Patients as partners in Enhanced Recovery After Surgery: A qualitative patient-led study

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ABSTRACT

Objectives Explore the experience of patients undergoing colorectal surgery within an Enhanced Recovery After Surgery (ERAS) programme. Use these experiential data to inform the development of a framework to support ongoing, meaningful patient engagement in ERAS.

Design Qualitative patient-led study using focus groups and narrative interviews. Data were analysed iteratively using a Participatory Grounded Theory approach.

Setting Five tertiary care centres in Alberta, Canada, following the ERAS programme.

Participants Twenty-seven patients who had undergone colorectal surgery in the last 12 months were recruited through purposive sampling. Seven patients participated in a codesign focus group to set and prioritise the research direction. Narrative interviews were conducted with 20 patients.

Results Patients perceived that an ERAS programme should not be limited to the perioperative period, but should encompass the journey from diagnosis to recovery. Practical recommendations to improve the patient experience across the surgical continuum, and enhance patient engagement within ERAS included: (1) fully explain every protocol, and the purpose of the protocol, both before surgery and while in-hospital, so that patients can become knowledgeable partners in their recovery; (2) extend ERAS guidelines to the presurgery phase, so that patients can be ready emotionally, psychologically and physically for surgery; (3) extend ERAS guidelines to the recovery period at home to avoid stressful situations for patients and families; (4) consider activating a programme where experienced patients can provide peer support; (5) one size does not fit all; personalised adaptations within the standardised pathway are required. Drawing upon these data, and through consultation with ERAS Alberta stakeholders, the ERAS team developed a matrix to guide sustained patient involvement and action throughout the surgical care continuum at three levels: individual, unit and ERAS system.

Conclusion This patient-led study generated new insights into the needs of ERAS patients and informed the development of a framework to improve patient experiences and outcomes.

INTRODUCTION

The Enhanced Recovery After Surgery (ERAS) programme applies evidence-based perioperative interventions that, collectively, reduce morbidity and length of hospital stay.1–5 Given its clinical success, a number of qualitative studies have been conducted to provide insight into patient experiences and satisfaction with the programme. Overall, a high level of contentment with ERAS has been reported with the exception of a few services: preoperative preparation and postoperative support have been consistently documented as not meeting patient needs.4–7 Despite these qualitative findings, there has been little change in the pre and postoperative supportive guidelines.

Patient-centeredness is fundamental to the mission of healthcare, yet traditionally patients have not been involved as partners in shaping their health services. This issue is multifaceted and has real clinical consequences. For instance, central to ERAS’ effectiveness is the adherence to 22 elements,8 some of which, such as premedication oral carbohydrate loading, are completely reliant on patient adherence. A clearer understanding of the
The PaCER (Patient and Community Engagement Research) methodology of Set, Collect, Reflect engages patient-participants as partners throughout the research process.
representing four hospitals, for the purpose of guiding data collection (ie, language and scope of responses). The initial question posed to the group was: Please tell us about your post-surgery experience while you were in hospital. This question opened the door for participants to describe their knowledge of ERAS and recount their experiences coping with the ERAS protocols. Discussion among the participants was encouraged with a series of prompts used by trained PaCER researchers to deepen and elaborate the information provided. The focus group was facilitated, audio recorded and transcribed by the PaCER researchers. The topics that emerged included: (1) how nurses introduced and encouraged the ERAS protocols during the preoperative clinic education appointment and in-hospital; (2) presurgery stress; (3) surprise at the high level of gas pain; (4) stress around biopsy results; (5) nutrition; (6) level of knowledge of ERAS; (7) journals (an ERAS Alberta initiative to get patients to track compliance to ERAS elements not traditionally included in the ERAS programme or within patient charts). These initial ideas were shared with the ERAS teams and researchers and were used to formulate subsequent guiding questions for the data collection phase.

Data collection/analysis cycles

Data collection and analysis was conducted according to grounded theory practice, with small groups of interviews analysed by three PaCER researchers using open coding methods, in an iterative process to ensure interrogation of the data and emerging themes, as well as to guide the direction of recruitment and data collection strategies. All PaCER researchers kept a research diary to memo and be reflexive.

