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Comparison of the therapeutic dose of warfarin in HIV-infected and HIV-uninfected patients: a study of clinical practice.

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5 a study of clinical practice.
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ABSTRACT**Background**

People infected with the human immunodeficiency virus (HIV) are prone to venous thrombosis. Treatment of thrombosis is primarily with warfarin. No studies have addressed the effects of HIV infection on warfarin dose. The aims of this study were to determine whether the therapeutic dose of warfarin and induction time to therapeutic dose in HIV-infected differ from that in HIV-uninfected patients.

Methods

A prospective and retrospective cohort study combined with a cross-sectional study of induction time to therapeutic warfarin dose, and similarly a retrospective study of ambulant therapeutic warfarin dose were performed. HIV-infected and HIV-uninfected patients being treated after deep venous thrombosis with or without pulmonary embolism were compared. Gender and use of antiretroviral drugs (ARVs) were also compared in the groups.

Results

Two hundred and thirty-four patients were entered into the study. The mean therapeutic dose of warfarin was higher in the HIV-infected than the HIV-uninfected group: 6.06 vs 5.72 mg/day, but this was not statistically significant ($p = 0.29$). The difference was mainly due to higher warfarin doses in HIV-infected female patients and to female patients on ARV therapy. Induction time to therapeutic warfarin dose did not differ between the two groups.

Conclusion

There appears to be little effect of HIV infection on warfarin dosing. Warfarin therapy should be administered conventionally in HIV-infected patients.

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3 Summary

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5 Strengths and limitations of the study.

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- 8 • This is a unique study which investigates the effect of HIV infection on warfarin treatment.
 - 9 • It is a clinical study of actual management of HIV-infected patients with venous thrombosis.
 - 10 • For this reason the study deliberately does not investigate the effect of confounding factors
 - 11 affecting warfarin dosage.
 - 12 • The authors did not have control over the management of the patients in the retrospective
 - 13 part of the study.
 - 14 • While the statistical analysis is cogent, a sample size was not predetermined.
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Introduction

There is a high incidence of venous thrombosis in patients infected with the human immunodeficiency virus (HIV). The risk is up to 10 times that in uninfected people.¹ The aetiology of thrombosis is multifactorial and includes HIV-mediated endothelium activation, malignancies and infections.² The prothrombotic state of HIV infection is also well-recognised and several laboratory coagulation parameters have been shown to be abnormal in these patients.^{3,4} While the exact mechanism of this state remains to be fully elucidated, many coagulation factors abnormalities have been reported, notably protein C, protein S and antithrombin deficiency.³ Patients who have been treated for thrombosis may require long-term anticoagulation primarily with warfarin because the risk of thrombosis in HIV-infected thrombotic patients is not necessarily abolished by antiretroviral treatment and improvement of the CD4 count.^{3,5} Antiretroviral (ARV) drugs *per se* may also contribute to the hypercoagulable state.⁶ Additionally, these drugs have variable effects on warfarin blood levels and therefore may affect management of thrombosis in HIV-infected patients.³

While there have been many publications on the effect of ARV drugs on warfarin dose,^{3,5,7} the effect of the HIV infection on warfarin dose has not been investigated. It has been our impression that HIV-infected patients require higher warfarin doses than non-infected patients to achieve therapeutic anticoagulation. Anecdotally longer therapeutic induction times have been observed and this could be due to higher warfarin requirements. We hypothesised that patients with HIV infection require higher doses of warfarin for induction and maintenance anticoagulation.

Aim

The aims of the study were:

1. To determine whether the therapeutic dose of warfarin differed between HIV-infected and HIV-uninfected patients.

2. To determine whether the induction time to therapeutic warfarin dose differed between HIV-infected and -uninfected patients.
3. Additionally, the study aimed to detect any difference in therapeutic warfarin dose between HIV-infected males and females.

The objective of the study was to compare these parameters in a group of HIV-infected and a group of HIV-uninfected patients.

Methods

Cohort and cross-sectional studies of patients with venous thrombo-embolism was undertaken. Patients aged 18 years and older who had presented with apparently spontaneous lower limb deep venous thrombosis, with or without pulmonary embolism, were included in the study. Patients with attributable causes of thrombosis such as recent surgery, cardiac failure and thrombophilias were excluded. Patients who refused HIV testing were not entered in the study. Confirmation of DVT was by Doppler ultrasound and of pulmonary embolism by CT angiography as indicated. ARV drug treatment as well as the specific drugs were also recorded. Comparisons of the mean daily warfarin dose and induction time to therapeutic warfarin dose were performed in HIV-infected and HIV-uninfected patients, in males and females, and in HIV-infected patients on and not on ARV drug therapy. The use of ARVs was recorded and analysed as a group of confounders but individual drugs were not analysed.

The study was performed in two parts.

Part 1. Prospective and retrospective study of *Induction time to stable therapeutic warfarin dosage.*

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3 Warfarin dose data of patients treated for deep vein thrombosis (DVT) with or without pulmonary
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5 embolism whose HIV status was known were collected, either prospectively or retrospectively.
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- 7 • Prospective collection (Cohort study)

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9 All patients admitted to hospital with acute DVT of the lower limb with or without
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11 pulmonary embolism were subjected to a consented HIV test and entered in the study.

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13 Warfarin dose data was collected during hospitalisation and at follow-up. Clinic visits were
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15 scheduled every 2-4 weeks after discharge from hospital. The cohort was observed until
16
17 the attainment of therapeutic warfarin dose, and the number of days recorded.
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21 • Retrospective collection (Cross-sectional study)

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23 The records of patients already attending follow-up for venous thromboembolism were
24
25 studied retrospectively for therapeutic warfarin dose induction time in days. Only patients
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27 who attended clinics regularly until the therapeutic dose was achieved were included. The
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29 HIV status was determined from hospital records.
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35 The induction time to therapeutic warfarin dose was defined as the time in days to achieve an
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37 International Normalised Ratio (INR) measurement of between 2 and 3. The number of days was
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39 calculated as the number of days from the start of warfarin therapy until the day of stable
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41 therapeutic dose (the first day of consecutive identical doses). Arithmetic means of the number of
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43 days were calculated for groups of patients.
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49 **Part 2. Retrospective study of ambulant therapeutic warfarin dosage in patients with known HIV**
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51 **status. (Cross-sectional study)**

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53 Warfarin dose data was gathered retrospectively from 2 follow-up clinics for ambulatory patients
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55 after a thrombo-embolic episode. One clinic was for general anticoagulation control (HIV-uninfected
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57 patients), the other was for general HIV-infected patients for AIDS follow-up (HIV-infected patients).
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3 The latter patients were included irrespective of the duration of HIV infection. The last warfarin dose
4 recorded after dosage stabilisation at the INR target range of 2 – 3 had been achieved, was recorded
5 as the therapeutic daily dose. If the dose differed on alternate days the average of 2 days dosages
6 was recorded.
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11 **Warfarin dosage.**

12 The same regimen was used in both parts of the study. Warfarin was commenced at 5mg per day
13 and followed by a modification of the slow Fennerty regimen, using dose adjustments of 2.5mg.⁸
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16 The INR was determined every 2-3 days after initiation or change of treatment dose, while
17 maintaining anticoagulation with a low molecular weight heparin until therapeutic INR level was
18 obtained. The target INR for ambulant warfarin therapy was 2.5, with a range of 2 to 3. Arithmetical
19 means of warfarin dose were calculated for each of the groups described above.
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31 The study was conducted at the Pretoria Academic Health Complex consisting of the Steve Biko
32 Academic Hospital and Kalafong Regional Hospital, as well as the Tshwane District Hospital, during
33 the period 2013-2015. Approval to conduct the study was granted by the Research Ethics
34 Committee of the Faculty of Health Sciences of the University of Pretoria.
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41 The study is reported in accordance with the STROBE criteria.⁹
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46 **Statistical Analysis**

47 The 2 sample t-test of the comparison of means of warfarin dose was performed in the following
48 groups: HIV-infected and HIV-uninfected patients, HIV-infected patients on and not on ARV drugs,
49 and males and females in these groups. Gender and ARV drug use were analysed as possible
50 confounders. Induction times for the groups to attain therapeutic warfarin doses were analysed by
51 the Kruskal-Wallis test. The study was considered to be exploratory in nature. Because the
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3 difference in warfarin dose outcomes of the study groups was expected to be small, sample size and
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5 size effect were not predetermined as a large number of patients would be required. A p-value of
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7 0.05 was taken to be significant.
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10 11 **Results**

12 *Part 1: Induction time to therapeutic warfarin dose.*

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15 Induction times were analysed in 170 patients, 74 of whom were HIV-infected and 96 HIV-
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17 uninfected. The mean times were 12.87 and 11.19 days respectively, $p=0.28$. Thirty-six of the HIV-
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19 infected patients were on ARV drugs. Their induction times did not differ significantly from that of
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21 the HIV-infected patients not on ARV drugs or the HIV-uninfected patients (Figure 1). It must be
22
23 noted that therapeutic induction times exhibited a wide range of standard deviation.
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29 *Part 2: Stable ambulant warfarin dose*

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32 One hundred and twenty-two HIV-uninfected patients (42 males and 80 females) and 112 HIV-
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34 infected patients (44 males and 68 females) with total of 234, were entered in this part of the study
35
36 which included the patients in part 1 (Table 1). The table also depicts patients' gender and the CD4
37
38 cell counts of the HIV-infected patients. The mean therapeutic dose of warfarin in the whole set of
39
40 patients was higher in the HIV-infected group than the HIV-uninfected group: 6.06mg/day vs
41
42 5.72mg/day (Table2a) which was, however, not statistically significant ($p=0.29$). Females (HIV-
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44 infected 5.84 mg/day vs HIV-uninfected 5.31mg/day, $p = 0.14$), were the greater contributors to this
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46 higher warfarin requirement than males (HIV-infected 6.39mg/day vs HIV-uninfected 6.49mg/day, p
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48 = 0.87).
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3 Fifty HIV-infected patients on ARV medication were taking several ARV drugs in various
4 combinations. The drugs and mean dosages used in this study are listed according to gender in table
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7 3. There was no statistically significant difference in the mean therapeutic dose of warfarin between
8 HIV-infected patients taking and not taking ARVs, 6.20 v 5.94 mg, $p=0.59$ (Table 2b). There was also
9 no significant difference in warfarin dose between HIV-infected patients not taking ARVs and HIV-
10 uninfected patients, 5.94mg/day vs 5.72mg/day, $p=0.61$ (Table 2c). However, HIV-infected females
11 on ARVs required significantly more warfarin, 6.47mg/d than HIV-uninfected females, 5.31mg/d,
12 $p=0.01$. The opposite was true for males, but the difference was not statistically significant, 5.86 vs
13 6.49, $p=0.29$.

