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Complete List of Authors:	Miles, Anne; Birkbeck, University of London, Chronakis, Ioannis; University College London Fox, John; Oxford University, Department of Engineering Science Mayer, Astrid; University College London, Medical School
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The use of a computerised decision aid (DA) to inform the decision process on adjuvant chemotherapy in patients with stage II colorectal cancer: development and preliminary evaluation.

Miles A,¹ Chronakis I,² Fox J,^{2,3} Mayer A.⁴

For peer review only

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ABSTRACT

Objectives: To develop a computerised decision aid (DA) to inform the decision process on adjuvant chemotherapy in patients with Stage II colorectal cancer, and examine patient acceptability and areas for improvement of the DA.

Design: Mixed methods.

Setting: Single outpatient oncology department in central London.

Participants: Consecutive recruitment of 13 patients with Stage II colorectal cancer, 12 of whom completed the study. Inclusion criteria were: age >18 years; complete resection for stage II adenocarcinoma of the colon or rectum; patients within 14-56 days after surgery; no contraindication to adjuvant chemotherapy; able to give written informed consent. Exclusion criteria: No previous chemotherapy.

Primary outcomes: Patient acceptability (assessed by the PrepDM questionnaire) and perceived usefulness of the DA.

Results: Perceived usefulness of the DA in preparing the patient to communicate with their doctor and make a health decision (PrepDM scores) were above those reported in other patient groups. Patient acceptability scores were also high, however interviews showed there was evidence of a lack of understanding of key information among some patients, in particular: their baseline risk of recurrence, the net benefit of combination chemotherapy, and the rationale for having chemotherapy when the cancer had apparently gone.

Conclusions: Patients found the DA acceptable and useful in supporting their decision about whether or not to have adjuvant chemotherapy. Suggested improvements for the DA include: sequential presentation of treatment options (e.g. no treatment vs. one drug, one drug vs. two drugs) to enhance patient understanding of the difference between combination and single therapy, diagrams to help patients understand the rationale for chemotherapy to prevent a recurrence, and inbuilt checks on patient understanding of baseline risk of recurrence and net benefit of chemotherapy.

Strengths and limitations of the study

- This study evaluated patient acceptability, usefulness and understanding of a decision-aid using mixed-methods at the point patients made their decision about whether or not to have adjuvant chemotherapy.
- We recruited men and women, with a range of different ages and levels of education, including people with no educational qualifications enabling us to capture a range of different responses to the decision aid.
- People educated to degree level or above were over-represented in the study, and may have led to an over-estimate of perceived usefulness and acceptability of the DA.

INTRODUCTION

Ethical considerations and recent policy changes have put patients at the centre of health decisions, aiming to make shared-decision making ‘the norm’.^{1;2} One key issue for people who have undergone surgical resection of colorectal cancer is the decision about whether or not to have adjuvant chemotherapy, which is typically either 5-FU or capecitabine, given alone or in combination with oxaliplatin.^{3;4} Whilst surgery is highly effective for localised disease, up to 85% of patients with lymph node involvement (stage III) relapse within five years. Because chemotherapy can prevent recurrence in up to 25% of patients with stage II disease,⁵ it is offered routinely. For patients without lymph node involvement (Stage II) the risk of relapse is lower (20-40%) and a smaller number of patients (3-7%) are expected to benefit.^{6;7} Since there is a risk of side-effects following chemotherapy, some of which can be life-threatening or permanent and the balance of harms and benefits is marginal in patients with Stage II, the use of adjuvant chemotherapy in this patient group has remained controversial.⁸

Patients with colorectal cancer have expressed a desire for more information, notably about their cancer, prognosis, and treatment options,^{9;10} and effective communication of diagnostic and prognostic information has been shown to enhance patient wellbeing, and patients’ perceptions of the quality of the doctor-patient interactions.¹¹ However patients can hold more positive views on adjuvant therapy than physicians¹² and may want chemotherapy even when there is little evidence it will help prevent a recurrence,^{13;14} for example, women with breast cancer with low risk of recurrence are more likely to decline chemotherapy if they are aware of the (small) impact,¹⁵ underlining the need for effective communication. People have problems understanding risk information,¹⁶ and while there is an emphasis on patients making decisions that are consistent with their values, evidence points to the instability of preferences, with some researchers arguing that true preferences may not always exist, but are constructed “on the spot” using the information available at the time of decision-making.¹⁷

Decision-aids

To facilitate informed decision making a wide range of decision aids (DAs) have been developed. DAs are used alongside patient-physician interactions and contain detailed information about clinical options and outcomes, are explicit about the choices facing the patient, and encourage patients to express their preference. DAs typically result in greater knowledge, a higher proportion of people with accurate risk perceptions, lower decisional conflict (e.g. feeling better informed and clearer

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3 about personal values), and more active roles in decision making compared with usual care.¹⁸
4 Although DAs have been developed to aid treatment decisions for a number of different cancers,¹⁹⁻²²
5 little has been done in the context of colorectal cancer. One exception is a booklet to help patients
6 make decisions about adjuvant chemotherapy or radiotherapy, although this was only evaluated
7 among patients who had opted to have adjuvant therapy, and was developed to be used by the patient
8 at home rather than as part of a clinical consultation.^{10;23}
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15 The aim of the present study was to develop a DA for chemotherapy for Stage II that included the
16 information identified as important to patients in previous research^{9;10} and examine its acceptability
17 to patients making a decision about whether or not to have adjuvant chemotherapy, as well as
18 identify any areas where patient understanding of key information could be improved.
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24 25 **CONTENT OF DA**

26 PROforma decision support technology (a formal language for automating clinical processes and
27 protocols) and the Tallis tool set (used to design the decision support, check the syntactic integrity of
28 the program and run the application in a test environment) were used to develop the DA,^{24;25}
29 <http://openclinical.net/index.php?id=390>. A variety of decision support applications based on
30 PROforma technology have demonstrated a significant positive impact on a number of outcome
31 measures such as reducing prescription errors and adherence to evidence based guidelines.²⁶⁻²⁸
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38 The DA captured patient demographic and clinical information (e.g. histology type, evidence of
39 extramural vascular invasion), as well as patient fitness to undergo chemotherapy (assessed via
40 presence of co-morbidity and ECOG performance status²⁹ ranging from fit and well (0) to bed bound
41 (4)).
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46 Risk of recurrence with and without chemotherapy was mathematically derived based on: a) the
47 baseline risk of recurrence without chemotherapy, which was calculated for each patient using
48 information from the published SEER database (<http://seer.cancer.gov/data/>) using the
49 adjuvant!online risk calculator (<http://www.adjuvantonline.com>);³⁰ b) the effectiveness of both single
50 and combination chemotherapy, taken from published randomised controlled trials and meta-
51 analysis.^{6;7} This calculator matches baseline risk of recurrence data to the individual patient's
52 clinicopathological characteristics (T stage, number of lymph nodes examined, histology type, and
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3 histopathological grading). The age specific natural mortality was derived from published national
4 mortality data.
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8 In line with the presentation of information in Adjuvant!online, and consistent with
9 recommendations, we used simple percent format, and absolute risk when conveying information
10 about the net benefit of chemotherapy along with a bar chart showing the likelihood of different
11 outcomes, thereby using both visual and numeric information to convey risk of recurrence
12 information, keeping the denominator consistent and stating the reference class and time-frame.^{31;32}
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18 Patients were first shown one graph detailing their baseline risk of recurrence in the absence of
19 chemotherapy (see Figure 1) and graphs showing the net benefit of single and combination
20 chemotherapy on the final page of the DA (see Figure 2). Because the risk prediction of
21 Adjuvant!online does not include clinical presentation (obstruction or perforation), or the risk factors
22 vascular, lymphovascular and perineural invasion, these were included under headings for ‘indicators
23 for good prognosis’ (e.g. tumour stage T3) and ‘indicators for bad prognosis’ (e.g. extramural
24 vascular invasion), presented on the final page of the DA (see Figure 2).
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31 Information about the method of administration for the different drugs, the need for the insertion of a
32 central line, the number of times the patient would need to come to hospital, and the duration of
33 treatment were given. The list of side effects were put into three different sections: common (>30%
34 of patients affected), less common but serious (potentially life threatening), and potentially
35 permanent side effects. It was made clear that the first two sections related to all chemotherapy
36 options, but that the long-term side-effects only related to the two drug chemotherapy option
37 (oxaliplatin carries a high risk of long term numbness of hand and feet).⁴
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45 [See Figure 1]

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50 The values clarification exercise was adapted from one developed for use in colorectal cancer
51 screening among elderly people³³ to capture the main issues involved in the decision, including
52 features identified as important to patients with colorectal cancer⁹ (see supplementary data Table 1).
53 Patients were asked to complete the questionnaire on the computer, selecting one of the two options
54 presented for all 10 questions. Patients were able to review and change their responses to the
55 questions at any time during or after the consultation.
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4 The final page of the DA combined graphical presentation of risk of recurrence information for the
5 three treatment options alongside arguments laying out the pros and cons for each option, and the
6 results of the values clarification exercise (see Figure 2).
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10 **METHODS**

