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## Changing prescribing practice with rapid diagnostic tests (RDTs): synthesis of ten studies to explore reasons for variation in malaria RDT uptake and adherence

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Complete List of Authors:	<p>Burchett, Helen; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development          Leurent, Baptiste; London School of Hygiene and Tropical Medicine, Department of Infectious Disease Epidemiology          Baiden, Frank; Ensign College of Public Health, Epidemiology Unit          Baltzell, Kimblerly; University of California Berkeley, Departments of Family Health Care Nursing &amp; Global Health Science          Bjorkman, Anders; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology          Bruxvoort, Katia; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development          Clarke, Siân ; London School of Hygiene and Tropical Medicine, Department of Disease Control          DiLiberto, Deborah; London School of Hygiene &amp; Tropical Medicine, Department of Clinical Research          Elfving, Kristina; Goteborgs Universitet, Departments of Infectious Diseases &amp; Paediatrics; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology          Goodman, Catherine; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development          Hopkins, Heidi; London School of Hygiene &amp; Tropical Medicine, Department of Disease Control          Lal, Sham ; London School of Hygiene and Tropical Medicine, Department for Disease Control          Liverani, Marco; Department of Global Health and Development, London School of Hygiene &amp; Tropical Medicine, London, UK.          Magnussen, Pascal; university of copenhagen, Centre for Medical Parasitology, Faculty of Health and Medical Sciences          Martensson, Andreas; Uppsala Universitet Medicinska fakulteten, Department of Women's and Children's Health          Mbacham, Wilfried ; University of Yaounde, Biotechnology Centre          Mbonye, Anthony K.; Ministry of Health Uganda, Clinical &amp; Community; School of Public Health , Makerere University, Community and behavioural Sciences          Onwujekwe, Obinna; College of Medicine, University of Nigeria Enugu Campus, Health Policy and Economics          Roth Allen, Denise; Centers for Disease Control and Prevention          Shakely, Deler; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology; Kungälv Hospital, Department of Medicine          Staedke, Sarah; London School of Hygiene and Tropical Medicine,</p>

	Department of Clinical Research, Faculty of Infectious and Tropical Diseases Vestergaard, Lasse; World Health Organization Regional Office for the Western Pacific Whitty, Chris; London School of Hygiene & Tropical Medicine, Department of Clinical Research Wiseman, Virginia; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development; The University of New South Wales, School of Public Health and Community Medicine Chandler, Clare; London School of Hygiene & Tropical Medicine, Global Health and Development
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**Changing prescribing practice with rapid diagnostic tests (RDTs): synthesis of ten studies to explore reasons for variation in malaria RDT uptake and adherence**

Authors:

Helen E.D. Burchett<sup>1</sup>, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Baptiste Leurent, Department of Infectious Disease Epidemiology, London School of Hygiene and Tropical Medicine, UK

Frank Baiden, Epidemiology Unit, Ensign College of Public Health, Kpong, Ghana

Kimberly Baltzell, Departments of Family Health Care Nursing & Global Health Science, University of California, USA

Anders Björkman, Department of Microbiology, Tumour and Cell biology, Karolinska Institute, Sweden

Katia Bruxvoort, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Siân Clarke, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Deborah DiLiberto, Clinical Research Department, London School of Hygiene & Tropical Medicine, UK

Kristina Elfving, Department of Infectious Diseases, University of Gothenburg, Sweden & Department of Paediatrics, University of Gothenburg, Sweden & Malaria Research, Department of Microbiology, Tumour and Cell biology, Karolinska Institutet, Sweden

Catherine Goodman, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Heidi Hopkins, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Sham Lal, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Marco Liverani, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Pascal Magnussen, Centre for Medical Parasitology, Faculty of Health and Medical Sciences, University of Copenhagen, Denmark

1  
2  
3 Andreas Mårtensson, Department of Women's and Children's Health, Uppsala  
4 University, Sweden  
5

6 Wilfred Mbacham, Laboratory for Public Health Research Biotechnologies, The  
7 Biotechnology Center, University of Yaoundé, Cameroon  
8

9  
10 Anthony Mbonye, School of Public Health- Makerere University & Commissioner  
11 Health Services, Ministry of Health, Uganda  
12

13 Obinna Onwujekwe, Department of Pharmacology and Therapeutics, University of  
14 Nigeria Enugu-Campus, Nigeria  
15

16 Denise Roth Allen, Centers for Disease Control and Prevention (CDC), USA.  
17

18 Delér Shakely, Department of Microbiology, Tumour and Cell biology, Karolinska  
19 Institutet, Sweden & Department of Medicine, Kungälv Hospital,  
20 Sweden  
21  
22

23 Sarah Staedke, Clinical Research Department, London School of Hygiene & Tropical  
24 Medicine  
25

26 Lasse Vestergaard, World Health Organization  
27

28 Christopher J.M. Whitty, Clinical Research Department, London School of Hygiene &  
29 Tropical Medicine, UK  
30

31  
32 Virginia Wiseman, Department of Global Health and Development, London School of  
33 Hygiene & Tropical Medicine, UK & School of Public Health  
34 and Community Medicine, Australia  
35

36 Clare I.R. Chandler, Department of Global Health and Development, London School  
37 of Hygiene & Tropical Medicine, UK  
38  
39  
40  
41  
42  
43

44 <sup>1</sup>Corresponding author: Helen E. D. Burchett, Department of Global Health and  
45 Development, London School of Hygiene & Tropical Medicine, 15-17 Tavistock  
46 Place, London, WC1H 9SH, UK. Email: helen.burchett@lshtm.ac.uk. Tel: +44 (0)20  
47 7927 2431 Fax: +44 (0)20 7927 2701  
48  
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## Abstract

### *Objectives*

The overuse of antimalarial drugs is widespread. Effective methods to improve prescribing practice remain unclear. We evaluated the impact of 10 interventions that introduced rapid diagnostic tests for malaria (mRDTs) on use of tests and adherence to results in different contexts.

### *Design*

A comparative case study approach, analysing variation in outcomes across different settings.

### *Setting*

Studies from the ACT Consortium evaluating mRDTs with a range of supporting interventions in six malaria endemic countries. Providers were government or non-governmental healthcare workers, private retail sector workers, or community volunteers. Each study arm in a distinct setting was considered a case.

### *Participants*

Twenty-eight cases from ten studies were included, representing 148,461 patients seeking care for suspected malaria.

### *Interventions*

The interventions included different mRDT training packages, supervision, supplies, and community sensitisation.

### *Outcome measures*

Analysis explored variation in: 1) uptake of mRDTs (% febrile patients tested); 2) provider adherence to positive mRDTs (% *Plasmodium falciparum* positive prescribed/given Artemisinin Combination Treatment); 3) provider adherence to negative mRDTs (% *P. falciparum* negative not prescribed/given antimalarial).

### *Results*

Outcomes varied widely across cases: 12-100% mRDT uptake; 44-98% adherence to positive mRDTs; 27-100% adherence to negative mRDTs. Providers appeared more motivated to perform well when mRDTs and intervention characteristics fitted with their own priorities. Goodness of fit of mRDTs with existing consultation and diagnostic practices appeared crucial to maximising a useful impact of mRDTs on care, as did prior familiarity with malaria testing; adequate human resources and supplies; possible alternative treatments for mRDT-negative patients; a more directive intervention approach; and local antimalarial preferences.

### *Conclusion*

Basic training and resources are essential but insufficient to maximise the potential of mRDTs in many contexts. Programme design should respond to assessments of provider priorities, expectations and capacities. As RDTs become established, the intensity of supporting interventions required is likely to reduce.

**Strengths and limitations of this study**

- This analysis addresses the gap in knowledge around how to change prescribing practices, a key question in the era of antimicrobial resistance.
- The analysis exploits in-depth data from ten intervention studies connected through the ACT Consortium in order to explore the reasons for variation in trial outcomes.
- A comparative case study approach was used, allowing trends and patterns to be explored across contexts in a way not possible within single studies.
- By analysing studies conducted within a consortium, access to unpublished documents, raw data and qualitative insights from the study teams allowed a deeper understanding of the studies and their contexts than is often found in systematic reviews of published reports.
- The extent of variation across the study arms in terms of context, provider type, intervention content and study design allowed for exploration of a range of factors affecting outcomes, but also created challenges for comparability, necessitating a case study approach.

## Background

The substantial over-diagnosis of malaria as a cause of acute febrile illness has been the focus of global attention in recent years<sup>1-3</sup>, given concerns about the clinical effects of misdiagnoses, the cost of first-line artemisinin-based combination therapies (ACTs) and emerging malaria drug resistance.<sup>4 5</sup> A policy of universal parasitological testing for malaria was introduced by the World Health Organization (WHO) in 2010<sup>6</sup>, aiming to reduce over-prescription of ACTs.<sup>2</sup> Malaria rapid diagnostic tests (mRDTs) have been developed for use in low-resource settings, making parasite-based testing possible where microscopy may not be available or feasible.<sup>4</sup>

Rapid diagnostic tests have been introduced with providers in a range of sectors.<sup>7</sup> However, evidence from evaluations of mRDT introductions show mixed effects; mRDTs do not lead to improved targeting of ACTs if providers do not consistently use the tests or if they ignore test results.<sup>8-12</sup> To maximise their potential for changing prescribing, evidence is required of the relative success of different types of mRDT intervention in different contexts.

This paper presents an analysis of the findings from 10 mRDT intervention studies conducted in Africa and Asia, for which in-depth information was available about interventions, outcomes and contexts. The studies, all from the ACT Consortium, represent a large proportion of the intervention studies on mRDTs recently conducted. This analysis aimed to identify how mRDTs can be used to improve prescribing in different contexts by exploring factors influencing providers' use of and adherence to test results and comparing results of interventions in different settings.

## Methods

The ACT Consortium is an international research collaboration involving more than 20 institutions working on a systematic series of 25 studies in 10 countries in Africa and Asia, addressing practical questions in the delivery of malaria treatment.<sup>13</sup> Intervention studies involving mRDTs were conducted in ten sites in six countries. The analysis in this paper focuses on these studies because of the ability it gives to use raw outcome data (allowing comparable outcomes to be calculated), raw data from linked qualitative research, unpublished documentation about intervention content, implementation and contextual information as well as insights from the study teams. This allowed a more detailed and comparable analysis than could be achieved through reliance on publications or quantitative data alone.

This analysis used a comparative case study approach, where each study arm conducted in a distinct setting was considered a case and outcomes were interpreted in terms of the study design, intervention content, implementation and contextual factors.<sup>14</sup> This approach suits investigation of 'how' and 'why' interventions have an effect and can highlight comparative general trends and



distinct patterns that are not visible in single cases.<sup>15 16</sup> The analysis explored three outcomes:

(1) Provider uptake of mRDTs

The proportion of patients presenting with fever, or history of fever in past 48 hours (unless specified otherwise), who were tested for malaria with an mRDT, as reported by the provider or patient.

(2) Provider adherence to positive mRDT results

The proportion of patients with a positive mRDT result (for *P. falciparum* malaria), who were prescribed or received an ACT, the first-line drug for non-severe malaria in all cases, as reported by provider or patient.

(3) Provider adherence to negative mRDT results

The proportion of patients with a negative mRDT result who were *not* prescribed, or did *not* receive, any antimalarial as reported by provider or patient.

The analysis evaluated the impact of different interventions to introduce mRDTs in different contexts. Twenty-eight cases (i.e. distinct settings or intervention arms) from the ten studies were included, with a total of 148,461 patients (see table 1). Twenty cases from seven studies analysed mRDT uptake, 24 cases from nine studies evaluated provider adherence to positive mRDT results and all 28 cases analysed provider adherence to negative mRDT results.

*Table 1 here*

The studies took place between 2007 and 2012. Studies were either individual- (n=2) or cluster-randomised controlled trials (n=6); observational (n=2) or pre-/post-intervention studies (n=1) (Tanz2 used different designs in their pilot and main study, so n=11). See supplementary file 1 for more detailed information about each of the studies. Providers targeted were government or non-governmental healthcare workers, private retail sector workers, or community health volunteers. Six studies took place in East Africa, three in West Africa<sup>Cam1,Nig1,Ghan1</sup> and one in south-central Asia<sup>Afgh1</sup>. One focused only on children under five years<sup>Uga2</sup>; the rest included children and adults.

All the interventions included basic training on malaria testing with RDTs for healthcare providers, however the content, duration and approach varied. Some interventions included additional activities and materials such as extra training, supervision and feedback, patient information leaflets or school-based activities (see table 2 and supplementary file 1).

Three studies compared different training packages<sup>Nig1,Cam1,Tanz2</sup>. Six studies compared intervention effects in different epidemiological contexts<sup>Uga2,Tanz1,Nig1,Cam1,Afgh1,Ghan1</sup>. Seven studies evaluated an intervention against a control arm where mRDTs were not made available<sup>Uga1,Uga2,Uga3,Nig1,Cam1,Afgh1,Ghan1</sup>.



### **Comparability of findings**

Although the study designs were co-designed and largely similar, because of differences in primary study questions and differences in epidemiology, data collection methods and evaluation timing, mean pooled analyses would be inappropriate. For example, mRDT uptake was reported through provider-completed registers in some projects and patient exit interviews in others. Some studies reported adherence in terms of the percentage of patients *prescribed* ACTs or antimalarials, whilst others reported the percentage of patients who *received* them. Stockouts may have affected receipt of medication; whether prescriptions were affected is unknown, as alternative medication may or may not have been offered when there was a known stockout. The analysis presented therefore focuses on understanding the reasons for variation in the results, rather than seeking pooled point estimates.

*Table 2 here*

Quantitative outcome data were extracted from each study's raw dataset and re-analysed to maximise comparability across studies, using the most comparable denominators and numerators possible. Study, intervention and context characteristics were extracted from published and unpublished documents. Where available, thematic content analysis was undertaken on qualitative data from providers involved in the studies (i.e. focus group discussions<sup>Uga2,Uga3</sup> or interviews<sup>Afgh1,Ghan1,Tanz1/a,Tanz1/b,Tanz2,Uga1</sup> with health workers, drug shop vendors or volunteers). In Tanz3, interviews from a later, related study were analysed, which included six study providers and six similar providers who had not been involved in the study but had comparable mRDT experiences.

The analysis drew on the approaches informing Intervention Component Analysis (ICA)<sup>51</sup> and Qualitative Comparative Analysis (QCA),<sup>52</sup> which seek to identify critical features of interventions. As with ICA, we sought to identify how interventions differed from one another and then, as with QCA, identify which factors appeared to be important. Our initial stage involved gathering as much information about the interventions as possible, going broader than the ICA approach by also capturing information about their delivery and context. However our analysis differed from ICA and QCA, which attempt to characterise and apply scores to interventions and their characteristics and cross-tabulate these with outcomes. We found our data were not amenable to scoring in a quantitative sense, due to wide variation in the extent and types of information available. Therefore our analysis was qualitative, using a meaning-based approach. Tables were created for each outcome of interest, with explanatory factors relating to the intervention, context and study design. These were shared with study teams and the ACT Consortium core scientific team, with ongoing discussions about the findings and other potential explanatory factors.

## Results

There was wide variation across cases in all three outcomes: 12-100% mRDT uptake (figure 1); 44-98% adherence to positive mRDTs (figure 2); 27-100% adherence to negative mRDTs (figure 3). All outcomes were universally high in some cases<sup>Uga1,Uga2/b,Uga3</sup> and universally low in others<sup>Nig1/a1,Nig1/a3</sup> but in many cases the three outcomes did not correspond – for example, testing was infrequent but adherence to results high<sup>Tanz1/a,Tanz1/b,Tanz2/3</sup> or adherence to positives high, but negatives low<sup>Ghan1/a,Ghan1/b, Cam1/a1,Cam1/b1</sup>, or vice versa<sup>Uga2/a,Nig1/b3</sup>.

There were no single factors which alone accounted for any of the outcomes; successful mRDT uptake and adherence appeared to result from a combination of context and intervention characteristics. The analysis identified several factors which, taken together, may account for the heterogeneity observed. The appeal of the intervention to providers was crucial for all three outcomes, but each was additionally shaped by other factors.

### *Motivation to perform well in the intervention*

In scenarios where the intended use of mRDTs and associated intervention activities aligned well with providers' own priorities, they appeared more motivated to participate and 'perform' well in the intervention, and we observed higher uptake and adherence. For example in Tanz2, carefully developed messages addressing existing provider principles and practices, as well as Ministry of Health branding of the intervention (an institution known to influence the government health workers in this setting), appeared to motivate providers. In Uga3, the drug shop vendors were previously not permitted to offer testing and this new service, along with the associated training, supervision and visible involvement of the Ministry of Health, gave them a legitimacy they had previously lacked.<sup>47</sup> These vendors also reported increased customer numbers and associated profits, enhanced by the study's free provision of mRDTs and ACTs. In Tanz3, government providers were paid a supplement to participate in the study. Additional unintentional aspects of studies, such as regular visits or perceived support from evaluators, may have also helped to improve outcomes<sup>Uga3,Tanz2 37</sup>.

By contrast, where mRDT interventions were not aligned with provider priorities, we saw lower uptake and adherence. For example, in Nig1 in the private sector, providers saw themselves more as vendors than healthcare practitioners. Here, there were anecdotal reports that they were particularly concerned about losing money from sales if mRDT results were negative and wondered whether the public would consider them legitimate to test. When providers viewed the intervention as extra unpaid work (e.g. conducting tests or recording tests) this affected their motivation. In Uga3 some drug shops declined to participate in the trial for this reason and in Uga1 some health facilities hesitated to continue participating when they felt the work was too much without remuneration. Here, a misalignment

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3 between the providers' priorities and the intentions of the intervention led to a lack of  
4 motivation for providers to perform in line with guidelines.  
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### 6 **Factors affecting mRDT uptake**

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8 There was wide variation between cases in the use of mRDTs for febrile patients  
9 (see figure 1). As well as provider motivation (discussed above), other factors  
10 associated with uptake were familiarity with testing, adequate human resources and  
11 supplies, and the cost of mRDTs.  
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14 *Figure 1 here*  
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### 16 17 **Familiarity with testing**

18 In most cases there was little prior experience of malaria testing, either using mRDT  
19 or microscopy. Although patients were generally keen to be tested for malaria, it was  
20 not typically part of providers' routine habits to test. In cases where testing had  
21 become part of the established process of care, mRDT uptake tended to be higher.  
22 For example in Tanz1/c, mRDTs had already been scaled up in other districts in  
23 recent years and at baseline there was substantial microscopy testing, unlike the  
24 other two cases in this study where uptake was lower<sup>Tanz1/a,Tanz1/b</sup>. Wide-scale public  
25 awareness of testing may have facilitated uptake, for example in Cameroon, where  
26 mass communication campaigns coincided with the study<sup>Cam1</sup>, which saw an  
27 increase in malaria testing in all study arms from baseline.<sup>22</sup> Some interventions  
28 incorporated local community sensitisation activities to increase  
29 familiarity<sup>Uga2,Uga3,Tanz2/4,Nig1/3</sup>, although this appeared insufficient on its own to ensure  
30 high uptake.  
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### 38 **Adequate human resources and supplies**

39 Where staff workload was high, or patient numbers exceeded capacity, particularly in  
40 small facilities with only one staff member, mRDTs were not always used<sup>Uga1,Tanz2/1</sup>.  
41  
42 There were adequate stocks of mRDTs in facilities in most studies, in several cases  
43 due to study provision of additional supplies to avert stock-outs. However stock-outs  
44 did occur in some studies<sup>Cam1,Tanz1,Tanz2</sup>, which was associated with lower uptake to  
45 some extent. Nevertheless, even when mRDTs were available, they were not always  
46 used, suggesting other factors were also influential.  
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### 51 **Cost of mRDTs to patients**

52 In most studies, mRDTs were provided free to patients. In those cases where  
53 providers were permitted to charge patients for mRDTs, higher prices may have  
54 affected their uptake. For example in Nig1, where mRDT uptake was among the  
55 lowest observed, patients were charged more than the recommended price on  
56 average, particularly in the private sector.  
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### ***Factors affecting adherence to positive mRDT results***

ACTs were not consistently prescribed to patients with positive mRDT results (see figure 2). Given the expectation for antimalarial overuse based on previous data, this finding was not anticipated and reasons for low adherence to positive results were therefore not explicitly explored during the studies. However, some explanatory factors driving this outcome did emerge, in addition to the motivation to perform well in the intervention. These were the stability of ACT supplies and local preferences for different types of antimalarial.

*Figure 2 here*

#### ***Stability of ACT supplies***

Stock-outs of ACTs were associated with variation in adherence to positive mRDT results, however, this could not explain all the variation. In some cases ACT use was relatively low despite no or few stock-outs, whereas in others, use was high despite stock-outs occurring. It may be that provider confidence in the stability of ACT supplies also influenced the use and rationing of ACTs, even when ACTs were available. For example, in Tanz2, lower rates of adherence to positive mRDTs were observed in the case where stock-outs were most frequent<sup>Tanz2/4</sup>, even after periods of stock-outs were excluded from the analysis.

#### ***Pre-existing antimalarial preferences***

The data also suggest an association between use of ACTs for positive mRDTs and baseline preferences for, or use of, ACTs rather than other antimalarials. For example, in Nig1, where ACT use was generally low, at baseline other antimalarials were prescribed and purchased more commonly than ACTs.<sup>30</sup> By contrast, in Tanz1, where adherence to RDT positive results was higher, according to stakeholder interviews, ACTs had become patients' preferred antimalarial.

### ***Factors affecting adherence to negative mRDT results***

There was also wide variation in the proportion of patients prescribed or given antimalarials in spite of negative mRDT results (see figure 3). In addition to being motivated to perform well in the intervention, the analysis suggests adherence to negative mRDTs was also driven in part by the extent to which mRDTs fitted – or were helped by intervention activities to fit – into the existing landscape of care (existing diagnostic and consultation practices). This included providers' perceptions of the role of mRDTs in the diagnostic process and possibilities for alternative diagnoses and treatment. In addition, the analysis suggests the extent to which interventions attempted to control clinical practice affected adherence.

*Figure 3 here*

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3 Malaria tests were usually the only diagnostics available in study facilities. In most  
4 cases, test-based malaria diagnosis required a substantial shift from reliance on  
5 clinical judgement. In a minority of cases this shift had already begun, e.g. in  
6 Tanzania and Zanzibar where mRDT introductions had begun nationally<sup>Tanz1,Tanz3</sup>, or  
7 where malaria testing using microscopy was established<sup>Afgh1/a,Afgh1/b,Tanz1/c</sup>. Here,  
8 mRDTs appeared to fit into the landscape of care more easily and adherence to  
9 negative mRDT results was higher. Where testing was new and did not fit into the  
10 landscape of care so well, even if mRDT use was attractive, adhering to negative  
11 results appeared more difficult<sup>Afgh1/c,Cam1,Ghan1,Nig1</sup>.

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16 Two factors appeared to facilitate integration of mRDTs into the landscape of care:  
17 providers' perceptions of the role of mRDTs in the diagnostic process and whether  
18 alternative management of illnesses, not involving antimalarials, was possible.  
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### 20 21 22 *Perceived role of mRDTs in diagnostic process*

23 Two main factors influenced providers' perceptions of the role of mRDTs within the  
24 process of malaria diagnosis: how well mRDTs fitted with the dynamic of  
25 consultations and whether the mRDT results matched their expectations.  
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28 In some cases, providers saw mRDTs as central to the diagnostic process. For  
29 example, community health volunteers in Uga2, whose adherence was very high,  
30 described the mRDTs as working as 'a judge', and drug shop vendors in Uga3 saw  
31 taking blood as crucial to their enhanced role. Conversely, some providers felt  
32 clinical judgement should play a more important role in making a diagnosis than  
33 mRDTs. Qualitative data suggested that where mRDTs challenged clinicians'  
34 expertise and disrupted traditional consultation practices, this led to lower adherence  
35 to negative results<sup>Afgh1,Ghan1,Tanz2/1</sup>. By questioning the test's accuracy, providers  
36 were able to reassert their authority and manage the consultation as usual.<sup>17 53</sup>  
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39 Some interventions aimed to help mRDTs 'fit' with the dynamics of consultations. For  
40 example, training included role-play activities or reflections about how mRDTs would  
41 work in practice<sup>Cam1/2,Uga1,Uga3</sup>, experimentation<sup>Tanz2/3, Tanz2/4</sup> and reflection facilitated  
42 by multiple training and feedback sessions with peers<sup>Cam1/2,Tanz2/3,Tanz2/4,Uga1,Uga2,Uga3</sup>,  
43 and training on communicating with patients<sup>Cam1/2,Nig1/2, Tanz2/3,Tanz2/4,Uga1,Uga 2,Uga3</sup>.  
44 Providers reported positive impressions of the training's impact on their interactions  
45 with patients including the importance of talking to patients and explaining the need  
46 for mRDTs or the meaning of their results<sup>Ghan1,Tanz2/1,Tanz2/3,Tanz1/a,Uga2</sup>.  
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48  
49 In some cases, mRDT results did not match expectations; typically fewer mRDTs  
50 were positive than had been expected, particularly when the tests were first  
51 introduced<sup>Uga3,Tanz2/4,Ghan,1/2</sup>. When this happened, providers placed less emphasis on  
52 mRDTs in the diagnostic process, preferring to rely more heavily on clinical  
53 judgement. For example, in Cam1/a1 mRDT positivity rates were just 9%, despite  
54 the local perception that malaria prevalence was high in that area. Several  
55 interviewees from different cases explained that it was hard to trust mRDTs when so  
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many results were negative<sup>Ghan1/b,Nig1,Tanz1/b,Tanz2/4,Uga3</sup>, or that they only trusted them once they had seen some positive mRDT results<sup>Uga2,Tanz2/4</sup>. Providers described a fear of missing malaria diagnoses, particularly when the frequency of positive results was lower than expected, and this was associated with lower adherence<sup>Ghan1/1,Ghan1/2,Tanz1/b</sup>. By contrast, providers in Tanz3, where adherence to negative mRDTs was high, appeared less concerned about malaria, recognising that prevalence had declined. Some interventions explicitly aimed to raise awareness of current malaria epidemiology during training<sup>Tanz2/3,Tanz2/4,Uga1</sup> in order to (re)set expectations of mRDT positivity rates; this was also associated with higher adherence to negative results.

In several cases, providers reported that their trust in mRDTs grew over time<sup>Tanz3,Tanz2/2, Tanz2/3, Uga3</sup>. Some described deliberate 'experimentation' to build trust in results, either by testing with microscopy as well as mRDTs<sup>Afgh1</sup> or by seeing whether mRDT negative patients recovered without antimalarials<sup>Ghan1,Uga2</sup>. Indeed in one study this was explicitly encouraged<sup>Tanz2/3, Tanz2/4</sup>. Conversely, some providers' accounts showed mistrust of mRDTs was reinforced by experiences of seeing patients, or indeed themselves, recover when taking antimalarials in spite of a negative mRDT result<sup>Uga2/b,Ghan1/a</sup>. Patient follow-up was considered another useful means of building trust<sup>Uga2, Ghan1/b</sup>. Two interventions aimed to increase the perceived role of mRDTs by providing information about mRDTs' sensitivity and specificity<sup>Tanz1,Tanz2/3,Tanz2/4</sup> 35.

#### *Alternative treatments for non-malarial fever patients*

Interventions offered different options for dealing with mRDT-negative patients. It appeared that expectations and options for alternative management of negative cases – in terms of providers' role, knowledge of case management and availability of other medicines – was important in antimalarial prescribing to mRDT-negative patients. In the public facility interventions where detailed guidance was given to aid alternative diagnoses<sup>Uga1,Tanz2,Tanz3</sup>, adherence was higher than in public facilities where no substantial guidance was provided<sup>Ghan1,Afgh1</sup> or where it was recommended that providers only offer antipyretics to mRDT-negative patients<sup>Nig1/2,Nig1/3</sup>. At the community level, where volunteer providers were not expected (or permitted) to provide medicines beyond antimalarials<sup>Uga2</sup>, adherence to negative results was high. In private shops in Uganda, where no training on non-malarial febrile illness management was provided, adherence to mRDT-negative results was still high in terms of ACT prescription, although here mRDT-negative patients ended up being sold other medicines<sup>Uga3</sup>.

#### *Directive intervention approach*

Some interventions were more directive about provider practices, particularly regarding the use of unambiguous guidance and supervision or surveillance.

Adherence was typically higher if interventions instructed that no antimalarial should be given to those with negative mRDT results<sup>Uga1,Uga2,Uga3,Tanz3</sup>. By contrast, adherence was lower when an intervention allowed exceptions for when antimalarials could be given in spite of a negative result, e.g. if a febrile patient was under five years and had travelled a long distance to seek care<sup>Afgh1,Tanz2/2,Cam1</sup>.

The highest adherence was observed among providers who had been closely supervised – either for an intense period after training<sup>Uga2,Uga3</sup> or throughout the evaluation period<sup>Tanz3</sup>. Providers receiving feedback by text message experienced these as a form of surveillance, and reported responding by feeling they should follow guidelines even if their clinical judgement was at odds with this<sup>Tanz2/3,Tanz2/4</sup>.

