

BMJ Open

Evaluation of the geriatric co-management for patients with fragility fractures of the proximal femur (geriatric fracture center (GFC) concept): protocol for a prospective multicenter cohort study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-014795
Article Type:	Protocol
Date Submitted by the Author:	18-Oct-2016
Complete List of Authors:	Joeris, Alexander ; AOCID Hurtado-Chong, Anahi; AOCID Hess, Denise ; AOCID Kalampoki, Vasiliki; AOCID Blauth, Michael; Medizinische Universitat Innsbruck, Trauma Surgery
Primary Subject Heading:	Geriatric medicine
Secondary Subject Heading:	Health economics, Medical management, Surgery, Evidence based practice
Keywords:	GERIATRIC MEDICINE, HEALTH ECONOMICS, ORTHOPAEDIC & TRAUMA SURGERY, Hip < ORTHOPAEDIC & TRAUMA SURGERY, TRAUMA MANAGEMENT

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1 **TITLE:** Evaluation of the geriatric co-management for patients with fragility fractures of the proximal
2 femur (geriatric fracture center (GFC) concept): protocol for a prospective multicenter cohort study

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19 Word count: 3,898

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ABSTRACT

Introduction

Treatment of fractures in the elderly population is a clinical challenge due partly to the presence of comorbidities. In Geriatric Fracture Centers (GFC) patients are co-managed by a geriatrician in an attempt to improve clinical outcomes and reduce morbidity and mortality. Until now the beneficial effect of orthogeriatric co-management has not been definitively proven. The primary objective of this study is to determine the effect of GFC on predefined major adverse events related to a hip fracture compared to usual care centers (UCC). The secondary objectives include assessments in quality of life, patient reported outcomes and cost-effectiveness.

Methods and analysis

Two hundred and sixty-six elderly hip fracture patients planned to be treated with osteosynthesis or endoprosthesis in either a GFC or UCC study site will be recruited, 133 per type of center. All procedures and management will be done according to the site's standard of care. Study-related visits will be performed at the following timepoints: preoperative, intraoperative, discharge from the orthopedic/trauma department, discharge to definite residential status, 12 weeks and 12 months post-surgery. Data collected include demographics, residential status, adverse events, patient reported outcomes, fall history, costs and resources related to treatment. The risk of major adverse events at 12 months will be calculated for each center type; patient reported outcomes will be analyzed by mixed effects regression models to estimate differences in mean scores between baseline and follow-ups whereas cost-effectiveness will be assessed using the incremental cost-effectiveness ratio.

Ethics and dissemination

Ethics approval for this study was granted from the local Ethics committees or Institutional Review Board from each of the participating sites prior to patient enrollment. The results of this study will be published in peer-reviewed journals and presented at different conferences.

Trial registration number: ClinicalTrials.gov: NCT02297581

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47 **STRENGTHS**

- 48 • Study design: international multicenter observational cohort study
- 49 • Ability to provide real world data
- 50 • Well defined and assessable primary objective
- 51 • Comprehensive multidimensional approach to the problem combining objective and patient
- 52 reported outcomes with health economic aspects

54 **LIMITATIONS**

- 55 • Dropouts due to loss of follow up or deaths might be a source of bias
- 56 • Reliable assessment of patient reported outcomes require compliant patients
- 57 • Assessment with a longer follow up might be required

INTRODUCTION

The number of geriatric trauma patients is steadily increasing worldwide due to a longer life expectancy. Older adults with osteoporotic fractures tend to have one or more comorbidities and therefore the treatment of geriatric fractures is complex. Increased mortality, disability, complications and high health care costs are some of the consequences of this problem (1, 2). To improve treatment outcomes in patients with osteoporotic fractures, multidisciplinary treatment approaches have been implemented. The principle of involving a geriatrician into the integral management of elderly patients, referred to as orthogeriatric co-management, was first introduced in Australia and the United Kingdom in the 1950s, but has rarely been applied until today (3). Few models testing different elements of specific geriatric care have led to inconclusive data. The latest Geriatric Fracture Centers (GFC) improved the principles of geriatric co-management by working in interdisciplinary teams and starting their interventions already preoperatively to achieve improved clinical outcomes. In this latest setting, the orthopedic surgeon and the geriatrician manage the patient together in an orthopedic ward and standardized treatment paths are implemented (4-6).

Less sophisticated models include geriatric consultant services in an orthopedic ward or orthopedic consultant service in a geriatric and rehabilitation ward (7-9). Overall, the main goals of an orthogeriatric co-management are reduction of complications, readmission and mortality, return to pre-fracture status, improvement of patient and family satisfaction, provision of best value of care to the health system and secondary fracture prevention (10). In 2013, an expert consensus (10) suggested 12 outcome parameters and assessment tools for the evaluation of different orthogeriatric co-management models in hip fracture treatment, which included: mortality, length of stay (midnight census method), time to surgery, complications, readmission rate, mobility (Parker Mobility Score, Timed Up and Go [TUG] test), quality of life (EQ-5D), pain (Verbal Rating Scale [VBS]), activities of daily living (Barthel Index), medication use (adverse drug reactions), place of residence and costs.

To achieve improved clinical outcomes in the elderly, the following key principles have been suggested (4, 11): Prioritization of the geriatric patient, which results in shorter time to surgery, early surgical stabilization of the fracture, frequent communication to avoid iatrogenic problems, estimation of the risk of developing delirium, attention to comorbidity, consideration to nutritional aspects, prevention of falls and osteoporosis care, early mobilization of the patient with weight bearing as tolerated, begin discharge planning at admission and use of standardized protocols.

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91 Despite some research in the area, until now the beneficial effect of orthogeriatric co-management has
92 not been definitively proven. A systematic literature review (7) identified 21 studies and grouped them
93 into four treatment models. The integrated care model showed the lowest mean values regarding in-
94 hospital mortality rate (1.14%), the lowest length of stay (7.39 days) and the lowest mean time to
95 surgery (1.43 days). Although different outcome parameters were reported in different studies, a later
96 systematic literature review and metaanalysis (12) showed no significant improvement for length of
97 stay after specialized geriatric care and the relative risk of intrahospital and one year mortality seemed
98 to be reduced, but without statistical significance ($p = 0.34$, $p = 0.17$, respectively). Care pathways
99 and co-management of geriatric hip fracture patients need to be further evaluated in order to
100 determine the value of interdisciplinary geriatric interventions on care quality and cost-effectiveness.

101

102 **OBJECTIVES:**

103 The primary objective of the study is to determine the effect of GFC on predefined major adverse
104 events (AEs) that have a relationship to the treatment, immobilization or residential status within the 12
105 months following a fracture fixation surgery compared to usual care centers (UCC).

106 The secondary objectives include comparison between the two types of care in quality of life, activities
107 of daily living, AEs of any kind, hospital readmissions, mobility status, falls, pain level, return to pre-
108 injury status, time from admission to surgery, medications, adaptation to nutritional status, cost-
109 effectiveness and the validation of a model to predict the risk of sustaining a contralateral hip fracture.

110

111 **TRIAL DESIGN AND METHODS**

112 **Study design**

113 This is a prospective, international, multicenter, observational cohort study to test the superiority of
114 GFC over UCC.

115 The definition of a GFC is based on clear and objective criteria for a geriatric co-management program
116 which are as follow: general geriatrician or orthogeriatrician available in trauma/orthopedic department,
117 patient is seen by the geriatrician prior to surgery (except if the patient is admitted over night or during
118 weekends), existence of local medical guidelines consented by orthopedic surgeons and geriatrician,
119 predefined order set for assessing laboratory values, predefined patient pathway to guarantee a fast

120 track in the emergency room, daily communication among involved specialists from the postoperative
121 phase until discharge from orthopedic/ trauma department and daily visits to the patient by the
122 following specialists: geriatrician, orthopedic surgeon in combination with nurse, physiotherapists
123 (except weekends) and social workers if required.

124 A UCC is defined as a center in which: No geriatrician is available in trauma/orthopedic department,
125 preoperative visit by a geriatrician is not a standard, there are no predefined medical guidelines for
126 geriatric fracture patients and daily visits to the patient from the postoperative phase until discharge
127 from orthopedic / trauma department by a geriatrician are not standard.

128 Any other postoperative treatment not specifically described in this investigation is performed
129 according to the standard of care at the study site.

130 A world wide open call was launched to invite interested sites to participate. A total of 12 sites are
131 participating in this study. In order to account for local differences in health care systems and to allow
132 comparisons based on geographic regions as well as globally, a GFC and a UCC within each
133 participating country were selected. The site selection process has been described in detail in the
134 accompanying publication (insert ref).

