Needs of informal caregivers across the caregiving course in amyotrophic lateral sclerosis: a qualitative analysis

Miriam Galvin, Sile Carney, Bernie Corr, Iain Mays, Niall Pender, Orla Hardiman

ABSTRACT

Objectives Amyotrophic lateral sclerosis (ALS), also known as motor neuron disease (MND), is a debilitating terminal condition. Informal caregivers are key figures in ALS care provision. The physical, psychological and emotional impact of providing care in the home requires appropriate assistance and support. The objective of this analysis is to explore the needs of informal ALS caregivers across the caregiving course.

Design In an open-ended question as part of a semistructured interview, caregivers were asked what would help them in their role. Interviews took place on three occasions at 4-month to 6-month intervals. Demographic, burden and quality of life data were collected, in addition to the open-ended responses. We carried out descriptive statistical analysis and thematic analysis of qualitative data.

Setting and participants Home interviews at baseline (n=81) and on two further occasions (n=56, n=41) with informal caregivers of people with ALS attending the National ALS/MND Clinic at Beaumont Hospital, Dublin, Ireland.

Results The majority of caregivers were family members. Hours of care provided and caregiver burden increased across the interview series. Thematic analysis identified what would help them in their role, and needs related to external support and services, psychological-emotional factors, patient-related behaviours, a cure and ‘nothing’. Themes were interconnected and their prevalence varied across the interview time points.

Conclusion This study has shown the consistency and adaptation in what caregivers identified as helpful in their role, across 12–18 months of a caregiving journey. Support needs are clearly defined, and change with time and the course of caregiving. Caregivers need support from family, friends and healthcare professionals in managing their tasks and the emotional demands of caregiving. Identifying the specific needs of informal caregivers should enable health professionals to provide tailored supportive interventions.

INTRODUCTION

Amyotrophic lateral sclerosis (ALS), also known as motor neuron disease (MND), is a progressive, neurodegenerative disease that impacts on the physical, communication and cognitive functioning of those affected. Management is palliative, and treatment consists of symptom management and is aimed at maximising quality of life and minimising the burden of disease for patients and caregivers. There are approximately 110 new cases of ALS in Ireland each year, and at least 80% of these attend the National ALS/MND Clinic at Beaumont Hospital, Dublin.

Informal caregivers are key figures in care provision, provide emotional and physical support to patients, and play a role in clinical decision-making in ALS. They require knowledge, skills and judgement to carry out the tasks of caregiving.

Caring for a partner or family member with a progressive neurological illness has been recognised as being a source of burden and psychological distress, with impaired quality of life. In ALS, patients’ physical, cognitive and behavioural impairments can contribute substantially to the psychological and physical morbidity of the caregiver and affect caregiver burden. Caregiver burden encompasses different dimensions. Objective burden represents the tasks required of caregivers and time spent caregiving. Subjective burden is the perceived impact of the objective burden and the caregivers’
own perception of their experience of their caregiving roles.8,9

Caregivers of people with ALS need emotional support, someone to talk to, information about the disease and its process, training, availability of respite care, counselling, and access to trained paid-for caregivers.4,10 A function of paid-for inhome care is to relieve burden and provide a period of relief from the caring role. However, the need for outside help may at times conflict with the need to preserve independence, dignity and familiar aspects of life.11 Williams et al22 represented a trajectory of ALS caregiver need: from early coping and adjustment, maintenance, transition to end stage, and coping with change and loss. The needs of caregivers are continuously evolving from diagnosis, as the disease progresses.

Aim
The aim of this analysis is to explore the needs of informal ALS caregivers across the caregiving course.

METHODS
Participants
Informal caregivers of people with ALS attending the specialist National ALS/MND Clinic multidisciplinary clinic (MDC) at Beaumont Hospital, Dublin, were recruited to the study. They were identified by the person with ALS as his/her primary informal caregiver, over 18 years of age, and providing unpaid care and assistance to them. Caregivers were consecutively recruited at outpatient clinic appointments. They were approached by a research assistant (IM) and provided with information about the research study. Those who expressed an interest in participating were given an information sheet, and contacted by phone to answer any queries, confirm agreement to participate and to arrange an interview. Informed written consent was obtained from all participants at the time of interview.

Patients were a mix of prevalent and incident cases, and their clinical details were available through the National ALS Registry, for which they had consented to inclusion of their codified clinical and demographic data.

Data collection
Pilot-tested, semistructured interviews were carried out at baseline (time 1) and on a further two occasions (time 2, time 3), at 4-month to 6-month intervals, between May 2013 and November 2014. Interviews lasted approximately 1 hour and were conducted with the caregiver only, in his/her own home by a male assistant psychologist (IM) or a female health services researcher (MG), both members of the research team.