## Discussion

This analysis addresses the persisting gap in knowledge around how to change prescribing practices, a key question in the era of antimicrobial resistance. By analysing in-depth data from 10 co-designed intervention studies from the ACT Consortium, it identifies factors affecting the uptake of mRDTs and adherence to test results in different contexts. The varied findings suggest that to improve prescribing through mRDTs, interventions must go beyond basic training in mRDT use and must be tailored to the needs of providers in particular contexts. Uptake and adherence were highest where providers were motivated by the intervention and the tests fitted with the landscape of care. Intervention characteristics that aligned mRDTs with provider priorities included interactive training that addressed how to manage test-negative patients in practice, including both clinical and interpersonal aspects of care. Where malaria endemicity is overestimated locally, experimentation and feedback on frequent test-negative cases was important. A directive approach supported by feedback or supervisory instruction can yield high adherence to guidelines but may affect patient-centred care. The results suggest that as RDTs become established, the intensity of supporting interventions required is likely to reduce.

A strength of this analysis was its use of rich data sources which enabled a more in-depth and comprehensive analysis. Although additional insights may have emerged from inclusion of a wider set of studies, synthesising findings from published healthcare interventions is often challenging, with diverse and poorly described interventions, contexts and methods.<sup>54 55</sup> Nevertheless, our analysis was limited by the fact that not all included studies were able to provide information on all characteristics of interest. While study samples were generally sizeable, in some cases where testing rates and/or malaria prevalence were low, the denominator for adherence outcomes was small.

Previous studies have identified capacity issues as important in mRDT implementation, such as staffing levels or overworked staff,<sup>9 12 56-60</sup> mRDT or ACT supplies,<sup>9 12 57-61</sup> and providers' confidence in mRDT results.<sup>12 57-62</sup> Our synthesis



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3 shows that beyond these issues, the introduction of the tests had to *make sense* in  
4 context. Some interventions in our analysis additionally included a more directive  
5 approach. While these interventions did achieve the highest rates of adherence to  
6 negative results, the consequences of restricting the autonomy of clinicians in favour  
7 of standardised guidelines needs to be weighed up against the need for clinicians to  
8 consider individual patients on a case by case basis.<sup>63</sup> Our finding that settings  
9 where testing was more familiar used mRDTs more appropriately echoes  
10 observations from country-level roll-out of mRDTs,<sup>64 65</sup> and suggests that the  
11 interventions required will change over time. Our finding, that basic training alone is  
12 insufficient to ensure use of the tests as intended, aligns with findings from studies of  
13 interventions aiming to change clinical practice in general.<sup>4 66</sup>

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17 Although our analysis suggests that a process of tailoring is required to formulate the  
18 intervention to best fit each context, certain broad intervention features are likely to  
19 be applicable across settings (see box 1). As these recommendations arise directly  
20 from the data available in our studies, they are not exhaustive. Prior to introducing  
21 rapid diagnostic tests, initial assessments should be carried out to understand  
22 providers' priorities and capacities, as well as how easily tests might integrate into  
23 landscapes of care.

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27 *Box 1 here*

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30 These findings can inform broader antimicrobial stewardship efforts. Malaria is the  
31 first disease for which interventions have been systematically evaluated in order to  
32 understand how to change routine prescribing through rapid diagnostics. The  
33 lessons learned in attempting to shift from presumptive to test-directed treatment are  
34 relevant for interventions beyond malaria. The intervention and contextual  
35 characteristics identified here highlight that apparently simple technological solutions  
36 can require complex supporting apparatus when implemented in real life.<sup>67</sup> However,  
37 these findings suggest that as RDTs become established, the intensity of supporting  
38 interventions required is likely to reduce. Further research could explore whether an  
39 initial investment in mRDTs could establish patterns of care that allow for other  
40 diagnostic tests to be introduced more easily in the future.

## 41 42 43 44 45 46 47 48 **Conclusion**

49 This analysis shows that uptake and adherence to mRDTs can be high, but this  
50 requires either existing contexts where integrating the tests into practice already  
51 makes sense, or tailored interventions to encourage this. Basic training and supplies  
52 are essential but insufficient to maximise the potential of mRDTs in contexts where  
53 they do not fit well with the landscape of care. Apparently simple technological  
54 solutions such as mRDTs can require complex supporting interventions that take  
55 account of how they will be interpreted and used.

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## Contributorship statement

HEDB and CIRC designed the study. HEDB conducted the analysis and drafted the paper; CIRC contributed to analysis and drafting. BL, FB, KB, AB, KBr, SC, DiL, KE, CG, HH, SL, PM, AM, WM, AM, OO, DRA, DS, SS, LV contributed to data collection. All authors contributed to study design, analysis and the final write-up and approved the manuscript.

## Competing interests

The authors have no competing interests.

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## Data sharing statement

Data from the studies included in this analysis can be found at the ACTc repository: <https://actc.lshtm.ac.uk>. This includes outcome data, description of intervention and data collection tools.

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Table 1: Cases included in analysis

Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Afgh1	Strategies for expanding access to quality malaria diagnosis in south-central Asia where malaria incidence is low	Afghanistan	Government primary care providers	Afgh1/a: training; patients individually randomised to receive either mRDT or established microscopy, Eastern province	17-19
				Afgh1/b: training; patients individually randomised to receive either mRDT or recently introduced microscopy, Northern province	
				Afgh1/c: training; patients individually randomised to receive either mRDT or clinical diagnosis (no microscopy available), Northern province	
Cam1	Cost-effectiveness of interventions to support the introduction of malaria rapid diagnostic tests in Cameroon	Cameroon	Government and mission primary care providers (in hospitals and primary care)	Cam1/a1: basic training, Bamenda	20-26
				Cam1/b1: basic training, Yaounde	
				Cam1/a2: enhanced training, Bamenda	
				Cam1/b2: enhanced training, Yaounde	
Ghan1	How the use of rapid diagnostic tests influences clinicians' decision to prescribe ACTs	Ghana	Government primary care providers	Ghan1/a: training; patients individually randomised to receive either mRDT or microscopy	27-29
			Government and private primary care providers	Ghan1/b: training; patients individually randomised to receive either mRDT or clinical diagnosis	

<sup>1</sup> The initial letters refer to the study country, the first number refers to the (country-specific) study number, the subsequent letter refers to the specific context if a study took place in multiple geographical or epidemiological settings and the final number refers to the intervention arm.



Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Nig1	Costs and effects of strategies to improve malaria diagnosis and treatment in Nigeria	Nigeria	Government primary care providers, private pharmacies and private medicine dealers	Nig1/a1: basic training, Enugu	26 30-33
				Nig1/b1: basic training, Udi	
				Nig1/a2: enhanced training, Enugu	
				Nig1/b2: enhanced training, Udi	
				Nig1/a3: enhanced training + school activities, Enugu	
				Nig1/b3: enhanced training + school activities, Udi	
Tanz1	IMPACT 2: Evaluating policies in Tanzania to improve malaria diagnosis and treatment	Tanzania	Government healthcare providers (in hospitals and primary care)	Tanz1/a: standard MoH <sup>2</sup> training, Mwanza, moderate transmission	34
				Tanz1/b: standard MoH training, Mbeya, low transmission	
				Tanz1/c: standard MoH training, Mtwara, moderate transmission	
Tanz2	Targeting ACT drugs: the TACT trial	Tanzania	Government primary care providers	Tanz2/a1: pilot study, low transmission	35-37
				Tanz2/b1: pilot study, moderate transmission	
				Tanz2/2: basic training	
				Tanz2/3: enhanced training	
				Tanz2/4: enhanced training + patient sensitisation	
Tanz3	Effectiveness of malaria rapid diagnostic tests in fever patients attending primary health care facilities in Zanzibar	Tanzania	Government primary care providers	Tanz3: enhanced training, Zanzibar	38 39

<sup>2</sup> MoH – Ministry of Healthy

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Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Uga1	The PRIME trial: Improving health centres to reduce childhood malaria in Uganda	Uganda	Government primary healthcare providers	Uga1: training, Tororo	40-43
Uga2	Use of rapid diagnostic tests to improve malaria treatment in the community in Uganda	Uganda	Community health volunteers	Uga2/a: training, low transmission	44
				Uga2/b: training, moderate transmission	
Uga3	Introducing rapid diagnostic tests in drug shops to improve the targeting of malaria treatment	Uganda	Private drug shop vendors	Uga3: training, Mukono	45-50

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Table 2: Intervention content

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Afgh1/a	One and a half days training, following the national training package. This covered performing mRDTs (most, but not all, practiced testing) and prescribing antimalarials.	None	mRDTs supplied by study	None
Afgh1/b				
Afgh1/c				
Cam1/a1	One day, didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment.	Monthly	mRDTs and ACTs supplied by study	None
Cam1/b1				
Cam1/a2	One day, didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment. Interactive two day training on adapting to change (focused on WHO malaria treatment guidelines), professionalism, and effective communication.	Monthly	mRDTs and ACTs supplied by study	None
Cam1/b2				
Ghan1/a	Two day training about the sensitivity and specificity of mRDTs, alternative causes of febrile illness and the Ghana national guidelines (which indicated presumptive treatment for children who are less than five years old).	None, but study team were present	mRDTs supplied by study	None
Ghan1/b				
Nig1/a1	Half day demonstration on how to use mRDTs, which included practising conducting one test. They also received a copy of the WHO job aid, which shows the steps in using an mRDT.	None	mRDTs supplied by study	None
Nig1/b1				

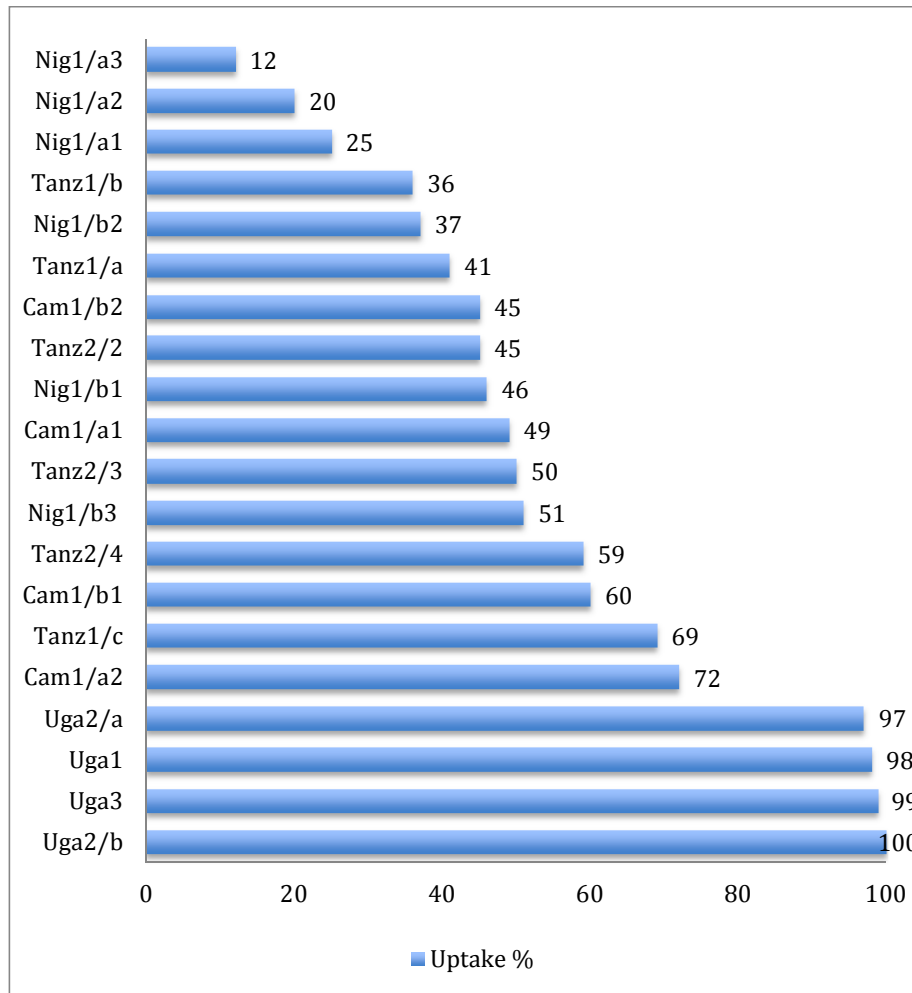
Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Nig1/a2	Same as Nig1/1, plus: Two day interactive, seminar-style training, covering how to test, appropriate treatment for positive and negative results and effective communication. Those attending were given job aides (e.g. treatment algorithm).	Monthly	mRDTs supplied by study	None
Nig1/b2				
Nig1/a3	Same as Nig1/2	Monthly	mRDTs supplied by study	Yes (school-based activities)
Nig1/b3				
Tanz1/a	Two day training (standard MoH), covering performing mRDTs (including practical) and prescribing antimalarials.	Routine MoH supervision only	mRDTs supplied by MoH	None
Tanz1/b				
Tanz1/c				
Tanz2/a1	One day training on how to use the mRDT and read the result. Antimalarial drug use guidelines were reviewed and job aides provided.	None	mRDTs supplied by study	None
Tanz2/b1				
Tanz2/2	Two day, didactic, MoH training on how to use mRDTs, including practical.	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	None

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Tanz2/3	Same as Tanz2/2, plus: Three additional 90 minute interactive training workshops, with one session repeated 6-7 months later. These covered: adapting to the change in the diagnosis & management of malaria; practice with confidence when using mRDTs: tools to enable change in managing febrile illness; sustaining the change in practice. Training on communication skills was included.	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	SMS feedback on own mRDT uptake and adherence at 5 months Twice daily motivational SMS for 15 days
Tanz2/4	Same as Tanz2/3	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	SMS feedback on own mRDT uptake and adherence at 5 months Twice daily motivational SMS for 15 days. Patient leaflets and posters
Tanz3	Six to eleven days IMCI training (depending on whether refresher training or for new health workers) which included malaria diagnosis and treatment, plus one week study-specific training (including good clinical practice, provision of informed consent, performance and interpretation of mRDT according to the manufacturer's instructions). One day of the IMCI training focused specifically on malaria. Training covered communication skills.	None	mRDTs and ACTs supplied by MoH, with study back up in case of stock-outs	IMCI training, additional study salary for providers
Uga1	Two day training session followed a week later by on-site training in facilities. Training was interactive and included performing and reading an mRDT, management of a patient with fever and either a positive or negative mRDT as well as patient communication. All health workers were invited to attend the training.	Supervision at 6 weeks and 6 months	mRDTs supplied by MoH, with study back up in case of stockouts	Training on patient-centred services; training in-charges in health centre management

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Uga2/a	Four day interactive training, covering performing and reading an mRDT, how to prescribe antimalarials, how to deal with negative cases and communication skills. Providers were also given pictorial job aides.	Close supervision for first six months (prior to evaluation)	mRDTs and ACTs supplied by study	Community sensitisation
Uga2/b				
Uga3	Four day of interactive training were provided to all drug shop vendors, which covered, covering performing and reading mRDTs, prescribing antimalarials, how to deal with mRDT negatives and communicating and negotiating with patients.	Close supervision for first two months (prior to evaluation)	mRDTs and ACTs supplied by study	Community sensitisation

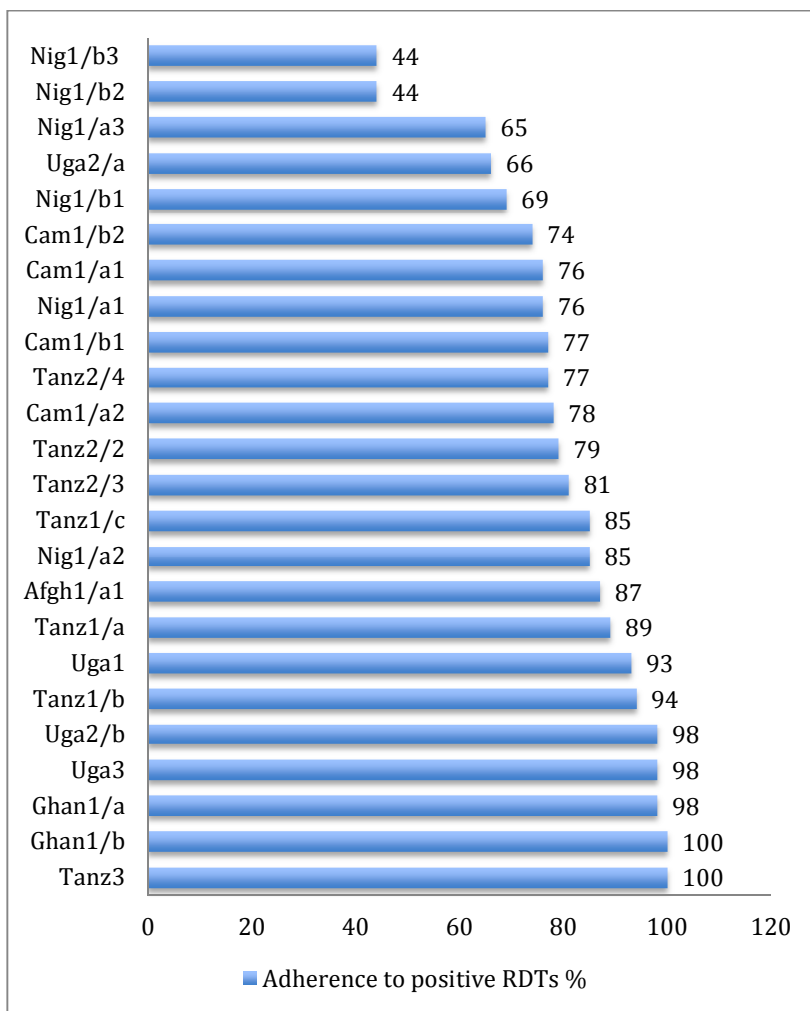


Figure 1: Uptake of mRDTs\*



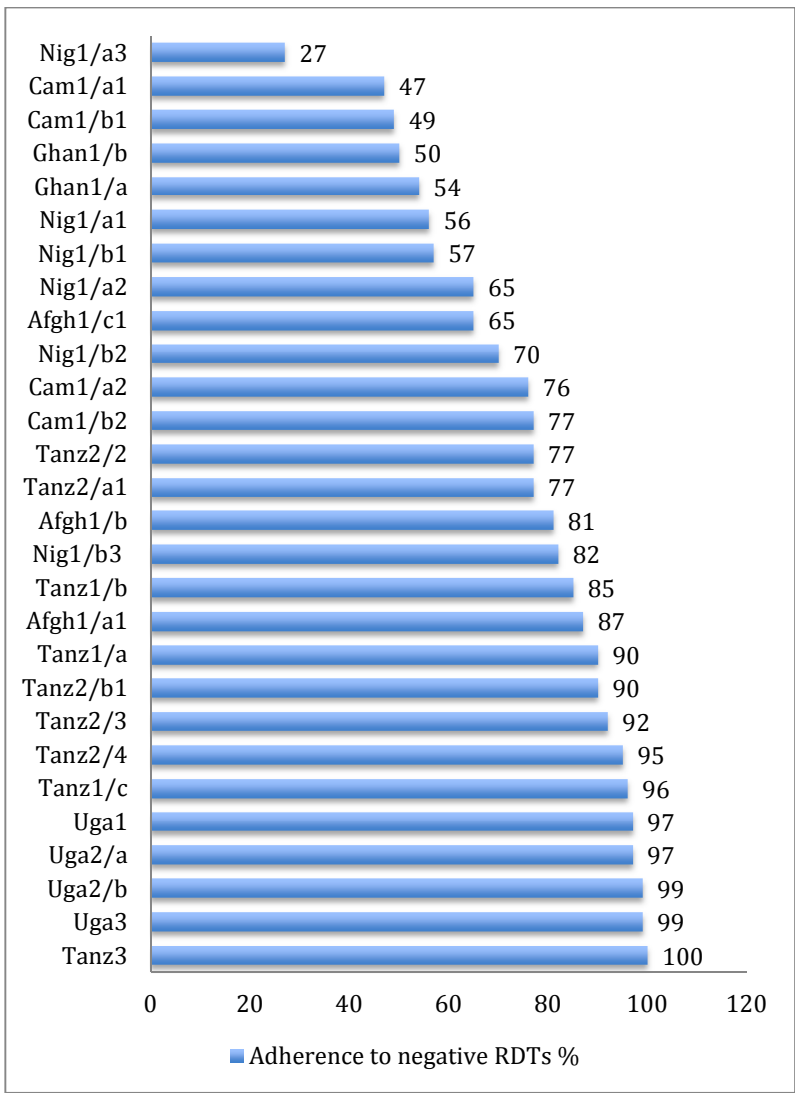
\*% patients with fever or history of fever who were tested for malaria with an mRDT

Figure 2: Adherence to positive mRDT results\*



\* % of patients with a positive mRDT who did NOT receive ACTs

Figure 3: Adherence to negative mRDTs\*



\*% of patients with a negative mRDT results who received antimalarials

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Box 1: Examples of recommended intervention features

*Planning*

- Recognise and address **providers' priorities**

*Staffing*

- Ensure **sufficient staff numbers** for increased workload

*Training*

- Offer **longer, more detailed training**, incorporating **interactive activities**
- Include **training on communicating** with patients
- Address **process of change** to test-based care:
  - plan a series of interactive training and/or supervision sessions
  - incorporate role-play activities which address local challenges
  - use reflective activities
- Build **trust in mRDTs** by including:
  - discussion of data on changes in malaria prevalence in the area
  - discussion of sensitivity and specificity of mRDTs
  - encouragement to cross-check these data with experience of tests in practice

*Guidance*

- Provide detailed guidance and resources for acceptable **case management for mRDT negative patients**
- Consider how **directive mRDT guidance** should be, balancing clarity with the need for clinician judgement to make exceptions (e.g. if patients have travelled far, with limited means of transportation to return if their condition worsens)

*Medical supplies*

- Ensure providers can be **confident in supplies** of mRDTs and ACTs
- Keep **costs** to patients low

*Community/patient sensitisation*

- Conduct **patient-oriented sensitisation** activities
  - where familiarity with testing is low, where frequent false positive microscopy has overestimated prevalence, or if ACTs are not the most common antimalarial used or demanded by patients

Supplementary file 1: Descriptions of studies included

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## Afgh1: Strategies for expanding access to quality malaria diagnosis in south-central Asia where malaria incidence is low

Location	Afghanistan
Sector targeted	Public
Intervention dates	September 2009 – September 2010
Timing of evaluation	September 2009 – September 2010
Prescriber sample	Afgh1/a: 12 clinics Afgh1/b: 5 clinics Afgh1/c: 5 clinics
Patient sample	Afgh1/a: 1,576 Afgh1/b: 516 Afgh1/c: 324
Qualitative data collected from prescribers?	Yes

### Background context

The study took place in secure, rural areas. There were very high rates of malaria over-diagnosis at baseline in all scenarios, particularly in Afgh1/c. The most common form of malaria was *P. vivax* rather than *P. falciparum*. The prevalence of malaria was perceived to be high in all scenarios. mRDTs had not been scaled up prior to the intervention.

#### Cases:

**Afgh1/a:** Eastern province, established microscopy  
Moderate malaria transmission rates. All 12 study clinics had microscopy installed for more than 5 years. Clinics in this region were generally busier than those in the northern region, seeing more patients.

**Afgh1/b:** Northern province, new microscopy  
Low malaria transmission rates. All five study clinics had recently established microscopy (since 2009). Clinics in this region were generally quieter than those in the eastern region, seeing fewer patients.

**Afgh1/c:** Northern province, no microscopy  
Low malaria transmission rates. All five study clinics had no microscopy and relied on clinical diagnosis prior to the intervention. Clinics in this region were generally quieter than those in the eastern region, seeing fewer patients.

### Intervention

Patients were randomised to receive either an mRDT or usual care (either microscopy, in Afgh1/a and Afgh1/b, or clinical diagnosis in Afgh1/c).

mRDTs were supplied by the project and were free to clinics and patients. 1.5 days training was offered to all facility staff, following the national training package. This covered performing mRDTs (most, but not all, practiced testing) and prescribing antimalarials, but not how to treat patients with negative mRDT results.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs through standard mechanism
<b>Were continuous supplies assured?</b>	Yes, for RCTs. Not for ACTs.
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm patient randomised controlled trial. All clinics in the study areas participated in the intervention.

mRDT uptake was not assessed as patients enrolled in the study were randomised to receive an mRDT or standard care (microscopy or presumptive diagnosis, depending on the scenario). Patients were enrolled in the study if they gave informed consent and had a fever or a self-reported history of fever in last 48hrs, where the clinician suspected malaria and would normally request a diagnosis or treat with a malaria drug. Patients were excluded if the patient had a diagnostic result from another health facility, if the clinician provided treatment without testing or if, the clinician specifically requested a blood slide. Data was collected from project-specific registers completed by prescribers.

## Findings

ACT received when positive mRDT:

Afgh1/a: 87%  
Afgh1/b: n/a (no Pf identified)  
Afgh1/c: n/a (no Pf identified)

Antimalarial received when negative mRDT:

Afgh1/a: 13%  
Afgh1/b: 19%  
Afgh1/c: 35%

### Possible explanatory factors:

- **Familiarity with testing:** adherence to negative results was higher in both scenarios where microscopy was available. In interviews, health workers often did not appear to distinguish between mRDTs and microscopy.
- **Poor fit with landscape of care:** tests were felt to be good at confirming clinical diagnosis of malaria, but clinical diagnosis could overrule a negative test (which represented an 'absence of diagnosis'). Health workers did not always trust mRDTs, possibly because they challenged clinicians' autonomy. The training did not attempt to convince health workers of the accuracy of mRDTs (e.g. by presenting results from local research into their accuracy). Some health workers who were interviewed explained that they didn't trust mRDTs because the tests were being studied in the trial i.e. they misunderstood the purpose of the trial and thought that the mRDTs themselves were being studied. There was low malaria prevalence (and so no/few positive mRDTs) which was not expected.
- **Low acceptability of alternatives to antimalarials:** There was a perception among health workers that they did not want to miss a malaria diagnosis and that antimalarials were fairly benign. The training did not cover how to deal with negative cases.
- **Intervention messages:** guidelines were perceived to be incongruent with mRDT adherence. Health workers interviewed reported that IMCI guidelines stated they should give antimalarials if a child was feverish or no there were no signs of other diseases, even if an mRDT was negative. Ministry of Health guidelines included three categories of diagnosis: confirmed malaria, suspected malaria and negative for malaria. 'Suspected malaria' was expected to be used in situations where no testing facilities were available, although health workers believed they could use this in other circumstances too, e.g. if typical signs and symptoms of malaria were displayed, with no other disease symptoms.
- **Mixed motivation to perform well in the intervention:** Some health workers who were interviewed explained that they didn't trust mRDTs because the tests were being studied in the trial (i.e. they misunderstood the purpose of the trial and thought that the mRDTs themselves were being studied) .



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- **Study design:** in interviews, some health workers explained that they didn't send patients for testing (i.e. recruit them into the study) if they displayed typical signs & symptoms of malaria. One health worker from the northern province, new microscopy scenario mentioned in an interview that they didn't test if they had a heavy workload.

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#### Related publications

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1. Leslie T, Mikhail A, Mayan I, Anwar M, Bakhtash S, Nader M, et al. Overdiagnosis and mistreatment of malaria among febrile patients at primary healthcare level in Afghanistan: observational study. *BMJ*. 2012;345:e4389.
  2. Reynolds J, Wood M, Mikhail A, Ahmad T, Karimullah K, Motahed M, et al. Malaria "diagnosis" and diagnostics in Afghanistan. *Qual Health Res*. 2013;23(5):579-91.
  3. Leslie T, Mikhail A, Mayan I, Cundill B, Anwar M, Bakhtash SH, et al. Rapid diagnostic tests to improve treatment of malaria and other febrile illnesses: patient randomised effectiveness trial in primary care clinics in Afghanistan. *BMJ*. 2014;348:g3730.

## Cam1: Cost-effectiveness of interventions to support the introduction of malaria rapid diagnostic tests in Cameroon

Location	Cameroon: Bamenda (northwest, rural & urban) & Yaounde (central, urban)
Sector targeted	Government and mission
Intervention dates	June – December 2011
Timing of evaluation	September – December 2011
Prescriber sample	Cam1/1a: 8 facilities Cam1/1b: 10 facilities Cam1/2a: 9 facilities Cam1/2b: 10 facilities
Patient sample	Cam1/1a: 403 Cam1/1b: 402 Cam1/2a: 552 Cam1/2b: 311
Qualitative data collected from prescribers?	No

### Background context

Malaria was endemic in both settings. At baseline, microscopy was available in almost all health facilities but mRDTs were not. Clinical diagnosis was the common method of malaria diagnosis, with local clinical guidelines recommending presumptive treatment as default course of action. These also stated that fever was the most reliable symptom for treatment and diagnosis and that a negative microscopy result did not rule out malaria. Health workers did not consider testing to be very important for patients and did not themselves feel it was acceptable to withhold antimalarials if a patient tested negative. Overdiagnosis was common, with 81% of febrile patients receiving antimalarials although only 35% of these had malaria. mRDTs had been in the national guidelines since 2008 although there were reports that local clinical guidelines still recommended presumptive treatment.

### Cases:

Cam1/1a: intervention 1, Bamenda

Cam1/1b: intervention 1, Yaounde

Cam1/2a: intervention 2, Bamenda

Cam1/2b: intervention 2, Yaounde

## Intervention

### Intervention arm 1

mRDTs provided with basic training

Four prescribers per cadre per facility were invited to attend a one day training; they were strongly encouraged to train others in their facilities. This didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment. The study team conducted monthly supervisory visits and provided 100 mRDTs to each facility per month, which were sold to patients (at a higher rate than the US\$0.20 per test the project had requested) or provided free to under 5s.