135

136 **Participants**

137 Eligible patients must meet the following inclusion criteria:

- 138 1) Age 70 years and older
- 139 2) Diagnosis of hip fracture treated either with osteosynthesis or endoprosthesis
- 140 3) Ability of the patient or assigned representative to understand the content of the patient
141 information/Informed Consent Form
- 142 4) Signed and dated IRB/EC-approved written informed consent

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144 Exclusion criteria:

- 145 1) Recent history of substance abuse (ie, recreational drugs, alcohol) that would preclude reliable
146 assessment
- 147 2) Prisoner

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148 3) Participation in any other medical device or medicinal product study within the previous month that
149 could influence the results of the present study

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152 **Procedures**

153 Recruitment

154 The assessment of eligibility will be performed by the investigator or a study coordinator, who will
155 approach each potential study patient and inquire about their interest and eligibility in participating in
156 this study. If the patient wishes to participate, a legally eligible member of the research team will go
157 through the informed consent process, explaining the purpose of the study, procedures, risk/benefits,
158 alternatives to participation, and data protection. Each patient choosing to participate will sign and
159 date an Informed Consent Form. A copy of the signed Informed Consent Form will be placed into the
160 patient's medical record, the Investigator Site File or the patient binder and one copy will be handed
161 over to the patient. All patients with written informed consent will be allocated to a unique patient trial
162 number. The date of informed consent and the recruitment information is entered in the study
163 database. All patients who commence treatment within the study are considered as enrolled and all
164 enrolled patients should be followed up within the study, except if their study participation is
165 prematurely terminated. All patients recruited in a GFC or UCC are automatically allocated to the GFC
166 and UCC analysis group, respectively.

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168 Baseline assessment

169 All patients that were screened for the inclusion and exclusion criteria are entered on the patient pre-
170 screen and enrollment log maintained at each study site. Demographical data, comorbidities, cognitive
171 status/dementia, and psychological situation will be assessed. The Parker Mobility Score, modified
172 Barthel Index and residential status are assessed referring to the patient's pre-injury status. Details
173 relative to the injury (side affected, fracture classification, concomitant fractures), surgery (surgical
174 time, type of implant, anesthesia), comorbidities, nutritional status, intake of relevant medication will be
175 documented as well.

176

177 Interventions

178 All treatments and follow-up (FU) visits received in either GFC or UCC will be according to the
 179 hospital's standard of care. Study-related assessments will be performed at discharge from the
 180 orthopedic trauma/department (Discharge 1), discharge to definite residential status (Discharge 2), 12
 181 weeks and 12 months post-surgery. Number of visits by a geriatrician, orthopedic surgeon and
 182 physiotherapist from surgery to discharge will be documented, as well as involvement of social
 183 workers and interventions aimed to prevent secondary fractures. The study-related assessments are
 184 summarized in Table 1.

Assessment parameters

Pre- intra- and postoperative visits **

	Screening / Preoperative	Intraoperative (day 0)	Discharge 1 (± 3 days)	Discharge 2 (± 3 days)	12 (± 4) weeks	12 (± 1) months
Patient information / consent	X					
Eligibility	X					
Demographics	X					
Charlson Comorbidity Index	X					
Screening assessments	X					
Pre-injury residential status	X*					
Clinic organization	X		X			
Timing of baseline activities	X	X				
Nutrition status evaluation			X	X	X	X
Cognitive status			X	X		
Injury and surgical details		X				
Activities of daily living:						
Pre-injury Modified Barthel Index			X*			
Modified Barthel Index			X	X	X	X
EQ-5D					X	X
Pain			X	X	X	X

Readmission				X	X
Residential status	X	X	X	X	X
Mobility:					
Pre-injury Parker Mobility Score	X*				
Parker Mobility Score			X	X	X
TUG test			X	X	X
Falls	X	X	X	X	X
Contralateral hip fracture			X	X	X
Pre-injury analgesics	X*				
Medication details	X	X	X	X	X
Major adverse events	X	X	X	X	X
Other adverse events	X	X	X	X	X
Direct and indirect costs	X	X	X	X	X

§ Discharge 1 and 2 may occur on the same date

* Data are retrospectively assessed referring to the pre-injury status.

** All postoperative FUvisits with the defined time windows are calculated from the day of surgery (i.e. day 0).

Outcome measures

Primary outcome measure

The major predefined AEs related to treatment / residential status / immobilization include and are limited to:

- Delirium (acute confusional state): common, serious, and potentially preventable source of morbidity and mortality for older hospitalized patients and is determined based on the Confusion Assessment Method (CAM). CAM was originally validated for use based on observations made during a brief, structured interview that included the Mini-Mental State Examination and Digit Span Test (13). In this study, the Mini-Mental State Examination (MMSE) will be used to assess the cognitive status of the patient

- 201 • Congestive heart failure: clinical disorder that results in pulmonary vascular congestion and
- 202 reduced cardiac output (14). Congestive heart failure should be considered in the differential
- 203 diagnosis of any adult patient who presents with dyspnea and/or respiratory failure. The diagnosis
- 204 of heart failure is determined based on the Modified Framingham Criteria (15)
- 205 • Pneumonia: is an inflammation of the lung that is most often caused by infection with bacteria,
- 206 viruses, or other organisms. Diagnosis of pneumonia is done according to the local standard of
- 207 care through imaging or body fluid laboratory testing
- 208 • Deep venous thrombosis is evaluated by the local investigator based on clinical examination and
- 209 confirmed using any of the following techniques, as per local standard of care through ultrasound,
- 210 phlebography or other techniques
- 211 • Pulmonary embolism: is evaluated by the local investigator based on clinical examination and
- 212 confirmed using any of the following techniques, as per local standard of care through CT scans,
- 213 angiography, radionuclide examination
- 214 • Pressure ulcers are defined as a localized injury of ≥ 2 cm diameter to the skin and/or underlying
- 215 tissue usually over a bony prominence, as a result of pressure, or pressure in combination
- 216 with shear
- 217 • Myocardial infarction is defined as evidence of myocardial necrosis in a clinical setting consistent
- 218 with myocardial ischemia

220 *Secondary outcome measures*

- 221 • Any other AEs not mentioned under the predefined major AE. Of special interest are new
- 222 fractures resulting from a fall in particular contralateral hip fractures
- 223 • Mortality: will be assessed in 4 time frames: perioperative (from admission until 72 hrs post-
- 224 surgery), and within the first 14, 30 and 365 days after surgery
- 225 • Activities of daily living measured using the modified Barthel Index
- 226 • Quality of life using EuroQoL5 (EQ-5D)
- 227 • Pain using the numerical rating scale (NRS)
- 228 • Timing of baseline activities: defined as time elapsed to surgery, start of pain management, fluid
- 229 management and acute care since admission

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3 230 • Hospital readmissions: is defined as any admission to a hospital (whether or not the study site)
4 231 after the baseline visit up to the 12-month FU. As not all readmissions occur in the same initial
5 232 hospital, the patient or proxy is asked at the FU time points whether any readmission has
6 233 occurred
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8 234 • Residential status: will be defined within the next 4 categories: living alone at their own home (or
9 235 with a roommate), living with a spouse/partner at their own home, living with children or sibling
10 236 and living in a facility, defined as a non-family environment such as a nursing home or
11 237 supervised residential setting. Details of care provided by family members and/or professional
12 238 staff (physician, nurse, geriatrician) will be recorded as one of the following categories: 24 hour
13 239 care, daily, irregular and no care
14
15 240 • Mobility assessed with the Parker Mobility Score and TUG test
16
17 241 • Secondary fracture prevention: are strategies to avoid secondary fractures, which include
18 242 strength and balance training, home hazard assessment, vision assessment and medication
19 243 review. The participation of the patient in such a program will be documented
20
21 244 • Medications: number and type of medications. Of particular interest are the use of analgesics,
22 245 osteoporosis treatment, drugs that increase the risk of delirium (neuroleptics, benzodiazepines,
23 246 morphine and derivatives)
24
25 247 • Cost-effectiveness: costs and resources related to the treatment will be assessed for the in-
26 248 hospital stay. After discharge, the patient will document all direct and indirect resources in a Cost
27 249 Diary that will include number of days the patient is unable to perform usual activities and lost
28 250 work productivity by family members taking care of the patients. The cost of the geriatric co-
29 251 management will be collected from each participating clinic. Quality-adjusted life years (QALYs)
30 252 will be derived from the EQ-5D
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50 255 *Instruments*

52 256 • Mini Mental State Examination (MMSE): is a tool that can be used to systematically and
53 257 thoroughly assess mental status. It is an 11-question measure that tests five areas of cognitive
54 258 function: orientation, registration, attention and calculation, recall, and language. The maximum
55 259 score is 30. A score of 23 or lower is indicative of cognitive impairment. The MMSE is effective as

a screening tool for cognitive impairment with older, community dwelling, hospitalized and institutionalized adults (16).