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24 The analysis of warfarin doses in males and females is shown in the tables (vertical axes). Males
25 required slightly more warfarin than females whether they were HIV-infected or not. HIV-infected
26 females on ARV drugs tended to require higher doses of warfarin than those not on ARV drugs,
27 6.47mg/day vs 5.40mg/day, $p = 0.06$ (Table 2b).

28 29 30 31 32 33 34 35 36 37 38 **Discussion**

39 We report on a comparative study of warfarin dosage in HIV-infected and -uninfected patients. This
40 comparison has not been published previously. The assumption that HIV-infected patients require
41 higher doses of warfarin for therapeutic effect seems to be reasonable given the acknowledged
42 hypercoagulable state of the HIV-infected patient. Anecdotal observation in our practice suggested
43 that this is indeed the case. Previous warfarin dosage studies in HIV-infected patients have largely
44 addressed the effect of ARV drugs and not the HIV infection itself on warfarin dose.^{3,5,7} This study
45 attempted to determine the latter. However, no apparent increase in warfarin requirements for
46 anticoagulation was demonstrated in HIV-infected patients compared to uninfected patients in
47 general, except in females on ARV therapy.

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5 There is a paucity of clinical studies on the effect of infection in general on warfarin dosage.
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7 Schelleman et al addressed this question indirectly in a review of published studies, by determining
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9 the risk of gastrointestinal bleeding in warfarin-treated patients receiving cephalexin and amoxicillin
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11 for common acute infectious conditions.¹⁰ They found an increased risk of bleeding in the presence
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13 of these two drugs that do not produce significant interactions with warfarin. Their findings suggest
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15 that the infections *per se* may increase the risk of bleeding and, by implication, reduce the required
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17 warfarin dose. In a cohort of HIV-uninfected patients Clarke et al found that the proportion of
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19 patients on warfarin therapy with INR readings above 5 was significantly greater in those who were
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21 being treated for upper respiratory infection than the proportion of healthy control subjects, and
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23 that this effect was amplified by the use of antibiotics.¹¹ This study also indicated that patients with
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25 infection required less warfarin for anticoagulation. The effect of HIV infection on warfarin dosage
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27 has not been similarly studied. Infection with HIV apparently causes a propensity for thrombosis, as
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29 recovery of the CD4 count does not abolish the increased risk of thrombosis.^{1,3,5} The current study
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31 attempted to determine indirectly the effect of the HIV infection *per se* on warfarin requirement by
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33 comparison of the dosage with that in HIV-uninfected patients. Warfarin doses were found not to
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35 differ between these two broad groups. This contrasts with the available findings quoted above, in
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37 which warfarin requirements are reduced during acute infectious episodes.
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44 Clinical management of warfarin therapy is difficult because of inter- and intra-individual variability
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46 of dosage requirements.^{12,13} The narrow therapeutic window for warfarin dosage makes accurate
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48 dosing important. Many patient and environmental factors influence warfarin dosing. These include
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50 sex, race, height, weight and age.^{12,13} The well-known increased warfarin requirements for men are
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52 also apparent in this study.¹⁴
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3 Warfarin exerts its effect on coagulation by inhibiting the vitamin K epoxide reductase (VKOR)
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5 enzyme system in the liver.¹² The latter is responsible for the essential reduction of oxidised vitamin
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7 K which is necessary for the synthesis of coagulation factors II, VII, IX and X. However, vitamin K is
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9 also necessary for the synthesis of the anticoagulation factors protein C and protein S which are
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11 depressed in HIV-infected patients. It might therefore be expected that these patients would require
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13 higher doses of warfarin to override the resultant additional tendency to coagulation due to proteins
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15 C and S deficiency. This was indeed our impression because of perceived apparent longer induction
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17 times in the HIV-infected patients. However, results from this study showed that the induction times
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19 did not in fact differ significantly between HIV-infected and -uninfected patients. Similarly, the
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21 analysis of stable warfarin doses at follow-up clinics did not reveal a statistically significant difference
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23 in warfarin requirements between the HIV-infected and HIV-uninfected groups.
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29 Warfarin dose effects of antiretroviral drugs in HIV-infected patients have been investigated by
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31 several authors. ARV drugs have variable effects on warfarin blood levels, some causing an increase
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33 in levels and some a decrease. Jong et al demonstrated lower levels of von Willebrand factor, factor
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35 VIII, D-dimer and endogenous thrombin potential in HIV-infected patients on ARV drugs.³ Anderson
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37 et al found that patients on efavirenz-based regimens required lower weekly warfarin doses than
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39 patients on lopinavir / ritonavir regimens.¹⁵ The effects of the individual ARV drugs were not
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41 analysed separately in this study. Patients were taking several ARV drugs singly or in various
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43 combinations. The sample size of patients on each drug was too small to meaningfully perform
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45 separate analyses. An important comparison in this study, however, is that between the HIV-
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47 infected patients not taking ARV drugs and the HIV-uninfected patients. This comparison would
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49 discount the effects of the ARV drugs. While there was a modest difference in warfarin dosage, this
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51 did not differ significantly between these two groups ($p=0.20$, Table 2c).
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3 The development of a warfarin dosing algorithm for HIV-infected persons would be difficult and
4 complex because of the multiple influences that may be operating.¹² These include genetic factors,
5 the hypercoaguable state, pertinent infections and malignancies, and the effect of multiple drugs on
6 the cytochrome p450 2C9 system. These diverse effects on warfarin dosage are probably reflected
7 in the wide range of standard deviation in this study shown in figure 1. However, the study addresses
8 the broad group of HIV-infected patients, and does not take cognisance of the multiple factors that
9 can affect warfarin dosing. We propose that this approach is valid because the study reflects clinical
10 usage. Current practice in HIV-infected patients is to manage warfarin dosing as in HIV-uninfected
11 patients, and this study would seem to support this custom.⁵ Cognisance should be taken of the
12 moderately higher doses needed for therapeutic warfarin effect in HIV-infected patients. This
13 difference might prove to be clinically significant in a larger study, and one that includes the study of
14 warfarin toxicity due to inaccurate dosing.
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31 The study has some shortcomings. Retrospective data was collected from several sources over
32 which the investigators did not have control. In addition, rates of satisfactory therapeutic
33 anticoagulation have been reported to be low in HIV-infected patients, partly attributable to poor
34 adherence to warfarin therapy.¹⁵ HIV-infected patients often take ARV drugs as well as other drugs
35 for AIDS-related illnesses such as azole antifungal agents. The drugs have variable effects on
36 warfarin metabolism and may alter warfarin requirements. These effects were not analysed in this
37 study. The sample size of the study was not predetermined (as explained above). While the
38 statistical results are cogent, it is possible that a type II error occurred in analysis of the data.
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50 Summary

51 This is the first study investigating the warfarin dosing effect of HIV-infection *per se*. This was done
52 by comparison with uninfected patients. Overall there was no significant statistical difference
53 between these groups either in induction time to therapeutic warfarin dose, or stable doses in
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3 ambulant patients. However a subgroup of females with HIV infection on ARVs required significantly
4 more warfarin for therapeutic effect. It is recommended that warfarin dosing and coagulation
5 monitoring be the same in the routine management of HIV infected patients as for uninfected
6 patients. A larger study may detect a difference in warfarin requirements of HIV-infected patients.
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20 Authors' Contributions: TM originated the study concept. BSJ did the research which TM supervised.
21 Both contributed to interpretation of data and manuscript writing.
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26 Conflict of interests: The authors declare no conflicts of interest.
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31 Funding: No specific funding was used for this study.
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36 No additional data is available
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Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

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Table 1. Demographics of study patients with or without HIV infection on warfarin therapy, n234

| | Males | Females | CD4 cell count (mean)* | | M/F | Mean age: years (range) |
|------------|-------|---------|------------------------|------|-------|-------------------------|
| | | | <200 | >200 | | |
| HIV + n112 | 44 | 68 | 35 | 48 | 43/41 | 41.9 (20-71) |
| HIV - n122 | 42 | 80 | - | - | 49/47 | 47.8 (18-83) |
| Total n234 | 86 | 148 | | | | |

HIV + Human immunodeficiency virus infected

HIV - Human immunodeficiency virus uninfected

* CD4 count unknown = 29

M/F = Male to female

Table 2. Mean warfarin doses (mg/day) according to patients' HIV infection and AR drug therapy status (ARVT)

2a Comparison according to HIV status and gender

| | HIV- | HIV+ | p value |
|----------------|-------------|-------------|---------|
| All | (n122) 5.72 | (n112) 6.06 | 0.29 |
| Male | (n42) 6.49 | (n44) 6.39 | 0.87 |
| Female | (n80) 5.31 | (n68) 5.84 | 0.14 |
| p-Value (MvsF) | 0.01 | 0.29 | |

2b Comparison in HIV infected patients according to ARVT status

| | HIV+ ARV- | HIV+ ARV+ | p value |
|----------------|------------|------------|---------|
| All | (n62) 5.94 | (n50) 6.20 | 0.59 |
| Male | (n22) 6.92 | (n22) 5.87 | 0.23 |
| Female | (n40) 5.40 | (n28) 6.47 | 0.06 |
| p value (MvsF) | 0.09 | 0.30 | |

ARVT = Antiretroviral drug therapy

2c Comparison of HIV- and HIV+ patients without ARVT

| | HIV- | HIV+ ARV- | p value |
|--------|-------------|------------|---------|
| All | (n122) 5.72 | (n62) 5.94 | 0.61 |
| Male | (n42) 6.49 | (n22) 6.92 | 0.63 |
| Female | (n80) 5.31 | (n40) 5.40 | 0.84 |