### Intervention arm 2

mRDTs provided with basic and enhanced training

In addition to the interventions provided in arm 1, an interactive two day training was delivered that was designed to change prescribing practices. This covered adapting to change (focused on WHO malaria treatment guidelines), professionalism, and effective communication.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study
<b>Were continuous supplies assured?</b>	No: 100 mRDTs supplied for each facility per month
<b>Cost of mRDTs/ACTs to patients</b>	RRP US\$0.20 (free to U5s) Mean actual price of mRDT: Cam1/1: US\$1.28 Cam1/2: US\$2.09
<b>Who conducted mRDT?</b>	Prescriber

## Study design

Three-arm cluster randomised controlled trial. The control arm did not have mRDTs and was not included in the current analysis.

Facilities were eligible for inclusion in the study if they were not part of a government pilot roll-out of mRDTs, if they did not offer specialist services, if they received more than four febrile patients per day on average and if they were more than 2km away from another facility in Bamenda or more than 1km away in Yaounde.

Evaluation started three months after intervention and ran for three months. Data was collected from project-specific registers completed by prescribers, as well as patient exit interviews. Fieldworkers collected registers from facilities

each week. All patients who attended the health facilities were approached on exit for consent to participate in the study and screened for eligibility. Patients were eligible for inclusion in the exit survey if they reported seeking treatment for fever or suspected malaria. Patients were excluded if they were pregnant, younger than six months, or had signs of severe malaria. Individuals were also excluded if the patient was not present.

### Findings

*Uptake (% of febrile patients, who were not tested with microscopy, who were tested with an mRDT)*

Cam1/1a: 49%

Cam1/1b: 60%

Cam1/2a: 72%

Cam1/2b: 45%

*Adherence to positive mRDT*

*(% of patients testing positive with an mRDT who received ACTs)*

Cam1/1a: 76%

Cam1/1b: 77%

Cam1/2a: 78%

Cam1/2b: 74%

*Adherence to negative mRDT*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials)*

Cam1/1a: 47%

Cam1/1b: 49%

Cam1/2a: 76%

Cam1/2b: 77%

### Possible explanatory factors:

- **Patient expectations of malaria testing** - a concurrent extensive malaria communication campaign (external to the intervention being evaluated) had run after the formative research but before the evaluation, targeting testing and ACT use. Testing was also high in the control scenarios (higher than at baseline).
- **Familiarity with testing** - testing overall (microscopy or mRDT) was generally high in all cases, but it was slightly lower in Cam1/1a than the other cases (71% vs 78-81%)

- **Alternative treatments for non-malarial fever patients** - prior to the intervention, malaria was felt to be a well known, common and serious disease. Although testing was acceptable to patients (as a placebo), as was a positive malaria diagnosis, negative results were not considered acceptable and health workers reported finding it hard to give non-malaria diagnoses and treatments prior to the intervention.

### Related Publications

1. Chandler CIR, Mangham L, Njei AN, Achonduh O, Mbacham WF, Wiseman V. 'As a clinician, you are not managing lab results, you are managing the patient': How the enactment of malaria at health facilities in Cameroon compares with new WHO guidelines for the use of malaria tests. *Social Science and Medicine*. 2012;74(10):1528-35.
2. Mangham LJ, Cundill B, Achonduh OA, Ambebila JN, Lele AK, Metoh TN, et al. Malaria prevalence and treatment of febrile patients at health facilities and medicine retailers in Cameroon. *Tropical Medicine & International Health*. 2012;17(3):330 - 42.
3. Wiseman V, Mangham LJ, Cundill B, Achonduh OA, Nji AM, Njei AN, et al. A cost-effectiveness analysis of provider interventions to improve health worker practice in providing treatment for uncomplicated malaria in Cameroon: a study protocol for a randomized controlled trial. *Trials*. 2012;13(4).
4. Achonduh OA, Mbacham WF, Mangham-Jefferies L, Cundill B, Chandler C, Pamen-Ngako J, et al. Designing and implementing interventions to change clinicians' practice in the management of uncomplicated malaria: lessons from Cameroon. *Malaria Journal*. 2014;13:204.
5. Mangham-Jefferies L, Wiseman V, Achonduh OA, Drake TL, Cundill B, Onwujekwe O, et al. Economic evaluation of a cluster randomized trial of interventions to improve health workers' practice in diagnosing and treating uncomplicated malaria in Cameroon. *Value Health*. 2014;17(8):783-91.
6. Mbacham WF, Mangham-Jefferies L, Cundill B, Achonduh O, Chandler CIR, Ambebila JN, et al. Basic or enhanced clinical training to improve adherence to malaria treatment guidelines: a cluster-randomised trial in two areas of Cameroon. *The Lancet*. 2014;2:346 - 58.
7. Mangham-Jefferies, L., K. Hanson, W. Mbacham, O. Onwujekwe and V. Wiseman (2014). "What determines providers' stated preference for the treatment of uncomplicated malaria?" *Soc Sci Med* **104**: 98-106.

## Ghan1: How the use of rapid diagnostic tests influences clinicians' decision to prescribe ACTs

Location	southern Ghana
Sector targeted	Public and private health facilities
Intervention dates	August 2007 – December 2008
Timing of evaluation	August 2007 – December 2008
Prescriber sample	Ghan1/a: 1 facility Ghan1/b: 3 facilities
Patient sample	Ghan1/a: 1,896 Ghan1/b: 1,719
Qualitative data collected from prescribers?	Yes

### Background context

The intervention took place in the rural Dangme West district. Ghana is a country with high transmission of malaria, although incidence has been falling. The study took place before the country had introduced a policy to use malaria tests. Most of the healthcare professionals in the study sites were nurses with 2-3yrs of basic training.

#### Cases:

##### *Ghan1/a: Microscopy scenario*

One large health facility with a high patient load and with microscopy available. It had 16 prescribing staff.

##### *Ghan1/b: Clinical diagnosis setting*

Three facilities: One private clinic and two smaller public health facilities, with lower patient loads and no medical doctors (only medical assistances and nurses). These had no access to parasitological testing for malaria – diagnosis was based on clinical symptoms. They had 13 prescribing staff in total.

### Intervention

All healthcare professionals in participating centres received two days of training on:

- The sensitivity and specificity of mRDTs
- Alternative causes of febrile illness
- The Ghana national guidelines (which indicate presumptive treatment for U5s)



They were left free to make their own clinical decisions after the initial training. New staff were given a one-to-one introduction to mRDTs using the same training package.

Included patients were randomised to receive an mRDT or standard care (microscopy or clinical diagnosis); uptake was not assessed.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs through standard mechanisms
<b>Were continuous supplies assured?</b>	Yes for RCTs, not for ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Study staff, not prescriber

### Study design

Two-arm patient randomised trial. All participants visiting the health facilities were screened for enrolment into the study. The inclusion criteria were that the healthcare professional considered treating the patient for malaria and wanted to test for malaria or treat the patient with an antimalarial. Exclusion criteria were pregnancy, illness severe enough to warrant referral to hospital, insistence by the health professional on a particular test or a particular method of treatment, patient insistence on a particular test, refusal of consent by patient/guardian, not living in the district or nearby, or not intending to remain in the district for the next two months for follow up.

Data was collected from a prescriber-completed register.

### Findings

#### *Adherence to positive mRDTs*

*(% of patients testing positive with an mRDT who received ACTs):*

Ghan1/a: 98%

Ghan1/b: 100%

#### *Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials):*

Ghan1/a: 54%

Ghan1/b: 51%

Possible explanatory factors:

- **Poor fit with landscape of care** - prescribers continued to have faith in their ability to diagnose clinically. Prescribers didn't seem to take on board that they shouldn't give antimalarials if the mRDT was negative; possibly because of training and incongruence with guidelines (see below under 'intervention messages')
  - health workers initially noted differences between mRDT results and clinical diagnosis/microscopy results – which led to mistrust of mRDT for many.
  - With time, observation of improvements in negatives not prescribed antimalarials/no improvement in negatives prescribed antimalarials (but challenge when patients don't return for follow up). Experimentation with changing their practice (convinced some but not others). Some health workers believed that malaria could 'hide' from the tests, e.g. if in the early stages of illness, if the patient has sickle cell, or because the parasite 'hides' in the liver. Some in the presumptive scenario explained the storage or handling of the test could affect its accuracy.
  - Communities of practice influenced health workers – gave confidence in mRDTs for some; for others, gave confidence in clinical diagnosis.
- **Intervention messages:** national guidelines state presumptive treatment of U5s (incongruent with aim of testing)
- **Alternatives treatments for non-malarial fever patients:** health workers described that a common perception was that "in this country everything is malaria"; malaria was considered high prevalence and prescribers feared missing a malaria diagnosis and a patient dying because of this.
  - In some cases, prescribers felt they had no choice but to meet the patient's wishes. Health workers perceived community members held onto the idea that all fever is malaria and preferred a malaria diagnosis; sometimes mistrusting health workers who gave a different diagnosis
  - Patients reported conceptualising mRDTs as a generic test that should result in a diagnosis. The testing process was opaque for patients.
  - Health workers highlighted the importance of communication for patient satisfaction/acceptance of mRDT results. However focus group discussions with patients found limited efforts by health workers to engage patients in the testing process and strong hierarchies leading to a lack of communication.

Related publications

1. Ansah EK, Narh-Bana S, Epokor M, Akanpigbiam S, Quartey AA, Gyapong J, et al. Rapid testing for malaria in settings where microscopy is available and peripheral clinics where only presumptive treatment is available: a randomised controlled trial in Ghana. *BMJ*. 2010;340:c930.
2. Chandler CIR, Whitty CJM, Ansah EK. How can malaria rapid diagnostic tests achieve their potential? A qualitative study of a trial at health facilities in Ghana. *Malaria Journal*. 2010;9(1):95.
3. Ansah EK, Reynolds J, Akanpigbiam S, Whitty CJM, Chandler CIR. "Even if the test result is negative, they should be able to tell us what is wrong with us": a qualitative study of patient expectations of rapid diagnostic tests for malaria. *Malaria Journal*. 2013;12(1):258.

### Nig1: Costs and effects of strategies to improve malaria diagnosis and treatment in Nigeria

Location	Enugu State, Southeast Nigeria
Sector targeted	Government, private pharmacies and patent medicine dealers
Intervention dates	June – December 2011
Timing of evaluation	September – December 2011
Prescriber sample	Nig1/1a: 38 facilities Nig1/1b: 6 facilities Nig1/2a: 38 facilities Nig1/2b: 10 facilities Nig1/3a: 36 facilities Nig1/3b: 9 facilities
Patient sample	Nig1/1a: 1,182 Nig1/1b: 197 Nig1/2a: 1,396 Nig1/2b: 325 Nig1/3a: 906 Nig1/3b: 183
Qualitative data collected from prescribers?	No

#### Background context

The intervention took place in two areas: Enugu (an urban area) and Udi (a rural area). In Udi, 53% of included facilities were public, compared to 9% in Enugu. Malaria was endemic. Patent medicine dealers were a major source of treatment for malaria. Few primary health care facilities offered malaria testing at baseline. Few prescribers knew about mRDTs and test results were not always believed to be accurate. Patient demand for mRDTs was perceived to be low. Formative research in 2009 found antimalarial prescription for febrile patients was high (79%), although the majority were not given ACTs (only 23%). It was common for patients to ask for a specific drug; asking for ACTs was associated with a greater likelihood of receiving them.

## Cases:

Nig1/1a: control arm, Enugu

Nig1/1b: control arm, Udi

Nig1/2a: intervention 1, Enugu

Nig1/2b: intervention1, Udi

Nig1/3a: intervention 2, Enugu

Nig1/3b: intervention 2, Udi

Intervention*Control arm: mRDTs with basic instructions*

mRDTs were supplied free to prescribers by the study (and free to patients in public facilities; private facilities were asked not to sell them for more than US\$0.6). 1-2 prescribers per facility were invited to attend a half-day demonstration on how to use mRDTs, which included practising conducting one test. They also received a copy of the WHO job aid, which shows the steps in using an mRDT. Staff from 77% of included facilities attended the training.

*Intervention arm 1: mRDT with enhanced health worker training*

25-75 mRDTs were supplied free by the study each month; prescribers could request more if they ran out (these were free to patients in public facilities, private facilities were asked not to sell them for more than US\$0.6). 1-2 prescribers per facility were invited to attend a two-day interactive, seminar-style training, covering how to test, appropriate treatment for positive and negative results and effective communication. Those attending were given job aides (e.g. treatment algorithm). In addition, there were monthly supervisory visits with feedback on performance, as well as telephone support.

*Intervention arm 2: mRDT with enhanced health worker training and school-based activities*

In addition to intervention 1, primary and secondary schools were invited to send two teachers each for a 2-day training, who would then train six school children as peer health educators. Various activities would be run in schools and the local community to raise awareness about mRDTs for malaria and that ACTs were the recommended treatment for malaria. There were monthly support visits.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs were supplied through standard mechanism.
<b>Were continuous supplies assured?</b>	mRDTs – yes ACTs – no
<b>Recommended cost of mRDTs to patients</b>	Public sector: free Private sector: RRP US\$0.60 Mean patient-reported price:
<b>Reported cost of mRDTs to patients: Nig1/1</b>	Public facilities: US\$0.00 (0.00 – 2.1) Pharmacy: US\$0.60 (0.60-4.80) Drug store: US\$0.90 (0.30 – 7.20)
<b>Reported cost of mRDTs to patients: Nig1/2</b>	Public facilities: US\$0.00 (0.00 – 1.2) Pharmacy: US\$0.60 (0.60-0.90) Drug store: US\$0.90 (0.30 – 3.00)
<b>Reported cost of mRDTs to patients: Nig1/3</b>	Public facilities: US\$0.00 (0.00 – 0.30) Pharmacy: US\$0.90 (0.60-5.70) Drug store: US\$1.20 (0.30 – 7.20)
<b>Cost of ACTs to patients</b>	not subsidised; price unknown
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Three-arm cluster randomized controlled trial.

Clusters were defined as a geographical community containing at least one facility and one school. Schools and facilities were randomly selected within each cluster to receive the intervention. Up to three schools per cluster were selected. Private and government facilities were selected using probability proportional to size.

Data was collected from project-specific registers, completed by prescribers, as well as exit interviews with all eligible patients, which started three months after the intervention. Patients were eligible if they presented at the facility and they (or their caregiver) reported seeking treatment for fever or suspected malaria. Patients were excluded if they were pregnant, less than 6 months old, or had signs and symptoms of severe malaria.



## Findings

### *Uptake*

*(% of febrile patients not tested with microscopy who were tested with an mRDT)*

Nig1/1a:	25%
Nig1/1b:	46%
Nig1/2a:	20%
Nig1/2b:	37%
Nig1/3a:	12%
Nig1/3b:	51%

### *Adherence to positive mRDT*

*(% of patients testing positive with an mRDT who received ACTs)*

Nig1/1a:	76%
Nig1/1b:	69%
Nig1/2a:	85%
Nig1/2b:	44%
Nig1/3a:	65%
Nig1/3b:	44%

### *Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials)*

Nig1/1a:	56%
Nig1/1b:	57%
Nig1/2a:	65%
Nig1/2b:	70%
Nig1/3a:	27%
Nig1/3b:	82%

### Possible explanatory factors:

- Low motivation to perform well in the intervention** - anecdotal evidence suggested mRDTs were not accepted by prescribers for a range of reasons, such as concern in the private sector that consumers would not consider them legitimate to conduct the tests. mRDTs were viewed as having a negative impact on profits. A higher proportion of facilities in Enugu were private compared to Udi. Private sector prescribers charged more than the recommended retail price for mRDTs, which may have led to less demand for testing in private facilities.

- **Implementation** - less than half the schools in Nig1/3 organised a malaria event, which may explain the lack of difference in effect between Nig1/2 and Nig1/3
- **Poor fit with landscape of care** - anecdotal evidence that prescribers were surprised how many tests were negative and were not convinced of their quality or accuracy

#### Related publications

1. Mangham LJ, Cundill B, Ezeoke O, Nwala E, Uzochukwu BSC, Wiseman V, et al. Treatment of uncomplicated malaria at public health facilities and medicine retailers in south-eastern Nigeria. *Malaria Journal*. 2011;10:155.
2. Ezeoke OP, Ezumah NN, Chandler CC, Mangham-Jefferies LJ, Onwujekwe OE, Wiseman V, et al. Exploring health providers' and community perceptions and experiences with malaria tests in South-East Nigeria: a critical step towards appropriate treatment. *Malaria Journal*. 2012;11:368.
3. Wiseman V, Ogochukwu E, Emmanuel N, Lindsay JM, Bonnie C, Jane E, et al. A cost-effectiveness analysis of provider and community interventions to improve the treatment of uncomplicated malaria in Nigeria: study protocol for a randomized controlled trial. *Trials*. 2012;13:81.
4. Mangham-Jefferies L, Hanson K, Mbacham W, Onwujekwe O, Wiseman V. Mind the gap: knowledge and practice of providers treating uncomplicated malaria at public and mission health facilities, pharmacies and drug stores in Cameroon and Nigeria. *Health Policy & Planning*. 2014.
5. Mangham-Jefferies L, Hansen K, Mbacham W, Onwujekwe O, Wiseman V. What determines providers' stated preference for the treatment of uncomplicated malaria? *Social Science and Medicine*. 2014;104:98 - 106.

## Tanz1: IMPACT 2: Evaluating policies in Tanzania to improve malaria diagnosis and treatment

Location	Tanzania Tanz1/a: Mwanza Tanz1/b: Mbeya Tanz1/c: Mtwara
Sector targeted	Government primary care facilities
Intervention dates	Tanz1/a: February 2011 – (no end date) Tanz1/b: February 2011 – (no end date) Tanz1/c: May 2012 – (no end date)
Timing of evaluation	Tanz1/a: April/May 2012 Tanz1/b: May/June 2012 Tanz1/c: June/July 2012
Prescriber sample	60 health facilities in each case
Patient sample	Tanz1/a: 661 Tanz1/b: 347 Tanz1/c: 519
Qualitative data collected from prescribers?	Tanz1/a: Yes Tanz1/b: Yes Tanz1/c: No

### Background context

Predominantly rural setting. The availability of microscopy and baseline malaria testing levels were higher in Tanz1/c compared to Tanz1/a and Tanz1/b. In addition, awareness of mRDTs was greater at baseline in Tanz1/c, possibly because national roll out of mRDTs had occurred in other parts of Tanzania before reaching there, providing time for awareness to be raised.

### Cases:

Tanz1/a: Mwanza region: moderately high malaria prevalence

Tanz1/b: Mbeya region: low malaria prevalence

Tanz1/c: Mtwara region: moderately high malaria prevalence

### Intervention

Phased national government roll out of mRDTs from 2009 – 2012. Training was the standard, two-day Ministry of Health (MoH) training, covering performing mRDTs (including practical) and prescribing antimalarials. One-two

staff per facility were invited to training and expected to pass information to colleagues.

Following supply of an initial stock of mRDTs to facilities, subsequent supplies could be ordered through standard MoH procedures.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by government. Initial stock supplied, subsequent supplies ordered through standard MoH procedures.
<b>Were continuous supplies assured?</b>	No
<b>Cost of mRDTs/ACTs to patients</b>	Flat rate consultation fee, although some charged extra for diagnostics. There were exceptions, in theory, for some e.g. U5s.
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Observational study, with baseline data collection prior to introduction and one round of data collection at endline. Data was collected through patient exit interviews conducted on one day during daytime operating hours. Patient-held medical records were consulted if patients did not know what testing or treatment they had obtained. All patients with fever or history of fever in the past 48 hours who presented for outpatient care were enrolled in the study, subject to informed consent having been obtained.

All facilities were included in the intervention, as it was a national government roll-out. For this study, facilities were randomly selected for evaluation with probability proportional to malaria outpatient utilization for endline data collection. All patients with fever or history of fever in the past 48 hours who presented for outpatient care were included, subject to informed consent having been obtained.

## Findings

### *Uptake*

(% of patients with history of fever in past 48 hours who had not been tested with microscopy or an unknown test, who were tested with an mRDT)

Tanz1/a: 41%

Tanz1/b: 36%

Tanz1/c: 69%

### *Adherence to positive mRDTs*

(% of patients testing positive with an mRDT who were prescribed or received ACTs)

Tanz1/a: 89%

Tanz1/b: 94%

Tanz1/c: 85%

### *Adherence to negative mRDTs*

(% of patients testing negative with an mRDT who were NOT prescribed or did NOT receive any antimalarials)

Tanz1/a: 90%

Tanz1/b: 85%

Tanz1/c: 96%

### Possible explanatory factors:

- **Stockouts:** there were fewer mRDT stockouts in Tanz1/c; almost half of facilities had stockouts at endline in Tanz1/a and about one quarter in Tanz1/b (where health workers also complained about running out of reagent). 56% of facilities had mRDTs in stock at the endline; about one sixth of facilities had stockouts at endline in Tanz1/c. However this does not explain all of the difference (as there was not 100% uptake when only those facilities with mRDTs in stock were included in the analysis)
- **Staffing** - in Tanz1/b some prescribers mentioned staff shortages, although it was not clear whether these affected the use of mRDTs
- **Goodness of fit with landscape of care** - adherence to negative results was lowest in Tanz1/b, where malaria prevalence was low (it was moderately high in the other two scenarios). Interviewees in Tanz1/b felt it was a challenge that so many tests were negative. This let some to mistrust the tests. Some health workers in Tanz1/a and Tanz1/b didn't trust the test, however this was not universal. Perceptions of health workers in Tanz1/c was not known. Some interviewees in Tanz1/b stated that they gave antimalarials to those testing negative if they had malarial symptoms, if they couldn't

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2  
3 find an alternative diagnosis or if they returned 2-3 days later with the  
4 same symptoms.  
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### 8 Related publications

- 9  
10  
11 1. Bruxvoort K, Kalolella A, Nchimbi H, Festo C, Taylor M, Thomson R, et  
12 al. Getting antimalarials on target: impact of national roll-out of malaria  
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## Tanz2: Targeting ACT drugs: the TACT trial

Location	Northeast Tanzania
Sector targeted	Public
Intervention dates	Tanz2/1: October 2008 – June 2009 Tanz2/2: January 2011 – March 2012 Tanz2/3: January 2011 – March 2012 Tanz2/4: January 2011 – March 2012
Timing of evaluation	Tanz2/1: unclear Tanz2/2: January 2011 – March 2012 Tanz2/3: January 2011 – March 2012 Tanz2/4: January 2011 – March 2012
Prescriber sample	Tanz2/1a: 10 facilities Tanz2/1b: 10 facilities Tanz2/2: 12 facilities Tanz2/3: 12 facilities Tanz2/4: 12 facilities
Patient sample	Tanz2/1a: 3,199 Tanz2/1b: 4,038 Tanz2/2: 9,297 Tanz2/3: 9,825 Tanz2/4: 7,963
Qualitative data collected from prescribers?	Yes

### Cases:

- Tanz2/a1: Pilot intervention, Hai district in Kilimanjaro, low malaria transmission
- Tanz2/b1: Pilot intervention, Handeni district in Tanga, moderate malaria transmission
- Tanz2/2: comparison arm
- Tanz2/3: intervention arm 1
- Tanz2/4: intervention arm 2

### Background context:

#### *Tanz2/1*

No facility had experience of mRDTs or microscopy. Antimalarial drugs and mRDTs were supposed to be free for children under 5 years, pregnant women and the elderly, although this didn't always happen in practice.

#### *Tanz2/2, Tanz2/3, Tanz2/4*

The study districts in Tanga and Kilimanjaro represented one moderate and one low transmission area respectively. Both were predominantly rural but contained one urban area. There was overdiagnosis of malaria, particularly in low transmission areas. mRDTs had been introduced in 2009/2010. At baseline health workers recognised that there had previously been overdiagnosis of malaria and felt empowered by mRDTs. However it was also seen as a source of conflict with patient expectations and had the potential to undermine clinical authority. There was patient demand for mRDTs, because of a desire to have their malaria confirmed.

### Intervention:

#### *Tanz2/1: Pilot study: mRDTs supplied with basic instruction on use.*

Health workers were offered 1 day training on how to use the mRDT and read the result. Antimalarial drug use guidelines were reviewed and laminated job aides provided. mRDTs and associated supplies were made available, with continuous supplies ensured. Research assistants made monthly supervisory visits for evaluation purposes.

#### *Tanz2/2: Comparison arm standard training plus mRDTs and supervision*

Two-day, didactic, Ministry of Health (MoH) training on how to use mRDTs, including practical, as well as mRDT supplies every 4-6 weeks and six-weekly supervisory visits by the study team (to check clinic supplies and reporting). On average all (3) workers from each of the study health facilities attended the training.

#### *Tanz2/3: Intervention arm 1: additional training, feedback & motivational SMS*

In addition to the interventions in the comparison arm, staff were offered three additional 90 minute interactive training workshops, with one session repeated 6-7 months later. These covered:

- Adapting to the change in the diagnosis & management of malaria
- Practice with confidence when using mRDTs: tools to enable change in managing febrile illness
- Sustaining the change in practice

Experimentation with mRDTs was encouraged. In addition, approximately 5 months after training mobile-phone message (SMS) feedback was sent to health workers of their previous month's use of mRDTs (proportion of eligible patients who were tested) and treatment prescribed based on mRDT results (proportion of patients with a negative test treated with an antimalarial drug). Health workers were also sent SMS twice a day for 15 days, with a motivating message on malaria case management alternated with a motivational proverb.

*Tanz2/4: Intervention arm 2: intervention arm 1 plus posters and patient leaflets*

In addition to the interventions detailed in intervention arm 1, facilities were provided with posters and patient leaflets.

<b>Medical supply mechanism</b>	mRDTs supplied study, ACTs through standard mechanisms
<b>Were continuous supplies assured?</b>	Tanz2/1: mRDT supplies assured Tanz2/2-4: No
<b>Cost of mRDTs/ACTs to patients</b>	Tanz2/1: not free Tanz2/2-4: free mRDTs, unknown for ACTs
<b>Who conducted mRDT?</b>	Prescriber

Study design

*Tanz2/1*

Observational study. Facilities were selected for inclusion on the basis of reasonable access (within 1 hour car journey) and being a MoH-approved primary care facility. All patients attending health facility were included in the study. Data was collected from routine facility data records. Exit surveys were conducted with patients two days per week, for four months. It was not stated whether all patients were surveyed or not. The facility data was used in this analysis.

*Tanz2/2-4*

3-arm cluster randomised trial. Primary care dispensaries were eligible for inclusion in the study if they were in receipt of supplies of recommended antimalarial drugs from the MoH, agreed to exclusive use of mRDT for routine diagnosis of first consultations for possible malaria, were accessible by 4-wheel drive throughout the year and data were available on % consultations diagnosed with malaria in 2008 or sooner and treated more than 500 patients for malaria. All patients consulting with a new episode of a non-severe illness

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2  
3 were eligible for inclusion; data collected from exit interviews two days a week.  
4 Exit surveys started 3-6 months prior to the start of the intervention. Data on  
5 mRDT use and results were collected from routine dispensary records. Periods  
6 of stockout were excluded from the analysis.  
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9

### 10 11 Findings:

12 *Uptake (% of patients with fever or history of fever in past 24 hours who were*  
13 *tested with an mRDT):*

14 Tanz2/2: 45%

15 Tanz2/3: 50%

16 Tanz2/4: 59%

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20 *Adherence to positive mRDTs (% of patients testing positive with an mRDT*  
21 *who received ACTs):*

22 Tanz2/2: 79%

23 Tanz2/3: 81%

24 Tanz2/4: 77%

25  
26  
27 *Adherence to negative mRDTs (% of patients testing negative with an mRDT*  
28 *who did NOT receive any antimalarials):*

29 Tanz2/1a: 77%

30 Tanz2/1b: 90%

31 Tanz2/2: 77%

32 Tanz2/3: 92%

33 Tanz2/4: 95%

### 34 35 36 37 38 39 Possible explanatory factors:

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- **Familiarity with testing:** Interviewees in Tanz2/4 commented that they spent a lot of time educating patients; they also reported that patient demand for testing existed before mRDTs. At baseline, patients wanted to be tested. Acceptability grew with time.
  - **Intervention messages:** One interviewee in Tanz2/2 seemed to misunderstand the guidelines or the training as they said they only tested if the patient had had a fever for several days (as if fever is recent, the test won't be positive); they also reported that the training said to diagnose as 'unconfirmed malaria' if an mRDT was negative but the patient had all the signs and symptoms of malaria. Health workers at baseline explained that the IMCI guidelines said to treat fever with antimalarials. In Tanz2/3 some said there were

exceptions to not prescribing antimalarials to mRDT negatives (e.g. if from far and with no other symptoms, or if under 5 years with fever)

- **Staffing:** One interviewee in Tanz2/3 suggested that if they were understaffed and overwhelmed with patients they would not test
- **Goodness of fit with landscape of care:** Mixed opinions on whether to trust mRDT results; some said trust came with time/experience (e.g. when they saw a patient who tested negative recover)  
Some still had doubts e.g. if they don't get many positive results, or because it only tests for one species.  
Health workers in all cases reported increasing patient acceptance over time of non-prescription of antimalarials when tested negative, whereas those at baseline they had reported a lack of acceptance.