- Confusion assessment method (CAM): was originally developed in 1988-1990 to improve the identification and recognition of delirium. The CAM was intended to provide a new standardized method to enable non-psychiatrically trained clinicians to identify delirium quickly and accurately in both clinical and research settings. The CAM is usually rated by a clinical or trained lay interviewer on the basis of an interview with the patient that includes at least a brief cognitive assessment.
- Barthel Index: is an ordinal scale and each performance item is rated with a given number of points assigned to each level or ranking. It uses 10 variables describing activities of daily living and mobility. A higher number is associated with a greater likelihood of being able to live at home with a degree of independence following discharge from hospital. The score has been used extensively to monitor functional changes in individuals receiving in-patient rehabilitation, mainly in predicting the functional outcomes related to stroke. The modified Barthel Index (17, 18) has demonstrated high inter-rater reliability (0.95) and test-retest reliability (0.89) as well as high correlations (0.74–0.8) with other measures of physical disability.
- EQ-5D: standardized instrument that was designed for self-completion. It has five items (mobility, self-care, usual activities, pain/discomfort anxiety/depression) with a categorical response scale where health today is assessed. A good evidence for reliability, validity and responsiveness both for SF36 and EQ-5D has been shown (19, 20).
- Numerical rating scale (NRS): self-reported score based on a numerical rating scale that ranges from 0 to 10 to evaluate the presence and intensity of pain. A higher value implies greater pain.
- Parker Mobility Score: is a functional assessment with three walking ability questions that can each attain a maximum of 3 points. The final calculated score ranges from a minimum of 0 points to 3 or 9 points at maximum. The higher the score, the higher the function (21).
- Timed Up and Go test (TUG): is a commonly used screening tool to assist clinicians to identify patients at risk of falling. It measures the time (in seconds) that it takes for an individual to rise from an armchair (chair seat height = 45 cm / 1.5 feet), walk 3 meters (= 10 feet) to a line drawn on the floor, turn around and return to the chair. The total time taken for the patient to complete the entire task is the outcome measure. Those who complete the test in less than 10 seconds are freely mobile, patients completing the test between 10 and 19 seconds are independent for basic

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3 291 transfers, and those who need 20-29 seconds to complete the test often use a cane. Patients with
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5 292 30 seconds and more are much more dependent on walking aids and typically they need help
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7 293 with chair or toilet transfer (22, 23).
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11 295 Sample size estimation
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13 296 The sample size calculation has been performed on the basis of difference in the risk of major AEs. At
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15 297 one year following surgery, the risk of at least one major adverse event was estimated at 35% for GFC
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17 298 group and at 55% for the UCC Group. With a significance level of 5%, a power of 80%, and equal
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19 299 treatment groups, a sample size of 212 patients (106 per group) was calculated. This total was
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21 300 adjusted for an expected loss of patients of about 20%, giving an estimated total sample size of 266
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23 301 patients (133 per group).
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27 303 Statistical analyses
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29 304 The primary analysis will be conducted using firstly the full analysis population ("enrolled" patients),
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31 305 and subsequently the per-protocol population. The risk of major AEs related to the treatment,
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33 306 hospitalization and/or immobilization occurring from surgery to the 1-year FU and regardless of time
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35 307 point of data collection will be reported at the patient level along with the 95% confidence intervals
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37 308 according to each treatment group. In addition, univariable and multivariable Poisson regression
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39 309 models will be used whereby the outcome will be the actual number of major AEs related to the
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41 310 treatment, hospitalization and/or immobilization.
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43 311 Secondary analyses will be conducted using the per-protocol population. Initially, univariable statistical
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45 312 tests (e.g. Chi-square test or Fisher's exact test for categorical variables; t-test or Wilcoxon rank-sum
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47 313 test for continuous variables) will be used to evaluate differences in clinical and administrative
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49 314 parameters between the two treatment groups. Subsequently, longitudinal data will be analyzed by
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51 315 means of mixed effects regression models to estimate differences in mean scores (e.g. EQ-5D,
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53 316 modified Barthel Index, TUG, Parker Mobility Score, pain NRS) between FU and the respective
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55 317 baseline assessment by treatment group. The proposed cost-utility analysis will use decision
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57 318 modelling and sensitivity analysis techniques to ensure the robustness of the study's conclusions.
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59 319 Cost-effectiveness will be assessed using the incremental cost-effectiveness ratio, which is
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320 determined by calculating the difference in costs divided by the difference in QALYs between the GFC
321 and the UCC groups.

322 Enrolled patients who withdraw from study FU for any reason (withdrawal of consent, death, loss to
323 FU, etc.) will be included in the analysis until the time at which they withdrew.

324

325 Data collection and management

326 Data handling and protection are conducted according to the ISO 14155 guidelines and ICH-GCP and
327 applicable regulations. An electronic Case Report Form (eCRF) in REDCap (24) will be designed to
328 accommodate the specific features of the study. Modifications of the eCRF will be made only if
329 deemed necessary and in accordance with any amendment to the study protocol. Access to the eCRF
330 is password protected and specific functions are assigned (e.g. study coordinator, investigator,
331 monitor, etc.). The eCRF is to be completed in a timely manner after a patient's visit (i.e. 14 days after
332 occurrence of a documentable event). During the site initiation visit and prior to recruiting the first
333 patient, the research team at each site will undergo a defined training program that will include
334 explanations on inclusion and exclusion criteria, study procedures, how to use the eCRF and general
335 aspects of ISO 14155 and GCP. Monitoring visits will be performed as frequently as required to
336 guarantee the completeness and accuracy of the information in the eCRF. At the end of the study, a
337 site close out visit will be performed and all final clarifications will be done. Source data and any other
338 essential documents have to be archived according to the legal requirements at the study site. Clinical
339 study data (i.e. eCRF) and essential documents will be archived by the sponsor according to legal
340 requirements.

341

342 Premature termination

343 Due to the nature and design of the study there are no stopping rules defined. All treatments are per
344 standard of care and no additional or investigational medical device or medication is applied during the
345 investigation.

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347 Reporting of adverse events

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348 All AEs are collected. In case of a serious adverse event, the sponsor is immediately notified. AEs
349 and serious adverse events need to be reported by the investigator to the EC/IRB according to their
350 regulatory requirements.

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352 **ETHICAL CONSIDERATIONS AND DISSEMINATION**

353 This is an observational study in which vulnerable patients who are in an emergency situation,
354 mentally incompetent (temporarily or permanently) or able to give oral consent only might be included.
355 In these cases, surrogate consent will be obtained according to the local regulation and the patient's
356 informed consent will be obtained as soon as possible. This study has been registered in Clinical
357 Trials.gov under registration number NCT02297581. Ethics approval for this study was granted from
358 the local Ethics committees or Institutional Review Board from each of the 12 participating sites prior
359 to patient enrollment commenced at each site. The results of this study will be published in peer-
360 reviewed journals and presented at different conferences.

361

362 **DISCUSSION**

363 Fragility fractures and their care are an increasing challenge to health care systems and societies. Due
364 to the great number of comorbidities present in elderly patients, geriatric fractures and their treatment
365 present several complications. Different orthogeriatric concepts have been developed to improve
366 patient's outcome but until now, the beneficial effect of these models could not be proven. The results
367 of this study are expected to give important evidence on the impact of geriatric co-management for
368 patients with fragility fractures regarding the quality of life, outcomes in the elderly and cost-
369 effectiveness. As we increase our life expectancy and the demographic pyramid continues to shift,
370 these problems will be an increasing economic and social burden in particular in industrialized
371 countries.

372

373 **CURRENT STUDY STATUS**

374 Patient recruitment started in June 2015 and will continue until October 2016. Data collection will be
375 completed (last patient last visit) on November 2017.

AUTHORS' CONTRIBUTIONS

AJ, DH, VK, MB: Conception and design of the study, development and approval of original study protocol, revision and approval of final manuscript.

AH-Ch: Data collection, manuscript drafting, revision and approval of final manuscript.

ACKNOWLEDGEMENTS

The authors wish to thank the AO Foundation for sponsoring this work and AOCID staff who have contributed directly or indirectly to this study and in particular to Patrick Hiltbold and Joffrey Baczkowski for providing relevant data for the development of this manuscript.

COMPETING INTERESTS

AH-Ch, AJ, DH and VK are AOCID employees and receive salary from the AO Foundation.

FUNDING

The GFC study and the development of this manuscript were entirely funded by the AO Foundation via AOTrauma.

