BMJ Open Systematic review and meta-analysis: Tai Chi for preventing falls in older adults

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ABSTRACT

Objective: It remains unclear whether Tai Chi is effective for preventing falls in older adults. We undertook this systematic review to evaluate the preventive effect of Tai Chi by updating the latest trial evidence.

Design: Systematic review and meta-analysis.

Methods: The Cochrane Library, MEDLINE and EMBASE were searched up to February 2016 to identify randomised trials evaluating Tai Chi for preventing falls in older adults. We evaluated the risk of bias of included trials using the Cochrane Collaboration's tool. Results were combined using random effects meta-analysis.

Outcome measures: Number of fallers and rate of falls.

Results: 18 trials with 3824 participants were included. The Tai Chi group was associated with significantly lower chance of falling at least once (risk ratio (RR) 0.80, 95% CI 0.72 to 0.88) and rate of falls (incidence rate ratio (IRR) 0.69, 95% CI 0.60 to 0.80) than the control group. Subgroup analyses suggested that the preventive effect was likely to increase with exercise frequency (number of fallers: $p=0.001$; rate of falls: $p=0.007$) and Yang style Tai Chi was likely to be more effective than Sun style Tai Chi (number of fallers: $p=0.01$; rate of falls: $p=0.001$). The results might be influenced by publication bias as the funnel plots showed asymmetry. Sensitivity analyses by sample size, risk of bias and comorbidity showed no major influence on the primary results.

Conclusions: Tai Chi is effective for preventing falls in older adults. The preventive effect is likely to increase with exercise frequency and Yang style Tai Chi seems to be more effective than Sun style Tai Chi.

INTRODUCTION

Falls are common among older adults and are one of the major threats to their health. The incidence of falls varies with living status and increases with age; in general population, 30–40% people over 65 years fall every year worldwide, increasing to ~50% among people aged 80 years and older.^{1 2} Falls in older people are associated with considerable subsequent decline in functional status and increase in nursing home admissions and

Strengths and limitations of this study

- This study is, to date, the most comprehensive systematic review evaluating Tai Chi for preventing falls in older adults. A number of recently published trials were included, which improved the precision of the estimated effects and enabled us to investigate various influential factors such as Tai Chi style and frequency.
- Our confidence in the findings is further increased by significant dose–response effect, stable sensitivity analyses and stable analyses by adjusting for publication bias.
- The findings are likely to be influenced due to the bias in some original trials.
- The estimated preventive effect of Tai Chi may be overestimated due to publication bias.

medical resources consumptions.^{3 4} Fall-related complications are the leading cause of unintentional injury deaths in people over 65 and the fifth leading cause of death.^{5 6}

A number of interventions, including adaptation and modification of home environment, exercise, medication modification and vitamin D supplementation, have been applied for preventing falls.^{7–9} Tai Chi is a traditional systematic calisthenics exercise widely practiced in China. Tai Chi has been shown to be effective in improving balance, proprioception, muscle strength and endurance,^{10 11} therefore it may be beneficial for preventing falls among the elderly. To date, the preventive effect of Tai Chi has been evaluated by a number of randomised controlled trials (RCTs); however, their findings were inconsistent.^{12–15} Although some authors have synthesised the results of the related original trials,^{16–18} these secondary studies might miss some trials and some recently published RCTs were not included. Moreover, the preventive effect of Tai Chi may vary with Tai Chi style, exercise dose, exercise duration and time of follow-up, but the preventive effect in these subgroups was

unclear. The primary aim of this study was to evaluate the effect of Tai Chi for preventing falls in older adults by updating the latest trial evidence. The secondary aim was to explore the association between the effectiveness and potential influential factors including Tai Chi frequency, total exercise time, follow-up time, falling risk at enrolment and type of Tai Chi.

METHODS

Criteria for study inclusion

This systematic review included RCTs evaluating the effect of Tai Chi exercise in people aged over 60 years. A trial was eligible if the age of participants was not limited, but the average age was over 65 years. The participants in the control group may receive usual care, stretching or other low-level exercises, education or standard lifestyle modification. The follow-up time of eligible study should be 4 weeks or longer. Additionally, eligible studies should report at least one study outcome of this systematic review. The primary outcome for this study was the number of fallers (the participants who fell at least once). Rate of falls (the number of falls per person-year) was considered as the secondary outcome.

