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## Study protocol of a prospective observational study on the role of rehabilitation in reintegration of childhood cancer patients with brain tumor or leukemia and their families after the end of acute treatment

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5 after the end of acute treatment  
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## ABSTRACT

**Introduction:** For ill children as well as for their parents and siblings childhood cancer poses a major challenge. Little is known about the reintegration into daily life of childhood cancer survivors and their families. The aim of this prospective observational study is to further the understanding of the role of rehabilitation measures in the reintegration process of childhood leukemia or brain tumor survivors and their family members after the end of acute treatment.

**Methods and analysis:** This prospective observational study consists of three study arms: a quantitative study in cooperation with three German paediatric oncological study registries (study arm 1), a quantitative study in cooperation with a rehabilitation clinic which offers a family-oriented paediatric oncological rehabilitation programme (study arm 2) and a qualitative study at 12-month follow-up including families from the study arms 1 and 2 (study arm 3). In study arm 1 children, parents and siblings are surveyed after treatment (baseline), four to six months after baseline measurement and at 12-month follow-up. In study arm 2 data is collected at the beginning and at the end of the rehabilitation measure and at 12-month follow-up. Families are assessed with standardised questionnaires on quality of life, emotional and behavioral symptoms, depression, anxiety, fear of progression, coping and family functioning. Further, self-developed items on rehabilitation aims and reintegration into daily life are used. Where applicable, users and non-users of rehabilitation will be compared regarding the outcome parameters. Longitudinal data will be analysed by means of multivariate analysis strategies. Reference values will be used for comparisons if applicable. Qualitative data will be analysed using thematic analysis.

**Ethics and dissemination:** This study has been approved by the medical ethics committee of the Medical Chamber of Hamburg. Data will be published in peer-reviewed journals and presented at conferences.

1  
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3 **Keywords:** childhood cancer, leukemia, brain tumor, survivor, family-oriented rehabilitation,  
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5 parents, siblings  
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### 10 **Strengths and limitations of this study**

- 11 • The study includes users and non-users of a family-oriented rehabilitation programme  
12 and thus enables the investigation of facilitating factors and barriers for the utilisation  
13 of rehabilitation measures as well as its role in long-term reintegration processes.  
14
- 15 • The inclusion of childhood cancer survivors, their parents and siblings allows for  
16 multiperspective analyses on long-term developments and factors influencing the  
17 families' reintegration into daily life after childhood cancer.  
18
- 19 • The results of this study may help to identify specific needs for support after the end  
20 of acute treatment and to optimise healthcare services that support the families with  
21 the re-entry into 'normal' life.  
22
- 23 • Due to the mainly questionnaire based study design and thus the required sufficient  
24 German language skills a selection bias cannot be ruled out.  
25
- 26 • Ethical guidelines and the effort to carry out a nationwide study require a complex  
27 recruitment scheme in which a subsequent non-responder analysis can only be  
28 conducted for medical data.  
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## INTRODUCTION

Cancer diagnosis in children and adolescents leads to major disruption in their own lives and in the whole family. Depending on diagnosis and treatment, children and adolescents are torn out of their daily life for months. Children and adolescents with cancer suffer from physical changes and display impaired quality of life and well-being compared to their healthy peers.[1, 2] Behavioral changes and difficulties in reintegrating in school can occur in consequence of cancer disease and treatment.[3] Even after the end of acute treatment childhood cancer patients show elevated emotional distress and have an increased risk for developing mental-health problems.[4, 5]

Likewise, parents and siblings of the patient are confronted with major changes and burden in their lives when a child is diagnosed with cancer. In addition to the life-threatening disease, separation of family members due to hospital stays, social isolation or financial difficulties impact families.[6] Parents of childhood cancer patients report posttraumatic stress and low quality of life.[7, 8] They experience fear of progression and are highly emotionally burdened.[9, 10] Healthy siblings often experience the loss of parental attention and have to fulfil new responsibilities and roles within the family.[11] They show emotional reactions such as elevated distress and anxiety levels as well as behavioral problems or difficulties in school.[12]

Due to improved treatment methods the five-year survival rate has increased to approximately 80%.[13] However, childhood cancer patients and their families are at risk for long-term consequences. After the end of acute treatment, patients and their families are discharged from structured treatment plans to a new daily life – a milestone for many families. They want to look forward and try to restore family life to normality as before the disease.[14] While they are relieved surviving the disease, families describe returning to daily life as a difficult time.[15] In particular parents can experience feelings of exhaustion and inner emptiness after a long time of exceptional circumstances requiring them to function and to pull through.[14]

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3 After a long period of absence from school for the children and from working life for the  
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5 parents, re-entry and reintegration are the next steps after the end of acute treatment.[16] Still,  
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7 familial conflicts or high emotional burden can impede reintegration.[17]  
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9  
10 In the German rehabilitation system two rehabilitation concepts are established to support  
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12 childhood cancer patients and their families.[18] Family-oriented rehabilitation was  
13  
14 developed for childhood cancer patients ( $\leq 15$  years), their parents and healthy siblings.[19]  
15  
16 The four-week inpatient rehabilitation programme addresses emotional problems of all family  
17  
18 members. During the rehabilitation programme multiprofessional therapeutic teams of  
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20 physicians, clinical psychologists, social education workers and other professionals offer  
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22 group or individual therapies and activities as required for all family members.[19] For  
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24 adolescent cancer patients ( $> 15$  years) a rehabilitation in small groups was developed to adapt  
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26 to the specific developmental needs of adolescents.[20]  
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29  
30 So far, only a few studies evaluated family-oriented rehabilitation programmes. Overall a  
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32 positive impact on quality of life and psychological symptoms in patients, siblings and parents  
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34 could be found.[21-26] In a recent study a different evaluation approach has been pursued.  
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36 The authors analysed 422 medical discharge summaries of children and adolescents who  
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38 participated in a paediatric oncological rehabilitation measure.[27] According to rehabilitation  
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40 physicians' opinion 86% of the children and adolescents achieved their rehabilitation goals  
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42 such as the improvement of physical efficiency and the integration in peer group. However,  
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44 until now there are no longitudinal studies with an adequate reference group and studies  
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46 focused mainly on aspects like quality of life in the context of family-oriented rehabilitation  
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48 programmes. Furthermore, in Germany there is no systematic data available on the  
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50 participation rate in family-oriented paediatric cancer rehabilitation. Expert ratings range from  
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52 30-100% (unpublished pilot data). Moreover, little is known about the reintegration of  
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54 childhood cancer survivors and their families into daily life after the end of acute treatment  
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56 and the potential role of rehabilitation in the reintegration process. Therefore, the primary aim  
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of this study is to further the understanding of the process of reintegration of paediatric cancer survivors and their family members after the end of acute treatment and the role of rehabilitation measures in this process.

The main research questions are:

- 1) Reintegration: which factors do impede or facilitate reintegration?
- 2) Use of rehabilitation: which factors do impede or facilitate use of rehabilitation measures?
- 3) Rehabilitation process: which factors influence activity and participation of families during and after the rehabilitation measure?
- 4) Rehabilitation process: which treatments do patients and families receive during the rehabilitation measure and how are rehabilitation goals, treatments and goal attainment associated?
- 5) Effects of rehabilitation: which are the long-term effects of rehabilitation measures with regard to reintegration and psychosocial outcomes?

## METHODS AND ANALYSIS

### Design

This is a prospective observational study with a longitudinal design including a baseline measurement and two follow-up measurements. The investigation of factors associated with the use of rehabilitation measures and the role of rehabilitation for the reintegration after the end of acute treatment requires the inclusion of a comparison group of non-users. Therefore, this study aims to include an unselected sample of patients and families at the end of acute treatment in order to include both subsequent users and non-users of rehabilitation.

To answer the research questions, the study will consist of three study arms:

- The first study arm is conducted as a quantitative study in cooperation with three German nationwide paediatric oncological study registries in which childhood cancer

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3 patients with high and low grade brain tumors as well as leukemia are registered (main  
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5 research focus: participation versus non-participation in rehabilitation).  
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8 - The second study arm is a quantitative study in cooperation with a rehabilitation clinic  
9  
10 offering family-oriented paediatric cancer rehabilitation (main research focus:  
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12 rehabilitation process).  
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14 - The third study arm is a qualitative interview study including 20-25 families from  
15  
16 study arms 1 and 2 (main research focus: deeper understanding of the reintegration  
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18 processes).  
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23 *Measurement time points*

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25 *Study arm 1 (study registries):*

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27 Baseline: end of acute treatment

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29 Follow-up: four to six months after baseline survey

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32 12-month follow-up: 12 months after first follow-up  
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35 *Study arm 2 (rehabilitation clinic):*

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37 Pre: beginning of the rehabilitation measure

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39 Post: end of the rehabilitation measure

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42 12-month follow-up: 12 months after the end of the rehabilitation measure  
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45 *Study arm 3 (qualitative study):*

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47 12-month follow-up  
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50 Participants from study arm 1 who attend the rehabilitation measure in the cooperating  
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52 rehabilitation clinic drop out of study arm 1 and subsequently are surveyed in study arm 2.  
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### Cooperation partners

The recruitment of participants in study arm 1 is carried out in cooperation with the *International HIT-MED Registry* (I-HIT-MED; University Medical Center Hamburg-Eppendorf; ClinicalTrials.gov Identifier: NCT02417324), the study registry of the *SIOP-LGG 2004 study* (SIOP-LGG; Medical Center Augsburg; ClinicalTrials.gov Identifier: NCT00276640) and the *CoALL-study register* (CoALL; University Medical Center Hamburg-Eppendorf; ClinicalTrials.gov Identifier: NCT01228331). The treatment group in study arm 2 is recruited in the Rehabilitation Clinic Bad Oexen.

### Inclusion and exclusion criteria

In study arms 1 and 2 children and adolescents and their siblings ( $\geq 11$  years) as well as their parents are surveyed. Parents can be biological or social parents, or other attachment figures.

#### Inclusion criteria

- Brain tumor or leukemia diagnosis (patients with low grade brain tumors and their families are only included if the patient received radiation or chemotherapy)
- Patients' age under 18 years
- Signed informed consent

#### Exclusion criteria

- Different diagnosis than brain tumor or leukemia
- Patients' age over 17 years
- Refusal of participation
- Physical and/or mental burden
- Cognitive limitations
- Insufficient language skills

## Recruitment and procedure

### Study arm 1

The study registries identify patients at the end of acute treatment and inform the clinic where the patient receives treatment. Healthcare providers within the clinics inform the patients and their families about the study, ask them to participate and pass a data set containing an invitation and information letter as well as a consent form to contact the family. After patients or parents send the signed consent and their contact data to the Department of Medical Psychology (University Medical Center Hamburg-Eppendorf), the parents are sent a set of baseline questionnaires and consent forms for participation for all included family members. If the signed informed consent form to contact the families is not sent back within four weeks after contacting the clinic where the patient receives treatment, the study registries remind the healthcare providers in the clinics to contact or remind the families where necessary.

### Study arm 2

The rehabilitation clinic identifies patients with brain tumor or leukemia at the beginning of the rehabilitation measure, informs them and their families about the study and asks them to participate. If the families agree to participate and sign the informed consent form, they receive a baseline questionnaire for all family members applicable according the inclusion criteria. At the end of the rehabilitation measure the families receive the second set of questionnaires. After 12 months they receive the final set of questionnaires from the rehabilitation clinic.

