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Determinants of Community Pharmacists' Quality of Care: A Population-Based Cohort Study Using Pharmacy Administrative Claims Data

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1 **Determinants of Community Pharmacists' Quality of Care: A Population-Based Cohort**

2 **Study Using Pharmacy Administrative Claims Data**

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1 **Abstract**

2 **Objective:** To determine if a prototype pharmacists' services evaluation program that uses
3 linked community pharmacy claims and health administrative data to measure pharmacists'
4 performance can be used to identify characteristics of pharmacies providing higher quality
5 of care.

6 **Design:** Population-based cohort study using community pharmacy claims from November
7 1, 2009 to June 30, 2010.

8 **Setting:** All community pharmacies in Quebec, Canada.

9 **Participants:** 1742 pharmacies dispensing 8,655,348 antihypertensive prescriptions to
10 760,700 patients.

11 **Primary outcome measure:** Patient adherence to antihypertensive medications.

12 **Predictors:** Pharmacy-level: dispensing workload, volume of professional services, location,
13 banner/chain, pharmacist overlap, within-pharmacy continuity of care. Patient-level: sex,
14 age, income, patient prescription cost, new/chronic therapy, single/multiple
15 antihypertensives, single/multiple prescribers and single/multiple dispensing pharmacies.
16 Dispensing level: duration of prescription, time of day dispensed, antihypertensive class.
17 Multivariate alternating logistic regression estimated predictors of the primary outcome,
18 accounting for patient and pharmacy clustering.

19 **Results:** 9.2% of dispensings of antihypertensive medications were provided to non-
20 adherent patients. Male sex, increasing age, new treatment, multiple prescribers and
21 multiple dispensing pharmacies were risk factors for increased non-adherence. Pharmacies
22 who provided more professional services for their clientele were less likely to have non-
23 adherent hypertensive patients (OR: 0.60; 95% CI: 0.57-0.62) as were those with better
24 scores on the Within-Pharmacy Continuity of Care Index[®]. Neither increased pharmacists'
25 services specifically for improving antihypertensive adherence per se nor increased
26 pharmacist overlap impacted the odds of non-adherence. However, pharmacist overlap was
27 strongly correlated with dispensing workload. There was significant unexplained variability
28 among pharmacies belonging to different banners and chains.

29 **Conclusions:** Pharmacy administrative claims data can be used to calculate pharmacy-level
30 characteristics associated with improved quality of care. This study supports the importance
31 of professional services and continuity of pharmacist's care.

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1 **Strengths and limitations of this study:**

- 2
- 3 1. The trial directly measured community pharmacy characteristics using pharmacy
- 4 claims and health administrative data.
- 5 2. The primary quality of care outcome used a standardized method for measuring
- 6 patient adherence to medications.
- 7 3. The trial was population-based and included a large sample of dispensings from
- 8 community pharmacies in Quebec.
- 9 4. Performance on only one quality of medication-use indicator was evaluated and
- 10 results may not apply to additional measures of pharmacists' quality of care.
- 11 5. Administrative data are limited in the extent to which they can measure services
- 12 provided by pharmacists that were not billed.
- 13
- 14

15 Green Shield Canada Foundation and the Quebec Order of Pharmacists supported this work.

1 INTRODUCTION

2 Background:

3 Misuse of prescription medications, ranging from inappropriate prescribing to patient non-
4 adherence, remains a significant and costly challenge to health systems around the world
5 (1). The medication-related expertise and accessibility of community pharmacists has led
6 policy-makers to re-evaluate the role community pharmacists play in managing medication
7 misuse (2, 3). Emphasis has been placed on both the care provided by pharmacists as part
8 of medication-dispensing and expansion of pharmacists' scope of practice to provide
9 professional services that aim to decrease specific medication-misuse problems (4, 5).

10 Although research has shown that care provided by community pharmacists can improve
11 patient's medication use, in daily practice community pharmacists struggle to incorporate
12 patient care services into the myriad of technical demands of drug distribution (6, 7). As a
13 result, payers continue to seek evidence of the real-world impact of pharmacists' services in
14 decreasing medication misuse (4, 8, 9). To improve the quality of care provided by
15 community pharmacists requires methodologies to systematically measure the quality and
16 outcomes of care provided and the pharmacy-level characteristics that optimize best
17 practice (10). As community pharmacists are responsible for ensuring that dispensed
18 medications are safe and effective for patients, and that patients take their medications as
19 prescribed, quality indicators have been defined that standardize the method of measuring
20 safe medication use and patient adherence (11). Developments in the use of community
21 pharmacy administrative claims data have enabled the measurement of both pharmacy-
22 level performance on these pharmacy quality indicators and the impact of targeted
23 pharmacists' services (12-14).

24
25 More problematic has been the determination of pharmacy-level characteristics that
26 consistently support high levels of pharmacists' performance on these standardized quality
27 indicators. Pharmacy characteristics such as workload, continuity of care, culture, workflow
28 and overlap of pharmacists have been evaluated through primarily surveys and self-report,
29 and with varying definitions of quality performance (15-17). The few studies that used the
30 standardized quality measures utilized an ecologic approach to estimate pharmacy
31 characteristics by determining a population-based quality metric in the geographical area
32 and then assigning these population-based results to all pharmacies within that area (18-

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3 1 20). The resulting ecological bias has limited the ability to identify pharmacy characteristics
4 associated with quality care (21). More robust methodologies are needed to measure the
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6 2 associated with quality care (21). More robust methodologies are needed to measure the
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8 3 actual characteristics of not only the pharmacy, but of the individual medication dispensing
9
10 4 and the patient receiving the medication. This enables comparisons between pharmacies to
11
12 5 be adjusted for differences in patient and dispensing characteristics that affect performance
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14 6 (22).

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16 7
17 8 One potentially powerful option is to use pharmacy administrative claims data to measure
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19 9 salient pharmacy characteristics such as pharmacist workload or overlap. To date use of
20
21 10 such data has been limited to identifying whether the pharmacy is a chain or independent,
22
23 11 and the volume of dispensing (20, 23). This is primarily due to challenges in using the large
24
25 12 volume of pharmacy administrative data to create accurate measures, as well as challenges
26
27 13 to date to link pharmacy claims data to other health administrative databases to obtain
28
29 14 information on patient and pharmacy characteristics. Increasingly, these linkages among
30
31 15 administrative databases have been enabled through interest by payers in monitoring
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33 16 performance and researchers in conducting population-based studies (24, 25).

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36 18 The objective of this trial was to determine if a prototype pharmacists' services evaluation
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38 19 program that uses linked pharmacy administrative claims and health administrative data to
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40 20 measure pharmacists' performance can be used to identify characteristics of pharmacies
41
42 21 providing higher quality of care.

43 22 **METHODS**

44 23 **Setting:** This study was conducted in Quebec, the second largest province in Canada, with a
45
46 24 population of 8 million patients of whom approximately 3.5 million receive government
47
48 25 support for payment of their medications via the Régie de l'Assurance Maladie du Québec
49
50 26 (RAMQ). Like many healthcare systems around the world, all provinces across Canada
51
52 27 maintain central, electronic databases of information about medications dispensed and
53
54 28 professional pharmacists' services provided. For reimbursement of pharmacists' services,
55
56 29 the RAMQ requires the following information: the date, hour, drug identification number,
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58 30 therapeutic drug class, format, strength, quantity, duration of treatment, type of pharmacist
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60 31 service provided (e.g. dispensing, refusal to dispense, recommendations for changes in
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1 therapy), the reason for the service (e.g. previous adverse effect or management of under-
2 use of antihypertensive medications), and costs to RAMQ, the patient and for the overall
3 prescription. All data are coded in a standardized format that can be linked to other health
4 administrative data using unique encrypted identifiers for patients, prescribers, pharmacists
5 and pharmacies. For patients, age, sex and postal code depicting the geographic location of
6 the patient's residence are maintained. Postal code is linked to the average household
7 income in the patient geographic area based on Statistics Canada census data
8 (approximately 466 households per area). For pharmacies, the location (e.g. shopping
9 centre), and type of pharmacy (independent or not) are maintained, along with the specific
10 chain or banner to which the pharmacy belongs.

11
12 **Study Design:** A population-based prospective cohort of patients was assembled for whom
13 Quebec pharmacists billed for dispensings of antihypertensive medications or provision of
14 pharmacists' professional services between November 1, 2009 to June 30, 2010. Each time
15 there was a dispensing for an antihypertensive medication, non-adherent patients were
16 identified if they had received less than 80% of the prescribed amount of the same drug in
17 the 90 days prior to the dispensing. Using dispensing as the unit of analysis, characteristics
18 of each dispensing of the antihypertensive medication, the patient receiving the medication
19 and the pharmacy where the medication was dispensed were measured using linked
20 administrative claims data. Multi-level analysis was used to identify predictors of dispensing
21 to a non-adherent patient allowing adjustment for clustering of dispensings and patients
22 within pharmacies.

23
24 **Participants:** All 1891 pharmacies in Quebec were included unless they opted out of
25 participating, were open for less than 61 days, or had dispensed >165,317 prescriptions over
26 the eight-month study period. Cut-offs for these exclusion criteria were determined by
27 identifying outliers with Z-scores >2.5 (26). Shorter open-days were removed as these
28 pharmacies did not have sufficient data for reliable calculation of characteristics. Very high
29 dispensing volumes indicated pharmacies that were not representative of traditional
30 community pharmacy practice in Quebec. We had sufficient sample size to have 90% power
31 to detect a difference in antihypertensive adherence of 5% for most potential predictors.