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For peer review only

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	☒
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	☒
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	☒
Objectives	3	State specific objectives, including any prespecified hypotheses	☒
Methods			
Study design	4	Present key elements of study design early in the paper	☒
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	☒
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	☒
		Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	
		Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants	
		(b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed	
		Case-control study—For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	☒
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	☒
Bias	9	Describe any efforts to address potential sources of bias	N/A
Study size	10	Explain how the study size was arrived at	☒
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	☒
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	☒
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	N/A
		(d) Cohort study—If applicable, explain how loss to follow-up was addressed	☒
		Case-control study—If applicable, explain how matching of cases and controls was addressed	
		Cross-sectional study—If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	☒

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	N/A
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	N/A
		(b) Indicate number of participants with missing data for each variable of interest	N/A
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	N/A
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	N/A
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	N/A
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	N/A
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	N/A

Discussion

Key results	18	Summarise key results with reference to study objectives	N/A
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	☑
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	N/A
Generalisability	21	Discuss the generalisability (external validity) of the study results	N/A

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	☑
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Evaluation of the geriatric co-management for patients with fragility fractures of the proximal femur (geriatric fracture center (GFC) concept): protocol for a prospective multicenter cohort study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-014795.R1
Article Type:	Protocol
Date Submitted by the Author:	21-Feb-2017
Complete List of Authors:	Joeris, Alexander ; AOCID Hurtado-Chong, Anahi; AOCID Hess, Denise ; AOCID Kalampoki, Vasiliki; AOCID Blauth, Michael; Medizinische Universitat Innsbruck, Trauma Surgery
Primary Subject Heading:	Geriatric medicine
Secondary Subject Heading:	Health economics, Medical management, Surgery, Evidence based practice
Keywords:	GERIATRIC MEDICINE, HEALTH ECONOMICS, ORTHOPAEDIC & TRAUMA SURGERY, Hip < ORTHOPAEDIC & TRAUMA SURGERY, TRAUMA MANAGEMENT

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1 **TITLE:** Evaluation of the geriatric co-management for patients with fragility fractures of the proximal
2 femur (geriatric fracture center (GFC) concept): protocol for a prospective multicenter cohort study

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19 Word count: 4,569

ABSTRACT

Introduction

Treatment of fractures in the elderly population is a clinical challenge due partly to the presence of comorbidities. In Geriatric Fracture Centers (GFC) patients are co-managed by a geriatrician in an attempt to improve clinical outcomes and reduce morbidity and mortality. Until now the beneficial effect of orthogeriatric co-management has not been definitively proven. The primary objective of this study is to determine the effect of GFC on predefined major adverse events related to a hip fracture compared to usual care centers (UCC). The secondary objectives include assessments in quality of life, patient reported outcomes and cost-effectiveness.

Methods and analysis

Two hundred and sixty-six elderly hip fracture patients planned to be treated with osteosynthesis or endoprosthesis in either a GFC or UCC study site will be recruited, 133 per type of center. All procedures and management will be done according to the site's standard of care. Study-related visits will be performed at the following time points: preoperative, intraoperative, discharge from the orthopedic/trauma department, discharge to definite residential status, 12 weeks and 12 months post-surgery. Data collected include demographics, residential status, adverse events, patient reported outcomes, fall history, costs and resources related to treatment. The risk of major adverse events at 12 months will be calculated for each center type; patient reported outcomes will be analyzed by mixed effects regression models to estimate differences in mean scores between baseline and follow-ups whereas cost-effectiveness will be assessed using the incremental cost-effectiveness ratio.

Ethics and dissemination

Ethics approval for this study was granted from the local Ethics committees or Institutional Review Board from each of the participating sites prior to patient enrollment. The results of this study will be published in peer-reviewed journals and presented at different conferences.

Trial registration number: ClinicalTrials.gov: NCT02297581

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STRENGTHS

- Observational cohort study design provides real world data about geriatric care
- International multicenter setting provides a better picture of the status of geriatric fractures around the world
- Broad inclusion criteria is more representative of the population under study
- Well defined and assessable primary objective
- Comprehensive multidimensional approach to the problem combining objective and patient reported outcomes with health economic aspects

LIMITATIONS

- Reliable assessment of patient reported outcomes require compliant patients
- Assessment with a longer follow-up might be required
- Lack of randomization might induce bias due to the influence of uncontrolled or unbalanced variables or due to differences in co-management among countries
- Dropouts due to loss of follow-up or deaths might be a source of bias
- Risk of recall bias might occur for items assessed retrospectively

INTRODUCTION

The number of geriatric trauma patients is steadily increasing worldwide due to a longer life expectancy. Older adults with osteoporotic fractures tend to have more comorbidities and therefore the treatment of geriatric fractures is complex. Increased mortality, disability, complications and high health care costs are some of the consequences of this problem [1, 2]. To improve treatment outcomes in patients with osteoporotic fractures, multidisciplinary treatment approaches have been implemented. The involvement of a geriatrician into the integral management of elderly patients is referred as orthogeriatric co-management [3]. A systematic literature review [4] grouped the orthogeriatric care into four treatment models but could not identify the best one. The efficacy of orthogeriatric management is contradictory [5-10]. A Cochrane review from 2001, updated in 2009 found substantial heterogeneity in trial interventions and although there was a tendency to a better overall result in patients with a multidisciplinary treatment, the results were not statistically significant [11]. Kammerlander [4] concluded that integrated care resulted in better outcomes regarding mortality and length of stay; however a later systematic literature review and metaanalysis [12] showed no significant improvement on these parameters. Three manuscripts published after the registration and start of the present study found better mobility [13, 14] and a high probability of cost-effectiveness [14] with comprehensive geriatric care however they found no difference on cognitive function, delirium, mortality or complications [10, 13].

To improve clinical outcomes in the elderly, the following key principles have been suggested [15, 16]: Prioritization of the geriatric patient resulting in shorter time to surgery, early surgical stabilization of the fracture, frequent communication to avoid iatrogenic problems, estimation of the risk of developing delirium, attention to comorbidity, consideration to nutritional aspects, prevention of falls and osteoporosis care, early mobilization of the patient with weight bearing as tolerated, begin discharge planning at admission and use of standardized protocols. Overall, the main goals of an orthogeriatric co-management are reduction of complications, readmission and mortality, return to pre-fracture status, improvement of patient and family satisfaction, provision of best value of care to the health system and secondary fracture prevention [17]. In 2013, an expert consensus [17] suggested 12 outcome parameters and assessment tools for the evaluation of different orthogeriatric co-management models in hip fracture treatment, which included: mortality, length of stay, time to surgery, complications, readmission rate, mobility (Parker Mobility Score, Timed Up and Go [TUG]

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97 test), quality of life (EQ-5D), pain (Verbal Rating Scale [VBS]), activities of daily living (Barthel Index),
98 medication use (adverse drug reactions), place of residence and costs.
99 The Geriatric Fracture Center (GFC) study was designed to evaluate the impact of standardized
100 treatment pathways and geriatric interdisciplinary co-management on all the above mentioned
101 parameters, focusing on complications and their cost-effectiveness.

102

103 **OBJECTIVES:**

104 The primary objective of the study is to determine the effect of GFC on predefined major adverse
105 events (AEs) that have a relationship to the treatment, immobilization or residential status within the 12
106 months following a fracture fixation surgery compared to Usual Care Centers (UCC).
107 The secondary objectives include comparison between the two types of care in quality of life, activities
108 of daily living, AEs of any kind, hospital readmissions, mobility status, falls, pain level, return to pre-
109 injury residential status, mortality, time from admission to surgery, medications, adaptation to
110 nutritional status, cost-effectiveness and the validation of a model to predict the risk of sustaining a
111 contralateral hip fracture.

112

113 **TRIAL DESIGN AND METHODS**

114 **Study design**

115 This is a prospective, international, multicenter, observational cohort study to test the superiority of
116 GFC over UCC.
117 The definition of a GFC is based on clear and objective criteria for a geriatric co-management program
118 which are as follow: general geriatrician or orthogeriatrician available in trauma/orthopedic department,
119 patient is seen by the geriatrician prior to surgery (except if the patient is admitted over night or during
120 weekends), existence of local medical guidelines consented by orthopedic surgeons and geriatrician,
121 predefined order set for assessing laboratory values, predefined patient pathway to guarantee a fast
122 track in the emergency room, daily communication among involved specialists from the postoperative
123 phase until discharge from orthopedic/ trauma department and daily visits to the patient by the

124 following specialists: geriatrician, orthopedic surgeon in combination with nurse, physiotherapists
125 (except weekends) and social workers if required.

126 A UCC is defined as a center in which: No geriatrician is available in trauma/orthopedic department,
127 preoperative visit by a geriatrician is not a standard, there are no predefined medical guidelines for
128 geriatric fracture patients and daily visits to the patient from the postoperative phase until discharge
129 from orthopedic / trauma department by a geriatrician are not standard.

130 Any other postoperative treatment not specifically described in this investigation is performed
131 according to the standard of care at the study site.