If families agree to participate, but have already been recruited into study arm 1, the rehabilitation clinic informs the principal investigator and the family drops out of study arm 1.

### Study arm 3

During the quantitative survey period patients from study arm 1 and 2 and their families are informed about the qualitative study. If parents agree to be interviewed about 12-18 months after baseline measurement, they give their informed consent and contact data, where they can be reached via telephone. About 12-18 months after baseline measurement one of the researchers is going to contact them and to conduct the telephone interview.

## Outcomes and measurements

### Study arms 1 and 2

#### *Quality of Life*

*Children:* Children's health-related quality of life is assessed by a self-assessment version of the KINDL-R and a proxy version for parents.[28] The KINDL-R includes 24 items covering six dimensions of quality of life (physical well-being, psychological well-being, self-esteem, family, friends and school) and six additional items on the dimension illness.[29] Items refer to the past week and can be rated on a five-point Likert scale from (1) never to (5) always. A total score and seven subscale scores can be calculated.

*Adults:* The Ulm Quality of Life Inventory for Parents (ULQIE) is a 29-item self-report instrument, designed for measuring the quality of life of parents of chronically ill children on five subscales: functioning, satisfaction with family situation, emotional stress, self-fulfillment and general well-being.[30] Parents assess on a five-point Likert scale from (0) never to (4) always their quality of life over the past week. High values indicate a high quality of life.[30] A total score of quality of life in general and five subscale scores can be obtained.

#### *Emotional and behavioral symptoms*

*Children:* The Strengths and Difficulties Questionnaire (SDQ) is a 25-item instrument to assess strengths and difficulties in behavior of children and adolescents on five different

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3 subscales: emotional symptoms, conduct problems, hyperactivity-inattention, peer  
4 relationship problems and prosocial behavior.[31] There are two versions: a self-report  
5 version for 11- to 16-year olds and a version for parents and teachers of 4- to 16-year  
6 olds.[31] Both versions use a three-point Likert scale with (0) not true, (1) somewhat true and  
7 (2) certainly true. A total difficulties score as well as five subscale-scores can be calculated. In  
8 this study both versions are used to obtain a multiperspective view on the behavior of  
9 paediatric cancer patients and their siblings.  
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### 20 21 *Depression*

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23 *Adults:* The nine-item depression module (PHQ-9) of the Patient Health Questionnaire (PHQ)  
24 is a self-report depression screening questionnaire.[32] The PHQ-9 is used to classify  
25 depression severity in parents. The items reflect depression criteria based on the diagnosis  
26 criteria for depression disorders of DSM-IV.[33] Answers can be given in four categories  
27 from (0) not at all to (3) nearly every day.[34] The total score can be interpreted as the level  
28 of depression severity with cut-off values indicating minimal, mild, moderate, moderately  
29 severe and severe depression.[34]  
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### 41 *Anxiety*

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43 *Adults:* The Generalized Anxiety Disorder Screener (GAD-7) is a seven-item self-report  
44 instrument designed to identify probable cases of generalized anxiety disorder.[35] In this  
45 study the GAD-7 is used to classify symptoms of anxiety in parents. On a four-point Likert  
46 scale from (0) not at all to (3) nearly every day subjects report how often they have been  
47 bothered by seven symptoms of the generalized anxiety disorder, derived from the DSM-IV  
48 diagnostic criteria A, B and C.[33, 36] By using cut-off values the subjects total GAD-7 score  
49 can be classified in mild, moderate and severe anxiety symptom levels.[35]  
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### *Fear of Progression*

*Adults:* To measure fear of progression in parents of paediatric cancer patients, the adaption of the Fear of Progression Questionnaire for the parental perspective (FoP-Q-SF/PR) is used in this study.[37] The questionnaire contains nine items. Each of the items is scored on a five-point Likert scale from (1) never to (5) very often. A total score can be calculated [38] and a recommended cut-off value can be used for interpretation.[37]

### *Coping*

*Children:* Coping in paediatric cancer patients and their siblings is measured by the Kidcope,[39] which assesses ten different coping strategies: distraction, social withdrawal, wishful thinking, self-criticism, blaming others, problem solving, emotional regulation, cognitive restructuring, social support and resignation. In this study we use the 11-item version for adolescents (13-18.9 years). Children rate the frequency of the coping strategy use on a four-point Likert scale and the efficacy of each particular strategy on a five-point Likert scale.[39]

*Adults:* Coping in parents is measured by the Coping Health Inventory for Parents (CHIP).[40] The CHIP is used to assess the efficacy of different coping strategies in parents.[40] The 45 items are covering three different coping patterns: family (maintaining family integration, cooperation and an optimistic definition of the situation), support (maintaining social support, self-esteem and psychological stability) and medical (understanding the medical situation through communication with other parents and consultation with the medical staff).[41] Ratings are made on a four-point Likert scale from (0) not helpful to (3) extremely helpful. If parents did not use a certain coping strategy, they can specify if they did not use it or if they could not use it.[40] A total score as well as subscale scores can be calculate. Higher scores indicate more helpful coping strategies.

### *Family Functioning*

Parents and children assess the global family functioning on the general functioning subscale of the Mc Master Family Assessment Device (FAD-GF).[42] The subscale is composed of 12 items with a four-point Likert scale from (1) strongly agree to (4) strongly disagree including aspects like acceptance in the family and problem solving behavior. Higher total scores indicate lower family functioning.[42]

### *Additional measures*

In addition to the validated scales listed above, we use self-developed items to assess parental burden related to the cancer illness, rehabilitation goals and their attainment, patient satisfaction or participant satisfaction respectively, reintegration and health care use. Received treatments during the rehabilitation measure and recommendations for aftercare are extracted from the medical discharge records in study arm 2. An overview of instruments, assessments and measurement time points (study arm 1 and 2) is displayed in Table 1.

**Table 1.** Overview of study measures in study arms 1 and 2

Measures	Instruments	baseline/ pre	4-6 months/ post	12 months
<b>Data source: children (≥ 11 years)</b>				
Quality of life	KINDL-R[28, 29]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[31, 43]	X	X	X
Coping	Kidcope[39]	X	X	X
Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[42]	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Patient/participant satisfaction <sup>a</sup>	Self-developed items		X	X
<b>Data source: parent</b>				
Socio-demographic data	Self-developed items	X		

Medical data	Self-developed items (e.g. parental disease, parental health care use)	X		
Quality of life	Ulm Quality of Life Inventory for Parents (ULQIE)[30]	X	X	X
Depression	Patient Health Questionnaire (PHQ-9)[32, 34]	X	X	X
Anxiety	Generalized Anxiety Disorder Screener (GAD-7)[35, 36]	X	X	X
Fear of progression	FoP-Q-SF/PR[37]	X	X	X
Coping	Coping Health Inventory for Parents (CHIP)[40]	X	X	X
Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[42]	X	X	X
Parental burden related to the cancer illness	Self-developed items	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Participant satisfaction <sup>a</sup>	Self-developed items		X	X
Reintegration	Self-developed items		X	X
<b>About children (max. three children)</b>				
Socio-demographic data	Self-developed items	X		
Medical data	Self-developed items (e.g. diagnosis, comorbidity, treatments received, use of health care services)	X	X	X
Quality of life	KINDL-R[28, 29]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[31, 43]	X	X	X
Reintegration and health care use	Self-developed items		X	X
<b>Medical assessment</b>				
Medical data (diagnosis, treatments etc.) <sup>b</sup>	Self-developed items	X		
Functional impairments <sup>c</sup>	Self-developed items	X	X	
Rehabilitation goals <sup>c</sup>	Self-developed items	X		
Goal attainment <sup>c</sup>	Self-developed items		X	
Treatments received during rehabilitation <sup>a</sup>	Rehabilitation discharge report		X	
Recommendations for aftercare <sup>a</sup>	Rehabilitation discharge report		X	

<sup>a</sup> only in study arm 2

<sup>b</sup> from study registries (study arm 1) or rehabilitation physician (study arm 2)

<sup>c</sup> assessed by rehabilitation physician (study arm 2)

### Study arm 3

The interviews will be conducted using an interview guideline developed on basis of theoretical background and knowledge from prior studies on similar topics. To test the comprehensibility of the questions a pilot interview will be conducted. The interview guideline will cover the following aspects:

- How did the process of reintegration in school (for children) and working life (for parents) proceed?
- What are the experiences regarding facilitating factors and barriers for reintegration?
- If using rehabilitation: which factors were helpful for reintegration? Which aspects were amiss?
- Which healthcare services did parents and children use after completion of treatment?

### Data analyses

#### Quantitative analyses

The research questions on reintegration, use of rehabilitation and the effects of rehabilitation (research questions 1, 2 and 5) will be analysed based on data from the combined sample from study arms 1 and 2. Where applicable, the sample will be divided into users and non-users of rehabilitation.

The research questions on the rehabilitation process (research questions 3 and 4) are analysed based on data from study arm 2 only.

Baseline scores and follow-up scores are analysed using descriptive analyses and if applicable compared with reference values. Using univariate analyses (t-test, ANOVA) or comparable non-parametric tests, differences between subgroups (e.g. mothers and fathers, ill children and healthy siblings, users and non-users of rehabilitation) will be explored. Correlations will be calculated to explore associations between outcome variables.



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3 The longitudinal nature of this study allows multivariate analysis strategies such as regression  
4 analysis and repeated measure analysis of variance to investigate the role of other factors and  
5 to examine changes over time.  
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### 10 11 Qualitative analyses

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13 Qualitative interviews (study arm 3) will be recorded and transcribed verbatim. Transcripts  
14 will be analysed using thematic analysis.[44, 45] Main themes discussed in the interviews will  
15 be extracted in a first step. These themes will be subcategorised and discussed. A coding  
16 guideline with exemplary codes will be developed and presents the basis for a final coding of  
17 the entire material. To verify reliability and validity of the process and the final categories two  
18 researchers will conduct parts of the coding independently and discuss their results.  
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### 29 30 **Sample size and power**

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32 Sample size calculation indicate that a sample of 142 patients is sufficient to detect medium  
33 group differences ( $f=0.25$ ) with a power of 95% at a significance level of  $p<.05$ . Considering  
34 two measurements medium effects with a power of 85% can be detected with a sample size of  
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41 For study arm 1 (study registries) there is an expected patient number of about 224 per year  
42 (calculated based on previous annual statistics). Based on an estimated 50% response-rate and  
43 a drop-out rate of 30% during follow-up about 118 patients can be included within 18 months  
44 of recruitment. However, since the distribution of users and non-users of rehabilitation in  
45 study arm 1 is unknown and the study has an observational design with a focus on exploratory  
46 analyses, we aim to include as many patients as possible during the recruitment period.  
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51 For study arm 2 (rehabilitation measure) there is an expected patient number of about 117 per  
52 year (calculated based on previous annual statistics). Based on an estimated 50% initial  
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3 response-rate and a drop-out rate of 30% in 24 months of recruitment about 82 patients can be  
4 included.  
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### 9 10 **ETHICS AND DISSEMINATION**

