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Community gardening, community farming and other local communitybased gardening interventions to prevent overweight and obesity in high- and middle-income countries: protocol for a systematic review

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Abstract

Introduction

The worldwide prevalence of overweight/obesity has continued to rise over the last decades. To reverse this trend, public health authorities are exploring cost-effective interventions, especially in high- and middle-income countries (HMICs). Community gardening offers a unique opportunity for individuals to enhance physical activity levels and improve their diet. However, synthesised evidence on the short/long-term effectiveness, and on the costs of community gardening interventions to prevent overweight/obesity, remains limited. Therefore, this review will investigate: i) the effectiveness of voluntary participation in community gardening compared with no or control treatment to prevent overweight/obesity, or to improve related outcomes, ii) effects on different sub-groups of populations and settings, and iii) the costs of community gardening interventions.

Methods and analysis

We will conduct a systematic review, limited to evaluations of community gardening interventions with controlled quantitative and interrupted time series designs. To identify relevant articles, we will systematically search 12 academic and five grey literature databases, as well as two trial registers and six websites. Articles will then be assessed for eligibility based on a pre-defined set of criteria. At least two independent reviewers will assess each article for relevance, before evaluating the methodological quality and potential bias of the studies. Data relevant to the objectives of this review will be extracted and cross-validated. Any disagreements will be mediated by a third reviewer. If feasible, meta-analyses of primary outcomes (overweight/obesity, physical activity, nutritional intake, energy intake) will be conducted. We will use the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) method to assess the overall quality of evidence.

Ethics and dissemination

For this review, no ethical approval is required as we will only extract and analyse secondary data. We aim to submit the final review manuscript to an Open Access journal for publication and disseminate results via conferences and social media.

Systematic review registration

PROSPERO CRD42017043696

Keywords

Community gardening, Gardening, Nutrition, Overweight, Obesity, Costs

Strengths and limitations of this study

- The proposed systematic review will report the effects of voluntary participation in community gardening interventions in various settings on overweight, obesity and associated health-related outcomes in the general population of HMICs
- Methods include a working definition of non-therapeutic community gardening, rigorous inclusion criteria for the study designs, and a comprehensive search strategy
- The design process and selection of the main objectives is guided by a logic/causal pathway model
- The limited availability of high-quality studies, as well as variations in intervention duration and components, may be a challenge for conducting robust meta-analyses and drawing definitive conclusions

Introduction

Rationale

According to the World Health Organization, 39% of the global adult population is classified as overweight, with only small differences by gender (40% for women vs. 38% for men). Global obesity prevalence differs more in terms of gender with 15% for women vs. 11% for men. Overweight and obesity are one of the leading global health risk factors for mortality and account for 4.8% of deaths worldwide, especially in high-income countries (8.4%).1 Overweight and obesity also cause a considerable socio-economic burden on a global scale. In 2010, high levels of body mass index (BMI) as a risk factor were estimated to cause 93.6 million disability-adjusted life years (DALYs) worldwide.² This corresponds to an increase of 44.7% in DALYs attributable to this specific risk factor between 1990 and 2010.²,³ Overweight and obesity are also strongly related to a wide range of negative health outcomes (e.g. diabetes mellitus type 2, hypertension etc.). Besides surgical and drug-based treatments, which are often associated with adverse effects, prevention measures, such as community-based initiatives to promote physical activity embedded in natural and built environments, have gained considerable attention.⁵ From a public health perspective, interventions to prevent and control overweight and obesity in the general population should: i) reflect the complexity of this health condition, ii) simultaneously aim at various health-related behaviours, and iii) be of low risk and cost-effective, with the aim to have sustainable positive effects on health on the long term. Community gardening may represent a potentially powerful and sustainable intervention that combines physical activity, improved food supply and education, to support culturally-tailored healthy living in the local context.6 For the proposed review, we define community gardening as: voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land, or on individual (non-domestic) plots of land, with regular community meetings or other social activities, including educational and training activities.^{7,8}

Gardening initiatives with active participation of community members are widespread at schools, nursing homes and other community facilities (e.g. over 500 exist in Germany).⁹ Community gardens are integrated on the local level, in different settings, and are usually cultivated and operated by individuals or non-profit organisations (e.g. community networks, NGOs, or schools).⁶,⁷ Although these initiatives are not necessarily driven by a health-promotion perspective, there is evidence that community gardening may reduce overweight/obesity and diseases related to these conditions, by stimulating physical exercise and improving healthy food supply and nutritional

 intake (e.g. vegetables, fruits).¹⁰ Additional evidence from studies using more rigorous controlled designs has recently become available.¹¹,¹² Moreover, there is an acknowledged need to summarise and synthesise this rapidly expanding body of evidence.⁷,⁸,¹¹ Meanwhile, less is known about the cost-effectiveness of this approach. Therefore, synthesised evidence is essential to improve the knowledge base for policymaking and planning decisions regarding the physical/social infrastructure required for optimal use of community gardens for disease prevention and health promotion.¹³,¹⁴

How this intervention might work

Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity.

To visualise important interactions as causal pathways between the core elements to be examined in this review, we developed a preliminary logic model (Figure 1). The basic concept of this model is introduced: for this we focus on the hypothetical effects on 'overweight/obesity' and on other (intermediate) outcomes. 15-17 Following our working definition, 'community gardening', as introduced and maintained by different community groups, institutions, organisations or governments, is characterised by two main activities: 'education/training' and 'active gardening'. 7, 8 'Education/training' using single or multiple channels (e.g. community meetings, classes) aims to improve the participants' food-related or gardening-related 'knowledge' (e.g. gardening techniques, food preparation). If these interventional components are effective in stimulating a positive behavioural change, this may support participants to actively work in a gardening environment and critically examine, both consciously and unconsciously, their own 'food supply' and 'intake of nutrients' towards a healthier life-style.11 First, 'active gardening, such as weekly gardening sessions, may lead to low-to-moderate levels of 'physical activity' associated with enhanced 'energy expenditure'. Moreover, this may have a positive impact on other health outcomes such as 'quality of life' (e.g. stress relief).18 Second, 'community gardening' may also serve to alter the 'food supply' (e.g. vegetables, fruits) leading to a change in nutritional intake (e.g. increased intake of dietary fibres and essential vitamins; decreased consumption of macronutrients such as sugar and fat). 11,19 Besides the other outcomes, 'food supply' is particularly relevant in case of socio-economic inequality, as individuals with a lower socioeconomic status (SES) spend relatively more of their 'financial resources' on food compared with those with a higher SES. Third, participants working in cooperative activities may also benefit from aspects of social cohesion (i.e. social capital, social inclusion) that may prevent them from social exclusion and increase their 'quality of life'; this may especially apply to the elderly.20 The primary outcomes of this review, i.e.

'overweight and obesity', are mainly caused by an imbalance between 'energy expenditure' and 'energy intake'. Therefore, if the intervention is effective in improving participants' 'energy expenditure', represented in this review by the proxy outcome 'physical activity', or in lowering their 'energy intake' (with no simultaneous negative changes), then 'community gardening' activities may prevent 'overweight and obesity' (e.g. by lowering an individual's BMI). The logic model visualises feedback loops of health conditions such as 'diabetes type 2', 'cardiovascular disease', and 'quality of life' that are closely related to 'overweight/obesity'. To provide a balanced picture of interventional effects, we will also investigate unique health risks of 'community gardening' (e.g. injuries, soil contamination). In addition to the elements along the pathway of 'community gardening', the 'contextual and individual' factors may also help to elucidate the direction and strength of the effects on the selected outcomes.

Objectives

 i) To examine the effectiveness of participation in community-based gardening compared to no or control treatment to prevent overweight and obesity, and/or to improve health-related outcomes (i.e. physical activity and nutritional intake) in the general population and in populations at risk for overweight and obesity in HMICs;

ii) to examine the effects of community gardening interventions in different sub-groups of populations and settings (e.g. schools, neighbourhoods, community facilities) as well as based on the components of the intervention;

iii) to assess the costs of community gardening interventions aimed at preventing overweight and obesity.

Methods

Reporting standards and registration

This protocol follows the reporting standards as defined in the 'Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) 2015 statement'.²¹ The protocol is registered in the 'International Prospective Register of Systematic Reviews' (PROSPERO): CRD42017043696.

For the proposed review, authors will comply with the PRISMA checklist published as 'Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement'.²²

Eligibility criteria

Population

Inclusion criteria are:

 members of the general population of any age or ethnicity in HMICs, including persons at high risk for overweight or obesity (e.g. low SES, living in deprived geographical areas).

Exclusion criteria are:

persons with active drug treatment, surgical interventions, or disease-specific psychological treatment.

Experimental intervention

Inclusion criteria for studies with community-based gardening as interventions, defined as:

interventions with voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land or on individual (non-domestic) plots of land, with regular community meetings or other social activities, including educational and training activities.^{7,8}

Inclusion criteria for environments and settings are:

- community gardens;
- gardens at community care facilities;
- school gardens;
- community farms with mandatory physical participation; and
- other public environments with community gardens accessible for community members.

Exclusion criteria for environments and settings are:

- professional farming;
- subsistence agriculture;
- domestic gardening; and
- disease-specific therapeutic gardening in a closed environment.

Control intervention

Inclusion criteria for the studies are:

Any control intervention or passive control group, including:

- health education interventions other than community gardening (e.g. cooking classes, nutritional information);
- sports-based interventions;
- other nutritional interventions aimed to support healthy eating patterns (e.g. coupons for farmers' markets, etc.); and
- no intervention or waiting list.

Outcomes

Inclusion criteria for the studies are:

reporting of at least one primary outcome.

Primary outcomes are:

- overweight and obesity (e.g. incidence or prevalence; body mass indices, i.e. BMI, waist-to-hip ratio);
- physical activity (e.g. data based on self-reports, activity diaries, accelerometers, etc.);
- nutritional intake (e.g. food groups, ingredients); and
- energy intake (e.g. total energy intake).