### Related publications

1. Chandler, C. I., J. Meta, C. Ponzio, F. Nasuwa, J. Kessy, H. Mbakilwa, A. Haaland and H. Reyburn (2014). "The development of effective behaviour change interventions to support the use of malaria rapid diagnostic tests by Tanzanian clinicians." *Implement Sci* **9**: 83.
2. Cundill, B., H. Mbakilwa, C. I. Chandler, G. Mtove, F. Mtei, A. Willetts, E. Foster, F. Muro, R. Mwinyishehe, R. Mandike, R. Olomi, C. J. Whitty and H. Reyburn (2015). "Prescriber and patient-oriented behavioural interventions to improve use of malaria rapid diagnostic tests in Tanzania: facility-based cluster randomised trial." *BMC Med* **13**(1): 118.
3. Leurent, B., Reyburn H, Muro F, Mbakilwa H, Schellenberg D. (2016). "Monitoring patient care through health facility exit interviews: an assessment of the Hawthorne effect in a trial of adherence to malaria treatment guidelines in Tanzania." *BMC Infectious Diseases* **16**: 59.
4. Hutchinson, E., Reyburn, H., Hamlyn, E., Long, K., Meta, J., Mbakilwa, H., et al. (2015). Bringing the state into the clinic? Incorporating the rapid diagnostic test for malaria into routine practice in Tanzanian primary healthcare facilities. *Glob Public Health*, 1-15.

### Tanz3: Trusting rapid diagnostic tests in Zanzibar

Location	Zanzibar
Sector targeted	Public
Intervention dates	May – July 2010
Timing of evaluation	May – July 2010
Prescriber sample	12 facilities
Patient sample	3,887
Qualitative data collected from prescribers?	Yes, though after the study had been completed (6 study prescribers and 6 other prescribers interviewed in a similar study)

#### Background context

Study took place in two rural districts. Zanzibar's national treatment guidelines from 2009 indicate treatment with antimalarials only upon positive diagnostic test result. mRDTs were scaled up in 2006 (and introduced in some sites two years earlier, in 2004, by MSF). IMCI was revised to include mRDTs in 2009. An earlier study reported high confidence in mRDT results among health workers and patients, as well as acceptance of antimalarials only being prescribed to those with a malarial diagnosis. The authority of the health system was reported to be strong among both health workers and patients.

#### Intervention

Prescribers received 6-11 days IMCI training (depending on whether refresher training or for new health workers), plus one week study-specific training (including good clinical practice, provision of informed consent, performance and interpretation of mRDT according to the manufacturer's instructions).

<b>Medical supply mechanism</b>	mRDTs and ACTs supplied by MoH, with study back up
<b>Were continuous supplies assured?</b>	Yes
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

## Study design

Observational study. Uptake of mRDTs was not assessed.

Five primary health care units and one primary health care centre in each of the two study districts were selected purposively. Facilities were selected to ensure adequate manpower capacity, with at least 2 health workers available per study site during the trial and a balanced geographical distribution. Prescribers were recruited to the study and paid a salary supplement for participating.

Data was collected through prescriber-completed, project specific case record forms. Patients were recruited Mon-Fri 8am to 4pm by the study health worker on duty. Patients were eligible to be included in the study if they were aged 2 months or over and presented at the study sites with fever i.e. 37.5 degrees or higher or history of fever during the preceding 24hrs, and were willing to consent to participate. Patients were excluded and referred in case of any symptoms of severe disease or danger signs. Pregnant women testing positive for malaria were excluded from the current analysis for comparability purposes.

Healthcare workers views about mRDTs were explored through interviews in a later, related qualitative study. This included six study healthcare workers and six other healthcare workers, who had not been involved in the study but had comparable mRDT experiences.

## Findings

100% of patients with positive mRDTs were prescribed ACTs

100% of patients with negative mRDTs were *not* prescribed antimalarials

## Possible explanatory factors:

- **High project control** – study staff (health workers) were paid extra to participate in study; there was daily contact with project team, the study team ensured a continuous supply of mRDTs, ACTs and other medicines, the study only ran (i.e. patients only enrolled) during weekday daytimes.
- **Intervention messaging:** adherence to test results was congruent with Ministry of Health malaria and IMCI guidelines.
- **Good fit with landscape of care** – interviewees were aware that malaria prevalence had declined, as was the general population.  
- health workers had had experience of mRDTs for several years. Trust in mRDTs was high; there was acceptance that not all fever was



1  
2  
3 malaria. There was a culture of high adherence in Zanzibar in general,  
4 with acceptance of Ministry of Health guidelines and interventions.  
5 Health workers didn't seem to rely on clinical diagnosis. Some facilities  
6 had tests for other diseases e.g. urinalysis.  
7

- 8  
9 • **Acceptability of alternative treatments for mRDT negative patients:**  
10 Health workers didn't seem to see malaria as a risk, possibly due to low  
11 malaria prevalence.  
12
- 13 • **Familiarity with testing:** Malaria messaging was widespread in the  
14 community; there was high awareness that malaria had decline and  
15 previous interventions had been successful. Patients accepted the need  
16 for testing prior to treatment. Patient acceptance of adherence to test  
17 results even at baseline; medication (antimalarials, antibiotics,  
18 antipyretics) was free for all study participants.  
19
- 20 • **Motivation to perform well in the intervention:** prescribers were  
21 recruited to participate in the study and paid a salary supplement for the  
22 work. Other malaria research activities have been conducted in the  
23 study area in recent years – the majority of health workers interviewed  
24 had participated in past research studies; the success of previous  
25 interventions increased trust in current intervention.  
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### 31 Related publications

- 32  
33 1. Baltzell K, Elfving K, Shakely D, Ali AS, Msellem M, Gulati S, et al.  
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36 Zanzibar. *Malaria Journal*. 2013;12:37.  
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- 38 2. Shakely D, Elfving K, Aydin-Schmidt B, Msellem MI, Morris U, Omar R,  
39 et al. The usefulness of rapid diagnostic tests in the new context of low  
40 malaria transmission in Zanzibar. *PLoS One*. 2013;8(9):e72912.  
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## Uga1: The PRIME trial: Improving health centres to reduce childhood malaria in Uganda

Location	Tororo, eastern Uganda
Sector targeted	Government primary care
Intervention dates	May 2011 – Apr 2013
Timing of evaluation	May 2011 – Apr 2013
Prescriber sample	10 facilities
Patient sample	81,682
Based on formative research?	Yes, a little
Qualitative data collected from prescribers?	Yes

### Background context

A very rural area with limited infrastructure and very high malaria transmission. Many of the health facilities lacked water and electricity and often had staff shortages and issues of staff turnover. Prior to the intervention, malaria was generally diagnosed clinically, even where microscopy was available (which was only functional in a couple of study facilities). Delivery of supplies, including ACTs, had typically been unpredictable.

mRDTs were introduced nationally at the same time as the study and reached the study site 18 months after the intervention started, with training in November/December 2012. There was a general demand for and acceptance of the idea of testing (not specific to malaria) among prescribers and the public prior to the intervention. However there was also high patient demand for antimalarials. There was a paternalistic and authoritative culture of care.

### Intervention

1. Training health workers in fever case management (FCM) and the use of mRDTs  
This involved a two day training session followed a week later by on-site training in facilities. Training was interactive and included performing and reading an mRDT (including practical), management of a patient with fever and either positive or negative mRDT as well as patient communication. All health workers were invited to attend the training.

2. Training health care workers on patient-centred services, including role plays on how to deal with 'difficult' patients including those negative for malaria one half-day session per week for six weeks
3. Training in-charges in health centre management including how to requisition and account for mRDTs and ACTs using a new system, and how to use the register records to monitor mRDT and ACT use, one half-day session per week for three weeks
4. Ensuring supplies of mRDTs and ACTs

Supervision on FCM only, with feedback, was conducted six weeks and six months after the training. The study team visited facilities initially monthly, then quarterly, for evaluation purposes.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by government, with study back-up supply in case of stock-outs
<b>Were continuous supplies assured?</b>	Yes, both RCTs and ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm cluster randomised controlled trial, with the control arm receiving no additional training or assured supplies (not included in current analysis). Outcome data was collected through cross-sectional community surveys, a cohort study, facility registers completed by prescribers and exit interviews with caregivers of under 5 year olds. The registers were used for the current analysis.

All prescribers were invited to participate in the intervention. All patients visiting facilities were included in the study.

### Findings

According to facility registers, 98% of patients with history of fever in past 48 hours were tested with an mRDT. However these findings differed from other sources of data such as patient exit interviews. Table 1 below shows that the majority of patients seen did not have their fever status recorded and that most of those for whom fever status was recorded, had a fever or history of fever. This suggests that there was recording bias in the registers, which likely overestimate the proportion tested.

Table 1: Variation in mRDT uptake by data source, denominator and age group

mRDT uptake	All ages	U5s
% patients with recorded fever/history of fever, tested with an mRDT according to facility registers (n) <sup>1</sup>	98% (49,778/50,615)	99% (19,317/19,537)
% all patients tested with an mRDT according to facility registers (n) <sup>2</sup>	36% (49,778/139,465)	44% (19,317/43,804)
% patients with a reported fever/history of fever, tested with an mRDT according to exit interview (n)	n/a	68% (475/696)
% all patients tested with an mRDT according to exit interview (n)	n/a	d/k

<sup>1</sup> Note, fewer than half of patients recorded in registers had their fever status recorded. This was a new section of the register, along with the mRDT information, and may only have been completed for patients who went on to be tested. Of those with fever status recorded, 89% of all patients and 95% of under 5 patients were recorded as febrile.

<sup>2</sup> Of children under 5 presenting to health facilities in this area, we can infer from other data that at least 90% will be febrile. This suggests that mRDT uptake is substantially lower in practice than when defined by those recorded as febrile.

According to facility registers, 93% of patients of all ages testing positive with an mRDT were prescribed or received ACTs and 3% of patients testing negative with an mRDT were prescribed or received antimalarials. However according to exit interviews with caregivers of patients under 5 years of age, 77% of those who had a positive reference microscopy reported receiving an ACT and 31% of those who had a negative reference microscopy reported receiving antimalarials.

#### Possible explanatory factors:

- **Mixed motivation to perform well in the intervention** – prescribers viewed the intervention as being implemented by an external organisation, from whom many felt they should have been getting a ‘motivation’, i.e. a financial incentive or gift, for the additional work expected as part of the study.
- **Patient acceptability** – prescribers reported that patients were happy to have blood tests; they appreciated having a diagnosis. They reported that patient numbers increased/patients were coming to the facilities from far away. Patients’ experiences of recovery increased prescribers’ trust.
- **Prescriber acceptability** – prescribers reported feeling that mRDTs enhanced their practice, or helped them to do their job better. It made them proud and was not difficult to do mRDTs.

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- **High coverage of malaria training** - training delivered to almost all staff, 25/28. Supervision provided on-site to trouble-shoot and re-emphasise good practice. Supervision also reached some health workers who had not attended the initial training (e.g. new staff). However, if a staff member had not been trained, they typically did not conduct mRDTs (although they may also not have been recording fever status either).
- **Workload** – prescribers explained that they did not test if the facility was understaffed, particularly if there was only one staff member in the facility, or if they were over-worked, with high patient numbers.
- **Possible data collection bias** - process reports noted some staff and one facility where mRDTs were not done; health workers interviewed explained that in circumstances of high workload and understaffing, or if new staff joined the facility who had not been trained, mRDTs may not be conducted; concerns were also raised in process reports that registers may not be completed accurately; rate of uptake lower when measured by exit interviews for under 5 year olds
- **Trust in mRDTs** - health workers seemed to trust the mRDT's accuracy, possibly due to high mRDT positivity rates.

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## Uga2: Use of rapid diagnostic tests to improve malaria treatment in the community in Uganda

Location	Rukungiri district, Southwest Uganda
Sector targeted	Voluntary community medicine distributors (CMDs)
Intervention dates	June 2010 – December 2011
Timing of evaluation	January – December 2011
Prescriber sample	90 CMDs in 32 villages in both cases
Patient sample	Uga2/a: 897 (low transmission case) Uga2/b: 5,698 (moderate transmission case)
Qualitative data collected from prescribers?	Yes

### Background context

A rural area with dispersed settlements and mountainous terrain. About half the community medicine distributors (CMDs) had previously volunteered to supply antimalarials for the home-based management of fever (HBMF) strategy, although that had ended prior to this study. There were two-three CMDs per village. They had not heard of mRDTs prior to the intervention but viewed them positively. It was recognised that non-malarial fevers were currently untreated and that mRDTs could help address this. CMDs were well integrated into the community, having long term relationships with patients who trusted them. mRDTs had been rolled out in Health Centre IIs in November 2008, although some continued to diagnose presumptively.

### Cases:

Uga2/a: low transmission area

Uga2/b: moderate transmission area

### Intervention

All CMDs were given four days interactive training, covering how to perform an mRDT (including practical), how to prescribe antimalarials, how to deal with negative cases and communication skills. They were also given pictorial job aids. Their role was to test children (3-59 months) for malaria and, if positive, give antimalarials. If and mRDT was negative, they were to refer children with specific symptoms to the health facility or else ask them to go home but return



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3 if they had not recovered in two days. For severe cases, they could give rectal  
4 artesunate without testing, and refer. mRDTs and treatments were provided  
5 free of charge.  
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8 For the first six months of the intervention, CMDs had close supervision, which  
9 was then scaled back for the remainder of the intervention. After this, there  
10 were monthly parish meetings to collect supplies. CMDs were volunteers but  
11 received incentives such as t-shirts, bicycles and a kerosene allowance.  
12 Community sensitisation activities also took place.  
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17 <b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study (collected at monthly parish meetings)
18 <b>Were continuous supplies 19 assured?</b>	Yes, both RCTs and ACTs
20 <b>Cost of mRDTs/ACTs to patients</b>	Free
21 <b>Who conducted mRDT?</b>	Prescriber

### 22 Study design

23  
24 Two-arm cluster randomised controlled trial. The control arm CMDs offered  
25 presumptive treatment of malaria and were not included in the current analysis.  
26 The intervention arm was separated into two cases for the current analysis: an  
27 area of low malaria transmission and an area of moderate-high transmission:  
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30 The evaluation ran for the final year of the intervention, after close supervision  
31 had ended. Data were collected from a project-specific register. Data was  
32 collected on all patients seeking treatment for fever.  
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36 Community members were asked to identify volunteers for the role of  
37 Community Medicine Distributor (CMD) at village meetings. All patients with  
38 fever consulting CMDs were included. The intervention targeted under 5s.  
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## Findings

*Uptake (% of patients who were tested with an mRDT):*

Uga2/a: 97% of patients were tested with an mRDT

Uga2/b: 100% of patients were tested with an mRDT

*Adherence to positive mRDTs*

*(% of patients testing positive with an mRDT who received ACTs):*

Uga2/a: 66%

Uga2/b: 98%

*Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials):*

Uga2/a: 97%

Uga2/b: 99%

## Possible explanatory factors:

- **High motivation to perform well in the intervention** – the CMD role was newly created for this intervention. CMDs reported because of their role, they gained status and respect from the community and parents.
- **Community acceptance** – there were few refusals for testing  
CMDs explained that the community appreciated that the CMDs offered a free service, so it saved them money. The CMDs were nearby, whereas health facilities were far, they were accessible at night when facilities are closed. Parents also liked testing itself because they liked to know if their child had malaria or not.  
However some CMDs explained that a few parents complained that it took time and tests were always negative in Uga2/a, so they preferred the presumptive CMDs (in the control arm of the study). At the same time in Uga2/b, some CMDs reported that children from presumptive villages (i.e. the control arm) came to them for testing. This suggests that the availability of mRDTs affected treatment seeking behaviour (see subsequent point).
- **Self-selecting sample** - the community knew that the CMD's role was to test, so presumably would not visit them unless they wanted their child to be tested (indeed there were far more consultations in the 'presumptive' control arm, particularly in Uga2/a, suggesting there may have been greater demand for antimalarials than for testing, although the population there was also larger. Visits to intervention CMDs were typically delayed longer after the onset of symptoms than in presumptive arms, suggesting that the decision to go for testing may have delayed treatment seeking.
- Some health facilities didn't test, which may have been a further reason to use CMDs.

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3 • **mRDT/ACT supplies** - Although stock outs of mRDTs and ACTs were not  
4 expected and did not appear to be an issue, they were more likely in  
5 Uga2/a than in Uga2/b.  
6
- 7 • **Acceptability to parents/community:** Although there were some cases  
8 when CMDs reported pressure from parents, explaining that they were  
9 forced to give antimalarials, in general there was acceptance that no  
10 antimalarials were given if mRDT results were negative. CMDs reported  
11 that acceptance came with time and experience of recovery without  
12 coartem, or no recovery in spite of coartem, for negative cases. There was  
13 a common understanding that not all fever was malaria. Not giving  
14 antimalarials to children with negative mRDT results may also have been  
15 acceptable to both CMDs and caregivers because their new, specific role  
16 meant that there was no expectation that they would treat all illnesses, or  
17 those that were not malaria. Their new, additional service may also have  
18 been accepted since it did not disrupt or prevent their usual treatment-  
19 seeking. Those wishing to use antimalarials could still have visited their  
20 normal source of treatment if they did not receive the outcome they were  
21 hoping for. Relatedly, some CMDs described situations when some  
22 caregivers weren't happy with negative mRDT results and would go to a  
23 presumptive CMD to get antimalarials if test was negative.  
24
- 25 • **Trust in mRDTs** - CMDs generally reported that they trusted mRDT  
26 results; this trust grew with time and experience. Some CMDs in Uga2/b  
27 did not trust the test, for example when negative cases improved with  
28 coartem. Since they were embedded in the community, it was more likely  
29 that they would be able to get feedback or follow up patients than would  
30 have been the case in health facilities. Some health facilities did not use  
31 mRDTs, which may also have undermined the CMD's and community's  
32 trust in mRDT results.  
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- 43  
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### Uga3: Introducing rapid diagnostic tests in drug shops to improve the targeting of malaria treatment

Location	Mukono, Uganda
Sector targeted	Registered, private drug shop vendors in trading centres and urban areas
Intervention dates	October 2010 – December 2011
Timing of evaluation	January – December 2011
Prescriber sample	9 clusters containing 29 drug shops
Patient sample	8,561
Qualitative data collected from prescribers?	Yes

#### Background context

A mainly rural area with a peri-urban district. High prevalence of malaria. Testing was not the norm for drug shop vendors (DSVs) prior to the intervention and there was a general belief that they could diagnose without testing. However they felt that mRDTs could attract customers and improve their reputation. It was felt that the introduction could lead to a shift from antimalarial prescriber to antimalarial gatekeeper. Prior to the intervention, “mRDTs were not wholly unfamiliar to community members, and importantly that there was a pre-existing perception that not all fevers are malaria, and that diagnostic testing was viewed as potentially helpful in reducing this uncertainty” [4]. However it was believed that but that adhering to negative results would not be acceptable to patients. There was close social proximity between DSVs and their customers – they were trusted. The transactional nature of the relationship between DSVs and their customers gave the latter power in terms of negotiating treatment. Coartem was scarce and expensive prior to the project.

#### Intervention

Four days of interactive training were provided to all DSVs, which covered performing and reading mRDTs (including a practical), prescribing antimalarials, how to deal with mRDT negatives and communicating and negotiating with patients. New DSVs were not given supplies until they had received training. If an mRDT result was negative, DSVs were supposed to refer. Weekly support supervision with feedback was provided for the first two months after training.

The community were sensitised about mRDTs through leaflets distributed by village health teams and roadside placards advertised the availability of testing

at drug shops; some interviewees also mentioned megaphones advertising new testing services.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study (collected from study office)
<b>Were continuous supplies assured?</b>	Yes, both RCTs and ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Subsidised
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm cluster randomised controlled trial. The control arm received no mRDTs and was not included in the current analysis. Evaluation ran for the final 12 months of the intervention, after close supervision had ended. Patients were eligible if they had a fever or history of fever when presenting at the drug shop. Data was collected from a project-specific register completed by the drug shop workers.

### Findings

#### *Uptake*

(% of patients with history of fever in past 48 hours who were tested with an mRDT):

99%

#### *Adherence to positive mRDT results:*

(% of patients testing positive with an mRDT who received ACTs):

98%

#### *Adherence to negative mRDT results:*

(% of patients testing negative with an mRDT who did NOT receive any antimalarials):

99%

### Possible explanatory factors:

- **High motivation to perform well in the intervention** – the intervention enhanced DSVs' status, increasing their perceived legitimacy and professionalism by giving them training, allowing them to test blood and providing visible interactions with the Ministry of Health. DSVs reported that it was good for their business, with more customers visiting their drug shop. Receiving mRDTs and coartem free from the study, which they sold

to customers, also boosted their income further. DSVs also reported that mRDTs improved the care they could offer, that they gained confidence in treating patients and that they simplified their work. They also reported finding mRDTs easy to use.

- **Data collection** - DSVs explained that they were able to sell non-project antimalarials, presumably if a patient demanded them in spite of an mRDT negative result, or if a patient refused to test. These would not have been captured in the project register (and so could have led to bias in the data collection).
- **Fitted well into landscape of care** – although very different from the existing process, prescribers were happy to integrate mRDTs into their consultations. They also reported that patients were happy to be tested, with few refusals. DSVs explained that patient acceptance came with time, but that in general, they liked blood testing per se and they liked to know their diagnosis. There was a common understanding that not all fever was malaria and so they understood needed to test before treatment. Patient acceptability could also be seen by the fact that DSVs reported that the number of patients seen increased, with word of mouth encouraging others to attend. Patients felt that the drug shops were not just selling medicines but providing a service. mRDTs increased their trust and confidence in the DSVs, who were then seen as legitimate part of the health service ('real health workers').

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# BMJ Open

## Improving prescribing practice with rapid diagnostic tests (RDTs): synthesis of ten studies to explore reasons for variation in malaria RDT uptake and adherence

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Complete List of Authors:	<p>Burchett, Helen; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development</p> <p>Leurent, Baptiste; London School of Hygiene and Tropical Medicine, Department of Infectious Disease Epidemiology</p> <p>Baiden, Frank; Ensign College of Public Health, Epidemiology Unit</p> <p>Baltzell, Kimblerly; University of California Berkeley, Departments of Family Health Care Nursing &amp; Global Health Science</p> <p>Bjorkman, Anders; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology</p> <p>Bruxvoort, Katia; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development</p> <p>Clarke, Siân ; London School of Hygiene and Tropical Medicine, Department of Disease Control</p> <p>DiLiberto, Deborah; London School of Hygiene &amp; Tropical Medicine, Department of Clinical Research</p> <p>Elfving, Kristina; Goteborgs Universitet, Departments of Infectious Diseases &amp; Pediatrics, Sahlgrenska Academy; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology</p> <p>Goodman, Catherine; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development</p> <p>Hopkins, Heidi; London School of Hygiene &amp; Tropical Medicine, Department of Disease Control</p> <p>Lal, Sham ; London School of Hygiene and Tropical Medicine, Department for Disease Control</p> <p>Liverani, Marco; Department of Global Health and Development, London School of Hygiene &amp; Tropical Medicine, London, UK.</p> <p>Magnussen, Pascal; university of copenhagen, Centre for Medical Parasitology, Faculty of Health and Medical Sciences</p> <p>Martensson, Andreas; Uppsala Universitet Medicinska fakulteten, Department of Women's and Children's Health</p> <p>Mbacham, Wilfried ; University of Yaounde, Biotechnology Centre</p> <p>Mbonye, Anthony K.; Ministry of Health Uganda, Clinical &amp; Community; School of Public Health , Makerere University, Community and behavioural Sciences</p> <p>Onwujekwe, Obinna; College of Medicine, University of Nigeria Enugu Campus, Health Policy and Economics</p> <p>Roth Allen, Denise; Centers for Disease Control and Prevention</p> <p>Shakely, Deler; Karolinska Institutet, Department of Microbiology, Tumour and Cell biology; Kungälv Hospital, Department of Medicine</p> <p>Staedke, Sarah; London School of Hygiene and Tropical Medicine,</p>



	Department of Clinical Research, Faculty of Infectious and Tropical Diseases Vestergaard, Lasse; Kobenhavns Universitet, Centre for Medical Parasitology; Statens Serum Institut, Department of Infectious Disease Epidemiology Whitty, Chris; London School of Hygiene & Tropical Medicine, Department of Clinical Research Wiseman, Virginia; London School of Hygiene and Tropical Medicine Faculty of Public Health and Policy, Global Health and Development; The University of New South Wales, School of Public Health and Community Medicine Chandler, Clare; London School of Hygiene & Tropical Medicine, Global Health and Development
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**Improving prescribing practices with rapid diagnostic tests (RDTs): synthesis of ten studies to explore reasons for variation in malaria RDT uptake and adherence**

Authors:

Helen E.D. Burchett<sup>1</sup>, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Baptiste Leurent, Department of Infectious Disease Epidemiology, London School of Hygiene and Tropical Medicine, UK

Frank Baiden, Epidemiology Unit, Ensign College of Public Health, Kpong, Ghana

Kimberly Baltzell, Departments of Family Health Care Nursing & Global Health Science, University of California, USA

Anders Björkman, Department of Microbiology, Tumour and Cell biology, Karolinska Institute, Sweden

Katia Bruxvoort, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Siân Clarke, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Deborah DiLiberto, Clinical Research Department, London School of Hygiene & Tropical Medicine, UK

Kristina Elfving, Department of Infectious Diseases, Sahlgrenska Academy, University of Gothenburg, Sweden & Department of Paediatrics, Sahlgrenska Academy, University of Gothenburg, Sweden & Malaria Research, Department of Microbiology, Tumour and Cell biology, Karolinska Institutet, Sweden

Catherine Goodman, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Heidi Hopkins, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Sham Lal, Disease Control Department, London School of Hygiene & Tropical Medicine, UK

Marco Liverani, Department of Global Health and Development, London School of Hygiene & Tropical Medicine, UK

Pascal Magnussen, Centre for Medical Parasitology, Faculty of Health and Medical Sciences, University of Copenhagen, Denmark

1  
2  
3 Andreas Mårtensson, Department of Women's and Children's Health, Uppsala  
4 University, Sweden  
5

6 Wilfred Mbacham, Laboratory for Public Health Research Biotechnologies, The  
7 Biotechnology Center, University of Yaoundé, Cameroon  
8

9  
10 Anthony Mbonye, School of Public Health- Makerere University & Commissioner  
11 Health Services, Ministry of Health, Uganda  
12

13 Obinna Onwujekwe, Department of Pharmacology and Therapeutics, University of  
14 Nigeria Enugu-Campus, Nigeria  
15

16 Denise Roth Allen, Centers for Disease Control and Prevention (CDC), USA.  
17

18 Delér Shakely, Department of Microbiology, Tumour and Cell biology, Karolinska  
19 Institutet, Sweden & Department of Medicine, Kungälv Hospital,  
20 Sweden  
21

22  
23 Sarah Staedke, Clinical Research Department, London School of Hygiene & Tropical  
24 Medicine, UK  
25

26 Lasse S. Vestergaard, Centre for Medical Parasitology, University of Copenhagen  
27 and Copenhagen University Hospital Rigshospitalet, Denmark  
28 & Department of Infectious Disease Epidemiology, Statens  
29 Serum Institut, Denmark  
30  
31

32 Christopher J.M. Whitty, Clinical Research Department, London School of Hygiene &  
33 Tropical Medicine, UK  
34

35  
36 Virginia Wiseman, Department of Global Health and Development, London School of  
37 Hygiene & Tropical Medicine, UK & School of Public Health  
38 and Community Medicine, Australia  
39

40 Clare I.R. Chandler, Department of Global Health and Development, London School  
41 of Hygiene & Tropical Medicine, UK  
42  
43

44  
45 <sup>1</sup>Corresponding author: Helen E. D. Burchett, Department of Global Health and  
46 Development, London School of Hygiene & Tropical Medicine, 15-17 Tavistock  
47 Place, London, WC1H 9SH, UK. Email: helen.burchett@lshtm.ac.uk. Tel: +44 (0)20  
48 7927 2431 Fax: +44 (0)20 7927 2701  
49  
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## Abstract

### *Objectives*

The overuse of antimalarial drugs is widespread. Effective methods to improve prescribing practice remain unclear. We evaluated the impact of 10 interventions that introduced rapid diagnostic tests for malaria (mRDTs) on use of tests and adherence to results in different contexts.

### *Design*

A comparative case study approach, analysing variation in outcomes across different settings.

### *Setting*

Studies from the ACT Consortium evaluating mRDTs with a range of supporting interventions in six malaria endemic countries. Providers were government or non-governmental healthcare workers, private retail sector workers, or community volunteers. Each study arm in a distinct setting was considered a case.

### *Participants*

Twenty-eight cases from ten studies were included, representing 148,461 patients seeking care for suspected malaria.

### *Interventions*

The interventions included different mRDT training packages, supervision, supplies, and community sensitisation.

### *Outcome measures*

Analysis explored variation in: 1) uptake of mRDTs (% febrile patients tested); 2) provider adherence to positive mRDTs (% *Plasmodium falciparum* positive prescribed/given Artemisinin Combination Treatment); 3) provider adherence to negative mRDTs (% *P. falciparum* negative not prescribed/given antimalarial).

### *Results*

Outcomes varied widely across cases: 12-100% mRDT uptake; 44-98% adherence to positive mRDTs; 27-100% adherence to negative mRDTs. Providers appeared more motivated to perform well when mRDTs and intervention characteristics fitted with their own priorities. Goodness of fit of mRDTs with existing consultation and diagnostic practices appeared crucial to maximising impact of mRDTs on care, as did prior familiarity with malaria testing; adequate human resources and supplies; possible alternative treatments for mRDT-negative patients; a more directive intervention approach; and local antimalarial preferences.