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3 1 **Primary Outcome:** Dispensing of antihypertensive medications to non-adherent patients
4 was the primary quality of care outcome. For each dispensing, we created a record of all
5
6 2 dispensings of the same antihypertensive medication to the same patient from all
7
8 3 pharmacies in Quebec over the previous 180 days. Using the number of days of supply of
9
10 4 each medication and adjusting for early refills, we calculated the number of days of
11
12 5 medication the patient had received over the previous 90 days. If they had received less
13
14 6 than 72 days' supply (80%), then the dispensing was considered to be to a non-adherent
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16 7 patient.
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18 8
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20 10 **Potential Predictors: Dispensing-level characteristics** included the type of antihypertensive
21
22 11 medication dispensed, the total cost and the cost to the patient of the prescription as these
23
24 12 have been demonstrated to affect patient compliance (27). Additional characteristics
25
26 13 related to constructs hypothesized to affect patient compliance such as the duration of
27
28 14 medication supplied. Although in Quebec the standard supply of medications is for 30 days,
29
30 15 patients who are felt to be at risk for non-adherence can receive weekly medication supply
31
32 16 and patients stabilized on chronic therapies can receive 90 day supplies. Compliance was,
33
34 17 therefore, expected to be worse for patients receiving less than 30 days' supply and better
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36 18 for patients receiving more than 30 days' supply.
37
38 19

39 20 **Potential Predictors: Patient-level characteristics** were those known to affect compliance
40
41 21 such as sex, age and income, with older males and patients with higher income anticipated
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43 22 to be more compliant (20, 28). As our previous work indicated that patients new to
44
45 23 antihypertensive therapy are less compliant as are those on single drug therapy, these
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47 24 variables were also included (13). Since continuity of care has been shown to improve
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49 25 medication adherence, we included variables specifying whether the patient had received
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51 26 all antihypertensive medications from the same pharmacy and the same physician over the
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53 27 previous 6 months (29-31).
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55 28

56 29 **Potential Predictors: Pharmacy-level characteristics** included workload in terms of number
57
58 30 of prescriptions dispensed as higher workload has been identified by community
59
60 31 pharmacists as a factor limiting their ability to provide professional services (32) and a factor
32 predisposing to dispensing errors (15, 17, 33). Various measures have been reported to

1 represent workload ranging from prescriptions dispensed per year, which can readily be
2 determined from administrative claims data, to prescriptions per pharmacist per hour,
3 which to date has only been reported using survey / self-reported estimates (17). We
4 received from RAMQ the total number of billings and number of open days for each
5 pharmacy over the 8-month study period and we used the administrative claims data
6 received to calculate for each pharmacy, the average open hours per day, the average
7 number of pharmacists billing per hour and the average number of prescriptions dispensed
8 per hour. This allowed us to calculate the average number of prescriptions dispensed per
9 pharmacist per hour using the community pharmacy administrative data rather than relying
10 of self-reports. Related to workload, evidence suggests that having two or more
11 pharmacists scheduled during busy times of the day enables one pharmacist to focus in an
12 uninterrupted manner on the more cognitively demanding tasks of medication review (16,
13 34). Using the administrative claims data for each pharmacy we created a measure of
14 pharmacist-overlap, calculating the average percent of each pharmacy's open hours where
15 more than one pharmacist was billing (Pharmacist Overlap Index[®]). Finally, as care from the
16 same pharmacist has been hypothesized to be important in creating the trusting,
17 professional relationships required for good medication use (35), we determined the
18 likelihood that a patient would be cared for by the same pharmacist on multiple visits to the
19 pharmacy. To measure this, we calculated a Within-pharmacy Continuity of Care Index[®] by
20 determining, for each pharmacy, the total number of pharmacists working over the 8-month
21 study period (weighted to emphasize differences in high and low numbers of pharmacists)
22 and divided this by the average number of pharmacists working per day at that pharmacy.
23 The lowest value of the index is 1, which represents the best within-pharmacy continuity of
24 care. This occurs when there is only one pharmacist working over the study period and this
25 same pharmacist is working each day, thereby reflecting the greatest likelihood that the
26 patient would receive care from the same pharmacist. To determine the culture within the
27 pharmacy regarding emphasis on providing professional services, we calculated the total
28 number of pharmacists' services billed per 100 prescriptions dispensed over the 8-month
29 period. We also counted the number of pharmacists' services billed for management of
30 under-use of antihypertensive medications.

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4 2 **Data sources/ measurement:** As part of a previously reported randomized controlled trial,
5 3 baseline anonymized community pharmacy administrative claims data for all dispensings of
6 4 antihypertensive medications and pharmacist services were received from RAMQ for all
7 5 Quebec community pharmacies for the period of October 1, 2008 to June 30, 2010 (14).
8 6 Data for the 8-month period of November 1, 2009 to June 30, 2010 were used to calculate
9 7 dispensing, patient and pharmacy-level characteristics and estimate determinants of non-
10 8 adherence. Data from February 1, 2009 to November 1, 2009 was used to determine
11 9 whether early dispensings were provided to a patient who: was within the first 6 months of
12 10 therapy; was taking multiple antihypertensives, or; had multiple physicians prescribing or
13 11 multiple pharmacies dispensing their antihypertensive medications in the previous 6
14 12 months.

15 13
16 14 **Control for Potential Bias:** Patient, pharmacy, pharmacy chain/banner group, pharmacist
17 15 and prescriber identifiers were anonymized by RAMQ prior to data transfer to the research
18 16 group. The McGill University Faculty of Medicine Institutional Review Board provided ethics
19 17 approval.

20 18
21 19 **Statistical Methods:** Descriptive statistics summarized the characteristics of the
22 20 dispensings, patients and pharmacies including the incidence of dispensing to non-adherent
23 21 patients by type of antihypertensive, patient sex and age. Multivariate alternating logistic
24 22 regression (ALR) was used to estimate the association among the dispensing, patient and
25 23 pharmacy-level characteristics and non-adherence. All analyses were completed using SAS,
26 24 version 9.4 (SAS Institute, Cary, North Carolina), with ALR using PROC GENMOD. Where
27 25 multiple measures could be calculated to reflect a single construct, results for each measure
28 26 were first compared with previously reported estimates (if available) to test the accuracy of
29 27 the calculations. Next each measure was tested individually for association with non-
30 28 adherence. For each construct, a single measure was selected for inclusion in the analysis
31 29 based on the accuracy of the calculation, the strength of evidence supporting its use and the
32 30 strength of association. Collinearity was evaluated for all variables considered for inclusion
33 31 in the final analysis using the variance inflation factor. When collinearity was present,
34 32 variables that were calculated as interim steps were considered for exclusion and the

1 variables retained were those that most directly measured the constructs of interest. To
2 account for interactions between patient income and the cost of the medication to the
3 patient, we divided both variables into low, medium and high categories and created
4 dummy variables for each of the nine possible interactions, setting low income and low cost
5 to the patient as the reference (36).

7 RESULTS

8 **Study Participants:** 1872 pharmacies were enrolled in the study, after 19 (1%) opted out
9 (Figure 1, Consort diagram). Ninety-one pharmacies open for < 61 days and 39 additional
10 pharmacies dispensing >165,317 prescriptions over the 8-month period were removed from
11 the analysis. 8,655,348 dispensings of antihypertensive medications to 760,700 patients in
12 1742 pharmacies were evaluated.

13
14 **Population Characteristics:** Angiotensin-receptor blockers (ARB) were the most commonly
15 dispensed antihypertensive medications (23.2% of dispensings) with <1% of dispensings for
16 each of alpha agonists, alpha blockers, potassium sparing diuretics and vasodilators (Table
17 1). Most prescriptions were dispensed in the morning and were for an approximate one-
18 month duration. 74.1% of patients were prescribed their antihypertensive medications by a
19 single physician and 86.0% went to a single pharmacy for all their antihypertensive
20 medications over the previous six months. Most patients had been taking antihypertensive
21 medications for more than six months (98.5%) and were on multiple antihypertensive
22 medications (79.4%). The majority of pharmacies were either chains or banners (89.9%).
23 Information on the distribution of pharmacies among the various chains and banners was
24 suppressed as it was anticipated that this information could unblind the identity of one or
25 more chains. Pharmacists dispensed an average 18.4 prescriptions per pharmacist per hour,
26 billing for 0.18 professional services for every 100 prescriptions dispensed. Most
27 pharmacies did not have any billings for pharmacists' services for antihypertensive non-
28 adherence, leading to an average of less than 1 billing over the 8 months (0.35 +/- 1.8).
29 Pharmacies had more than 1 pharmacist billing for 15.5% of their open hours and an
30 average of 9 different pharmacists worked in each pharmacy over the 8-month study
31 period.

Table 1. Characteristics of prescriptions dispensed, patients and their pharmacies.

Level of Characteristic	N (%)
Dispensed Prescription Level (n=8,655,348)	
Time of Day Dispensed	
Morning (>8-noon)	4,273,894 (49.4%)
Afternoon (>noon-16)	3,141,594 (36.3%)
Evening (>16-20)	1,065,102 (12.3%)
Overnight (>20-8)	174,758 (2.0%)
Number of Days of Medication Supplied	
<10 days	180,524 (2.1%)
10-32 days	8,241,026 (95.2%)
>32 days	233,798 (2.7%)
Type of Antihypertensive Medication Dispensed	
ARB	2,004,146 (23.2%)
Beta Blockers	1,853,835 (21.4%)
Calcium Channel Blockers	1,828,320 (21.1%)
ACE Inhibitors	1,391,246 (16.1%)
Thiazide diuretics	672,041 (7.8%)
Loop diuretics	368,466 (4.3%)
Diuretic combinations	184,101 (2.1%)
Other diuretics	145,051 (1.7%)
Alpha Agonists	74,278 (0.9%)
Alpha Blockers	68,367 (0.8%)
Potassium sparing diuretics	56,693 (0.7%)
Vasodilators	8,804 (0.1%)
Cost	
	Mean (SD)
Total cost of the prescription	\$28.36(\$17.48)
Cost to the patient of the prescription	\$8.55 (\$8.56)
Pharmacy Client Level[†] (n-760,700)	
Sex	
Female	4,858,885 (56.1%)
Male	3,800,463 (43.9%)
Age	
< 65 years	2,055,518 (23.8%)
65-69	1,595,657 (18.4%)
70-79	3,106,633 (35.9%)
>79	1,897,540 (21.9%)
Income	
Low (<\$31,700)	647,805 (7.5%)
Middle (\$31,700-\$80,000)	7,096,041 (82.0%)
High (>\$80,000)	911,502 (11.5%)
Antihypertensive Therapy	
New Therapy (< 6 months)	126,812 (1.5%)
Chronic Therapy (≥6 months)	8,528,536 (98.5%)
Single Antihypertensive Drug	1,782,490 (20.6%)
Multiple Antihypertensive Drugs	6,872,858 (79.4%)
Continuity of Care	
Single Pharmacy Dispensed antihypertensives over previous 6 months	7,440,825 (86.0%)
Multiple Pharmacies Dispensed antihypertensives over previous 6 months	1,214,523 (14.0%)
Single Prescriber of antihypertensives over previous 6 months	6,412,928 (74.1%)
Multiple Prescribers of antihypertensives over previous 6 months	2,242,420 (25.9%)

[†]Considering all patients who received eligible dispensings over 8 months' follow-up.