Secondary outcomes are:

- adverse health outcomes or outcomes with a direct link to overweight/obesity or physical activity (e.g. health-related quality of life, cardiovascular disease, type 2 diabetes, mortality, fracture);
- costs;
- total expenditure of participants on food;
- · knowledge on food and gardening techniques; and
- indices on social cohesion.

Study design

Inclusion criteria for a study to be included in the main analysis are:

- randomised controlled trials (RCT);
- cluster randomised controlled trials (cRCT);
- non-randomised controlled trials (nRCT);
- controlled before-after (CBA) studies; and
- interrupted time series (ITS) studies

that comply with the criteria of the 'Cochrane Effective Practice and Organisation of Care' (EPOC) group. In accordance with the EPOC criteria, we will include studies with a design that adheres to an appropriate controlled design (e.g. EPOC recommends more than one intervention or control site), or ITS studies with a clearly defined point in time for the intervention's implementation as well as at least three data points before/after the intervention for the included outcomes.²³

Information sources

To identify potentially relevant references of studies, we will consider academic and grey literature databases, as well as (clinical) trial registers and handsearching. The selection of relevant repositories was mainly based on potential coverage of the proposed review's 'patient/population, intervention, control, outcomes' (PICO) format.²⁴

We will include and search the following 12 bibliographic databases to identify relevant studies:

- Agricultural Online Access (AGRICOLA) (1970 to present);
- Agricultural Science and Technology Information (AGRIS) (1974 to present);
- Applied Social Sciences Index and Abstracts (ASSIA) (1987 to present);
- Cochrane Central Register of Controlled Trials (CENTRAL) (1948 to present);
- Cumulative Index to Nursing and Allied Health Literature (CINAHL) (1937 to present);
- Current Contents Medicine Database of German and German-Language Journals (CC MED) (2000 to present);
- Education Resources Information Center (ERIC) (1966 to present);
- Excerpta Medica database (EMBASE) (1947 to present);
- Food Science and Technology Abstracts (FSTA) (1969 to present);

- Medical Literature Analysis and Retrieval System Online (MEDLINE) (1946 to present);
- PsycINFO (1887 to present); and

 Web of Science Core Collection (1900 to present).

In addition, we will perform searches in five electronic grey literature databases:

- Directory of Open Access Repositories (OpenDOAR) [first 50 hits];
- Google Scholar [first 50 hits];
- ProQuest Dissertations & Theses Database (PQDT);
- Social Science Research Network (SSRN); and
- System for Information on Grey Literature in Europe (OpenGrey) [first 50 hits].

We will search the following meta-trial registers to retrieve records of ongoing or unpublished trials:

- Trials Register of Promoting Health Interventions (TRoPHI); and
- WHO International Clinical Trials Registry Platform (ICTRP).

We will search the following websites using keywords:

- American Community Gardening Association;
- Benefits Hub;
- Centre for Agricultural Bioscience International;
- Food Security and Nutrition Network;
- Stiftungsgemeinschaft anstiftung & ertomis; and
- Therapeutic Landscapes Network.

Search strategy

We have developed a highly sensitive search strategy for MEDLINE, incorporating a combination of medical subject headings (MeSH) and text words for the intervention, outcomes, and population of interest. Because commonly used study design filters missed several potentially relevant references, we will use a list of text words for the search strategy to take into account the study designs. The search strategy has been piloted and will be modified according to the requirements of the other bibliographic databases (Table 1).

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Table 1 Search strategy for MEDLINE

Search strategy for MEDLINE (via OVID SP)

- 1. exp gardening/
- 2. ((garden or gardens or gardening or allotment? or horticulture or agriculture or botanical or cultivating or cultivation or plant or plants or planting or greening or harvest or harvests or harvesting) adj5 (community or communities or intercultural or guerrilla or civic or neighbo?rhood or residential? or solidarity or co?operative or communal or collective or shared or voluntary or volunteer or volunteers or volunteering or school or educational or education or recreational or recreation or retirement or nursing or kindergarten or pop?up or urban or rural or local)).tw.
- 3. health.tw.
- 4. obes*.tw.
- 5. overweight.tw.
- 6. (body weight or body mass).tw.
- 7. ("body mass index" or bmi).tw.
- 8. (physical adj (activity or activities)).tw.
- 9. training.tw.
- 10. fitness.tw.
- 11. endurance.tw.
- 12. exercise.tw.
- 13. mortality.tw.
- 14. quality of life.tw.
- 15. (gol or hrgol or hgl).tw.
- 16. (psychological adj (stress or pain or relief)).tw.
- 17. resilience.tw.
- 18. well?being.tw.
- 19. mental.tw.
- 20. (knowledge or attitude).tw.
- 21. (calories or caloric or consumption).tw.
- 22. (diet or diets).tw.
- 23. social cohesion.tw.
- 24. (expenditures or spending).tw.
- 25. costs.tw.
- 26. economic.tw.
- 27. effectiveness.tw.
- 28. or/3-27
- 29. intervention?.tw.
- 30. (experiment? or experimental).tw.
- 31. trial?.tw.
- 32. (study or studies).tw.
- 33. (evaluation? or evaluating).tw.
- 34. (comparison? or comparing).tw.
- 35. group?.tw.
- 36. or/29-35
- 37. 1 and 28 and 36
- 38. 2 and 28 and 36
- 39. or/37-38
- 40. (animals not (humans and animals)).sh.
- 41. 39 not 40

We will limit search results to articles published in the last 25 years (1992-2017) to avoid possible negative impact on the generalisability of our results caused, notably, by substantial shifts in risk patterns and/or general lifestyle changes over time (e.g. sedentary behaviour/physical activity, energy intake, etc.).²⁵ No additional search filters

will be used. We will include references or full-texts in the English or German language. Documents in languages that cannot be translated by the authors of the review team (via internet-based translators, or by colleagues) will be excluded. We will select keywords derived from our PICO and MEDLINE search strategy to identify potentially relevant articles on websites, as well as in databases lacking the option to use search operators/syntax. This includes, in particular, keywords for the intervention (i.e. "community gardening", "community farming", "horticulture", and "school gardens"). Also considered will be keywords for the main outcomes of interest (i.e. "overweight", "obesity", and their corresponding indices such as "BMI").

Data management

Search results will be saved as an EndNote database to backup all reference files, and to remove duplicate references. We will then upload the references to a screening software (e.g. Covidence: a cloud-based system to support the review process).²⁶ We will pilot the title and abstract screening against eligibility criteria. Files of the included studies, the data extraction forms and reference lists will be available to all authors through internet-based exchange options (e.g. Covidence, internet file hosting, or email).

Study selection

Study selection will be performed in two rounds based on the inclusion/exclusion criteria derived from our PICO question and on the designs of the included study. First, we will perform the title and abstract screening based on a de-duplicated EndNote database of all the references retrieved from the search. Second, the full-texts derived from the references identified in the first step will be screened. All steps will be independently performed by at least two authors; a third author will solve potential conflicts. The inclusion/exclusion of all studies will be presented in a PRISMA flowchart clearly showing the screening and selection process.²²

Data collection process and extraction

Data extraction of retrieved references will be performed by more than one author to avoid transcription errors. Any disagreements will be resolved by discussion with a third author. Adapted data extraction and assessment templates will be piloted and then used to extract relevant data from the included studies. All data will be transferred to our review software by one author and double-checked by a second author.²⁴,²⁷,²⁸

Outcomes and data items

We selected patient-relevant outcomes based on the recommendations of the 'Cochrane Metabolic and Endocrine Disorders Group' for a review that focuses on diseases such as overweight/obesity; these include, in particular: mortality, morbidity (i.e. overweight/obesity), health-related quality of life, and adverse events.²⁹ We will also assess economic outcomes relevant to individuals (e.g. participant's total expenditure on food) and to society (implementation costs). Other health-related outcomes (e.g. physical activity) will provide additional information for end-users, as they are closely linked to our main outcomes of interest, i.e. overweight/obesity. We will extract relevant data time points of reported outcomes in order to summarise the effects on outcomes across studies for specific time intervals. Also, potential implications of surrogate outcomes (i.e. BMI) and the impact of length of follow-up, will be addressed throughout this review.

Risk of bias

At least two authors will independently perform a risk of bias (RoB) assessment for the included studies. A third author will resolve conflicts and ensure consensus in case of any disagreement. Results of the RoB assessment will be provided in RoB tables and discussed throughout the review.²⁴ The domain-based Cochrane's RoB tool and EPOC guidelines will be used to assess potential bias for studies relevant for the main results.³⁰,³¹

Data synthesis

Considering our outcome selection, we will extract data for both dichotomous and continuous outcome variables. Preferably, we will extract, report and synthesise risk ratios (RRs) for evaluation of the treatment effect. However, if RRs cannot be obtained or calculated, we will report or calculate odds ratios (ORs) or risk differences (RDs). Continuous data will be harmonised and expressed as standardised mean differences (SMDs). If appropriate, we will convert shorter ordinal data into dichotomous data (RRs, ORs, or RDs). Similarly, we will consider to convert longer ordinal data into continuous data (SMDs).²⁴ ²⁸ For outcomes reported in two or more studies and considered sufficiently homogenous, we will conduct a meta-analysis of the corresponding studies or relevant study arms. Meta-analyses will be performed using the Mantel-Haenszel (dichotomous data) and inverse variance method (continuous data). Based on the results of the pre-screening of potentially relevant studies, we expect variation across studies due to both contextual heterogeneity and differences related to the context of implementation. To address this issue, we will apply the random effects method. Quantitative measures of heterogeneity will be reported (e.g. I², Chi²) together with synthesised data on treatment effects, presented as

forest plots. The most reported outcome measure (e.g. BMI) across the included studies of one outcome (overweight and obesity) will guide the selection process for data suitable to be reported and synthesised. Generally, we will favour the longest follow-up data if multiple follow-up data are available at the individual study level. To determine the role of heterogeneity on treatment effects, and to assess the robustness of the results, we will perform subgroup and sensitivity analyses. If feasible, we will consider subgroup analyses for at least income groups, gender/sex, educational level and age groups, as well as for characteristics of the implementation of the community gardening intervention (e.g. co-interventions). Similarly, if sufficient data are available we will perform sensitivity analyses with respect to quality of studies, source of funding, publication status, intervention duration, and length of follow-up.²⁴ Study results with insufficient homogeneity will be narratively synthesised. In addition to reporting findings as text and tables, we may consider graphical visualisation (e.g. harvest plots or effect direction plots) to synthesise and present data.^{32,33}