ARVT = Antiretroviral drug therapy

Table 3. Antiretroviral drugs used by HIV-infected patients indicating number of patients on each drug (n) and mean daily warfarin dose (mg) of the groups.

| Antiretroviral medication | Males Mean warfarin dose | Females Mean warfarin dose | Total Mean warfarin dose |
|------------------------------|-----------------------------|-------------------------------|-----------------------------|
| Lamivudine (3TC) | (n12) 6.45 | (n12) 6.3 | (n24) 6.38 |
| Stavudine (d4T/Zerit) | (n6) 5.42 | (n5) 6.61 | (n11) 5.96 |
| Efavirenz (EFA) | (n11) 5.91 | (n11) 5.55 | (n22) 5.73 |
| Abacavir (ABC) | (n0) | (n1) 5 | (n1) 5 |
| Tenofovir (TDF) | (n4) 6.25 | (n5) 6.5 | (n9) 6.39 |
| Aluvia (lopinavir/ritonavir) | (n1) 12.5 | (n1) 10 | (n2) 11.25 |
| Nevirapine (NVP) | (n0) | (n1) 8.25 | (n1) 8.25 |
| Zidovudine (AZT) | (n10) 5.16 | (n15) 6.79 | (n25) 6.14 |
| Unknown | (n1) 7.5 | (n1) 5 | (n2) 6.25 |

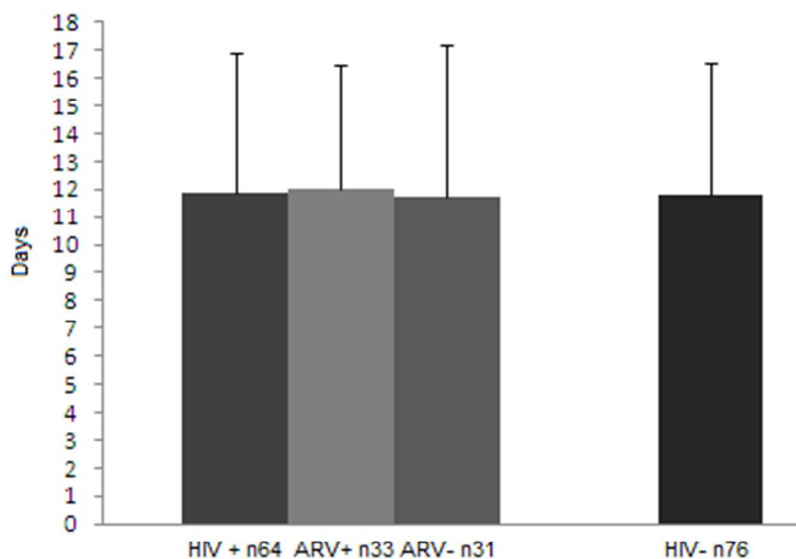


Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

Figure 1
38x27mm (300 x 300 DPI)

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STROBE Statement—checklist of items that should be included in reports of observational studies

NA: Not applicable

p = Page

| | Item No | Recommendation |
|---------------------------|---------|---|
| Title and abstract | 1 | (a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found <i>Abstract, p 3</i> |
| Introduction | | |
| Background/rationale | 2 | Explain the scientific background and rationale for the investigation being reported <i>p 5, Intro</i> |
| Objectives | 3 | State specific objectives, including any prespecified hypotheses <i>p 5-6: Aim</i> |
| Methods | | |
| Study design | 4 | Present key elements of study design early in the paper <i>p 6: Methods</i> |
| Setting | 5 | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection <i>Location p 8, Dates p 8, Setting p 7</i> |
| Participants | 6 | (a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>p 7</i> |
| | | <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls |
| | | <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants <i>p 7-8</i> |
| Variables | 7 | (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>NA</i> |
| | | <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case <i>NA</i> |
| Data sources/measurement | 8* | Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable <i>p 6-7, p 6</i> |
| | | For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group <i>p 6-7 part 1/2</i> |
| Bias | 9 | Describe any efforts to address potential sources of bias <i>None</i> |
| Study size | 10 | Explain how the study size was arrived at <i>p 7 (induction time), p Warfarin dose</i> |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why <i>p 8 (bottom)-9</i> |
| Statistical methods | 12 | (a) Describe all statistical methods, including those used to control for confounding <i>p 8</i> |
| | | (b) Describe any methods used to examine subgroups and interactions <i>NA</i> |
| | | (c) Explain how missing data were addressed <i>None</i> |
| | | (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>NA</i> |
| | | <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed |
| | | <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy <i>NA</i> |
| | | (e) Describe any sensitivity analyses <i>None</i> |

Continued on next page

Results

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|------------------|-----|--|-------------------------|
| Participants | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed | NA |
| | | (b) Give reasons for non-participation at each stage | NA (No HIV consent p 6) |
| | | (c) Consider use of a flow diagram | NA |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders | M/F, Age, HIV CD4 p 6 |
| | | (b) Indicate number of participants with missing data for each variable of interest | NA |
| | | (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) | NA (until ther. dose) |
| Outcome data | 15* | <i>Cohort study</i> —Report numbers of outcome events or summary measures over time | p 9 |
| | | <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure | NA |
| | | <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures | p 9-10 |
| Main results | 16 | (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included | p 9-10 |
| | | (b) Report category boundaries when continuous variables were categorized | p 6: Age > 18 |
| | | (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period | NA |
| Other analyses | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses | p 9-10 (gender, ARVs) |

Discussion

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|------------------|----|--|------------------|
| Key results | 18 | Summarise key results with reference to study objectives | p 13-14: Summary |
| Limitations | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. | |
| | | Discuss both direction and magnitude of any potential bias | p 13-para 2 |
| Interpretation | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | p 13-Summary |
| Generalisability | 21 | Discuss the generalisability (external validity) of the study results | p 14-Summary |

Other information

| | | | |
|---------|----|---|------------|
| Funding | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | p 2 (None) |
|---------|----|---|------------|

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Comparison of the therapeutic dose of warfarin in HIV-infected and HIV-uninfected patients: a study of clinical practice.

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|---------------------------------|--|
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| Primary Subject Heading: | HIV/AIDS |
| Secondary Subject Heading: | Haematology (incl blood transfusion), Medical management |
| Keywords: | Human Immunodeficiency Virus, Venous thrombosis, Warfarin therapy |
| | |

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3 Comparison of the therapeutic dose of warfarin in HIV-infected and HIV-uninfected patients:
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5 a study of clinical practice.
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42 Key words: Human Immunodeficiency Virus

43 Venous thrombosis

44 Warfarin therapy
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51 Word count: 2755
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3 Authors' Contributions: TM originated the study concept. BSJ did the research which TM supervised.

4
5 Both contributed to interpretation of data and manuscript writing.

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9 Conflict of interests: The authors declare no conflicts of interest.

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14 Funding: No specific funding was used for this study.

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18 No additional data is available

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For peer review only

ABSTRACT**Background**

People infected with the human immunodeficiency virus (HIV) are prone to venous thrombosis. Treatment of thrombosis is primarily with warfarin. No studies have addressed the effects of HIV infection on warfarin dose. The aims of this study were to determine whether the therapeutic dose of warfarin and induction time to therapeutic dose in HIV-infected differ from that in HIV-uninfected patients.

Methods

A prospective and retrospective descriptive study of induction time to therapeutic warfarin dose, and of ambulant therapeutic warfarin dose were performed. HIV-infected and HIV-uninfected patients being treated after deep venous thrombosis with or without pulmonary embolism were compared. Sex and use of antiretroviral drugs (ARVs) were also compared in the groups.

Results

Two hundred and thirty-four patients were entered into the study. Induction time to therapeutic warfarin dose did not differ between the two groups. The mean therapeutic dose of warfarin was higher in the HIV-infected than the HIV-uninfected group: 6.06 vs 5.72 mg/day, but this was not statistically significant ($p = 0.29$). There was no difference in therapeutic warfarin dose between ARV-naïve groups – HIV-uninfected and HIV-infected not on ARVs.

Conclusion

There appears to be little effect of HIV infection on warfarin dosing. Warfarin therapy should be administered conventionally in HIV-infected patients.

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3 Summary

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5 Strengths and limitations of the study.

- 6
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- 8 • This is a unique study which investigates the effect of HIV infection on warfarin treatment.
 - 9 • It is a clinical study of actual management of HIV-infected patients with venous thrombosis.
 - 10 • For this reason the study deliberately does not investigate the effect of confounding factors
 - 11 affecting warfarin dosage.
 - 12 • The authors did not have control over the management of the patients in the retrospective
 - 13 part of the study.
 - 14 • While the statistical analysis is cogent, a sample size was not predetermined.
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Introduction

There is a high incidence of venous thrombosis in patients infected with the human immunodeficiency virus (HIV). The risk is up to 10 times that in uninfected people.^{1,2} The aetiology of thrombosis is multifactorial and includes HIV-mediated endothelium activation, malignancies and infections.³ The prothrombotic state of HIV infection is also well-recognised and several laboratory coagulation parameters have been shown to be abnormal in these patients.^{4,5} While the exact mechanism of this state remains to be fully elucidated, many coagulation factor abnormalities have been reported, notably protein C, protein S and antithrombin deficiency.⁴ Patients who have been treated for thrombosis may require long-term anticoagulation primarily with warfarin because the risk of thrombosis in HIV-infected thrombotic patients is not necessarily abolished by antiretroviral treatment and improvement of the CD4 count.^{4,6} Antiretroviral (ARV) drugs *per se* may also contribute to the hypercoagulable state.⁷ Additionally, these drugs have variable effects on CYP450 liver enzymes that metabolise warfarin and therefore may affect management of thrombosis in HIV-infected patients.⁸ Warfarin has a critical therapeutic window making correct dosing important.

The effect of the HIV status of patients on warfarin dosage has not been investigated. It has been our impression that HIV-infected patients require higher warfarin doses than non-infected patients to achieve therapeutic anticoagulation. Anecdotally longer therapeutic induction times have been observed and this could be due to higher warfarin requirements. Given the possible multiple factors causing hypercoagulability in the HIV-infected patient, we hypothesised that these patients with HIV infection may require higher doses of warfarin for induction and maintenance of anticoagulation.