11 The medical ethics committee of the Medical Chamber of Hamburg reviewed and approved  
12 the study protocol (date: May 20, 2016, number: PV5277). Written information are provided  
13 to children ( $\geq 11$  years) and parents and they are asked to give their written informed consent  
14 prior to data collection. There are two different information and consent forms for children  
15 (11-13 years) and adolescents (14-17 years) with age-appropriate formulations. Parents  
16 additionally give their informed consent for their children ( $\geq 11$  years). The project is a  
17 naturalistic, observational study. There is no additional study-specific intervention besides the  
18 rehabilitation measure as a part of routine care within the framework of this study. The results  
19 of this project may help to optimise healthcare services that support the families after the end  
20 of acute treatment with the re-entry into daily life.  
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33 The project duration is 42 months. The study was initiated in March 2016. Within the first  
34 four months extensive preparatory work was carried out. The recruitment of participants in  
35 study arm 1 started in August 2016 and in study arm 2 in July 2016. Completion of data  
36 collection is planned to be in June 2019. Data entry, management and analysis as well as the  
37 publication of the findings in peer-reviewed journals and at conferences will take place  
38 continuously.  
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### 49 **DISCUSSION**

50 To the best of our knowledge, only few prospective, longitudinal studies have examined the  
51 situation of families with a child with cancer after the end of acute treatment. So far, there is  
52 no study on processes of reintegration of all family members and no research on the role of  
53 rehabilitation measures in reintegration into daily life for affected families. The aim of this  
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3 study is to investigate reintegration systematically and to explore factors associated with this  
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5 process, especially focusing on rehabilitation measures. We aim to assess different trajectories  
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7 of reintegration and to identify the role of rehabilitation measures for childhood cancer  
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9 survivors and their families. The assessment of several outcomes from both the child's and the  
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11 parent's view allows for a comprehensive, multiperspective insight in factors influencing  
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13 reintegration. This might help to further the understanding of the situation of childhood cancer  
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15 survivors and their families and to identify specific support needs with regard to reintegration.  
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17 Therefore, the findings of this study may contribute to optimise healthcare services for  
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19 families affected with childhood cancer and to develop aftercare programmes and  
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21 interventions to facilitate the re-entry into 'normal' life of childhood cancer survivors and  
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23 their families.  
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## 30 DECLARATIONS

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### Authors’ contributions

CB is the principal investigator of the study. CB and LI developed the study concept. LI, MLP and CB developed all study materials. MLP and LI wrote the first draft of the study protocol. CB revised the first draft. MLP, LI and CB have revised the subsequent drafts critically for important intellectual content and approved the final manuscript. MLP, LI and CB agree to be accountable for all aspects of the work.

### Data sharing statement

As this manuscript describes a study protocol, we cannot share any data yet.

### Abbreviations

ARGE: ‘North Rhine-Westphalia Association for the Fight Against Cancer, Germany’ (Arbeitsgemeinschaft für Krebsbekämpfung im Lande Nordrhein-Westfalen)

CHIP: Coping Health Inventory for Parents

CoALL: CoALL-study registry

FAD-GF: Family Assessment Device (General Functioning scale)

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3 GAD-7: Generalized Anxiety Disorder Screener

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5 I-HIT-MED: International HIT-MED Registry

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7 PHQ-9: Patient Health Questionnaire

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9 SDQ: Strengths and Difficulties Questionnaire

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11 SIOP-LGG: SIOP-LGG 2004 study

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13 ULQIE: Ulm Quality of Life Inventory for Parents

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# BMJ Open

## The role of rehabilitation measures in reintegration of children with brain tumour or leukaemia and their families after completion of cancer treatment - A study protocol

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3 The role of rehabilitation measures in reintegration of children with brain tumour or  
4 leukaemia and their families after completion of cancer treatment - A study protocol  
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## ABSTRACT

**Introduction:** For ill children as well as for their parents and siblings childhood cancer poses a major challenge. Little is known about the reintegration into daily life of childhood cancer survivors and their families. The aim of this prospective observational study is to further the understanding of the role of rehabilitation measures in the reintegration process of childhood leukaemia or brain tumour survivors and their family members after the end of cancer treatment.

**Methods and analysis:** This prospective observational study consists of three study arms: a quantitative study in cooperation with three German paediatric oncological study registries (study arm 1), a quantitative study in cooperation with a rehabilitation clinic which offers a family-oriented paediatric oncological rehabilitation programme (study arm 2) and a qualitative study at 12-month follow-up including families from the study arms 1 and 2 (study arm 3). In study arm 1 children, parents and siblings are surveyed after treatment (baseline), four to six months after baseline measurement and at 12-month follow-up. In study arm 2 data is collected at the beginning and at the end of the rehabilitation measure and at 12-month follow-up. Families are assessed with standardised questionnaires on quality of life, emotional and behavioral symptoms, depression, anxiety, fear of progression, coping and family functioning. Further, self-developed items on rehabilitation aims and reintegration into daily life are used. Where applicable, users and non-users of rehabilitation measures will be compared regarding the outcome parameters. Longitudinal data will be analysed by means of multivariate analysis strategies. Reference values will be used for comparisons if applicable. Qualitative data will be analysed using thematic analysis.

**Ethics and dissemination:** This study has been approved by the medical ethics committee of the Medical Chamber of Hamburg. Data will be published in peer-reviewed journals and presented at conferences.

**Keywords:** childhood cancer, leukaemia, brain tumour, survivor, family-oriented rehabilitation, parents, siblings

### Strengths and limitations of this study

- The study includes users and non-users of a family-oriented rehabilitation programme and thus enables the investigation of facilitating factors and barriers for the utilisation of rehabilitation measures as well as its role in long-term reintegration processes.
- The inclusion of childhood cancer survivors, their parents and siblings allows for multiperspective analyses on long-term developments and factors influencing the families' reintegration into daily life after childhood cancer.
- The results of this study may help to identify specific needs for support after the end of cancer treatment and to optimise healthcare services that support the families with the re-entry into 'normal' life.
- Due to the mainly questionnaire based study design and thus the required sufficient German language skills a selection bias cannot be ruled out.
- Ethical guidelines and the effort to carry out a nationwide study require a complex recruitment scheme in which a subsequent non-responder analysis can only be conducted for medical data.

## INTRODUCTION

Cancer diagnosis in children and adolescents leads to major disruption in their own lives and in the whole family. Depending on diagnosis and treatment, children and adolescents are torn out of their daily life such as family and social life as well as school for months or even for years. Children and adolescents with cancer suffer from physical changes and display impaired quality of life and well-being compared to their healthy peers.[1-3] Behavioral changes and difficulties in reintegrating in school can occur in consequence of cancer disease and treatment.[4] Even after the end of cancer treatment childhood cancer patients show elevated emotional distress and have an increased risk for developing mental-health problems.[5, 6]

Likewise, parents and siblings of the patient are confronted with major changes and burden in their lives when a child is diagnosed with cancer.[7, 8] In addition to the life-threatening disease, separation of family members due to hospital stays, social isolation or financial difficulties impact families.[9] Parents of childhood cancer patients report posttraumatic stress and low quality of life.[10, 11] They experience fear of progression and are highly emotionally burdened.[12, 13] Healthy siblings often experience the loss of parental attention and have to fulfil new responsibilities and roles within the family.[14] They show emotional reactions such as elevated distress and anxiety levels as well as behavioral problems or difficulties in school.[15]

The most frequent cancer diagnoses in children under the age of 18 years in Germany are leukaemia and brain tumours.[16] Due to improved treatment methods the five-year survival rate in Germany has increased to approximately 80% for childhood cancer patients under the age of 15 years.[16] However, childhood cancer patients and their families are at risk for long-term consequences. In this study, the end of cancer treatment is defined as the end of intensive cancer treatments such as chemotherapy, radiation, surgery and stem cell transplantation or bone marrow transplantation. After the end of cancer treatment, patients



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2  
3 and their families are discharged from structured treatment plans to a new daily life – a  
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5 milestone for many families. They want to look forward and try to restore family life to  
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7 normality as before the disease.[17] While they are relieved surviving the disease, families  
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9 describe returning to daily life as a difficult time.[18] In particular parents can experience  
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11 feelings of exhaustion and inner emptiness after a long time of exceptional circumstances  
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13 requiring them to function and to pull through.[17] After a long period of absence from school  
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15 for the children and from working life for the parents, re-entry and reintegration are the next  
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17 steps after the end of cancer treatment.[19, 20] Still, familial conflicts can impede scholastic  
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19 reintegration.[21]  
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22 In the German rehabilitation system two rehabilitation concepts are established to support  
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24 childhood cancer patients and their families after the inpatient cancer treatment.[22, 23]  
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26 Family-oriented rehabilitation was developed for childhood cancer patients ( $\leq 15$  years), their  
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28 parents and healthy siblings.[22] The four-week inpatient rehabilitation programme addresses  
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30 emotional problems of all family members. During the rehabilitation programme  
31  
32 multiprofessional therapeutic teams of physicians, clinical psychologists, social education  
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34 workers and other professionals offer group or individual therapies and activities as required  
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36 for all family members.[22] For adolescent cancer patients ( $>15$  years) a rehabilitation  
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38 programme in small groups was developed to adapt to the specific developmental needs of  
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40 adolescents (e.g. support with development of autonomy).[22] In this rehabilitation  
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42 programme adolescents are not accompanied by their family members.  
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48 So far, only a few studies evaluated family-oriented rehabilitation programmes. Overall a  
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50 positive impact on quality of life and psychological symptoms in patients, siblings and parents  
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52 could be found.[3, 24-28] In a recent study a different evaluation approach has been pursued.  
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54 The authors analysed 422 medical discharge summaries of children and adolescents who  
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56 participated in a paediatric oncological rehabilitation measure.[29] According to rehabilitation  
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58 physicians' opinion 86% of the children and adolescents achieved their rehabilitation goals  
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3 such as the improvement of physical efficiency and the integration in peer group. However,  
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5 until now there are no longitudinal studies with an adequate reference group and previous  
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7 studies focused primarily on aspects like quality of life.[24, 26, 28] Thereby, parental fear of  
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9 progression or family functioning have not been investigated in the context of family-oriented  
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11 rehabilitation programmes. Furthermore, in Germany there is no systematic data available on  
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13 the participation rate in family-oriented paediatric cancer rehabilitation programmes. Expert  
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15 ratings range from 30-100% (unpublished pilot data). Moreover, little is known about the  
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17 reintegration of childhood cancer survivors and their families into daily life after the end of  
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19 cancer treatment and the potential role of rehabilitation measures in the reintegration process.  
20  
21 Therefore, the primary aim of this study is to further the understanding of the process of  
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23 reintegration of paediatric cancer survivors and their family members after the end of cancer  
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25 treatment and the role of rehabilitation measures in this process.  
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29  
30 The main research questions are:

- 31 1) Reintegration: which factors impede or facilitate reintegration?
- 32 2) Use of rehabilitation measures: which factors impede or facilitate use of rehabilitation  
33 measures?
- 34 3) Rehabilitation process: which factors influence activity and participation of families  
35 during and after the rehabilitation measure?
- 36 4) Rehabilitation process: which treatments do patients and families receive during the  
37 rehabilitation measure and how are rehabilitation goals, treatments and goal attainment  
38 associated?
- 39 5) Effects of rehabilitation measures: which are the long-term effects of rehabilitation  
40 measures with regard to reintegration and psychosocial outcomes?  
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## METHODS AND ANALYSIS

### Design

This is a prospective observational study with a longitudinal design including a baseline measurement and two follow-up measurements. The investigation of factors associated with the use of rehabilitation measures and the role of rehabilitation measures for the reintegration after the end of cancer treatment requires the inclusion of a comparison group of non-users. Therefore, this study aims to include an unselected sample of patients and families at the end of cancer treatment in order to include both subsequent users and non-users of rehabilitation measures.