Narrative interviews encouraged participants to ‘tell their story,’ using prompts sparingly to elicit greater depth. Once the patients had told the story of their surgery experience, open-ended questions were posed to test emerging themes. Phase 1 included eight individual narrative interviews, in which five hospitals were represented. Phase 2 included participants from one hospital, in which 12 individual narrative interviews were conducted on the second postoperative day with seven follow-up interviews 3 weeks post discharge. The interviews conducted at bedside were uninterrupted by non-participants. All interviews were conducted, audio recorded and transcribed by the PaCER researchers. After each interview and focus group, the participants reflected on what they had learnt about their experience and what they thought should be explored in future interviews. Data code saturation was reached after the 10th narrative interview. Data collection/analysis cycles with the PaCER team continued until a core construct emerged that organised the working theory and emerging themes.9

Reflect

Participants from the interview and codesign phases were invited to Reflect on the study findings.7 Interested participants (n=7) engaged in a telephone interview to discuss the findings and offer feedback. This stage tests the truth value and consistency of the findings with the users of the ERAS programme.

The net result is a research method that involved patients as partners throughout the research process. The inclusion of patients in analysis and interpretation of findings ensures pragmatic and relevant recommendations. In fact, Participatory Grounded Theory has been used successfully to develop practice-changing theories to treat osteoarthritis and improve care in the intensive care unit.12

Reliability

Grounded theory methods ensure careful and ongoing interrogation of findings as data are collected and analysed. In addition, methods (two distinct methods, three phases of research) and data sources (five hospitals and in-patient, follow-up interviews) provided a foundation for triangulation of data to enhance the rigour of the study and breadth of study findings.18 Research colleagues in ERAS, academic PaCER supervisors and peers were also asked to review and discuss memos, emerging data, and themes to confirm the findings for the purpose of achieving investigator triangulation. Differences among researchers were discussed before coming to a consensus.

RESULTS

The core concept that emerged from the data was clear: the majority of patients, once they understood the ERAS programme, wanted to be included to know why the protocols were important and, most of all, wanted to take on an active, collaborative role throughout their surgical journey. By beginning this partnership early, patients feel better prepared to leave hospital and continue their recovery at home. Thus, the overarching concept is ‘invite me into ERAS, from diagnosis to recovery, so that I can take responsibility for my own health.’ Patients perceived that, to be a programme focused on enhanced recovery, ERAS should not be limited to the perioperative period, but should encompass the journey from diagnosis to recovery at home (figure 2). The Results section is therefore divided into four main categories, each with

Figure 2 Patient-defined surgical journey. Patient-participants perceived that, to be a programme focused on enhanced recovery, the Enhanced Recovery After Surgery programme should not be limited to the perioperative period, but should encompass the journey from diagnosis to patient-defined recovery.
### Table 1 A patient-driven grounded theory of the ERAS journey

<table>
<thead>
<tr>
<th>Category</th>
<th>Subcategory</th>
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| Waiting and preparing for surgery: preoperative experiences | Knowledge of ERAS  
   Preoperative information from surgeons and nurses  
   Stress:  
      Fears about surgery  
      Worry about finances, family, work  
      Bowel preparation and travel-related stressors  
      Lack of information |
| Surgery and stay in-hospital: in-hospital experiences with providers | Pain control  
   Journal  
   Following ERAS protocol  
   Medical care and postoperative health  
   Rapport with providers |
| Surgery and stay in-hospital: non-provider-related in-hospital experience | Noise level  
   Nutrition |
| Managing at home: discharge and postdischarge experiences | Discharge information  
   Biopsy test results  
   Medical concerns and home help  
   Need for a designated contact |


subcategories: (1) patient preoperative experiences with seven subcategories; (2) in-hospital experiences with providers with five subcategories; (3) non-provider-related in-hospital experiences with two subcategories; (4) posthospital discharge experiences with four subcategories (table 1).