Information was collected on a range of caregiver demographics, burden and quality of life. Caregiver burden was assessed using the Zarit Burden Interview (ZBI).13 The ZBI is a self-report instrument that assesses burden associated with patients’ behaviour and functional impairment, and the impact of caregiving on caregivers’ lives. The higher the total score (scale of 0–88) the higher the level of perceived burden.

The McGill Quality of Life Single-Item Scale (MQoL-SIS)14 is a single-item numerical rating scale (0 ‘very bad’ to 10 ‘excellent’) constructed to measure self-reported quality of life. Higher scores are indicative of greater subjective well-being and quality of life.

Qualitative data were collected from an open-ended question—in your role as a caregiver what would help you at the moment—devised in consultation with a key informant with clinical and research expertise, the MND clinical nurse specialist working in the Dublin MDC (BC). Responses to the open-ended questions and any related field notes were recorded in written format by the interviewer.

Data analysis
This analysis is based on data from caregivers who responded to that open-ended question, relative to each of the three interview time points. Descriptive statistical analyses performed using SPSS V.2215 summarised the demographic and clinical data, characteristics of the caregiver and patient cohorts, and measures of caregiver burden and quality of life.

From an essentialist perspective, thematic analysis was used to identify patterns in the qualitative data. Employing an inductive approach, we identified elements in the text responses, which formed the basis for data-developed themes, the meanings of which were then interpreted. Two coders took part in a multiphase process including initial coding, theme development, review and definition.16 Two members of the research team (SC, MG) independently coded the responses and developed the code structure. Differences and similarities across the data set were explored through a constant comparative approach facilitating an iterative examination of findings, with discussion on points of agreement/disagreement leading to consensual validation. Reflexivity was maintained by looking at the data and their interpretation for competing conclusions.17 Audit trails were developed using reflexive memos and codebooks. The codes generated and themes constructed were reviewed for credibility of findings established based on clinical experience (BC). Data analysis software NVivo V.1118 was used to collate and manage the qualitative data.

RESULTS
Caregiver and patient characteristics
Table 1 summarises the characteristics of caregivers and the patients for whom they provided care. Eighty-one caregivers responded to the open-ended question at their first interview. There was 31% attrition between the first and second interviews, with 26.8% fall-off from the second to the third. This was mainly attributed to increased burden, the time commitment of research participation and accruing disability of patients over time.

This was a largely female and spousal/partner cohort of caregivers, living with the patient for whom they provided...
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<th>Time 3</th>
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<tr>
<td>Mean</td>
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<td>49</td>
<td>60.5</td>
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Care. The mean age was 55 years (SD 13.3) at the first interview (table 1). The median number of hours of care provided per week was 26.5 hours (46.9). In terms of self-assessed health, 85% indicated their health was ‘excellent, very good or good’. The mean quality of life score (MQoL-SIS) was 5.7. For this cohort the mean caregiver burden (ZBI) score at all three interviews exceeded the cut-off for categorisation of high burden, with a mean burden score of 24 or higher.6 19

At baseline, the mean age of the patients was 65 years (SD 10.6), the majority was male (61%) and had spinal onset (68%). The Milano-Torino (MiToS) staging criteria categorised 57% of patients at stage 0 of the disease, 27% at stage 1, 11% at stage 2 and 1% at stage 3, as per standardised protocol.20 The mean time from diagnosis was 16 months (median 8.6).

### Thematic analysis

In the open-ended question, caregivers were asked: in your role as a caregiver what would help you at the moment? The responses coded and themes identified are a reflection of the entire data set. Five main themes and constituent subthemes were generated through the analysis (figure 1).

The five themes with selected supporting quotes are presented below, denoted by caregiver ID, relationship to patient and interview time point (eg, #1cg, son, time 1)

#### Theme 1: external support and assistance

Caregivers mentioned a range of external support and assistance that would be helpful to them. These included health and social care services to the home, support from family and friends, aids and appliances, information, advice, training, and financial support.

‘Home help’ services, assistance with personal care and household tasks were identified in particular. Respondents commented on the ways in which care hours are distributed and organised. Time allocated for home help assistance is seen by some as not adequate, or flexible enough to cover the times when the caregiver needs it.

Somebody to sit with [patient] for a whole afternoon. My homecare is six hours but spread over the week. You can’t do much in the time. (69cg, female spouse/partner, time 1)

There is a need for oversight of the formal carers and care arrangements, and coordination of care hours supplied by external agencies.