To answer the research questions, the study will consist of three study arms:

- The first study arm is conducted as a quantitative study in cooperation with three German nationwide paediatric oncological study registries in which childhood cancer patients with high and low grade brain tumours as well as leukaemia are registered (main research focus: participation versus non-participation in rehabilitation measures).
- The second study arm is a quantitative study in cooperation with a rehabilitation clinic offering a family-oriented paediatric cancer rehabilitation programme (main research focus: rehabilitation process).
- The third study arm is a qualitative interview study including 20-25 families from study arms 1 and 2 (main research focus: deeper understanding of the reintegration processes).

### *Measurement time points*

#### *Study arm 1 (study registries):*

Baseline: end of cancer treatment

Follow-up: four to six months after baseline survey

12-month follow-up: 12 months after first follow-up

*Study arm 2 (rehabilitation clinic):*

Pre: beginning of the rehabilitation measure

Post: end of the rehabilitation measure

12-month follow-up: 12 months after the end of the rehabilitation measure

*Study arm 3 (qualitative study):*

12-month follow-up

The different measurement time points in study arm 1 and 2 have been chosen for two reasons. First, the timing of the entry into a rehabilitation measure depends on the families and their specific situation. Consequently, we cannot survey families after the end of cancer treatment in study arm 2. Second, the follow-up measurement in study arm 1 is temporally delayed in comparison to the post measurement in study arm 2 because we want to give the families the chance to use a rehabilitation measure between the first two measurement time points in order to receive matching times of measurements for the last two measurements in both study arms.

Participants from study arm 1 who attend the rehabilitation measure in the cooperating rehabilitation clinic drop out of study arm 1 and subsequently are surveyed in study arm 2. An overview of the recruitment and procedure in the study arms 1 and 2 is displayed in figure 1.

### **Cooperation partners**

The recruitment of participants in study arm 1 is carried out in cooperation with the *International HIT-MED Registry* (I-HIT-MED; University Medical Center Hamburg-Eppendorf; ClinicalTrials.gov Identifier: NCT02417324), the study registry of the *SIOP-LGG 2004 study* (SIOP-LGG; Medical Center Augsburg; ClinicalTrials.gov Identifier: NCT00276640) and the *CoALL-study register* (CoALL; University Medical Center Hamburg-

Eppendorf; ClinicalTrials.gov Identifier: NCT01228331). The treatment group in study arm 2 is recruited in the Rehabilitation Clinic Bad Oexen.

### **Inclusion and exclusion criteria**

In study arms 1 and 2 children and adolescents ( $\geq 11$  years) and their siblings ( $\geq 11$  years) as well as their parents are surveyed. Parents can be biological or social parents, or other attachment figures. In study arm 1 inclusion and exclusion criteria will be assessed by the study registries (age, diagnosis) and the healthcare providers in the clinics. The rehabilitation physicians will assess inclusion and exclusion criteria at the beginning of the rehabilitation measure in study arm 2.

#### **Inclusion criteria**

- Brain tumour or leukaemia diagnosis (patients with low grade brain tumours and their families are only included if the patient received radiation or chemotherapy)
- Patients' age under 18 years
- Signed informed consent

#### **Exclusion criteria**

- Different diagnosis than brain tumour or leukaemia
- Patients' age over 17 years
- Refusal of participation
- Physical and/or mental burden
- Cognitive limitations
- Insufficient language skills

## Recruitment and procedure

### Study arm 1

The study registries estimate the end of cancer treatment of their registered patients based on the information available (i.a. time of diagnosis, treatment protocol). They inform the clinic where the patient receives treatment about the study. Healthcare providers at the clinics inform the patients and their families about the study after the end of cancer treatment, ask them to participate and pass a data set containing an invitation and information letter as well as a consent form to contact the family. After patients or parents send the signed consent and their contact data to the Department of Medical Psychology (University Medical Center Hamburg-Eppendorf), the parents are sent a set of baseline questionnaires and consent forms for participation for all included family members. If the signed informed consent form to contact the families is not sent back within four weeks after contacting the clinic where the patient receives treatment, the study registries remind the healthcare providers in the clinics to contact or remind the families where necessary.

### Study arm 2

The rehabilitation clinic identifies patients with brain tumour or leukaemia at the beginning of the rehabilitation measure, informs them and their families about the study and asks them to participate. If the families agree to participate and sign the informed consent form, they receive a baseline questionnaire for all family members applicable according the inclusion criteria. At the end of the rehabilitation measure the families receive the second set of questionnaires. After 12 months they receive the final set of questionnaires from the rehabilitation clinic.

If families agree to participate, but have already been recruited into study arm 1, the rehabilitation clinic informs the principal investigator and the family drops out of study arm 1.

### Study arm 3

During the quantitative survey period patients from study arm 1 and 2 and their families are informed about the qualitative study. We conduct a consecutive sampling of the first 20-25 families that agree to participate in the interview study. We include multiple family members if both parents agree to participate. However, single parents or families with only one interested parent will also be included. If parents agree to be interviewed about 12-18 months after baseline measurement, they give their informed consent and contact data, where they can be reached via telephone. About 12-18 months after baseline measurement one of the researchers is going to contact them and to conduct the telephone interview.

## Outcomes and measurements

### Study arms 1 and 2

#### *Quality of Life*

*Children:* Children's health-related quality of life is assessed by the KINDL-R.[30] There are three self-assessment versions (4-6 years, 7-13 years, 14-17 years) and two proxy versions for parents (3-6 years, 7-17 years).[31] In this study we use the self-assessment version for 14- to 17-year olds and the proxy version for parents of 7- to 17-year olds. In an unpublished feasibility study the version for 14- to 17-year olds has also been used for children from 11 to 17 years of age without any difficulties. The KINDL-R includes 24 items covering six dimensions of quality of life (physical well-being, psychological well-being, self-esteem, family, friends and school) and six additional items on the dimension illness.[32] Items refer to the past week and can be rated on a five-point Likert scale from (1) never to (5) always. A total score and seven subscale scores can be calculated. The self-assessment version and the proxy version both have proved to be reliable and valid.[33]

*Parents:* The Ulm Quality of Life Inventory for Parents (ULQIE) is a 29-item self-report instrument, designed for measuring the quality of life of parents of chronically ill children on

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3 five subscales: functioning, satisfaction with family situation, emotional stress, self-  
4 fulfillment and general well-being.[34] Parents assess on a five-point Likert scale from (0)  
5 never to (4) always their quality of life over the past week. High values indicate a high quality  
6 of life.[34] A total score of quality of life in general and five subscale scores can be obtained.  
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8 The ULQIE has satisfactory psychometric properties.[34]  
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### 13 14 15 16 *Emotional and behavioral symptoms*

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18 *Children:* The Strengths and Difficulties Questionnaire (SDQ) is a 25-item instrument to  
19 assess strengths and difficulties in behavior of children and adolescents on five different  
20 subscales: emotional symptoms, conduct problems, hyperactivity-inattention, peer  
21 relationship problems and prosocial behavior.[35] There are two versions: a self-report  
22 version for 11- to 16-year olds and a version for parents and teachers of 4- to 16-year  
23 olds.[35] Both versions use a three-point Likert scale with (0) not true, (1) somewhat true and  
24 (2) certainly true. A total difficulties score as well as five subscale-scores can be calculated. In  
25 this study both versions are used to obtain a multiperspective view on the behavior of  
26 paediatric cancer patients and their siblings. The self-report version and the version for  
27 parents both have adequate psychometric properties.[35, 36]  
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### 43 44 *Depression*

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46 *Parents:* The nine-item depression module (PHQ-9) of the Patient Health Questionnaire  
47 (PHQ) is a self-report depression screening questionnaire.[37] The PHQ-9 is used to classify  
48 depression severity in parents. The items reflect depression criteria based on the diagnosis  
49 criteria for depression disorders of DSM-IV.[38] Answers can be given in four categories  
50 from (0) not at all to (3) nearly every day.[39] The total score can be interpreted as the level  
51 of depression severity with cut-off values indicating minimal, mild, moderate, moderately  
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3 severe and severe depression.[39] The PHQ-9 has proved to be a valid and reliable  
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5 questionnaire.[39, 40]  
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### 8 9 *Anxiety*

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11 *Parents:* The Generalized Anxiety Disorder Screener (GAD-7) is a valid and reliable seven-  
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13 item self-report instrument designed to identify probable cases of generalized anxiety  
14  
15 disorder.[41, 42] In this study the GAD-7 is used to classify symptoms of anxiety in parents.  
16  
17 On a four-point Likert scale from (0) not at all to (3) nearly every day subjects report how  
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19 often they have been bothered by seven symptoms of the generalized anxiety disorder,  
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21 derived from the DSM-IV diagnostic criteria A, B and C.[38, 42] By using cut-off values the  
22  
23 subjects total GAD-7 score can be classified in mild, moderate and severe anxiety symptom  
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25 levels.[41]  
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### 30 31 *Fear of Progression*

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33 *Parents:* To measure fear of progression in parents of paediatric cancer patients, the adaption  
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35 of the Fear of Progression Questionnaire for the parental perspective (FoP-Q-SF/PR) is used  
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37 in this study.[43] The questionnaire contains nine items. Each of the items is scored on a five-  
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39 point Likert scale from (1) never to (5) very often. A total score can be calculated [44] and a  
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41 recommended cut-off value can be used for interpretation.[43] The FoP-Q-SF/PR has  
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43 adequate psychometric properties.[43]  
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### 49 50 *Coping*

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52 *Children:* Coping in paediatric cancer patients and their siblings is measured by the  
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54 KIDCOPE,[45] which assesses ten different coping strategies: distraction, social withdrawal,  
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56 wishful thinking, self-criticism, blaming others, problem solving, emotional regulation,  
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58 cognitive restructuring, social support and resignation. There are two versions of the  
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3 KIDCOPE: a 15-item version for children (7-12.9 years) and a 11-item version for  
4 adolescents (13-18.9 years).[45] In this study we use the version for adolescents. Children rate  
5 the frequency of the coping strategy use on a four-point Likert scale and the efficacy of each  
6 particular strategy on a five-point Likert scale.[45] The KIDCOPE has a sufficient reliability  
7 and validity.[45, 46]

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14 *Parents:* Coping in parents is measured by the Coping Health Inventory for Parents  
15 (CHIP).[47] The CHIP is used to assess the efficacy of different coping strategies in  
16 parents.[47] The 45 items are covering three different coping patterns: family (maintaining  
17 family integration, cooperation and an optimistic definition of the situation), support  
18 (maintaining social support, self-esteem and psychological stability) and medical  
19 (understanding the medical situation through communication with other parents and  
20 consultation with the medical staff).[48] Ratings are made on a four-point Likert scale from  
21 (0) not helpful to (3) extremely helpful. If parents did not use a certain coping strategy, they  
22 can specify if they did not use it or if they could not use it.[47] A total score as well as  
23 subscale scores can be calculate. Higher scores indicate more helpful coping strategies. The  
24 reliability and validity of the CHIP have been proved.[48]

### 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 *Family Functioning*

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43 Parents and children assess the global family functioning on the general functioning subscale  
44 of the Mc Master Family Assessment Device (FAD-GF).[49] The subscale is composed of 12  
45 items with a four-point Likert scale from (1) strongly agree to (4) strongly disagree including  
46 aspects like acceptance in the family and problem solving behavior. Higher total scores  
47 indicate lower family functioning.[49] The FAD-GF has proved to be reliable and valid.[50]

*Additional measures*

In addition to the validated scales listed above, we use self-developed items to assess parental burden related to the cancer illness, rehabilitation goals and their attainment, patient satisfaction or participant satisfaction respectively, reintegration and health care use. The self-developed items have been evaluated in an unpublished feasibility study. Received treatments during the rehabilitation measure and recommendations for aftercare are extracted from the medical discharge records in study arm 2. An overview of instruments, assessments and measurement time points (study arm 1 and 2) is displayed in table 1.