Community Pharmacy Level (n=1742)

Pharmacy Type	N (%)
Chain/banner	1,566 (89.9%)
Independent	176 (10.1%)
Pharmacy Location	
Neighborhood pharmacy	457 (26.2%)
Shopping Centre	281 (16.1%)
Medical Clinic	283 (16.2%)
Other	53 (3.1%)
Missing	668 (38.3%)
Professional Services Provided over 8 months	
Total pharmacist services billed per 100 prescriptions	
<0.12	544 (31.2%)
0.13-0.2	588 (33.8%)
>0.2	610 (35.0%)
Recommendations for non-adherence with antihypertensive medications	
0	1485 (85.3%)
1-5	237 (13.6%)
6-10	17 (0.1%)
>10	3 (0.2%)
Workload	Mean (SD)
Total prescriptions dispensed over 8 months	53,308 (36,749)
Total days open over 8 months	214 (42.8)
Hours open per day	14.4 (3.3)
Pharmacists working/day	1.8 (0.7)
Pharmacists working/hour	1.1 (0.1)
Prescriptions dispensed/day	244.6(156.6)
Prescriptions dispensed/hour	20.5 (13.0)
Prescriptions dispensed/pharmacist/hour	18.4 (10.5)
Pharmacist Overlap Index [®] (average percent of open hours with >1 pharmacist)	15.48 (9.14)
Within Pharmacy Continuity of Care	
Distinct Pharmacists employed over 8 months	9.0 (6.7)
Within Pharmacy COC Index [®] (weighted # of pharmacist in 8 months/# pharmacists per day)	17.3 (20.1)

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Non-adherence: Over eight months, 9.2% of all dispensings of antihypertensive medications were provided to non-adherent patients (795,031 of 8,655,348 dispensings) (Table 2). These dispensings were provided to 760,700 distinct patients, 31% of whom were non-adherent to their antihypertensive medication at least once over the study period (235,885 of 760,700). The highest incidence of non-adherence occurred with dispensings of alpha agonists (21.49%) and for dispensings provided in the evening (12.03%). The incidence of non-adherence was also higher if the patient was <65 years old (12.41%), new to therapy (18.29%) or on a single antihypertensive medication (12.47%).

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3 When adjusted for the three levels of variables and clustering, among the dispensing
4 characteristics measured the odds of non-adherence were significantly greater for
5 medications supplied for less than 10 days and for medications dispensed at times other
6 than morning (Table 2). Relative to beta-blockers, the odds of dispensing an ARB or
7 angiotensin-converting enzyme inhibitor (ACE) to a non-adherent patient were decreased by
8 17% (OR: 0.83; 95%CI: 0.82-0.84).
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15 Older, female patients were less likely to be non-adherent at the time of receiving an
16 antihypertensive medication, with a 41% decrease in the odds for patients ≥ 80 years relative
17 to patients < 65 years old (OR: 0.59; 95%CI: 0.58-0.60). Patients newly started on their
18 antihypertensive medication within the past six months experienced a 27% increase in odds
19 of non-adherence at the time of dispensing. Patients with decreased continuity of care
20 were also more likely to be non-adherent at the time of dispensing, with the odds of non-
21 adherence increased by 10% if the patient had used multiple pharmacies and 16% if she/he
22 had used multiple physicians for their antihypertensive medications over the past 6 months.
23 The impact of cost of the medication to the patient was modified by the patient's income
24 and, in contrast to the unadjusted incidence of non-adherence where increasing out-of-
25 pocket costs lead to higher non-adherence, when adjusted for all three levels of
26 characteristics, higher out-of-pocket costs resulted in a decreased odds of non-adherence
27 within all of low, middle and high income patients. High income patients with low out-of-
28 pocket medication costs were 15% more likely to be non-adherent at the time of dispensing
29 as compared to low income patients with low medication costs (OR: 1.15, 95%CI: 1.12-1.18).
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44 At the pharmacy level, most striking was the decrease in odds of non-adherence associated
45 with increased pharmacists' billings for professional services. The odds of non-adherence
46 decreased by 40% per 1 increase in the number of professional services billed per 100
47 prescriptions dispensed (OR: 0.60; 95%CI: 0.57-0.62). Neither the number of billings for
48 pharmacists' services targeted at managing non-adherence with antihypertensive
49 medications nor the percentage of open-hours with overlapping pharmacists influenced the
50 odds. However, pharmacist overlap was highly correlated with dispensing volume (Pearson
51 correlation coefficient 0.51, $p < .0001$). Higher workload decreased the odds of non-
52 adherence by 4% per 10 prescription increase in number of prescriptions dispensed per
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pharmacist per hour (OR: 0.96; 95%CI: 0.96-0.97). Higher scores on the Within-Pharmacy Continuity Care Index[®], indicating a decreased chance of patients being cared for by the same pharmacist, slightly but significantly increased the odds of non-adherence (OR: 1.003; 95%CI: 1.001-1.005). There was significant variability in the odds of non-adherence among pharmacies belonging to various banners or chains and the odds of non-adherence were significantly higher for chains/banners relative to independent pharmacies (OR: 1.02; 95%CI: 1.00-1.05).

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Table 2. Dispensed prescription, patient and pharmacy characteristics associated with risk of non-adherence with antihypertensive medications.

	N	Non-Adherence (%)	Multivariate Alternating Logistic Regression Odds Ratio	95% Confidence Interval	P-Value
Dispensed Prescription Level					
All dispensings	8,655,348	9.19			
Time of Day Dispensed					
Morning (8-noon)	4,273,894	7.89	Reference		
Afternoon (noon-16)	3,141,594	9.86	1.03	1.03-1.04	<.0001
Evening (16-20)	1,065,102	12.03	1.06	1.05-1.06	<.0001
Overnight (20-8)	174,758	11.37	1.03	1.02-1.05	<.0001
Number of Days Supplied					
10-32 days	8,241,026	9.10	Reference		
<10 days	180,524	8.12	1.16	1.12-1.19	<.0001
>32 days	233,798	13.13	0.84	0.82-0.86	<.0001
Type of Antihypertensive					
Beta Blockers	1,853,835	9.16	Reference		
ARB	2,004,146	8.63	0.83	0.82-0.84	<.0001
Calcium Channel Blockers	1,828,320	8.93	0.98	0.97-0.99	<.0001
ACE Inhibitors	1,391,246	8.13	0.83	0.83-0.84	<.0001
Thiazide diuretics	672,041	9.51	0.98	0.97-0.99	<.0001
Loop diuretics	368,466	12.70	1.50	1.48-1.52	<.0001
Diuretic combinations	184,191	12.23	1.19	1.17-1.22	<.0001
Other diuretics	145,051	8.28	0.89	0.87-0.91	<.0001
Alpha Agonists	74,278	21.49	2.71	2.63-2.79	<.0001
Alpha Blockers	68,367	8.72	1.12	1.08-1.15	<.0001
Potassium sparing diuretics	56,693	13.44	1.28	1.24-1.32	<.0001
Vasodilators	8,804	15.19	1.87	1.70-2.05	<.0001
Patient Characteristics					
Sex					
Male	3,800,463	9.69	Reference		
Female	4,854,885	8.79	0.90	0.90-0.92	<.0001
Age					
<65	2,055,518	12.41	Reference		
65-69	1,595,657	8.70	0.66	0.64-0.66	<.0001
70-79	3,106,633	8.02	0.60	0.59-0.61	<.0001
≥80	1,897,540	8.00	0.59	0.48-0.60	<.0001

Patient Income*patient cost interaction

Low income & low cost	301,826	8.67	Reference		
Low income & middle cost	184,565	9.59	0.93	0.91-0.95	<.0001
Low income & high cost	161,414	9.89	0.88	0.87-0.90	<.0001
Middle income & low cost	2,286,651	8.47	0.99	0.97-1.01	0.241
Middle income & middle cost	2,459,139	9.28	0.97	0.95-0.99	0.003
Middle income & high cost	2,350,251	9.27	0.95	0.93-0.97	<.0001
High income & low cost	210,972	10.31	1.15	1.12-1.18	<.0001
High income & middle cost	339,456	10.53	1.07	1.04-1.09	<.0001
High income & high cost	361,074	10.50	1.01	0.99-1.04	0.336

Antihypertensive Therapy

Chronic Therapy (≥6 months)	8,528,536	9.05	Reference		
New Therapy (< 6 months)	126,812	18.29	1.27	1.25-1.30	<.0001
Multiple Antihypertensive Drugs	6,872,858	8.33	Reference		
Single Antihypertensive Drug	1,782,490	12.47	1.04	1.04-1.05	<.0001

Continuity of Care

Single Dispensing Pharmacy	7,440,825	8.86	Reference		
Multiple Dispensing Pharmacies	1,214,523	11.16	1.10	1.08-1.11	<.0001
Single Prescriber	6,412,928	8.65	Reference		
Multiple Prescribers	2,242,420	10.72	1.16	1.15-1.17	<.0001

Pharmacy Characteristics**Pharmacy Type**

Independent	444,956	9.69	Reference		
Chain/banner	8,210,392	9.16	1.02	1.00-1.05	0.034

Anonymized Pharmacy Chain/Banner/Independent

UUU	2,495,701	9.68	Reference		
VVV	1,071,922	8.01	0.84	0.80-0.83	<.0001
TTT	572,422	8.83	0.91	0.89-0.93	<.0001
SSS	840,234	10.46	1.04	1.02-1.06	<.0001
HHH	657,249	8.12	0.84	0.83-0.86	<.0001
EEE	1,104,215	9.06	0.94	0.93-0.96	<.0001
Other	1,913,605	9.18	0.94	0.92-0.95	<.0001

Pharmacy Location

Shopping Centre	1,912,484	9.39	Reference		
Neighborhood pharmacy	2,704,536	9.17	1.01	1.00-1.02	0.139
Medical Clinic	1,300,939	8.41	0.96	0.95-0.98	<.0001
Medical Offices	73,561	7.99	0.98	0.93-1.03	0.461
Other	180,417	8.34	0.96	0.93-1.00	0.047
Missing	2,483,411	9.54	1.01	1.00-1.03	0.081

Workload

Prescriptions/pharmacist/hour					
<12	947,400	11.0			
12-<22	2,755,796	31.8			
22-<34	3,668,952	42.4			
≥34	1,283,200	14.8			
Odds per 10 increase			0.96	0.96-0.97	<.0001

Professional Services

Total Pharmacist Services					
<0.11	2,519,258	10.13			
0.11-0.22	3,118,481	9.05			
≥0.22	3,017,609	8.54			
Odds per 1/100 Rx increase			0.60	0.57-0.62	<.0001

HTN compliance services					
0	6,936,363	9.23			
1-5	1,553,820	8.95			
6-10	145,393	9.80			
≥10	19,772	8.74			
Odds per 1/8month increase			1.00	1.00-1.00	0.083
Pharmacist Overlap Index					
<10%	1,242,727	14.4			
10%-<16%	2,780,707	32.1			
16%-<22%	1,532,245	17.7			
≥22%	3,099,669	35.8			
Odds per 1% increase			0.95	0.90-1.00	0.068
Within Pharmacy Continuity of Care Index					
1-5	1282931	8.75			
>5-10	2554425	8.93			
>10-20	2331227	9.37			
>20	2486765	9.50			
Odds per 10 increase			1.003	1.001-1.005	0.012

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DISCUSSION

Statement of Principal Findings: This study is the first to document that linked community pharmacy claims and health administrative data can be used to directly measure a range of pharmacy-level characteristics. It is also the first study that investigated the association between the provision of pharmacists' professional services and better within-pharmacy continuity of care with adherence, showing that each of these pharmacists' practices are associated with a decreased odds of dispensing antihypertensive medications to non-adherent patients.