A nurse to come in and see how the bed care is going, to analyse the carers and advise how things should be; it’s hard to talk to the public health nurse about

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1 In Ireland at present ‘home help services’ comprise community-based health and social care workers who provide personal care, housework and cleaning services related to a designated patient.
Fig. 1 Themes and subthemes.

![Themes and subthemes diagram]

There is a need for information about ALS, advice about what they should be doing and what to expect in the future, ways to navigate the health services, and training on how to perform specific tasks.

A blueprint for where to go for information and assistance. (55cg, male spouse/partner, time 1)

I think I’d have to know more about MND when it gets to this stage now…now I wonder how I make him feel at ease, if I knew how to care for him and handle it better, how to lift (him), to wash him. (28cg, female spouse/partner, time 3)

A bit more training in looking after someone; general personal maintenance a simple example is washing hair. I see myself as a husband caring for a wife (and not a proper carer). (53cg, male spouse/partner, time 1)

Theme 2: patient-related factors

Patients’ acceptance of external services and an understanding of the caregivers’ situation were mentioned as things that would help. Caregivers referred to patient resistance to formal care services and interventions, and outside involvement related to personal care, as a source of particular opposition.

If [patient] allowed other people to help; the thought that there’s someone there, that he would be happy with them… (9cg, female spouse/partner, time 2)

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*Medical cards allow people to access family doctor/general practitioner services, community health services, dental services, prescription medicine costs, hospital care and a range of other benefits free of charge.*
It’s just if I physically had more hands, he doesn’t let the carer help with personal hygiene, that’s for me. (16cg, female spouse/partner, time 3)

Being able to talk about the care situation they faced had become more difficult and formerly open communication relationships had changed.

[It would be helpful]...that we talk because if I don’t, we build up resentment and it doesn’t help, we’ve always talked but everything got difficult since the MND. (81cg, female spouse/partner, time 2)

In some cases there was a sense of the patient exerting control through manipulating situations, which created difficulties for the caregiver.

…if I go away for a weekend she will take Imodium so nobody has to take her to the commode but me, I know it’s coming when I’m heading. For her relaxing more with others and additional carers in the future with certain types of caring, commode duty etc. (53cg, male spouse/partner, time 2)

Theme 3: psychological-emotional factors
Responses thematised here reflect a range of concerns, such as need for more time, less responsibility, peace of mind, respite, freedom, someone to talk to and to be listened to. It would be helpful for someone to notice and be attentive to them.

For [patient] not to rely on me quite as much as she does. (53cg, male spouse/partner, time 2)
Somebody asking about how I’m doing. (75cg, female spouse/partner, time 1)
I’d like to not be here as much as I am. (57cg, daughter, time 1)

There can be competing work and family responsibilities, and the decreasing resource of time can mean neglecting those and often one’s own needs.

Time. Time with my mam, time alone, time with the kids, time with my husband; time in every aspect. If I’d have time I’d be able to get a handle on things, to get organised. (82cg, daughter, time 1)
I’m more conscious of it this year, maintaining some sort of existence away from here, I haven’t been successful with it yet… (98cg, son, time 2)
Probably a little more time off in looking after him… to have an easy mind and go away. (9cg, female spouse/partner, time 3)

Theme 4: nothing
Responses categorised under this theme comprised comments from caregivers who said they were fine with how things were going for them, and they were coping on their own and/or with what they feel was adequate support.

Nothing at the moment. (008cg, female spouse/partner, time 1)
Nothing really. We cope and we manage. (35cg, female spouse/partner, time 1)
I can’t think of anything, I think everything has been provided for her. (52cg, male spouse/partner, time 3)

Everything is going fine I don’t have a problem, we’ve got the aids we need at the moment from the HSE [Irish Health Service] and IMNDA [MND charity]. (64cg male spouse/partner, time 2)

Many commented that they did not want outside help and wanted to continue being the main care provider, for as long as possible, while others indicated that they will ask for help when they feel it is necessary.

Nothing else, they’ve asked do I want anyone in and I’m fine, I’d rather do it myself. (56cg, female spouse/partner, time 3)

A number of responses implied acceptance or perhaps resignation, such as “we are where we are”; or there was a sense of despair: “is there anything that could help me?”

Often caregivers simply responded “nothing” would help them, which could be interpreted as either despairing or coping, as there were no probes to this question.

Theme 5: cure better
For some respondents a cure, the patient getting better or to go back to what she/he used to be would help.