**Table 1.** Overview of study measures in study arms 1 and 2

Measures	Instruments	baseline/ pre	4-6 months/ post	12 months
<b>Data source: children (≥ 11 years)</b>				
Quality of life	KINDL-R[30-33]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[35, 36]	X	X	X
Coping	KIDCOPE[45, 46]	X	X	X
Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[49, 50]	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Patient/participant satisfaction <sup>a</sup>	Self-developed items		X	X
<b>Data source: parent</b>				
Socio-demographic data	Self-developed items	X		
Medical data	Self-developed items (e.g. parental disease, parental health care use)	X		
Quality of life	Ulm Quality of Life Inventory for Parents (ULQIE)[34]	X	X	X
Depression	Patient Health Questionnaire (PHQ-9)[37, 39, 40]	X	X	X
Anxiety	Generalized Anxiety Disorder Screener (GAD-7)[41, 42]	X	X	X
Fear of progression	FoP-Q-SF/PR[43]	X	X	X
Coping	Coping Health Inventory for Parents (CHIP)[47, 48]	X	X	X

Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[49, 50]	X	X	X
Parental burden related to the cancer illness	Self-developed items	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Participant satisfaction <sup>a</sup>	Self-developed items		X	X
Reintegration	Self-developed items		X	X
<b>About children (patient and max. two siblings)</b>				
Socio-demographic data	Self-developed items	X		
Medical data	Self-developed items (e.g. diagnosis, comorbidity, treatments received, use of health care services)	X	X	X
Quality of life	KINDL-R[30-33]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[35, 36]	X	X	X
Reintegration and health care use	Self-developed items		X	X
<b>Medical assessment</b>				
Medical data (diagnosis, treatments etc.) <sup>b</sup>	Self-developed items	X		
Functional impairments <sup>c</sup>	Self-developed items	X	X	
Rehabilitation goals <sup>c</sup>	Self-developed items	X		
Goal attainment <sup>c</sup>	Self-developed items		X	
Treatments received during rehabilitation <sup>a</sup>	Rehabilitation discharge report		X	
Recommendations for aftercare <sup>a</sup>	Rehabilitation discharge report		X	

<sup>a</sup> only in study arm 2

<sup>b</sup> from study registries (study arm 1) or rehabilitation physician (study arm 2)

<sup>c</sup> assessed by rehabilitation physician (study arm 2)

### Study arm 3

The interviews will be conducted using an interview guideline developed on basis of theoretical background and knowledge from prior studies on similar topics. To test the comprehensibility of the questions a pilot interview will be conducted. The interview guideline will cover the following aspects:

- How did the process of reintegration in school (for children) and working life (for parents) proceed?
- What are the experiences regarding facilitating factors and barriers for reintegration?
- If using rehabilitation measure: which factors were helpful for reintegration? Which aspects were amiss?
- Which healthcare services did parents and children use after completion of treatment?

### Data analyses

#### Quantitative analyses

The research questions on reintegration, use of rehabilitation measures and the effects of rehabilitation measures (research questions 1, 2 and 5) will be analysed based on data from the combined sample from study arms 1 and 2. Where applicable, the sample will be divided into users and non-users of rehabilitation measures.

The research questions on the rehabilitation process (research questions 3 and 4) are analysed based on data from study arm 2 only.

Baseline scores and follow-up scores are analysed using descriptive analyses and if applicable compared with reference values. Using univariate analyses (t-test, ANOVA) or comparable non-parametric tests, differences between subgroups (e.g. mothers and fathers, cancer patients and siblings, users and non-users of rehabilitation measures, leukaemia and brain tumour patients) will be explored. Correlations will be calculated to explore associations between outcome variables and to measure potential non-independence of data in families.

The longitudinal nature of this study allows multivariate analysis strategies such as regression analysis, multilevel modeling and repeated measure analysis of variance to investigate the role of other factors and to examine changes over time.

## Qualitative analyses

Qualitative interviews (study arm 3) will be recorded and transcribed verbatim. Transcripts will be analysed using thematic analysis.[51, 52] Main themes discussed in the interviews will be extracted in a first step. These themes will be subcategorised and discussed. A coding guideline with exemplary codes will be developed and presents the basis for a final coding of the entire material. To verify reliability and validity of the process and the final categories two researchers will conduct parts of the coding independently and discuss their results.

## Sample size and power

Sample size calculation indicates that a total sample size of 142 patients is sufficient to detect medium group differences ( $f=0.25$ ) with a power of 95% at a significance level of  $p<.05$ . Considering two measurements medium effects with a power of 85% can be detected with a sample size of 110.

For study arm 1 (study registries) there is an expected patient number of about 224 per year (calculated based on previous annual statistics). Based on an estimated 50% response-rate and a drop-out rate of 30% during follow-up about 118 patients can be included within 18 months of recruitment. However, since the distribution of users and non-users of rehabilitation measures in study arm 1 is unknown and the study has an observational design with a focus on exploratory analyses, we aim to include as many patients as possible during the recruitment period.

For study arm 2 (rehabilitation measure) there is an expected patient number of about 117 per year (calculated based on previous annual statistics). Based on an estimated 50% initial response-rate and a drop-out rate of 30% in 24 months of recruitment about 82 patients can be included.

## ETHICS AND DISSEMINATION

The medical ethics committee of the Medical Chamber of Hamburg reviewed and approved the study protocol (date: May 20, 2016, number: PV5277). Written information are provided to children ( $\geq 11$  years) and parents and they are asked to give their written informed consent prior to data collection. There are two different information and consent forms for children (11-13 years) and adolescents (14-17 years) with age-appropriate formulations. Parents additionally give their informed consent for their children ( $\geq 11$  years). The project is a naturalistic, observational study. There is no additional study-specific intervention besides the rehabilitation measure as a part of routine care within the framework of this study. The results of this project may help to optimise healthcare services that support the families after the end of cancer treatment with the re-entry into daily life.

The project duration is 42 months. The study was initiated in March 2016. Within the first four months extensive preparatory work was carried out. The recruitment of participants in study arm 1 started in August 2016 and in study arm 2 in July 2016. Completion of data collection is planned to be in June 2019. Data entry, management and analysis as well as the publication of the findings in peer-reviewed journals and at conferences will take place continuously.

## DISCUSSION

To the best of our knowledge, only few prospective, longitudinal studies have examined the situation of families with a child with cancer after the end of cancer treatment.[19, 26, 53, 54] So far, there is no study on processes of reintegration of all family members and no research on the role of rehabilitation measures in reintegration into daily life for affected families. The aim of this study is to investigate reintegration systematically and to explore factors associated with this process, especially focusing on rehabilitation measures. We aim to assess different trajectories of reintegration and to identify the role of rehabilitation measures for



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childhood cancer survivors and their families. The assessment of several outcomes from both the child's and the parent's view allows for a comprehensive, multiperspective insight in factors influencing reintegration. This might help to further the understanding of the situation of childhood cancer survivors and their families and to identify specific support needs with regard to reintegration. Therefore, the findings of this study may contribute to optimise healthcare services for families affected with childhood cancer and to develop aftercare programmes and interventions to facilitate the re-entry into 'normal' life of childhood cancer survivors and their families.

## DECLARATIONS

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- *International HIT-MED Registry* (I-HIT-MED; University Medical Center Hamburg-Eppendorf; contact person: Prof. Dr. Stefan Rutkowski)
- *SIOP-LGG 2004 study* (SIOP-LGG; Medical Center Augsburg; contact person: Dr. Astrid K. Gnekow)
- *CoALL-study registry* (CoALL; University Medical Center Hamburg-Eppendorf; contact persons: Prof. Dr. Martin Horstmann, PD Dr. Gabriele Escherich)
- Rehabilitation Clinic Bad Oexen (contact persons: Konstantin Krauth, Dr. Thomas Schulte).

### Competing interests

The authors declare that they have no financial and non-financial competing interests.



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## Authors’ contributions

CB is the principal investigator of the study. CB and LI developed the study concept and the design. LI and MLP developed the study materials and acquire the data. MLP and LI will analyse and interpret the data. MLP and LI wrote the first draft of the study protocol. CB revised the first draft critically for important intellectual content. MLP, LI and CB have revised the subsequent drafts critically and approved the final manuscript to be published. MLP, LI and CB agree to be accountable for all aspects of the work.

## Data sharing statement

As this manuscript describes a study protocol, we cannot share any data yet.

## Abbreviations

ARGE: ‘North Rhine-Westphalia Association for the Fight Against Cancer, Germany’ (Arbeitsgemeinschaft für Krebsbekämpfung im Lande Nordrhein-Westfalen)

CHIP: Coping Health Inventory for Parents

CoALL: CoALL-study registry

FAD-GF: Family Assessment Device (General Functioning scale)

GAD-7: Generalized Anxiety Disorder Screener

I-HIT-MED: International HIT-MED Registry

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3 PHQ-9: Patient Health Questionnaire

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5 SDQ: Strengths and Difficulties Questionnaire

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7 SIOP-LGG: SIOP-LGG 2004 study

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10 ULQIE: Ulm Quality of Life Inventory for Parents

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### 31 **FIGURES**

32 Figure 1. Study recruitment and procedure in study arms 1 and 2.....8  
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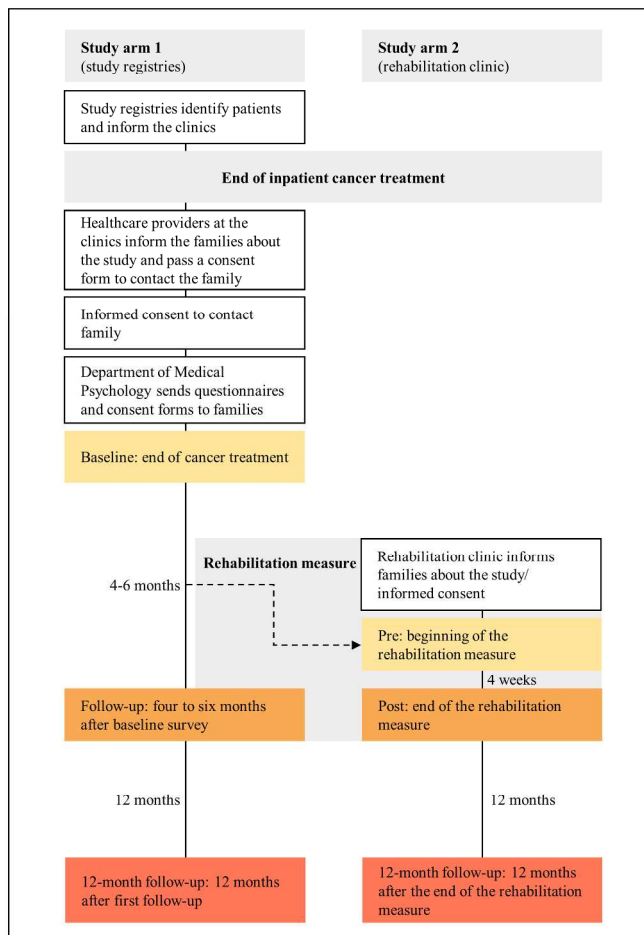