Strengths and Limitations: The main strengths of this study are the direct measurement of pharmacy characteristics from administrative claims data, the population-based and large sample of dispensings and the use of an objective, validated quality of care measure of adherence (10, 11, 13). As significant variability in results has been reported from studies using differing measures of adherence, use of standardized methods for measuring adherence is particularly important in determining predictors of non-adherence (10). Limitations include that we evaluated performance on only one quality of medication-use measure. In addition, administrative claims data are limited in the extent to which they can measure whether pharmacists provided a service but did not bill for it (37-39).

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5 **Interpretation:** Our overall rate of non-adherence is consistent with previous reports that
6 utilize community pharmacy administrative claims data and similar measures of non-
7 adherence (11, 40). Calculation of pharmacy-level characteristics required multiple steps
8 and complex analysis and for characteristics that had previously been estimated via
9 survey/self-report, such as prescriptions per pharmacist per hour, our results were higher
10 (18.4 +/- 10.5 our study vs 14.1 +/- 4.9)(17). This is consistent with national reports
11 documenting higher total prescriptions dispensed in Quebec relative to other provinces
12 (32). Results of the drug and patient characteristics affecting non-adherence agree with
13 previous research documenting that there is higher adherence to antihypertensive
14 medications with fewer side effects, such as ARB and ACE, and that increasing age is
15 associated with increased compliance to antihypertensive medications (27, 41). However,
16 given the variability in results of non-adherence rates and predictors from studies that used
17 differing measurement methodologies, our results should be compared with studies using
18 pharmacy administrative claims data and standardized methods for measuring non-
19 adherence (10). To our knowledge, this literature is limited to the study that used an
20 ecological approach to measuring pharmacy and patient characteristics (20). Our results
21 differ from this ecological study for the impact of patient sex and income, and independent
22 pharmacy ownership on the odds of dispensing to a non-adherent patient. Our results
23 demonstrate the impact of measuring these characteristics directly for each dispensing and
24 adjusting for clustering. When only considering whether the pharmacy is independent vs a
25 chain/banner, the incidence of non-adherence is higher in independent pharmacies.
26 However, when adjusted for clustering and the remaining dispensing, patient and pharmacy
27 characteristics, this association reverses with chain / banner pharmacies demonstrating a
28 greater odds of non-adherence. The same is true for the impact of patient costs relative to
29 income. Without adjustment, the incidence of non-adherence increases as cost to the
30 patient increases. However, when adjusted for all characteristics, this relationship reverses.
31 As higher patient cost typically occurs with second-line treatments for hypertension, this
32 may represent patients who required switches or additions to their therapies due to side or
33 insufficient effects from their initial treatments, which has been shown to increase
34 compliance (42).
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3 The most striking results of our analysis are the reductions in the odds of non-adherence
4 with both an increasing rate of provision of pharmacists' professional services and improved
5 within-pharmacy continuity of care. In Quebec, pharmacists can bill for a number of
6 professional services and it is hypothesized that the relationship between the rate of
7 provision of these services and lower non-adherence indicates that improved quality of care
8 is provided at pharmacies where pharmacists prioritize their provision of care and
9 professional services vs involvement in technical distributive functions (43, 44). The
10 relationship between improved within-pharmacy continuity of care and decreased odds of
11 non-adherence supports such a hypothesis as patients can more easily develop trusting
12 relationships with their pharmacist when continuity of care is improved (45). Our findings
13 that increased workload is associated with lower odds of non-adherence would not appear
14 to support that increased workload challenges pharmacists' provision of quality care.
15 However, we had removed very high volume pharmacies so we did not see the previously
16 reported results of lower quality of care in pharmacies with both very low and very high
17 dispensing volumes (15). The strong positive correlation between workload and
18 pharmacist-overlap suggests that pharmacists are not being scheduled to provide
19 professional services but to enable increased number of prescriptions to be processed. As
20 both culture and workflow are determined predominantly by the pharmacist owner, greater
21 freedom to emphasize professional pharmacists' practice by owners of independent
22 pharmacies could account for their lower odds of non-adherence relative to chains /
23 banners (46). Similarly, differences in practice philosophy among the chains / banners could
24 account for the variability in performance among the different banners and chains.
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44 **Implications and Future Research:**

45 Our results indicate that emphasis on the caring role of pharmacists both during dispensing
46 and via provision of professional services appears key to improving patients' use of
47 medications. Results also support policies that encourage continuity of care and that focus
48 adherence strategies on younger males, new to treatment and taking single
49 antihypertensive therapy. Pharmacy administrative claims data can be used to directly
50 measure dispensing, patient and pharmacy characteristics, thereby increasing the range and
51 accuracy of pharmacy-level characteristics evaluated. Evaluation of additional measures
52 both of non-adherence and dispensing of contraindicated medications is needed to
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determine if there is consistency across the measures of pharmacy-level characteristics identified in our study as being related to pharmacists' performance.

For peer review only

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20 guided the design of the project, provided key guidance to the statistical analysis, provided
21
22 critical review of the manuscript.

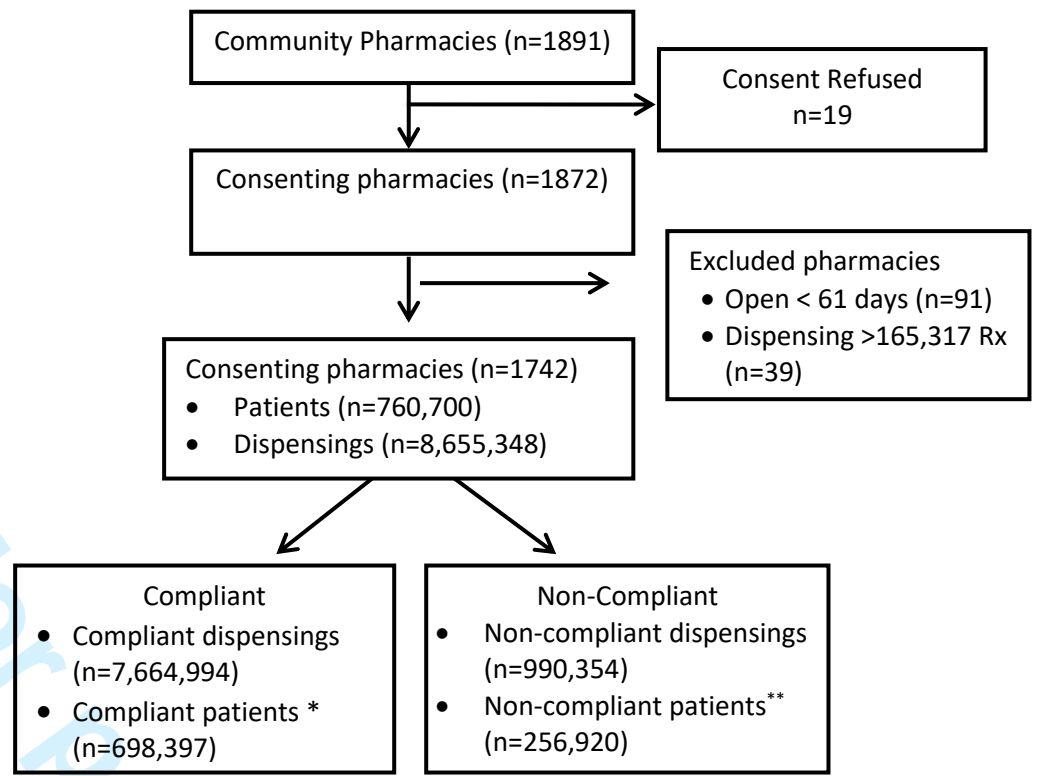
23
24 **Competing Interests:** We have read and understood the BMJ policy on declaration of
25
26 interests, and have completed the ICMJE uniform disclosure form and declare the following
27
28 interests: Dr. Winslade reports grants from Green Shield Foundation Canada, personal fees
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31
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Eligibility



* Patients with at least one compliant dispensing.

** Patients with at least one non-compliant dispensing.

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6
		(b) For matched studies, give matching criteria and number of exposed and unexposed	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7-8
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	9
Bias	9	Describe any efforts to address potential sources of bias	9
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9-10
		(b) Describe any methods used to examine subgroups and interactions	9-10
		(c) Explain how missing data were addressed	n/a
		(d) If applicable, explain how loss to follow-up was addressed	n/a
		(e) Describe any sensitivity analyses	n/a
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	10 and figure 1
		(c) Consider use of a flow diagram	Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10 and Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
		(c) Summarise follow-up time (eg, average and total amount)	10
Outcome data	15*	Report numbers of outcome events or summary measures over time	12-14 and Table 2
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Table 2
		(b) Report category boundaries when continuous variables were categorized	Tables 1 and 2
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	17
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	17
Generalisability	21	Discuss the generalisability (external validity) of the study results	17-19
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	24

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Determinants of Community Pharmacists' Quality of Care: A Population-Based Cohort Study Using Pharmacy Administrative Claims Data

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1 **Determinants of Community Pharmacists' Quality of Care: A Population-Based Cohort**

2 **Study Using Pharmacy Administrative Claims Data**

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1
2
3 **Abstract**

4 **Objective:** To determine if a prototype pharmacists' services evaluation program that uses
5 linked community pharmacy claims and health administrative data to measure pharmacists'
6 performance can be used to identify characteristics of pharmacies providing higher quality
7 of care.
8

9 **Design:** Population-based cohort study using community pharmacy claims from November
10 1, 2009 to June 30, 2010.