Aim

The aim of the study was to determine if there is an effect on therapeutic warfarin dose in patients infected with HIV.

The objectives were:

1. To determine whether the therapeutic dose of warfarin differed between groups of HIV-infected and HIV-uninfected patients hospitalized for a thromboembolic incident and followed up at a clinic for INR control.
2. To determine whether the induction time to therapeutic warfarin dose differed between groups of HIV-infected and -uninfected patients.

Motivation

An observation of apparently increased warfarin requirements by HIV-infected patients hospitalised for venous thrombosis, led the authors to investigate the induction time to therapeutic INR in these patients, and to compare this with HIV-uninfected patients. There being no anticoagulation protocol specifically for HIV-infected patients, the comparison would be done using the standard protocol in our department. The induction times to therapeutic INR were to be recorded prospectively and patients followed up at coagulation clinics to determine their ambulant therapeutic warfarin dosage. In order to augment the data, files of additional patients were studied retrospectively at two clinics.

Methods

A descriptive study of patients with venous thrombo-embolism was undertaken. Data were collected both prospectively and retrospectively. Patients aged 18 years and older who had presented with apparently spontaneous lower limb deep venous thrombosis, with or without pulmonary embolism, were included in the study. Patients with attributable causes of thrombosis such as recent surgery, cardiac failure and thrombophilias were excluded. Patients who refused HIV testing were not entered in the study. Confirmation of DVT was by Doppler ultrasound and of pulmonary embolism by CT angiography as indicated. ARV drug treatment as well as the specific drugs were also recorded. Comparisons of the mean daily warfarin dose and induction time to therapeutic warfarin dose were performed in HIV-infected and HIV-uninfected patients, in males and females, and in HIV-infected patients on and not on ARV drug therapy. The use of ARVs was

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3 recorded and analysed as a group of possible confounders but not all individual drugs were
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5 analysed.
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10 • Prospective data collection

11 All patients admitted to hospital with acute DVT of the lower limb with or without
12 pulmonary embolism were subjected to a consented HIV test and entered in the study.
13 Warfarin dose data was collected during hospitalisation and at follow-up. Clinic visits were
14 scheduled every 2-4 weeks after discharge from hospital. The patients were observed until
15 the attainment of therapeutic warfarin dose, and the number of days recorded. Once
16 induction time had passed the patients were followed until the therapeutic dose of each
17 patient was attained.
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21 • Retrospective data collection

22 Warfarin dose data was gathered retrospectively from two follow-up clinics for ambulatory
23 patients after a thrombo-embolic episode. One clinic was for general anticoagulation
24 control (HIV-uninfected patients), the other was for HIV-infected patients for AIDS follow-
25 up (HIV-infected patients). The latter patients were included irrespective of the duration of
26 HIV infection. Only patients who attended clinics regularly until the therapeutic dose was
27 achieved were included in this study. Induction time as computed and therapeutic warfarin
28 dose recorded.
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48 **Definitions**

49 *Warfarin regimen:*

50 The same regimen was used for all patients in the study. Sodium-warfarin (Cipla-Warfarin®, Cipla-
51 Medpro) was commenced at 5mg per day and followed by a modification of the slow Fennerty
52 regimen, using dose adjustments of 2.5mg.⁹ The INR was determined every 2-3 days after initiation
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3 or change of treatment dose, while maintaining anticoagulation with a low molecular weight heparin
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5 until an INR level of between 2 and 3 was obtained.
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10 *Warfarin induction time:*

11 The induction time to therapeutic warfarin dose was defined as the time in days to achieve an
12 International Normalised Ratio (INR) measurement of between 2 and 3. The number of days was
13 calculated as the number of days from the start of warfarin therapy until the day of stable
14 therapeutic dose (the first day of consecutive identical doses). Arithmetic means of the number of
15 days were calculated for groups of patients.
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24 *Therapeutic warfarin dose:*

25 The target INR for ambulant warfarin therapy was 2.5, with a range of 2 to 3. The last warfarin dose
26 recorded after dosage stabilisation at the INR target range of 2 – 3 had been achieved, was recorded
27 as the therapeutic daily dose for both the pro- and retrospectively studied patients. If the dose
28 differed on alternate days the average of 2 days dosages was recorded. Means of ambulant warfarin
29 dose of groups of patients were calculated for comparison. Because of the short-term goals of this
30 study of recording only induction time and the most recent therapeutic warfarin dose, time in
31 therapeutic range was not determined.
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44 The study was conducted at the Pretoria Academic Health Complex consisting of the Steve Biko
45 Academic Hospital and Kalafong Regional Hospital, as well as the Tshwane District Hospital, during
46 the period 2013-2015. Individual consent was obtained from the prospectively studied patients.
47 Approval to conduct the whole study was granted by the Research Ethics Committee of the Faculty
48 of Health Sciences of the University of Pretoria.
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56 The study is reported in accordance with the STROBE criteria.¹⁰
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Statistical Analysis

The 2 sample t-test of the comparison of means of warfarin dose was performed in the following groups: HIV-infected and HIV-uninfected patients, HIV-infected patients on and not on ARV drugs, and males and females in these groups. Sex and the use of ARV drug use were analysed as possible confounders. Induction times for the groups to attain therapeutic warfarin doses were analysed by the Kruskal-Wallis test. The study was considered to be exploratory in nature. Because the difference in warfarin dose outcomes of the study groups was expected to be small, sample size and size effect were not predetermined as a large number of patients would be required. A p-value of 0.05 was taken to be significant.

Results

Patient demographics and the number of patients in each group are depicted in Table 1. The age of the patients was somewhat lower in the HIV-infected group.

Induction time to therapeutic warfarin dose.

Induction times were analysed in 170 patients. The data was collected prospectively for 93 patients and retrospectively for 77 patients. Seventy four of the patients were HIV-infected and 96 HIV-uninfected. The mean times were 12.87 and 11.19 days respectively, $p=0.28$. Thirty-six of the HIV-infected patients were on ARV drugs. Their induction times did not differ significantly from that of the HIV-infected patients not on ARV drugs or the HIV-uninfected patients (Figure 1). It must be noted that therapeutic induction times exhibited a wide range of standard deviation.

Stable ambulant warfarin dose

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3 The prospectively-studied patients in the warfarin induction study were followed up for
4 determination of their ambulant therapeutic warfarin dose. These 93 patients were augmented by
5 data collected retrospectively from 141 patients. This gave a total of 234 patients, 122 HIV-
6 uninfected (42 males and 80 females) and 112 HIV-infected (44 males and 68 females) (Table 2). The
7 mean therapeutic dose of warfarin in the whole set of patients was higher in the HIV-infected group
8 than the HIV-uninfected group: 6.06mg/day vs 5.72mg/day (Table2). This was, however, not
9 statistically significant ($p=0.29$). The difference was greater in females (HIV-infected 5.84 mg/day vs
10 HIV-uninfected 5.31mg/day, $p = 0.14$) than in males (HIV-infected 6.39mg/day vs HIV-uninfected
11 6.49mg/day, $p = 0.87$).

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25 Fifty HIV-infected patients on ARV medication were taking several ARV drugs in various
26 combinations. The drugs and mean dosages used in this study are listed in table 3. There was no
27 statistically significant difference in the mean therapeutic dose of warfarin between HIV-infected
28 patients taking and not taking ARVs, 6.20 v 5.94 mg/day, $p=0.59$. However, HIV-infected females on
29 ARVs required significantly more warfarin, 6.47mg/day than HIV-uninfected females, 5.31mg/day,
30 $p=0.01$. The opposite was true for males, but the difference was not statistically significant,
31 5.87mg/day vs 6.49mg/day, $p=0.29$.

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42 ARV-naïve patient groups were compared. There was no significant difference in warfarin dose
43 between HIV-infected patients not taking ARVs and HIV-uninfected patients, 5.94mg/day vs
44 5.72mg/day, $p=0.22$.

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51 In general males required more warfarin than females as can be seen in table 3. This applied to all
52 groups of patients but only the comparison for HIV-uninfected patients was significant: males
53 (6.49mg) and females (5.31mg), $p = 0.01$.

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3 HIV-infected females on ARV drugs tended to require higher doses of warfarin than those not on ARV
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5 drugs, 6.47mg/day vs 5.40mg/day, $p = 0.06$. Most of the antiretroviral drugs were taken by few
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7 patients (11 or less). In addition, drugs were taken in various different combination, making analysis
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9 of individual drugs' effects on warfarin dose unrealistic. However, two drugs were taken by
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11 substantially more patients, viz efavirenz and zidovudine. The mean warfarin dose of the 22 patients
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13 taking efavirenz (a CYP450 inhibitor) was virtually the same as that of the HIV-uninfected patients
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15 (5.73 and 5.72 respectively). Twenty five patients were taking zidovudine which is not metabolised
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17 by the CYP450 pathway and apparently does not affect warfarin blood levels.
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27 We report on a comparative study of warfarin dosage in HIV-infected and -uninfected patients. This
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29 comparison, using a standard warfarin treatment protocol, has not been published previously. The
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31 assumption that HIV-infected patients require higher doses of warfarin for therapeutic effect seems
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33 to be reasonable given the acknowledged hypercoagulable state of the HIV-infected patient.
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35 Anecdotal observation in our practice suggested that this is indeed the case. Many of these patients
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37 had not yet started ARV therapy. Previous warfarin dosage studies in HIV-infected patients have
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39 largely addressed the effect of ARV drugs on warfarin dose.^{4,6,7,11} This study attempted to
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41 determine the possible role of HIV infection. However, no apparent increase in warfarin
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43 requirements for anticoagulation was demonstrated in HIV-infected patients compared to
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45 uninfected patients in general, except in females on ARV therapy.
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50 There is a paucity of clinical studies on the effect of infection in general on warfarin dosage. This has
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52 been addressed in a few studies on patients with acute infection.^{12,13} The effect of HIV infection on
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54 warfarin dosage has not been similarly studied. Infection with HIV, quite apart from the effect of
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56 concomitant infections and malignancies and various possible drug interaction, apparently causes a
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3 propensity for thrombosis, as recovery of the CD4 count does not abolish the increased risk of
4 thrombosis.^{2,4,6} The current study attempted to determine indirectly the effect of the HIV infection
5 *per se* on warfarin requirement by comparison of the dosage with that in HIV-uninfected patients.
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10 The mean warfarin dosage was found not to differ between these two broad groups.