11 **Design**

12 A mixed methods design was employed.
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18 **Participants**

19 Potential patients were identified at the colorectal multidisciplinary team meeting at the Royal Free
20 NHS Trust. Inclusion criteria were: Male or female patients age >18 years; patients who had
21 undergone complete resection for stage II adenocarcinoma of the colon or rectum; patients within 14-
22 56 days after surgery; no contraindication to adjuvant chemotherapy; able to give written informed
23 consent. Exclusion criteria: Previous chemotherapy.
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29 **Procedures**

30 After informed consent patients were randomised to receive DA at either the first or second
31 consultation. All patients were given written information about the side effects of chemotherapy, as
32 per current practice. When seen back in clinic, after one to two weeks, patients were given the
33 opportunity to ask questions, at which point the patient's treatment decision was recorded. Patients
34 were invited to complete the values clarification exercise on the computer, but received help from
35 relatives or the clinician if requested.
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43 All consultations took place in the Outpatients Oncology Department at the Royal Free London NHS
44 Trust. Patients were given a questionnaire assessing responses to the DA after the consultation at
45 which the DA had been used.
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49 **Questionnaire:**

50 Information about age, gender, educational level, ethnicity and employment status was collected.
51 Perceived usefulness of the CDS in preparing the patient to communicate with their doctor and make
52 a health decision was assessed with the PrepDM questionnaire.³⁴ Acceptability of the decision aid
53 was assessed using seven items, three were from Bennett et al.,³⁴ while the remaining items were
54 developed for the present study (see Table 1 for questions and response options).
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Interview

Face-to-face interviews were used to gain an in depth understanding of patients responses to the DA, and their understanding of key information. These were conducted face-to-face at the hospital (by AMiles) following the second consultation, between March 2012 and July 2014. The interviews lasted an average of 33 minutes (range: 18 to 54). Data were analysed using thematic analysis.³⁵

Participants

Thirteen patients were recruited, with 12 completing the study. The age of participants ranged from 33 to 82, with a median age of 67. The ratio of men to women was 1:2. Over half (n=7) were educated to degree level or equivalent and the majority were of white ethnicity (British or Irish) (n=9). Approximately half were employed (n=6), one was unemployed and the remainder were retired. One patient reported having had chemotherapy prior to surgery (s6). This person was included since it was not felt to impact on the reported outcomes. Eleven of the twelve patients declined chemotherapy (all except s8).

RESULTS

Patients perceptions of the usefulness of the decision aid in helping them communicate with their doctor and make a decision were good, with scores (mean 4.28, SD 0.9) comparing favourably with those reported in other clinical populations, where scores ranged from 3.3 to 3.9.³⁴ Patients also reported finding the risk of recurrence information in the DA and graphical representation of information in the DA helpful, with all except 1 reporting they were happy they understood the meaning of the information in the DA about risk of recurrence (see Table 1).

Table 1: Attitudes towards decision aid

	Number
Usefulness of information in preparing patient for decision (PrepDM) (1-5) mean (SD)	4.28 (0.90)
Acceptability of DA ³⁴	
Helpful	
Very	10
Somewhat	1
A little	1
Not helpful	0
Recommend to others	
Definitely yes	6
Probably yes	5
Probably not	1
Definitely not	0
Information clear	
Everything clear	8
Mostly clear	4
Some clear	0
Mostly unclear	0
DA add anything to the consultation	
An extreme amount	1
Very much	7
A moderate amount	4
A little bit	0
Nothing	0
Graphical representation in the DA helpful	
Extremely	4
Very	6
Moderately	2
A little bit	0
Not at all	0
Understood risk of recurrence info. in DA	
Yes	10
No	0
Not sure	1
Helpfulness of risk of recurrence info. in DA	
Extremely	3
Very	6
Moderately	1
A little bit	1
Not at all	0

Graphical representation of information on risk

A number of patients felt the graphs increased the clarity of the risk of recurrence information, “*I liked the graphs, I suppose they’re accurate really... I worked with statistics enough to know that you can kind of hide the numbers.... it made me consider chemotherapy more than I possibly would of I was just given words.*” (s10). Another participant described how the graphical presentation looked more scientific and hence more credible than being told the same information verbally: “*Really it’s no different to what the surgeon had already told us yesterday... it’s a very much more scientific, you know, sort of presentation to it....*” (s4).

For some patients the graphs provided information they would otherwise have overlooked: for one patient it was the additional benefit conferred by two, compared with one, chemotherapy drug “*I didn’t realise that the one was quite like a significant difference there, between the two (chemotherapy drugs).*” (s3); for another patient it was the risk of death from other causes: “*When we’re there we only talk about coming back and not coming back. But we do know that death is something that can come anytime... So I just put them in the two categories but on the graph I saw the three there.*” (s9)

Some patients found it helpful to see the portion of the graph that illustrated the net benefit of chemotherapy, whether they wanted chemotherapy or not, and used it to help them cope with their decision: “*that made me feel quite positive... even though there was only 5%... I’m going into the chemotherapy, now, thinking about that line.*” (s8), “*the graph...the bit that she was talking about (net benefit of chemotherapy) is just tiny is quite good.*” (s3).

Some patients liked the positive information about their general prognosis – “*I was quite pleased to see that. That was nice. There was lots of green (baseline risk of recurrence)*” (s8). One person liked it because they described themselves as “*a visual person*” (s3).

However, not everyone was able to articulate whether or why they had found the graphical information helpful, particularly if they had taken a younger relative into the consultation with them and felt confident their relative understood what was being said. “*I didn’t really understand it very much...I’m a bit of a dinosaur... I know my niece would have understood it all.*” (s11, chemotherapy

declined) *“I just can’t say...My son was with me now and he was very informative afterwards, he was, talked about it a lot...I think he found it very helpful.”* (s12, chemotherapy declined).

Values clarification

The belief that they could not predict whether they would benefit from chemotherapy, the small risk of the cancer coming back, and the long-term side-effects of chemotherapy were the main barriers to chemotherapy (see supplementary material Table 2). Of least concern were the short-term side-effects, insertion of a central line and repeat attendance at hospital, although around half were still concerned about these things.

Reactions to the values clarification exercise were more mixed than to the graphical display. Some patients found it helpful while others reported conflicting feelings about the information. Positive reactions included the feeling it was an accurate reflection of their (often mixed) views about chemotherapy, was clearer, more explicit, more rational and less emotional, and empowering for the patient. *“it’s good in terms of sometimes you struggle to articulate why you make one decision versus another.”* (s10) *“getting me to kind of click on things, and say yes... puts the onus on me to make a decision and own my decision and feel empowered to make a decision independent of what the doctor thinks...”* (s8) *“Rather than having an emotional response, there’s an actual figure there to say, “This is what you decided.”...it’s good to be logical.”* (s3).

Others commented on the fact that not all the items were equally important and that numbers for and against chemotherapy were a bit simplistic, or having to select a choice yes/no without saying why, did not tell the whole story.

One patient reported feelings of guilt when answering the values clarification exercise, because although they viewed their health as important they were opting to do something that did not necessarily maximise their chances of maintaining their health: *“my health comes first above everything. And so a lot of questions there made me feel when I opted for a different answer to that, to really putting that first, I felt very guilty about, which was quite interesting. And I did pause and stress about that a little bit... But just because I answered the other way doesn’t mean I still don’t have my health, you know, at the forefront of my thoughts... However, there are other issues at stake here.”* (s4).

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3 Another felt it highlighted the importance of life over everything else: *“I’m worrying about hair loss*
4 *and this and that.... And what about that? Is that more important than life itself? Well, no, it isn’t...*
5 *But that tool...did think, you know, all of that is trivial in comparison with life itself. It’s very*
6 *precious.”* (s7)
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11 However one lady felt she only needed the risk of recurrence statistics to make a decision, and
12 didn’t need the values clarification exercise: *“It didn’t seem to be difficult to answer really.... the*
13 *biggest thing that sticks out in my mind is the statistics and the fact that taking the chemo again*
14 *made really very little difference, that my mind was pretty well made up straight away.”* (s6)
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16 Others forgot whether or not they had done the exercise: *“In all honesty, I’d forgotten that, you*
17 *know. I don’t remember I did or not do.”* (s11).
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22 23 **Patient understanding of risk of recurrence information and net benefit of chemotherapy**

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25 The majority of patients understood that the aim of chemotherapy was to reduce the chances of
26 the cancer coming back, although people were more likely to mention the figure for net benefit of
27 one chemotherapy drug (2-3%) rather than two (5%): *“if I had chemotherapy, my chances of*
28 *living more than five years would be about one or two percent increased, compared with not*
29 *doing anything.”* (s1) *“the chance of the cancer coming back was low—without the chemo, was*
30 *low, anyway... Yes, the chemo might improve it by a couple of percent.* (s4).
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38 Two patients (both in their 30s) reported higher net benefit of chemotherapy figures (of 5%) and a
39 clearer understanding of the difference between one and two chemotherapy drugs. *“I think off the*
40 *top of my head there was 86% chance of it not recurring within five years if I stopped it now...*
41 *then for every additional drug chemo that I had was approximately a 2.5% additional chance of*
42 *survival.”* (s10). One patient confused net benefit (additional 2-5% avoid recurrence) with overall
43 recurrence rates (20-40%). *“(oncologist’s name) said to me, “There’s just 2% chance.” When I’d*
44 *said, “No, I do not want chemo,”.... I took for granted that sort of the chances of it coming back*
45 *is extremely low.”* (s11)
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53 **What do people understand by cancer recurrence and the rationale for chemotherapy?**

54 There was confusion over whether the figures about recurrence referred to another primary cancer
55 or progression of the current episode, or both. *“Was it a recurrence of the same cancer or was it*
56 *from somewhere else?”* (s6) While some patients understood that any recurrence would
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3 potentially be more aggressive and harder to treat than their colorectal cancer had been, others
4 assumed the recurrence would be in the gut: *“When you say it’s a recurrence, you presume it will*
5 *be expected to be from the same site where the primary is... somewhere in the gut...”*(s6).