### *Conclusion*

Basic training and resources are essential but insufficient to maximise the potential of mRDTs in many contexts. Programme design should respond to assessments of provider priorities, expectations and capacities. As RDTs become established, the intensity of supporting interventions required seems likely to reduce.

**Strengths and limitations of this study**

- This analysis addresses the gap in knowledge around how to change prescribing practices, a key question in the era of resistance to antimicrobial medicines.
- The analysis exploits in-depth data from ten intervention studies connected through the ACT Consortium in order to explore the reasons for variation in trial outcomes.
- A comparative case study approach was used, allowing trends and patterns to be explored across contexts in a way not possible within single studies.
- By analysing studies conducted within a consortium, access to unpublished documents, raw data and qualitative insights from the study teams allowed a deeper understanding of the studies and their contexts than is often found in systematic reviews of published reports.
- The extent of variation across the study arms in terms of context, provider type, intervention content and study design allowed for exploration of a range of factors affecting outcomes, but also created challenges for comparability, necessitating a case study approach.

## Background

The substantial over-diagnosis of malaria as a cause of acute febrile illness has been the focus of global attention in recent years<sup>1-3</sup>, given concerns about the clinical effects of misdiagnoses, the cost of first-line artemisinin-based combination therapies (ACTs) and emerging malaria drug resistance.<sup>4 5</sup> A policy of universal parasitological testing for malaria was introduced by the World Health Organization (WHO) in 2010<sup>6</sup>, aiming to reduce over-prescription of ACTs.<sup>2</sup> Malaria rapid diagnostic tests (mRDTs) have been developed for use in low-resource settings, making parasite-based testing possible where microscopy may not be available or feasible.<sup>4</sup>

Rapid diagnostic tests have been introduced with providers in a range of sectors.<sup>7</sup> However, evidence from evaluations of mRDT introductions show mixed effects; mRDTs do not lead to improved targeting of ACTs if providers do not consistently use the tests or if they ignore test results.<sup>8-12</sup> To maximise their potential for improving prescribing practices, evidence is required of the relative success and challenges of different types of mRDT intervention in different contexts.

This paper presents an analysis of the findings from 10 mRDT intervention studies conducted in Africa and Afghanistan, for which in-depth information was available about interventions, outcomes and contexts. The studies, all from the ACT Consortium, represent a large proportion of the intervention studies on mRDTs recently conducted in areas of ongoing malaria transmission. This analysis aimed to identify how mRDTs can be used to improve prescribing in different contexts by exploring factors influencing providers' use of and adherence to test results and comparing results of interventions in different settings.

## Methods

The ACT Consortium is an international research collaboration involving more than 20 institutions working on a systematic series of 25 studies in 10 countries in Africa and Asia, addressing practical questions in the delivery of malaria treatment.<sup>13</sup> Intervention studies involving mRDTs were conducted in ten sites in six countries. The analysis in this paper focuses on these studies because of the ability it gives to use raw outcome data (allowing comparable outcomes to be calculated), raw data from linked qualitative research, unpublished documentation about intervention content, implementation and contextual information as well as insights from the study teams. This allowed a more detailed and comparable analysis than could be achieved through reliance on publications or quantitative data alone.

This analysis used a comparative case study approach, where each study arm conducted in a distinct setting was considered a case and outcomes were interpreted in terms of the study design, intervention content, implementation and contextual factors.<sup>14</sup> This approach suits investigation of 'how' and 'why'

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3 interventions have an effect and can highlight comparative general trends and  
4 distinct patterns that are not visible in single cases.<sup>15 16</sup> The analysis explored three  
5 outcomes:  
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7 (1) Provider uptake of mRDTs

8 The proportion of patients presenting with fever, or history of fever in past 48  
9 hours (unless specified otherwise), who were tested for malaria with an  
10 mRDT, as reported by the provider or patient.

11 (2) Provider adherence to positive mRDT results

12 The proportion of patients with a positive mRDT result (for *P. falciparum*  
13 malaria), who were prescribed or received an ACT, the first-line drug for non-  
14 severe malaria in all cases, as reported by provider or patient.

15 (3) Provider adherence to negative mRDT results

16 The proportion of patients with a negative mRDT result who were *not*  
17 prescribed, or did *not* receive, any antimalarial as reported by provider or  
18 patient (the effect of negative mRDT results on the use of other treatments,  
19 including antibiotics, in ACT Consortium studies has been presented in a  
20 separate paper).<sup>17</sup>

21 The analysis evaluated the impact of different interventions to introduce mRDTs in  
22 different contexts. Twenty-eight cases (i.e. distinct settings or intervention arms) from  
23 the ten studies were included, with a total of 148,461 patients (see table 1). Twenty  
24 cases from seven studies analysed mRDT uptake, 24 cases from nine studies  
25 evaluated provider adherence to positive mRDT results and all 28 cases analysed  
26 provider adherence to negative mRDT results.  
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Table 1: Cases included in analysis

Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Afgh1	Strategies for expanding access to quality malaria diagnosis in south-central Asia where malaria incidence is low	Afghanistan	Government primary care providers	Afgh1/a: training; patients individually randomised to receive either mRDT or established microscopy, Eastern province	18-20
				Afgh1/b: training; patients individually randomised to receive either mRDT or recently introduced microscopy, Northern province	
				Afgh1/c: training; patients individually randomised to receive either mRDT or clinical diagnosis (no microscopy available), Northern province	
Cam1	Cost-effectiveness of interventions to support the introduction of malaria rapid diagnostic tests in Cameroon	Cameroon	Government and mission primary care providers (in hospitals and primary care)	Cam1/a1: basic training, Bamenda	21-27
				Cam1/b1: basic training, Yaounde	
				Cam1/a2: enhanced training, Bamenda	
				Cam1/b2: enhanced training, Yaounde	
Ghan1	How the use of rapid diagnostic tests influences clinicians' decision to prescribe ACTs	Ghana	Government primary care providers	Ghan1/a: training; patients individually randomised to receive either mRDT or microscopy	28-30
			Government and private primary care providers	Ghan1/b: training; patients individually randomised to receive either mRDT or clinical diagnosis	

<sup>1</sup> The initial letters refer to the study country, the first number refers to the (country-specific) study number, the subsequent letter refers to the specific context if a study took place in multiple geographical or epidemiological settings and the final number refers to the intervention arm.



Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Nig1	Costs and effects of strategies to improve malaria diagnosis and treatment in Nigeria	Nigeria	Government primary care providers, private pharmacies and private medicine dealers	Nig1/a1: basic training, Enugu	27 31-34
				Nig1/b1: basic training, Udi	
				Nig1/a2: enhanced training, Enugu	
				Nig1/b2: enhanced training, Udi	
				Nig1/a3: enhanced training + school activities, Enugu	
Tanz1	IMPACT 2: Evaluating policies in Tanzania to improve malaria diagnosis and treatment	Tanzania	Government healthcare providers (in hospitals and primary care)	Tanz1/a: standard MoH <sup>2</sup> training, Mwanza, moderate transmission	35
				Tanz1/b: standard MoH training, Mbeya, low transmission	
				Tanz1/c: standard MoH training, Mtwara, moderate transmission	
Tanz2	Targeting ACT drugs: the TACT trial	Tanzania	Government primary care providers	Tanz2/a1: pilot study, low transmission	36-38
				Tanz2/b1: pilot study, moderate transmission	
				Tanz2/2: basic training	
				Tanz2/3: enhanced training	
Tanz3	Effectiveness of malaria rapid diagnostic tests in fever patients attending primary health care facilities in Zanzibar	Tanzania	Government primary care providers	Tanz2/4: enhanced training + patient sensitisation	39 40
				Tanz3: enhanced training, Zanzibar	

<sup>2</sup> MoH – Ministry of Health

Study	Study Name	Country	Providers targeted	Cases <sup>1</sup>	Published results
Uga1	The PRIME trial: Improving health centres to reduce childhood malaria in Uganda	Uganda	Government primary healthcare providers	Uga1: training, Tororo	41-44
Uga2	Use of rapid diagnostic tests to improve malaria treatment in the community in Uganda	Uganda	Community health volunteers	Uga2/a: training, low transmission	45
				Uga2/b: training, moderate transmission	
Uga3	Introducing rapid diagnostic tests in drug shops to improve the targeting of malaria treatment	Uganda	Private drug shop vendors	Uga3: training, Mukono	46-51

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3 The studies took place between 2007 and 2012. Studies were either individual- (n=2)  
4 or cluster-randomised controlled trials (n=6); observational (n=2) or pre-/post-  
5 intervention studies (n=1) (Tanz2 used different designs in their pilot and main study,  
6 so n=11). Providers targeted were government or non-governmental healthcare  
7 workers, private retail sector workers, or community health volunteers. Six studies  
8 took place in East Africa, three in West Africa<sup>Cam1,Nig1,Ghan1</sup> and one in south-central  
9 Asia<sup>Afgh1</sup>. One focused only on children under five years<sup>Uga2</sup>; the rest included  
10 children and adults. See supplementary file 1 for more detailed information about  
11 each study.  
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14 All the interventions included basic training on malaria testing with RDTs for  
15 healthcare providers, however the content, duration and approach varied. Some  
16 interventions included additional activities and materials such as extra training,  
17 supervision and feedback, patient information leaflets or school-based activities (see  
18 table 2 and supplementary file 1).  
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21 Three studies compared different training packages<sup>Nig1,Cam1,Tanz2</sup>. Six studies  
22 compared intervention effects in different epidemiological  
23 contexts<sup>Uga2,Tanz1,Nig1,Cam1,Afgh1,Ghan1</sup>. Seven studies evaluated an intervention against  
24 a control arm where mRDTs were not made available<sup>Uga1,Uga2,Uga3,Nig1,Cam1,Afgh1,Ghan1</sup>.  
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### 27 **Comparability of findings**

28 Although the study designs were co-designed and largely similar, because of  
29 differences in primary study questions and differences in epidemiology, data  
30 collection methods and evaluation timing, mean pooled analyses would be  
31 inappropriate. For example, mRDT uptake was reported through provider-completed  
32 registers in some projects and patient exit interviews in others. Some studies  
33 reported adherence in terms of the percentage of patients *prescribed* ACTs or  
34 antimalarials, whilst others reported the percentage of patients who *received* them.  
35 Stockouts may have affected receipt of medication; whether prescriptions were  
36 affected is unknown, as alternative medication may or may not have been offered  
37 when there was a known stockout. The analysis presented therefore focuses on  
38 understanding the reasons for variation in the results, rather than seeking pooled  
39 point estimates.  
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Table 2: Intervention content

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Afgh1/a	One and a half days training, following the national training package. This covered performing mRDTs (most, but not all, practiced testing) and prescribing antimalarials.	None	mRDTs supplied by study	None
Afgh1/b				
Afgh1/c				
Cam1/a1	One day, didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment.	Monthly	mRDTs and ACTs supplied by study	None
Cam1/b1				
Cam1/a2	One day, didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment. Interactive two day training on adapting to change (focused on WHO malaria treatment guidelines), professionalism, and effective communication.	Monthly	mRDTs and ACTs supplied by study	None
Cam1/b2				
Ghan1/a	Two day training about the sensitivity and specificity of mRDTs, alternative causes of febrile illness and the Ghana national guidelines (which indicated presumptive treatment for children who are less than five years old).	None, but study team were present	mRDTs supplied by study	None
Ghan1/b				
Nig1/a1	Half day demonstration on how to use mRDTs, which included practising conducting one test. They also received a copy of the WHO job aid, which shows the steps in using an mRDT.	None	mRDTs supplied by study	None
Nig1/b1				

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Nig1/a2	Same as Nig1/1, plus: Two day interactive, seminar-style training, covering how to test, appropriate treatment for positive and negative results and effective communication. Those attending were given job aides (e.g. treatment algorithm).	Monthly	mRDTs supplied by study	None
Nig1/b2				
Nig1/a3	Same as Nig1/2	Monthly	mRDTs supplied by study	Yes (school-based activities)
Nig1/b3				
Tanz1/a	Two day training (standard MoH), covering performing mRDTs (including practical) and prescribing antimalarials.	Routine MoH supervision only	mRDTs supplied by MoH	None
Tanz1/b				
Tanz1/c				
Tanz2/a1	One day training on how to use the mRDT and read the result. Antimalarial drug use guidelines were reviewed and job aides provided.	None	mRDTs supplied by study	None
Tanz2/b1				
Tanz2/2	Two day, didactic, MoH training on how to use mRDTs, including practical.	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	None

Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Tanz2/3	Same as Tanz2/2, plus: Three additional 90 minute interactive training workshops, with one session repeated 6-7 months later. These covered: adapting to the change in the diagnosis & management of malaria; practice with confidence when using mRDTs: tools to enable change in managing febrile illness; sustaining the change in practice. Training on communication skills was included.	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	SMS feedback on own mRDT uptake and adherence at 5 months Twice daily motivational SMS for 15 days
Tanz2/4	Same as Tanz2/3	Six-weekly, focused on supplies and reporting	mRDTs supplied by study	SMS feedback on own mRDT uptake and adherence at 5 months Twice daily motivational SMS for 15 days. Patient leaflets and posters
Tanz3	Six to eleven days IMCI training (depending on whether refresher training or for new health workers) which included malaria diagnosis and treatment, plus one week study-specific training (including good clinical practice, provision of informed consent, performance and interpretation of mRDT according to the manufacturer's instructions). One day of the IMCI training focused specifically on malaria. Training covered communication skills.	None	mRDTs and ACTs supplied by MoH, with study back up in case of stock-outs	IMCI training, additional study salary for providers
Uga1	Two day training session followed a week later by on-site training in facilities. Training was interactive and included performing and reading an mRDT, management of a patient with fever and either a positive or negative mRDT as well as patient communication. All health workers were invited to attend the training.	Supervision at 6 weeks and 6 months	mRDTs supplied by MoH, with study back up in case of stockouts	Training on patient-centred services; training in-charges in health centre management
Uga2/a	Four day interactive training, covering performing and reading an mRDT, how to prescribe antimalarials, how to deal with negative	Close supervision for	mRDTs and ACTs supplied by study	Community sensitisation

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Scenario	mRDT/malaria training	Supervision	mRDT/ACT supplies	Other intervention activities
Uga2/b	cases and communication skills. Providers were also given pictorial job aides.	first six months (prior to evaluation)		
Uga3	Four day of interactive training were provided to all drug shop vendors, which covered, covering performing and reading mRDTs, prescribing antimalarials, how to deal with mRDT negatives and communicating and negotiating with patients.	Close supervision for first two months (prior to evaluation)	mRDTs and ACTs supplied by study	Community sensitisation

For peer review only



Quantitative outcome data were extracted from each study's raw dataset and re-analysed to maximise comparability across studies, using the most comparable denominators and numerators possible. Study, intervention and context characteristics were extracted from published and unpublished documents. Where available, thematic content analysis was undertaken on qualitative data from providers involved in the studies (i.e. focus group discussions<sup>Uga2,Uga3</sup> or interviews<sup>Afgh1,Ghan1,Tanz1/a,Tanz1/b,Tanz2,Uga1</sup> with health workers, drug shop vendors or volunteers). In Tanz3, interviews from a later, related study were analysed, which included six study providers and six similar providers who had not been involved in the study but had comparable mRDT experiences.

The analysis drew on the approaches informing Intervention Component Analysis (ICA)<sup>52</sup> and Qualitative Comparative Analysis (QCA),<sup>53</sup> which seek to identify critical features of interventions. As with ICA, we sought to identify how interventions differed from one another and then, as with QCA, identify which factors appeared to be important. Our initial stage involved gathering as much information about the interventions as possible, going broader than the ICA approach by also capturing information about their delivery and context. However our analysis differed from ICA and QCA, which attempt to characterise and apply scores to interventions and their characteristics and cross-tabulate these with outcomes. We found our data were not amenable to scoring in a quantitative sense, due to wide variation in the extent and types of information available. Therefore our analysis was qualitative, using a meaning-based approach. Tables were created for each outcome of interest, with explanatory factors relating to the intervention, context and study design (see supplementary file 2 for an example). These were shared with study teams and the ACT Consortium core scientific team, with ongoing discussions about the findings and other potential explanatory factors.

## Results

There was wide variation across cases in all three outcomes: 12-100% mRDT uptake (figure 1a); 44-98% adherence to positive mRDTs (figure 1b); 27-100% adherence to negative mRDTs (figure 1c). All outcomes were universally high in some cases<sup>Uga1,Uga2/b,Uga3</sup> and universally low in others<sup>Nig1/a1,Nig1/a3</sup> but in many cases the three outcomes did not correspond – for example, testing was infrequent but adherence to results high<sup>Tanz1/a,Tanz1/b,Tanz2/3</sup> or adherence to positives high, but negatives low<sup>Ghan1/a,Ghan1/b,Cam1/a1,Cam1/b1</sup>, or vice versa<sup>Uga2/a,Nig1/b3</sup>.

*Figures 1a, 1b and 1c here*

There were no single factors which alone accounted for any of the outcomes; successful mRDT uptake and adherence appeared to result from a combination of context and intervention characteristics. The analysis identified several factors which, taken together, may account for the heterogeneity observed. The appeal of

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3 the intervention to providers was crucial for all three outcomes, but each was  
4 additionally shaped by other factors.  
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### 8 ***Factors affecting mRDT uptake***

9 There was wide variation between cases in the use of mRDTs for febrile patients  
10 (see figure 1a). As well as providers' motivation to perform well in the intervention,  
11 other factors associated with uptake were familiarity with testing, adequate human  
12 resources and supplies, and the cost of mRDTs.  
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### 15 ***Motivation to perform well in the intervention***

16 The range of sectors and contexts in which providers worked meant that their own  
17 priorities varied between cases. For example government health workers' priorities  
18 may have included some or all of the following: treating ill patients, managing their  
19 workload in the light of staff shortages, managing (or 'rationing') their medicine  
20 supplies in the face of future shortages, maintaining their position of authority as a  
21 clinician. In contrast, whilst private providers may also have prioritised treating ill  
22 patients, some viewed their role as more of a business than a health care service. As  
23 such, their priorities may have been more business-oriented, such as making a profit  
24 and ensuring sufficient customers.  
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28 Data on provider priorities were not available for all cases; for some, qualitative data  
29 were available but for others, anecdotal evidence and study team perceptions were  
30 utilised. Nevertheless, where the intended use of mRDTs and associated  
31 intervention activities aligned well with providers' own priorities, they appeared more  
32 motivated to participate and 'perform' well in the intervention, and we observed  
33 higher uptake and adherence. There were a number of explanations for, and/or  
34 factors associated with, higher motivation but political and financial support were  
35 often critical. For example in Tanz2, carefully developed messages addressing  
36 existing provider principles and practices, as well as Ministry of Health branding of  
37 the intervention (an institution known to influence the government health workers in  
38 this setting), appeared to motivate providers. In Uga3, the drug shop vendors were  
39 previously not permitted to offer testing and this new service, along with the  
40 associated training, supervision and visible involvement of the Ministry of Health,  
41 gave them a legitimacy they had previously lacked.<sup>48</sup> These vendors also reported  
42 increased customer numbers and associated profits, enhanced by the study's free  
43 provision of mRDTs and ACTs for them to sell at a subsidised rate. In Tanz3,  
44 government providers were paid a supplement to participate in the study. Additional  
45 unintentional aspects of studies, such as regular visits or perceived support from  
46 evaluators, may have also helped to improve outcomes<sup>Uga3, Tanz2 38</sup>.  
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53 By contrast, where mRDT interventions were not aligned with provider priorities, we  
54 saw lower uptake and adherence. For example, in Nig1 in the private sector,  
55 providers saw themselves more as vendors than healthcare practitioners. Here,  
56 there were anecdotal reports that they were particularly concerned about losing  
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3 money from sales if mRDT results were negative and wondered whether the public  
4 would consider them legitimate to test. This was the case in spite of the free  
5 provision of RDTs to providers by the study team. When providers viewed the  
6 intervention as extra unpaid work (e.g. conducting tests or recording tests) this  
7 affected their motivation. In Uga3 some drug shops declined to participate in the trial  
8 for this reason and in Uga1 some health facilities hesitated to continue participating  
9 when they felt the work was too much without remuneration. Here, a misalignment  
10 between the providers' priorities and the intentions of the intervention led to a lack of  
11 motivation for providers to perform in line with guidelines.  
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### 14 *Familiarity with testing*

15 In most cases there was little prior experience of malaria testing, either using mRDT  
16 or microscopy. Although patients were generally keen to be tested for malaria, it was  
17 not typically part of providers' routine habits to test. In cases where testing had  
18 become part of the established process of care, mRDT uptake tended to be higher.  
19 For example in Tanz1/c, mRDTs had already been scaled up in other districts in  
20 recent years and at baseline there was substantial microscopy testing, unlike the  
21 other two cases in this study where uptake was lower<sup>Tanz1/a,Tanz1/b</sup>. Wide-scale public  
22 awareness of testing may have facilitated uptake, for example in Cameroon, where  
23 mass communication campaigns coincided with the study<sup>Cam1</sup>, which saw an  
24 increase in malaria testing in all study arms from baseline.<sup>23</sup> Some interventions  
25 incorporated local community sensitisation activities to increase  
26 familiarity<sup>Uga2,Uga3,Tanz2/4,Nig1/3</sup>, although this appeared insufficient on its own to ensure  
27 high uptake.  
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### 36 *Adequate human resources and supplies*

37 Where staff workload was high, or patient numbers exceeded capacity, particularly in  
38 small facilities with only one staff member, mRDTs were not always used<sup>Uga1,Tanz2/1</sup>.

39 There were adequate stocks of mRDTs in facilities in most studies, in several cases  
40 due to study provision of additional supplies to avert stock-outs. However stock-outs  
41 did occur in some studies<sup>Cam1,Tanz1,Tanz2</sup>, which was associated with lower uptake to  
42 some extent. Nevertheless, even when mRDTs were available, they were not always  
43 used, suggesting other factors were also influential.  
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### 49 *Cost of mRDTs to patients*

50 In most studies, mRDTs were provided free to patients. In those cases where  
51 providers were permitted to charge patients for mRDTs, higher prices may have  
52 affected their uptake. For example in Nig1, where mRDT uptake was among the  
53 lowest observed, patients were charged more than the recommended price on  
54 average, particularly in the private sector.  
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### ***Factors affecting adherence to positive mRDT results***

ACTs were not consistently prescribed to patients with positive mRDT results (see figure 1b). Given the expectation for antimalarial overuse based on previous data, this finding was not anticipated and reasons for low adherence to positive results were therefore not explicitly explored during the studies. However, some explanatory factors driving this outcome did emerge, in addition to the motivation to perform well in the intervention (discussed above). These were the stability of ACT supplies and local preferences for different types of antimalarial.

#### ***Stability of ACT supplies***

Stock-outs of ACTs were associated with variation in adherence to positive mRDT results, however, this could not explain all the variation. In some cases ACT use was relatively low despite no or few stock-outs, whereas in others, use was high despite stock-outs occurring. It may be that provider confidence in the stability of ACT supplies also influenced the use and rationing of ACTs, even when ACTs were available. For example, in Tanz2, lower rates of adherence to positive mRDTs were observed in the case where stock-outs were most frequent<sup>Tanz2/4</sup>, even after periods of stock-outs were excluded from the analysis.

#### ***Pre-existing antimalarial preferences***

Information on pre-existing antimalarial preferences was gathered from baseline and pre-intervention surveys,<sup>33 49</sup> interview transcripts<sup>Tanz1</sup> and unpublished reports,<sup>54</sup> although no data were available for five studies<sup>Afgh1,Ghan1,Tanz3,Uga1,Uga2</sup>. The data also suggest an association between use of ACTs for positive mRDTs and baseline preferences for, or use of, ACTs rather than other antimalarials. For example, in Nig1, where ACT use was generally low, prior to the intervention other antimalarials were asked for by patients, prescribed and purchased more commonly than ACTs.<sup>31</sup> By contrast, in Tanz1, where adherence to RDT positive results was higher, according to stakeholder interviews, ACTs were patients' preferred antimalarial. This may have been due to greater exposure to community sensitisation around ACTs<sup>55</sup> or cultural norms around provider authority such that patients felt more inclined to change their preferences in the light of providers' guidance than was the case in Nigeria. An alternative explanation relates to the different roles of the public sector in these countries and therefore the different influence that the choice of official first line medicines has on preferences. For example in Tanzania, public facilities are much more widely used than they are in Nigeria, so people will have become used to the idea of ACTs. In Nigeria, the public sector is a more limited provider, so making a drug officially first line may have much less effect on preferences.

### **Factors affecting adherence to negative mRDT results**

There was also wide variation in the proportion of patients prescribed or given antimalarials in spite of negative mRDT results (see figure 1c). In addition to being motivated to perform well in the intervention (discussed above), the analysis suggests adherence to negative mRDTs was also driven in part by the extent to which mRDTs fitted – or were helped by intervention activities to fit – into the existing landscape of care (existing diagnostic and consultation practices). This included providers' perceptions of the role of mRDTs in the diagnostic process and possibilities for alternative diagnoses and treatment. In addition, the analysis suggests that adherence was affected by the extent to which the interventions attempted to control clinical practice.

Malaria tests were usually the only diagnostics available in study facilities. In most cases, test-based malaria diagnosis required a substantial shift from reliance on clinical judgement. In a minority of cases this shift had already begun before the evaluation started, e.g. in Tanzania and Zanzibar where mRDT introductions had begun nationally<sup>Tanz1,Tanz3</sup>, or where malaria testing using microscopy was established<sup>Afgh1/a,Afgh1/b,Tanz1/c</sup>. Here, mRDTs appeared to fit into the landscape of care more easily and adherence to negative mRDT results was higher. Where testing was new and did not fit into the landscape of care so well, even if mRDT use was attractive, adhering to negative results appeared more difficult<sup>Afgh1/c,Cam1,Ghan1,Nig1</sup>.

Two factors appeared to facilitate integration of mRDTs into the landscape of care: providers' perceptions of the role of mRDTs in the diagnostic process and whether alternative management of illnesses, not involving antimalarials, was possible for those with negative mRDT diagnoses.

#### *Perceived role of mRDTs in diagnostic process*

Two main factors influenced providers' perceptions of the role of mRDTs within the process of malaria diagnosis: how well mRDTs fitted with the dynamic of consultations and whether the mRDT results matched their expectations.

In some cases, providers saw mRDTs as central to the diagnostic process. For example, community health volunteers in Uga2, whose adherence was very high, described the mRDTs as working as 'a judge', and drug shop vendors in Uga3 saw taking blood as crucial to their enhanced role. Conversely, some providers felt clinical judgement should play a more important role in making a diagnosis than mRDTs. Qualitative data suggested that where mRDTs challenged clinicians' expertise and disrupted traditional consultation practices, this led to lower adherence to negative results<sup>Afgh1,Ghan1,Tanz2/1</sup>. By questioning the test's accuracy, providers were able to reassert their authority and manage the consultation as usual.<sup>18 56</sup>

Some interventions aimed to help mRDTs 'fit' with the dynamics of consultations. For example, training included role-play activities or reflections about how mRDTs would work in practice<sup>Cam1/2,Uga1,Uga3</sup>, experimentation<sup>Tanz2/3. Tanz2/4</sup> and reflection facilitated



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3 by multiple training and feedback sessions with peers<sup>Cam1/2, Tanz2/3, Tanz2/4, Uga1, Uga2, Uga3,</sup>  
4 and training on communicating with patients<sup>Cam1/2, Nig1/2, Tanz2/3, Tanz2/4, Uga1, Uga 2, Uga3</sup>  
5 Providers reported positive impressions of the training's impact on their interactions  
6 with patients including the importance of talking to patients and explaining the need  
7 for mRDTs or the meaning of their results<sup>Ghan1, Tanz2/1, Tanz2/3, Tanz1/a, Uga2</sup>  
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10 In some cases, mRDT results did not match expectations; typically fewer mRDTs  
11 were positive than had been expected, particularly when the tests were first  
12 introduced<sup>Uga3, Tanz2/4, Ghan, 1/2</sup>. When this happened, providers placed less emphasis on  
13 mRDTs in the diagnostic process, preferring to rely more heavily on clinical  
14 judgement. For example, in Cam1/a1 mRDT positivity rates were just 9%, despite  
15 the local perception that malaria prevalence was high in that area. Several  
16 interviewees from different cases explained that it was hard to trust mRDTs when so  
17 many results were negative<sup>Ghan1/b, Nig1, Tanz1/b, Tanz2/4, Uga3</sup>, or that they only trusted them  
18 once they had seen some positive mRDT results<sup>Uga2, Tanz2/4</sup>. Providers described a  
19 fear of missing malaria diagnoses, particularly when the frequency of positive results  
20 was lower than expected, and this was associated with lower  
21 adherence<sup>Ghan1/1, Ghan1/2, Tanz1/b</sup>. By contrast, providers in Tanz3, where adherence to  
22 negative mRDTs was high, appeared less concerned about malaria, recognising that  
23 prevalence had declined. Some interventions explicitly aimed to raise awareness of  
24 current malaria epidemiology during training<sup>Tanz2/3, Tanz2/4, Uga1</sup> in order to (re)set  
25 expectations of mRDT positivity rates; this was also associated with higher  
26 adherence to negative results.  
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32 In several cases, providers reported that their trust in mRDTs grew over time<sup>Tanz3,</sup>  
33 <sup>Tanz2/2, Tanz2/3, Uga3</sup>. Some described deliberate 'experimentation' to build trust in  
34 results, either by testing with microscopy as well as mRDTs<sup>Afgh1</sup> or by seeing whether  
35 mRDT negative patients recovered without antimalarials<sup>Ghan1, Uga2</sup>. Indeed in one  
36 study this was explicitly encouraged<sup>Tanz2/3, Tanz2/4</sup>. Conversely, some providers'  
37 accounts showed mistrust of mRDTs was reinforced by experiences of seeing  
38 patients, or indeed themselves, recover when taking antimalarials in spite of a  
39 negative mRDT result<sup>Uga2/b, Ghan1/a</sup>. Patient follow-up was considered another useful  
40 means of building trust<sup>Uga2, Ghan1/b</sup>. Two interventions aimed to increase the perceived  
41 role of mRDTs by providing information about mRDTs' sensitivity and  
42 specificity<sup>Tanz1, Tanz2/3, Tanz2/4</sup> 36  
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### 49 *Alternative treatments for non-malarial fever patients*

50 Interventions offered different options for dealing with mRDT-negative patients (as  
51 mentioned above, data on the use of alternative treatments are presented in a  
52 separate paper). It appeared that expectations and options for alternative  
53 management of negative cases – in terms of providers' role, knowledge of case  
54 management and availability of other medicines – was important in antimalarial  
55 prescribing to mRDT-negative patients. In the public facility interventions where  
56 detailed guidance was given to aid alternative diagnoses<sup>Uga1, Tanz2, Tanz3</sup>, adherence  
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was higher than in public facilities where no substantial guidance was provided<sup>Ghan1,Afgh1</sup> or where it was recommended that providers only offer antipyretics to mRDT-negative patients<sup>Nig1/2,Nig1/3</sup>. At the community level, where volunteer providers were not expected (or permitted) to provide medicines beyond antimalarials<sup>Uga2</sup>, adherence to negative results was high. In private shops in Uganda, where no training on non-malarial febrile illness management was provided, adherence to mRDT-negative results was still high in terms of ACT prescription, although here mRDT-negative patients ended up being sold other medicines<sup>Uga3</sup>.