Figure 1. Study recruitment and procedure in study arms 1 and 2

Figure 1. Study recruitment and procedure in study arms 1 and 2

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# BMJ Open

## The role of rehabilitation measures in reintegration of children with brain tumour or leukaemia and their families after completion of cancer treatment - A study protocol

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Manuscripts

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3 The role of rehabilitation measures in reintegration of children with brain tumour or  
4 leukaemia and their families after completion of cancer treatment - A study protocol  
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## ABSTRACT

**Introduction:** For ill children as well as for their parents and siblings childhood cancer poses a major challenge. Little is known about the reintegration into daily life of childhood cancer survivors and their families. The aim of this prospective observational study is to further the understanding of the role of rehabilitation measures in the reintegration process of childhood leukaemia or brain tumour survivors and their family members after the end of cancer treatment.

**Methods and analysis:** This prospective observational study consists of three study arms: a quantitative study in cooperation with three German paediatric oncological study registries (study arm 1), a quantitative study in cooperation with a rehabilitation clinic which offers a family-oriented paediatric oncological rehabilitation programme (study arm 2) and a qualitative study at 12-month follow-up including families from the study arms 1 and 2 (study arm 3). In study arm 1 children, parents and siblings are surveyed after treatment (baseline), four to six months after baseline measurement and at 12-month follow-up. In study arm 2 data is collected at the beginning and at the end of the rehabilitation measure and at 12-month follow-up. Families are assessed with standardised questionnaires on quality of life, emotional and behavioral symptoms, depression, anxiety, fear of progression, coping and family functioning. Further, self-developed items on rehabilitation aims and reintegration into daily life are used. Where applicable, users and non-users of rehabilitation measures will be compared regarding the outcome parameters. Longitudinal data will be analysed by means of multivariate analysis strategies. Reference values will be used for comparisons if applicable. Qualitative data will be analysed using thematic analysis.

**Ethics and dissemination:** This study has been approved by the medical ethics committee of the Medical Chamber of Hamburg. Data will be published in peer-reviewed journals and presented at conferences.

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3 **Keywords:** childhood cancer, leukaemia, brain tumour, survivor, family-oriented  
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5 rehabilitation, parents, siblings  
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### 10 **Strengths and limitations of this study**

- 11 • The study includes users and non-users of a family-oriented rehabilitation programme  
12 and thus enables the investigation of facilitating factors and barriers for the utilisation  
13 of rehabilitation measures as well as its role in long-term reintegration processes.  
14
- 15 • The inclusion of childhood cancer survivors, their parents and siblings allows for  
16 multiperspective analyses on long-term developments and factors influencing the  
17 families' reintegration into daily life after childhood cancer.  
18
- 19 • The results of this study may help to identify specific needs for support after the end  
20 of cancer treatment and to optimise healthcare services that support the families with  
21 the re-entry into 'normal' life.  
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- 23 • Due to the mainly questionnaire based study design and thus the required sufficient  
24 German language skills a selection bias cannot be ruled out.  
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- 26 • Ethical guidelines and the effort to carry out a nationwide study require a complex  
27 recruitment scheme in which a subsequent non-responder analysis can only be  
28 conducted for medical data.  
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## INTRODUCTION

Cancer diagnosis in children and adolescents leads to major disruption in their own lives and in the whole family. Depending on diagnosis and treatment, children and adolescents are torn out of their daily life such as family and social life as well as school for months or even for years. Children and adolescents with cancer suffer from physical changes and display impaired quality of life and well-being compared to their healthy peers.[1-3] Behavioral changes and difficulties in reintegrating in school can occur in consequence of cancer disease and treatment.[4] Even after the end of cancer treatment childhood cancer patients show elevated emotional distress and have an increased risk for developing mental-health problems.[5, 6]

Likewise, parents and siblings of the patient are confronted with major changes and burden in their lives when a child is diagnosed with cancer.[7, 8] In addition to the life-threatening disease, separation of family members due to hospital stays, social isolation or financial difficulties impact families.[9] Parents of childhood cancer patients report posttraumatic stress and low quality of life.[10, 11] They experience fear of progression and are highly emotionally burdened.[12, 13] Healthy siblings often experience the loss of parental attention and have to fulfil new responsibilities and roles within the family.[14] They show emotional reactions such as elevated distress and anxiety levels as well as behavioral problems or difficulties in school.[15]

The most frequent cancer diagnoses in children under the age of 18 years in Germany are leukaemia and brain tumours.[16] Due to improved treatment methods the five-year survival rate in Germany has increased to approximately 80% for childhood cancer patients under the age of 15 years.[16] However, childhood cancer patients and their families are at risk for long-term consequences. In this study, the end of cancer treatment is defined as the end of intensive cancer treatments such as chemotherapy, radiation, surgery and stem cell transplantation or bone marrow transplantation. After the end of cancer treatment, patients

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3 and their families are discharged from structured treatment plans to a new daily life – a  
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5 milestone for many families. They want to look forward and try to restore family life to  
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7 normality as before the disease.[17] While they are relieved surviving the disease, families  
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9 describe returning to daily life as a difficult time.[18] In particular parents can experience  
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11 feelings of exhaustion and inner emptiness after a long time of exceptional circumstances  
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13 requiring them to function and to pull through.[17] After a long period of absence from school  
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15 for the children and from working life for the parents, re-entry and reintegration are the next  
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17 steps after the end of cancer treatment.[19, 20] Still, familial conflicts can impede scholastic  
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19 reintegration.[21]  
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22 In the German rehabilitation system two rehabilitation concepts are established to support  
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24 childhood cancer patients and their families after the inpatient cancer treatment.[22, 23]  
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26 Family-oriented rehabilitation was developed for childhood cancer patients ( $\leq 15$  years), their  
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28 parents and healthy siblings.[22] The primary aim of the four-week inpatient rehabilitation  
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30 programme is to achieve the patients' rehabilitation goals and to ensure the treatment  
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32 success.[22] Therefore, multiprofessional therapeutic teams of physicians, clinical  
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34 psychologists, social education workers and other professionals offer group or individual  
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36 therapies and activities as required for all family members during the rehabilitation  
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38 programme.[22] For adolescent cancer patients ( $> 15$  years) a rehabilitation programme in  
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40 small groups was developed to adapt to the specific developmental needs of adolescents (e.g.  
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42 support with development of autonomy).[22] In this rehabilitation programme adolescents are  
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44 not accompanied by their family members.  
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50 So far, only a few studies evaluated family-oriented rehabilitation programmes. Overall a  
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52 positive impact on quality of life and psychological symptoms in patients, siblings and parents  
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54 could be found.[3, 24-28] In a recent study a different evaluation approach has been pursued.  
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56 The authors analysed 422 medical discharge summaries of children and adolescents who  
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58 participated in a paediatric oncological rehabilitation measure.[29] According to rehabilitation  
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3 physicians' opinion 86% of the children and adolescents achieved their rehabilitation goals  
4 such as the improvement of physical efficiency and the integration in peer group. However,  
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7 until now there are no longitudinal studies with an adequate reference group and previous  
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10 studies focused primarily on aspects like quality of life.[24, 26, 28] Thereby, parental fear of  
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12 progression or family functioning have not been investigated in the context of family-oriented  
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14 rehabilitation programmes. Furthermore, in Germany there is no systematic data available on  
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16 the participation rate in family-oriented paediatric cancer rehabilitation programmes. Expert  
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18 ratings range from 30-100% (unpublished pilot data). Moreover, little is known about the  
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20 reintegration of childhood cancer survivors and their families into daily life after the end of  
21  
22 cancer treatment and the potential role of rehabilitation measures in the reintegration process.  
23  
24 Therefore, the primary aim of this study is to further the understanding of the process of  
25  
26 reintegration of paediatric cancer survivors and their family members after the end of cancer  
27  
28 treatment and the role of rehabilitation measures in this process.

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30  
31 The main research questions are:

- 32  
33 1) Reintegration: which factors impede or facilitate reintegration?
- 34  
35 2) Use of rehabilitation measures: which factors impede or facilitate use of rehabilitation  
36  
37 measures?
- 38  
39 3) Rehabilitation process: which factors influence activity and participation of families  
40  
41 during and after the rehabilitation measure?
- 42  
43 4) Rehabilitation process: which treatments do patients and families receive during the  
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45 rehabilitation measure and how are rehabilitation goals, treatments and goal attainment  
46  
47 associated?
- 48  
49 5) Effects of rehabilitation measures: which are the long-term effects of rehabilitation  
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51 measures with regard to reintegration and psychosocial outcomes?
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## METHODS AND ANALYSIS

### Design

In order to address the research questions with regard to the role of rehabilitation measures in the reintegration of children and their families after completion of cancer treatment mentioned above, we conduct a prospective observational study with a longitudinal design including a baseline measurement and two follow-up measurements. The study focusses on the most frequent childhood cancers in Germany [16] and thus includes children with brain tumour or leukaemia and their families.

The investigation of factors associated with the use of rehabilitation measures and the role of rehabilitation measures for the reintegration after the end of cancer treatment requires the inclusion of a comparison group of non-users. Therefore, this study aims to include a consecutive sample of patients and families at the end of cancer treatment in order to include both subsequent users and non-users of rehabilitation measures.

The study will consist of three study arms:

- The first study arm is conducted as a quantitative study in cooperation with three German nationwide paediatric oncological study registries in which childhood cancer patients with high and low grade brain tumours as well as leukaemia are registered (main research focus: participation versus non-participation in rehabilitation measures).
- The second study arm is a quantitative study in cooperation with a rehabilitation clinic offering a family-oriented paediatric cancer rehabilitation programme (main research focus: rehabilitation process).
- The third study arm is a qualitative interview study including 20-25 families from study arms 1 and 2 (main research focus: deeper understanding of the reintegration processes).