11 **Setting:** All community pharmacies in Quebec, Canada.

12 **Participants:** 1742 pharmacies dispensing 8,655,348 antihypertensive prescriptions to
13 760,700 patients.

14 **Primary outcome measure:** Patient adherence to antihypertensive medications.

15 **Predictors:** Pharmacy-level: dispensing workload, volume of pharmacist-provided
16 professional services (e.g. refusals to dispense, pharmacotherapy recommendations),
17 pharmacy location, banner/chain, pharmacist overlap, within-pharmacy continuity of care.
18 Patient-level: sex, age, income, patient prescription cost, new/chronic therapy,
19 single/multiple antihypertensive medications, single/multiple prescribers and
20 single/multiple dispensing pharmacies. Dispensing level: prescription duration, time of day
21 dispensed, antihypertensive class. Multivariate alternating logistic regression estimated
22 predictors of the primary outcome, accounting for patient and pharmacy clustering.

23 **Results:** 9.2% of dispensings of antihypertensive medications were provided to non-
24 adherent patients. Male sex, decreasing age, new treatment, multiple prescribers and
25 multiple dispensing pharmacies were risk factors for increased non-adherence. Pharmacies
26 who provided more professional services were less likely to dispense to non-adherent
27 hypertensive patients (OR: 0.60; 95% CI: 0.57-0.62) as were those with better scores on the
28 Within-Pharmacy Continuity of Care Index[®]. Neither increased pharmacists' services for
29 improving antihypertensive adherence per se nor increased pharmacist overlap impacted
30 the odds of non-adherence. However, pharmacist overlap was strongly correlated with
31 dispensing workload. There was significant unexplained variability among pharmacies
32 belonging to different banners and chains.

33 **Conclusions:** Pharmacy administrative claims data can be used to calculate pharmacy-level
34 characteristics associated with improved quality of care. This study supports the importance
35 of pharmacist's professional services and continuity of pharmacist's care.

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4 2 **Strengths and limitations of this study:**
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6 3

- 7 4 1. The trial directly measured community pharmacy characteristics using pharmacy
8 claims and health administrative data.
9 5
10 6 2. The primary quality of care outcome used a standardized method for measuring
11 patient adherence to medications.
12 7
13 8 3. The trial was population-based and included a large sample of patients from
14 community pharmacies in Quebec.
15 9
16 10 4. Performance on only one quality of medication-use indicator was evaluated and
17 results may not apply to additional measures of pharmacists' quality of care.
18 11
19 12 5. Administrative data are limited in the extent to which they can measure services
20 provided by pharmacists that were not billed.
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1 INTRODUCTION

2 Background:

3 Misuse of prescription medications, ranging from inappropriate prescribing to patient non-
4 adherence, remains a significant and costly challenge to health systems (1). The
5 medication-related expertise and accessibility of community pharmacists has led policy-
6 makers to re-evaluate the role community pharmacists play in managing medication misuse
7 (2, 3). Emphasis has been placed on the care provided by pharmacists both as part of
8 medication-dispensing and via expanded professional services that target specific
9 medication-misuse problems (4, 5). Although such care can improve patient's medication
10 use, community pharmacists struggle to incorporate expanded professional services into
11 their daily practice (6, 7). As a result, payers continue to seek evidence of the real-world
12 impact of community pharmacists' services on medication misuse (4, 8, 9), and quality
13 indicators of unsafe or interacting medications and management of non-adherent patients
14 have been established as standardized outcome measures of pharmacists' quality of care
15 (10-12). The services pharmacists provide to achieve high performance on these quality
16 indicators can vary across jurisdictions (5). Developments in the use of community
17 pharmacy administrative claims data have enabled the measurement of both pharmacy-
18 level performance on these standardized quality indicators and the impact of pharmacists'
19 professional services on patient outcomes (13, 14).

20
21 To date there has been no precise methods of determining pharmacy-level characteristics
22 that consistently support high levels of pharmacists' performance and that could inform
23 directions for pharmacy policy. Pharmacy characteristics such as workload, continuity of
24 care, culture, workflow and overlap of pharmacists have been evaluated through self-report
25 and with varying definitions of quality performance (15-17). The few studies that used
26 standardized quality measures employed a potentially biased ecologic approach to estimate
27 pharmacy characteristics by determining a population-based quality metric in the
28 geographical area and then assigning these population-based results to all pharmacies
29 within that area (18-21). More robust methodologies are needed to measure the
30 characteristics of the patient, pharmacy and workload situation when the patient receives
31 the medication (22).

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3 1 One potentially powerful option is to use pharmacy administrative claims data to measure
4 2 salient pharmacy characteristics. To date use of such data has been limited to identifying
5 3 whether the pharmacy is a chain or independent, and the volume of dispensing (20, 23).
6 4 This is primarily due to challenges in using the large volume of pharmacy administrative data
7 5 to create accurate measures, as well as challenges linking pharmacy claims data to other
8 6 health administrative databases to obtain information on patient and pharmacy
9 7 characteristics. Increasingly these linkages have been enabled through interest by payers in
10 8 monitoring performance and researchers in conducting population-based studies (24, 25).
11 9 We developed a framework for pharmacists' services evaluation that uses linked pharmacy
12 10 administrative claims and health administrative data to measure and feed back pharmacy-
13 11 level performance on quality indicators, followed by diagnostic on-site assessments of lower
14 12 performing pharmacies (26). The objective of this study was to determine if the linked
15 13 administrative health data used within this prototype pharmacists' services evaluation
16 14 program could be used to identify characteristics of pharmacies providing higher quality of
17 15 care.
18 16

17 **METHODS**

18 **Setting:** This study was conducted in Quebec, with a population of 8 million patients of
19 20 whom approximately 3.5 million receive government support for payment of their
21 22 medications via the Régie de l'Assurance Maladie du Québec (RAMQ). Since the late 1970s
23 24 Quebec pharmacists have been authorized to bill RAMQ for professional services such as
25 26 refusals to dispense medications and written pharmaceutical opinions for management of
27 28 specific medication-use problems (27, 28). RAMQ requires the date, hour, drug
29 30 identification number, therapeutic drug class, dosage form, strength, quantity, duration of
31 32 treatment, specific type and reason for the pharmacist service (e.g. previous adverse effect
or management of under-use of antihypertensive medications), and costs to RAMQ, the
patient and for the overall prescription. All data are coded and can be linked to other health
administrative data using unique encrypted identifiers for patients, prescribers, pharmacists
and pharmacies. For patients, age, sex, postal code and average household income are
recorded. For pharmacies, the location (e.g. shopping centre), and type of pharmacy
(independent or not) are maintained, along with the specific chain or banner to which the
pharmacy belongs.

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2 **Study Design:** A population-based prospective cohort of patients was assembled for whom
3 Quebec pharmacists billed for dispensings of antihypertensive medications between
4 November 1, 2009 to June 30, 2010. A dispensing was defined as the preparation and
5 provision of medications to a patient pursuant to a prescription, regardless of quantity of
6 medication dispensed. Each time there was a dispensing for an antihypertensive medication
7 we determined whether the dispensing was to a patient who was adherent or not over the
8 90 days prior to the dispensing. Characteristics of each dispensing, the patient and the
9 pharmacy were measured and a multi-level model used to identify predictors of dispensing
10 to a non-adherent patient.

11
12 **Participants:** All 1891 pharmacies in Quebec were included unless they had opted out of
13 participating in a previously reported randomized controlled trial, were open < 61 days, or
14 had dispensed >165,317 prescriptions over the eight-month study period, which
15 represented outliers with Z-scores >2.5 (14, 29). Pharmacies with shorter open-days did not
16 have sufficient data for reliable calculation of characteristics and very high dispensing
17 volumes were not representative of traditional community pharmacy practice in Quebec.
18 We had sufficient sample size to have 90% power to detect a difference in antihypertensive
19 adherence of 5% for most potential predictors.

20
21 **Primary Outcome:** The primary outcome was whether a dispensing of an antihypertensive
22 medication was provided to an adherent or non-adherent patient. Antihypertensive
23 adherence was selected for this initial evaluation as antihypertensive medications are
24 widely used and non-adherence is common (30). Our previous research had also
25 documented that almost all community pharmacies in Quebec (99.7%) dispense
26 antihypertensive medications, thereby allowing a population-based cohort for the current
27 study (12).

28
29 For each antihypertensive dispensing, we created a record of all dispensings of the same
30 antihypertensive medication to the same patient from all pharmacies in Quebec over the
31 previous 180 days. 'Same medication' was defined as the same drug in the same dosage
32 format, regardless of strength. Switches to a new medication in the same therapeutic class

1 were treated as new therapies. Dispensings of antihypertensive medications were excluded
2 if the patient had not been treated with the same medication for at least 90 days or had not
3 had continuous insurance coverage over the previous 180 days. As dispensing pharmacists
4 are responsible for obtaining information on medications supplied from other pharmacies
5 when determining adherence, each eligible dispensing was attributed to the dispensing
6 pharmacy. We calculated the proportion of previous 90 days covered (PDC) for the same
7 medication using the previous dispensing dates and number of days of supply provided at
8 each dispensing and adjusting for early refills. If the PDC over the 90 days prior to the
9 dispensing was less than 72 days (80%), then the dispensing was to a non-adherent patient
10 (31).

11
12 **Potential Predictors: Dispensing-level characteristics** included the type of antihypertensive
13 medication dispensed, the total prescription cost and the cost to the patient as these have
14 been demonstrated to affect patient adherence (32). Although in Quebec the standard
15 supply of medications is for 30 days, patients at risk for non-adherence can receive weekly
16 medication supply and patients stabilized on chronic therapies can receive 90 day supplies.
17 Adherence was, therefore, expected to be worse for patients receiving less than 30 days'
18 supply and better for patients receiving more than 30 days' supply.

19
20 **Potential Predictors: Patient-level characteristics** were those known to affect adherence
21 such as sex, age and income, with older males and patients with higher income anticipated
22 to be more compliant (20, 33). As our previous work indicated that patients within their
23 first six months of antihypertensive therapy are less compliant as are those on single drug
24 therapy or receiving their antihypertensive medications from more than one physician or
25 pharmacists, these variables were also included (12, 34-36).