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13 Clinical management of warfarin therapy is difficult because of inter- and intra-individual variability
14 of dosage requirements.^{14,15} The narrow therapeutic window for warfarin dosage makes accurate
15 dosing important.¹⁶ Many patient and environmental factors influence warfarin dosing. These
16 include sex, race, height, weight and age.^{14,15} Only the known increased warfarin requirements for
17 men was documented and is apparent in this study, in the comparison of ARV-uninfected men and
18 women.
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21
22 Warfarin dose effects of antiretroviral drugs in HIV-infected patients have been investigated by
23 several authors. ARV drugs have variable effects on warfarin blood levels, some causing an increase
24 in levels and some a decrease. Sekaggya et al demonstrated this in a case series of HIV-infected
25 patients being treated for tuberculosis.¹⁷ Jong et al demonstrated lower levels of von Willebrand
26 factor, factor VIII, D-dimer and endogenous thrombin potential in HIV-infected patients on ARV
27 drugs.⁴ Anderson et al found that patients on efavirenz-based regimens required lower weekly
28 warfarin doses than patients on lopinavir / ritonavir regimens.¹⁸ This was not the case in the present
29 study in the patients taking efavirenz. The warfarin dose in the 22 HIV-infected patients taking
30 efavirenz did not differ from the patients not taking the drug. However, this could have been due to
31 other influences not studied here such as the effects of other drugs. Stolbach et al caution that
32 efavirenz and nevarapine may affect therapeutic INR levels, and that warfarin dose adjustments may
33 be required. Little can be deduced from the ARV drug effect found in this study as the effects of
34 most of the individual ARV drugs on warfarin requirements were not analysed statistically. Patients
35 were taking several ARV drugs singly or in various combinations. The sample size of patients on each
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3 drug was too small to meaningfully perform separate analyses. An important comparison in this
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5 study, however, is that between the 62 HIV-infected patients not taking ARV drugs and the 122 HIV-
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7 uninfected patients. This comparison would discount the effects of the ARV drugs. While there was
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9 a modest difference in warfarin dosage, this did not differ significantly between these two groups
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11 (p=0.20, Table 2). Once again this may be due to factors not addressed in this study.
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16 The development of a warfarin dosing algorithm for HIV-infected persons would be difficult and
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18 complex because of the multiple influences that may be operating.^{14,17} These include genetic factors,
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20 the hypercoaguable state, pertinent infections and malignancies, and the effect of multiple drugs on
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22 the cytochrome p450 2C9 system. These diverse effects on warfarin dosage are probably reflected
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24 in the wide range of standard deviation in this study shown in figure 1. However, the study addresses
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26 the broad group of HIV-infected patients, and does not take cognisance of the multiple factors that
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28 can affect warfarin dosing. We propose that this approach is valid because the study reflects clinical
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30 usage in which standard warfarin regimens are used for HIV-infected patients. Current practice in
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32 HIV-infected patients is to manage warfarin dosing as in HIV-uninfected patients, and this study
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34 would seem to support this custom.⁶ While not statistically significant, cognisance should be taken
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36 of the moderately higher doses needed for therapeutic warfarin effect in HIV-infected patients. This
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38 difference might prove to be clinically significant in a larger study, and one that includes the study of
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40 warfarin toxicity due to inaccurate dosing.
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46 The study has some shortcomings. Retrospective data was collected from several sources over
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48 which the investigators did not have control. In addition, rates of satisfactory therapeutic
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50 anticoagulation have been reported to be low in HIV-infected patients, partly attributable to poor
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52 adherence to warfarin therapy.¹⁸ HIV-infected patients often take ARV drugs as well as other drugs
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54 for AIDS-related illnesses such as azole antifungal agents. The drugs have variable effects on
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56 warfarin metabolism and may alter warfarin requirements. These effects were not investigated in
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3 this study. The sample size of the study was not predetermined. While the statistical results are
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5 cogent, it is possible that a type II error occurred in analysis of the data. The study nevertheless
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7 suggests the performance of a larger study.
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10 11 **Summary**

12 This is the first study investigating HIV-infection status on warfarin dosing. This was done by
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14 comparison with uninfected patients using a standard warfarin administration protocol. Overall
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16 there was no significant statistical difference between these groups either in induction time to
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18 therapeutic warfarin dose, or stable doses in ambulant patients. However a subgroup of females
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20 with HIV infection on ARVs required significantly more warfarin for therapeutic effect. A larger study
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22 may detect a difference in warfarin requirements of HIV-infected patients.
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28 29 **Conclusion**

30 It is recommended that warfarin dosing and coagulation monitoring be the same in the routine
31
32 management of HIV infected patients as for uninfected patients.
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37 Acknowledgments: We would like to acknowledge the assistance of Prof V.O.L. Karuseit of the
38
39 Department of Surgery of the University of Pretoria in the preparation of the manuscript.
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43 Authors' Contributions: TM originated the study concept. BSJ did the research which TM supervised.
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46 Both contributed to interpretation of data and manuscript writing.
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50 Conflict of interests: The authors declare no conflicts of interest.
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54 Funding: No specific funding was used for this study.
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3 No additional data is available
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7 **References**
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Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

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Table 1. Demographics of study patients with or without HIV infection on warfarin therapy, n234

| | Males | Females | CD4 cell count (mean)* | | M/F | Mean age years (range) |
|------------|-------|---------|------------------------|------|-------|------------------------|
| | | | <200 | >200 | | |
| HIV + n112 | 44 | 68 | 35 | 48 | 43/41 | 41.9 (20-71) |
| HIV - n122 | 42 | 80 | - | - | 49/47 | 47.8 (18-83) |
| Total n234 | 86 | 148 | | | | |

HIV + Human immunodeficiency virus infected

HIV - Human immunodeficiency virus uninfected

* CD4 count unknown = 29

M/F = Males and females

Table 2. Mean warfarin doses (mg/day) according to patients' HIV infection and ARV drug therapy status (ARVT)

| Patient groups (n, %) | Warfarin dose (mg/day) | p-Value |
|-----------------------|------------------------|------------|
| All (234, 100) | | |
| HIV+ (112, 48) | 6.06 | } p = 0.29 |
| HIV- (122, 52) | 5.72* | |
| HIV+ & ARV- (62, 55) | 5.94* | } p = 0.61 |
| HIV+ & ARV+ (50, 45) | 6.20 | |
| Male (86, 100) | | |
| HIV+ (44, 51) | 6.39 ^Σ | } p = 0.87 |
| HIV- (42, 49) | 6.49 [~] | |
| HIV+ & ARVT- (22, 50) | 6.92 ⁺ | } p = 0.63 |
| HIV+ & ARVT+ (22, 50) | 5.87 [°] | |
| Female (148) | | |
| HIV+ (68, 46) | 5.84 ^Σ | } p = 0.14 |
| HIV- (80, 54) | 5.31 [~] | |
| HIV+ & ARVT- (40, 58) | 5.40 ⁺ | } p = 0.84 |
| HIV+ & ARVT+ (28, 42) | 6.47 [°] | |

ARVT = Antiretroviral drug therapy

* Comparison of ARVT-naïve groups, p = 0.22

^Σ Male vs female, p = 0.29

[~] Male vs female, p = 0.01

⁺ Male vs female, p = 0.09

[°] Male vs female, p = 0.3

Table 3. Antiretroviral drugs used by HIV-infected patients indicating number of patients on each drug (n) and mean daily warfarin dose (mg).

| Antiretroviral medication | | Mean warfarin dose |
|------------------------------|-------|--------------------|
| Lamivudine (3TC) | (n24) | 6.38 |
| Stavudine (d4T/Zerit) | (n11) | 5.96 |
| Efavirenz (EFA) | (n22) | 5.73 |
| Abacavir (ABC) | (n1) | 5 |
| Tenofovir (TDF) | (n9) | 6.39 |
| Aluvia (lopinavir/ritonavir) | (n2) | 11.25 |
| Nevirapine (NVP) | (n1) | 8.25 |
| Zidovudine (AZT) | (n25) | 6.14 |
| Unknown | (n2) | 6.25 |

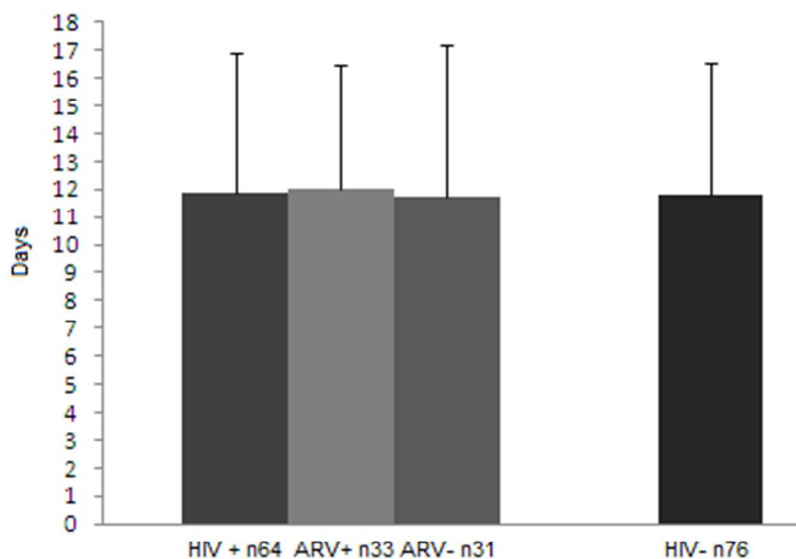


Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

Figure 1
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STROBE Statement—checklist of items that should be included in reports of observational studies