### Waiting and preparing for surgery: preoperative experiences

#### Knowledge of ERAS

More than half of patients interviewed did not know what ERAS was, or that they were involved in an ERAS programme. Many of the patients who were aware of ERAS, however, chose to become more informed prior to surgery and two participants chose to ‘get themselves fit’ for surgery.

#### Preoperative information from surgeons and nurses

Patients explained that nurses provided the majority of the ERAS information. Surgeons focused on the understanding of the surgical procedures and knowing what to expect when waking up postsurgery.

Many patients perceived that the preoperative information provided came too late.

‘Of course, they did inform us about being fit but it was only 4 days before [surgery].’

‘If somebody comes up to you and says ‘well, you should’ve went for walk for the first 3 weeks before your surgery’ but you can’t tell them that the day of the surgery you have to tell them that way beforehand. Any information you’re going to get that’s going to improve or speed up your recovery 99% of the people in the world are going to do it unless you physically can’t.’

#### Stress

Many patients talked about various stressors they encountered while waiting for surgery, such as: (1) fears about the surgery; (2) worry about finances, family, work; (3) bowel preparation for those who had mobility issues or travel before surgery; and (4) lack of information.

In fact, almost all patients expressed some level of fear and believed that help resolving stressors, including guidance in the access of appropriate services, would have helped them during the waiting period for surgery, and allowed them to go into surgery much calmer and less tired. Patients believed that better presurgery physical and mental health equated to a faster recovery. Lack of knowledge of available resources meant few patients even reached out to community services on their own.

‘There is a lot of stress and fear and those things can stop you getting well and healing fast. Should some thought be given to helping patients with stress and fears. Maybe there should be someone who can have a conversation with you so you can talk about all these things and make sure that you are in the best mind to be healed.’

Perceived lack of information regarding the results of preoperative assessments, what the surgeon had planned to do and how long it would take to recover from the surgery were all presurgery stressors. Of note,
those who had attended a class or had been able to discuss their surgery with their surgeon or nurses felt more confident going into their surgery in knowing what to expect.

‘.... Where the main thrust of learning about ERAS came was meeting the nurses [at the preoperative clinic] who were just godsend. I really look back fondly on that day.’

Surgery and stay in-hospital: in-hospital experiences with providers

Pain control

Most participants experienced some level of pain, especially during the first two postoperative days, but believed that providers controlled their pain level appropriately. A few patients had concerns about becoming addicted to the pain medication.

The pain that surprised many participants was the high level of intraperitoneal gas pain they experienced as a result of the inflation of the abdomen for laparoscopic surgery. These patients believed they should have been alerted to this possibility presurgery.

Patients treated with patient-controlled analgesia appeared to feel good about having some sense of control over their pain medication and were less anxious than patients who were relying on providers to administer the drugs. In fact, a few patients feared falling asleep in case their nurse forgot to bring the medication at the appropriate time.

Journal

In a novel approach with ERAS implementation and building on the McGill experience, Alberta Health Services adopted the use of journals for patients to track mobility, nutrition, breathing exercises, gum chewing and urinary output. Many patients who were given a journal to complete daily did not see the point of the journal and explained that they were too tired or too busy fulfilling the ERAS expectations to fill out all their journal activities. A few patients said it was helpful, but most felt it was not useful for them, and believed it was useful for the providers rather than patients.

‘The nurses were motivating but I didn’t want to write in the book, I got my mom or girlfriend to do it. Writing in the book was not my priority, walking around helps the bowels more.’

Following ERAS protocols

Patients who had a better understanding of the ERAS programme were more likely to follow the expected protocols. It would appear that fully understanding the rationale for the protocol led to greater adherence.

‘I did it, but didn’t know why. I think people would be more diligent if they knew why the walking was so important, why the protein was so important…’

Some patients perceived that they were too unwell to follow the programme, and explained that their providers were sticking to the protocol without taking their personal physical health into account. The inability of providers to be flexible, or modify the ERAS protocols appeared to engender some anxiety around the protocols.