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8 *“Actually, in the beginning I was thinking that they were talking about that, the cancer will affect*
9 *my bowel again. But from what she’s (the oncologist) saying...it’s not only the bowel, but you*
10 *can get other type of cancer.”* (s9) *“obviously if it comes back it’s not going to be as*
11 *straightforward... It wouldn’t be as simple as just having an operation and removing it, cos it*
12 *might go to another part of the body.”* (s7)

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17 An additional area of confusion was whether they were currently cancer free or not, and the
18 rationale for giving chemotherapy when no cancer was apparently present or if you were a person
19 ‘prone’ to cancer. *“People say that you’re “cured” now because the cancer’s been removed*
20 *surgically. But then you’ve got this risk of recurrence. I found that quite difficult to wrestle in my*
21 *head because it doesn’t feel like I’m cured. It feels like I’ve got cancer and I’ve got to see what*
22 *happens over the next five years... (s8) “But that has been my problem. Even taking the*
23 *chemotherapy, if you’re talking about, well if cancer, prone to cancer. If cancer will come and*
24 *chemotherapy wouldn’t.... if it were there, I agree it would affect it, but if you will come back I*
25 *don’t think chemotherapy can stop it. That’s my understanding; maybe I’m wrong. (s9) But some*
26 *patients did not think their cancer would come back, and this belief seemed to be the basis of their*
27 *decision to refuse chemotherapy: “I’m sure that if I thought there was a possibility of it coming*
28 *back, for me, I would.... I would go for treatment. But I’m just hoping that it doesn’t happen.”*
29 (s12)

30 31 32 33 34 35 36 37 38 39 40 41 **DISCUSSION**

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43 We developed a DA for stage II colorectal cancer patients to facilitate an informed decision process
44 for adjuvant chemotherapy. Patient perceptions of the usefulness of the DA were above scores
45 reported in other patient groups,³⁴ but there was evidence of a lack of understanding of key
46 information among some patients, in particular: their baseline risk of recurrence, the net benefit of
47 combination chemotherapy, and the rationale for having chemotherapy when the cancer had
48 apparently gone.

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55 The method of communicating risk of recurrence information based on Adjuvant!online improves
56 patient understanding of the likelihood of disease-free survival.¹⁵ Numeric information about risks
57 enhances patient understanding.³⁶ While visual displays can be very helpful, not everyone can extract
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3 the relevant information from them.³¹ Consistent with previous research (e.g.¹⁵ we found that despite
4 presenting both numeric and visual risk information, there was evidence of a lack of understanding
5 of risk information among some patients.
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10 Research on members of the general population has shown that understanding of the graphical risk
11 information given in Adjuvant! online is improved if people are presented with fewer options at any
12 one time,³⁷ and that sequential presentation of treatment options leads to improved understanding of
13 key information about risk of recurrence and net benefit of treatment.³⁸ In the current study patients
14 were presented with all three options at once, and patient understanding of combination
15 chemotherapy might have been enhanced by presenting just two options at a time (e.g. no treatment
16 vs. one drug, no treatment vs. two drugs). In addition any benefit of single agent chemotherapy has
17 only been observed among patients with bad prognostic factors⁴ which may be limited to T4.^{39;40}
18 Significant efforts are being made to develop better prognostic indicators including the search for
19 gene signature sets that predict response to chemotherapy in this patient group.^{41;42} This suggests
20 that, in future, even more complex information may need to be presented to patients.
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30 The values clarification exercise required patients to select arguments for and against chemotherapy.
31 Although the pros and cons method is the most commonly used method for values clarification
32 purposes, a variety of different methods are available, such as ranking, and social matching (how
33 other value characteristics of different options and how similar that person is to you), but due to a
34 lack of research, there are no explicit recommendations about best practice, and no firm evidence
35 about how and whether values clarification exercises actually inform and help the decision making
36 process.⁴³ Patient feedback showed some patients found the exercise useful, and for one clarified the
37 bottom-line of the decision: prolonging life vs experiencing side-effects. But for others the process
38 was less helpful, either because understanding of the information contained in the DA had effectively
39 been delegated to a younger member of the family (niece/son), or because the risk of recurrence
40 information was all they felt they needed to make a decision. There is a lack of research about which
41 patients may benefit from values clarification exercises, and the best methods to engage people with
42 different cognitive ability as well as patients with lower literacy levels remains to be explored.⁴³ The
43 values clarification exercise used in the current study had a higher than recommended readability
44 level, and a simpler method of eliciting patient preferences will be needed for patients with lower
45 levels of literacy.
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3 A further suggested modification of the DA is the addition of information which helps people
4 understand the rationale for being given chemotherapy when cancer was apparently not there. The
5 information booklet developed by Jefford et al¹⁰ included diagrams showing how colorectal cancer
6 can spread around the body, and similar information should be included in a revised DA.
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11 The American Cancer Society estimated that a third of people diagnosed with colon cancer in 2013
12 will be diagnosed at stage II⁸ resulting in a substantial number of patients potentially faced with
13 making a decision about adjuvant chemotherapy. It is clear that challenges remain in communicating
14 risk of relapse information to patients and the need to check patient understanding of key information
15 should be integrated in future DAs, e.g. with the insertion of quizzes and representation of key
16 information that patients appear to have misunderstood. In addition much more research is needed
17 into the best way to facilitate patients in making judgments about what is most important to them
18 thorough a greater understanding of values clarification methods in assisting complex decisions.
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Author affiliations:

¹ Birkbeck, University of London, Malet Street, London, UK.

² University College London, London, UK.

³ Oxford University, Oxford, UK.

⁴ Royal Free London NHS Trust, Pond Street, London, UK.

Corresponding author: Dr Anne Miles, Department of Psychological Sciences, Birkbeck, University of London, Malet Street, London WC1E 7HX. Email: ae.miles@bbk.ac.uk

Contributors: AMayer (guarantor) had the original idea for the study. AMayer, IC and AMiles designed the trial variables and formed the investigator group who obtained the funding. AMayer, IC, JF and AMiles developed the decision aid. AMayer and AMiles were responsible for overseeing study implementation and data collection. AMayer and AMiles carried out the analysis, and drafted the manuscript, which was revised by all authors. All researchers were independent of the funders. The study sponsor and funder played no role in study design; the collection, analysis, and interpretation of data; the writing of the report; and the decision to submit the article for publication.

Competing interests: This work was supported by the Royal Free Charity. Over the duration of the project IC was funded by a grant from the Royal Free Charity and employed by UCL. The Royal Free Charity funded the development of the EPAD software. IC is now employed by Deontics Ltd. He is a founder and a shareholder of the company. Deontics Ltd. is a commercial provider of clinical decision support software. This company was set up after the study reported in the paper was completed. ePAD (the CDS tool) was developed using software now owned by Deontics Ltd.. Over the duration of the project JF was employed by UCL and Oxford Universities. The Royal Free Charity funded the development of the EPAD software. JF is now employed part-time by Deontics Ltd. He is a founder and a shareholder of the company. Deontics Ltd. is a commercial provider of clinical decision support software. This company was set up after the study reported in the paper was completed. ePAD (the CDS tool) was developed using software now owned by Deontics Ltd.. The study funder played no role in the study design; the collection, analysis and interpretation of data; the writing of the report; or in the decision to submit the article for publication.

Key words: colorectal neoplasms/diagnosis; chemotherapy; adjuvant; decision aid.