### *Directive intervention approach*

Some interventions were more directive about provider practices, particularly regarding the use of unambiguous guidance and supervision or surveillance.

Adherence was typically higher if interventions instructed that no antimalarial should be given to those with negative mRDT results<sup>Uga1,Uga2,Uga3,Tanz3</sup>. By contrast, adherence was lower when an intervention allowed exceptions for when antimalarials could be given in spite of a negative result, e.g. if a febrile patient was under five years and had travelled a long distance to seek care<sup>Afgh1,Tanz2/2,Cam1</sup>.

The highest adherence was observed among providers who had been closely supervised – either for an intense period after training<sup>Uga2,Uga3</sup> or throughout the evaluation period<sup>Tanz3</sup>. Providers receiving feedback by text message experienced these as a form of surveillance, and reported responding by feeling they should follow guidelines even if their clinical judgement was at odds with this<sup>Tanz2/3,Tanz2/4</sup>.

### **Discussion**

This analysis addresses the persisting gap in knowledge around how to change prescribing practices. This is a key question in this time of international concern over resistance to antimicrobial medicines, with the imperative to optimize medicine use agreed upon by United Nations signatories.<sup>57 58</sup> By analysing in-depth data from 10 co-designed intervention studies from the ACT Consortium, we identify factors affecting the uptake of mRDTs and adherence to test results in different contexts. The varied findings suggest that to improve prescribing through mRDTs, interventions must go beyond basic training in mRDT use and must be tailored to the needs of providers in particular contexts. Uptake and adherence were highest where providers were motivated by the intervention and the tests fitted with the landscape of care. Intervention characteristics that aligned mRDTs with provider priorities included interactive training that addressed how to manage test-negative patients in practice, including both clinical and interpersonal aspects of care. Where malaria endemicity is overestimated locally, experimentation and feedback on frequent test-negative cases was important. A directive approach supported by feedback or supervisory instruction can yield high adherence to guidelines but may affect patient-



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3 centred care. The results suggest that as RDTs become established, the intensity of  
4 supporting interventions required is likely to reduce.  
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6 A strength of this analysis was its use of rich data sources which enabled a more in-  
7 depth and comprehensive analysis. Although additional insights may have emerged  
8 from inclusion of a wider set of studies, synthesising findings from published  
9 healthcare interventions is often challenging, with diverse and poorly described  
10 interventions, contexts and methods.<sup>59 60</sup> Nevertheless, our analysis was limited by  
11 the fact that not all included studies were able to provide information on all  
12 characteristics of interest while for other characteristics (e.g. year and duration) there  
13 was too much variation to identify any patterns. While study samples were generally  
14 sizeable, in some cases where testing rates and/or malaria prevalence were low, the  
15 denominator for adherence outcomes was small. With one exception, where a  
16 government mRDT policy was evaluated<sup>Tanz1</sup>, all of the evaluated interventions in this  
17 analysis were instigated by the study teams. As such there may be aspects of the  
18 interventions, such as RDT supply sources and costs to providers, which may not  
19 apply at scale.  
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24 Previous studies have identified capacity issues as important in mRDT  
25 implementation, such as staffing levels or overworked staff,<sup>9 12 61-65</sup> mRDT or ACT  
26 supplies,<sup>9 12 62-66</sup> and providers' confidence in mRDT results.<sup>12 62-67</sup> Our synthesis  
27 shows that beyond these issues, the introduction of the tests had to *make sense* in  
28 context. Some interventions in our analysis additionally included a more directive  
29 approach. While these interventions did achieve the highest rates of adherence to  
30 negative results, the consequences of restricting the autonomy of clinicians in favour  
31 of standardised guidelines needs to be weighed up against the need for clinicians to  
32 consider individual patients on a case by case basis.<sup>68</sup> Our finding that settings  
33 where testing was more familiar used mRDTs more appropriately echoes  
34 observations from country-level roll-out of mRDTs,<sup>69 70</sup> and suggests that the  
35 interventions required will change over time. Our finding, that basic training alone is  
36 insufficient to ensure use of the tests as intended, aligns with findings from studies of  
37 interventions aiming to change clinical practice in general.<sup>4 71</sup>  
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43 Prior to introducing rapid diagnostic tests, initial assessments should be carried out  
44 to understand providers' priorities and capacities, as well as how easily tests might  
45 integrate into landscapes of care. Although our analysis suggests that a process of  
46 tailoring is required to formulate the intervention to best fit each context, certain  
47 broad intervention features are likely to be applicable across settings (see box 1). As  
48 these recommendations arise directly from the data available in our studies, they are  
49 not exhaustive.  
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Box 1: Examples of recommended intervention features*Planning*

- Recognise and address **providers' priorities**

*Staffing*

- Ensure **sufficient staff numbers** for increased workload

*Training*

- Offer **longer, more detailed training**, incorporating **interactive activities**
- Include **training on communicating** with patients
- Address **process of change** to test-based care:
  - plan a series of interactive training and/or supervision sessions
  - incorporate role-play activities which address local challenges
  - use reflective activities
- Build **trust in mRDTs** by including:
  - discussion of data on changes in malaria prevalence in the area
  - discussion of sensitivity and specificity of mRDTs
  - encouragement to cross-check these data with experience of tests in practice

*Guidance*

- Provide detailed guidance and resources for acceptable **case management for mRDT negative patients**
- Consider how **directive mRDT guidance** should be, balancing clarity with the need for clinician judgement to make exceptions (e.g. if patients have travelled far, with limited means of transportation to return if their condition worsens)

*Medical supplies*

- Ensure providers can be **confident in supplies** of mRDTs and ACTs
- Keep **costs** to patients low

*Community/patient sensitisation*

- Conduct **patient-oriented sensitisation** activities
  - where familiarity with testing is low, where frequent false positive microscopy has overestimated prevalence, or if ACTs are not the most common antimalarial used or demanded by patients

These findings can inform broader antimicrobial stewardship efforts. Malaria is the first disease for which interventions have been systematically evaluated in order to understand how to change routine prescribing through rapid diagnostics. The lessons learned in attempting to shift from presumptive to test-directed treatment are relevant for interventions beyond malaria. The intervention and contextual

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3 characteristics identified here highlight that apparently simple technological solutions  
4 can require complex supporting apparatus when implemented in real life.<sup>72</sup> However,  
5 these findings suggest that as RDTs become established, the intensity of supporting  
6 interventions required is likely to reduce. Further research could explore whether an  
7 initial investment in mRDTs could establish patterns of care that allow for other  
8 diagnostic tests to be introduced more easily in the future.  
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## 11 **Conclusion**

12 This analysis shows that uptake and adherence to mRDTs can be high, but this  
13 requires either existing contexts where integrating the tests into practice already  
14 makes sense, or tailored interventions to encourage this. Basic training and supplies  
15 are essential but insufficient to maximise the potential of mRDTs in contexts where  
16 they do not fit well with the landscape of care. Apparently simple technological  
17 solutions such as mRDTs can require complex supporting interventions that take  
18 account of how they will be interpreted and used.  
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40 the views of the WHO or CDC.  
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## 48 **Contributorship statement**

49 HEDB and CIRC designed the study. HEDB conducted the analysis and drafted the  
50 paper; CIRC contributed to analysis and drafting. BL, FB, KB, AB, KBr, SC, DiL, KE,  
51 CG, HH, SL, PM, AM, WM, AM, OO, DRA, DS, SS, LV contributed to data collection.  
52 All authors contributed to study design, analysis and the final write-up and approved  
53 the manuscript.  
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### Competing interests

The authors have no competing interests.

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### Data sharing statement

Data from the studies included in this analysis can be found at the ACTc repository: <https://actc.lshtm.ac.uk>. This includes outcome data, description of intervention and data collection tools.

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Figure 1a: Uptake of mRDTs\*

\*% patients with fever or history of fever who were tested for malaria with an mRDT

Figure 1b: Adherence to positive mRDT results\*

\* % of patients with a positive mRDT who did NOT receive ACTs

Figure 1c: Adherence to negative mRDTs\*

\*% of patients with a negative mRDT results who received antimalarials

For peer review only

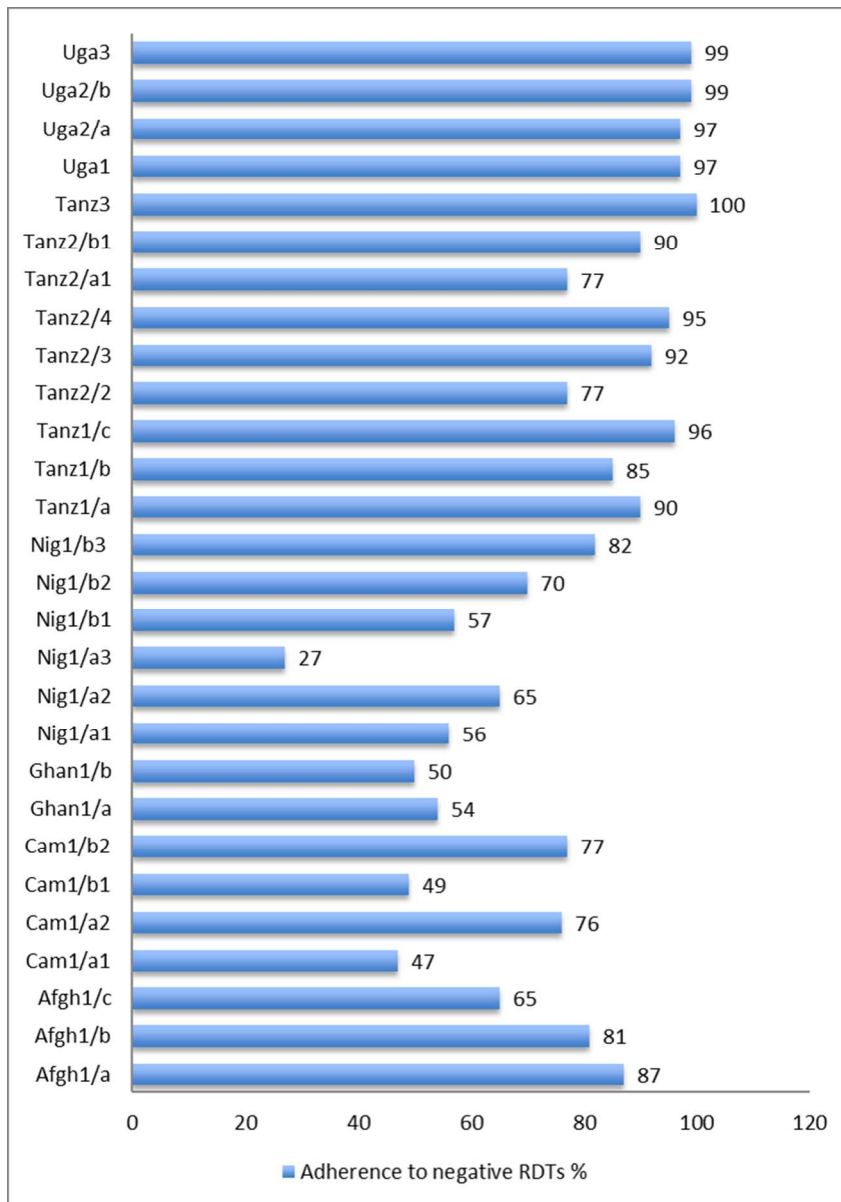


Figure 1a: Uptake of mRDTs\*  
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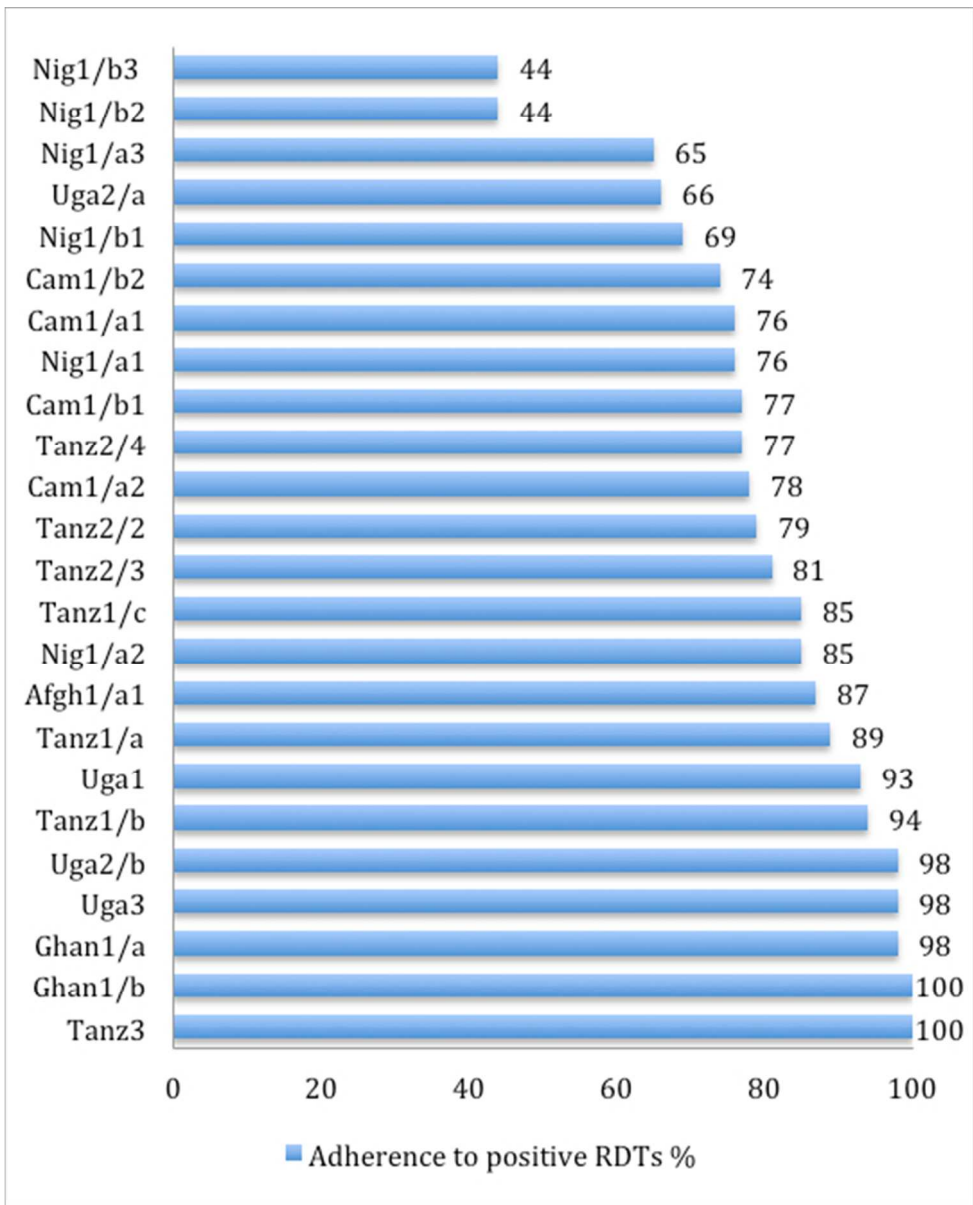


Figure 1b: Adherence to positive mRDT results\*  
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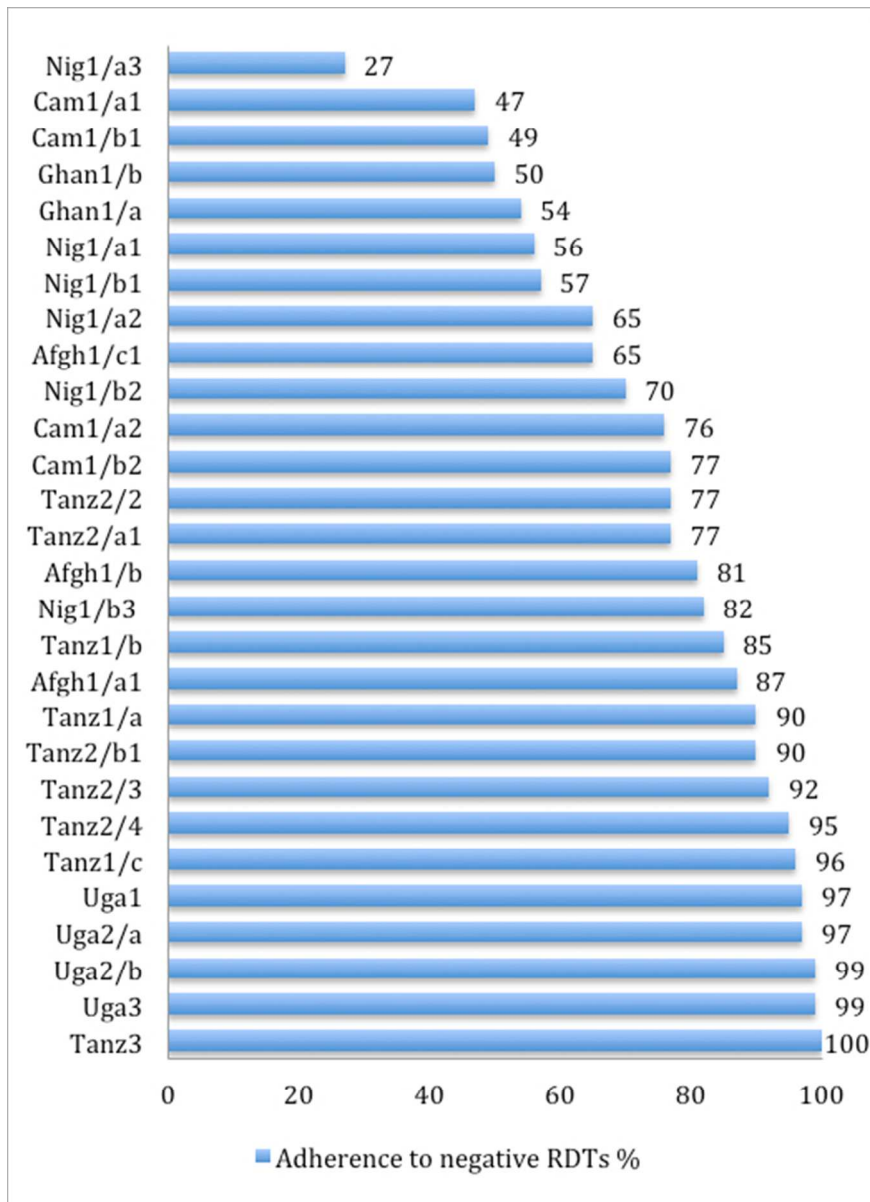


Figure 1c: Adherence to negative mRDTs\*  
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## Supplementary file 1: Descriptions of studies included

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## Afgh1: Strategies for expanding access to quality malaria diagnosis in south-central Asia where malaria incidence is low

Location	Afghanistan
Sector targeted	Public
Intervention dates	September 2009 – September 2010
Timing of evaluation	September 2009 – September 2010
Prescriber sample	Afgh1/a: 12 clinics Afgh1/b: 5 clinics Afgh1/c: 5 clinics
Patient sample	Afgh1/a: 1,576 Afgh1/b: 516 Afgh1/c: 324
Qualitative data collected from prescribers?	Yes

### Background context

The study took place in secure, rural areas. There were very high rates of malaria over-diagnosis at baseline in all scenarios, particularly in Afgh1/c. The most common form of malaria was *P. vivax* rather than *P. falciparum*. The prevalence of malaria was perceived to be high in all scenarios. mRDTs had not been scaled up prior to the intervention.

#### Cases:

Afgh1/a: Eastern province, established microscopy  
Moderate malaria transmission rates. All 12 study clinics had microscopy installed for more than 5 years. Clinics in this region were generally busier than those in the northern region, seeing more patients.

Afgh1/b: Northern province, new microscopy  
Low malaria transmission rates. All five study clinics had recently established microscopy (since 2009). Clinics in this region were generally quieter than those in the eastern region, seeing fewer patients.

Afgh1/c: Northern province, no microscopy  
Low malaria transmission rates. All five study clinics had no microscopy and relied on clinical diagnosis prior to the intervention. Clinics in this region were generally quieter than those in the eastern region, seeing fewer patients.



### Intervention

Patients were randomised to receive either an mRDT or usual care (either microscopy, in Afgh1/a and Afgh1/b, or clinical diagnosis in Afgh1/c).

mRDTs were supplied by the project and were free to clinics and patients. 1.5 days training was offered to all facility staff, following the national training package. This covered performing mRDTs (most, but not all, practiced testing) and prescribing antimalarials, but not how to treat patients with negative mRDT results.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs through standard mechanism
<b>Were continuous supplies assured?</b>	Yes, for RCTs. Not for ACTs.
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm patient randomised controlled trial. All clinics in the study areas participated in the intervention.

mRDT uptake was not assessed as patients enrolled in the study were randomised to receive an mRDT or standard care (microscopy or presumptive diagnosis, depending on the scenario). Patients were enrolled in the study if they gave informed consent and had a fever or a self-reported history of fever in last 48hrs, where the clinician suspected malaria and would normally request a diagnosis or treat with a malaria drug. Patients were excluded if the patient had a diagnostic result from another health facility, if the clinician provided treatment without testing or if, the clinician specifically requested a blood slide. Data was collected from project-specific registers completed by prescribers.

## Findings

ACT received when positive mRDT:

Afgh1/a: 87%  
Afgh1/b: n/a (no Pf identified)  
Afgh1/c: n/a (no Pf identified)

Antimalarial received when negative mRDT:

Afgh1/a: 13%  
Afgh1/b: 19%  
Afgh1/c: 35%

### Possible explanatory factors:

- **Familiarity with testing:** adherence to negative results was higher in both scenarios where microscopy was available. In interviews, health workers often did not appear to distinguish between mRDTs and microscopy.
- **Poor fit with landscape of care:** tests were felt to be good at confirming clinical diagnosis of malaria, but clinical diagnosis could overrule a negative test (which represented an 'absence of diagnosis'). Health workers did not always trust mRDTs, possibly because they challenged clinicians' autonomy. The training did not attempt to convince health workers of the accuracy of mRDTs (e.g. by presenting results from local research into their accuracy). Some health workers who were interviewed explained that they didn't trust mRDTs because the tests were being studied in the trial i.e. they misunderstood the purpose of the trial and thought that the mRDTs themselves were being studied. There was low malaria prevalence (and so no/few positive mRDTs) which was not expected.
- **Low acceptability of alternatives to antimalarials:** There was a perception among health workers that they did not want to miss a malaria diagnosis and that antimalarials were fairly benign. The training did not cover how to deal with negative cases.
- **Intervention messages:** guidelines were perceived to be incongruent with mRDT adherence. Health workers interviewed reported that IMCI guidelines stated they should give antimalarials if a child was feverish or no there were no signs of other diseases, even if an mRDT was negative. Ministry of Health guidelines included three categories of diagnosis: confirmed malaria, suspected malaria and negative for malaria. 'Suspected malaria' was expected to be used in situations where no testing facilities were available, although health workers believed they could use this in other circumstances too, e.g. if typical signs and symptoms of malaria were displayed, with no other disease symptoms.
- **Mixed motivation to perform well in the intervention:** Some health workers who were interviewed explained that they didn't trust mRDTs because the tests were being studied in the trial (i.e. they misunderstood the purpose of the trial and thought that the mRDTs themselves were being studied) .

- **Study design:** in interviews, some health workers explained that they didn't send patients for testing (i.e. recruit them into the study) if they displayed typical signs & symptoms of malaria. One health worker from the northern province, new microscopy scenario mentioned in an interview that they didn't test if they had a heavy workload.

#### Related publications

1. Leslie T, Mikhail A, Mayan I, Anwar M, Bakhtash S, Nader M, et al. Overdiagnosis and mistreatment of malaria among febrile patients at primary healthcare level in Afghanistan: observational study. *BMJ*. 2012;345:e4389.
2. Reynolds J, Wood M, Mikhail A, Ahmad T, Karimullah K, Motahed M, et al. Malaria "diagnosis" and diagnostics in Afghanistan. *Qual Health Res*. 2013;23(5):579-91.
3. Leslie T, Mikhail A, Mayan I, Cundill B, Anwar M, Bakhtash SH, et al. Rapid diagnostic tests to improve treatment of malaria and other febrile illnesses: patient randomised effectiveness trial in primary care clinics in Afghanistan. *BMJ*. 2014;348:g3730.

## Cam1: Cost-effectiveness of interventions to support the introduction of malaria rapid diagnostic tests in Cameroon

Location	Cameroon: Bamenda (northwest, rural & urban) & Yaounde (central, urban)
Sector targeted	Government and mission
Intervention dates	June – December 2011
Timing of evaluation	September – December 2011
Prescriber sample	Cam1/1a: 8 facilities Cam1/1b: 10 facilities Cam1/2a: 9 facilities Cam1/2b: 10 facilities
Patient sample	Cam1/1a: 403 Cam1/1b: 402 Cam1/2a: 552 Cam1/2b: 311
Qualitative data collected from prescribers?	No

### Background context

Malaria was endemic in both settings. At baseline, microscopy was available in almost all health facilities but mRDTs were not. Clinical diagnosis was the common method of malaria diagnosis, with local clinical guidelines recommending presumptive treatment as default course of action. These also stated that fever was the most reliable symptom for treatment and diagnosis and that a negative microscopy result did not rule out malaria. Health workers did not consider testing to be very important for patients and did not themselves feel it was acceptable to withhold antimalarials if a patient tested negative. Overdiagnosis was common, with 81% of febrile patients receiving antimalarials although only 35% of these had malaria. mRDTs had been in the national guidelines since 2008 although there were reports that local clinical guidelines still recommended presumptive treatment.

### Cases:

Cam1/1a: intervention 1, Bamenda

Cam1/1b: intervention 1, Yaounde

Cam1/2a: intervention 2, Bamenda

Cam1/2b: intervention 2, Yaounde

## Intervention

### Intervention arm 1

mRDTs provided with basic training

Four prescribers per cadre per facility were invited to attend a one day training; they were strongly encouraged to train others in their facilities. This didactic session covered three modules: malaria diagnosis, mRDTs, and malaria treatment. The study team conducted monthly supervisory visits and provided 100 mRDTs to each facility per month, which were sold to patients (at a higher rate than the US\$0.20 per test the project had requested) or provided free to under 5s.

### Intervention arm 2

mRDTs provided with basic and enhanced training

In addition to the interventions provided in arm 1, an interactive two day training was delivered that was designed to change prescribing practices. This covered adapting to change (focused on WHO malaria treatment guidelines), professionalism, and effective communication.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study
<b>Were continuous supplies assured?</b>	No: 100 mRDTs supplied for each facility per month
<b>Cost of mRDTs/ACTs to patients</b>	RRP US\$0.20 (free to U5s) Mean actual price of mRDT: Cam1/1: US\$1.28 Cam1/2: US\$2.09
<b>Who conducted mRDT?</b>	Prescriber

## Study design

Three-arm cluster randomised controlled trial. The control arm did not have mRDTs and was not included in the current analysis.

Facilities were eligible for inclusion in the study if they were not part of a government pilot roll-out of mRDTs, if they did not offer specialist services, if they received more than four febrile patients per day on average and if they were more than 2km away from another facility in Bamenda or more than 1km away in Yaounde.