‘The nurses and doctors were pushing me to eat. I did not understand how important it was to eat as soon as possible. I thought the body needs healing…’

Patients liked the concept of being part of a team that was invested in their timely recovery, rather than simply being told what to do. Being treated as an individual who was doing his/her best, and perceiving that providers were not simply following rules, allowed patients to invest more effort into following the protocols.

‘…People want to help, and they want to have a sense of belonging, especially in the hospital where you’re recovering and going through a tumultuous amount of thoughts… hey we’re on this ERAS program, this is what we found helps other patients… and it would help if you did this…that partnership, that team.’

Medical care and postoperative health

Although most patients reported an uneventful recovery in hospital, several patients discussed concerns they had with their postoperative care, which caused undue anxiety and impeded recovery. These concerns included lack of information around biopsy results, inconsistency in information sharing between providers at shift changes, perceived mismanagement of nasogastric tubes and an inability to have a conversation with their surgeon.

Rapport with providers

Patients reported that good rapport or relationship with the nursing staff was provider dependent. Some nurses were really good at informing and supporting patients as individuals, others were less attentive or interested. Some nurses were perceived as being focused on following the ERAS protocols independent of the patient’s condition.

Surgery and stay in hospital: non-provider-related in-hospital experience

Noise level

Most of the participants complained that the noise level in hospital made it difficult for them to sleep, even at night, and questioned whether this negatively impacted their recovery. Patients also wondered about the necessity of having blood samples taken in the middle of the night or very early in the morning.

Nutrition

Most patients stated that they would have preferred some guidance about appropriate food for relatives to bring from home. Patients who had been warned about the postoperative low-fibre diet of Alberta Health Services appeared more forgiving. A few patients noted that there
were no food options available between scheduled mealtimes when they felt prepared to eat.

Managing at home: discharge and postdischarge experiences

Discharge information

Although the majority of patients believed they had adequate discharge information, some participants raised major concerns that had not been fully explained, including bleeding from the rectum, variation in bowel movements and diet. Mixed messages and differing provider advice also caused confusion for some patients. All of these issues caused varying levels of anxiety for patients’ management at home.

‘The surgery itself was actually a huge success but they didn’t warn me about the number of accidents that I might have or things like that. From what I hear it is fairly common with a lot of people, and that wasn’t discussed at all….’

‘Don’t feel that they gave me much information about what to expect [post op]. What is normal or not normal…I experienced a little bit of bleeding. I was kind of concerned about that. It took the nurse [surgeon’s office] quite a while to get back to me on that too.’

‘The take home sheet that I had, it did say eat more small meals and make sure you drink a lot of water. Things like that. Helpful hints. I wanted more detail than that.’

Biopsy and test results

Most patients did not receive their biopsy, or other test, results until their surgical consult 6 weeks after their operation. Many patients were unaware of the necessary time required to review and report these results, and this caused undue anxiety.

Medical concerns and home help

Several participants had medical concerns, such as problems with their incision, which caused anxiety, particularly because there was uncertainty regarding the best service to contact. The few participants who had needed and received help at home, believed that these providers had not received enough information from the hospital to be able to support them adequately.

Need for a designated contact when patients go home

Many patients believed it to be necessary to provide an alternate contact besides the surgeons, physicians or Health Link (24/7 telephone nurse advice and general health information for Albertans) to have their concerns addressed. Many hesitated to call their doctors’ offices, especially about diet and bowel movements, and those who called because of concerns regarding their incision and bleeding usually waited up to 2 days to have their questions answered. There was consensus that either a professional or experienced patient volunteer, who could provide answers or knew where to direct their concerns, would be an ideal resource for patients managing their recovery at home.