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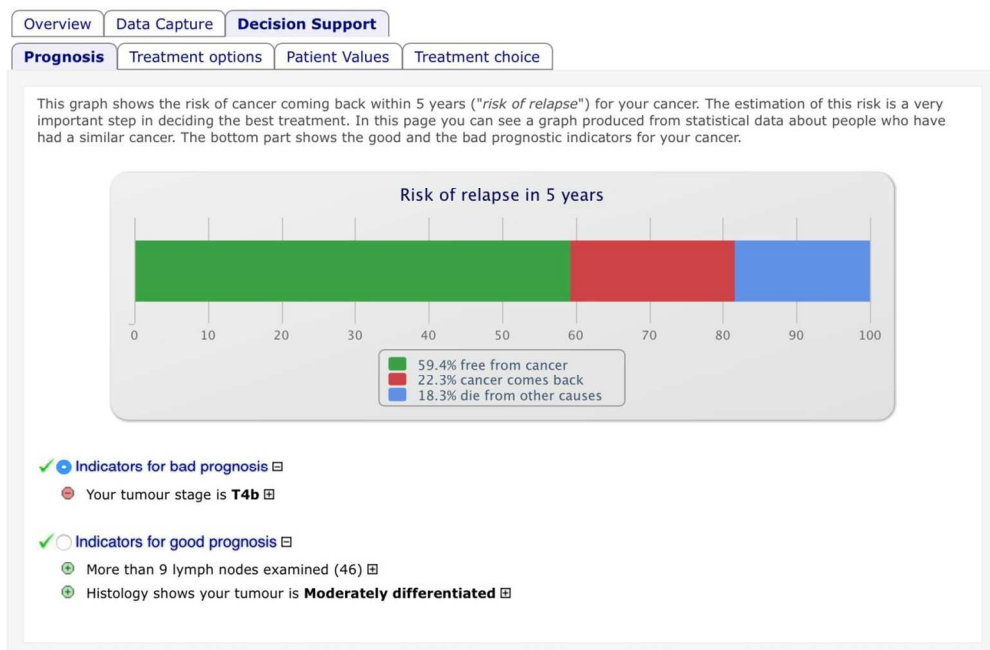


Figure 1: Graph showing baseline risk of recurrence.

171x112mm (220 x 220 DPI)

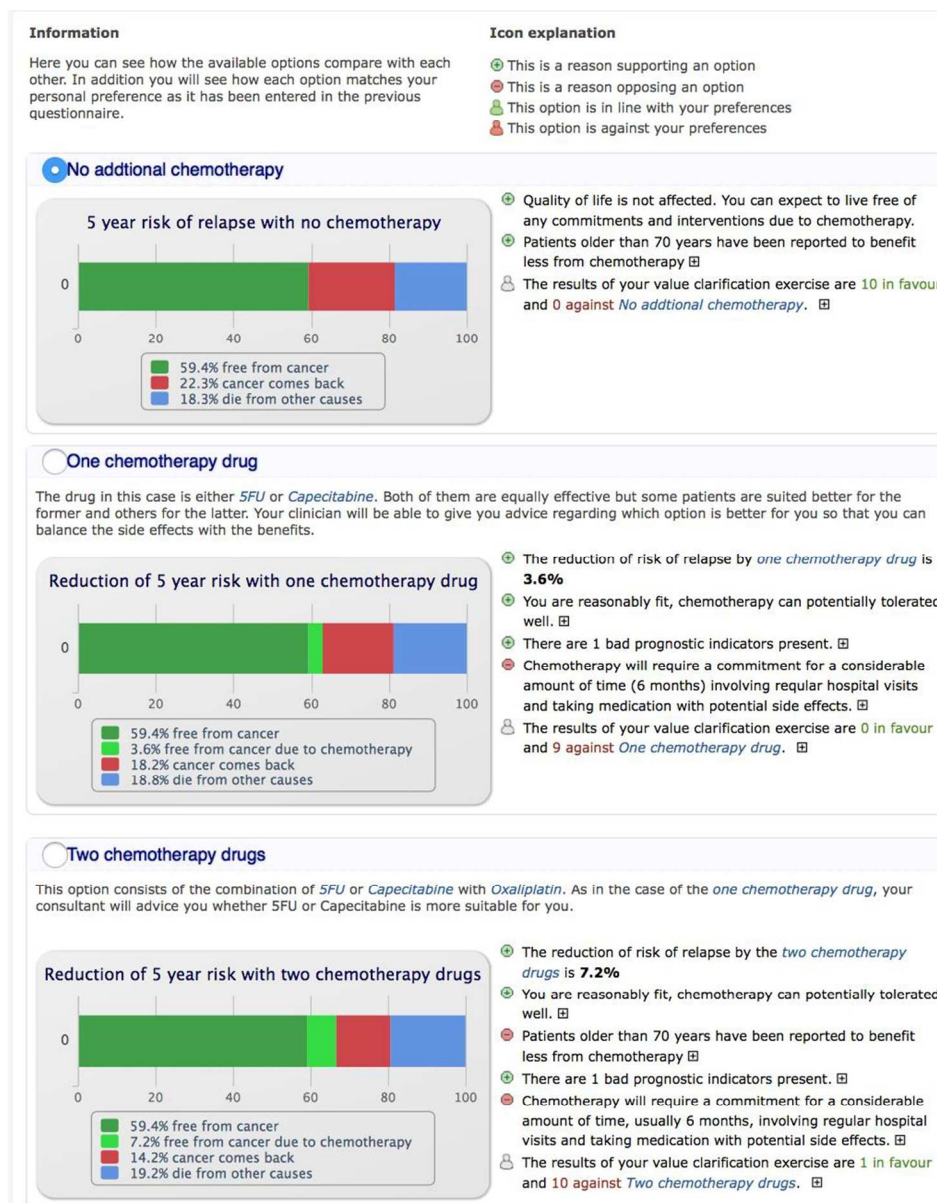


Figure 2: Final page of DA showing risk of recurrence and net benefit of treatment options alongside pros and cons of different treatment options.

179x229mm (144 x 144 DPI)

Supplementary data files

Supplementary material Table 1: Values clarification questionnaire

For chemotherapy	Against chemotherapy
It is important to me to have chemotherapy even though the risk of the cancer coming back is small.	It is not important to me to have chemotherapy because the risk of the cancer coming back is small.
I understand that chemotherapy can affect some activities of daily living but I don't think it would bother me that much.	I understand that chemotherapy can affect some activities of daily living and I think it would bother me.
Based on my present condition, having chemotherapy is important compared with the other things I have to do in my life.	Based on my present condition, having chemotherapy is not important compared with the other things I have to do in my life.
I would like to have chemotherapy, even if it not possible to predict whether I will gain from it.	I would not like to have chemotherapy since it is not possible to predict whether I will gain from it.
Having chemotherapy would give me peace of mind.	Having chemotherapy would not give me peace of mind.
I would like to have chemotherapy even though it is uncertain whether or not it will prolong my life.	I would not like to have chemotherapy because it is uncertain whether or not it will prolong my life.
I am willing to take the risk of having short term side-effects in order to have a chance to benefit from chemotherapy.	I am not willing to take the risk of having short term side-effects in order to have a chance to benefit from chemotherapy.
I am willing to take the risk of having long term side-effects from chemotherapy in order to have a chance to benefit from chemotherapy.	I am not willing to take the risk of having long term side-effects from chemotherapy in order to have a chance to benefit from chemotherapy.
I would want chemotherapy for my colon cancer even though it would involve attending hospital every two or three weeks for six months.	I would not want chemotherapy for my colon cancer because it would involve attending hospital every two or three weeks for six months.
I would want chemotherapy for my colon cancer even though it may involve the insertion of a central line.	I would not want chemotherapy for my colon cancer because it involves the insertion of a central line.

Supplementary material Table 2: Values clarification – number of people selecting different pros and cons of chemotherapy

Question	For chemotherapy	Against chemotherapy	Missing
Inability to predict if I will gain from it	1	10	1
Small risk of the cancer coming back	3	9	-
Effect of chemo on daily living	3	9	-
Uncertain whether it will prolong life	3	8	1
Willingness to take risk of long term harm from chemotherapy	4	8	-
Importance of other things have to do in my life	5	7	-
Peace of mind	6	6	-
Attending hospital every two or three weeks for six months	6	6	-
Insertion of a central line	6	6	-
Willing to take risk of short term harm from chemotherapy	7	5	-

BMJ Open

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Title page

The use of a computerised decision aid (DA) to inform the decision process on adjuvant chemotherapy in patients with stage II colorectal cancer: development and preliminary evaluation.

Miles A,¹ Chronakis I,² Fox J,^{2,3} Mayer A.⁴

Author affiliations:

¹ Birkbeck, University of London, Malet Street, London, UK.

² University College London, London, UK.

³ Oxford University, Oxford, UK.

⁴ Royal Free London NHS Trust, Pond Street, London, UK.

Corresponding author: Dr Anne Miles, Department of Psychological Sciences, Birkbeck, University of London, Malet Street, London WC1E 7HX. Email: ae.miles@bbk.ac.uk. Telephone: +44 (0)20 7631 6488.

Key words: colorectal neoplasms/diagnosis; chemotherapy; adjuvant; decision aid.

Word count: 4283

ABSTRACT

Objectives: To develop a computerised decision aid (DA) to inform the decision process on adjuvant chemotherapy in patients with Stage II colorectal cancer, and examine perceived usefulness, acceptability and areas for improvement of the DA.

Design: Mixed methods.

Setting: Single outpatient oncology department in central London.

Participants: Consecutive recruitment of 13 patients with Stage II colorectal cancer, 12 of whom completed the study. Inclusion criteria were: age >18 years; complete resection for stage II adenocarcinoma of the colon or rectum; patients within 14-56 days after surgery; no contraindication to adjuvant chemotherapy; able to give written informed consent. Exclusion criterion: previous chemotherapy.

Primary outcomes: Patient perceived usefulness (assessed by the PrepDM questionnaire) and acceptability of the DA.