Meta-bias(es)

 To study the impact of potential reporting bias, we will calculate and discuss funnel plots of the primary outcomes, if sufficient data are available (> 10 studies).²⁴

Confidence in cumulative evidence

We will present GRADE tables for primary outcomes to demonstrate the degree of confidence end-users can place on the quality of evidence and strength of the recommendations. GRADE ratings are based on study design, including potential upgrades/downgrades (e.g. according to effect size, publication bias, and inconsistency).³⁴, ³⁵

Conclusion

Research on the effects of voluntary community gardening on overweight, obesity and related outcomes is rapidly expanding (e.g. RCTs), especially for populations with low SES and at high risk for overweight and obesity. The proposed review will focus on quantitative studies and differs from previous systematic reviews on gardening interventions in terms of: i) inclusion of economic outcomes, such as costs of the intervention, ii) a focus on non-therapeutic, community-based gardening initiatives to ensure homogeneity and external validity in terms of interventions (e.g. voluntary participation), populations (e.g. general public, non-patient samples), and level of outcome variables (e.g. similar baseline risk levels for overweight/obesity), iii) study selection for the main results

 based on rigorous standards/criteria, and iv) provision of GRADE tables to inform end-users about the quality of evidence and strength of the recommendations emerging from the review.⁷, ¹⁰, ³⁶, ³⁷ The potential effectiveness of community gardening as a public health intervention to prevent overweight/obesity and to close equity gaps is of particular interest for public health authorities, local governments/municipalities, and urban/regional planners, all of whom play an important role in funding and decisions regarding land use (e.g. zoning). Moreover, the review will address educational stakeholders, who are essential for passing on knowledge for future implementations of community gardening.⁸

Footnotes

Contributors

TLH and SKL initiated this review project. TLH was responsible for the first protocol draft, including the study question, study selection criteria, search strategy, synthesis of data, and risk of bias assessment. He is the guarantor of this review. All authors (TLH, MR, SM, BB, AB, GG, and SKL) read, revised and approved the final manuscript before submission.

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Competing interests

TLH was co-initiator of a community gardening project (CampusGarten) in 2013 funded by the Students Union of the University of Cologne, Cologne, Germany.

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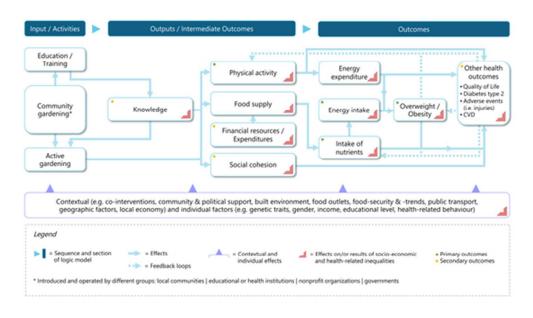


Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity



PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist:

recommended items to address in a systematic review protocol*

| Section and topic | Item N | o Checklist item | PAGE NUMBER AND AUTHOR'S RESPONSE (TLH) |
|------------------------------|--------|---|--|
| ADMINISTRATIVE IN | IFORMA | TION | |
| Title: | | | |
| Identification | 1a | Identify the report as a protocol of a systematic review | 1 |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | not applicable |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | 2; 6 [CRD42017043696] |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | 1 |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | 15 |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | not applicable |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | 15 [no financial or other support] |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | not applicable |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | not applicable |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 4-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 6-12 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 9-12 |

| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such | 11 |
|------------------------------------|-----|--|--|
| | | that it could be repeated | [see: Table 1 Search strategy for MEDLINE] |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 12 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 12-14 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 12 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre- planned data assumptions and simplifications | 13 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 8; 13 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 13 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 13-14 |
| | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I², Kendall's τ) | 13-14 |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | 14 |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | 14 |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | 14 |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | 14 |

^{*} It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.

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Community gardening, community farming and other local community-based gardening interventions to prevent overweight and obesity in high- and middle-income countries: protocol for a systematic review

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Community gardening, community farming and other local communitybased gardening interventions to prevent overweight and obesity in high- and middle-income countries: protocol for a systematic review

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Abstract

Introduction

The worldwide prevalence of overweight/obesity has continued to rise over the last decades. To reverse this trend, public health authorities are exploring cost-effective interventions, especially in high- and middle-income countries (HMICs). Community gardening offers a unique opportunity for individuals to enhance physical activity levels and improve their diet. However, synthesised evidence on the short or long-term effectiveness, and on the costs of community gardening interventions to prevent overweight/obesity, remains limited. Therefore, this review will investigate: i) the effectiveness of voluntary participation in community gardening compared with no or control treatment to prevent overweight/obesity, or to improve associated health outcomes, ii) effects on different subgroups of populations, and iii) the costs of community gardening interventions.

Methods and analysis

We will conduct a systematic review, limited to evaluations of community gardening interventions with controlled quantitative and interrupted time series designs. To identify relevant articles, we will systematically search 12 academic and five grey literature databases, as well as two trial registers and six websites. Articles will then be assessed for eligibility based on a pre-defined set of criteria. At least two independent reviewers will assess each article for relevance, before evaluating the methodological quality and potential bias of the studies. Data relevant to the objectives of this review will be extracted and cross-validated. Any disagreements will be mediated by a third reviewer. If feasible, meta-analyses of primary outcomes (overweight/obesity, physical activity, food intake, energy intake) will be conducted. We will use the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) method to assess the overall quality of evidence.

Ethics and dissemination

For this review, no ethical approval is required as we will only extract and analyse secondary data. We aim to submit the final review manuscript to an Open Access journal for publication and disseminate results via conferences and social media.

Systematic review registration

PROSPERO CRD42017043696

Keywords

Community gardening, Gardening, Nutrition, Overweight, Obesity, Costs

Strengths and limitations of this study

- The proposed systematic review will report the effects of voluntary participation in community gardening interventions in various settings on overweight, obesity and associated health-related outcomes in the general population of HMICs
- Methods include a working definition of non-therapeutic community gardening, rigorous inclusion criteria
 for the study designs, and a comprehensive search strategy
- The design process and selection of the main objectives is guided by a logic/causal pathway model
- The limited availability of high-quality studies, as well as variations in intervention duration and components, may be a challenge for conducting robust meta-analyses and drawing definitive conclusions

Introduction

Rationale

According to the World Health Organization, 39% of the global adult population is classified as overweight, with only small differences by gender (40% for women vs. 38% for men). Global obesity prevalence differs more in terms of gender with 15% for women vs. 11% for men. Overweight and obesity are one of the leading global health risk factors for mortality and account for 4.8% of deaths worldwide, especially in high-income countries (8.4%).1 Overweight and obesity also cause a considerable socio-economic burden on a global scale. In 2010, high levels of body mass index (BMI) as a risk factor were estimated to cause 93.6 million disability-adjusted life years (DALYs) worldwide.² This corresponds to an increase of 44.7% in DALYs attributable to this specific risk factor between 1990 and 2010.²,³ Overweight and obesity are also strongly related to a wide range of negative health outcomes (e.g. diabetes mellitus type 2, hypertension etc.).4 Costly surgical and drug-based treatments in HMICs, which are often associated with adverse effects, can be considered as last options in treating severe obesity. Therefore, prevention measures with lower risks intervening on weight development early in the life course, such as community-based initiatives to promote physical activity embedded in natural and built environments, have gained considerable attention.⁵,⁶ From a public health perspective, interventions to prevent and control overweight and obesity in the general population should: i) reflect the complexity of this health condition including an individual's life course perspective, ii) simultaneously aim at various health-related behaviours, and iii) be of low risk and cost-effective, with the aim to have sustainable positive effects on health on the long term. Community gardening may represent a potentially powerful and sustainable intervention that combines physical activity, improved food supply and education, to support culturally-tailored healthy living in the local context.7 This type of intervention is particularly attractive, as it is applicable to the needs of community members at almost any stage of life. Further, it is a form of active recreation that can easily be accessed and is able to influence multiple "systems levers" (food-related factors and the physical activity environment).^{6,8} For the proposed review, we define community gardening as: voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land, or on individual (non-domestic) plots of land, with regular community meetings or other social activities, including educational and training activities.9,10

 Gardening initiatives with active participation of community members are widespread at schools, nursing homes and other community facilities (e.g. over 500 exist in Germany). 11 Community gardens are integrated on the local level, in different settings, and are usually cultivated and operated by individuals or non-profit organisations (e.g. community networks, NGOs, or schools). 7 .9 Although these initiatives are not necessarily driven by a health-promotion perspective, there is evidence that community gardening may reduce overweight/obesity and diseases related to these conditions, by stimulating physical exercise and improving healthy food supply and food intake (e.g. vegetables, fruits). 12 .13 Additional evidence from studies using more rigorous controlled designs has recently become available. 14 .15 Moreover, there is an acknowledged need to summarise and synthesise this rapidly expanding body of evidence. 9 .10 .14 Meanwhile, less is known about the cost-effectiveness of this approach. Therefore, synthesised evidence is essential to improve the knowledge base for policymaking and planning decisions regarding the physical/social infrastructure required for optimal use of community gardens for disease prevention and health promotion. 16 .17

How this intervention might work

Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity.