Literature search and study selection

An electronic search of Cochrane Library (Issue 2, 2016), MEDLINE (1966 to 1 February 2016) and EMBASE (1984 to 1 February 2016) was performed to identify potentially eligible trials. The search strategy included terms for Tai Chi, falls and a filter for RCTs using the following combined text and MeSH terms: 'Tai Chi', 'falls', 'accidents', and 'randomized controlled trial' (for full search strategy, see online supplementary table S1). All searches were restricted to human studies, and there was no limitation on language and publication status. We searched the MetaRegister of Controlled Trials and the WHO International Clinical Trials Registry Platform for ongoing studies. The reference lists of the included studies and related review articles were screened to identify additional studies.

We input the records from electronic databases into database management software, and the duplicate citations were removed. Two authors (Z-GH and Y-HF) then independently evaluated the eligibility of the remaining citations by examining the titles, abstracts and full articles sequentially. Discrepancies were resolved by discussion.

Data extraction and risk of bias

Two investigators (Z-GH and C-SL) independently extracted data from eligible studies and evaluated the risk of bias; the investigators were not blinded. Disagreements were resolved by discussion between the two investigators until a consensus was achieved. The extracted data included study characteristics (ie, title, authors, location, publication date, number of participants, setting and study duration), patient characteristics (ie, age, gender, previous falling history, marriage, education, living status,

drinking, smoking, overall health status, use of walking aid and comorbidity), interventions and comparisons (type of Tai Chi, exercise intensity, duration and frequency), outcomes (number of fallers and rate of falls) and study methods (study design, random sequence generation, allocation concealment, methods for dealing with missing data, selective reporting and other methodological issues). We contacted the authors of original studies to collect missing information when necessary. The risk of bias of included RCTs was evaluated using the Cochrane Collaboration's tool for assessing risk of bias.¹⁹

Data analysis

We pooled data with a random effects model which accounts for within and between-study variability to provide more conservative estimates. RR, together with the corresponding 95% CI, was used as the summary effect measure for the number of fallers. We used IRR to compare the rate of falls.

We evaluated the heterogeneity among studies with the *Q*-test and the *I*²-index statistic. A value for *I*² >50% accompanied by *p*<0.10 for the *Q*-test was regarded as being indicative of moderate to high level of heterogeneity.¹⁹ We carried out subgroup analyses to evaluate the estimated effect in specific subgroups and to evaluate potential interactions. Subgroup analyses were carried out according to Tai Chi frequency (once, twice, three times and more than three times per week), total Tai Chi exercise time (≤30 hours, >30 and ≤60 hours and >60 hours), time of follow-up (>1 and ≤3 months, >3 and ≤6 months, and >6 months), falling risk at enrolment (low and high) and type of Tai Chi exercise (Yang style Tai Chi and Sun style Tai Chi).

For each outcome, we used funnel plot to examine the publication bias if the number of original trials included in the meta-analysis was ≥10. The symmetry of the funnel plots was assessed by Egger's test.^{19 20} When the funnel plot showed asymmetry, we used trim and fill method to adjust for publication bias in meta-analysis.²¹ Moreover, we undertook sensitivity analyses according to sample size (excluding studies with sample size <100 participants), risk of bias (excluding studies with high risk of bias in one or more domains, or with unclear risk of bias in more than three domains, according to the assessment results in online supplementary table S2) and comorbidity (excluding studies which included patients with stroke and Parkinson's disease). Data analyses were performed using Cochrane Collaboration review manager software (RevMan V.5.1) and STATA V.12 (StataCorp, College Station, Texas, USA).

RESULTS

Study characteristics and risk of bias

The electronic search and search for additional resources totally identified 227 potentially eligible citations, of which 177 were excluded after removing duplicates and screening the titles and abstracts. The full

texts of the 50 remaining records were screened, and finally, 18 studies including 3824 participants were included (figure 1).^{12–15 22–35}

Table 1 presents the characteristics of included studies. Seven included studies were carried out in the USA,^{14 15 22 27 29 31 34} three in China,^{13 24 35} two in Taiwan,^{25 26} two in Australia,^{23 33} two in Netherlands,^{12 28} one in New Zealand³⁰ and one in Canada.³² Most studies recruited participants from the community except for the study by Gao *et al*,²⁴ which recruited participants from hospital and community. Three studies were undertaken in frail and prefrail older adults,^{12 32 34} two studies in patients with stroke,^{15 31} two in patients with Parkinson's disease,^{24 27} one in postmenopausal women with osteopenia²² and the other studies did not limit the comorbidity or health status of participants and the participants were not restricted to adults at risk of fall.