‘I do think that there should be a follow-up phone call about a week from that enhanced program itself, not just your doctor…how are things going, do you have any concerns, do you have any questions…’

Implementation: a patient engagement framework

Recognising that it is important to learn from patients’ experience with ERAS, and use this learning to move research forward into practice, the ERAS team consulted patient engagement experts to develop a patient engagement framework. The approach taken to develop this framework was as follows:

► A scan of the academic literature regarding patient experiences with ERAS or other similar surgical programmes. A total of 11 articles were determined to be relevant, and reviewed (a comprehensive literature search and review was beyond the scope of this project).45 19–27

► A scan of the grey literature regarding strategies for engaging surgery patients in quality improvement and for other patient engagement frameworks.28–31

► In-depth review of the present PaCER findings.

Semistructured, key informant interviews (n=9) were conducted with a purposive sample of four ERAS nurse coordinators, a PaCER researcher, a patient advisor from the provincial surgery governing body, a patient experience consultant for Alberta Health Services and a University of Calgary-based expert in patient-reported experience/outcome measures (PREM/PROM).

A continuum-based framework was developed based on the key guiding principles that emerged from the framework consultation (table 2) and on the International Association for Public Participation (IAP2) spectrum of participation.32 The framework involves patients selecting their desired level of ERAS involvement along a continuum of equally valued engagement options from we inform patients, patients inform us, patients ‘co-lead’ and ‘co-design’ with us, to patients lead at the level of being engaged in their own individual care, the unit care, and the ERAS system (table 3). The highest level of engagement involves patients as partners and researchers of ERAS. At this level, patients are meaningfully included as partners in the planning, conduct and dissemination of research. It is generally acknowledged that no part along this continuum is inherently ‘better’ than another part.30 31 Rather what’s important is that there is a good match between how patients would like to be involved and the opportunities for involvement. Health professionals, organisations and patients can use this matrix to identify the extent of patient involvement, and what can be supported or sustained.

There is no value in collecting patient experience and outcome data unless it is going to be used to influence needed changes across the surgical continuum.11 At each level, there must be a mechanism to evaluate outcomes, and a mechanism to disseminate the findings
and outcomes to the patients involved, local ERAS team, hospital and system leaders. Table 4 provides examples of a mechanism to evaluate and disseminate outcomes at the patient level.

**DISCUSSION**

The message that patients bring to ERAS is: *if you tell us why, help us understand what we need to do, we will be happy to do all we can.* The findings suggest that patients’ perception that they play a major role within a collaborative ERAS team will improve patient experience and facilitate earlier recovery through a greater understanding and willingness to adhere to the ERAS in-hospital protocols, and through confidence in continuing their recovery after discharge. This improved confidence invites patients to cocreate with their ERAS team a patient-centred discharge/recovery plan, which should also reduce the postoperative burden (eg, readmissions).

A number of our patient findings, such as the desire for greater pre and postoperative information provision, have been reported previously,4-6 33 but have shown little to no change in the ERAS processes. This failure to implement evidence in practice might represent a gap in the Knowledge-to-Action Cycle.34

Our patient findings and the patient engagement framework provide ammunition to encourage the adoption of a strategy designed to improve patient experiences and outcomes, effectively closing the gap in the Knowledge-to-Action Cycle. Patient input is necessary if patient-centred care is to be operationalised35 36 and the patient engagement framework provides suggestions to include patients in a systematic process where patients are partners in ERAS. Implementation of the framework, thus, provides a means of moving research into practice, and could improve the patient-orientation of medical decision making, policy and future research within the ERAS system; ultimately, improving the ERAS processes so that the care provided matches patient values.

In addition, our findings highlight the importance of understanding patient experiences of ERAS in order to improve the experience for future patients. For example, recognition of numerous sources of anxiety as patients progress along the surgical continuum can inform development of strategies to address the emotional,
Table 3  An ‘engaging patients in ERAS’ matrix