If he got better. If the illness would plateau for a while and just relax. I can’t think of the next step, I just want it to calm down. (81cg, female spouse/partner, time 1)

…you’d like to go back to normal as things were. (47cg, female parent, time 2)

Prevalence of themes across interview time points
Some open-ended responses could be multiply coded comprising elements pertaining to a number of themes. Quantification in terms of number and percentage of caregivers coded to each theme, and prevalence across the three interviews, provides an overview of the qualitative material. The prevalence of themes derived from the coded data at the three interview time points is presented in table 2 and figure 2.

Issues related to external support and assistance were mentioned by a majority of caregivers, especially at the second interview, when 70% indicated that some form of outside support, for example, services, family and financial, would be of help to them at that time.

Nothing was the second most frequently mentioned theme overall and at the first and third interviews in particular. Patient-related factors increased in frequency from time 1 (7%) to time 2 (16%). Responses thematised as psychological-emotional factors remained relatively stable, being mentioned by approximately 23% of caregivers across the
three interviews. Suggestions that a cure or improvement in the condition would help were mentioned by 13% at the second interview, with fewer mentions at the first and last interviews.

Connection
Responses could contain elements pertaining to more than one theme, and thus some themes were connected to each other. These connections are illustrated in the following thematic contiguity maps, representing the relationships between themes at each interview (figure 3A,B,C). As an example, 43 caregivers at the first interview mentioned external support would be helpful to them, and of these 12 also commented that having psychological-emotional issues addressed would be helpful (figure 3A).

Across the interviews caregivers’ need for external support and assistance (theme 1) was most often mentioned in connection with psychological-emotional support (theme 3), and patient behaviour and resistance (theme 2).

<table>
<thead>
<tr>
<th>Theme†</th>
<th>Time 1</th>
<th>Time 2</th>
<th>Time 3</th>
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<td></td>
<td>n (%)</td>
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<td>n (%)</td>
<td>n (%)</td>
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<td>21 (51.2)</td>
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<td>9 (22.0)</td>
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<tr>
<td>Nothing</td>
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<td>14 (25.0)</td>
<td>20 (48.8)</td>
<td>60 (33.7)</td>
</tr>
<tr>
<td>Cure better</td>
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<td>7 (12.5)</td>
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<tr>
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<tr>
<td>Number of caregivers</td>
<td>81</td>
<td>56</td>
<td>41</td>
<td>178</td>
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Approximate interview interval dependent on patient condition and caregiver availability.
*Interview timeline: time 1=baseline; time 2=baseline + 4–6 months; time 3=time 2 + 4–6 months.
†Themes by % of CGs at each interview.
CG, caregivers.

DISCUSSION
This multimethod study with the qualitative strand prioritised has identified what caregivers suggest would help them in their role, at three interviews across approximately 12–18 months of a caregiving journey. While the practice of caregiving can exist before formal diagnosis, the time from diagnosis is used here as start of the explicit caregiving course.

A reduction in the number of caregiver respondents over time was mainly attributed to the increased burden and time commitment of research participation, and increased disability of patients. The proportion of adult children respondents decreased considerably, over the

Figure 2  Five main themes at each interview time point.

These informal ALS caregivers identified external support and assistance, psychological-emotional factors, less patient resistance to outside services, and a cure for ALS or at least respite from its progression as things that would help them. Many responded that nothing would help, which was largely described as coping with the current caregiving situation, with a view to accessing services if/when they considered appropriate for their own caregiving context. Others simply said “nothing” would help them at present.

External support and assistance was the most frequently mentioned theme overall, and at each of the three time points, respectively. Its reduced prevalence at the third interview suggests that relevant external support required may have been in place by that time. Caregivers need services provided in flexible ways that suit their situations, and services to the home monitored for quality and performance. Caregivers also need internal resources, and a range of issues that would help them are thematised as psychological-emotional factors. These include someone to talk to and someone to listen to them, time away from caregiving and opportunities to pursue their own interests, peace of mind, and shared responsibility. The percentage of caregivers in this thematic category remained relatively consistent over the three interviews (22%–23%).

Patient-related factors included uncooperative behaviours and resistance to external support and services. This resistance manifested in an over-reliance on the informal caregiver, refusal of services or unwillingness to engage when service providers were present. These features were present across the three time points, increasing after the first interview, and mentioned by 16% of caregivers at the second interview. Non-acceptance of external support and services compounds the difficulties caregivers face and increases the stress in an already strained context.