The risk of bias of included studies was unclear or low. Fifteen^{12–15 22–24 26–33} and 13 trials^{12–15 22 23 26 27 29–33} were considered at low risk in terms of random sequence generation and allocation concealment, respectively. Blinding of participants and personnel was adequate in seven studies,^{12 27 28 30 32–34} and blinding of outcome assessment was adequate in 14 studies.^{12 14 15 22–24 26–28 30–34} The risk of incomplete outcome data and selective reporting was low in 13^{12–15}

23 24 26–29 33 35 and 14 trials,^{12–15 23 24 26–28 30 32–35} respectively. The detailed assessment of risk of bias was presented in online supplementary table S2.

Number of fallers

Sixteen studies (3539 participants) were included in the meta-analysis of the number of fallers (figure 2). The chance of falling at least once was significantly lower in the Tai Chi group than the control group (RR 0.80, 95% CI 0.72 to 0.88; heterogeneity: $p=0.1$, $I^2=32\%$). Overall, 10 (95% CI 7 to 17) older adults would need to take Tai Chi exercise to avoid one fall.

In the subgroup analysis by Tai Chi exercise frequency, total Tai Chi exercise time, time of follow-up, baseline falling risk and the style of Tai Chi, the chance of falling at least once was still lower in the Tai Chi group compared to the control group. Owing to reduced sample size, the RRs in some subgroups were not significant (table 2). The reduction in the risk seems to be larger in participants taking Yang style Tai Chi (RR 0.61, 95% CI 0.46 to 0.80) than Sun style Tai Chi (RR 0.88, 95% CI 0.80 to 0.98) (test for subgroup difference: $p=0.01$). Moreover, the effect size of Tai Chi seems to increase with exercise frequency ($p=0.001$), from an RR of 0.95 for once a week to 0.36 for >3 times a week.

Figure 1 Flow chart of study selection.

Table 1 Characteristics of included studies (arranged in order by publication time)

Study	Intervention and control (n)	Tai Chi intensity	Mean age, year	Sex, F/M	Falling history, n (%)	Follow-up time
Nowalk 2001 ²⁹	Living and learning/Tai Chi (38)	Three times per week for 24 months	82.8	8/30	–	24 months
Wolf 2003 ³⁴	Basic enhanced programme (35)	Twice a week for 48 weeks	85.9	5/30	–	48 weeks
	Tai Chi (145)	Twice a week for 48 weeks	80.9	137/8	–	48 weeks
	Wellness education (141)	Three sessions per week for 26 weeks	80.8	132/9	–	48 weeks
Li 2005 ¹⁴	Yang-style Tai Chi (125)	Three sessions per week for 26 weeks	76.9	87/38	–	6 months
	Stretching (131)	Once a week for 16 weeks	78	92/39	–	6 months
Faber 2006 ¹²	Tai Chi (80)	Once a week for 4 weeks, followed by twice weekly for 16 weeks	84.8	61/19	–	16 weeks
	Control, the participants were asked not to change their usual pattern of activities (66)	Twice weekly for 16 weeks	85.4	53/13	–	16 weeks
Voukelatos 2007 ³³	Sun or Yang-style Tai Chi (353)	Once a week for 16 weeks	69	300/53	109 (31%)	16 weeks
	No Tai Chi (349)	–	69	290/59	126 (36%)	16 weeks
Woo 2007 ¹³	Yang-style Tai Chi (60)	Three times per week for 12 months	68.9	30/30	–	12 months
	No Tai Chi (60)	Twice a week for 13 weeks	68.6	30/30	–	12 months
Logghe 2009 ²⁸	Yang-style Tai Chi (138)	Twice a week for 13 weeks	77.5	96/42	88 (63.8%)	12 months
	Usual care (131)	≥Three times a week for 2 years	76.8	95/36	79 (60.3%)	12 months
Zeng 2009 ³⁵	Yang-style Tai Chi (63)	–	–	98/26	11(17.4%)	2 years
	No Tai Chi (61)	–	–	–	12(19.7%)	2 years
Chyu 2010 ²²	Yang-style Tai Chi (31)	Once a week for 24 weeks	72.4	31/0	–	24 weeks
	No Tai Chi exercise (31)	Three sessions per week for over 5 months	71.3	31/0	–	24 weeks
Huang 2010 ²⁵	Tai Chi (31)	Five times a week for 8 weeks	71.4	9/22	–	1 year
	No Tai Chi (47)	–	71.5	19/28	–	1 year
Huang 2011 ²⁶	Yang-style Tai Chi (62)	–	–	40/22	12 (10%)	6 months
	No Tai Chi (62)	–	–	35/27	12 (12%)	6 months
Li 2012 ²⁷	Tai Chi (65)	Twice a week for 24 weeks	68	20/45	–	24 weeks
	Low-intensity exercise (65)	Once/twice a week for 20 weeks	69	26/65	–	24 weeks
Taylor 2012a ³⁰	Sun-style Tai Chi (233)	–	75.3	161/72	137 (60%)	20 weeks
	Sun-style Tai Chi (220)	–	74.4	165/55	119 (56%)	20 weeks
	Low-level exercise (231)	–	73.7	176/55	137 (61%)	20 weeks
Taylor 2012 ³¹	Yang-style Tai Chi (16)	Three sessions per week for 12 weeks	72.8	6/10	–	12 weeks
	Usual care (12)	Twice a week for 15 weeks	64.5	5/7	–	12 weeks
Tousignant 2013 ³²	Tai Chi (76)	Three sessions per week for 12 weeks	79.1	57/19	76 (100%)	12 months
	Conventional physical therapy (76)	–	80.7	54/22	76 (100%)	12 months
Gao 2014 ²⁴	Yang-style Tai Chi+usual care (40)	Three sessions per week for 12 weeks	69.5	14/23	–	12 months
	Usual care (40)	Three sessions per week for 12 weeks	68.3	12/27	–	12 months
Taylor 2014 ¹⁵	Yang-style Tai Chi (53)	Three sessions per week for 12 weeks	71.5	19/34	–	12 weeks
	Usual community-based exercise (48)	–	68.2	25/23	–	12 weeks
Day 2015 ²³	Sun-style Tai Chi (205)	Twice a week for 48 weeks	77.6	142/62	59 (28.9%)	48 weeks
	Stretching (204)	–	77.8	143/62	61 (29.8%)	48 weeks