Evaluation started three months after intervention and ran for three months. Data was collected from project-specific registers completed by prescribers, as well as patient exit interviews. Fieldworkers collected registers from facilities

each week. All patients who attended the health facilities were approached on exit for consent to participate in the study and screened for eligibility. Patients were eligible for inclusion in the exit survey if they reported seeking treatment for fever or suspected malaria. Patients were excluded if they were pregnant, younger than six months, or had signs of severe malaria. Individuals were also excluded if the patient was not present.

### Findings

*Uptake (% of febrile patients, who were not tested with microscopy, who were tested with an mRDT)*

Cam1/1a: 49%

Cam1/1b: 60%

Cam1/2a: 72%

Cam1/2b: 45%

*Adherence to positive mRDT*

*(% of patients testing positive with an mRDT who received ACTs)*

Cam1/1a: 76%

Cam1/1b: 77%

Cam1/2a: 78%

Cam1/2b: 74%

*Adherence to negative mRDT*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials)*

Cam1/1a: 47%

Cam1/1b: 49%

Cam1/2a: 76%

Cam1/2b: 77%

### Possible explanatory factors:

- **Patient expectations of malaria testing** - a concurrent extensive malaria communication campaign (external to the intervention being evaluated) had run after the formative research but before the evaluation, targeting testing and ACT use. Testing was also high in the control scenarios (higher than at baseline).
- **Familiarity with testing** - testing overall (microscopy or mRDT) was generally high in all cases, but it was slightly lower in Cam1/1a than the other cases (71% vs 78-81%)

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- **Alternative treatments for non-malarial fever patients** - prior to the intervention, malaria was felt to be a well known, common and serious disease. Although testing was acceptable to patients (as a placebo), as was a positive malaria diagnosis, negative results were not considered acceptable and health workers reported finding it hard to give non-malaria diagnoses and treatments prior to the intervention.

### Related Publications

1. Chandler CIR, Mangham L, Njei AN, Achonduh O, Mbacham WF, Wiseman V. 'As a clinician, you are not managing lab results, you are managing the patient': How the enactment of malaria at health facilities in Cameroon compares with new WHO guidelines for the use of malaria tests. *Social Science and Medicine*. 2012;74(10):1528-35.
2. Mangham LJ, Cundill B, Achonduh OA, Ambebila JN, Lele AK, Metoh TN, et al. Malaria prevalence and treatment of febrile patients at health facilities and medicine retailers in Cameroon. *Tropical Medicine & International Health*. 2012;17(3):330 - 42.
3. Wiseman V, Mangham LJ, Cundill B, Achonduh OA, Nji AM, Njei AN, et al. A cost-effectiveness analysis of provider interventions to improve health worker practice in providing treatment for uncomplicated malaria in Cameroon: a study protocol for a randomized controlled trial. *Trials*. 2012;13(4).
4. Achonduh OA, Mbacham WF, Mangham-Jefferies L, Cundill B, Chandler C, Pamen-Ngako J, et al. Designing and implementing interventions to change clinicians' practice in the management of uncomplicated malaria: lessons from Cameroon. *Malaria Journal*. 2014;13:204.
5. Mangham-Jefferies L, Wiseman V, Achonduh OA, Drake TL, Cundill B, Onwujekwe O, et al. Economic evaluation of a cluster randomized trial of interventions to improve health workers' practice in diagnosing and treating uncomplicated malaria in Cameroon. *Value Health*. 2014;17(8):783-91.
6. Mbacham WF, Mangham-Jefferies L, Cundill B, Achonduh O, Chandler CIR, Ambebila JN, et al. Basic or enhanced clinical training to improve adherence to malaria treatment guidelines: a cluster-randomised trial in two areas of Cameroon. *The Lancet*. 2014;2:346 - 58.
7. Mangham-Jefferies, L., K. Hanson, W. Mbacham, O. Onwujekwe and V. Wiseman (2014). "What determines providers' stated preference for the treatment of uncomplicated malaria?" *Soc Sci Med* **104**: 98-106.



## Ghan1: How the use of rapid diagnostic tests influences clinicians' decision to prescribe ACTs

Location	southern Ghana
Sector targeted	Public and private health facilities
Intervention dates	August 2007 – December 2008
Timing of evaluation	August 2007 – December 2008
Prescriber sample	Ghan1/a: 1 facility Ghan1/b: 3 facilities
Patient sample	Ghan1/a: 1,896 Ghan1/b: 1,719
Qualitative data collected from prescribers?	Yes

### Background context

The intervention took place in the rural Dangme West district. Ghana is a country with high transmission of malaria, although incidence has been falling. The study took place before the country had introduced a policy to use malaria tests. Most of the healthcare professionals in the study sites were nurses with 2-3yrs of basic training.

#### Cases:

##### *Ghan1/a: Microscopy scenario*

One large health facility with a high patient load and with microscopy available. It had 16 prescribing staff.

##### *Ghan1/b: Clinical diagnosis setting*

Three facilities: One private clinic and two smaller public health facilities, with lower patient loads and no medical doctors (only medical assistances and nurses). These had no access to parasitological testing for malaria – diagnosis was based on clinical symptoms. They had 13 prescribing staff in total.

### Intervention

All healthcare professionals in participating centres received two days of training on:

- The sensitivity and specificity of mRDTs
- Alternative causes of febrile illness
- The Ghana national guidelines (which indicate presumptive treatment for U5s)

They were left free to make their own clinical decisions after the initial training. New staff were given a one-to-one introduction to mRDTs using the same training package.

Included patients were randomised to receive an mRDT or standard care (microscopy or clinical diagnosis); uptake was not assessed.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs through standard mechanisms
<b>Were continuous supplies assured?</b>	Yes for RCTs, not for ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Study staff, not prescriber

### Study design

Two-arm patient randomised trial. All participants visiting the health facilities were screened for enrolment into the study. The inclusion criteria were that the healthcare professional considered treating the patient for malaria and wanted to test for malaria or treat the patient with an antimalarial. Exclusion criteria were pregnancy, illness severe enough to warrant referral to hospital, insistence by the health professional on a particular test or a particular method of treatment, patient insistence on a particular test, refusal of consent by patient/guardian, not living in the district or nearby, or not intending to remain in the district for the next two months for follow up.

Data was collected from a prescriber-completed register.

### Findings

#### *Adherence to positive mRDTs*

*(% of patients testing positive with an mRDT who received ACTs):*

Ghan1/a: 98%

Ghan1/b: 100%

#### *Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials):*

Ghan1/a: 54%

Ghan1/b: 51%

Possible explanatory factors:

- **Poor fit with landscape of care** - prescribers continued to have faith in their ability to diagnose clinically. Prescribers didn't seem to take on board that they shouldn't give antimalarials if the mRDT was negative; possibly because of training and incongruence with guidelines (see below under 'intervention messages')
  - health workers initially noted differences between mRDT results and clinical diagnosis/microscopy results – which led to mistrust of mRDT for many.

With time, observation of improvements in negatives not prescribed antimalarials/no improvement in negatives prescribed antimalarials (but challenge when patients don't return for follow up). Experimentation with changing their practice (convinced some but not others). Some health workers believed that malaria could 'hide' from the tests, e.g. if in the early stages of illness, if the patient has sickle cell, or because the parasite 'hides' in the liver. Some in the presumptive scenario explained the storage or handling of the test could affect its accuracy.

Communities of practice influenced health workers – gave confidence in mRDTs for some; for others, gave confidence in clinical diagnosis.

- **Intervention messages:** national guidelines state presumptive treatment of U5s (incongruent with aim of testing)
- **Alternatives treatments for non-malarial fever patients:** health workers described that a common perception was that "in this country everything is malaria"; malaria was considered high prevalence and prescribers feared missing a malaria diagnosis and a patient dying because of this.

In some cases, prescribers felt they had no choice but to meet the patient's wishes. Health workers perceived community members held onto the idea that all fever is malaria and preferred a malaria diagnosis; sometimes mistrusting health workers who gave a different diagnosis. Patients reported conceptualising mRDTs as a generic test that should result in a diagnosis. The testing process was opaque for patients. Health workers highlighted the importance of communication for patient satisfaction/acceptance of mRDT results. However focus group discussions with patients found limited efforts by health workers to engage patients in the testing process and strong hierarchies leading to a lack of communication.

### Related publications

1. Ansah EK, Narh-Bana S, Epokor M, Akanpigbiam S, Quartey AA, Gyapong J, et al. Rapid testing for malaria in settings where microscopy is available and peripheral clinics where only presumptive treatment is available: a randomised controlled trial in Ghana. *BMJ*. 2010;340:c930.
2. Chandler CIR, Whitty CJM, Ansah EK. How can malaria rapid diagnostic tests achieve their potential? A qualitative study of a trial at health facilities in Ghana. *Malaria Journal*. 2010;9(1):95.
3. Ansah EK, Reynolds J, Akanpigbiam S, Whitty CJM, Chandler CIR. "Even if the test result is negative, they should be able to tell us what is wrong with us": a qualitative study of patient expectations of rapid diagnostic tests for malaria. *Malaria Journal*. 2013;12(1):258.

### Nig1: Costs and effects of strategies to improve malaria diagnosis and treatment in Nigeria

Location	Enugu State, Southeast Nigeria
Sector targeted	Government, private pharmacies and patent medicine dealers
Intervention dates	June – December 2011
Timing of evaluation	September – December 2011
Prescriber sample	Nig1/1a: 38 facilities Nig1/1b: 6 facilities Nig1/2a: 38 facilities Nig1/2b: 10 facilities Nig1/3a: 36 facilities Nig1/3b: 9 facilities
Patient sample	Nig1/1a: 1,182 Nig1/1b: 197 Nig1/2a: 1,396 Nig1/2b: 325 Nig1/3a: 906 Nig1/3b: 183
Qualitative data collected from prescribers?	No

#### Background context

The intervention took place in two areas: Enugu (an urban area) and Udi (a rural area). In Udi, 53% of included facilities were public, compared to 9% in Enugu. Malaria was endemic. Patent medicine dealers were a major source of treatment for malaria. Few primary health care facilities offered malaria testing at baseline. Few prescribers knew about mRDTs and test results were not always believed to be accurate. Patient demand for mRDTs was perceived to be low. Formative research in 2009 found antimalarial prescription for febrile patients was high (79%), although the majority were not given ACTs (only 23%). It was common for patients to ask for a specific drug; asking for ACTs was associated with a greater likelihood of receiving them.

Cases:

Nig1/1a: control arm, Enugu

Nig1/1b: control arm, Udi

Nig1/2a: intervention 1, Enugu

Nig1/2b: intervention1, Udi

Nig1/3a: intervention 2, Enugu

Nig1/3b: intervention 2, Udi

Intervention

*Control arm: mRDTs with basic instructions*

mRDTs were supplied free to prescribers by the study (and free to patients in public facilities; private facilities were asked not to sell them for more than US\$0.6). 1-2 prescribers per facility were invited to attend a half-day demonstration on how to use mRDTs, which included practising conducting one test. They also received a copy of the WHO job aid, which shows the steps in using an mRDT. Staff from 77% of included facilities attended the training.

*Intervention arm 1: mRDT with enhanced health worker training*

25-75 mRDTs were supplied free by the study each month; prescribers could request more if they ran out (these were free to patients in public facilities, private facilities were asked not to sell them for more than US\$0.6). 1-2 prescribers per facility were invited to attend a two-day interactive, seminar-style training, covering how to test, appropriate treatment for positive and negative results and effective communication. Those attending were given job aides (e.g. treatment algorithm). In addition, there were monthly supervisory visits with feedback on performance, as well as telephone support.

*Intervention arm 2: mRDT with enhanced health worker training and school-based activities*

In addition to intervention 1, primary and secondary schools were invited to send two teachers each for a 2-day training, who would then train six school children as peer health educators. Various activities would be run in schools and the local community to raise awareness about mRDTs for malaria and that ACTs were the recommended treatment for malaria. There were monthly support visits.

<b>Medical supply mechanism</b>	mRDTs supplied by study; ACTs were supplied through standard mechanism.
<b>Were continuous supplies assured?</b>	mRDTs – yes ACTs – no
<b>Recommended cost of mRDTs to patients</b>	Public sector: free Private sector: RRP US\$0.60 Mean patient-reported price:
<b>Reported cost of mRDTs to patients: Nig1/1</b>	Public facilities: US\$0.00 (0.00 – 2.1) Pharmacy: US\$0.60 (0.60-4.80) Drug store: US\$0.90 (0.30 – 7.20)
<b>Reported cost of mRDTs to patients: Nig1/2</b>	Public facilities: US\$0.00 (0.00 – 1.2) Pharmacy: US\$0.60 (0.60-0.90) Drug store: US\$0.90 (0.30 – 3.00)
<b>Reported cost of mRDTs to patients: Nig1/3</b>	Public facilities: US\$0.00 (0.00 – 0.30) Pharmacy: US\$0.90 (0.60-5.70) Drug store: US\$1.20 (0.30 – 7.20)
<b>Cost of ACTs to patients</b>	not subsidised; price unknown
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Three-arm cluster randomized controlled trial.

Clusters were defined as a geographical community containing at least one facility and one school. Schools and facilities were randomly selected within each cluster to receive the intervention. Up to three schools per cluster were selected. Private and government facilities were selected using probability proportional to size.

Data was collected from project-specific registers, completed by prescribers, as well as exit interviews with all eligible patients, which started three months after the intervention. Patients were eligible if they presented at the facility and they (or their caregiver) reported seeking treatment for fever or suspected malaria. Patients were excluded if they were pregnant, less than 6 months old, or had signs and symptoms of severe malaria.



## Findings

### *Uptake*

*(% of febrile patients not tested with microscopy who were tested with an mRDT)*

Nig1/1a:	25%
Nig1/1b:	46%
Nig1/2a:	20%
Nig1/2b:	37%
Nig1/3a:	12%
Nig1/3b:	51%

### *Adherence to positive mRDT*

*(% of patients testing positive with an mRDT who received ACTs)*

Nig1/1a:	76%
Nig1/1b:	69%
Nig1/2a:	85%
Nig1/2b:	44%
Nig1/3a:	65%
Nig1/3b:	44%

### *Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials)*

Nig1/1a:	56%
Nig1/1b:	57%
Nig1/2a:	65%
Nig1/2b:	70%
Nig1/3a:	27%
Nig1/3b:	82%

### Possible explanatory factors:

- **Low motivation to perform well in the intervention** - anecdotal evidence suggested mRDTs were not accepted by prescribers for a range of reasons, such as concern in the private sector that consumers would not consider them legitimate to conduct the tests. mRDTs were viewed as having a negative impact on profits. A higher proportion of facilities in Enugu were private compared to Udi. Private sector prescribers charged more than the recommended retail price for mRDTs, which may have led to less demand for testing in private facilities.

- **Implementation** - less than half the schools in Nig1/3 organised a malaria event, which may explain the lack of difference in effect between Nig1/2 and Nig1/3
- **Poor fit with landscape of care** - anecdotal evidence that prescribers were surprised how many tests were negative and were not convinced of their quality or accuracy

#### Related publications

1. Mangham LJ, Cundill B, Ezeoke O, Nwala E, Uzochukwu BSC, Wiseman V, et al. Treatment of uncomplicated malaria at public health facilities and medicine retailers in south-eastern Nigeria. *Malaria Journal*. 2011;10:155.
2. Ezeoke OP, Ezumah NN, Chandler CC, Mangham-Jefferies LJ, Onwujekwe OE, Wiseman V, et al. Exploring health providers' and community perceptions and experiences with malaria tests in South-East Nigeria: a critical step towards appropriate treatment. *Malaria Journal*. 2012;11:368.
3. Wiseman V, Ogochukwu E, Emmanuel N, Lindsay JM, Bonnie C, Jane E, et al. A cost-effectiveness analysis of provider and community interventions to improve the treatment of uncomplicated malaria in Nigeria: study protocol for a randomized controlled trial. *Trials*. 2012;13:81.
4. Mangham-Jefferies L, Hanson K, Mbacham W, Onwujekwe O, Wiseman V. Mind the gap: knowledge and practice of providers treating uncomplicated malaria at public and mission health facilities, pharmacies and drug stores in Cameroon and Nigeria. *Health Policy & Planning*. 2014.
5. Mangham-Jefferies L, Hansen K, Mbacham W, Onwujekwe O, Wiseman V. What determines providers' stated preference for the treatment of uncomplicated malaria? *Social Science and Medicine*. 2014;104:98 - 106.

## Tanz1: IMPACT 2: Evaluating policies in Tanzania to improve malaria diagnosis and treatment

Location	Tanzania Tanz1/a: Mwanza Tanz1/b: Mbeya Tanz1/c: Mtwara
Sector targeted	Government primary care facilities
Intervention dates	Tanz1/a: February 2011 – (no end date) Tanz1/b: February 2011 – (no end date) Tanz1/c: May 2012 – (no end date)
Timing of evaluation	Tanz1/a: April/May 2012 Tanz1/b: May/June 2012 Tanz1/c: June/July 2012
Prescriber sample	60 health facilities in each case
Patient sample	Tanz1/a: 661 Tanz1/b: 347 Tanz1/c: 519
Qualitative data collected from prescribers?	Tanz1/a: Yes Tanz1/b: Yes Tanz1/c: No

### Background context

Predominantly rural setting. The availability of microscopy and baseline malaria testing levels were higher in Tanz1/c compared to Tanz1/a and Tanz1/b. In addition, awareness of mRDTs was greater at baseline in Tanz1/c, possibly because national roll out of mRDTs had occurred in other parts of Tanzania before reaching there, providing time for awareness to be raised.

### Cases:

Tanz1/a: Mwanza region: moderate-high malaria prevalence

Tanz1/b: Mbeya region: low malaria prevalence

Tanz1/c: Mtwara region: moderate-high malaria prevalence

### Intervention

Phased national government roll out of mRDTs from 2009 – 2012. Training was the standard, two-day Ministry of Health (MoH) training, covering performing mRDTs (including practical) and prescribing antimalarials. One-two

staff per facility were invited to training and expected to pass information to colleagues.

Following supply of an initial stock of mRDTs to facilities, subsequent supplies could be ordered through standard MoH procedures.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by government. Initial stock supplied, subsequent supplies ordered through standard MoH procedures.
<b>Were continuous supplies assured?</b>	No
<b>Cost of mRDTs/ACTs to patients</b>	Flat rate consultation fee, although some charged extra for diagnostics. There were exceptions, in theory, for some e.g. U5s.
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Observational study, with baseline data collection prior to introduction and one round of data collection at endline. Data was collected through patient exit interviews conducted on one day during daytime operating hours. Patient-held medical records were consulted if patients did not know what testing or treatment they had obtained. All patients with fever or history of fever in the past 48 hours who presented for outpatient care were enrolled in the study, subject to informed consent having been obtained.

All facilities were included in the intervention, as it was a national government roll-out. For this study, facilities were randomly selected for evaluation with probability proportional to malaria outpatient utilization for endline data collection. All patients with fever or history of fever in the past 48 hours who presented for outpatient care were included, subject to informed consent having been obtained.

## Findings

### *Uptake*

(% of patients with history of fever in past 48 hours who had not been tested with microscopy or an unknown test, who were tested with an mRDT)

Tanz1/a: 41%

Tanz1/b: 36%

Tanz1/c: 69%

### *Adherence to positive mRDTs*

(% of patients testing positive with an mRDT who were prescribed or received ACTs)

Tanz1/a: 89%

Tanz1/b: 94%

Tanz1/c: 85%

### *Adherence to negative mRDTs*

(% of patients testing negative with an mRDT who were NOT prescribed or did NOT receive any antimalarials)

Tanz1/a: 90%

Tanz1/b: 85%

Tanz1/c: 96%

### Possible explanatory factors:

- **Stockouts:** there were fewer mRDT stockouts in Tanz1/c; almost half of facilities had stockouts at endline in Tanz1/a and about one quarter in Tanz1/b (where health workers also complained about running out of reagent). 56% of facilities had mRDTs in stock at the endline; about one sixth of facilities had stockouts at endline in Tanz1/c. However this does not explain all of the difference (as there was not 100% uptake when only those facilities with mRDTs in stock were included in the analysis)
- **Staffing** - in Tanz1/b some prescribers mentioned staff shortages, although it was not clear whether these affected the use of mRDTs
- **Goodness of fit with landscape of care** - adherence to negative results was lowest in Tanz1/b, where malaria prevalence was low (it was moderately high in the other two scenarios). Interviewees in Tanz1/b felt it was a challenge that so many tests were negative. This let some to mistrust the tests. Some health workers in Tanz1/a and Tanz1/b didn't trust the test, however this was not universal. Perceptions of health workers in Tanz1/c was not known. Some interviewees in Tanz1/b stated that they gave antimalarials to those testing negative if they had malarial symptoms, if they couldn't

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2  
3 find an alternative diagnosis or if they returned 2-3 days later with the  
4 same symptoms.  
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9 Related publications

- 10  
11 1. Bruxvoort K, Kalolella A, Nchimbi H, Festo C, Taylor M, Thomson R, et  
12 al. Getting antimalarials on target: impact of national roll-out of malaria  
13 rapid diagnostic tests on health facility treatment in three regions of  
14 Tanzania. *Tropical Medicine & International Health*. 2013;18(10):1269 -  
15 82.  
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## Tanz2: Targeting ACT drugs: the TACT trial

Location	Northeast Tanzania
Sector targeted	Public
Intervention dates	Tanz2/1: October 2008 – June 2009 Tanz2/2: January 2011 – March 2012 Tanz2/3: January 2011 – March 2012 Tanz2/4: January 2011 – March 2012
Timing of evaluation	Tanz2/1: unclear Tanz2/2: January 2011 – March 2012 Tanz2/3: January 2011 – March 2012 Tanz2/4: January 2011 – March 2012
Prescriber sample	Tanz2/1a: 10 facilities Tanz2/1b: 10 facilities Tanz2/2: 12 facilities Tanz2/3: 12 facilities Tanz2/4: 12 facilities
Patient sample	Tanz2/1a: 3,199 Tanz2/1b: 4,038 Tanz2/2: 9,297 Tanz2/3: 9,825 Tanz2/4: 7,963
Qualitative data collected from prescribers?	Yes

### Cases:

- Tanz2/a1: Pilot intervention, Hai district in Kilimanjaro, low-moderate malaria transmission
- Tanz2/b1: Pilot intervention, Handeni district in Tanga, moderate malaria transmission
- Tanz2/2: comparison arm
- Tanz2/3: intervention arm 1
- Tanz2/4: intervention arm 2



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3 Background context:  
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6 *Tanz2/1*

7 No facility had experience of mRDTs or microscopy. Antimalarial drugs and  
8 mRDTs were supposed to be free for children under 5 years, pregnant women  
9 and the elderly, although this didn't always happen in practice.  
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12 *Tanz2/2, Tanz2/3, Tanz2/4*

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14 The study districts in Tanga and Kilimanjaro represented one moderate and  
15 one low transmission area respectively. Both were predominantly rural but  
16 contained one urban area. There was overdiagnosis of malaria, particularly in  
17 low transmission areas. mRDTs had been introduced in 2009/2010. At  
18 baseline health workers recognised that there had previously been  
19 overdiagnosis of malaria and felt empowered by mRDTs. However it was also  
20 seen as a source of conflict with patient expectations and had the potential to  
21 undermine clinical authority. There was patient demand for mRDTs, because  
22 of a desire to have their malaria confirmed.  
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28 Intervention:  
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31 *Tanz2/1: Pilot study: mRDTs supplied with basic instruction on use.*

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33 Health workers were offered 1 day training on how to use the mRDT and read  
34 the result. Antimalarial drug use guidelines were reviewed and laminated job  
35 aides provided. mRDTs and associated supplies were made available, with  
36 continuous supplies ensured. Research assistants made monthly supervisory  
37 visits for evaluation purposes.  
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41 *Tanz2/2: Comparison arm standard training plus mRDTs and supervision*

42 Two-day, didactic, Ministry of Health (MoH) training on how to use mRDTs,  
43 including practical, as well as mRDT supplies every 4-6 weeks and six-weekly  
44 supervisory visits by the study team (to check clinic supplies and reporting). On  
45 average all (3) workers from each of the study health facilities attended the  
46 training.  
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50 *Tanz2/3: Intervention arm 1: additional training, feedback & motivational SMS*

51 In addition to the interventions in the comparison arm, staff were offered three  
52 additional 90 minute interactive training workshops, with one session repeated  
53 6-7 months later. These covered:  
54

- 55 • Adapting to the change in the diagnosis & management of malaria
- 56 • Practice with confidence when using mRDTs: tools to enable change in
- 57 managing febrile illness
- 58 • Sustaining the change in practice
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Experimentation with mRDTs was encouraged. In addition, approximately 5 months after training mobile-phone message (SMS) feedback was sent to health workers of their previous month's use of mRDTs (proportion of eligible patients who were tested) and treatment prescribed based on mRDT results (proportion of patients with a negative test treated with an antimalarial drug). Health workers were also sent SMS twice a day for 15 days, with a motivating message on malaria case management alternated with a motivational proverb.

*Tanz2/4: Intervention arm 2: intervention arm 1 plus posters and patient leaflets*

In addition to the interventions detailed in intervention arm 1, facilities were provided with posters and patient leaflets.

<b>Medical supply mechanism</b>	mRDTs supplied study, ACTs through standard mechanisms
<b>Were continuous supplies assured?</b>	Tanz2/1: mRDT supplies assured Tanz2/2-4: No
<b>Cost of mRDTs/ACTs to patients</b>	Tanz2/1: not free Tanz2/2-4: free mRDTs, unknown for ACTs
<b>Who conducted mRDT?</b>	Prescriber

Study design

*Tanz2/1*

Observational study. Facilities were selected for inclusion on the basis of reasonable access (within 1 hour car journey) and being a MoH-approved primary care facility. All patients attending health facility were included in the study. Data was collected from routine facility data records. Exit surveys were conducted with patients two days per week, for four months. It was not stated whether all patients were surveyed or not. The facility data was used in this analysis.

*Tanz2/2-4*

3-arm cluster randomised trial. Primary care dispensaries were eligible for inclusion in the study if they were in receipt of supplies of recommended antimalarial drugs from the MoH, agreed to exclusive use of mRDT for routine diagnosis of first consultations for possible malaria, were accessible by 4-wheel drive throughout the year and data were available on % consultations diagnosed with malaria in 2008 or sooner and treated more than 500 patients for malaria. All patients consulting with a new episode of a non-severe illness

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3 were eligible for inclusion; data collected from exit interviews two days a week.  
4 Exit surveys started 3-6 months prior to the start of the intervention. Data on  
5 mRDT use and results were collected from routine dispensary records. Periods  
6 of stockout were excluded from the analysis.  
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### 10 11 Findings:

12 *Uptake (% of patients with fever or history of fever in past 24 hours who were*  
13 *tested with an mRDT):*

14 Tanz2/2: 45%

15 Tanz2/3: 50%

16 Tanz2/4: 59%

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21 *Adherence to positive mRDTs (% of patients testing positive with an mRDT*  
22 *who received ACTs):*

23 Tanz2/2: 79%

24 Tanz2/3: 81%

25 Tanz2/4: 77%

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28  
29 *Adherence to negative mRDTs (% of patients testing negative with an mRDT*  
30 *who did NOT receive any antimalarials):*

31 Tanz2/1a: 77%

32 Tanz2/1b: 90%

33 Tanz2/2: 77%

34 Tanz2/3: 92%

35 Tanz2/4: 95%

### 36 37 38 39 40 41 Possible explanatory factors:

- 42
- 43 • **Familiarity with testing:** Interviewees in Tanz2/4 commented that they  
44 spent a lot of time educating patients; they also reported that patient  
45 demand for testing existed before mRDTs. At baseline, patients wanted  
46 to be tested. Acceptability grew with time.
  - 47 • **Intervention messages:** One interviewee in Tanz2/2 seemed to  
48 misunderstand the guidelines or the training as they said they only  
49 tested if the patient had had a fever for several days (as if fever is  
50 recent, the test won't be positive); they also reported that the training  
51 said to diagnose as 'unconfirmed malaria' if an mRDT was negative but  
52 the patient had all the signs and symptoms of malaria.  
53 Health workers at baseline explained that the IMCI guidelines said to  
54 treat fever with antimalarials. In Tanz2/3 some said there were  
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3 exceptions to not prescribing antimalarials to mRDT negatives (e.g. if  
4 from far and with no other symptoms, or if under 5 years with fever)

- 5  
6 • **Staffing:** One interviewee in Tanz2/3 suggested that if they were  
7 understaffed and overwhelmed with patients they would not test  
8  
9 • **Goodness of fit with landscape of care:** Mixed opinions on whether to  
10 trust mRDT results; some said trust came with time/experience (e.g.  
11 when they saw a patient who tested negative recover)  
12 Some still had doubts e.g. if they don't get many positive results, or  
13 because it only tests for one species.