NA: Not applicable

p = Page

| | Item No | Recommendation |
|---------------------------|---------|---|
| Title and abstract | 1 | (a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found <i>Abstract, p 3</i> |
| Introduction | | |
| Background/rationale | 2 | Explain the scientific background and rationale for the investigation being reported <i>p 5, Intro</i> |
| Objectives | 3 | State specific objectives, including any prespecified hypotheses <i>p 5-6: Aim</i> |
| Methods | | |
| Study design | 4 | Present key elements of study design early in the paper <i>p 6: Methods</i> |
| Setting | 5 | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection <i>Location p 8, Dates p 8, Setting p 7</i> |
| Participants | 6 | (a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>p 7</i> |
| | | <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls |
| | | <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants <i>p 7-8</i> |
| Variables | 7 | (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>NA</i> |
| | | <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case <i>NA</i> |
| Data sources/measurement | 8* | Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable <i>p 6-7, p 6</i> |
| | | For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group <i>p 6-7 part 1/2</i> |
| Bias | 9 | Describe any efforts to address potential sources of bias <i>None</i> |
| Study size | 10 | Explain how the study size was arrived at <i>p 7 (induction time), p Warfarin dose</i> |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why <i>p 8 (bottom)-9</i> |
| Statistical methods | 12 | (a) Describe all statistical methods, including those used to control for confounding <i>p 8</i> |
| | | (b) Describe any methods used to examine subgroups and interactions <i>NA</i> |
| | | (c) Explain how missing data were addressed <i>None</i> |
| | | (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>NA</i> |
| | | <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed |
| | | <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy <i>NA</i> |
| | | (e) Describe any sensitivity analyses <i>None</i> |

Continued on next page

Results

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| Participants | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed | NA |
| | | (b) Give reasons for non-participation at each stage | NA (No HIV consent p 6) |
| | | (c) Consider use of a flow diagram | NA |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders | M/F, Age, HIV CD4 p 6 |
| | | (b) Indicate number of participants with missing data for each variable of interest | NA |
| | | (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) | NA (until ther. dose) |
| Outcome data | 15* | <i>Cohort study</i> —Report numbers of outcome events or summary measures over time | p 9 |
| | | <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure | NA |
| | | <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures | p 9-10 |
| Main results | 16 | (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included | p 9-10 |
| | | (b) Report category boundaries when continuous variables were categorized | p 6: Age > 18 |
| | | (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period | NA |
| Other analyses | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses | p 9-10 (gender, ARVs) |

Discussion

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| Key results | 18 | Summarise key results with reference to study objectives | p 13-14: Summary |
| Limitations | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. | |
| | | Discuss both direction and magnitude of any potential bias | p 13-para 2 |
| Interpretation | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | p 13-Summary |
| Generalisability | 21 | Discuss the generalisability (external validity) of the study results | p 14-Summary |

Other information

| | | | |
|---------|----|---|------------|
| Funding | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | p 2 (None) |
|---------|----|---|------------|

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Comparison of the therapeutic dose of warfarin in HIV-infected and HIV-uninfected patients: a study of clinical practice.

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| Complete List of Authors: | Jackson, Brandon; University of Pretoria School of Medicine, Surgery Mokoena, Taole; University of Pretoria School of Medicine, Surgery |
| Primary Subject Heading: | HIV/AIDS |
| Secondary Subject Heading: | Haematology (incl blood transfusion), Medical management |
| Keywords: | Human Immunodeficiency Virus, Venous thrombosis, Warfarin therapy |
| | |

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3 Comparison of the therapeutic dose of warfarin in HIV-infected and HIV-uninfected patients:
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42 Key words: Human Immunodeficiency Virus

43 Venous thrombosis

44 Warfarin therapy
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3 Authors' Contributions: TM originated the study concept. BSJ did the research which TM supervised.

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5 Both contributed to interpretation of data and manuscript writing.

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For peer review only

ABSTRACT**Background**

People infected with the human immunodeficiency virus (HIV) are prone to venous thrombosis. Treatment of thrombosis is primarily with warfarin. No studies have addressed the effects of HIV infection on warfarin dose. The aims of this study were to determine whether the therapeutic dose of warfarin and induction time to therapeutic dose in HIV-infected differ from that in HIV-uninfected patients.

Methods

A prospective and retrospective descriptive study of induction time to therapeutic warfarin dose, and of ambulant therapeutic warfarin dose were performed. HIV-infected and HIV-uninfected patients being treated after deep venous thrombosis with or without pulmonary embolism were compared. Sex and use of antiretroviral drugs (ARVs) were also compared in the groups.

Results

Two hundred and thirty-four patients were entered into the study. Induction time to therapeutic warfarin dose did not differ between the two groups. The mean therapeutic dose of warfarin was higher in the HIV-infected than the HIV-uninfected group: 6.06 vs 5.72 mg/day, but this was not statistically significant ($p = 0.29$). There was no difference in therapeutic warfarin dose between ARV-naïve groups – HIV-uninfected and HIV-infected not on ARVs.

Conclusion

There appears to be little effect of HIV infection on warfarin dosing. Warfarin therapy should be administered conventionally in HIV-infected patients.

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3 Summary

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5 Strengths and limitations of the study.

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- 8 • This is a unique study which investigates the effect of HIV infection on warfarin treatment.
 - 9 • It is a clinical study of actual management of HIV-infected patients with venous thrombosis.
 - 10 • For this reason the study deliberately does not investigate the effect of confounding factors
 - 11 affecting warfarin dosage.
 - 12 • The authors did not have control over the management of the patients in the retrospective
 - 13 part of the study.
 - 14 • While the statistical analysis is cogent, a sample size was not predetermined.
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Introduction

There is a high incidence of venous thrombosis in patients infected with the human immunodeficiency virus (HIV). The risk is up to 10 times that in uninfected people.^{1,2} The aetiology of thrombosis is multifactorial and includes HIV-mediated endothelium activation, malignancies and infections.³ The prothrombotic state of HIV infection is also well-recognised and several laboratory coagulation parameters have been shown to be abnormal in these patients.^{4,5} While the exact mechanism of this state remains to be fully elucidated, many coagulation factor abnormalities have been reported, notably protein C, protein S and antithrombin deficiency.⁴ Patients who have been treated for thrombosis may require long-term anticoagulation primarily with warfarin because the risk of thrombosis in HIV-infected thrombotic patients is not necessarily abolished by antiretroviral treatment and improvement of the CD4 count.^{4,6} Antiretroviral (ARV) drugs *per se* may also contribute to the hypercoagulable state.⁷ Additionally, these drugs have variable effects on CYP450 liver enzymes that metabolise warfarin and therefore may affect management of thrombosis in HIV-infected patients.⁸ Warfarin has a critical therapeutic window making correct dosing important.

The effect of the HIV status of patients on warfarin dosage has not been investigated. It has been our impression that HIV-infected patients require higher warfarin doses than non-infected patients to achieve therapeutic anticoagulation. Anecdotally longer therapeutic induction times have been observed and this could be due to higher warfarin requirements. Given the possible multiple factors causing hypercoagulability in the HIV-infected patient, we hypothesised that these patients with HIV infection may require higher doses of warfarin for induction and maintenance of anticoagulation.

Aim

The aim of the study was to determine if there is an effect on therapeutic warfarin dose in patients infected with HIV.

The objectives were:

1. To determine whether the therapeutic dose of warfarin differed between groups of HIV-infected and HIV-uninfected patients hospitalized for a thromboembolic incident and followed up at a clinic for INR control.
2. To determine whether the induction time to therapeutic warfarin dose differed between groups of HIV-infected and -uninfected patients.

Motivation

An observation of apparently increased warfarin requirements by HIV-infected patients hospitalised for venous thrombosis, led the authors to investigate the induction time to therapeutic INR in these patients, and to compare this with HIV-uninfected patients. There being no anticoagulation protocol specifically for HIV-infected patients, the comparison would be done using the standard protocol in our department. The induction times to therapeutic INR were to be recorded prospectively and patients followed up at coagulation clinics to determine their ambulant therapeutic warfarin dosage. In order to augment the data, files of additional patients were studied retrospectively at two clinics.

Methods

A descriptive study of patients with venous thrombo-embolism was undertaken. Data were collected both prospectively and retrospectively. Patients aged 18 years and older who had presented with apparently spontaneous lower limb deep venous thrombosis, with or without pulmonary embolism, were included in the study. Patients with attributable causes of thrombosis such as recent surgery, cardiac failure and thrombophilias were excluded. Patients who refused HIV testing were not entered in the study. Confirmation of DVT was by Doppler ultrasound and of pulmonary embolism by CT angiography as indicated. ARV drug treatment as well as the specific drugs were also recorded. Comparisons of the mean daily warfarin dose and induction time to therapeutic warfarin dose were performed in HIV-infected and HIV-uninfected patients, in males and females, and in HIV-infected patients on and not on ARV drug therapy. The use of ARVs was

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3 recorded and analysed as a group of possible confounders but not all individual drugs were
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5 analysed.
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10 • Prospective data collection

11 All patients admitted to hospital with acute DVT of the lower limb with or without
12 pulmonary embolism were subjected to a consented HIV test and entered in the study.
13 Warfarin dose data was collected during hospitalisation and at follow-up. Clinic visits were
14 scheduled every 2-4 weeks after discharge from hospital. The patients were observed until
15 the attainment of therapeutic warfarin dose, and the number of days recorded. Once
16 induction time had passed the patients were followed until the therapeutic dose of each
17 patient was attained.
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29 • Retrospective data collection

30 Warfarin dose data was gathered retrospectively from two follow-up clinics for ambulatory
31 patients after a thrombo-embolic episode. One clinic was for general anticoagulation
32 control (HIV-uninfected patients), the other was for HIV-infected patients for AIDS follow-
33 up (HIV-infected patients). The latter patients were included irrespective of the duration of
34 HIV infection. Only patients who attended clinics regularly until the therapeutic dose was
35 achieved were included in this study. Induction time as computed and therapeutic warfarin
36 dose recorded.
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48 **Definitions**

49 *Warfarin regimen:*

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51 The same regimen was used for all patients in the study. Sodium-warfarin (Cipla-Warfarin®, Cipla-
52 Medpro) was commenced at 5mg per day and followed by a modification of the slow Fennerty
53 regimen, using dose adjustments of 2.5mg.⁹ The INR was determined every 2-3 days after initiation
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3 or change of treatment dose, while maintaining anticoagulation with a low molecular weight heparin
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5 until an INR level of between 2 and 3 was obtained.
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10 *Warfarin induction time:*