132 A world wide open call was launched to invite interested sites to participate. In order to account for
133 local differences in health care systems and to allow comparisons based on geographic regions as
134 well as globally, a GFC and a UCC within each participating country were selected. The site selection
135 process has been described in detail elsewhere ([18] intended to be a joint publication with this
136 protocol). A total of 12 sites in 6 different countries are participating in this study: in Austria, the
137 Medizinische Universitätsklinik (Innsbruck) and the Allgemeines Krankenhaus (Linz); in Thailand,
138 Bangkok Hospital and Bhumibol Adulyadej Hospital (Bangkok); in Netherlands, Ziekenhuisgroot Twente
139 (Almelo) and Academisch Ziekenhuis (Maastricht); in Spain Hospital Universitario Costa del Sol
140 (Marbella) and Hospital Son Llatzer (Palma de Mallorca); in the United States, Saint Louis University
141 Hospital (Saint Louis) and Elmhurst Hospital (New York) and in Singapore, Singapore General
142 Hospital and Singapore Tan Tock Seng.

143

144 **Participants**

145 Eligible patients must meet the following inclusion criteria:

- 146 1) Age 70 years and older
- 147 2) Diagnosis of hip fracture treated either with osteosynthesis or endoprosthesis
- 148 3) Ability of the patient or assigned representative to understand the content of the patient
149 information/Informed Consent Form
- 150 4) Signed and dated IRB/EC-approved written informed consent

151

152 Exclusion criteria:

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3 153 1) Recent history of substance abuse (ie, recreational drugs, alcohol) that would preclude reliable
4 154 assessment
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6 155 2) Prisoner
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8 156 3) Participation in any other medical device or medicinal product study within the previous month that
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10 157 could influence the results of the present study
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16 160 **Procedures**
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18 161 Recruitment
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21 162 The assessment of eligibility will be performed by the investigator or a study coordinator, who will
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23 163 approach each potential study patient and inquire about their interest and eligibility in participating in
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25 164 this study. All sites will be informed and trained about the importance of recruiting consecutive
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27 165 patients. If the patient wishes to participate, a legally eligible member of the research team will go
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29 166 through the informed consent process, explaining the purpose of the study, procedures, risk/benefits,
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31 167 alternatives to participation, and data protection. Each patient choosing to participate will sign and
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33 168 date an Informed Consent Form. Although local regulations vary between countries, if approved by the
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35 169 local ethics committee, a surrogate will be able sign the informed consent on behalf of patients unable
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37 170 to do it for themselves. Whenever possible the consent of the patient will be acquired as soon as he is
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39 171 able to sign for himself. A copy of the signed Informed Consent Form will be placed into the patient's
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41 172 medical record, the Investigator Site File or the patient binder and one copy will be handed over to the
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43 173 patient. All patients with written informed consent will be allocated to a unique patient trial number. The
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45 174 date of informed consent and the recruitment information is entered in the study database. All patients
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47 175 who commence treatment within the study are considered as enrolled and all enrolled patients should
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49 176 be followed up within the study, except if their study participation is prematurely terminated. All
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51 177 patients recruited in a GFC or UCC are automatically allocated to the GFC and UCC analysis group,
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53 178 respectively.
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55 179 Baseline assessment
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57 180 All patients that were screened for the inclusion and exclusion criteria are entered on the patient pre-
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59 181 screen and enrollment log maintained at each study site. Demographical data, comorbidities, cognitive
60 182 status/dementia, and psychological situation will be assessed. The Parker Mobility Score, modified

Barthel Index and residential status are assessed referring to the patient's pre-injury status. Details relative to the injury (side affected, fracture classification, concomitant fractures), surgery (surgical time, type of implant, anesthesia), comorbidities, nutritional status, intake of relevant medication will be documented as well.

Interventions

All treatments and follow-up (FU) visits received in either GFC or UCC will be according to the hospital's standard of care. Study-related assessments will be performed at discharge from the orthopedic trauma/department (Discharge 1), discharge to definite residential status (Discharge 2), 12 weeks and 12 months post-surgery. Number of visits by a geriatrician, orthopedic surgeon and physiotherapist from surgery to discharge will be documented, as well as involvement of social workers and interventions aimed to prevent secondary fractures. The study-related assessments are summarized in Table 1.

Table 1: Overview of the outcome measures and time points of assessment

Pre- intra- and postoperative visits **

Assessment parameters	Screening / Preoperative	Intraoperative (day 0)	Discharge 1 (\pm 3 days)	Discharge 2 (\pm 3 days)	12 (\pm 4) weeks	12 (\pm 1) months
Patient information / consent	X					
Eligibility	X					
Demographics	X					
Charlson Comorbidity Index	X					
Screening assessments	X					
Pre-injury residential status	X*					
Clinic organization	X		X			
Timing of baseline activities	X	X				
Nutrition status evaluation			X	X	X	X
Cognitive status: MMSE			X	X		
Injury and surgical details		X				

Activities of daily living:						
Pre-injury Modified Barthel						
Index						
Modified Barthel Index						
EQ-5D						
Pain						
Readmission						
Residential status						
Mobility:						
Pre-injury Parker Mobility						
Score						
Parker Mobility Score						
TUG test						
Falls						
Contralateral hip fracture						
Pre-injury analgesics						
Medication details						
Major adverse events						
Other adverse events						
Direct and indirect costs						

§ Discharge 1 and 2 may occur on the same date

* Data are retrospectively assessed referring to the pre-injury status.

** All postoperative FU visits with the defined time windows are calculated from the day of surgery (i.e. day 0).

Outcome measures

Primary outcome measure

The major predefined AEs related to treatment / residential status / immobilization include and are limited to:

- 206 • Delirium (acute confusional state): acute, transient, fluctuating and usually reversible disturbance
207 in attention, cognition or attention level. Upon suspicion of delirium, the Confusion Assessment
208 Method (CAM) will be used to make the diagnosis. The Mini Mental State Examination (MMSE)
209 will be used to assess the cognitive status of the patient.
- 210 • Congestive heart failure: clinical disorder that results in pulmonary vascular congestion and
211 reduced cardiac output [19]. Congestive heart failure should be considered in the differential
212 diagnosis of any adult patient who presents with dyspnea and/or respiratory failure. The diagnosis
213 of heart failure is determined based on the Modified Framingham Criteria [20].
- 214 • Pneumonia: is an inflammation of the lung that is most often caused by infection with bacteria,
215 viruses, or other organisms. Diagnosis of pneumonia is done according to the local standard of
216 care through imaging or body fluid laboratory testing.
- 217 • Deep venous thrombosis is evaluated by the local investigator based on clinical examination and
218 confirmed using any of the following techniques, as per local standard of care through ultrasound,
219 phlebography or other techniques.
- 220 • Pulmonary embolism: is evaluated by the local investigator based on clinical examination and
221 confirmed using any of the following techniques, as per local standard of care through CT scans,
222 angiography, radionuclide examination.
- 223 • Pressure ulcers are defined as a localized injury of ≥ 2 cm diameter to the skin and/or underlying
224 tissue usually over a bony prominence, as a result of pressure, or pressure in combination with
225 shear.
- 226 • Myocardial infarction is defined as evidence of myocardial necrosis in a clinical setting consistent
227 with myocardial ischemia.

229 *Secondary outcome measures*

- 230 • Any other AEs not mentioned under the predefined major AE. According to GCP guidelines an
231 adverse event is "any untoward medical occurrence in a patient or clinical investigation
232 subject administered a pharmaceutical product and which does not necessarily have a causal
233 relationship with this treatment" [21]. Of special interest are new fractures resulting from a fall,
234 in particular contralateral hip fractures. This information will be retrieved from the medical record
235 or by asking the patient or proxy.

- 236 • Mortality: will be assessed in 4 time frames: perioperative (from admission until 72 hours post-
237 surgery), and within the first 14, 30 and 365 days after surgery.
- 238 • Activities of daily living measured using the modified Barthel Index.
- 239 • Quality of life using EuroQoL5 (EQ-5D).
- 240 • Pain using the numerical rating scale (NRS).
- 241 • Timing of baseline activities: defined as time elapsed to surgery, start of pain management, fluid
242 management and acute care since admission.
- 243 • Hospital readmissions: is defined as any admission to a hospital (whether or not the study site)
244 after the baseline visit up to the 12 month FU. As not all readmissions occur in the same initial
245 hospital, the patient or proxy is asked at the FU time points whether any readmission has
246 occurred.
- 247 • Residential status: will be defined within the next 4 categories: living alone at their own home (or
248 with a roommate), living with a spouse/partner at their own home, living with children or sibling
249 and living in a facility, defined as a non-family environment such as a nursing home or
250 supervised residential setting. Details of care provided by family members and/or professional
251 staff (physician, nurse, geriatrician) will be recorded as one of the following categories: 24 hour
252 care, daily, irregular and no care.
- 253 • Mobility assessed with the Parker Mobility Score and TUG test
- 254 • Falls: at each FU visit after discharge occurrence of falls since last visit will be asked to the
255 patient or caretaker.
- 256 • Secondary fracture prevention: are strategies to avoid secondary fractures, which include
257 strength and balance training, home hazard assessment, vision assessment and medication
258 review. The participation of the patient in such a program will be documented
- 259 • Medications: number and type of medications. Of particular interest are the use of analgesics,
260 osteoporosis treatment, drugs that increase the risk of delirium (neuroleptics, benzodiazepines,
261 morphine and derivatives).
- 262 • Cost-effectiveness: costs and resources related to the treatment will be assessed for the in-
263 hospital stay. After discharge, the patient will document all direct and indirect resources in a Cost
264 Diary which can be filled in by the patient with help of a caretaker or the investigator during a FU
265 visit (Supplementary 1). The Cost Diary documents the number and cost of appointments with
266 doctors, physiotherapists or similar, imaging tests, laboratory tests, medications, walking aids,

assisted living facilities, assistance at home, additional expenses, number of days the patient is unable to perform usual activities and lost work productivity by family members taking care of the patient. The cost of the geriatric co-management will be collected from each participating clinic. Quality-adjusted life years (QALYs) will be derived from the EQ-5D.