26
27 **Potential Predictors: Pharmacy-level characteristics** included workload as higher numbers
28 of prescriptions dispensed has been identified as a factor limiting community pharmacists'
29 ability to provide professional services (37) and predisposing to dispensing errors (15, 17,
30 38). Workload has been reported variously as prescriptions dispensed per year, which can
31 readily be determined from administrative claims data, to prescriptions per pharmacist per
32 hour, which has only been reported using self-reported estimates (17). We received from

1 RAMQ the total number of billings and open days for each pharmacy over the 8-month
2 study period and used the administrative claims data to calculate for each pharmacy the
3 average number of: open hours per day, pharmacists billing per hour, prescriptions
4 dispensed per hour, and prescriptions dispensed per pharmacist per hour. Related to
5 workload, as medication dispensing errors occur more frequently when only one pharmacist
6 is working, there have been calls for mandatory overlapping of pharmacists' schedules to
7 allow one pharmacist to focus uninterruptedly on prescription verification while a second
8 pharmacist provides professional services (16, 39). To measure pharmacist-overlap for each
9 pharmacy, we created a matrix of the number of pharmacists billing each open hour over
10 each open day during the 8-month study period. From this we calculated the average
11 percent of each pharmacy's open hours where more than one pharmacist was billing
12 (Pharmacist Overlap Index[®]). Finally, although continuity of care measuring whether
13 patients received all antihypertensive medications from a single pharmacy was included as a
14 patient-level variable, based on evidence from other health professions that care from the
15 same health care professional is important in creating trusting, professional relationships,
16 we determined the likelihood that a patient would be cared for by the same pharmacist on
17 multiple visits (Within-pharmacy Continuity of Care Index[®])(34). We calculated, for each
18 pharmacy, the total number of pharmacists working over the 8-month study period
19 (weighted to emphasize differences in high and low numbers of pharmacists) and divided
20 this by the average number of pharmacists working per day at that pharmacy. The lowest
21 value of the index is 1, representing the best within-pharmacy continuity of care when there
22 is only one single pharmacist working in the pharmacy over the 8 months. Increasing indices
23 indicate a lower chance that the patient would be cared for by the same pharmacist at
24 multiple visits. To determine the culture within the pharmacy we calculated the total
25 number of pharmacists' professional services billed per 100 prescriptions dispensed over
26 the 8-month period, including refusals to dispense, pharmaceutical opinions, transmission
27 of medication profiles and emergency contraception. We also counted the number of
28 professional services billed specifically for management of under-use of antihypertensive
29 medications.

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3 1 **Data sources/ measurement:** Baseline community pharmacy claims data for all dispensings
4 of antihypertensive medications and pharmacist services were received from RAMQ for all
5 Quebec community pharmacies for the period of October 1, 2008 to June 30, 2010 (14).
6 Patient, pharmacy, pharmacy chain/banner group, pharmacist and prescriber identifiers
7 were anonymized by RAMQ prior to data transfer. Data for the 8-month period of
8 November 1, 2009 to June 30, 2010 were used to calculate dispensing, patient and
9 pharmacy-level characteristics and estimate determinants of non-adherence.

10
11 9 **Statistical Methods:** Descriptive statistics summarized the characteristics of the
12 dispensings, patients and pharmacies including the incidence of dispensing to non-adherent
13 patients by type of antihypertensive, patient sex and age. Multivariate alternating logistic
14 regression (ALR) estimated the association among the dispensing, patient and pharmacy-
15 level characteristics and non-adherence. ALR allows analysis of dichotomous outcomes
16 when observations have more than one level of clustering (40). For our results, ALR first
17 measured the extent of clustering of non-adherence among multiple dispensings within the
18 same patient and then for multiple patients receiving their medications from the same
19 pharmacy. All analyses were completed using SAS, version 9.4 (SAS Institute, Cary, North
20 Carolina), with ALR using PROC GENMOD.

21
22 20 Where multiple measures could be calculated to reflect a single construct, results for each
23 measure were first compared with previously reported estimates (if available) to test the
24 accuracy of the calculations. Next each measure was tested individually for association with
25 non-adherence. A single measure of each construct was selected for inclusion based on the
26 accuracy of the calculation, the strength of evidence supporting its use and the strength of
27 association. Collinearity was evaluated for all variables considered for the final analysis
28 using the variance inflation factor. When collinearity was present, variables that were
29 calculated as interim steps were considered for exclusion and the variables retained were
30 those most directly measuring the constructs of interest. To account for interactions
31 between patient income and the cost of the medication to the patient, we divided both
32 variables into low, medium and high categories and created dummy variables for each of
33 the nine possible interactions, setting low income and low cost to the patient as the
34 reference (41).

1

2 **RESULTS**

3 **Study Participants:** 1872 pharmacies were enrolled in the study, after 19 (1%) opted out of
4 the previous trial (Figure 1, Consort diagram). Ninety-one pharmacies open for < 61 days
5 and 39 additional pharmacies dispensing >165,317 prescriptions over the 8-month period
6 were removed from the analysis. 8,655,348 dispensings of antihypertensive medications to
7 760,700 patients in 1742 pharmacies were evaluated.

8

9 **Population Characteristics:** Angiotensin-receptor blockers (ARB) were the most commonly
10 dispensed antihypertensive medications (23.2% of dispensings) with <1% of dispensings for
11 each of alpha agonists, alpha blockers, potassium sparing diuretics and vasodilators (Table
12 1). Most prescriptions were dispensed in the morning and were for an approximate one-
13 month duration. 74.1% of patients were prescribed their antihypertensive medications by a
14 single physician and 86.0% went to a single pharmacy for all their antihypertensive
15 medications over the previous six months. Most patients had been taking antihypertensive
16 medications for more than six months (98.5%) and were on multiple antihypertensive
17 medications (79.4%). The majority of pharmacies were either chains or banners (89.9%).
18 Pharmacists dispensed an average 18.4 prescriptions per pharmacist per hour, billing for
19 0.18 professional services for every 100 prescriptions dispensed. Most pharmacies did not
20 have any billings for pharmacists' services for antihypertensive non-adherence, leading to
21 an average of less than 1 billing over the 8 months (0.35 +/- 1.8). Pharmacies had more than
22 1 pharmacist billing for 15.5% of their open hours and an average of 9 different pharmacists
23 worked in each pharmacy over the 8-month study period.

Table 1. Characteristics of prescriptions dispensed, patients and their pharmacies.

Level of Characteristic	N (%)
Dispensed Prescription Level (n=8,655,348)	
Time of Day Dispensed	
Morning (>8-noon)	4,273,894 (49.4%)
Afternoon (>noon-16)	3,141,594 (36.3%)
Evening (>16-20)	1,065,102 (12.3%)
Overnight (>20-8)	174,758 (2.0%)
Number of Days of Medication Supplied	
<10 days	180,524 (2.1%)
10-32 days	8,241,026 (95.2%)
>32 days	233,798 (2.7%)
Type of Antihypertensive Medication Dispensed	
Angiotensin Receptor Blockers	2,004,146 (23.2%)
Beta Blockers	1,853,835 (21.4%)
Calcium Channel Blockers	1,828,320 (21.1%)
Angiotensin Converting Enzyme Inhibitors	1,391,246 (16.1%)
Thiazide diuretics	672,041 (7.8%)
Loop diuretics	368,466 (4.3%)
Diuretic combinations	184,101 (2.1%)
Other diuretics	145,051 (1.7%)
Alpha Agonists	74,278 (0.9%)
Alpha Blockers	68,367 (0.8%)
Potassium sparing diuretics	56,693 (0.7%)
Vasodilators	8,804 (0.1%)
Cost	
	Mean (SD)
Total cost of the prescription (Canadian \$)	\$28.36(\$17.48)
Cost to the patient of the prescription (Canadian \$)	\$8.55 (\$8.56)
Pharmacy Client Level[†] (n=760,700)	
Sex	
Female	4,858,885 (56.1%)
Male	3,800,463 (43.9%)
Age	
< 65 years	2,055,518 (23.8%)
65-69	1,595,657 (18.4%)
70-79	3,106,633 (35.9%)
>79	1,897,540 (21.9%)
Income	
Low (<\$31,700 Canadian)	647,805 (7.5%)
Middle (\$31,700-\$80,000 Canadian)	7,096,041 (82.0%)
High (>\$80,000 Canadian)	911,502 (11.5%)
Antihypertensive Therapy	
New Therapy (< 6 months)	126,812 (1.5%)
Chronic Therapy (≥6 months)	8,528,536 (98.5%)
Single Antihypertensive Drug	1,782,490 (20.6%)
Multiple Antihypertensive Drugs	6,872,858 (79.4%)
Continuity of Care	
Single Pharmacy Dispensed antihypertensives over previous 6 months	7,440,825 (86.0%)
Multiple Pharmacies Dispensed antihypertensives over previous 6 months	1,214,523 (14.0%)
Single Prescriber of antihypertensives over previous 6 months	6,412,928 (74.1%)
Multiple Prescribers of antihypertensives over previous 6 months	2,242,420 (25.9%)

[†]Considering all patients who received eligible dispensings over 8 months' follow-up.

Community Pharmacy Level (n=1742)

Pharmacy Type	N (%)
Chain/banner	1,566 (89.9%)
Independent	176 (10.1%)
Pharmacy Location	
Neighborhood pharmacy	457 (26.2%)
Shopping Centre	281 (16.1%)
Medical Clinic	283 (16.2%)
Other	53 (3.1%)
Missing	668 (38.3%)
Professional Services Provided over 8 months	
Total pharmacist services billed per 100 prescriptions	
<0.12	544 (31.2%)
0.13-0.2	588 (33.8%)
>0.2	610 (35.0%)
Recommendations for non-adherence with antihypertensive medications	
0	1485 (85.3%)
1-5	237 (13.6%)
6-10	17 (0.1%)
>10	3 (0.2%)
Workload	Mean (SD)
Total prescriptions dispensed over 8 months	53,308 (36,749)
Total days open over 8 months	214 (42.8)
Hours open per day	14.4 (3.3)
Pharmacists working/day	1.8 (0.7)
Pharmacists working/hour	1.1 (0.1)
Prescriptions dispensed/day	244.6(156.6)
Prescriptions dispensed/hour	20.5 (13.0)
Prescriptions dispensed/pharmacist/hour	18.4 (10.5)
Pharmacist Overlap Index [®] (average percent of open hours with >1 pharmacist)	15.48 (9.14)
Within Pharmacy Continuity of Care	
Distinct Pharmacists employed over 8 months	9.0 (6.7)
Within Pharmacy COC Index [®] (weighted # of pharmacist in 8 months/# pharmacists per day)	17.3 (20.1)

1

2 **Non-adherence:** Over eight months, 9.2% of all dispensings of antihypertensive
3 medications were provided to non-adherent patients (795,031 of 8,655,348 dispensings)
4 (Table 2). Antihypertensive dispensings were provided to 760,700 distinct patients, 31% of
5 whom were non-adherent to their antihypertensive medication at least once over the study
6 period (235,885 of 760,700). The highest incidence of non-adherence occurred with alpha
7 agonists (21.49%) and for dispensings provided in the evening (12.03%). The incidence of
8 non-adherence was also higher if the patient was <65 years old (12.41%), new to therapy
9 (18.29%) or on a single antihypertensive medication (12.47%).