<table>
<thead>
<tr>
<th>We inform patients</th>
<th>Patients inform us</th>
<th>Patients ‘co-lead’ and ‘co-design’ with us</th>
<th>Patients lead</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual care level (Patients are engaged in their own care)</td>
<td>- Patients are provided with clear information about ERAS throughout the surgical trajectory, in ways that work for them.</td>
<td>- Patients tell us what’s important to them across the surgical trajectory and this information guides their care.</td>
<td>- Patients make their own decisions based on information and options provided.</td>
</tr>
<tr>
<td>- Preoperative education information is shared with patients prior to their clinic appointment so that they can ask informed questions.</td>
<td>- Patients are involved in shared decision making.</td>
<td>- Patients have the opportunity to talk with peers (eg, an online support forum).</td>
<td></td>
</tr>
<tr>
<td>- Information needs to be repeated.</td>
<td>- Patients are involved in the development of mechanisms, such as apps or log books, to track recovery.</td>
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| Unit level (Patients are engaged at the Unit level) | - The unit supports staff in patient education activities. | - Patients provide feedback via short unit-specific surveys, and informal interviews; this information is shared with staff on a consistent and timely basis. | - Peer supporters work on units to support patient recovery. |
| - Patients are invited to share their experience at staff meetings. | - Patients are invited to share their experience at staff meetings. | - Patients are equal members of unit quality-improvement councils, working collaboratively with their health professional colleagues. | - Peer supporters obtain input from patients on their experience and outcomes. |
| - Unit has a patient council. | - Unit has a patient council. | - Patients colead unit quality improvement projects. |

| ERAS initiative level (Patients are engaged at the ERAS level) | - The development and evaluation of ERAS preoperative education modules are informed by what patients need and want. | - ERAS database is modified to collect data on PREM/PROM. | - Patients are engaged as researchers. |
| - Patient research participants are meaningfully involved throughout research processes. | - Patient research participants are meaningfully involved throughout research processes. | - Patients are members of the local and international ERAS project team. | - Peer support is built into the ERAS initiative as an integral component. |
| - ERAS has a patient council. | - ERAS has a patient council. | - Patients participate in the development of PROM/PREM. |

The surgical continuum across which patients are engaged extends from diagnosis to recovery.

Patients choose how they want to engage, and there is recognition that this may change over time.

The knowledge and experiential expertise that patients bring, at each of these levels, is highly valued.

PREM refers to patient-reported experience measures; PROM is patient-reported outcome measures. The cells of the matrix have been populated with some examples of how patients might be involved across the engagement continuum and at the different levels. These are not meant to be recommended activities, but are simply illustrative examples of what this kind of engagement could look like. Along the base of the matrix are three foundational elements of patient engagement: (1) the knowledge and experiential expertise that patients bring, at each of these levels, is highly valued; (2) patients choose how they want to engage and there is recognition that this may change over time; and (3) the surgical trajectory across which patients are engaged extends from diagnosis to recovery at home.

ERAS, Enhanced Recovery After Surgery; PREM, patient-reported experience measures; PROM, patient-reported outcome measures.

psychological and social stressors that people undergoing serious, often life-changing surgery, may experience. Attending to these aspects of the surgical journey will contribute to better patient experiences and outcomes.

A strength of the present study is that, through all phases of research, patients were engaged as partners, and the direction of the research was driven by patient-identified priorities. As a result, we have identified a number of very practical patient concerns that, if addressed, could enhance patient experiences with ERAS (box 1). We have also developed a framework to encourage sustained patient engagement within the ERAS system (tables 2–4). A clear limitation, as with all qualitative research, is that the generalisability of the findings may be limited to the participants studied. That said, we collected data from five hospitals in Alberta and attained diversity in age, gender and community. Also, our findings are consistent with the findings of other qualitative studies of ERAS patient experiences.4–7,33

In conclusion, our findings suggest that patients want to be invited into the ERAS process. Patients want to be active participants in their own care. This can be
The cells of the matrix have been populated with some examples of how evaluation and dissemination of outcomes can be implemented at the individual care level. These are not meant to be recommended activities, but are simply illustrative examples of what this could look like.


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Correction notice This paper has been amended since it was published Online First. Owing to a scripting error, some of the publisher names in the references were replaced with ‘BMJ Publishing Group’. This only affected the full text version, not the PDF. We have since corrected these errors and the correct publishers have been inserted into the references.

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