpreted the data and revised the paper.

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Patient consent Not required.

Ethics approval The study was approved by the Institutional Review Boards of Vanderbilt University and the Tennessee Department of Health, and by the Bureau of TennCare.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement No additional unpublished data are available from the study. The study protocol and statistical code are available from the corresponding author, ADW (andrew.d.wiese.1@vumc.org).

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