Results: Perceived usefulness of the DA in preparing the patient to communicate with their doctor and make a health decision (PrepDM scores) were above those reported in other patient groups. Patient acceptability scores were also high, however interviews showed there was evidence of a lack of understanding of key information among some patients, in particular: their baseline risk of recurrence, the net benefit of combination chemotherapy, and the rationale for having chemotherapy when the cancer had apparently gone.

Conclusions: Patients found the DA acceptable and useful in supporting their decision about whether or not to have adjuvant chemotherapy. Suggested improvements for the DA include: sequential presentation of treatment options (e.g. no treatment vs. one drug, one drug vs. two drugs) to enhance patient understanding of the difference between combination and single therapy, diagrams to help patients understand the rationale for chemotherapy to prevent a recurrence, and inbuilt checks on patient understanding of baseline risk of recurrence and net benefit of chemotherapy.

Strengths and limitations of the study

- This study evaluated patient acceptability, perceived usefulness and understanding of a decision-aid using mixed-methods at the point patients made their decision about whether or not to have adjuvant chemotherapy.
- We recruited men and women, with a range of different ages and levels of education, including people with no educational qualifications enabling us to capture a range of different responses to the decision aid.
- People educated to degree level or above were over-represented in the study, and may have led to an over-estimate of perceived usefulness and acceptability of the DA.

INTRODUCTION

Ethical considerations and recent policy changes have put patients at the centre of health decisions, aiming to make shared-decision making ‘the norm’.^{1;2} One key issue for people who have undergone surgical resection of colorectal cancer is the decision about whether or not to have adjuvant chemotherapy, which is typically either 5-FU or capecitabine, given alone or in combination with oxaliplatin.^{3;4} Whilst surgery is highly effective for localised disease, up to 85% of patients with lymph node involvement (stage III) relapse within five years. Because chemotherapy can prevent recurrence in up to 25% of patients with stage III disease,⁵ it is offered routinely. For patients without lymph node involvement (Stage II) the risk of relapse is lower (20-40%) and a smaller number of patients (3-7%) are expected to benefit.^{6;7} Since there is a risk of side-effects following chemotherapy, some of which can be life-threatening or permanent and the balance of harms and benefits is marginal in patients with Stage II, the use of adjuvant chemotherapy in this patient group has remained controversial.⁸

Patients with colorectal cancer have expressed a desire for more information, notably about their cancer, prognosis, and treatment options,^{9;10} and effective communication of diagnostic and prognostic information has been shown to enhance patient wellbeing, and patients’ perceptions of the quality of the doctor-patient interactions.¹¹ However patients can hold more positive views on adjuvant therapy than physicians¹² and may want chemotherapy even when there is little evidence it will help prevent a recurrence,^{13;14} for example, women with breast cancer with low risk of recurrence are more likely to decline chemotherapy if they are aware of the (small) impact,¹⁵ underlining the need for effective communication. People have problems understanding risk information,¹⁶ and while there is an emphasis on patients making decisions that are consistent with their values, evidence points to the instability of preferences, with some researchers arguing that true preferences may not always exist, but are constructed “on the spot” using the information available at the time of decision-making.¹⁷

Decision-aids

To facilitate informed decision making a wide range of decision aids (DAs) have been developed. DAs are used alongside patient-physician interactions and contain detailed information about clinical options and outcomes, are explicit about the choices facing the patient, and encourage patients to express their preference. DAs typically result in greater knowledge, a higher proportion of people with accurate risk perceptions, lower decisional conflict (e.g. feeling better informed and clearer

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3 about personal values), and more active roles in decision making compared with usual care.¹⁸
4 Although DAs have been developed to aid treatment decisions for a number of different cancers,¹⁹⁻²²
5 little has been done in the context of colorectal cancer. One exception is a booklet to help patients
6 make decisions about adjuvant chemotherapy or radiotherapy, although this was only evaluated
7 among patients who had opted to have adjuvant therapy, and was developed to be used by the patient
8 at home rather than as part of a clinical consultation.^{10;23}
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14 The aim of the present study was to develop a DA for chemotherapy for Stage II that included the
15 information identified as important to patients in previous research^{9;10} and examine its usefulness and
16 acceptability to patients making a decision about whether or not to have adjuvant chemotherapy, as
17 well as identify any areas where patient understanding of key information could be improved.
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22 **METHODS**

23 **Development of the DA**

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25 PROforma decision support technology (a formal language for automating clinical processes and
26 protocols) and the Tallis tool set (used to design the decision support, check the syntactic integrity of
27 the program and run the application in a test environment) were used to develop the DA;^{24;25}
28 <http://openclinical.net/index.php?id=390>. A variety of decision support applications based on
29 PROforma technology have demonstrated a significant positive impact on a number of outcome
30 measures such as reducing prescription errors and adherence to evidence based guidelines.²⁶⁻²⁸
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34 The DA captured patient demographic and clinical information (e.g. histology type, evidence of
35 extramural vascular invasion), as well as patient fitness to undergo chemotherapy (assessed via
36 presence of co-morbidity and ECOG performance status²⁹ ranging from fit and well (0) to bed bound
37 (4)).
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39 Risk of recurrence with and without chemotherapy was mathematically derived based on: a) the
40 baseline risk of recurrence without chemotherapy, which was calculated for each patient using
41 information from the published SEER database (<http://seer.cancer.gov/data/>) using the
42 adjuvant!online risk calculator (<http://www.adjuvantonline.com>);³⁰ and b) the effectiveness of both
43 single and combination chemotherapy, taken from published randomised controlled trials and meta-
44 analysis.^{6;7} This calculator matches baseline risk of recurrence data to the individual patient's
45 clinicopathological characteristics (T stage, number of lymph nodes examined, histology type, and
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3 histopathological grading). The age specific natural mortality was derived from published national
4 mortality data.
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8 In line with the presentation of information in Adjuvant!online, and consistent with
9 recommendations, we used simple percent format, and absolute risk when conveying information
10 about the net benefit of chemotherapy along with a bar chart showing the likelihood of different
11 outcomes, thereby using both visual and numeric information to convey risk of recurrence
12 information, keeping the denominator consistent and stating the reference class and time-frame.^{31;32}
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18 Patients were first shown one graph detailing their baseline risk of recurrence in the absence of
19 chemotherapy (see Figure 1) and graphs showing the net benefit of single and combination
20 chemotherapy on the final page of the DA (see Figure 2). Because the risk prediction of
21 Adjuvant!online does not include clinical presentation (obstruction or perforation), or the risk factors
22 vascular, lymphovascular and perineural invasion, these were included under headings for ‘indicators
23 for good prognosis’ (e.g. tumour stage T3) and ‘indicators for bad prognosis’ (e.g. extramural
24 vascular invasion), presented on the final page of the DA (see Figure 2).
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31 Information about the method of administration for the different drugs, the need for the insertion of a
32 central line, the number of times the patient would need to come to hospital, and the duration of
33 treatment were given. The list of side effects were put into three different sections: common (>30%
34 of patients affected), less common but serious (potentially life threatening), and potentially
35 permanent side effects. It was made clear that the first two sections related to all chemotherapy
36 options, but that the long-term side-effects only related to the two drug chemotherapy option
37 (oxaliplatin carries a high risk of long term numbness of hand and feet).⁴
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45 [See Figure 1]

46 [See Figure 2]
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50 The values clarification exercise was adapted from one developed for use in colorectal cancer
51 screening among elderly people³³ to capture the main issues involved in the decision, including
52 features identified as important to patients with colorectal cancer⁹ (see supplementary data Table 1).
53 Patients were asked to complete the questionnaire on the computer, selecting one of the two options
54 presented for all 10 questions. Patients were able to review and change their responses to the
55 questions at any time during or after the consultation.
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4 The final page of the DA combined graphical presentation of risk of recurrence information for the
5 three treatment options alongside arguments laying out the pros and cons for each option, and the
6 results of the values clarification exercise (see Figure 2).
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10 11 **Design**

12 A mixed methods design was employed.
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14 15 16 17 **Participants**

18 Potential patients were identified at the colorectal multidisciplinary team meeting at the Royal Free
19 NHS Trust. Inclusion criteria were: Male or female patients age >18 years; patients who had
20 undergone complete resection for stage II adenocarcinoma of the colon or rectum; patients within 14-
21 56 days after surgery; no contraindication to adjuvant chemotherapy; able to give written informed
22 consent. Exclusion criterion: Previous chemotherapy. Target N was 12 in line with similar research
23 on DAs.³⁴ Patients were recruited consecutively.
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30 31 **Procedures**

32 After informed consent patients were randomised to receive DA at either the first or second
33 consultation. All patients were given written information about the side effects of chemotherapy, as
34 per current practice. When seen back in clinic, after one to two weeks, patients were given the
35 opportunity to ask questions, at which point the patient's treatment decision was recorded. Patients
36 were invited to complete the values clarification exercise on the computer, but received help from
37 relatives or the clinician if requested.
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43 All consultations took place in the Outpatients Oncology Department at the Royal Free London NHS
44 Trust. Patients were given a questionnaire assessing responses to the DA after the consultation at
45 which the DA had been used.
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49 50 **Questionnaire:**