11 The induction time to therapeutic warfarin dose was defined as the time in days to achieve an
12 International Normalised Ratio (INR) measurement of between 2 and 3. The number of days was
13 calculated as the number of days from the start of warfarin therapy until the day of stable
14 therapeutic dose (the first day of consecutive identical doses). Arithmetic means of the number of
15 days were calculated for groups of patients.
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24 *Therapeutic warfarin dose:*

25 The target INR for ambulant warfarin therapy was 2.5, with a range of 2 to 3. The last warfarin dose
26 recorded after dosage stabilisation at the INR target range of 2 – 3 had been achieved, was recorded
27 as the therapeutic daily dose for both the pro- and retrospectively studied patients. If the dose
28 differed on alternate days the average of 2 days dosages was recorded. Means of ambulant warfarin
29 dose of groups of patients were calculated for comparison. Because of the short-term goals of this
30 study of recording only induction time and the most recent therapeutic warfarin dose, time in
31 therapeutic range was not determined.
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44 The study was conducted at the Pretoria Academic Health Complex consisting of the Steve Biko
45 Academic Hospital and Kalafong Regional Hospital, as well as the Tshwane District Hospital, during
46 the period 2013-2015. Individual consent was obtained from the prospectively studied patients.
47 Approval to conduct the whole study was granted by the Research Ethics Committee of the Faculty
48 of Health Sciences of the University of Pretoria.
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56 The study is reported in accordance with the STROBE criteria.¹⁰
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Statistical Analysis

The 2 sample t-test of the comparison of means of warfarin dose was performed in the following groups: HIV-infected and HIV-uninfected patients, HIV-infected patients on and not on ARV drugs, and males and females in these groups. Sex and the use of ARV drug use were analysed as possible confounders. Induction times for the groups to attain therapeutic warfarin doses were analysed by the Kruskal-Wallis test. The study was considered to be exploratory in nature. Because the difference in warfarin dose outcomes of the study groups was expected to be small, sample size and size effect were not predetermined as a large number of patients would be required. A p-value of 0.05 was taken to be significant.

Results

Patient demographics and the number of patients in each group are depicted in Table 1. The age of the patients was somewhat lower in the HIV-infected group.

Induction time to therapeutic warfarin dose.

Induction times were analysed in 170 patients. The data was collected prospectively for 93 patients and retrospectively for 77 patients. Seventy four of the patients were HIV-infected and 96 HIV-uninfected. The mean times were 12.87 and 11.19 days respectively, $p=0.28$. Thirty-six of the HIV-infected patients were on ARV drugs. Their induction times did not differ significantly from that of the HIV-infected patients not on ARV drugs or the HIV-uninfected patients (Figure 1). It must be noted that therapeutic induction times exhibited a wide range of standard deviation.

Stable ambulant warfarin dose

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3 The prospectively-studied patients in the warfarin induction study were followed up for
4 determination of their ambulant therapeutic warfarin dose. These 93 patients were augmented by
5 data collected retrospectively from 141 patients. This gave a total of 234 patients, 122 HIV-
6 uninfected (42 males and 80 females) and 112 HIV-infected (44 males and 68 females) (Table 2). In
7 general, males required more warfarin than females. This applied to all groups of patients but only
8 the comparison for HIV-uninfected patients was significant: males (6.49mg) and females (5.31mg), p
9 = 0.01.
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14 The mean therapeutic dose of warfarin in the whole set of patients was higher in the HIV-infected
15 group than the HIV-uninfected group: 6.06mg/day vs 5.72mg/day (Table2). This was, however, not
16 statistically significant ($p=0.29$). The difference was greater in females (HIV-infected 5.84 mg/day vs
17 HIV-uninfected 5.31mg/day, $p = 0.14$) than in males (HIV-infected 6.39mg/day vs HIV-uninfected
18 6.49mg/day, $p = 0.87$).
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23 Fifty HIV-infected patients on ARV medication were taking several ARV drugs in various
24 combinations. The drugs used in this study and corresponding mean warfarin dosages are listed in
25 table 3. Comparisons are depicted in table 2. There was no statistically significant difference in the
26 mean therapeutic dose of warfarin between HIV-infected patients taking and not taking ARVs, 6.20 v
27 5.94 mg/day, $p=0.59$. HIV-infected females on ARV drugs tended to require higher doses of warfarin
28 than those not on ARV drugs, 6.47mg/day vs 5.40mg/day, $p = 0.06$. However, HIV-infected females
29 on ARVs required significantly more warfarin, 6.47mg/day than HIV-uninfected females, 5.31mg/day,
30 $p=0.01$. The opposite was true for males, but the difference was not statistically significant,
31 5.87mg/day vs 6.49mg/day, $p=0.29$.
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3 ARV-naïve patient groups were compared. There was no significant difference in warfarin dose
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5 between HIV-infected patients not taking ARVs and HIV-uninfected patients, 5.94mg/day vs
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7 5.72mg/day, $p=0.22$ (Table 2).
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11 Most of the individual antiretroviral drugs were taken by few patients. Eleven patients were taking
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13 stavidine and fewer were on several other drugs (Table 3). In addition, drugs were taken in various
14
15 different combination, making analysis of individual drugs' effects on warfarin dose unrealistic.
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17 However, three drugs were taken by substantially more patients, efavirenz, lamivudine and
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19 zidovudine. The mean warfarin dose of the 22 patients taking efavirenz (a CYP450 inhibitor) was
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21 virtually the same as that of the HIV-uninfected patients (5.73 and 5.72 respectively). Twenty five
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23 and 24 patients respectively were taking zidovudine and lamivudine which are not metabolised by
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25 the CYP450 pathway, and apparently do not affect warfarin blood levels.
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33 Discussion

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35 We report on a comparative study of warfarin dosage in HIV-infected and -uninfected patients. This
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37 comparison, using a standard warfarin treatment protocol, has not been published previously. The
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39 assumption that HIV-infected patients require higher doses of warfarin for therapeutic effect seems
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41 to be reasonable given the acknowledged hypercoagulable state of the HIV-infected patient.
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43 Anecdotal observation in our practice suggested that this is indeed the case. Many of these patients
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45 had not yet started ARV therapy. Previous warfarin dosage studies in HIV-infected patients have
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47 largely addressed the effect of ARV drugs on warfarin dose.^{4,6,7,11} This study attempted to
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49 determine the possible role of HIV infection. However, no apparent increase in warfarin
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51 requirements for anticoagulation was demonstrated in HIV-infected patients compared to
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53 uninfected patients in general, except in females on ARV therapy.
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3 There is a paucity of clinical studies on the effect of infection in general on warfarin dosage. This has
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5 been addressed in a few studies on patients with acute infection.^{12, 13} The effect of HIV infection on
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7 warfarin dosage has not been similarly studied. Infection with HIV, quite apart from the effect of
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9 concomitant infections and malignancies and various possible drug interaction, apparently causes a
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11 propensity for thrombosis, as recovery of the CD4 count does not abolish the increased risk of
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13 thrombosis.^{2, 4, 6} The current study attempted to determine indirectly the effect of the HIV infection
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15 *per se* on warfarin requirement by comparison of the dosage with that in HIV-uninfected patients.
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17 The mean warfarin dosage was found not to differ between these two broad groups.
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22 Clinical management of warfarin therapy is difficult because of inter- and intra-individual variability
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24 of dosage requirements.^{14, 15} The narrow therapeutic window for warfarin dosage makes accurate
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26 dosing important.¹⁶ Many patient and environmental factors influence warfarin dosing. These
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28 include sex, race, height, weight and age.^{14, 15} Only the known increased warfarin requirements for
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30 men was documented and is apparent in this study, in the comparison of ARV-uninfected men and
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32 women.
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37 Warfarin dose effects of antiretroviral drugs in HIV-infected patients have been investigated by
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39 several authors. ARV drugs have variable effects on warfarin blood levels, some causing an increase
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41 in levels and some a decrease. Sekaggya et al demonstrated this in a case series of HIV-infected
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43 patients being treated for tuberculosis.¹⁷ Jong et al demonstrated lower levels of von Willebrand
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45 factor, factor VIII, D-dimer and endogenous thrombin potential in HIV-infected patients on ARV
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47 drugs.⁴ Anderson et al found that patients on efavirenz-based regimens required lower weekly
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49 warfarin doses than patients on lopinavir / ritonavir regimens.¹⁸ This was not the case in the present
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51 study in the patients taking efavirenz. The warfarin dose in the 22 HIV-infected patients taking
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53 efavirenz did not differ from the patients not taking the drug. However, this could have been due to
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55 other influences not studied here such as the effects of other drugs. Stolbach et al caution that
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3 efavirenz and nevirapine may affect therapeutic INR levels, and that warfarin dose adjustments may
4
5 be required. Little can be deduced from the ARV drug effect found in this study as the effects of
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7 most of the individual ARV drugs on warfarin requirements were not analysed statistically. Patients
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9 were taking several ARV drugs singly or in various combinations. The sample size of patients on each
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11 drug was too small to meaningfully perform separate analyses. An important comparison in this
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13 study, however, is that between the 62 HIV-infected patients not taking ARV drugs and the 122 HIV-
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15 uninfected patients. This comparison would discount the effects of the ARV drugs. While there was
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17 a modest difference in warfarin dosage, this did not differ significantly between these two groups
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19 (p=0.20, Table 2). Once again this may be due to factors not addressed in this study.
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25 The development of a warfarin dosing algorithm for HIV-infected persons would be difficult and
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27 complex because of the multiple influences that may be operating.^{14,17} These include genetic factors,
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29 the hypercoagulable state, pertinent infections and malignancies, and the effect of multiple drugs on
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31 the cytochrome P450 2C9 system. These diverse effects on warfarin dosage are probably reflected
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33 in the wide range of standard deviation in this study shown in figure 1. However, the study addresses
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35 the broad group of HIV-infected patients, and does not take cognisance of the multiple factors that
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37 can affect warfarin dosing. We propose that this approach is valid because the study reflects clinical
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39 usage in which standard warfarin regimens are used for HIV-infected patients. Current practice in
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41 HIV-infected patients is to manage warfarin dosing as in HIV-uninfected patients, and this study
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43 would seem to support this custom.⁶ While not statistically significant, cognisance should be taken
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45 of the moderately higher doses needed for therapeutic warfarin effect in HIV-infected patients. This
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47 difference might prove to be clinically significant in a larger study, and one that includes the study of
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49 warfarin toxicity due to inaccurate dosing.
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55 The study has some shortcomings. Retrospective data was collected from several sources over
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57 which the investigators did not have control. In addition, rates of satisfactory therapeutic
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3 anticoagulation have been reported to be low in HIV-infected patients, partly attributable to poor
4 adherence to warfarin therapy.¹⁸ HIV-infected patients often take ARV drugs as well as other drugs
5 for AIDS-related illnesses such as azole antifungal agents. The drugs have variable effects on
6 warfarin metabolism and may alter warfarin requirements. These effects were not investigated in
7 this study. The sample size of the study was not predetermined. While the statistical results are
8 cogent, it is possible that a type II error occurred in analysis of the data. The study nevertheless
9 suggests the performance of a larger study.
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20 Summary