To visualise important interactions as causal pathways between the core elements to be examined in this review, we developed a preliminary logic model (Figure 1). The basic concept of this model is introduced: for this we focus on the hypothetical effects on 'overweight/obesity' and on other (intermediate) outcomes. ¹⁸⁻²¹ Following our working definition, 'community gardening', as introduced and maintained by different community groups, institutions, organisations or governments, is characterised by two main activities: 'education/training' and 'active gardening'. ^{9,10} 'Education/training' using single or multiple channels (e.g. community meetings, classes) aims to improve the participants' food-related or gardening-related 'knowledge' (e.g. gardening techniques, food preparation). If these interventional components are effective in stimulating a positive behavioural change, this may support participants to actively work in a gardening environment and critically examine, both consciously and unconsciously, their own 'food supply' and 'intake of nutrients' towards a healthier life-style. ¹⁴ First, 'active gardening', such as weekly gardening sessions, may lead to low-to-moderate levels of 'physical activity' associated with enhanced 'energy expenditure'. Moreover, this may have a positive impact on other health outcomes such as 'quality of life' (e.g. stress relief). ²² Second, 'community gardening' may also serve to alter the 'food supply' (e.g. vegetables, fruits) leading to a change in nutritional intake (e.g. increased intake of dietary

fibres and essential vitamins; decreased consumption of macronutrients such as sugar and fat). 13,14,23 Besides the other outcomes, 'food supply' is particularly relevant in case of socio-economic inequality, as individuals with a lower socioeconomic status (SES) spend relatively more of their 'financial resources' on food compared with those with a higher SES. Considering that, individuals with lower SES could benefit from their own harvest of unprocessed foods (e.g. rich in fibres and vitamins) in the context of community gardening interventions, thus, this could lead to improved diets and counteract the negative effects of SES disparities on health.²¹ Third, participants working in cooperative activities may also benefit from aspects of social cohesion (i.e. social capital, social inclusion) that may prevent them from social exclusion and increase their 'quality of life'; this may especially apply to the elderly.²⁴ The primary outcomes of this review, i.e. 'overweight and obesity', are mainly caused by an imbalance between 'energy expenditure' and 'energy intake'. Despite the complexity of 'overweight and obesity', the equation of energy balance is simplified to illustrate the potential impact of quantifiable primary outcomes included in this review.⁶ If the intervention is effective in improving participants' 'energy expenditure', represented in this review by the proxy outcome 'physical activity', or in lowering their 'energy intake' (with no simultaneous negative changes), then 'community gardening' activities may prevent 'overweight and obesity' (e.g. by lowering an individual's BMI). The logic model visualises feedback loops of health conditions such as 'diabetes type 2', 'cardiovascular disease', and 'quality of life' that are closely related to 'overweight/obesity'. To provide a balanced picture of interventional effects, we will also investigate unique health risks of 'community gardening' (e.g. injuries, soil contamination). In addition to the elements along the pathway of 'community gardening', the 'contextual and individual' factors may also help to elucidate the direction and strength of the effects on the selected outcomes and changes of the complex equation of energy balance in particular.6

Objectives

- i) To examine the effectiveness of participation in community-based gardening compared to no or control treatment to prevent overweight and obesity, and associated health outcomes (i.e. physical activity and food intake) in the general population in HMICs;
- ii) to examine the effects of community gardening interventions in different sub-groups of populations and settings (e.g. schools, neighbourhoods, community facilities);
- iii) to assess the costs of community gardening interventions aimed at preventing overweight and obesity.

Methods

Reporting standards and registration

This protocol follows the reporting standards as defined in the 'Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) 2015 statement', the upcoming review will comply with the PRISMA checklist published as 'Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement'.²⁵ ,²⁶ The protocol is registered in the 'International Prospective Register of Systematic Reviews' (PROSPERO): CRD42017043696.

Eligibility criteria

Population

Inclusion criteria are:

 members of the general population in HMICs, including persons at high risk for overweight or obesity (e.g. low SES, living in deprived geographical areas).

Exclusion criteria are:

persons with active drug treatment, surgical interventions, or disease-specific psychological treatment.

Experimental intervention

Inclusion criteria for studies with community-based gardening as interventions, defined as:

interventions with voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land or on individual (non-domestic) plots of land, with regular community meetings or other social activities, including educational and training activities.^{9,10}

To be included the interventions have to be in one of the following environments or settings:

- community gardens;
- gardens at community care facilities;
- school gardens;

- community farms and community supported agriculture with mandatory physical participation; or
- other public environments with community gardens accessible for community members.

Exclusion criteria for environments or settings are:

professional farming;

- subsistence agriculture;
- domestic gardening; or
- disease-specific therapeutic gardening in a closed environment.

Control intervention

Inclusion criteria for the studies are:

Any of the listed control intervention or a passive control group, including:

- health education interventions other than community gardening (e.g. cooking classes, nutritional information);
- sports-based interventions; or
- other nutritional interventions aimed to support healthy eating patterns (e.g. coupons for farmers' markets, etc.).

We will favour the inclusion of active control interventions to serve as approximation of the counterfactual condition for the intervention group (community gardening) to estimate relative effects. However, if data of no active control interventions are being reported we will also consider the inclusion of passive control interventions (no intervention or waiting list) to estimate absolute-effect estimates and will carefully discuss major limitations of this approach throughout the review.²⁷

Outcomes

Inclusion criteria for the studies are:

reporting of at least one primary outcome.

Primary outcomes are:

- overweight and obesity (e.g. incidence or prevalence; body mass indices, i.e. BMI, waist-to-hip ratio);
- physical activity (e.g. activity diaries, accelerometers, etc.);
- food intake (e.g. food groups, nutrients, ingredients); and
- energy intake (e.g. total energy intake).

Secondary outcomes are:

- disease outcomes with a direct link to overweight/obesity or physical activity (e.g. health-related quality
 of life, cardiovascular disease, type 2 diabetes);
- adverse events (e.g. mortality, fracture);
- costs
- total expenditure of participants on food;
- knowledge on food and gardening techniques; and
- indices on social cohesion.

Both primary and secondary outcomes can be self-reported or measured by physicians or other professionals.

Study design

Inclusion criteria for a study to be included in the main analysis are:

- randomised controlled trials (RCT);
- cluster randomised controlled trials (cRCT);
- non-randomised controlled trials (nRCT);
- controlled before-after (CBA) studies; or
- interrupted time series (ITS) studies

that comply with the criteria of the 'Cochrane Effective Practice and Organisation of Care' (EPOC) group. In accordance with the EPOC criteria, we will include studies with a design that adheres to an appropriate controlled design. EPOC recommends more than one intervention or control site for RCT, cRCT, nRCT, and CBA designs.

For CBA designs it also defines use of contemporaneous data collection methods and identical methods of measurement as inclusion criteria. Studies with ITS design require a clearly defined point in time for the intervention's implementation as well as at least three data points before/after the intervention for the included outcomes.²⁸

Information sources

 To identify potentially relevant references of studies, we will consider academic and grey literature (e.g. including conference proceedings, reports, PhD thesis) databases, as well as (clinical) trial registers and handsearching. This broad search approach that covers various sources beyond academic literature databases aims to minimise negatives impacts of potential publication bias.²⁹ The selection of relevant repositories was mainly based on potential coverage of the proposed review's 'patient/population, intervention, control, outcomes' (PICO) format.²⁹

We will include and search the following 12 bibliographic databases to identify relevant studies:

- Agricultural Online Access (AGRICOLA) (1970 to present);
- Agricultural Science and Technology Information (AGRIS) (1974 to present);
- Applied Social Sciences Index and Abstracts (ASSIA) (1987 to present);
- Cochrane Central Register of Controlled Trials (CENTRAL) (1948 to present);
- Cumulative Index to Nursing and Allied Health Literature (CINAHL) (1937 to present);
- Current Contents Medicine Database of German and German-Language Journals (CC MED) (2000 to present);
- Education Resources Information Center (ERIC) (1966 to present);
- Excerpta Medica database (EMBASE) (1947 to present);
- Food Science and Technology Abstracts (FSTA) (1969 to present);
- Medical Literature Analysis and Retrieval System Online (MEDLINE) (1946 to present);
- PsycINFO (1887 to present); and
- Web of Science Core Collection (1900 to present).

In addition, we will perform searches in five electronic grey literature databases:

Directory of Open Access Repositories (OpenDOAR) [first 50 hits];

- Google Scholar [first 50 hits];
- ProQuest Dissertations & Theses Database (PQDT);
- Social Science Research Network (SSRN); and
- System for Information on Grey Literature in Europe (OpenGrey) [first 50 hits].

We will search the following meta-trial registers to retrieve records of ongoing or unpublished trials:

- Trials Register of Promoting Health Interventions (TRoPHI); and
- WHO International Clinical Trials Registry Platform (ICTRP).

We will search the following websites using keywords:

- American Community Gardening Association;
- Benefits Hub;
- Centre for Agricultural Bioscience International;
- Food Security and Nutrition Network;
- Stiftungsgemeinschaft anstiftung & ertomis; and
- Therapeutic Landscapes Network.

Search strategy

We have developed a highly sensitive search strategy for MEDLINE, incorporating a combination of medical subject headings (MeSH) and text words for the intervention, outcomes, and population of interest. Because commonly used study design filters missed several potentially relevant references, we will use a list of text words for the search strategy to take into account the study designs. The search strategy has been piloted by the author team and will be modified according to the requirements of the other bibliographic databases (Table 1).