Instruments

- Confusion assessment method (CAM): was originally developed in 1988-1990 to improve the identification and recognition of delirium. The CAM was intended to provide a new standardized method to enable non-psychiatrically trained clinicians to identify delirium quickly and accurately in both clinical and research settings. The CAM is usually rated by a clinical or trained lay interviewer on the basis of an interview with the patient that includes at least a brief cognitive assessment. It was originally validated for use based on observations made during a brief, structured interview that included the MMSE and Digit Span Test. It has four features: 1) acute onset or fluctuating course, 2) inattention, 3) disorganized thinking and 4) altered level of consciousness. The diagnosis of delirium by CAM requires the presence of features 1 and 2 and either 3 or 4 [22].
- Mini Mental State Examination (MMSE): is a tool that can be used to systematically and thoroughly assess mental status. It is an 11-question measure that tests 5 areas of cognitive function: orientation, registration, attention and calculation, recall, and language. The maximum score is 30. A score of 23 or lower is indicative of cognitive impairment. The MMSE is effective as a screening tool for cognitive impairment with older, community dwelling, hospitalized and institutionalized adults [23]. The cognitive status evaluated through MMSE at discharge may be predictive of the transfer to a rehabilitation center or nursing home.
- Barthel Index: is an ordinal scale and each performance item is rated with a given number of points assigned to each level or ranking. It uses 10 variables describing activities of daily living and mobility. A higher number is associated with a greater likelihood of being able to live at home with a degree of independence following discharge from hospital. The score is available in several languages and has been used extensively to monitor functional changes in individuals receiving in-patient rehabilitation, mainly in predicting the functional outcomes related to stroke. The modified Barthel Index [24, 25] has demonstrated high inter-rater reliability (0.95) and test-

1
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3 297 retest reliability (0.89) as well as high correlation (0.74–0.8) with other measures of physical
4
5 298 disability. An expert consensus [26] recommends the Barthel Index as the most applicable
6
7 299 instrument to assess activities of daily life and suggests assessing the pre-injury status (which
8
9 300 could be done by a caretaker).
10
11 301 • EQ-5D: standardized instrument that was designed for self-completion. It has 5 items (mobility,
12
13 302 self-care, usual activities, pain/discomfort anxiety/depression) with a categorical response scale
14
15 303 where health today is assessed. A good evidence for reliability, validity and responsiveness both
16
17 304 for SF36 and EQ-5D has been shown [27, 28]. It will be documented if the questionnaire was self-
18
19 305 completed or with help of someone.
20
21 306 • Numerical rating scale (NRS): self-reported score based on a numerical rating scale that ranges
22
23 307 from 0 to 10 to evaluate the presence and intensity of pain. A higher value implies greater pain. If
24
25 308 a patient is unable to answer this question, the reason for it will be captured and the question will
26
27 309 remain unanswered.
28
29 310 • Parker Mobility Score: is a functional assessment with three walking ability questions that can
30
31 311 each attain a maximum of 3 points. The final calculated score ranges from a minimum of 0 points
32
33 312 to 3 or 9 points at maximum. The higher the score, the higher the function [29].
34
35 313 • Timed Up and Go test (TUG): is a commonly used screening tool to assist clinicians to identify
36
37 314 patients at risk of falling. It measures the time (in seconds) that it takes for an individual to rise
38
39 315 from an armchair (chair seat height = 45 cm / 1.5 feet), walk 3 meters (= 10 feet) to a line drawn
40
41 316 on the floor, turn around and return to the chair. The total time taken for the patient to complete
42
43 317 the entire task is the outcome measure. Those who complete the test in less than 10 seconds are
44
45 318 freely mobile, patients completing the test between 10 and 19 seconds are independent for basic
46
47 319 transfers, and those who need 20-29 seconds to complete the test often use a cane. Patients with
48
49 320 30 seconds and more are much more dependent on walking aids and typically they need help
50
51 321 with chair or toilet transfer [30, 31]. Since TUG is a continuous endpoint assessed several times,
52
53 322 mixed effects regression models will be used to enable all available outcome data to be included
54
55 323 in the analysis. In case of missing values, imputation techniques could also be used.
56
57 324 All analysis will be performed according to a statistical analysis plan which will be ready before data
58
59 325 collection ends.
60 326

327 Sample size estimation

328 The sample size calculation has been performed on the basis of difference in the risk of major AEs.
329 Available literature reports a wide variation in complication rates on these patients ranging from 4-57%
330 in the GFC group and from 61-71% in the UCC depending on the type of complications reported [4, 6,
331 9, 11, 32]. Based on the above data, the assumption was that one year following surgery, the risk of at
332 least one predefined major adverse event was estimated at 35% for GFC group and at 55% for the
333 UCC Group. With a significance level of 5%, a power of 80%, and equal treatment groups, a sample
334 size of 212 patients (106 per group) was calculated. This total was adjusted for an expected loss of
335 patients of about 20%, giving an estimated total sample size of 266 patients (133 per group).

337 Statistical analyses

338 The primary analysis will be conducted using firstly the full analysis population ("enrolled" patients),
339 and subsequently the per-protocol population. The risk of major AEs related to the treatment,
340 hospitalization and/or immobilization occurring from surgery to the 1 year FU and regardless of time
341 point of data collection will be reported at the patient level along with the 95% confidence intervals
342 according to each treatment group. In addition, univariable and multivariable Poisson regression
343 models will be used whereby the outcome will be the actual number of major AEs related to the
344 treatment, hospitalization and/or immobilization.

345 Secondary analyses will be conducted using the per-protocol population. Initially, univariable statistical
346 tests (e.g. Chi-square test or Fisher's exact test for categorical variables; t-test or Wilcoxon rank-sum
347 test for continuous variables) will be used to evaluate differences in clinical and administrative
348 parameters between the two treatment groups. Subsequently, longitudinal data will be analyzed by
349 means of mixed effects regression models to estimate differences in mean scores (e.g. EQ-5D,
350 modified Barthel Index, TUG, Parker Mobility Score, pain NRS) between FU and the respective
351 baseline assessment by treatment group. The proposed cost-utility analysis will use decision
352 modelling and sensitivity analysis techniques to ensure the robustness of the study's conclusions.
353 Cost-effectiveness will be assessed using the incremental cost-effectiveness ratio, which is
354 determined by calculating the difference in costs divided by the difference in QALYs between the GFC
355 and the UCC groups.