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3 *Measurement time points*

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5 *Study arm 1 (study registries):*

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7 Baseline: end of cancer treatment

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9 Follow-up: four to six months after baseline survey

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11 12-month follow-up: 12 months after first follow-up

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14 *Study arm 2 (rehabilitation clinic):*

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16 Pre: beginning of the rehabilitation measure

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18 Post: end of the rehabilitation measure

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20 12-month follow-up: 12 months after the end of the rehabilitation measure

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23 *Study arm 3 (qualitative study):*

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25 12-month follow-up

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29 The different measurement time points in study arm 1 and 2 have been chosen for two  
30 reasons. First, the timing of the entry into a rehabilitation measure depends on the families  
31 and their specific situation. Consequently, we cannot survey families after the end of cancer  
32 treatment in study arm 2. Second, the follow-up measurement in study arm 1 is temporally  
33 delayed in comparison to the post measurement in study arm 2 because we want to give the  
34 families the chance to use a rehabilitation measure between the first two measurement time  
35 points in order to receive matching times of measurements for the last two measurements in  
36 both study arms.  
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Participants from study arm 1 who attend the rehabilitation measure in the cooperating  
rehabilitation clinic drop out of study arm 1 and subsequently are surveyed in study arm 2. An  
overview of the recruitment and procedure in the study arms 1 and 2 is displayed in figure 1.

### Cooperation partners

The recruitment of participants in study arm 1 is carried out in cooperation with the *International HIT-MED Registry* (I-HIT-MED; University Medical Center Hamburg-Eppendorf; ClinicalTrials.gov Identifier: NCT02417324), the study registry of the *SIOP-LGG 2004 study* (SIOP-LGG; Medical Center Augsburg; ClinicalTrials.gov Identifier: NCT00276640) and the *CoALL-study registry* (CoALL; University Medical Center Hamburg-Eppendorf; ClinicalTrials.gov Identifier: NCT01228331). The treatment group in study arm 2 is recruited in the Rehabilitation Clinic Bad Oexen.

### Inclusion and exclusion criteria

In study arms 1 and 2 children and adolescents ( $\geq 11$  years) and their siblings ( $\geq 11$  years) as well as their parents are surveyed. Parents can be biological or social parents or other attachment figures. In study arm 1 inclusion and exclusion criteria will be assessed by the study registries (age, diagnosis) and the healthcare providers in the clinics. The rehabilitation physicians will assess inclusion and exclusion criteria at the beginning of the rehabilitation measure in study arm 2.

#### Inclusion criteria

- Brain tumour or leukaemia diagnosis (patients with low grade brain tumours and their families are only included if the patient received radiation or chemotherapy)
- Patients' age under 18 years
- Signed informed consent

#### Exclusion criteria

- Different diagnosis than brain tumour or leukaemia
- Patients' age over 17 years

- Refusal of participation
- Physical and/or mental burden
- Cognitive limitations
- Insufficient language skills

## Recruitment and procedure

### Study arm 1

The study registries estimate the end of cancer treatment of their registered patients based on the information available (i.a. time of diagnosis, treatment protocol). They inform the clinic where the patient receives treatment about the study. Healthcare providers at the clinics inform the patients and their families about the study after the end of cancer treatment, ask them to participate and pass a data set containing an invitation and information letter as well as a consent form to contact the family. After patients or parents send the signed consent and their contact data to the Department of Medical Psychology (University Medical Center Hamburg-Eppendorf), the parents are sent a set of baseline questionnaires and consent forms for participation for all included family members. If the signed informed consent form to contact the families is not sent back within four weeks after contacting the clinic where the patient receives treatment, the study registries remind the healthcare providers in the clinics to contact or remind the families where necessary.

### Study arm 2

The rehabilitation clinic identifies patients with brain tumour or leukaemia at the beginning of the rehabilitation measure, informs them and their families about the study and asks them to participate. If the families agree to participate and sign the informed consent form, they receive a baseline questionnaire for all family members applicable according the inclusion criteria. At the end of the rehabilitation measure the families receive the second set of

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3 questionnaires. After 12 months they receive the final set of questionnaires from the  
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5 rehabilitation clinic.

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7 If families agree to participate, but have already been recruited into study arm 1, the  
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9 rehabilitation clinic informs the principal investigator and the family drops out of study arm 1.  
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### 11 12 13 Study arm 3

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15 During the quantitative survey period patients from study arm 1 and 2 and their families are  
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17 informed about the qualitative study. We conduct a consecutive sampling of the first 20-25  
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19 families that agree to participate in the interview study. We include multiple family members  
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21 if both parents agree to participate. However, single parents or families with only one  
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23 interested parent will also be included. If parents agree to be interviewed about 12-18 months  
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25 after baseline measurement, they give their informed consent and contact data, where they can  
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27 be reached via telephone. About 12-18 months after baseline measurement one of the  
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29 researchers is going to contact them and to conduct the telephone interview.  
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## 36 **Outcomes and measurements**

### 37 38 Study arms 1 and 2

#### 39 40 *Quality of Life*

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42 *Children:* Children's health-related quality of life is assessed by the KINDL-R.[30] There are  
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44 three self-assessment versions (4-6 years, 7-13 years, 14-17 years) and two proxy versions for  
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46 parents (3-6 years, 7-17 years).[31] In this study we use the self-assessment version for 14- to  
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48 17-year olds and the proxy version for parents of 7- to 17-year olds. In an unpublished  
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50 feasibility study the version for 14- to 17-year olds has also been used for children from 11 to  
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52 17 years of age without any difficulties. The KINDL-R includes 24 items covering six  
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54 dimensions of quality of life (physical well-being, psychological well-being, self-esteem,  
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56 family, friends and school) and six additional items on the dimension illness.[32] Items refer  
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3 to the past week and can be rated on a five-point Likert scale from (1) never to (5) always. A  
4 total score and seven subscale scores can be calculated. The self-assessment version and the  
5 proxy version both have proved to be reliable and valid.[33]  
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10 *Parents:* The Ulm Quality of Life Inventory for Parents (ULQIE) is a 29-item self-report  
11 instrument, designed for measuring the quality of life of parents of chronically ill children on  
12 five subscales: functioning, satisfaction with family situation, emotional stress, self-  
13 fulfillment and general well-being.[34] Parents assess on a five-point Likert scale from (0)  
14 never to (4) always their quality of life over the past week. High values indicate a high quality  
15 of life.[34] A total score of quality of life in general and five subscale scores can be obtained.  
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23 The ULQIE has satisfactory psychometric properties.[34]  
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#### 25 26 27 *Emotional and behavioral symptoms* 28

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30 *Children:* The Strengths and Difficulties Questionnaire (SDQ) is a 25-item instrument to  
31 assess strengths and difficulties in behavior of children and adolescents on five different  
32 subscales: emotional symptoms, conduct problems, hyperactivity-inattention, peer  
33 relationship problems and prosocial behavior.[35] There are two versions: a self-report  
34 version for 11- to 16-year olds and a version for parents and teachers of 4- to 16-year  
35 olds.[35] Both versions use a three-point Likert scale with (0) not true, (1) somewhat true and  
36 (2) certainly true. A total difficulties score as well as five subscale-scores can be calculated. In  
37 this study both versions are used to obtain a multiperspective view on the behavior of  
38 paediatric cancer patients and their siblings. The self-report version and the version for  
39 parents both have adequate psychometric properties.[35, 36]  
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#### 51 52 53 54 *Depression*

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56 *Parents:* The nine-item depression module (PHQ-9) of the Patient Health Questionnaire  
57 (PHQ) is a self-report depression screening questionnaire.[37] The PHQ-9 is used to classify  
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3 depression severity in parents. The items reflect depression criteria based on the diagnosis  
4 criteria for depression disorders of DSM-IV.[38] Answers can be given in four categories  
5 from (0) not at all to (3) nearly every day.[39] The total score can be interpreted as the level  
6 of depression severity with cut-off values indicating minimal, mild, moderate, moderately  
7 severe and severe depression.[39] The PHQ-9 has proved to be a valid and reliable  
8 questionnaire.[39, 40]  
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### 16 17 18 *Anxiety*

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20 *Parents:* The Generalized Anxiety Disorder Screener (GAD-7) is a valid and reliable seven-  
21 item self-report instrument designed to identify probable cases of generalized anxiety  
22 disorder.[41, 42] In this study the GAD-7 is used to classify symptoms of anxiety in parents.  
23 On a four-point Likert scale from (0) not at all to (3) nearly every day subjects report how  
24 often they have been bothered by seven symptoms of the generalized anxiety disorder,  
25 derived from the DSM-IV diagnostic criteria A, B and C.[38, 42] By using cut-off values the  
26 subjects total GAD-7 score can be classified in mild, moderate and severe anxiety symptom  
27 levels.[41]  
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### 41 *Fear of Progression*

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43 *Parents:* To measure fear of progression in parents of paediatric cancer patients, the adaption  
44 of the Fear of Progression Questionnaire for the parental perspective (FoP-Q-SF/PR) is used  
45 in this study.[43] The questionnaire contains nine items. Each of the items is scored on a five-  
46 point Likert scale from (1) never to (5) very often. A total score can be calculated [44] and a  
47 recommended cut-off value can be used for interpretation.[43] The FoP-Q-SF/PR has  
48 adequate psychometric properties.[43]  
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### *Coping*

*Children:* Coping in paediatric cancer patients and their siblings is measured by the KIDCOPE[45], which assesses ten different coping strategies: distraction, social withdrawal, wishful thinking, self-criticism, blaming others, problem solving, emotional regulation, cognitive restructuring, social support and resignation. There are two versions of the KIDCOPE: a 15-item version for children (7-12.9 years) and a 11-item version for adolescents (13-18.9 years).[45] In this study we use the version for adolescents. Children rate the frequency of the coping strategy use on a four-point Likert scale and the efficacy of each particular strategy on a five-point Likert scale.[45] The KIDCOPE has a sufficient reliability and validity.[45, 46]

*Parents:* Coping in parents is measured by the Coping Health Inventory for Parents (CHIP).[47] The CHIP is used to assess the efficacy of different coping strategies in parents.[47] The 45 items are covering three different coping patterns: family (maintaining family integration, cooperation and an optimistic definition of the situation), support (maintaining social support, self-esteem and psychological stability) and medical (understanding the medical situation through communication with other parents and consultation with the medical staff).[48] Ratings are made on a four-point Likert scale from (0) not helpful to (3) extremely helpful. If parents did not use a certain coping strategy, they can specify if they did not use it or if they could not use it.[47] A total score as well as subscale scores can be calculate. Higher scores indicate more helpful coping strategies. The reliability and validity of the CHIP have been proved.[48]

### *Family Functioning*

Parents and children assess the global family functioning on the general functioning subscale of the Mc Master Family Assessment Device (FAD-GF).[49] The subscale is composed of 12 items with a four-point Likert scale from (1) strongly agree to (4) strongly disagree including

aspects like acceptance in the family and problem solving behavior. Higher total scores indicate lower family functioning.[49] The FAD-GF has proved to be reliable and valid.[50]

### *Additional measures*

In addition to the validated scales listed above, we use self-developed items to assess parental burden related to the cancer illness, rehabilitation goals and their attainment, patient satisfaction or participant satisfaction respectively, reintegration and health care use. The self-developed items have been evaluated in an unpublished feasibility study. Received treatments during the rehabilitation measure and recommendations for aftercare are extracted from the medical discharge records in study arm 2. An overview of instruments, assessments and measurement time points (study arm 1 and 2) is displayed in table 1.