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Search strategy for MEDLINE (via OVID SP)

- 1. exp gardening/
- 2. ((garden or gardens or gardening or allotment? or horticulture or agriculture or botanical or cultivating or cultivation or plant or plants or planting or greening or harvest or harvests or harvesting) adj5 (community or communities or intercultural or guerrilla or civic or neighbo?rhood or residential? or solidarity or co?operative or communal or collective or shared or voluntary or volunteer or volunteers or volunteering or school or educational or education or recreational or recreation or retirement or nursing or kindergarten or pop?up or urban or rural or local)).tw.
- 3. health.tw.
- 4. obes*.tw.
- 5. overweight.tw.
- 6. (body weight or body mass).tw.
- 7. ("body mass index" or bmi).tw.
- 8. (physical adj (activity or activities)).tw.
- 9. training.tw.
- 10. fitness.tw.
- 11. endurance.tw.
- 12. exercise.tw.
- 13. mortality.tw.
- 14. quality of life.tw.
- 15. (gol or hrgol or hgl).tw.
- 16. (psychological adj (stress or pain or relief)).tw.
- 17. resilience.tw.
- 18. well?being.tw.
- 19. mental.tw.
- 20. (knowledge or attitude).tw.
- 21. (calories or caloric or consumption).tw.
- 22. (diet or diets).tw.
- 23. social cohesion.tw.
- 24. (expenditures or spending).tw.
- 25. costs.tw.
- 26. economic.tw.
- 27. effectiveness.tw.
- 28. or/3-27
- 29. intervention?.tw.
- 30. (experiment? or experimental).tw.
- 31. trial?.tw.
- 32. (study or studies).tw.
- 33. (evaluation? or evaluating).tw.
- 34. (comparison? or comparing).tw.
- 35. group?.tw.
- 36. or/29-35
- 37. 1 and 28 and 36
- 38. 2 and 28 and 36
- 39. or/37-38
- 40. (animals not (humans and animals)).sh.
- 41. 39 not 40

We will limit search results to articles published in the last 25 years (1992-2017) to avoid possible negative impact on the generalisability of our results caused, notably, by substantial shifts in risk patterns and/or general lifestyle changes over time (e.g. sedentary behaviour/physical activity, energy intake, etc.).³⁰ Our decision not to limit this

time frame even further was based on the fact that advanced research designs such as cRCTs were already introduced to evaluate lifestyle interventions in the early 1990s and could be used to evaluate the effects of community gardening interventions of this time. 31,32 We will apply a search filter to exclude animal studies if a database or provider offers this feature. No additional search filters will be used. We will include references or full-texts in the English or German language. If documents in other languages cannot be translated by the authors of the review team (via internet-based translators, or by colleagues) they will be excluded. We will select keywords derived from our PICO and MEDLINE search strategy to identify potentially relevant articles on websites, as well as in databases lacking the option to use search operators/syntax. This includes, in particular, keywords for the intervention (i.e. "community gardening", "community farming", "horticulture", and "school gardens"). Also considered will be keywords for the main outcomes of interest (i.e. "overweight", "obesity", and their corresponding indices such as "BMI").

Data extraction and analysis

Data management

Search results will be saved as an EndNote database to backup all reference files, and to remove duplicate references. We will then upload the references to a screening software (e.g. Covidence: a cloud-based system to support the review process).³³ We will pilot the title and abstract screening against eligibility criteria. Files of the included studies, the data extraction forms and reference lists will be available to all authors through internet-based exchange options (e.g. Covidence, internet file hosting, or email).

Study selection

Study selection will be performed in two rounds based on the inclusion/exclusion criteria derived from our PICO question and on the designs of the included study. First, we will perform the title and abstract screening based on a de-duplicated EndNote database of all the references retrieved from the search. Second, the full-texts derived from the references identified in the first step will be screened. All steps will be independently performed by at least two authors; a third author will solve potential conflicts. The inclusion/exclusion of all studies will be presented in a PRISMA flowchart clearly showing the screening and selection process.²⁶

Data collection process and extraction

Data extraction of retrieved references will be performed by two authors to avoid transcription errors. Any disagreements will be resolved by discussion with a third author. Adapted data extraction and assessment templates will be piloted and then used to extract relevant data from the included studies. All data will be transferred to our review software by one author and double-checked by a second author.²⁹,³⁴,³⁵

Outcomes and data items

 We selected patient-relevant outcomes based on the recommendations of the 'Cochrane Metabolic and Endocrine Disorders Group' for a review that focuses on diseases such as overweight/obesity; these include, in particular: mortality, morbidity (i.e. overweight/obesity), health-related quality of life, and adverse events.³⁶ We will also assess economic outcomes relevant to individuals (e.g. participant's total expenditure on food) and to society (implementation costs). Other health-related outcomes (e.g. physical activity) will provide additional information for end-users, as they are closely linked to our main outcomes of interest, i.e. overweight/obesity. We will extract relevant data time points of reported outcomes in order to summarise the effects on outcomes across studies for specific time intervals. Also, potential implications of surrogate outcomes (i.e. BMI) and the impact of length of follow-up, will be addressed throughout this review.

Risk of bias

At least two authors will independently perform a risk of bias (RoB) assessment for the included studies. A third author will resolve conflicts and ensure consensus in case of any disagreement. Results of the RoB assessment will be provided in RoB tables and discussed throughout the review.²⁹ The domain-based Cochrane's RoB tool including the adaptation to EPOC specific designs will be used to assess potential bias for studies relevant for the main results.³⁷,³⁸

Data synthesis

Considering our outcome selection, we will extract data for both dichotomous and continuous outcome variables. Preferably, we will extract, report and synthesise risk ratios (RRs) for evaluation of the treatment effect. However, if RRs cannot be obtained or calculated, we will report or calculate odds ratios (ORs) or risk differences (RDs). Continuous data will be harmonised and expressed as standardised mean differences (SMDs). If appropriate, we will convert shorter ordinal data into dichotomous data (RRs, ORs, or RDs). Similarly, we will consider to convert

longer ordinal data into continuous data (SMDs).²⁹ .³⁵ For outcomes reported in two or more studies and considered sufficiently homogenous, we will conduct a meta-analysis of the corresponding studies or relevant study arms. Meta-analyses will be performed using the Mantel-Haenszel (dichotomous data) and inverse variance method (continuous data). Based on the results of the pre-screening of potentially relevant studies, we expect variation across studies due to both contextual heterogeneity and differences related to the context of implementation. To address this issue, we will apply the random effects method. Quantitative measures of heterogeneity will be reported (e.g. I2, Chi2) together with synthesised data on treatment effects, presented as forest plots. The most frequently reported outcome measure (e.g. BMI) across the included studies of one outcome (overweight and obesity) will guide the selection process for data suitable to be reported and synthesised. We consider this approach superior in terms of information value compared to pooling heterogeneous outcomes across health domains that substantially differ in scope and intended use.³⁹ Generally, we will favour the longest follow-up data if multiple follow-up data are available at the individual study level. To determine the role of heterogeneity on treatment effects, and to assess the robustness of the results, we will perform subgroup and sensitivity analyses. If feasible, we will consider subgroup analyses of primary outcomes for at least income groups, gender/sex, educational level and age groups, as well as for characteristics of the implementation of the community gardening intervention (e.g. co-interventions).²¹ Similarly, if sufficient data are available we will perform sensitivity analyses with respect to quality of studies, source of funding, publication status, intervention duration, and length of follow-up.29 Study results with insufficient homogeneity will be narratively synthesised. In addition to reporting findings as text and tables, we may consider graphical visualisation (e.g. harvest plots or effect direction plots) to synthesise and present data. 40,41

Meta-bias(es)

To study the impact of potential reporting bias, we will calculate and discuss funnel plots of the primary outcomes, if sufficient data are available (> 10 studies).²⁹

Confidence in cumulative evidence

We will present GRADE tables for primary outcomes to demonstrate the degree of confidence end-users can place on the quality of evidence and strength of the recommendations including outcome specific information.

GRADE ratings are based on study design, including potential upgrades/downgrades (e.g. according to effect size, publication bias, and inconsistency). Ratings applied to a body of evidence can be 'high', 'moderate', 'low',

or 'very low'. RCTs begin the assessment process with a 'high' evidence rating, whereas observational studies begin with a 'low' evidence rating. Final ratings for included point estimates will be based on the results of the design-specific upgrade/downgrade process. At least two authors will be involved in this assessment. Any disagreements will be resolved by discussion with a third author.⁴²,⁴³

Conclusion

Research on the effects of voluntary community gardening on overweight, obesity and related outcomes is rapidly expanding (e.g. RCTs), especially for populations with low SES and at high risk for overweight and obesity. The proposed review will focus on quantitative studies and differs from previous systematic reviews on gardening interventions that were retrieved from the PROSPERO database and MEDLINE by the authors in terms of: i) inclusion of economic outcomes, such as costs of the intervention, ii) a focus on non-therapeutic, communitybased gardening initiatives to ensure homogeneity and external validity in terms of interventions (e.g. voluntary participation), populations (e.g. general public, non-patient samples), and level of outcome variables (e.g. similar baseline risk levels for overweight/obesity), iii) study selection for the main results based on rigorous standards/criteria, and iv) provision of GRADE tables to inform end-users about the quality of evidence and strength of the recommendations emerging from the review v) a discussion focusing on advances in research designs of community gardening interventions over time. 9,12,39,44-46 The limited availability of i) high-quality studies, expected variations in ii) intervention duration, and iii) components, as well as iv) outcome measures may be a challenge for conducting robust meta-analyses and drawing definitive conclusions. The potential effectiveness of community gardening as a public health intervention to prevent overweight/obesity and to close equity gaps is of particular interest for public health authorities, local governments/municipalities, and urban/regional planners, all of whom play an important role in funding and decisions regarding land use (e.g. zoning). Moreover, the review will address educational stakeholders, who are essential for passing on knowledge for future implementations of community gardening.¹⁰

Footnotes

Contributors

TLH and SKL initiated this review project. TLH was responsible for the first protocol draft, including the study question, study selection criteria, search strategy, synthesis of data, and risk of bias assessment. He is the

guarantor of this review. All authors (TLH, MR, SM, BB, AB, GG, and SKL) read, revised and approved the final manuscript before submission.