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3 356 Enrolled patients who withdraw from study FU for any reason (withdrawal of consent, death, loss to
4 357 FU, etc.) will be included in the analysis until the time at which they withdrew.
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7 358
8
9 359 Data collection and management
10
11 360 Data handling and protection are conducted according to the ISO 14155 guidelines and ICH-GCP and
12
13 361 applicable regulations. An electronic Case Report Form (eCRF) in REDCap [33] will be designed to
14
15 362 accommodate the specific features of the study. Modifications of the eCRF will be made only if
16
17 363 deemed necessary and in accordance with any amendment to the study protocol. Access to the eCRF
18
19 364 is password protected and specific functions are assigned (e.g. study coordinator, investigator,
20
21 365 monitor, etc.). The eCRF is to be completed in a timely manner after a patient's visit (i.e. 14 days after
22
23 366 occurrence of a documentable event). During the site initiation visit and prior to recruiting the first
24
25 367 patient, the research team at each site will undergo a defined training program that will include
26
27 368 explanations on inclusion and exclusion criteria, study procedures, how to use the eCRF and general
28
29 369 aspects of ISO 14155 and GCP. Monitoring visits will be performed as frequently as required to
30
31 370 guarantee the completeness and accuracy of the information in the eCRF. At the end of the study, a
32
33 371 site close out visit will be performed and all final clarifications will be done. Source data and any other
34
35 372 essential documents have to be archived according to the legal requirements at the study site. Clinical
36
37 373 study data (i.e. eCRF) and essential documents will be archived by the sponsor according to legal
38
39 374 requirements.
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41 375
42 376 Premature termination
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44 377 Due to the nature and design of the study there are no stopping rules defined. All treatments are per
45
46 378 standard of care and no investigational medical device or additional medication or intervention is
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48 379 applied during the study.
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50 380
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53 381 Reporting of adverse events
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55 382 All AEs are collected. In case of a serious adverse event, the sponsor is immediately notified. AEs
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57 383 and serious adverse events need to be reported by the investigator to the EC/IRB according to their
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59 384 regulatory requirements.
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386 ETHICAL CONSIDERATIONS AND DISSEMINATION

387 This is an observational study in which vulnerable patients who are in an emergency situation,
388 mentally incompetent (temporarily or permanently) or able to give oral consent only might be included.
389 In these cases, surrogate consent will be obtained according to the local regulation and the patient's
390 informed consent will be obtained as soon as possible. This study has been registered in Clinical
391 Trials.gov under registration number NCT02297581. Ethics approval for this study was granted from
392 the local Ethics committees or Institutional Review Board from each of the 12 participating sites prior
393 to patient enrollment commenced at each site. The results of this study will be published in peer-
394 reviewed journals and presented at different conferences.

395

396 DISCUSSION

397 Fragility fractures and their care are an increasing challenge to health care systems and societies. Due
398 to the great number of comorbidities present in elderly patients, geriatric fractures and their treatment
399 present several complications. Different orthogeriatric concepts have been developed to improve
400 patients' outcome but until now, the beneficial effect of these models could not be proven. The reason
401 to choose an observational study design was to assess the actual effectiveness of current geriatric
402 care all around the world. In contrast, a randomized study would not have provided real world data
403 which was our objective. Collecting real world data is particularly important for our study, as one of the
404 main secondary aims of the study is a detailed cost-effectiveness analysis. Moreover the feasibility to
405 perform such a study in an international multicenter setting is challenging as it might require a huge
406 investment to build the infrastructure needed and changing the organization of participating sites. All of
407 the above could have a negative impact on patient care or data collection due to the learning curve
408 and would bias our results. In our initial call, the applicants were not asked whether if they were a GFC
409 or a UCC; instead the selection of centers was based on previously defined criteria and their allocation
410 to either group was done according to the responses they gave on the site selection questionnaire.
411 The site selection process has been detailed elsewhere (sent as a joint publication, currently under
412 review).

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413 The primary outcome measure based on the number of AE occurred during the time of the study is an
414 objective and well defined parameter. However, our secondary outcome measures include tests or
415 patient reported outcomes which require compliant patients. There is a risk of bias due to patients lost
416 during FU or unable to complete the tests or questionnaires. In the latter case, caretakers might help
417 complete the questionnaires and cost diaries if feasible. Important variables which may influence the
418 outcome will be controlled during the analysis of results. Likewise, missing values will be handled
419 using statistical methods performed according to a Statistical Analysis Plan which will be ready before
420 data collection is finished.

421 The results of this study are expected to give important evidence on the impact of geriatric co-
422 management for patients with fragility fractures regarding the quality of life, outcomes in the elderly
423 and cost-effectiveness. As we increase our life expectancy and the demographic pyramid continues to
424 shift, these problems will be an increasing economic and social burden in particular in industrialized
425 countries.

426

427 **CURRENT STUDY STATUS**

428 The target sample was reached on October 2016; however recruitment was extended 3 months to
429 allow the recruitment of at least 20 patients in each site. The number of patients recruited by site is as
430 follows: Almelo 25, Bangkok 25 on each center, Innsbruck 25, Linz 20, Maastricht 25, Marbella 22,
431 New York 20, Palma de Mallorca 24, Singapore 25 on each site and St Louis 21. Data collection will
432 be completed (last patient last visit) on February 2018.

AUTHORS' CONTRIBUTIONS

AJ, DH, VK, MB: Conception and design of the study, development and approval of original study protocol, revision and approval of final manuscript.

AH-Ch: Data collection, manuscript drafting, revision and approval of final manuscript.

ACKNOWLEDGEMENTS

The authors wish to thank AOCID staff who has contributed directly or indirectly to this study, in particular to Joffrey Baczkowski and Patrick Hiltbold and for providing relevant data for the development of this manuscript.

COMPETING INTERESTS

AH-Ch, AJ, DH and VK are AOCID employees and receive salary from the AO Foundation.

FUNDING

The GFC study and the development of this manuscript were entirely funded by the AO Foundation via AOTrauma.

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A prospective multicenter cohort study to evaluate the benefit of the geriatric fracture center (GFC) concept

Patient Diary

**Thank you for participating in this study.
Your responses are very valuable to us!**

As part of the clinical trial in which you are a participant, we are asking that you keep track of your hospital and doctor visits, physiotherapy appointments, medications and any other health services you may use during the 12 months after your hip fracture. We would also like you to record any personal expenses that you incur and the time that your caregiver missed from work because of your surgery and recovery. We are providing this diary to help you record all this information.

Please record only health care services that you believe have resulted from or are related to your hip fracture and recovery.

An example of how to fill out the diary is attached on the next page. The last pages are for you to record your information.

Please keep the diary in a place where you can easily find it whenever you need to write in it. Please bring your diary to your follow up visits. If required, your caregiver or family member can assist in filling out the diary. We will provide you with a new diary after each of your study follow-up visits.

If you have any questions about how to fill out this diary, please contact:

EXAMPLE

Mr. Smith was discharged from the hospital on January 15, 2015. Over the next 12 weeks, he purchased and used a walker to get around and he was unable to complete his activities of daily living. His wife took care of him for these 12 weeks and a home care aid visited daily. His daughter took him to his medical appointments, which included 2 appointments with his orthopaedic surgeon and 3 appointments with his family physician. He had x-rays at each of the appointments with his orthopaedic surgeon. He also attended physiotherapy 2 times a week for 12 weeks, and had 1 in-home occupational therapy consult. He was prescribed Tylenol #3 by his surgeon for any pain and purchased some Advil. His only additional expense was a charge for parking at the hospital when he saw his surgeon for his post-surgery follow-up appointments.

This is how Mr. Smith would complete his Cost Diary, based on the information provided above:

1. VISITS TO SPECIALISTS

Have you visited a specialist physician (e.g. surgeon, emergency room physician) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Specialist Physician Name	Date of Visit	Type of Specialist	Reason for Visit	Out of Pocket Cost	Total Cost
	YYYY-MM-DD		e.g. check-up, repeat prescription, increase in pain, etc.	Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the visit, if known
Dr. Jones	2015-01-22	Orthopaedic surgeon	post-op follow-up	0	unknown
Dr. Jones	2015-03-05	Orthopaedic surgeon	post-op follow-up	0	unknown



2. VISITS TO GENERAL PRACTITIONER

Have you visited your family physician since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Physician Name	Date of Visit	Reason for Visit	Out of Pocket Cost	Total Cost
	YYYY-MM-DD	e.g. check-up, prescription refill, increase in pain, etc.	Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the visit, if known
Dr. Peters	2015-01-22	post-op follow-up	0	unknown
Dr. Peters	2015-02-20	post-op follow-up	0	unknown
Dr. Peters	2015-03-10	post-op follow-up	0	unknown

3. VISITS TO PHYSIOTHERAPY/PHYSICAL THERAPY

Have you visited a physiotherapist since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Clinic Name	Date of Visit	Reason for Visit	Out of Pocket Cost	Total Cost
	YYYY-MM-DD		Please indicate any amount you paid, <u>per visit</u> , that will not be reimbursed by insurance	Please indicate the total cost <u>per visit</u> , if known
Someplace Physio	2015-01-20	rehab, pain control	10 *	50
Someplace Physio	2015-01-22	rehab, pain control	10 *	50
Someplace Physio	2015-01-27	rehab, pain control	10 *	50
Someplace Physio	2015-01-29	rehab, pain control	10 *	50
Someplace Physio	2015-02-03	rehab, pain control	10 *	50
Someplace Physio	2015-02-05	rehab, pain control	10 *	50
Someplace Physio	2015-02-12	rehab, pain control	10 *	50



Someplace Physio	2015-02-17	rehab, pain control	10 *	50
Someplace Physio	2015-02-19	rehab, pain control	10 *	50
Someplace Physio	2015-02-24	rehab, pain control	10 *	50
Someplace Physio	2015-02-26	rehab, pain control	10 *	50
Someplace Physio	2015-03-03	rehab, pain control	10 *	50
Someplace Physio	2015-03-05	rehab, pain control	10 *	50
Someplace Physio	2015-03-10	rehab, pain control	10 *	50
Someplace Physio	2015-03-12	rehab, pain control	10 *	50
Someplace Physio	2015-03-17	rehab, pain control	10 *	50
Someplace Physio	2015-03-22	rehab, pain control	10 *	50
Someplace Physio	2015-03-24	rehab, pain control	10 *	50
Someplace Physio	2015-03-29	rehab, pain control	10 *	50
Someplace Physio	2015-03-31	rehab, pain control	10 *	50
Someplace Physio	2015-04-02	rehab, pain control	10 *	50
Someplace Physio	2015-04-07	rehab, pain control	10 *	50
Someplace Physio	2015-04-09	rehab, pain control	10 *	50

* Mr. Smith's extended health insurance pays 80% of the cost for physiotherapy treatment. As a result, he is responsible for paying on 20% (or \$10) per treatment. He enters this amount into the "Out of Pocket Cost" column and the full treatment charge of \$50 into the "Total Cost" column.