**Table 1.** Overview of study measures in study arms 1 and 2

Measures	Instruments	baseline/ pre	4-6 months/ post	12 months
<b>Data source: children (≥ 11 years)</b>				
Quality of life	KINDL-R[30-33]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[35, 36]	X	X	X
Coping	KIDCOPE[45, 46]	X	X	X
Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[49, 50]	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Patient/participant satisfaction <sup>a</sup>	Self-developed items		X	X
<b>Data source: parent</b>				
Socio-demographic data	Self-developed items	X		
Medical data	Self-developed items (e.g. parental disease, parental health care use)	X		
Quality of life	Ulm Quality of Life Inventory for Parents (ULQIE)[34]	X	X	X
Depression	Patient Health Questionnaire (PHQ-9)[37, 39, 40]	X	X	X

Anxiety	Generalized Anxiety Disorder Screener (GAD-7)[41, 42]	X	X	X
Fear of progression	FoP-Q-SF/PR[43]	X	X	X
Coping	Coping Health Inventory for Parents (CHIP)[47, 48]	X	X	X
Family functioning	Family Assessment Device (General Functioning scale; FAD-GF)[49, 50]	X	X	X
Parental burden related to the cancer illness	Self-developed items	X	X	X
Rehabilitation goals <sup>a</sup>	Self-developed items	X		
Participant satisfaction <sup>a</sup>	Self-developed items		X	X
Reintegration	Self-developed items		X	X
<b>About children (patient and max. two siblings)</b>				
Socio-demographic data	Self-developed items	X		
Medical data	Self-developed items (e.g. diagnosis, comorbidity, treatments received, use of health care services)	X	X	X
Quality of life	KINDL-R[30-33]	X	X	X
Emotional and behavioral symptoms	Strengths and Difficulties Questionnaire (SDQ)[35, 36]	X	X	X
Reintegration and health care use	Self-developed items		X	X
<b>Medical assessment</b>				
Medical data (diagnosis, treatments etc.) <sup>b</sup>	Self-developed items	X		
Functional impairments <sup>c</sup>	Self-developed items	X	X	
Rehabilitation goals <sup>c</sup>	Self-developed items	X		
Goal attainment <sup>c</sup>	Self-developed items		X	
Treatments received during rehabilitation <sup>a</sup>	Rehabilitation discharge report		X	
Recommendations for aftercare <sup>a</sup>	Rehabilitation discharge report		X	

<sup>a</sup> only in study arm 2

<sup>b</sup> from study registries (study arm 1) or rehabilitation physician (study arm 2)

<sup>c</sup> assessed by rehabilitation physician (study arm 2)

### Study arm 3

The interviews will be conducted using an interview guideline developed on basis of theoretical background and knowledge from prior studies on similar topics. To test the

comprehensibility of the questions a pilot interview will be conducted. The interview guideline will cover the following aspects:

- How did the process of reintegration in school (for children) and working life (for parents) proceed?
- What are the experiences regarding facilitating factors and barriers for reintegration?
- If using rehabilitation measure: which factors were helpful for reintegration? Which aspects were amiss?
- Which healthcare services did parents and children use after completion of treatment?

### Data analyses

#### Quantitative analyses

The research questions on reintegration, use of rehabilitation measures and the effects of rehabilitation measures (research questions 1, 2 and 5) will be analysed based on data from the combined sample from study arms 1 and 2. Where applicable, the sample will be divided into users and non-users of rehabilitation measures.

The research questions on the rehabilitation process (research questions 3 and 4) are analysed based on data from study arm 2 only.

Baseline scores and follow-up scores are analysed using descriptive analyses and if applicable compared with reference values. Using univariate analyses (t-test, ANOVA) or comparable non-parametric tests, differences between subgroups (e.g. mothers and fathers, cancer patients and siblings, users and non-users of rehabilitation measures, leukaemia and brain tumour patients) will be explored. Correlations will be calculated to explore associations between outcome variables and to measure potential non-independence of data in families.

The longitudinal nature of this study allows multivariate analysis strategies such as regression analysis, multilevel modeling and repeated measure analysis of variance to investigate the role of other factors and to examine changes over time.

## Qualitative analyses

Qualitative interviews (study arm 3) will be recorded and transcribed verbatim. Transcripts will be analysed using thematic analysis.[51, 52] Main themes discussed in the interviews will be extracted in a first step. These themes will be subcategorised and discussed. A coding guideline with exemplary codes will be developed and presents the basis for a final coding of the entire material. To verify reliability and validity of the process and the final categories two researchers will conduct parts of the coding independently and discuss their results.

## Sample size and power

Sample size calculation indicates that a total sample size of 142 patients is sufficient to detect medium group differences ( $f=0.25$ ) with a power of 95% at a significance level of  $p<.05$ .

Considering two measurements medium effects with a power of 85% can be detected with a sample size of 110.

For study arm 1 (study registries) there is an expected patient number of about 224 per year (calculated based on previous annual statistics). Based on an estimated 50% response-rate and a drop-out rate of 30% during follow-up about 118 patients can be included within 18 months of recruitment. However, since the distribution of users and non-users of rehabilitation measures in study arm 1 is unknown and the study has an observational design with a focus on exploratory analyses, we aim to include as many patients as possible during the recruitment period.

For study arm 2 (rehabilitation measure) there is an expected patient number of about 117 per year (calculated based on previous annual statistics). Based on an estimated 50% initial response-rate and a drop-out rate of 30% in 24 months of recruitment about 82 patients can be included.

## ETHICS AND DISSEMINATION

The medical ethics committee of the Medical Chamber of Hamburg reviewed and approved the study protocol (date: May 20, 2016, number: PV5277). Written information are provided to children ( $\geq 11$  years) and parents and they are asked to give their written informed consent prior to data collection. There are two different information and consent forms for children (11-13 years) and adolescents (14-17 years) with age-appropriate formulations. Parents additionally give their informed consent for their children ( $\geq 11$  years). The project is a naturalistic, observational study. There is no additional study-specific intervention besides the rehabilitation measure as a part of routine care within the framework of this study. The results of this project may help to optimise healthcare services that support the families after the end of cancer treatment with the re-entry into daily life.

The project duration is 42 months. The study was initiated in March 2016. Within the first four months extensive preparatory work was carried out. The recruitment of participants in study arm 1 started in August 2016 and in study arm 2 in July 2016. Completion of data collection is planned to be in June 2019. Data entry, management and analysis as well as the publication of the findings in peer-reviewed journals and at conferences will take place continuously.

## DISCUSSION

To the best of our knowledge, only few prospective, longitudinal studies have examined the situation of families with a child with cancer after the end of cancer treatment.[19, 26, 53, 54] So far, there is no study on processes of reintegration of all family members and no research on the role of rehabilitation measures in reintegration into daily life for affected families. The aim of this study is to investigate reintegration systematically and to explore factors associated with this process, especially focusing on rehabilitation measures. We aim to assess different trajectories of reintegration and to identify the role of rehabilitation measures for

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childhood cancer survivors and their families. The assessment of several outcomes from both the child's and the parent's view allows for a comprehensive, multiperspective insight in factors influencing reintegration. This might help to further the understanding of the situation of childhood cancer survivors and their families and to identify specific support needs with regard to reintegration. Therefore, the findings of this study may contribute to optimise healthcare services for families affected with childhood cancer and to develop aftercare programmes and interventions to facilitate the re-entry into 'normal' life of childhood cancer survivors and their families.

## DECLARATIONS

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- *International HIT-MED Registry* (I-HIT-MED; University Medical Center Hamburg-Eppendorf; contact person: Prof. Dr. Stefan Rutkowski)
- *SIOP-LGG 2004 study* (SIOP-LGG; Medical Center Augsburg; contact person: Dr. Astrid K. Gnekow)
- *CoALL-study registry* (CoALL; University Medical Center Hamburg-Eppendorf; contact persons: Prof. Dr. Martin Horstmann, PD Dr. Gabriele Escherich)
- Rehabilitation Clinic Bad Oexen (contact persons: Konstantin Krauth, Dr. Thomas Schulte).

### Competing interests

The authors declare that they have no financial and non-financial competing interests.



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## Authors’ contributions

CB is the principal investigator of the study. CB and LI developed the study concept and the design. LI and MLP developed the study materials and acquire the data. MLP and LI will analyse and interpret the data. MLP and LI wrote the first draft of the study protocol. CB revised the first draft critically for important intellectual content. MLP, LI and CB have revised the subsequent drafts critically and approved the final manuscript to be published. MLP, LI and CB agree to be accountable for all aspects of the work.

## Data sharing statement

As this manuscript describes a study protocol, we cannot share any data yet.

## Abbreviations

ARGE: ‘North Rhine-Westphalia Association for the Fight Against Cancer, Germany’ (Arbeitsgemeinschaft für Krebsbekämpfung im Lande Nordrhein-Westfalen)

CHIP: Coping Health Inventory for Parents

CoALL: CoALL-study registry

FAD-GF: Family Assessment Device (General Functioning scale)

GAD-7: Generalized Anxiety Disorder Screener

I-HIT-MED: International HIT-MED Registry



1  
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3 PHQ-9: Patient Health Questionnaire

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5 SDQ: Strengths and Difficulties Questionnaire

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7 SIOP-LGG: SIOP-LGG 2004 study

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10 ULQIE: Ulm Quality of Life Inventory for Parents

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### 31 **FIGURES**

32 Figure 1. Study recruitment and procedure in study arms 1 and 2.....8  
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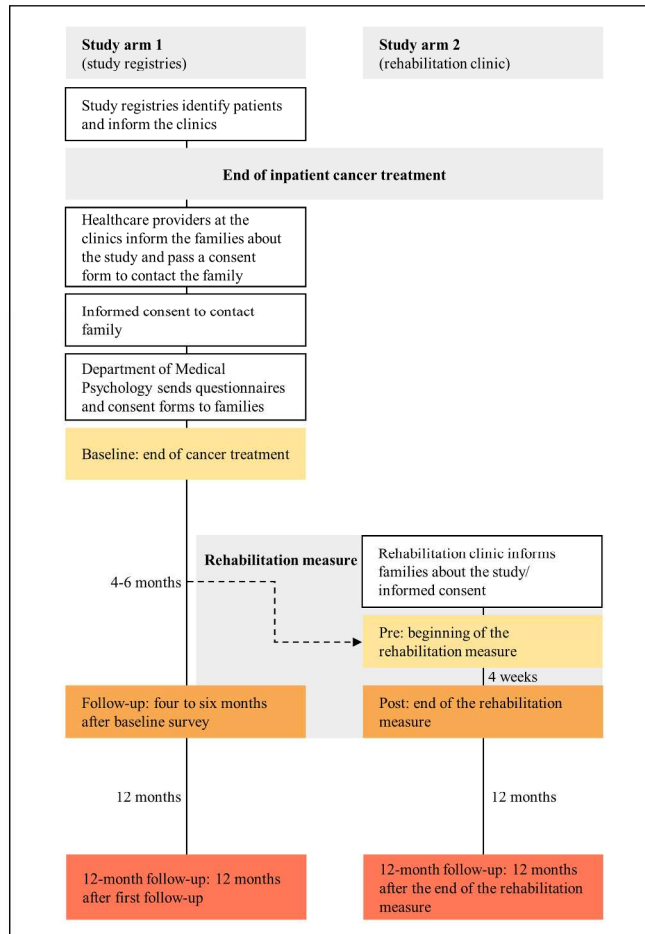


Figure 1. Study recruitment and procedure in study arms 1 and 2

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