Data sharing statement

The review process will be documented and access to the documents (e.g. reference files, extracted data) will be provided upon request.

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Competing interests

TLH was co-initiator of a community gardening project (CampusGarten) in 2013 funded by the Students Union of the University of Cologne, Cologne, Germany.

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Figure legends

Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity.

Definitions and descriptions of the model are included as a dashed box in Figure 1.

List of tables

Table 1 Search strategy for MEDLINE

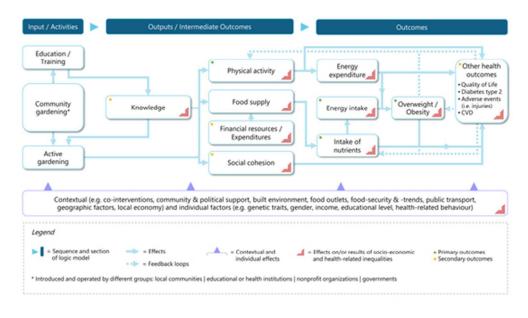


Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity



PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist:

recommended items to address in a systematic review protocol*

| Section and topic | Item N | o Checklist item | PAGE NUMBER (SUBMITTED MANUSCRIPT) AND AUTHOR'S RESPONSE (TLH) |
|------------------------------|--------|---|--|
| ADMINISTRATIVE IN | IFORMA | TION | |
| Title: | | | |
| Identification | 1a | Identify the report as a protocol of a systematic review | 1 |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | not applicable |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | 2; 7 [CRD42017043696] |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | 1 |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | 16-17 |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | not applicable |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | 17 [no financial or other support] |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | not applicable |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | not applicable |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 4-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 6-13 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 10-13 |

| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such | 12 |
|------------------------------------|-----|--|--|
| | | that it could be repeated | [see: Table 1 Search strategy for MEDLINE] |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 13 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 13-15 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 13 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre- planned data assumptions and simplifications | 14 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 8-9; 14 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 14 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 14-15 |
| · | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I², Kendall's τ) | 14-15 |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | 15 |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | 15 |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | 15 |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | 15-16 |

^{*}It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.

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Community gardening, community farming and other local community-based gardening interventions to prevent overweight and obesity in high- and middle-income countries: protocol for a systematic review

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| Primary Subject Heading : | Nutrition and metabolism | |
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| Keywords: | Community gardening, Gardening, Overweight, Obesity, Physical activity | |

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Community gardening, community farming and other local communitybased gardening interventions to prevent overweight and obesity in high- and middle-income countries: protocol for a systematic review

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Abstract

Introduction

The worldwide prevalence of overweight/obesity has continued to rise over the last decades. To reverse this trend, public health authorities are exploring cost-effective interventions, especially in high- and middle-income countries (HMICs). Community gardening offers a unique opportunity for individuals to enhance physical activity levels and improve their diet. However, synthesised evidence on the short or long-term effectiveness, and on the costs of community gardening interventions to prevent overweight/obesity, remains limited. Therefore, this review will investigate: i) the effectiveness of voluntary participation in community gardening compared to no or a control intervention on overweight/obesity, and associated health outcomes, ii) effects on different sub-groups of populations, and iii) the costs of community gardening interventions.

Methods and analysis

We will conduct a systematic review, limited to evaluations of community gardening interventions with controlled quantitative and interrupted time series designs. To identify relevant articles, we will systematically search 12 academic and five grey literature databases, as well as two trial registers and six websites. Articles will then be assessed for eligibility based on a pre-defined set of criteria. At least two independent reviewers will assess each article for relevance, before evaluating the methodological quality and potential bias of the studies. Data relevant to the objectives of this review will be extracted and cross-validated. Any disagreements will be mediated by a third reviewer. If feasible, meta-analyses of primary outcomes (overweight/obesity, physical activity, food intake, energy intake) will be conducted. We will use the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) method to assess the overall quality of evidence.

Ethics and dissemination

For this review, no ethical approval is required as we will only extract and analyse secondary data. We aim to submit the final review manuscript to an Open Access journal for publication and disseminate results via conferences and social media.

Systematic review registration

PROSPERO CRD42017043696

Keywords

Community gardening, Gardening, Nutrition, Overweight, Obesity, Costs

Strengths and limitations of this study

- The proposed systematic review will report the effects of voluntary participation in community gardening interventions in various settings on overweight, obesity and associated health-related outcomes in the general population of HMICs
- Methods include a working definition of non-therapeutic community gardening, rigorous inclusion criteria for the study designs, and a comprehensive search strategy
- The design process and selection of the main objectives is guided by a logic/causal pathway model
- The limited availability of high-quality studies, as well as variations in intervention duration and components, may be a challenge for conducting robust meta-analyses and drawing definitive conclusions

Introduction

Rationale

 According to the World Health Organization, 39% of the global adult population is classified as overweight, with only small differences by gender (40% for women vs. 38% for men). Global obesity prevalence differs more in terms of gender with 15% for women vs. 11% for men. Overweight and obesity are one of the leading global health risk factors for mortality and account for 4.8% of deaths worldwide, especially in high-income countries (8.4%).1 Overweight and obesity also cause a considerable socio-economic burden on a global scale. In 2010, high levels of body mass index (BMI) as a risk factor were estimated to cause 93.6 million disability-adjusted life years (DALYs) worldwide.² This corresponds to an increase of 44.7% in DALYs attributable to this specific risk factor between 1990 and 2010.²,³ Overweight and obesity are also strongly related to a wide range of negative health outcomes (e.g. diabetes mellitus type 2, hypertension etc.).4 Costly surgical and drug-based treatments in HMICs, which are often associated with adverse effects, can be considered as last options in treating severe obesity. Therefore, prevention measures with lower risks intervening on weight development early in the life course, such as community-based initiatives to promote physical activity embedded in natural and built environments, have gained considerable attention.⁵,⁶ From a public health perspective, interventions to prevent and control overweight and obesity in the general population should: i) reflect the complexity of this health condition including an individual's life course perspective, ii) simultaneously aim at various health-related behaviours, and iii) be of low risk and cost-effective, with the aim to have sustainable positive effects on health on the long term. Community gardening may represent a potentially powerful and sustainable intervention that combines physical activity, improved food supply and education, to support culturally-tailored healthy living in the local context.7 This type of intervention is particularly attractive, as it is applicable to the needs of community members and may constantly influence environmental and societal factors including spill over effects on behaviour that cause or modify the risk of weight gain at almost any stage of life and, thus, prevent or delay the onset of chronic diseases by reducing the accumulated risk throughout the life course.8 Further, it is a form of active recreation that can easily be accessed and is able to influence multiple "systems levers" (food-related factors and the physical activity environment).6,9 For the proposed review, we define community gardening as: voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land, or on individual (non-domestic)

 plots of land, with regular community meetings or other social activities, including educational and training activities. 10,111

Gardening initiatives with active participation of community members are widespread at schools, nursing homes and other community facilities (e.g. over 500 exist in Germany). Community gardens are integrated on the local level, in different settings, and are usually cultivated and operated by individuals or non-profit organisations (e.g. community networks, NGOs, or schools). Although these initiatives are not necessarily driven by a health-promotion perspective, there is evidence that community gardening may reduce overweight/obesity and diseases related to these conditions, by stimulating physical exercise and improving healthy food supply and food intake (e.g. vegetables, fruits). Additional evidence from studies using more rigorous controlled designs has recently become available. Moreover, there is an acknowledged need to summarise and synthesise this rapidly expanding body of evidence. Management is an acknowledged need to summarise and synthesise this rapidly expanding body of evidence. Meanwhile, less is known about the cost-effectiveness of this approach. Therefore, synthesised evidence is essential to improve the knowledge base for policymaking and planning decisions regarding the physical/social infrastructure required for optimal use of community gardens for disease prevention and health promotion. The meanwhile infrastructure required for optimal use of community gardens for disease prevention and health promotion.

How this intervention might work

Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity.

To visualise important interactions as causal pathways between the core elements to be examined in this review, we developed a preliminary logic model (Figure 1). The basic concept of this model is introduced: for this we focus on the hypothetical effects on 'overweight/obesity' and on other (intermediate) outcomes. 19-22 Following our working definition, 'community gardening', as introduced and maintained by different community groups, institutions, organisations or governments, is characterised by two main activities: 'education/training' and 'active gardening'. 10,111 'Education/training' using single or multiple channels (e.g. community meetings, classes) aims to improve the participants' food-related or gardening-related 'knowledge' (e.g. gardening techniques, food preparation). If these interventional components are effective in stimulating a positive behavioural change, this may support participants to actively work in a gardening environment and critically examine, both consciously and unconsciously, their own 'food supply' and 'intake of nutrients' towards a healthier life-style. 15 First, 'active gardening', such as weekly gardening sessions, may lead to low-to-moderate levels of 'physical activity'

associated with enhanced 'energy expenditure'. Moreover, this may have a positive impact on other health outcomes such as 'quality of life' (e.g. stress relief).²³ Second, 'community gardening' may also serve to alter the 'food supply' (e.g. vegetables, fruits) leading to a change in nutritional intake (e.g. increased intake of dietary fibres and essential vitamins; decreased consumption of macronutrients such as sugar and fat). 14, 15, 24 Besides the other outcomes, 'food supply' is particularly relevant in case of socio-economic inequality, as individuals with a lower socioeconomic status (SES) spend relatively more of their 'financial resources' on food compared with those with a higher SES. Considering that, individuals with lower SES could benefit from their own harvest of unprocessed foods (e.g. rich in fibres and vitamins) in the context of community gardening interventions, thus, this could lead to improved diets and counteract the negative effects of SES disparities on health.²² Third, participants working in cooperative activities may also benefit from aspects of social cohesion (i.e. social capital, social inclusion) that may prevent them from social exclusion and increase their 'quality of life'; this may especially apply to the elderly.25 The primary outcomes of this review, i.e. 'overweight and obesity', are mainly caused by an imbalance between 'energy expenditure' and 'energy intake'. Despite the complexity of 'overweight and obesity', the equation of energy balance is simplified to illustrate the potential impact of quantifiable primary outcomes included in this review.⁶ If the intervention is effective in improving participants' 'energy expenditure', represented in this review by the proxy outcome 'physical activity', or in lowering their 'energy intake' (with no simultaneous negative changes), then 'community gardening' activities may prevent 'overweight and obesity' (e.g. by lowering an individual's BMI). The logic model visualises feedback loops of health conditions such as 'diabetes type 2', 'cardiovascular disease', and 'quality of life' that are closely related to 'overweight/obesity'. To provide a balanced picture of interventional effects, we will also investigate unique health risks of 'community gardening' (e.g. injuries, soil contamination). In addition to the elements along the pathway of 'community gardening', the 'contextual and individual' factors may also help to elucidate the direction and strength of the effects on the selected outcomes and changes of the complex equation of energy balance in particular.⁶