51 Information about age, gender, educational level, ethnicity and employment status was collected.
52 Perceived usefulness of the CDS in preparing the patient to communicate with their doctor and make
53 a health decision was assessed with the PrepDM questionnaire, a 10-item scale designed to measure
54 the usefulness of DAs, developed across a number of different patients groups, including cancer
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3 patients, with good internal reliability and validity³⁵ Cronbach's alpha in the present study = 0.935.
4 Example items include: "Did the decision aid...Help you think about the pros and cons of each
5 option? ... Help you identify questions you want to ask your doctor?" Response options are on a 5-
6 point likert scale with higher scores indicating higher agreement. Acceptability of the decision aid
7 was assessed using seven items, three were from Bennett et al.,³⁵ while the remaining items were
8 developed for the present study (see Table 1 for questions and response options). Data were analysed
9 using SPSS version 22.
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14 **Interview**

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16 Face-to-face interviews were used to gain an in depth understanding of patients responses to the DA,
17 and their understanding of key information. These were conducted face-to-face at the hospital
18 following the second consultation, between March 2012 and July 2014 by AMiles, who has
19 experience of conducting interviews with colorectal cancer patients,³⁶ and was not involved in the
20 patients' care. The interviews lasted an average of 33 minutes (range: 18 to 54) and were recorded
21 and transcribed verbatim by a transcription company, and reviewed for accuracy by AMiles using the
22 original recordings. The initial Topic Guide is provided as supplementary data, but during the
23 interviews it became clear that patients were confused about what risk of recurrence meant and how
24 chemotherapy could act on the body to prevent this. Subsequent patients were therefore asked about
25 these issues in more detail. Data were analysed using thematic analysis,³⁷ facilitated by the software
26 package NVIVO version 10. AMiles developed the codes by examining all instances in the dataset
27 that related to the DA, risk of recurrence information, and the rationale for having chemotherapy.
28 Themes were derived and developed via an iterative process, with constant comparisons of the data
29 that identified similarities and differences within and across individual interviews. The codes and
30 supporting quotes were reviewed for appropriateness and accuracy by AMayer. Patients were not
31 asked to verify the thematic analysis as we did not wish to increase participation burden.
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48 **RESULTS**

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50 Fifteen patients were approached by clinic staff, thirteen were recruited, with 12 completing the
51 study. The age of participants ranged from 33 to 82, with a median age of 67. The ratio of men to
52 women was 1:2. Over half (n=7) were educated to degree level or equivalent and the majority were
53 of white ethnicity (British or Irish) (n=9). Approximately half were employed (n=6), one was
54 unemployed and the remainder were retired. One patient reported having had chemotherapy prior to
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3 surgery (s6). This person was included since it was not felt to impact on the reported outcomes.

4 Eleven of the twelve patients declined chemotherapy (all except s8).

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6 Patients perceptions of the usefulness of the decision aid in helping them communicate with their
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8 doctor and make a decision were good, with scores (mean 4.28, SD 0.9) comparing favourably with
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10 those reported in other clinical populations, where scores ranged from 3.3 to 3.9.³⁵ Patients also
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12 reported finding the risk of recurrence information in the DA and graphical representation of
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14 information in the DA helpful, with all except 1 reporting they were happy they understood the
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16 meaning of the information in the DA about risk of recurrence (see Table 1).
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Table 1: Perceived usefulness and acceptability of the decision aid

	Number
Usefulness of information in preparing patient for decision (PrepDM) (1-5) mean (SD)	4.28 (0.90)
Acceptability of DA ³⁵	
Helpful	
Very	10
Somewhat	1
A little	1
Not helpful	0
Recommend to others	
Definitely yes	6
Probably yes	5
Probably not	1
Definitely not	0
Information clear	
Everything clear	8
Mostly clear	4
Some clear	0
Mostly unclear	0
DA add anything to the consultation	
An extreme amount	1
Very much	7
A moderate amount	4
A little bit	0
Nothing	0
Graphical representation in the DA helpful	
Extremely	4
Very	6
Moderately	2
A little bit	0
Not at all	0
Understood risk of recurrence info. in DA	
Yes	10
No	0
Not sure	1
Helpfulness of risk of recurrence info. in DA	
Extremely	3
Very	6
Moderately	1
A little bit	1
Not at all	0

Graphical representation of information on risk

A number of patients felt the graphs increased the clarity of the risk of recurrence information, “*I liked the graphs, I suppose they’re accurate really... I worked with statistics enough to know that you can kind of hide the numbers.... it made me consider chemotherapy more than I possibly would of I was just given words.*” (s10). Another participant described how the graphical presentation looked more scientific and hence more credible than being told the same information verbally: “*Really it’s no different to what the surgeon had already told us yesterday... it’s a very much more scientific, you know, sort of presentation to it....*” (s4).

For some patients the graphs provided information they would otherwise have overlooked: for one patient it was the additional benefit conferred by two, compared with one, chemotherapy drug “*I didn’t realise that the one was quite like a significant difference there, between the two (chemotherapy drugs).*” (s3); for another patient it was the risk of death from other causes: “*When we’re there we only talk about coming back and not coming back. But we do know that death is something that can come anytime... So I just put them in the two categories but on the graph I saw the three there.*” (s9)

Some patients found it helpful to see the portion of the graph that illustrated the net benefit of chemotherapy, whether they wanted chemotherapy or not, and used it to help them cope with their decision: “*that made me feel quite positive... even though there was only 5%... I’m going into the chemotherapy, now, thinking about that line.*” (s8), “*the graph...the bit that she was talking about (net benefit of chemotherapy) is just tiny is quite good.*” (s3).

Some patients liked the positive information about their general prognosis – “*I was quite pleased to see that. That was nice. There was lots of green (baseline risk of recurrence)*” (s8). One person liked it because they described themselves as “*a visual person*” (s3).

However, not everyone was able to articulate whether or why they had found the graphical information helpful, particularly if they had taken a younger relative into the consultation with them and felt confident their relative understood what was being said. “*I didn’t really understand it very much...I’m a bit of a dinosaur... I know my niece would have understood it all.*” (s11,) “*I just can’t*

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3 say...*My son was with me now and he was very informative afterwards, he was, talked about it a*
4 *lot...I think he found it very helpful.*" (s12).
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8 9 **Values clarification**

10 The belief that they could not predict whether they would benefit from chemotherapy, the small risk
11 of the cancer coming back, and the long-term side-effects of chemotherapy were the main barriers to
12 chemotherapy (see supplementary data Table 2). Of least concern were the short-term side-effects,
13 insertion of a central line and repeat attendance at hospital, although around half were still concerned
14 about these things.
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20 Reactions to the values clarification exercise were more mixed than to the graphical display. Some
21 patients found it helpful while others reported conflicting feelings about the information. Positive
22 reactions included the feeling it was an accurate reflection of their (often mixed) views about
23 chemotherapy, was clearer, more explicit, more rational and less emotional, and empowering for the
24 patient. *"it's good in terms of sometimes you struggle to articulate why you make one decision*
25 *versus another."* (s10) *"getting me to kind of click on things, and say yes... puts the onus on me to*
26 *make a decision and own my decision and feel empowered to make a decision independent of what*
27 *the doctor thinks..."* (s8) *"Rather than having an emotional response, there's an actual figure there*
28 *to say, "This is what you decided."...it's good to be logical."* (s3).
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37 Others commented on the fact that not all the items were equally important and that numbers for and
38 against chemotherapy were a bit simplistic, or having to select a choice yes/no without saying why,
39 did not tell the whole story.
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44 One patient reported feelings of guilt when answering the values clarification exercise, because
45 although they viewed their health as important they were opting to do something that did not
46 necessarily maximise their chances of maintaining their health: *"my health comes first above*
47 *everything. And so a lot of questions there made me feel when I opted for a different answer to that,*
48 *to really putting that first, I felt very guilty about, which was quite interesting. And I did pause and*
49 *stress about that a little bit... But just because I answered the other way doesn't mean I still don't*
50 *have my health, you know, at the forefront of my thoughts... However, there are other issues at stake*
51 *here."* (s4).
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3 Another felt it highlighted the importance of life over everything else: *“I’m worrying about hair loss*
4 *and this and that.... And what about that? Is that more important than life itself? Well, no, it isn’t...*
5 *But that tool...did think, you know, all of that is trivial in comparison with life itself. It’s very*
6 *precious.”* (s7)
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11 However one lady felt she only needed the risk of recurrence statistics to make a decision, and
12 didn’t need the values clarification exercise: *“It didn’t seem to be difficult to answer really.... the*
13 *biggest thing that sticks out in my mind is the statistics and the fact that taking the chemo again*
14 *made really very little difference, that my mind was pretty well made up straight away.”* (s6)
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17 Others forgot whether or not they had done the exercise: *“In all honesty, I’d forgotten that, you*
18 *know. I don’t remember I did or not do.”* (s11).
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22 23 24 **Patient understanding of risk of recurrence statistics**