21 This is the first study investigating HIV-infection status on warfarin dosing. This was done by
22 comparison with uninfected patients using a standard warfarin administration protocol. Overall
23 there was no significant statistical difference between these groups either in induction time to
24 therapeutic warfarin dose, or stable doses in ambulant patients. However a subgroup of females
25 with HIV infection on ARVs required significantly more warfarin for therapeutic effect. A larger study
26 may detect a difference in warfarin requirements of HIV-infected patients.
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37 Conclusion

38 It is recommended that warfarin dosing and coagulation monitoring be the same in the routine
39 management of HIV infected patients as for uninfected patients.
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46 Acknowledgments: We would like to acknowledge the assistance of Prof V.O.L. Karusseit of the
47 Department of Surgery of the University of Pretoria in the preparation of the manuscript.
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51 Authors' Contributions: TM originated the study concept. BSJ did the research which TM supervised.
52 Both contributed to interpretation of data and manuscript writing.
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3 Conflict of interests: The authors declare no conflicts of interest.
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7 Funding: No specific funding was used for this study.
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10 No additional data is available
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13 14 15 16 **References**

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Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

For peer review only

Table 1. Demographics of study patients with or without HIV infection on warfarin therapy, n234

| | Males | Females | CD4 cell count (mean)* | | M/F* | Mean age years (range) |
|------------|-------|---------|------------------------|------|-------|------------------------|
| | | | <200 | >200 | | |
| HIV + n112 | 44 | 68 | 35 | 48 | 43/41 | 41.9 (20-71) |
| HIV - n122 | 42 | 80 | - | - | 49/47 | 47.8 (18-83) |
| Total n234 | 86 | 148 | | | | |

HIV + Human immunodeficiency virus infected

HIV - Human immunodeficiency virus uninfected

* CD4 count unknown = 29 patients

*M/F = Ratio of males to females

peer review only

Table 2. Mean warfarin doses (mg/day) according to patients' HIV infection and ARV drug therapy status (ARVT)

| Patient groups (n, %) | Warfarin dose (mg/day) | p-Value |
|-----------------------|------------------------|------------|
| All (234, 100) | | |
| HIV+ (112, 48) | 6.06 | } p = 0.29 |
| HIV- (122, 52) | 5.72* | |
| HIV+ & ARVT- (62, 55) | 5.94* | } p = 0.61 |
| HIV+ & ARVT+ (50, 45) | 6.20 | |
| Male (86, 100) | | |
| HIV+ (44, 51) | 6.39 ^Σ | } p = 0.87 |
| HIV- (42, 49) | 6.49 [~] | |
| HIV+ & ARVT- (22, 50) | 6.92 ⁺ | } p = 0.63 |
| HIV+ & ARVT+ (22, 50) | 5.87 [°] | |
| Female (148) | | |
| HIV+ (68, 46) | 5.84 ^Σ | } p = 0.14 |
| HIV- (80, 54) | 5.31 [~] | |
| HIV+ & ARVT- (40, 58) | 5.40 ⁺ | } p = 0.84 |
| HIV+ & ARVT+ (28, 42) | 6.47 [°] | |

ARVT = Antiretroviral drug therapy

* Comparison of ARVT-naïve groups, p = 0.22

^Σ Male vs female, p = 0.29

[~] Male vs female, p = 0.01

⁺ Male vs female, p = 0.09

[°] Male vs female, p = 0.3

Table 3. Antiretroviral drugs used by HIV-infected patients indicating number of patients on each drug (n) and mean daily warfarin dose (mg).

| Antiretroviral medication | | Mean warfarin dose |
|------------------------------|-------|--------------------|
| Lamivudine (3TC) | (n24) | 6.38 |
| Stavudine (d4T/Zerit) | (n11) | 5.96 |
| Efavirenz (EFA) | (n22) | 5.73 |
| Abacavir (ABC) | (n1) | 5 |
| Tenofovir (TDF) | (n9) | 6.39 |
| Aluvia (lopinavir/ritonavir) | (n2) | 11.25 |
| Nevirapine (NVP) | (n1) | 8.25 |
| Zidovudine (AZT) | (n25) | 6.14 |
| Unknown | (n2) | 6.25 |

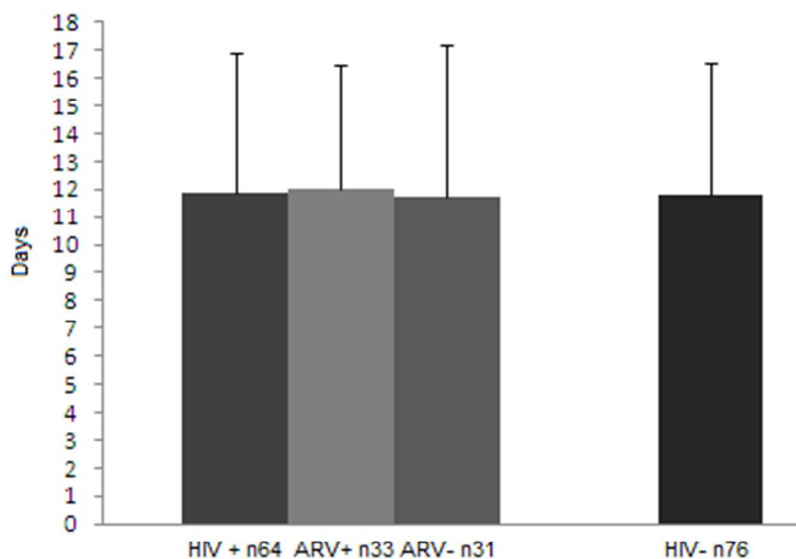


Figure 1. Induction time to therapeutic warfarin dose in days \pm 1 SD in patients with (HIV+) or without HIV (HIV-) infection and on antiretroviral therapy (ARV+) or ARV therapy naïve (ARV-).

Figure 1
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STROBE Statement—checklist of items that should be included in reports of observational studies

NA: Not applicable

p = Page

| | Item No | Recommendation |
|---------------------------|---------|---|
| Title and abstract | 1 | (a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found <i>Abstract, p 3</i> |
| Introduction | | |
| Background/rationale | 2 | Explain the scientific background and rationale for the investigation being reported <i>p 5, Intro</i> |
| Objectives | 3 | State specific objectives, including any prespecified hypotheses <i>p 5-6: Aim</i> |
| Methods | | |
| Study design | 4 | Present key elements of study design early in the paper <i>p 6: Methods</i> |
| Setting | 5 | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection <i>Location p 8, Dates p 8, Setting p 7</i> |
| Participants | 6 | (a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>p 7</i> |
| | | <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls |
| | | <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants <i>p 7-8</i> |
| Variables | 7 | (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>NA</i> |
| | | <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case <i>NA</i> |
| Data sources/measurement | 8* | Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable <i>p 6-7, p 6</i> |
| | | For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group <i>p 6-7 part 1/2</i> |
| Bias | 9 | Describe any efforts to address potential sources of bias <i>None</i> |
| Study size | 10 | Explain how the study size was arrived at <i>p 7 (induction time), p Warfarin dose</i> |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why <i>p 8 (bottom)-9</i> |
| Statistical methods | 12 | (a) Describe all statistical methods, including those used to control for confounding <i>p 8</i> |
| | | (b) Describe any methods used to examine subgroups and interactions <i>NA</i> |
| | | (c) Explain how missing data were addressed <i>None</i> |
| | | (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>NA</i> |
| | | <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>NA</i> |
| | | <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy <i>NA</i> |
| | | (e) Describe any sensitivity analyses <i>None</i> |

Continued on next page

Results

| | | | |
|------------------|-----|--|-------------------------|
| Participants | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed | NA |
| | | (b) Give reasons for non-participation at each stage | NA (No HIV consent p 6) |
| | | (c) Consider use of a flow diagram | NA |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders | M/F, Age, HIV CD4 p 6 |
| | | (b) Indicate number of participants with missing data for each variable of interest | NA |
| | | (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) | NA (until ther. dose) |
| Outcome data | 15* | <i>Cohort study</i> —Report numbers of outcome events or summary measures over time | p 9 |
| | | <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure | NA |
| | | <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures | p 9-10 |
| Main results | 16 | (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included | p 9-10 |
| | | (b) Report category boundaries when continuous variables were categorized | p 6: Age > 18 |
| | | (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period | NA |
| Other analyses | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses | p 9-10 (gender, ARVs) |

Discussion

| | | | |
|------------------|----|--|------------------|
| Key results | 18 | Summarise key results with reference to study objectives | p 13-14: Summary |
| Limitations | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. | |
| | | Discuss both direction and magnitude of any potential bias | p 13 - para 2 |
| Interpretation | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | p 13 - Summary |
| Generalisability | 21 | Discuss the generalisability (external validity) of the study results | p 14 - Summary |

Other information

| | | | |
|---------|----|---|------------|
| Funding | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | p 2 (None) |
|---------|----|---|------------|

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.