Objectives

i) To examine the effectiveness of participation in community-based gardening compared to no or control interventions on overweight, obesity, and associated health outcomes (i.e. physical activity and food intake) in the general population of HMICs;

 ii) to examine the effects of community gardening interventions in different sub-groups of populations and settings (e.g. schools, neighbourhoods, community facilities);

iii) to assess the costs of community gardening interventions aimed at preventing overweight and obesity.

Methods

Reporting standards and registration

This protocol follows the reporting standards as defined in the 'Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) 2015 statement', the upcoming review will comply with the PRISMA checklist published as 'Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement'.²⁶ ,²⁷ The protocol is registered in the 'International Prospective Register of Systematic Reviews' (PROSPERO): CRD42017043696.

Eligibility criteria

Population

Our upcoming review will include studies with populations that can be considered as members of the general population in HMICs, including persons at high risk for overweight or obesity (e.g. low SES, living in deprived geographical areas). We will exclude persons with active drug treatment, surgical interventions, or disease-specific psychological treatment.

Experimental intervention

Inclusion criteria for studies with community-based gardening as interventions, defined as:

interventions with voluntary non-professional cultivation of plants and supportive gardening activities with active physical participation by community members, either collectively on a single piece of land or on individual (non-domestic) plots of land, with regular community meetings or other social activities, including educational and training activities.¹⁰,¹¹

To be included the interventions have to be in one of the following environments or settings:

- community gardens;
- gardens at community care facilities;

school gardens;

- community farms and community supported agriculture with mandatory physical participation; or
- other public environments with community gardens accessible for community members.

Exclusion criteria for environments or settings are:

- professional farming;
- subsistence agriculture;
- domestic gardening; or
- disease-specific therapeutic gardening in a closed environment.

Control intervention

Inclusion criteria for the studies are:

Any of the listed active control interventions, including:

- health education interventions other than community gardening (e.g. cooking classes, nutritional information);
- sports-based interventions; or
- other nutritional interventions aimed to support healthy eating patterns (e.g. coupons for farmers' markets, etc.),

or a passive control group.

Our primary analyses will focus on active control interventions to serve as approximation of the counterfactual condition for the intervention group (community gardening) to estimate relative effects. Subsequently, if data of no active control interventions are being reported we will also consider the inclusion of passive control groups (no intervention or waiting list) as being part of a secondary analyses to estimate absolute-effect estimates and will carefully discuss major limitations of this approach throughout the review (i.e. missing placebo intervention).²⁸
Both types of comparisons will be separately analysed.

Outcomes

We will include studies reporting at least one of the following primary outcomes.

Primary outcomes are:

- overweight and obesity (e.g. incidence or prevalence; body mass indices, i.e. BMI, waist-to-hip ratio);
- physical activity (e.g. activity diaries, accelerometers, etc.);
- food intake (e.g. food groups, nutrients, ingredients); and
- energy intake (e.g. total energy intake).

Secondary outcomes are:

- disease outcomes with a direct link to overweight/obesity or physical activity (e.g. health-related quality
 of life, cardiovascular disease, type 2 diabetes);
- adverse events (e.g. mortality, fracture);
- costs;
- total expenditure of participants on food;
- · knowledge on food and gardening techniques; and
- indices on social cohesion.

Both primary and secondary outcomes can be self-reported or measured by physicians or other professionals.

Study design

Inclusion criteria for a study to be included in the main analysis are:

- randomised controlled trials (RCT);
- cluster randomised controlled trials (cRCT);
- non-randomised controlled trials (nRCT);
- controlled before-after (CBA) studies; or
- interrupted time series (ITS) studies

that comply with the criteria of the 'Cochrane Effective Practice and Organisation of Care' (EPOC) group. In accordance with the EPOC criteria, we will include studies with a design that adheres to an appropriate controlled design. EPOC recommends at least two or more intervention or control sites for RCT, cRCT, nRCT, and CBA designs. For CBA designs it also defines use of contemporaneous data collection methods and identical methods of measurement as inclusion criteria. Studies with ITS design require a clearly defined point in time for the intervention's implementation as well as at least three data points before/after the intervention for the included outcomes.²⁹ This review will summarise evidence of quantitative studies only. Hence, we will exclude qualitative studies during the selection process.

Information sources

 To identify potentially relevant references of studies, we will consider academic and grey literature (e.g. including conference proceedings, reports, PhD thesis) databases, as well as (clinical) trial registers and handsearching. This broad search approach that covers various sources beyond academic literature databases aims to minimise negatives impacts of potential publication bias.³⁰ The selection of relevant repositories was mainly based on potential coverage of the proposed review's 'patient/population, intervention, control, outcomes' (PICO) format.³⁰

We will include and search the following 12 bibliographic databases to identify relevant studies:

- Agricultural Online Access (AGRICOLA) (1970 to present);
- Agricultural Science and Technology Information (AGRIS) (1974 to present);
- Applied Social Sciences Index and Abstracts (ASSIA) (1987 to present);
- Cochrane Central Register of Controlled Trials (CENTRAL) (1948 to present);
- Cumulative Index to Nursing and Allied Health Literature (CINAHL) (1937 to present);
- Current Contents Medicine Database of German and German-Language Journals (CC MED) (2000 to present);
- Education Resources Information Center (ERIC) (1966 to present);
- Excerpta Medica database (EMBASE) (1947 to present);
- Food Science and Technology Abstracts (FSTA) (1969 to present);
- Medical Literature Analysis and Retrieval System Online (MEDLINE) (1946 to present);
- PsycINFO (1887 to present); and

Web of Science Core Collection (1900 to present).

In addition, we will perform searches in five electronic grey literature databases:

- Directory of Open Access Repositories (OpenDOAR) [first 50 hits];
- Google Scholar [first 50 hits];
- ProQuest Dissertations & Theses Database (PQDT);
- Social Science Research Network (SSRN); and
- System for Information on Grey Literature in Europe (OpenGrey) [first 50 hits].

We will search the following meta-trial registers to retrieve records of ongoing or unpublished trials:

- Trials Register of Promoting Health Interventions (TRoPHI); and
- WHO International Clinical Trials Registry Platform (ICTRP).

We will search the following websites using keywords:

- American Community Gardening Association;
- Benefits Hub;
- Centre for Agricultural Bioscience International;
- Food Security and Nutrition Network;
- Stiftungsgemeinschaft anstiftung & ertomis; and
- Therapeutic Landscapes Network.

Search strategy

We have developed a highly sensitive search strategy for MEDLINE, incorporating a combination of medical subject headings (MeSH) and text words for the intervention, outcomes, and population of interest. Because commonly used study design filters missed several potentially relevant references, we will use a list of text words for the search strategy to take into account the study designs. The search strategy has been piloted by the author team and will be modified according to the requirements of the other bibliographic databases (Table 1).

Search strategy for MEDLINE (via OVID SP)

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- 3. health.tw.
- 4. obes*.tw.
- 5. overweight.tw.
- 6. (body weight or body mass).tw.
- 7. ("body mass index" or bmi).tw.
- 8. (physical adj (activity or activities)).tw.
- 9. training.tw.
- 10. fitness.tw.
- 11. endurance.tw.
- 12. exercise.tw.
- 13. mortality.tw.
- 14. quality of life.tw.
- 15. (gol or hrgol or hgl).tw.
- 16. (psychological adj (stress or pain or relief)).tw.
- 17. resilience.tw.
- 18. well?being.tw.
- 19. mental.tw.
- 20. (knowledge or attitude).tw.
- 21. (calories or caloric or consumption).tw.
- 22. (diet or diets).tw.
- 23. social cohesion.tw.
- 24. (expenditures or spending).tw.
- 25. costs.tw.
- 26. economic.tw.
- 27. effectiveness.tw.
- 28. or/3-27
- 29. intervention?.tw.
- 30. (experiment? or experimental).tw.
- 31. trial?.tw.
- 32. (study or studies).tw.
- 33. (evaluation? or evaluating).tw.
- 34. (comparison? or comparing).tw.
- 35. group?.tw.
- 36. or/29-35
- 37. 1 and 28 and 36
- 38. 2 and 28 and 36
- 39. or/37-38
- 40. (animals not (humans and animals)).sh.
- 41. 39 not 40

We will limit search results to articles published in the last 25 years (1992-2017) to avoid possible negative impact on the generalisability of our results caused, notably, by substantial shifts in risk patterns and/or general lifestyle changes over time (e.g. sedentary behaviour/physical activity, energy intake, etc.).³¹ Our decision not to limit this

time frame even further was based on the fact that advanced research designs such as cRCTs were already introduced to evaluate lifestyle interventions in the early 1990s and could be used to evaluate the effects of community gardening interventions of this time. 32,33 We will apply a search filter to exclude animal studies if a database or provider offers this feature. No additional search filters will be used. We will include references or full-texts in the English or German language. If documents in other languages cannot be translated by the authors of the review team (via internet-based translators, or by colleagues) they will be excluded. We will select keywords derived from our PICO and MEDLINE search strategy to identify potentially relevant articles on websites, as well as in databases lacking the option to use search operators/syntax. This includes, in particular, keywords for the intervention (i.e. "community gardening", "community farming", "horticulture", and "school gardens"). Also considered will be keywords for the main outcomes of interest (i.e. "overweight", "obesity", and their corresponding indices such as "BMI").