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26 The majority of patients understood that the aim of chemotherapy was to reduce the chances of
27 the cancer coming back, although people were more likely to mention the figure for net benefit of
28 one chemotherapy drug (2-3%) rather than two (5%): *“if I had chemotherapy, my chances of*
29 *living more than five years would be about one or two percent increased, compared with not*
30 *doing anything.”* (s1) *“the chance of the cancer coming back was low—without the chemo, was*
31 *low, anyway... Yes, the chemo might improve it by a couple of percent.* (s4).
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38 Two patients (both in their 30s) reported higher net benefit of chemotherapy figures (of 5%) and a
39 clearer understanding of the difference between one and two chemotherapy drugs. *“I think off the*
40 *top of my head there was 86% chance of it not recurring within five years if I stopped it now...*
41 *then for every additional drug chemo that I had was approximately a 2.5% additional chance of*
42 *survival.”* (s10). One patient confused net benefit (additional 2-5% avoid recurrence) with overall
43 recurrence rates (20-40%). *“(oncologist’s name) said to me, “There’s just 2% chance.” When I’d*
44 *said, “No, I do not want chemo,”.... I took for granted that sort of the chances of it coming back*
45 *is extremely low.”* (s11)
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52 53 54 **Patient understanding of how and why cancer recurs and how chemotherapy may act to** 55 **reduce risk of recurrence**

56 There was confusion over whether the figures about recurrence referred to another primary cancer
57 or progression of the current episode, or both. *“Was it a recurrence of the same cancer or was it*
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3 *from somewhere else?” (s6) While some patients understood that any recurrence would*
4 *potentially be more aggressive and harder to treat than their colorectal cancer had been, others*
5 *assumed the recurrence would be in the gut: “When you say it’s a recurrence, you presume it will*
6 *be expected to be from the same site where the primary is... somewhere in the gut...”(s6).*
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9 *“Actually, in the beginning I was thinking that they were talking about that, the cancer will affect*
10 *my bowel again. But from what she’s (the oncologist) saying...it’s not only the bowel, but you*
11 *can get other type of cancer.” (s9) “obviously if it comes back it’s not going to be as*
12 *straightforward... It wouldn’t be as simple as just having an operation and removing it, cos it*
13 *might go to another part of the body.” (s7)*
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19 An additional area of confusion was whether they were currently cancer free or not, and the
20 rationale for giving chemotherapy when no cancer was apparently present or if you were a person
21 ‘prone’ to cancer. *“People say that you’re “cured” now because the cancer’s been removed*
22 *surgically. But then you’ve got this risk of recurrence. I found that quite difficult to wrestle in my*
23 *head because it doesn’t feel like I’m cured. It feels like I’ve got cancer and I’ve got to see what*
24 *happens over the next five years... (s8) “But that has been my problem. Even taking the*
25 *chemotherapy, if you’re talking about, well if cancer, prone to cancer. If cancer will come and*
26 *chemotherapy wouldn’t.... if it were there, I agree it would affect it, but if you will come back I*
27 *don’t think chemotherapy can stop it. That’s my understanding; maybe I’m wrong. (s9) But some*
28 *patients did not think their cancer would come back, and this belief seemed to be the basis of their*
29 *decision to refuse chemotherapy: “I’m sure that if I thought there was a possibility of it coming*
30 *back, for me, I would.... I would go for treatment. But I’m just hoping that it doesn’t happen.”*
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42 **DISCUSSION**

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44 We developed a DA for stage II colorectal cancer patients to facilitate an informed decision process
45 for adjuvant chemotherapy. Patient perceptions of the usefulness of the DA were above scores
46 reported in other patient groups,³⁵ but there was evidence of a lack of understanding of key
47 information among some patients, in particular: their baseline risk of recurrence, the net benefit of
48 combination chemotherapy, and the rationale for having chemotherapy when the cancer had
49 apparently gone.
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56 The method of communicating risk of recurrence information based on Adjuvant!online improves
57 patient understanding of the likelihood of disease-free survival.¹⁵ Numeric information about risks
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3 enhances patient understanding.³⁸ While visual displays can be very helpful, not everyone can extract
4 the relevant information from them.³¹ Consistent with previous research (e.g. ¹⁵) we found that
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6 despite presenting both numeric and visual risk information, there was evidence of a lack of
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8 understanding of risk information among some patients.
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11 Research on members of the general population has shown that understanding of the graphical risk
12 information given in Adjuvant! online is improved if people are presented with fewer options at any
13 one time,³⁹ and that sequential presentation of treatment options leads to improved understanding of
14 key information about risk of recurrence and net benefit of treatment.⁴⁰ In the current study patients
15 were presented with all three options at once, and patient understanding of combination
16 chemotherapy might have been enhanced by presenting just two options at a time (e.g. no treatment
17 vs. one drug, no treatment vs. two drugs). In addition any benefit of single agent chemotherapy has
18 only been observed among patients with bad prognostic factors⁴ which may be limited to T4.^{41;42}
19 Significant efforts are being made to develop better prognostic indicators including the search for
20 gene signature sets that predict response to chemotherapy in this patient group.^{43;44} This suggests
21 that, in future, even more complex information may need to be presented to patients.
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31 The values clarification exercise required patients to select arguments for and against chemotherapy.
32 Although the pros and cons method is the most commonly used method for values clarification
33 purposes, a variety of different methods are available, such as ranking, and social matching (how
34 another person values the characteristics of different options and how similar that person is to you),
35 but due to a lack of research, there are no explicit recommendations about best practice, and no firm
36 evidence about how and whether values clarification exercises actually inform and help the decision
37 making process.⁴⁵ Patient feedback showed some patients found the exercise useful, and for one
38 clarified the bottom-line of the decision: prolonging life vs experiencing side-effects. But for others
39 the process was less helpful, either because understanding of the information contained in the DA
40 had effectively been delegated to a younger member of the family (niece/son), or because the risk of
41 recurrence information was all they felt they needed to make a decision. There is a lack of research
42 about which patients may benefit from values clarification exercises, and the best methods to engage
43 people with different cognitive ability or lower literacy levels and these issues remain to be
44 explored.⁴⁵ The values clarification exercise used in the current study had a higher than
45 recommended readability level, and a simpler method of eliciting patient preferences will be needed
46 for patients with lower levels of literacy.
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3 A further suggested modification of the DA is the addition of information that helps people
4 understand the rationale for being given chemotherapy when cancer had apparently gone. The
5 information booklet developed by Jefford et al¹⁰ included diagrams showing how colorectal cancer
6 can spread around the body, and similar information should be included in a revised DA.
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11 A key limitation of the present study is that patients educated to degree-level or above were over-
12 represented, which may have led to an over-estimate of perceived usefulness and acceptability of the
13 DA as measured by the PrepDM and other questionnaire items.
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18 The American Cancer Society estimated that a third of people diagnosed with colon cancer in 2013
19 will be diagnosed at stage II⁸ resulting in a substantial number of patients potentially faced with
20 making a decision about adjuvant chemotherapy. It is clear that challenges remain in communicating
21 risk of relapse information to patients and the need to check patient understanding of key information
22 should be integrated in future DAs, e.g. with the insertion of quizzes and representation of key
23 information that patients appear to have misunderstood. In addition much more research is needed
24 into the best way to facilitate patients in making judgments about what is most important to them
25 thorough a greater understanding of values clarification methods in assisting complex decisions.
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4 **Contributors:** AMayer (guarantor) had the original idea for the study. AMayer, IC and AMiles
5 designed the trial variables and formed the investigator group who obtained the funding. AMayer,
6 IC, JF and AMiles developed the decision aid. AMayer and AMiles were responsible for overseeing
7 study implementation and data collection. AMayer and AMiles carried out the analysis, and drafted
8 the manuscript, which was revised by all authors. All researchers were independent of the funders.
9 The study sponsor and funder played no role in study design; the collection, analysis, and
10 interpretation of data; the writing of the report; and the decision to submit the article for publication.
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18 project IC was funded by a grant from the Royal Free Charity and employed by UCL. The Royal
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20 He is a founder and a shareholder of the company. Deontics Ltd. is a commercial provider of clinical
21 decision support software. This company was set up after the study reported in the paper was
22 completed. ePAD (the CDS tool) was developed using software now owned by Deontics Ltd.. Over
23 the duration of the project JF was employed by UCL and Oxford Universities. The Royal Free
24 Charity funded the development of the EPAD software. JF is now employed part-time by Deontics
25 Ltd. He is a founder and a shareholder of the company. Deontics Ltd. is a commercial provider of
26 clinical decision support software. This company was set up after the study reported in the paper was
27 completed. ePAD (the CDS tool) was developed using software now owned by Deontics Ltd..
28 The study funder played no role in the study design; the collection, analysis and interpretation of
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46 Committee (REC 11/LO/0888).
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49 **Data sharing statement:** No additional data is available.
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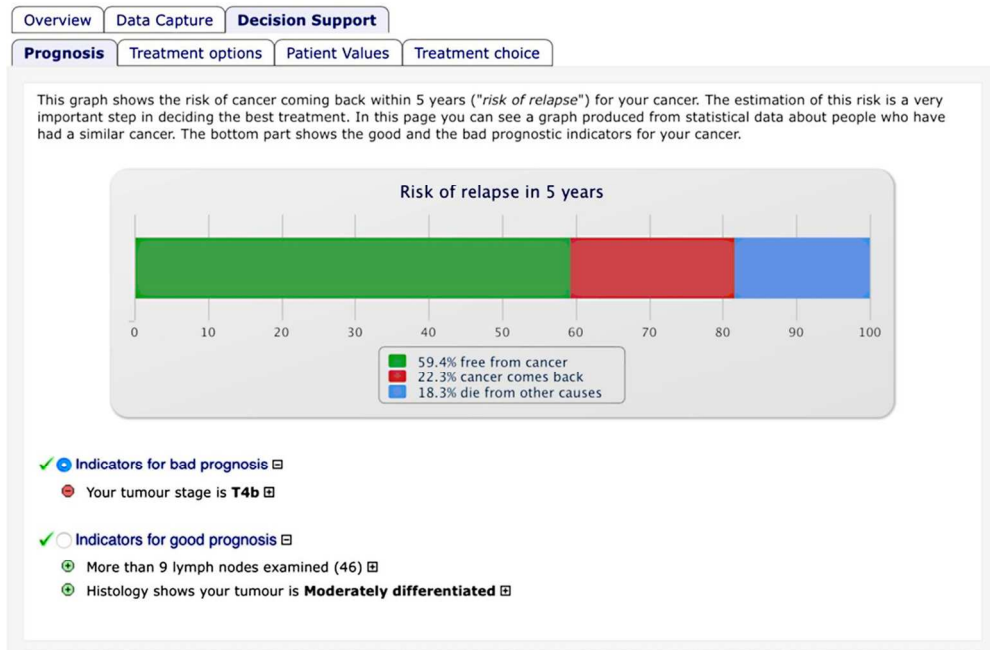