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3 1 When adjusted for the three levels of variables and clustering, the odds of non-adherence
4 2 were significantly greater for medications supplied for less than 10 days and for medications
5 3 dispensed at times other than morning ($p<0.05$)(Table 2). Relative to beta-blockers, the
6 4 odds of dispensing an ARB or angiotensin-converting enzyme (ACE) inhibitor to a non-
7 5 adherent patient were decreased by 17% (OR: 0.83; 95%CI: 0.82-0.84).

6 Older, female patients were less likely to be non-adherent at the time of receiving an
7 antihypertensive medication, with a 41% decrease in the odds for patients ≥ 80 years relative
8 to patients < 65 years old (OR: 0.59; 95%CI: 0.58-0.60). Patients newly started on their
9 antihypertensive medication within the past six months experienced a 27% increase in odds
10 of non-adherence at the time of dispensing. Patients with decreased continuity of care
11 were also more likely to be non-adherent at the time of dispensing, with the odds of non-
12 adherence increased by 10% if the patient had used multiple pharmacies and 16% if she/he
13 had used multiple physicians for their antihypertensive medications over the past 6 months.
14 The impact of cost of the medication to the patient was modified by the patient's income
15 and, in contrast to the unadjusted incidence of non-adherence where increasing out-of-
16 pocket costs lead to higher non-adherence, when adjusted for all three levels of
17 characteristics, higher out-of-pocket costs resulted in a decreased odds of non-adherence
18 within all of low, middle and high income patients. High income patients with low out-of-
19 pocket medication costs were 15% more likely to be non-adherent at the time of dispensing
20 as compared to low income patients with low medication costs (OR: 1.15, 95%CI: 1.12-1.18).

21 At the pharmacy level, the odds of non-adherence decreased by 40% per 1 increase in the
22 number of professional services billed per 100 prescriptions dispensed (OR: 0.60; 95%CI:
23 0.57-0.62). Neither the number of billings for pharmacists' services targeted at managing
24 non-adherence with antihypertensive medications nor the percentage of open-hours with
25 overlapping pharmacists influenced non-adherence. However, pharmacist overlap was
26 highly correlated with dispensing volume (Pearson correlation coefficient 0.51, $p<.0001$).
27 Higher workload decreased the odds of non-adherence by 4% per 10 prescription increase
28 in number of prescriptions dispensed per pharmacist per hour (OR: 0.96; 95%CI: 0.96-0.97).
29 Higher scores on the Within-Pharmacy Continuity Care Index[®], indicating a decreased
30 chance of patients being cared for by the same pharmacist, slightly but significantly

1 increased the odds of non-adherence (OR: 1.003; 95%CI: 1.001-1.005). There was significant
 2 variability in the odds of non-adherence among pharmacies belonging to various banners or
 3 chains and the odds of non-adherence were significantly higher for chains/banners relative
 4 to independent pharmacies (OR: 1.02; 95%CI: 1.00-1.05).

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14 **Table 2. Dispensed prescription, patient and pharmacy characteristics associated with risk of non-adherence with**
 15 **antihypertensive medications.**

	N	Non-Adherence (%)	Multivariate Odds Ratio	Alternating 95% Confidence Interval	Logistic Regression P-Value
Dispensed Prescription Level					
All dispensings	8,655,348	9.19			
Time of Day Dispensed					
Morning (8-noon)	4,273,894	7.89	Reference		
Afternoon (noon-16)	3,141,594	9.86	1.03	1.03-1.04	<.0001
Evening (16-20)	1,065,102	12.03	1.06	1.05-1.06	<.0001
Overnight (20-8)	174,758	11.37	1.03	1.02-1.05	<.0001
Number of Days Supplied					
10-32 days	8,241,026	9.10	Reference		
<10 days	180,524	8.12	1.16	1.12-1.19	<.0001
>32 days	233,798	13.13	0.84	0.82-0.86	<.0001
Type of Antihypertensive					
Beta Blockers	1,853,835	9.16	Reference		
Angiotensin Receptor Blockers	2,004,146	8.63	0.83	0.82-0.84	<.0001
Calcium Channel Blockers	1,828,320	8.93	0.98	0.97-0.99	<.0001
ACE Inhibitors	1,391,246	8.13	0.83	0.83-0.84	<.0001
Thiazide diuretics	672,041	9.51	0.98	0.97-0.99	<.0001
Loop diuretics	368,466	12.70	1.50	1.48-1.52	<.0001
Diuretic combinations	184,191	12.23	1.19	1.17-1.22	<.0001
Other diuretics	145,051	8.28	0.89	0.87-0.91	<.0001
Alpha Agonists	74,278	21.49	2.71	2.63-2.79	<.0001
Alpha Blockers	68,367	8.72	1.12	1.08-1.15	<.0001
Potassium sparing diuretics	56,693	13.44	1.28	1.24-1.32	<.0001
Vasodilators	8,804	15.19	1.87	1.70-2.05	<.0001
Patient Characteristics					
Sex					
Male	3,800,463	9.69	Reference		
Female	4,854,885	8.79	0.90	0.90-0.92	<.0001
Age					
<65	2,055,518	12.41	Reference		
65-69	1,595,657	8.70	0.66	0.64-0.66	<.0001
70-79	3,106,633	8.02	0.60	0.59-0.61	<.0001
≥80	1,897,540	8.00	0.59	0.48-0.60	<.0001
Patient Income*patient cost interaction					
Low income & low cost	301,826	8.67	Reference		
Low income & middle cost	184,565	9.59	0.93	0.91-0.95	<.0001

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3	Low income & high cost	161,414	9.89	0.88	0.87-0.90	<.0001
4	Middle income & low cost	2,286,651	8.47	0.99	0.97-1.01	0.241
5	Middle income & middle cost	2,459,139	9.28	0.97	0.95-0.99	0.003
6	Middle income & high cost	2,350,251	9.27	0.95	0.93-0.97	<.0001
7	High income & low cost	210,972	10.31	1.15	1.12-1.18	<.0001
8	High income & middle cost	339,456	10.53	1.07	1.04-1.09	<.0001
9	High income & high cost	361,074	10.50	1.01	0.99-1.04	0.336
10						
11	Antihypertensive Therapy					
12	Chronic Therapy (≥6 months)	8,528,536	9.05	Reference		
13	New Therapy (< 6 months)	126,812	18.29	1.27	1.25-1.30	<.0001
14	Multiple Antihypertensive Drugs	6,872,858	8.33	Reference		
15	Single Antihypertensive Drug	1,782,490	12.47	1.04	1.04-1.05	<.0001
16						
17	Continuity of Care					
18	Single Dispensing Pharmacy	7,440,825	8.86	Reference		
19	Multiple Dispensing Pharmacies	1,214,523	11.16	1.10	1.08-1.11	<.0001
20	Single Prescriber	6,412,928	8.65	Reference		
21	Multiple Prescribers	2,242,420	10.72	1.16	1.15-1.17	<.0001
22						
23	Pharmacy Characteristics					
24	Pharmacy Type					
25	Independent	444,956	9.69	Reference		
26	Chain/banner	8,210,392	9.16	1.02	1.00-1.05	0.034
27	Anonymized Pharmacy Chain/Banner/Independent					
28	UUU	2,495,701	9.68	Reference		
29	VVV	1,071,922	8.01	0.84	0.80-0.83	<.0001
30	TTT	572,422	8.83	0.91	0.89-0.93	<.0001
31	SSS	840,234	10.46	1.04	1.02-1.06	<.0001
32	HHH	657,249	8.12	0.84	0.83-0.86	<.0001
33	EEE	1,104,215	9.06	0.94	0.93-0.96	<.0001
34	Other	1,913,605	9.18	0.94	0.92-0.95	<.0001
35	Pharmacy Location					
36	Shopping Centre	1,912,484	9.39	Reference		
37	Neighborhood pharmacy	2,704,536	9.17	1.01	1.00-1.02	0.139
38	Medical Clinic	1,300,939	8.41	0.96	0.95-0.98	<.0001
39	Medical Offices	73,561	7.99	0.98	0.93-1.03	0.461
40	Other	180,417	8.34	0.96	0.93-1.00	0.047
41	Missing	2,483,411	9.54	1.01	1.00-1.03	0.081
42	Workload					
43	Prescriptions/pharmacist/hour					
44	<12	947,400	11.0			
45	12-<22	2,755,796	31.8			
46	22-<34	3,668,952	42.4			
47	≥34	1,283,200	14.8			
48	Odds per 10 increase			0.96	0.96-0.97	<.0001
49	Professional Services					
50	Total Pharmacist Professional Services					
51	<0.11	2,519,258	10.13			
52	0.11-0.22	3,118,481	9.05			
53	≥0.22	3,017,609	8.54			
54	Odds per 1/100 Rx increase			0.60	0.57-0.62	<.0001
55	Hypertension adherence services					
56	0	6,936,363	9.23			
57	1-5	1,553,820	8.95			
58	6-10	145,393	9.80			
59						
60						

≥10	19,772	8.74			
Odds per 1 per 8 month increase			1.00	1.00-1.00	0.083
Pharmacist Overlap Index					
<10%	1,242,727	14.4			
10%-<16%	2,780,707	32.1			
16%-<22%	1,532,245	17.7			
≥22%	3,099,669	35.8			
Odds per 1% increase			0.95	0.90-1.00	0.068
Within Pharmacy Continuity of Care Index					
1-5	1282931	8.75			
>5-10	2554425	8.93			
>10-20	2331227	9.37			
>20	2486765	9.50			
Odds per 10 increase			1.003	1.001-1.005	0.012

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DISCUSSION

Statement of Principal Findings: This study is the first to document that linked community pharmacy claims and health administrative data can be used to directly measure a range of pharmacy-level characteristics and quality measures. It is also the first study that investigated the association between the provision of pharmacists' professional services and better within-pharmacy continuity of care with adherence, showing that each of these pharmacists' practices are associated with a decreased odds of dispensing antihypertensive medications to non-adherent patients.