4. VISITS FROM OCCUPATIONAL THERAPY

Have you seen an occupational therapist since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Clinic Name /Therapist Name	Date of Visit	Reason for Visit	Out of Pocket Cost	Total Cost
	YYYY-MM-DD			
Jane Doe	2015-02-05	In-home consult	0	200

5. VISITS TO COMPLEMENTARY AND ALTERNATIVE MEDICINE SPECIALISTS

Have you visited a complementary and alternative medicine specialist (e.g. chiropractor, acupuncturist) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Clinic/Practitioner Name Please indicate the name and type of practitioner (e.g. chiropractor, acupuncturist)	Date of Visit	Reason for Visit	Out of Pocket Cost	Total Cost
	YYYY-MM-DD			

6. MEDICAL IMAGING

Have you had any medical images taken (e.g. x-rays, CT, MRI) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Image	Date of Image	Reason for Image	Out of Pocket Cost	Total Cost
Please indicate the type of image you had taken (e.g. x-ray, CT, MRI)	YYYY-MM-DD			
x-ray	2015-01-22	requested by surgeon	0	unknown
x-ray	2015-01-22	requested by surgeon	0	unknown

7. LABORATORY TESTS

Have you had any laboratory tests (e.g. blood tests etc.) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Laboratory Test	Date of Test	Reason for Test	Out of Pocket Cost	Total Cost
Please indicate the type of test you had taken (e.g. blood test)	YYYY-MM-DD		Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the imaging, if known

8. PRESCRIPTION MEDICATIONS

Have you received any prescription medications since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Name	Out of Pocket Cost	Total Cost
e.g. Hydrocodone/acetaminophen	Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost, if known
Tylenol 3	4.99	15.58

9. OVER-THE-COUNTER MEDICATIONS

Have you received any over-the-counter medications since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Name	Purchase Cost
e.g. Aleve, Feminax Ultra, Tylenol	
Advil	12.99

10. Walking Aids

Have you received any walking aids since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Aid	Reason for Aid	Out of Pocket Cost	Total Cost
Please indicate the type of walking aid you received (e.g. wheelchair, walker, crutches)		Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the aid, if known
Walker	walking aid suggested by physician for everyday activity	50	250

11. ASSISTED LIVING FACILITIES

Have you stayed at an assisted living facility (e.g. rehabilitation facility, nursing home) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Facility	Number of Days	Reason for Assisted Living Facility Stay	Out of Pocket Cost	Total Cost
Please indicate the type of facility you stayed in (e.g. rehabilitation facility, nursing home)			Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the assistance, if known

12. ASSISTANCE AT HOME

Have you received any assistance at home since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Assistance	Number of Hours	Duties Performed	Out of Pocket Cost	Total Cost
Please indicate the type of assistance you received (i.e. in-home nursing care, assistance with activities of daily living by a paid caregiver, assistance from a family member or friend)		e.g. assistance with bathing, dressing, housework, etc.	Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the assistance, if known
In-home nurse	100	assistance with bathing and hygiene	500	2500
daily activities	300	assistance with dressing, hygiene and housework	N/A	N/A

13. ADDITIONAL EXPENSES

Have you had incurred any expenses (e.g. parking costs, transportation) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

Type of Expense	Reason for Expense	Out of Pocket Cost	Total Cost
Please list any additional expenses that you have not already listed above.		Please indicate any amount you paid that will not be reimbursed by insurance	Please indicate the total cost of the expense, if known
Parking	Surgeon post-op follow-up	5	5
Parking	Surgeon post-op follow-up	5	5
Transportation	Daughter drove to appointments - fuel	80	80

14. HOUSEHOLD AND LEISURE ACTIVITIES**Number of Days**

Since your last visit, approximately how many days were you unable to perform usual household activities? (e.g. housework, cleaning)

Since your last visit, approximately how many days were you unable to perform usual personal care activities on your own? (e.g. bathing, dressing)

Since your last visit, approximately how many days were you unable to perform usual leisure activities (e.g. sports, social activities, etc.)?

15. FAMILY MEMBER EMPLOYMENT (PAID WORK)

Have any of your caregivers missed work due to your hip fracture?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", you have completed the survey. Thank you for your time.

Family Member	Occupation	# Of Work Days Missed	Reason
Daughter	Teacher	5	Transportation to appointments

This is the end of the Example section.

Please start completing your diary on the next page.

Thank you very much!

This box to be completed by attending physician or research coordinator.

Date of Visit (DD/MM/YY): _____

Subject ID Number:

Study Visit

12 week follow-up ☐

12 month follow-up ☐

1. VISITS TO SPECIALISTS

Have you visited a specialist physician (e.g. surgeon, emergency room physician) since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

[illegible]

Have you visited your family physician since your last study follow-up?

If "Yes", please fill in the details below. If "No", please continue to the next table.

GFC study: Patient cost diary v1.0, January 29th, 2015

Have you visited a physiotherapist since your last study follow-up?

If "Yes", please fill in the details below. If "No", please continue to the next table.

GFC study: Patient cost diary v1.0, January 29th, 2015

[illegible]

4. VISITS FROM OCCUPATIONAL THERAPY

Have you seen an occupational therapist since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

[illegible]

If "Yes", please fill in the details below. If "No", please continue to the next table.

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

Have you had any laboratory tests (e.g. blood tests etc.) since your last study follow-up?

If "Yes", please fill in the details below. If "No", please continue to the next table.

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8. PRESCRIPTION MEDICATIONS

Have you received any prescription medications since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

[illegible]

If "Yes", please fill in the details below. If "No", please continue to the next table.

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

If "Yes", please fill in the details below. If "No", please continue to the next table.

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If "Yes", please fill in the details below. If "No", please continue to the next table.

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

Have you received any assistance at home since your last study follow-up?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", please continue to the next table.

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If "Yes", please fill in the details below. If "No", please continue to the next table.

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

14. HOUSEHOLD AND LEISURE ACTIVITIES	Number of Days
Since your last visit, approximately how many days were you unable to perform usual household activities? (e.g. housework, cleaning)	
Since your last visit, approximately how many days were you unable to perform usual personal care activities on your own? (e.g. bathing, dressing)	
Since your last visit, approximately how many days were you unable to perform usual leisure activities (e.g. sports, social activities, etc.)?	

15. FAMILY MEMBER EMPLOYMENT (PAID WORK)

Have any of your caregivers missed work due to your hip fracture?

Yes ☐ No ☐

If "Yes", please fill in the details below. If "No", you have completed the survey. Thank you for your time.

[illegible]

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	<input checked="" type="checkbox"/>
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	<input checked="" type="checkbox"/>
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	<input checked="" type="checkbox"/>
Objectives	3	State specific objectives, including any prespecified hypotheses	<input checked="" type="checkbox"/>
Methods			
Study design	4	Present key elements of study design early in the paper	<input checked="" type="checkbox"/>
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	<input checked="" type="checkbox"/>
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	<input checked="" type="checkbox"/>
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	<input checked="" type="checkbox"/>
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	<input checked="" type="checkbox"/>
Bias	9	Describe any efforts to address potential sources of bias	<input checked="" type="checkbox"/>
Study size	10	Explain how the study size was arrived at	<input checked="" type="checkbox"/>
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	<input checked="" type="checkbox"/>
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	<input checked="" type="checkbox"/>
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	N/A
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	<input checked="" type="checkbox"/>
		(e) Describe any sensitivity analyses	<input checked="" type="checkbox"/>

Continued on next page

Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	N/A
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	N/A
		(b) Indicate number of participants with missing data for each variable of interest	N/A
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	N/A
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	N/A
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	N/A
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	N/A
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	N/A
Discussion			
Key results	18	Summarise key results with reference to study objectives	N/A
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	<input checked="" type="checkbox"/>
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	N/A
Generalisability	21	Discuss the generalisability (external validity) of the study results	N/A
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	<input checked="" type="checkbox"/>

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.