It is important to note however that many informal caregivers wished to do without outside services and assistance themselves, or at least postpone their intervention. Nothing was the second most frequently mentioned theme overall. The prevalence of this theme increased from the first interview (32%) to the third interview, at which point 49% of caregivers suggested that nothing would be helpful, they were doing alright and/or had adequate services and support. The third interview was approximately mean 32 months (median 24 months) since a formal ALS diagnosis. Patients exerting control in care is an adaptive response to loss in ALS.24 This study confirms that caregivers exert control when availing of external services.

Within the theme cure better caregivers indicated that a cure for the disease, the return of their loved one to before the illness or a stabilising of the progression at least would be helpful. Compared with the second interview, there was less reference to these issues at the third time point, as perhaps people had accepted or were resigned to the course of the disease.

Figure 3  Thematic contiguity map: (A) time 1 interview, (B) time 2 interview and (C) time 3 interview.
The needs of ALS caregivers continuously evolve as the disease progresses. Our findings concur with other research that shows that caregivers need support to enable them to provide care, for example, equipment and managing symptoms, and direct personal support for themselves, for example, emotional support. This study has found that what caregivers consider helpful is comparatively consistent, but the relative status of those needs changes over time and the course of caregiving (table 2 and figure 2). It is noticeable that at the second interview (mean 25/median 16.5 months since diagnosis), caregivers expressed increased need for external support and services, more patient-related resistance and non-acceptance than at the first interview. More were hoping for a cure for the disease, and fewer mentioned that they needed nothing and were alright the way they were. As noted above, the latter (nothing) was mentioned by almost one-third of caregivers at the first interview and approximately half of all respondents at the third interview; however, its prevalence at the second time point was 25%. Perhaps this period of the caregiving course is one of increased needs, particular stress and task-load for ALS caregivers, and more help is required. It may be that during this phase there is a growing realisation of the actual and potential impact of the disease on the patient and family, awareness of the support required, and the challenges ahead. With time, caregivers and families may subsequently come to terms with the implications of the situation and have adjusted and modified their expectations for themselves and others. Accordingly with an integration of these changes, it appears needs alternately are addressed, stabilised and modified over the course of the caregiving trajectory.

Studies on caregiver burden consistently report two factors underlying its subjective assessment through the ZBI measure—personal strain and role strain. There is a relationship between task-oriented care and caregiver psychosocial well-being, and a complex interplay between caregiver psychosocial needs and role-specific factors. The requirement for external support, psychological-emotional needs and patient-related factors are clearly related. External support and assistance could benefit the patient and positively affect the caregivers’ ability to manage tasks and get time away from care duties and opportunities to do other things with that time. Patients’ resistance to receiving outside assistance has implications for caregivers’ well-being and task-load. Conflict of interests between patients and caregivers places caregivers in a difficult position of prioritising patients’ needs above other commitments and their own well-being. With the focus on taking care of others, they may not consider seeking help for themselves, and healthcare professionals should discuss with them that the caregiving role is one for which they can seek support.

This study has highlighted the needs of informal caregivers and demonstrated both their consistency and modification across the caregiving course. We have illustrated the connections between the need for external support and services and internal resources and patients’ behaviour and resistance to those services. We have shown that the relative status of these needs varies with time, and have identified a potential problematic mid-phase of caregiving in terminal illness. The findings from this study should inform the organisation and delivery of health and social services and enable healthcare professionals to tailor supportive interventions to those caring for people with ALS.

There are a number of limitations to this study. Findings relate to this caregiver cohort only. The reduction in the sample size over time could have introduced bias into those participating and to their responses. We have not sought to explain the impact of frontotemporal dementia and cognitive/behavioural impairment in patients, on caregiver needs. The presence of these states could influence needs thematised here under ‘patient-related factors’, with repercussion on caregivers’ psychological and emotional health. As stated above responses thematised in this analysis as ‘Nothing’ include both positive and negative comments and would require more in-depth analysis to explore thematic ambiguity. Further research should explore caregiver needs at an individual level through in-depth interviews; establish whether those needs are being met; and examine associations of disease stage, patient cognitive/behavioural impairment, and relationship to the care recipient, caregiver burden and psychological health on the complex needs of caregivers.

CONCLUSION

Our findings show the interrelatedness of external and internal resource needs, and the impact of the caregiving role and associated personal strain on the lives of informal ALS caregivers. We have demonstrated the phasic nature of caregiver needs and identified a potentially problematic time at approximately 1.5–2 years since formal ALS diagnosis. An understanding of the possible interrelationship and temporality of these needs and the sequential impact on the life of the caregiver is important. Supportive services and timing of interventions tend to be clinician-driven and led by the needs of the patient; however, the acceptance and ultimately compliance with these directives implicates the clinician, patient and caregiver/family.

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