Strengths and Limitations: The main strengths of this study are the direct measurement of pharmacy characteristics from administrative claims data and the use of an objective, validated quality of care measure of adherence (10-12). As significant variability in results has been reported from studies using differing measures of adherence, use of standardized methods for measuring adherence is particularly important in determining predictors of non-adherence (10). As only 1% of community pharmacies in Quebec did not consent to participate (18 of 1891), a second strength is that the sample approximated a population-based cohort and selection-bias was minimized. Limitations include that we evaluated performance on only one quality of medication-use measure and results cannot be generalized to other measures of pharmacists' quality of care. Although underuse measures of other therapeutic categories such as lipid-lowering or diabetes may show similar results, determinants of performance on quality indicators measuring medication overuse (eg

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3 1 rescue inhalers for asthma) or unsafe dispensing may differ as the professional services
4 2 pharmacists provide to detect and manage these medication-use problems differ from
5 3 those provided for medication underuse. Evaluation of performance on additional quality
6 4 indicators measuring both adherence and unsafe dispensing is required to determine if
7 5 results are generalizable. In addition, our methodology for calculating adherence did not
8 6 allow for detection of primary non-adherence or non-adherence / non-persistence within
9 7 the first 90 days of therapy. As these types of non-adherence are problematic with
10 8 antihypertensive medications, our results may have underestimated non-adherence and
11 9 measures of these additional types of non-adherence should be evaluated. Finally,
12 10 administrative claims data are limited in the extent to which they can measure whether
13 11 pharmacists provided a service but did not bill for it (42-44).
14 12

15 13 **Interpretation:** Our overall rate of non-adherence is consistent with previous reports that
16 14 utilize community pharmacy administrative claims data and similar measures of non-
17 15 adherence (10, 45). Calculation of pharmacy-level characteristics required multiple steps
18 16 and complex analysis and for characteristics that had previously been estimated via self-
19 17 report, such as prescriptions per pharmacist per hour, our results were higher (18.4 +/- 10.5
20 18 our study vs 14.1 +/- 4.9)(17). This is consistent with national reports documenting higher
21 19 total prescriptions dispensed in Quebec relative to other provinces (37). Results of the drug
22 20 and patient characteristics affecting non-adherence agree with previous research
23 21 documenting that there is higher adherence to antihypertensive medications with fewer
24 22 side effects, such as ARB and ACE, and that increasing age is associated with increased
25 23 adherence to antihypertensive medications (32, 46). However, given the variability in
26 24 results of non-adherence rates and predictors from studies that used differing measurement
27 25 methodologies, our results should be compared with studies using pharmacy administrative
28 26 claims data and standardized methods for measuring non-adherence (10). To our
29 27 knowledge, this literature is limited to the study that used an ecological approach to
30 28 measuring pharmacy and patient characteristics (20). Our results differ from this ecological
31 29 study for the impact of patient sex and income, and independent pharmacy ownership on
32 30 the odds of dispensing to a non-adherent patient. Our results demonstrate the impact of
33 31 measuring these characteristics directly for each dispensing and adjusting for clustering.
34 32 When only considering whether the pharmacy is independent vs a chain/banner, the

1 incidence of non-adherence is higher in independent pharmacies. However, when adjusted
2 for clustering and the remaining dispensing, patient and pharmacy characteristics, this
3 association reverses with chain / banner pharmacies demonstrating a greater odds of non-
4 adherence. The same is true for the impact of patient costs relative to income. Without
5 adjustment, the incidence of non-adherence increases as cost to the patient increases.
6 However, when adjusted for all characteristics, this relationship reverses. As higher patient
7 cost typically occurs with second-line treatments for hypertension, this may represent
8 patients who required switches or additions to their therapies due to side or insufficient
9 effects from their initial treatments, which has been shown to increase adherence (47).

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11 The most striking results of our analysis are the reductions in the odds of non-adherence
12 with both an increasing rate of provision of pharmacists' professional services and improved
13 within-pharmacy continuity of care. It is hypothesized that the relationship between the
14 rate of provision of these services and lower non-adherence indicates that improved quality
15 of care is provided at pharmacies where pharmacists prioritize provision professional
16 services vs involvement in technical distributive functions (48, 49). The relationship
17 between improved within-pharmacy continuity of care and decreased odds of non-
18 adherence supports such a hypothesis as patients can more easily develop trusting
19 relationships with their pharmacist when continuity of care is improved. Our findings that
20 increased workload is associated with lower odds of non-adherence would not appear to
21 support that increased workload challenges pharmacists' provision of quality care.
22 However, we had removed very high volume pharmacies so we did not see the previously
23 reported results of lower quality of care in pharmacies with both very low and very high
24 dispensing volumes (15). The strong positive correlation between workload and
25 pharmacist-overlap suggests that pharmacists are not being scheduled to provide
26 professional services but to enable increased number of prescriptions to be processed. As
27 both culture and workflow are determined predominantly by the pharmacist owner, greater
28 freedom to emphasize professional pharmacists' practice by owners of independent
29 pharmacies could account for their lower odds of non-adherence relative to chains /
30 banners (50). Similarly, differences in practice philosophy among the chains / banners could
31 account for the variability in performance among the different banners and chains.

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3 **1 Implications and Future Research:**

4 Our results indicate that emphasis on the caring role of pharmacists both during dispensing
5 and via provision of professional services appears key to improving patients' use of
6 medications. Results also support policies that encourage continuity of care and that focus
7 adherence strategies on younger males, new to treatment and taking single
8 antihypertensive therapy. Pharmacy administrative claims data can be used to directly
9 measure dispensing, patient and pharmacy characteristics, thereby increasing the range and
10 accuracy of pharmacy-level characteristics evaluated. Evaluation of additional measures
11 both of non-adherence and dispensing of contraindicated medications is needed to
12 determine if there is consistency across the measures of pharmacy-level characteristics
13 identified in our study as being related to pharmacists' quality of care.
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41 determine relevant potential predictors. RT provided expertise on the statistical analysis.
42 NW completed the basic analysis and drafted the manuscript. RT contributed substantially
43 to the manuscript revision. NW redrafted and finalized the manuscript following reviewers'
44 recommendations. RT contributed substantially to the final revisions.
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51 **Competing Interests:** We have read and understood the BMJ policy on declaration of
52 interests, and have completed the ICMJE uniform disclosure form and declare the following
53 interests: Dr. Winslade reports grants from Green Shield Foundation Canada, personal fees
54 and non-financial support from Quebec Order of Pharmacists, during the conduct of the
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4 study. Dr. Tamblyn reports grants from Green Shield Foundation Canada and non-financial
5 support from Quebec Order of Pharmacists, during the conduct of the study.
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9 **Ethics approval:** University's Faculty of Medicine Institutional review board # A05-E20-08B
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12 **Funding:** Green Shield Foundation Canada provided a grant for completion of this project
13 and was both informed of the decision to submit this paper and received a copy of the
14 manuscript. The Quebec Order of Pharmacists provided a matching grant in the form of
15 salary support, facilitated and paid the required data-access fees to RAMQ.
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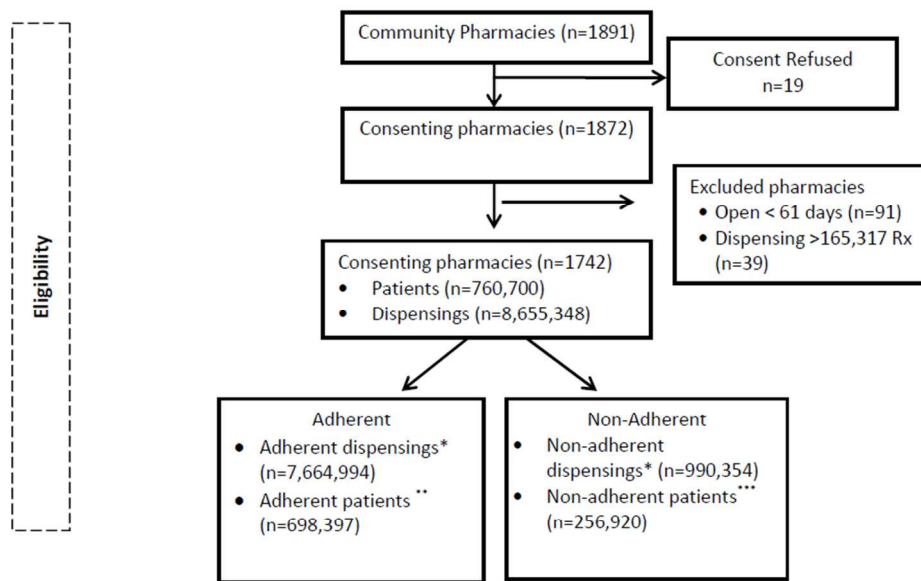


Figure 1. Consort diagram.

* Dispensings that were provided to patients who had been either adherent or non-adherent with their antihypertensive medication over the previous 90 days.

** Patients with at least one adherent dispensing over the 8 month study period.

*** Patients with at least one non-adherent dispensing over the 8 month study period. As patients received multiple dispensings, they could be counted as both adherent and non-adherent, therefore the total of adherent and non-adherent patients is more than 760,700.

Figure 1. Consort Diagram

255x240mm (96 x 96 DPI)

only

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5 & 6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6
		(b) For matched studies, give matching criteria and number of exposed and unexposed	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6-8
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	9
Bias	9	Describe any efforts to address potential sources of bias	9
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9
		(b) Describe any methods used to examine subgroups and interactions	9
		(c) Explain how missing data were addressed	n/a
		(d) If applicable, explain how loss to follow-up was addressed	n/a
		(e) Describe any sensitivity analyses	n/a
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	10 and figure 1
		(c) Consider use of a flow diagram	Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10 and Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
		(c) Summarise follow-up time (eg, average and total amount)	10
Outcome data	15*	Report numbers of outcome events or summary measures over time	12-14 and Table 2
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Table 2
		(b) Report category boundaries when continuous variables were categorized	Tables 1 and 2
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	17
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-19
Generalisability	21	Discuss the generalisability (external validity) of the study results	16-17
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	25

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.