Figure 1: Graph showing baseline risk of recurrence.

112x73mm (300 x 300 DPI)

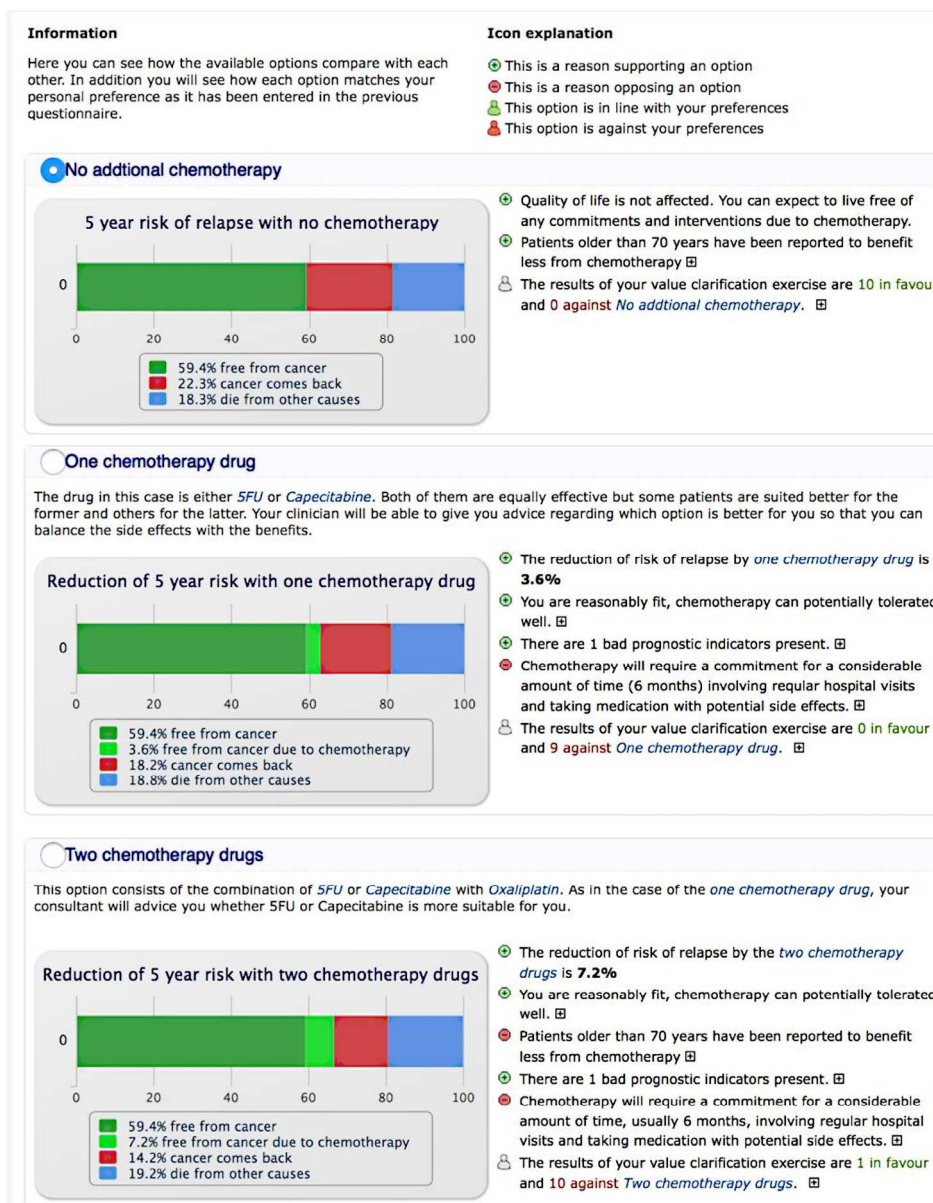


Figure 2: Final page of DA showing risk of recurrence and net benefit of treatment options alongside pros and cons of different treatment options.

203x259mm (300 x 300 DPI)

Supplementary data files

Supplementary data Table 1: Values clarification questionnaire

For chemotherapy	Against chemotherapy
It is important to me to have chemotherapy even though the risk of the cancer coming back is small.	It is not important to me to have chemotherapy because the risk of the cancer coming back is small.
I understand that chemotherapy can affect some activities of daily living but I don't think it would bother me that much.	I understand that chemotherapy can affect some activities of daily living and I think it would bother me.
Based on my present condition, having chemotherapy is important compared with the other things I have to do in my life.	Based on my present condition, having chemotherapy is not important compared with the other things I have to do in my life.
I would like to have chemotherapy, even if it not possible to predict whether I will gain from it.	I would not like to have chemotherapy since it is not possible to predict whether I will gain from it.
Having chemotherapy would give me peace of mind.	Having chemotherapy would not give me peace of mind.
I would like to have chemotherapy even though it is uncertain whether or not it will prolong my life.	I would not like to have chemotherapy because it is uncertain whether or not it will prolong my life.
I am willing to take the risk of having short term side-effects in order to have a chance to benefit from chemotherapy.	I am not willing to take the risk of having short term side-effects in order to have a chance to benefit from chemotherapy.
I am willing to take the risk of having long term side-effects from chemotherapy in order to have a chance to benefit from chemotherapy.	I am not willing to take the risk of having long term side-effects from chemotherapy in order to have a chance to benefit from chemotherapy.
I would want chemotherapy for my colon cancer even though it would involve attending hospital every two or three weeks for six months.	I would not want chemotherapy for my colon cancer because it would involve attending hospital every two or three weeks for six months.
I would want chemotherapy for my colon cancer even though it may involve the insertion of a central line.	I would not want chemotherapy for my colon cancer because it involves the insertion of a central line.

Supplementary data: Topic Guide for interviews

- 1) Can you describe what happened at your consultation with [name of oncologist]?
- 2) What was discussed at this meeting?
- 3) Did your doctor talk about whether or not you should have further treatment (i.e. chemotherapy)?
- 4) Did your doctor say why you might want to have it?
 - risk of recurrence
 - tumour characteristics
- 5) Did your doctor say why you might not want to have it?
 - risk of recurrence
 - tumour characteristics
 - side-effects of chemotherapy
 - comorbidity
 - psychosocial factors
- 6) Did you make a decision about what to do?
- 7) What factors influenced your decision?
- 8) Do you think your doctor had a view about whether or not you should have chemotherapy?
- 9) What do you think about particular pieces of information
 - Usefulness of information given by your doctor in the consultation
 - Usefulness of decision support aid (e.g. graphical representation of the risk of recurrence, value added to the consultation by including this)
 - Concerns about the decision support aid (including the uncertainty of the information)
 - Learning about your chances of the cancer coming back
- 10) How easy did you find it to make a decision about whether or not to have chemotherapy?
- 11) How do you feel about the decision-process?
 - What did you like/ what was useful
 - What didn't you like/ what was confusing or unhelpful
- 12) Did you find that the use of a computer distracted from the consultation?

Supplementary data Table 2: Values clarification – number of people selecting different pros and cons of chemotherapy

Question	For chemotherapy	Against chemotherapy	Missing
Inability to predict if I will gain from it	1	10	1
Small risk of the cancer coming back	3	9	-
Effect of chemo on daily living	3	9	-
Uncertain whether it will prolong life	3	8	1
Willingness to take risk of long term harm from chemotherapy	4	8	-
Importance of other things have to do in my life	5	7	-
Peace of mind	6	6	-
Attending hospital every two or three weeks for six months	6	6	-
Insertion of a central line	6	6	-
Willing to take risk of short term harm from chemotherapy	7	5	-