Rate of falls

Fifteen studies including 3470 events contributed to the meta-analysis of the rate of falls (figure 3). Tai Chi exercise significantly reduced the rate of falls compared to the control (IRR 0.69, 95% CI 0.60 to 0.80; heterogeneity: $p=0.003$, $I^2=57\%$). The participants in the Tai Chi group were generally associated with lower rate of falls

in various subgroups, though the IRRs might not always be significant as the sample sizes were reduced (table 2). Like the subgroup analyses for the number of fallers, subgroup analyses suggested that the size of estimated preventive effect in the Yang style Tai Chi group was larger than that in the Sun style Tai Chi group ($p=0.001$), and increased with Tai Chi exercise

Figure 2 Meta-analysis of the number of fallers between the Tai Chi group and the control group.

Table 2 Subgroup analyses of the effect of Tai Chi for preventing falls in older adults

Subgroup	Number of fallers			Rate of falls		
	Studies	Participants	RR (95% CI)	Studies	Falls	IRR (95% CI)
The style of Tai Chi						
Yang style	7 ^{13-15 24 26 28 35}	943	0.61 (0.46 to 0.80)	8 ^{13-15 22 24 26 28 35}	528	0.54 (0.42 to 0.70)
Tai Chi						
Sun style Tai Chi	3 ^{23 30 33}	1777	0.88 (0.80, 0.98)	3 ^{23 30 33}	1684	0.91 (0.75 to 1.11)
Test for subgroup differences: p=0.01				Test for subgroup differences: p=0.001		
Tai Chi frequency						
Once a week	3 ^{12 30 33}	1316	0.95 (0.84 to 1.07)	4 ^{12 22 30 33}	1294	0.84 (0.63 to 1.13)
Twice a week	6 ^{23 27 28 30 32 34}	1638	0.83 (0.76 to 0.92)	6 ^{23 27 28 30 32 34}	1641	0.73 (0.57 to 0.95)
Three times a week	6 ^{13-15 24 25 29}	584	0.62 (0.51 to 0.75)	4 ^{13-15 24}	454	0.48 (0.36 to 0.64)
>Three times a week	2 ^{26 35}	232	0.36 (0.13 to 0.98)	2 ^{26 35}	81	0.38 (0.18 to 0.65)
Test for subgroup differences: p=0.001				Test for subgroup differences: p=0.07		
Total exercise time						
≤30 hours	5 ^{24 28 30 32 33}	1586	0.85 (0.73 to 0.99)	5 ^{24 28 30 32 33}	1498	0.84 (0.67 to 1.07)
>30 and ≤60 hours	6 ^{12 25 26 28 30 32}	984	0.81 (0.65 to 1.00)	5 ^{12 24 28 30 32}	1138	0.58 (0.35 to 0.94)
>60 hours	4 ^{14 23 34 35}	1007	0.80 (0.67 to 0.94)	5 ^{14 22 23 34 35}	437	0.64 (0.49, to 0.84)
Test for subgroup differences: p=0.82				Test for subgroup differences: p=0.20		
Time of follow-up						
>1 and ≤3 months	4 ^{15 24 26 33}	926	0.63 (0.44 to 0.89)	5 ^{15 24 26 27 33}	478	0.46 (0.30 to 0.69)
>3 and ≤6 months	4 ^{14 23 27 33}	1411	0.79 (0.65 to 0.94)	4 ^{14 23 27 33}	633	0.67 (0.52 to 0.86)
>6 and ≤12 months	10 ^{12 13 23 25 28-30 32 34 35}	2526	0.85 (0.78 to 0.93)	8 ^{12 13 23 28 30 32 34 35}	2633	0.85 (0.73 to 0.98)
Test for subgroup differences: p=0.23				Test for subgroup differences: p=0.01		
Falling risk at enrolment						
High	4 ^{24 28 30 32}	1122	0.83 (0.70 to 0.98)	4 ^{24 28 30 32}	1934	0.90 (0.75 to 1.07)
Low	12 ^{12-15 23 25-27 29 33-35}	2417	0.78 (0.68 to 0.89)	11 ^{12-15 23 26 27 29 33-35}	1536	0.62 (0.50 to 0.76)
Test for subgroup differences: p=0.57				Test for subgroup differences: p=0.02		