Data extraction and analysis

Data management

Search results will be saved as an EndNote database to backup all reference files, and to remove duplicate references. We will then upload the references to a screening software (e.g. Covidence: a cloud-based system to support the review process).³⁴ We will pilot the title and abstract screening against eligibility criteria. Files of the included studies, the data extraction forms and reference lists will be available to all authors through internet-based exchange options (e.g. Covidence, internet file hosting, or email).

Study selection

Study selection will be performed in two rounds based on the inclusion/exclusion criteria derived from our PICO question and on the designs of the included study. First, we will perform the title and abstract screening based on a de-duplicated EndNote database of all the references retrieved from the search. Second, the full-texts derived from the references identified in the first step will be screened. All steps will be independently performed by at least two authors; a third author will solve potential conflicts. The inclusion/exclusion of all studies will be presented in a PRISMA flowchart clearly showing the screening and selection process.²⁷

Data collection process and extraction

Data extraction of retrieved references will be performed by two authors to avoid transcription errors. Any disagreements will be resolved by discussion with a third author. Adapted data extraction and assessment templates will be piloted and then used to extract relevant data from the included studies. All data will be transferred to our review software by one author and double-checked by a second author.³⁰,³⁵,³⁶

Outcomes and data items

 We selected patient-relevant outcomes based on the recommendations of the 'Cochrane Metabolic and Endocrine Disorders Group' for a review that focuses on diseases such as overweight/obesity; these include, in particular: mortality, morbidity (i.e. overweight/obesity), health-related quality of life, and adverse events.³⁷ We will also assess economic outcomes relevant to individuals (e.g. participant's total expenditure on food) and to society (implementation costs). Other health-related outcomes (e.g. physical activity) will provide additional information for end-users, as they are closely linked to our main outcomes of interest, i.e. overweight/obesity. We will extract relevant data time points of reported outcomes in order to summarise the effects on outcomes across studies for specific time intervals. Also, potential implications of surrogate outcomes (i.e. BMI) and the impact of length of follow-up, will be addressed throughout this review.

Risk of bias

At least two authors will independently perform a risk of bias (RoB) assessment for the included studies. A third author will resolve conflicts and ensure consensus in case of any disagreement. Results of the RoB assessment will be provided in RoB tables and discussed throughout the review.³⁰ The domain-based Cochrane's RoB tool including the adaptation to EPOC specific designs will be used to assess potential bias for studies relevant for the main results.³⁸,³⁹

Data synthesis

Considering our outcome selection, we will extract data for both dichotomous and continuous outcome variables. Preferably, we will extract, report and synthesise risk ratios (RRs) for evaluation of the treatment effect. However, if RRs cannot be obtained or calculated, we will report or calculate odds ratios (ORs) or risk differences (RDs). Continuous data will be harmonised and expressed as standardised mean differences (SMDs). If appropriate, we will convert shorter ordinal data into dichotomous data (RRs, ORs, or RDs). Similarly, we will consider to convert

longer ordinal data into continuous data (SMDs).30,36 For outcomes reported in two or more studies and considered sufficiently homogenous, we will conduct a meta-analysis of the corresponding studies or relevant study arms. Meta-analyses will be performed using the Mantel-Haenszel (dichotomous data) and inverse variance method (continuous data). Based on the results of the pre-screening of potentially relevant studies, we expect variation across studies due to both contextual heterogeneity and differences related to the context of implementation. To address this issue, we will apply the random effects method. Quantitative measures of heterogeneity will be reported (e.g. I2, Chi2) together with synthesised data on treatment effects, presented as forest plots. The most frequently reported outcome measure (e.g. BMI) across the included studies of one outcome (overweight and obesity) will guide the selection process for data suitable to be reported and synthesised. We consider this approach superior in terms of information value compared to pooling heterogeneous outcomes across health domains that substantially differ in scope and intended use. 40 Generally, we will favour the longest follow-up data if multiple follow-up data are available at the individual study level. To determine the role of heterogeneity on treatment effects, and to assess the robustness of the results, we will perform subgroup and sensitivity analyses. If feasible, we will consider subgroup analyses of primary outcomes for at least income groups, gender/sex, educational level and age groups, as well as for characteristics of the implementation of the community gardening intervention (e.g. co-interventions).²² Similarly, if sufficient data are available we will perform sensitivity analyses with respect to quality of studies, source of funding, publication status, intervention duration, and length of follow-up.30 Study results with insufficient homogeneity will be narratively synthesised. In addition to reporting findings as text and tables, we may consider graphical visualisation (e.g. harvest plots or effect direction plots) to synthesise and present data. 41,42

Meta-bias(es)

To study the impact of potential reporting bias, we will calculate and discuss funnel plots of the primary outcomes, if sufficient data are available (> 10 studies).³⁰

Confidence in cumulative evidence

We will present GRADE tables for primary outcomes to demonstrate the degree of confidence end-users can place on the quality of evidence and strength of the recommendations including outcome specific information. GRADE ratings are based on study design, including potential upgrades/downgrades (e.g. according to effect size, publication bias, and inconsistency). Ratings applied to a body of evidence can be 'high', 'moderate', 'low',

or 'very low'. RCTs begin the assessment process with a 'high' evidence rating, whereas observational studies begin with a 'low' evidence rating. Final ratings for included point estimates will be based on the results of the design-specific upgrade/downgrade process. At least two authors will be involved in this assessment. Any disagreements will be resolved by discussion with a third author.⁴³,⁴⁴

Conclusion

Research on the effects of voluntary community gardening on overweight, obesity and related outcomes is rapidly expanding (e.g. RCTs), especially for populations with low SES and at high risk for overweight and obesity. The proposed review will focus on quantitative studies and differs from previous systematic reviews on gardening interventions that were retrieved from the PROSPERO database and MEDLINE by the authors in terms of: i) inclusion of economic outcomes, such as costs of the intervention, ii) a focus on non-therapeutic, communitybased gardening initiatives to ensure homogeneity and external validity in terms of interventions (e.g. voluntary participation), populations (e.g. general public, non-patient samples), and level of outcome variables (e.g. similar baseline risk levels for overweight/obesity), iii) study selection for the main results based on rigorous standards/criteria, and iv) provision of GRADE tables to inform end-users about the quality of evidence and strength of the recommendations emerging from the review v) a discussion focusing on advances in research designs of community gardening interventions over time. 10, 13, 40, 45-47 The limited availability of i) high-quality studies, expected variations in ii) intervention duration, and iii) components, as well as iv) outcome measures may be a challenge for conducting robust meta-analyses and drawing definitive conclusions. The potential effectiveness of community gardening as a public health intervention to prevent overweight/obesity and to close equity gaps is of particular interest for public health authorities, local governments/municipalities, and urban/regional planners, all of whom play an important role in funding and decisions regarding land use (e.g. zoning). Moreover, the review will address educational stakeholders, who are essential for passing on knowledge for future implementations of community gardening.¹¹

Footnotes

Contributors

TLH and SKL initiated this review project. TLH was responsible for the first protocol draft, including the study question, study selection criteria, search strategy, synthesis of data, and risk of bias assessment. He is the

guarantor of this review. All authors (TLH, MR, SM, BB, AB, GG, and SKL) read, revised and approved the final manuscript before submission.

Data sharing statement

The review process will be documented and access to the documents (e.g. reference files, extracted data) will be provided upon request.

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Competing interests

TLH was co-initiator of a community gardening project (CampusGarten) in 2013 funded by the Students Union of the University of Cologne, Cologne, Germany.

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Figure legends

Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity.

Definitions and descriptions of the model are included as a dashed box in Figure 1.

List of tables

Table 1 Search strategy for MEDLINE



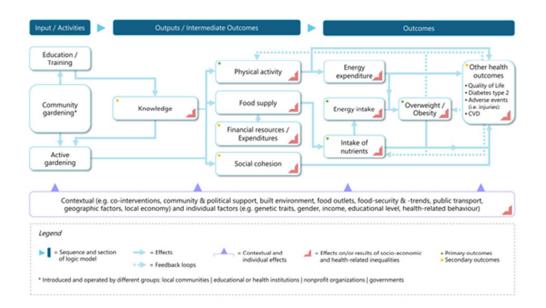


Figure 1 Logic/causal pathway model of community gardening interventions to prevent overweight and obesity



PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist:

recommended items to address in a systematic review protocol*

| Section and topic | Item N | o Checklist item | PAGE NUMBER (SUBMITTED MANUSCRIPT) AND AUTHOR'S RESPONSE (TLH) |
|------------------------------|--------|---|--|
| ADMINISTRATIVE IN | IFORMA | TION | |
| Title: | | UA | |
| Identification | 1a | Identify the report as a protocol of a systematic review | 1 |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | not applicable |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | 2; 7 [CRD42017043696] |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | 1 |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | 16-17 |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | not applicable |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | 17 [no financial or other support] |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | not applicable |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | not applicable |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 4-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6-7 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 7-13 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 10-13 |

| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such | 12 |
|------------------------------------|-----|--|--|
| | | that it could be repeated | [see: Table 1 Search strategy for MEDLINE] |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 13 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 13-16 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 14 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre- planned data assumptions and simplifications | 14 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 9; 14 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 14 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 14-15 |
| | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I², Kendall's τ) | 14-15 |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | 15 |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | 15 |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | 15 |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | 15-16 |

^{*} It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.

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