14 Health workers in all cases reported increasing patient acceptance over  
15 time of non-prescription of antimalarials when tested negative, whereas  
16 those at baseline they had reported a lack of acceptance.  
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### 23 Related publications

- 24  
25 1. Chandler, C. I., J. Meta, C. Ponzio, F. Nasuwa, J. Kessy, H. Mbakilwa, A.  
26 Haaland and H. Reyburn (2014). "The development of effective behaviour  
27 change interventions to support the use of malaria rapid diagnostic tests by  
28 Tanzanian clinicians." Implement Sci **9**: 83.  
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30 2. Cundill, B., H. Mbakilwa, C. I. Chandler, G. Mtove, F. Mtei, A. Willetts, E.  
31 Foster, F. Muro, R. Mwinyishehe, R. Mandike, R. Olomi, C. J. Whitty and H.  
32 Reyburn (2015). "Prescriber and patient-oriented behavioural interventions  
33 to improve use of malaria rapid diagnostic tests in Tanzania: facility-based  
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37 "Monitoring patient care through health facility exit interviews: an  
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39 treatment guidelines in Tanzania." BMC Infectious Diseases **16**: 59.  
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41 4. Hutchinson, E., Reyburn, H., Hamlyn, E., Long, K., Meta, J., Mbakilwa, H.,  
42 et al. (2015). Bringing the state into the clinic? Incorporating the rapid  
43 diagnostic test for malaria into routine practice in Tanzanian primary  
44 healthcare facilities. *Glob Public Health*, 1-15.  
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### Tanz3: Trusting rapid diagnostic tests in Zanzibar

Location	Zanzibar
Sector targeted	Public
Intervention dates	May – July 2010
Timing of evaluation	May – July 2010
Prescriber sample	12 facilities
Patient sample	3,887
Qualitative data collected from prescribers?	Yes, though after the study had been completed (6 study prescribers and 6 other prescribers interviewed in a similar study)

#### Background context

Study took place in two rural districts. Zanzibar's national treatment guidelines from 2009 indicate treatment with antimalarials only upon positive diagnostic test result. mRDTs were scaled up in 2006 (and introduced in some sites two years earlier, in 2004, by MSF). IMCI was revised to include mRDTs in 2009. An earlier study reported high confidence in mRDT results among health workers and patients, as well as acceptance of antimalarials only being prescribed to those with a malarial diagnosis. The authority of the health system was reported to be strong among both health workers and patients.

#### Intervention

Prescribers received 6-11 days IMCI training (depending on whether refresher training or for new health workers), plus one week study-specific training (including good clinical practice, provision of informed consent, performance and interpretation of mRDT according to the manufacturer's instructions).

<b>Medical supply mechanism</b>	mRDTs and ACTs supplied by MoH, with study back up
<b>Were continuous supplies assured?</b>	Yes
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

## Study design

Observational study. Uptake of mRDTs was not assessed.

Five primary health care units and one primary health care centre in each of the two study districts were selected purposively. Facilities were selected to ensure adequate manpower capacity, with at least 2 health workers available per study site during the trial and a balanced geographical distribution. Prescribers were recruited to the study and paid a salary supplement for participating.

Data was collected through prescriber-completed, project specific case record forms. Patients were recruited Mon-Fri 8am to 4pm by the study health worker on duty. Patients were eligible to be included in the study if they were aged 2 months or over and presented at the study sites with fever i.e. 37.5 degrees or higher or history of fever during the preceding 24hrs, and were willing to consent to participate. Patients were excluded and referred in case of any symptoms of severe disease or danger signs. Pregnant women testing positive for malaria were excluded from the current analysis for comparability purposes.

Healthcare workers views about mRDTs were explored through interviews in a later, related qualitative study. This included six study healthcare workers and six other healthcare workers, who had not been involved in the study but had comparable mRDT experiences.

## Findings

100% of patients with positive mRDTs were prescribed ACTs

100% of patients with negative mRDTs were *not* prescribed antimalarials

### Possible explanatory factors:

- **High project control** – study staff (health workers) were paid extra to participate in study; there was daily contact with project team, the study team ensured a continuous supply of mRDTs, ACTs and other medicines, the study only ran (i.e. patients only enrolled) during weekday daytimes.
- **Intervention messaging:** adherence to test results was congruent with Ministry of Health malaria and IMCI guidelines.
- **Good fit with landscape of care** – interviewees were aware that malaria prevalence had declined, as was the general population.  
- health workers had had experience of mRDTs for several years. Trust in mRDTs was high; there was acceptance that not all fever was

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2  
3 malaria. There was a culture of high adherence in Zanzibar in general,  
4 with acceptance of Ministry of Health guidelines and interventions.  
5 Health workers didn't seem to rely on clinical diagnosis. Some facilities  
6 had tests for other diseases e.g. urinalysis.  
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- 10 • **Acceptability of alternative treatments for mRDT negative patients:**  
11 Health workers didn't seem to see malaria as a risk, possibly due to low  
12 malaria prevalence.  
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  - 14 • **Familiarity with testing:** Malaria messaging was widespread in the  
15 community; there was high awareness that malaria had decline and  
16 previous interventions had been successful. Patients accepted the need  
17 for testing prior to treatment. Patient acceptance of adherence to test  
18 results even at baseline; medication (antimalarials, antibiotics,  
19 antipyretics) was free for all study participants.  
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  - 21 • **Motivation to perform well in the intervention:** prescribers were  
22 recruited to participate in the study and paid a salary supplement for the  
23 work. Other malaria research activities have been conducted in the  
24 study area in recent years – the majority of health workers interviewed  
25 had participated in past research studies; the success of previous  
26 interventions increased trust in current intervention.  
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### 33 Related publications

- 34
- 35 1. Baltzell K, Elfving K, Shakely D, Ali AS, Msellem M, Gulati S, et al.  
36 Febrile illness management in children under five years of age: a  
37 qualitative pilot study on primary health care workers' practices in  
38 Zanzibar. *Malaria Journal*. 2013;12:37.  
39
  - 40 2. Shakely D, Elfving K, Aydin-Schmidt B, Msellem MI, Morris U, Omar R,  
41 et al. The usefulness of rapid diagnostic tests in the new context of low  
42 malaria transmission in Zanzibar. *PLoS One*. 2013;8(9):e72912.  
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## Uga1: The PRIME trial: Improving health centres to reduce childhood malaria in Uganda

Location	Tororo, eastern Uganda
Sector targeted	Government primary care
Intervention dates	May 2011 – Apr 2013
Timing of evaluation	July 2011 – Apr 2013
Prescriber sample	10 facilities
Patient sample	81,682
Based on formative research?	Yes, a lot
Qualitative data collected from prescribers?	Yes

### Background context

A very rural area with limited infrastructure and very high malaria transmission. Many of the health facilities lacked water and electricity and often had staff shortages and issues of staff turnover. Prior to the intervention, malaria was generally diagnosed clinically, even where microscopy was available (which was only functional in a couple of study facilities). Delivery of supplies, including ACTs, had typically been unpredictable.

mRDTs were introduced nationally at the same time as the study and reached the study site 18 months after the intervention started, with training in November/December 2012. There was a general demand for and acceptance of the idea of testing (not specific to malaria) among prescribers and the public prior to the intervention. However there was also high patient demand for antimalarials. There was a paternalistic and authoritative culture of care.

### Intervention

1. Training health workers in fever case management (FCM) and the use of mRDTs  
This involved a two day training session followed a week later by on-site training in facilities. Training was interactive and included performing and reading an mRDT (including practical), management of a patient with fever and either positive or negative mRDT as well as patient communication. All health workers were invited to attend the training.

2. Training health care workers on patient-centred services, including role plays on how to deal with 'difficult' patients including those negative for malaria one half-day session per week for six weeks
3. Training in-charges in health centre management including how to requisition and account for mRDTs and ACTs using a new system, and how to use the register records to monitor mRDT and ACT use, one half-day session per week for three weeks
4. Ensuring supplies of mRDTs and ACTs

Supervision on FCM only, with feedback, was conducted six weeks and six months after the training. The study team visited facilities initially monthly, then quarterly, for evaluation purposes.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by government, with study back-up supply in case of stock-outs
<b>Were continuous supplies assured?</b>	Yes, both RCTs and ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Free
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm cluster randomised controlled trial, with the control arm receiving no additional training or assured supplies (not included in current analysis). Outcome data was collected through cross-sectional community surveys, a cohort study, facility registers completed by prescribers and exit interviews with caregivers of under 5 year olds. The registers were used for the current analysis.

All prescribers were invited to participate in the intervention. All patients visiting facilities were included in the study.

### Findings

According to facility registers, 98% of patients with history of fever in past 48 hours were tested with an mRDT. However these findings differed from other sources of data such as patient exit interviews. Table 1 below shows that the majority of patients seen did not have their fever status recorded and that most of those for whom fever status was recorded, had a fever or history of fever. This suggests that there was recording bias in the registers, which likely overestimate the proportion tested.

Table 1: Variation in mRDT uptake by data source, denominator and age group

mRDT uptake	All ages	U5s
% patients with recorded fever/history of fever, tested with an mRDT according to facility registers (n) <sup>1</sup>	98% (49,778/50,615)	99% (19,317/19,537)
% all patients tested with an mRDT according to facility registers (n) <sup>2</sup>	36% (49,778/139,465)	44% (19,317/43,804)
% patients with a reported fever/history of fever, tested with an mRDT according to exit interview (n)	n/a	68% (475/696)
% all patients tested with an mRDT according to exit interview (n)	n/a	d/k

<sup>1</sup> Note, fewer than half of patients recorded in registers had their fever status recorded. This was a new section of the register, along with the mRDT information, and may only have been completed for patients who went on to be tested. Of those with fever status recorded, 89% of all patients and 95% of under 5 patients were recorded as febrile.

<sup>2</sup> Of children under 5 presenting to health facilities in this area, we can infer from other data that at least 90% will be febrile. This suggests that mRDT uptake is substantially lower in practice than when defined by those recorded as febrile.

According to facility registers, 93% of patients of all ages testing positive with an mRDT were prescribed or received ACTs and 3% of patients testing negative with an mRDT were prescribed or received antimalarials. However according to exit interviews with caregivers of patients under 5 years of age, 77% of those who had a positive reference microscopy reported receiving an ACT and 31% of those who had a negative reference microscopy reported receiving antimalarials.

#### Possible explanatory factors:

- **Mixed motivation to perform well in the intervention** – prescribers viewed the intervention as being implemented by an external organisation, from whom many felt they should have been getting a ‘motivation’, i.e. a financial incentive or gift, for the additional work expected as part of the study.
- **Patient acceptability** – prescribers reported that patients were happy to have blood tests; they appreciated having a diagnosis. They reported that patient numbers increased/patients were coming to the facilities from far away. Patients’ experiences of recovery increased prescribers’ trust.
- **Prescriber acceptability** – prescribers reported feeling that mRDTs enhanced their practice, or helped them to do their job better. It made them proud and was not difficult to do mRDTs.

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- **High coverage of malaria training** - training delivered to almost all staff, 25/28. Supervision provided on-site to trouble-shoot and re-emphasise good practice. Supervision also reached some health workers who had not attended the initial training (e.g. new staff). However, if a staff member had not been trained, they typically did not conduct mRDTs (although they may also not have been recording fever status either).
  - **Workload** – prescribers explained that they did not test if the facility was understaffed, particularly if there was only one staff member in the facility, or if they were over-worked, with high patient numbers.
  - **Possible data collection bias** - process reports noted some staff and one facility where mRDTs were not done; health workers interviewed explained that in circumstances of high workload and understaffing, or if new staff joined the facility who had not been trained, mRDTs may not be conducted; concerns were also raised in process reports that registers may not be completed accurately; rate of uptake lower when measured by exit interviews for under 5 year olds
  - **Trust in mRDTs** - health workers seemed to trust the mRDT's accuracy, possibly due to high mRDT positivity rates.

### Related publications

1. Chandler, C.I., et al., *The PROCESS study: a protocol to evaluate the implementation, mechanisms of effect and context of an intervention to enhance public health centres in Tororo, Uganda*. *Implement Sci*, 2013. **8**: p. 113.
2. DiLiberto, D., et al, *Behind the scenes of the PRIME intervention: Designing a complex intervention to improve malaria care at public health centres in Uganda*, *Global Health Action*, 8: p. 29067
3. Staedke, S.G., *Evaluating the impact of a public health centre intervention on management of malaria and health outcomes of children in Uganda – Results from the PRIME & PROCESS studies*. *Policy Brief*. 2014.
4. Staedke, S.G., et al., *The PRIME trial protocol: evaluating the impact of an intervention implemented in public health centres on management of malaria and health outcomes of children using a cluster-randomised design in Tororo, Uganda*. *Implement Sci*, 2013. **8**: p. 114.
5. Staedke, S. G., C. Maiteki-Sebuguzi, D. Diliberto, E. Webb, L. Mugenyi, E. Mbabazi, S. Gonahasa, S. P. Kigozi, B. Willey, G. Dorsey, M. R. Kanya and C. I. R. Chandler (2016). *The Impact of an Intervention to Improve Malaria Care in Public Health Centers on Health Indicators of Children in Tororo, Uganda (PRIME): A Cluster-Randomized Trial*. *American Journal of Tropical Medicine & Hygiene*.
6. Chandler, C. I. R., Webb, E. L., Maiteki-Sebuguzi, C. et al, *The impact of malaria rapid diagnostic tests on fever case management in a high transmission setting in Uganda: A mixed-methods cluster-randomized trial (PRIME)*. *PLOS One*, forthcoming

## Uga2: Use of rapid diagnostic tests to improve malaria treatment in the community in Uganda

Location	Rukungiri district, Southwest Uganda
Sector targeted	Voluntary community medicine distributors (CMDs)
Intervention dates	June 2010 – December 2011
Timing of evaluation	January – December 2011
Prescriber sample	90 CMDs in 32 villages in both cases
Patient sample	Uga2/a: 897 (low transmission case) Uga2/b: 5,698 (moderate transmission case)
Qualitative data collected from prescribers?	Yes

### Background context

A rural area with dispersed settlements and mountainous terrain. About half the community medicine distributors (CMDs) had previously volunteered to supply antimalarials for the home-based management of fever (HBMF) strategy, although that had ended prior to this study. There were two-three CMDs per village. They had not heard of mRDTs prior to the intervention but viewed them positively. It was recognised that non-malarial fevers were currently untreated and that mRDTs could help address this. CMDs were well integrated into the community, having long term relationships with patients who trusted them. mRDTs had been rolled out in Health Centre IIs in November 2008, although some continued to diagnose presumptively.

### Cases:

Uga2/a: low-moderate transmission area

Uga2/b: moderate-high transmission area

### Intervention

All CMDs were given four days interactive training, covering how to perform an mRDT (including practical), how to prescribe antimalarials, how to deal with negative cases and communication skills. They were also given pictorial job aids. Their role was to test children (3-59 months) for malaria and, if positive, give antimalarials. If and mRDT was negative, they were to refer children with specific symptoms to the health facility or else ask them to go home but return

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3 if they had not recovered in two days. For severe cases, they could give rectal  
4 artesunate without testing, and refer. mRDTs and treatments were provided  
5 free of charge.  
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8 For the first six months of the intervention, CMDs had close supervision, which  
9 was then scaled back for the remainder of the intervention. After this, there  
10 were monthly parish meetings to collect supplies. CMDs were volunteers but  
11 received incentives such as t-shirts, bicycles and a kerosene allowance.  
12 Community sensitisation activities also took place.  
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15 16 17 18 <b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study (collected at monthly parish meetings)
19 20 21 <b>Were continuous supplies 22 assured?</b>	Yes, both RCTs and ACTs
23 24 <b>Cost of mRDTs/ACTs to patients</b>	Free
25 26 <b>Who conducted mRDT?</b>	Prescriber

### 27 28 Study design

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30 Two-arm cluster randomised controlled trial. The control arm CMDs offered  
31 presumptive treatment of malaria and were not included in the current analysis.  
32 The intervention arm was separated into two cases for the current analysis: an  
33 area of low malaria transmission and an area of moderate-high transmission:  
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37 The evaluation ran for the final year of the intervention, after close supervision  
38 had ended. Data were collected from a project-specific register. Data was  
39 collected on all patients seeking treatment for fever.  
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42 Community members were asked to identify volunteers for the role of  
43 Community Medicine Distributor (CMD) at village meetings. All patients with  
44 fever consulting CMDs were included. The intervention targeted under 5s.  
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## Findings

*Uptake (% of patients who were tested with an mRDT):*

Uga2/a: 97% of patients were tested with an mRDT

Uga2/b: 100% of patients were tested with an mRDT

*Adherence to positive mRDTs*

*(% of patients testing positive with an mRDT who received ACTs):*

Uga2/a: 66%

Uga2/b: 98%

*Adherence to negative mRDTs*

*(% of patients testing negative with an mRDT who did NOT receive any antimalarials):*

Uga2/a: 97%

Uga2/b: 99%

## Possible explanatory factors:

- **High motivation to perform well in the intervention** – the CMD role was newly created for this intervention. CMDs reported because of their role, they gained status and respect from the community and parents.
- **Community acceptance** – there were few refusals for testing. CMDs explained that the community appreciated that the CMDs offered a free service, so it saved them money. The CMDs were nearby, whereas health facilities were far, they were accessible at night when facilities are closed. Parents also liked testing itself because they liked to know if their child had malaria or not. However some CMDs explained that a few parents complained that it took time and tests were always negative in Uga2/a, so they preferred the presumptive CMDs (in the control arm of the study). At the same time in Uga2/b, some CMDs reported that children from presumptive villages (i.e. the control arm) came to them for testing. This suggests that the availability of mRDTs affected treatment seeking behaviour (see subsequent point).
- **Self-selecting sample** - the community knew that the CMD's role was to test, so presumably would not visit them unless they wanted their child to be tested (indeed there were far more consultations in the 'presumptive' control arm, particularly in Uga2/a, suggesting there may have been greater demand for antimalarials than for testing, although the population there was also larger. Visits to intervention CMDs were typically delayed longer after the onset of symptoms than in presumptive arms, suggesting that the decision to go for testing may have delayed treatment seeking.
- Some health facilities didn't test, which may have been a further reason to use CMDs.



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- **mRDT/ACT supplies** - Although stock outs of mRDTs and ACTs were not expected and did not appear to be an issue, they were more likely in Uga2/a than in Uga2/b.
- **Acceptability to parents/community:** Although there were some cases when CMDs reported pressure from parents, explaining that they were forced to give antimalarials, in general there was acceptance that no antimalarials were given if mRDT results were negative. CMDs reported that acceptance came with time and experience of recovery without coartem, or no recovery in spite of coartem, for negative cases. There was a common understanding that not all fever was malaria. Not giving antimalarials to children with negative mRDT results may also have been acceptable to both CMDs and caregivers because their new, specific role meant that there was no expectation that they would treat all illnesses, or those that were not malaria. Their new, additional service may also have been accepted since it did not disrupt or prevent their usual treatment-seeking. Those wishing to use antimalarials could still have visited their normal source of treatment if they did not receive the outcome they were hoping for. Relatedly, some CMDs described situations when some caregivers weren't happy with negative mRDT results and would go to a presumptive CMD to get antimalarials if test was negative.
- **Trust in mRDTs** - CMDs generally reported that they trusted mRDT results; this trust grew with time and experience. Some CMDs in Uga2/b did not trust the test, for example when negative cases improved with coartem. Since they were embedded in the community, it was more likely that they would be able to get feedback or follow up patients than would have been the case in health facilities. Some health facilities did not use mRDTs, which may also have undermined the CMD's and community's trust in mRDT results.

#### Related publications

1. Lal, S., et al. (2015). "Health facility utilisation changes during the introduction of community case management of malaria in South Western Uganda: An interrupted time series approach." PLoS One **10**(9): 1371.

### Uga3: Introducing rapid diagnostic tests in drug shops to improve the targeting of malaria treatment

Location	Mukono, Uganda
Sector targeted	Registered, private drug shop vendors in trading centres and urban areas
Intervention dates	October 2010 – December 2011
Timing of evaluation	January – December 2011
Prescriber sample	9 clusters containing 29 drug shops
Patient sample	8,561
Qualitative data collected from prescribers?	Yes

#### Background context

A mainly rural area with a peri-urban district. High prevalence of malaria. Testing was not the norm for drug shop vendors (DSVs) prior to the intervention and there was a general belief that they could diagnose without testing. However they felt that mRDTs could attract customers and improve their reputation. It was felt that the introduction could lead to a shift from antimalarial prescriber to antimalarial gatekeeper. Prior to the intervention, “mRDTs were not wholly unfamiliar to community members, and importantly that there was a pre-existing perception that not all fevers are malaria, and that diagnostic testing was viewed as potentially helpful in reducing this uncertainty” [4]. However it was believed that but that adhering to negative results would not be acceptable to patients. There was close social proximity between DSVs and their customers – they were trusted. The transactional nature of the relationship between DSVs and their customers gave the latter power in terms of negotiating treatment. Coartem was scarce and expensive prior to the project.

#### Intervention

Four days of interactive training were provided to all DSVs, which covered performing and reading mRDTs (including a practical), prescribing antimalarials, how to deal with mRDT negatives and communicating and negotiating with patients. New DSVs were not given supplies until they had received training. If an mRDT result was negative, DSVs were supposed to refer. Weekly support supervision with feedback was provided for the first two months after training.

The community were sensitised about mRDTs through leaflets distributed by village health teams and roadside placards advertised the availability of testing

at drug shops; some interviewees also mentioned megaphones advertising new testing services.

<b>Medical supply mechanism</b>	mRDTs & ACTs supplied by study (collected from study office)
<b>Were continuous supplies assured?</b>	Yes, both RCTs and ACTs
<b>Cost of mRDTs/ACTs to patients</b>	Subsidised
<b>Who conducted mRDT?</b>	Prescriber

### Study design

Two-arm cluster randomised controlled trial. The control arm received no mRDTs and was not included in the current analysis. Evaluation ran for the final 12 months of the intervention, after close supervision had ended. Patients were eligible if they had a fever or history of fever when presenting at the drug shop. Data was collected from a project-specific register completed by the drug shop workers.

### Findings

#### *Uptake*

(% of patients with history of fever in past 48 hours who were tested with an mRDT):  
99%

#### *Adherence to positive mRDT results:*

(% of patients testing positive with an mRDT who received ACTs):  
98%

#### *Adherence to negative mRDT results:*

(% of patients testing negative with an mRDT who did NOT receive any antimalarials):  
99%

### Possible explanatory factors:

- **High motivation to perform well in the intervention** – the intervention enhanced DSVs' status, increasing their perceived legitimacy and professionalism by giving them training, allowing them to test blood and providing visible interactions with the Ministry of Health. DSVs reported that it was good for their business, with more customers visiting their drug shop. Receiving mRDTs and coartem free from the study, which they sold

to customers, also boosted their income further. DSVs also reported that mRDTs improved the care they could offer, that they gained confidence in treating patients and that they simplified their work. They also reported finding mRDTs easy to use.

- **Data collection** - DSVs explained that they were able to sell non-project antimalarials, presumably if a patient demanded them in spite of an mRDT negative result, or if a patient refused to test. These would not have been captured in the project register (and so could have led to bias in the data collection).
- **Fitted well into landscape of care** – although very different from the existing process, prescribers were happy to integrate mRDTs into their consultations. They also reported that patients were happy to be tested, with few refusals. DSVs explained that patient acceptance came with time, but that in general, they liked blood testing per se and they liked to know their diagnosis. There was a common understanding that not all fever was malaria and so they understood needed to test before treatment. Patient acceptability could also be seen by the fact that DSVs reported that the number of patients seen increased, with word of mouth encouraging others to attend. Patients felt that the drug shops were not just selling medicines but providing a service. mRDTs increased their trust and confidence in the DSVs, who were then seen as legitimate part of the health service ('real health workers').

### Related publications

1. Mbonye, A. K., et al. (2015). "A Cluster Randomised Trial Introducing Rapid Diagnostic Tests into Registered Drug Shops in Uganda: Impact on Appropriate Treatment of Malaria." *PLoS One* **10**(7): e0129545.
2. Mbonye AK, Ndyomugenyi R, Turinde A, Magnussen P, Clarke S, Chandler C. The feasibility of introducing rapid diagnostic tests for malaria in drug shops in Uganda. *Malaria Journal*. 2010;9:367.
3. Chandler CI, Hall-Clifford R, Turinde A, Magnussen P, Clarke S, Mbonye AK. Introducing malaria rapid diagnostic tests at registered drug shops in Uganda: Limitations of diagnostic testing in the reality of diagnosis. *Social Science and Medicine*. 2011;72(6):937 - 44.
4. Mbonye AK, Lal S, Cundill B, Hansen KS, Clarke S, Magnussen P. Treatment of fevers prior to introducing rapid diagnostic tests for malaria in registered drug shops in Uganda. *Malaria Journal*. 2013;12:131.
5. Mbonye AK, Magnussen P, Chandler CI, Hansen KS, Lal S, Cundill B, et al. Introducing rapid diagnostic tests for malaria into drug shops in Uganda: design and implementation of a cluster randomized trial. *Trials*. 2014;15:303.
6. Hutchinson E, Chandler C, Clarke S, Lal S, Magnussen P, Kayendeke M, et al. 'It puts life in us and we feel big': shifts in the local health care system during the introduction of rapid diagnostic tests for malaria into drug shops in Uganda. *Critical Public Health*. 2015;25(1):48 - 32.

Supplementary file 2: Sample of data extraction table for 'adherence to negative mRDT results': selected characteristics only

Case	No AM to negative RDT %	Intervention content	Duration of RDT/ malaria-focused training	Training style for RDT/ malaria training	Training on how to treat patients with negative RDT results?	Training covered skills around communicating with patients?	Support supervision	Additional intervention components
Nig1/a3	27	Enhanced	2	Interactive	Yes, though minimal	Yes	Yes - monthly, with feedback, and telephone support	Yes (school-based activities)
Cam1/a1	47	Basic	1	Didactic	Yes	No	Yes - monthly, with feedback	No
Cam1/b1	49	Basic	1	Didactic	Yes	No	Yes - monthly, with feedback	No
Ghan1/b	51	Basic	2		Yes	No	No	No
Ghan1/a	54	Basic	2		Yes	No	No	No
Nig1/a1	56	Basic	0.5	Didactic	No	No	No	No
Nig1/b1	57	Basic	0.5	Didactic	No	No	No	No
Nig1/a2	65	Enhanced	2	Interactive	Yes, though minimal	Yes	Yes - monthly, with feedback, and telephone support	No
Afgh1/c	65	Basic	1.5	Didactic	No	No	No	No
Nig1/b2	70	Enhanced	2	Interactive	Yes, though minimal	Yes	Yes - monthly, with feedback, and telephone support	No
Cam1/a2	76	Enhanced	3	Interactive	Yes	Yes	Yes - monthly, with feedback	No
Cam1/b2	77	Enhanced	3	Interactive	Yes	Yes	Yes - monthly, with feedback	No
Tanz2/a1	77	Basic	1	Didactic	No	No	No	No
Tanz2/2	78	Basic	2	Didactic	No	No	No	No
Afgh1/b	81	Basic	1.5	Didactic	No	No	No	No
Nig1/b3	81	Enhanced	2	Interactive	Yes, though minimal	Yes	Yes - monthly, with feedback on performance, and telephone support	Yes (school-based activities)
Tanz1/b	85	Basic	2	Didactic	No	No	Yes - 32% had received supervision on use of RDTs; 29% on ACTs	No

\*Intervention explicitly designed to address and support the process of change in implementing RDTs, beyond knowledge and equipment, e.g. training content and methods reflected how new ideas and demands will work in practice, e.g. reflection-feedback opportunities, multiple sessions of training, role plays and feedback.

Case	No AM to negative RDT %	Intervention content	Duration of RDT/ malaria-focused training	Training style for RDT/ malaria training	Training on how to treat patients with negative RDT results?	Training covered skills around communicating with patients?	Support supervision	Additional intervention components
Afgh1/a	87	Basic	1.5	Didactic	No	No	No	No
Tanz2/b1	90	Basic	1	Didactic	No	No	No	No
Tanz1/a	90	Basic	2	Didactic	No	No	Yes - 26% had received supervision on use of RDTs; 31% on ACTs	No
Tanz2/3	92	Enhanced	3	Interactive	Yes	Yes	?	Yes (feedback & motivational text messages)
Tanz2/4	95	Enhanced	3	Interactive	Yes	Yes	?	Yes (feedback & motivational text messages; patient leaflets)
Tanz1/c	96	Basic	2	Didactic	No	No	Yes - 22% had received supervision on use of RDTs; 19% on ACTs	No
Uga1	97	Enhanced	3	Didactic	Yes	Yes	Yes - at one week, 6 weeks and 6 months, with feedback	Yes (training on patient-centred services, training in-charges in health centre management)
Uga2/a	97	Enhanced	4	Interactive	Yes, though minimal	Yes	Yes - close supervision for first 6 months (before evaluation)	Yes (community sensitisation)
Uga2/b	99	Enhanced	4	Interactive	Yes, though minimal	Yes	Yes - close supervision for first 6 months (before evaluation)	Yes (community sensitisation)
Uga3	99	Enhanced	4	Interactive	Yes, though minimal	Yes	Yes - weekly, with feedback, for first 2 months (before evaluation)	Yes (community sensitisation)
Tanz3	100	Enhanced	d/k (6-11 for all IMCI training, not just malaria)	Interactive	Yes	Yes	Yes	Yes (IMCI training, salary for providers)

\*Intervention explicitly designed to address and support the process of change in implementing RDTs, beyond knowledge and equipment, e.g. training content and methods reflected how new ideas and demands will work in practice, e.g. reflection-feedback opportunities, multiple sessions of training, role plays and feedback.

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43 \*Intervention explicitly designed to address and support the process of change in implementing RDTs, beyond knowledge and equipment, e.g. training content and methods  
44 reflected how new ideas and demands will work in practice, e.g. reflection-feedback opportunities, multiple sessions of training, role plays and feedback.  
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For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>