IRR, incidence rate ratio; RR, risk ratio.

Figure 3 Meta-analysis of the rate of falls between the Tai Chi group and the control group. IRR, incidence rate ratio.

Figure 4 Evaluation of publication bias. RR, risk ratio; IRR, incidence rate ratio. The funnel plots showed asymmetry (there were no dots in the lower-right corner, which stand for small studies with small or negative effect), suggesting that the results were likely to be overestimated.

frequency ($p=0.007$). Moreover, the preventive effect of Tai Chi was likely to reduce with follow-up time ($p=0.002$) and in participants with higher falling risk ($p=0.02$).

Reporting bias and sensitivity analyses

Funnel plots (figure 4) and Egger's tests (number of fallers: $p=0.005$; rate of falls: $p<0.001$) suggested that the meta-analyses were likely to be influenced by publication bias. We used the trim and fill method to adjust publication bias. However, no study was trimmed and the combined results were unchanged, suggesting that the weighting of the small studies with large effect was very low and the potential influence was minor. Sensitivity analyses by sample size, risk of bias and comorbidity did

not show any major influence on the primary meta-analysis results (table 3).

DISCUSSION

Though a number of original trials and secondary studies have been performed to investigate the effect of Tai Chi for preventing falls in older adults, the evidence remains controversial. On the basis of 18 RCTs including 3824 participants, this systematic review indicated that Tai Chi significantly reduced the number of fallers (by 20%) and the rate of falls (by 31%). In addition, the effect of Yang style Tai Chi versus control was likely to be larger than the effect of Sun style Tai Chi versus control. Moreover, the preventive effect of Tai Chi was likely to increase with exercise frequency.

Table 3 Sensitivity analyses by sample size, risk of bias and comorbidity

	Study removed from the primary meta-analysis	Number of included studies	RR/IRR (95% CI)	Heterogeneity*
Sample size				
Number of fallers	5 ^{22 24 25 29 30}	11	0.83 (0.74 to 0.93)	p=0.12; I ² =35%
Rate of falls	4 ^{22 24 25 30}	11	0.69 (0.57 to 0.83)	p=0.003; I ² =62%
Risk of bias				
Number of fallers	6 ^{25 29 30 32 34 35}	10	0.76 (0.64 to 0.90)	p=0.02; I ² =54%
Rate of falls	5 ^{25 30 32 34 35}	10	0.65 (0.53 to 0.81)	p=0.007; I ² =60%
Comorbidity				
Number of fallers	4 ^{14 15 24 31}	12	0.83 (0.74 to 0.92)	p=0.27; I ² =18%
Rate of falls	4 ^{14 15 24 31}	11	0.72 (0.61 to 0.85)	p=0.02; I ² =54%

*The results of statistical test for heterogeneity include Q-test (p values in this column) and the I²-index statistic (I² values in this column). IRR, incidence rate ratio; RR, risk ratio.

A previous meta-analysis of 13 RCTs have indicated that Tai Chi was effective in improving balance and reducing falls in the non-frail elderly.¹⁷ The finding was consistent with a recently published meta-analysis of six trials, which excluded the studies recruiting participants who had a degenerative neurological condition, severe arthritis or marked vision impairments.¹⁸ To the contrary, the study by Logghe and colleagues suggested that there was insufficient evidence that Tai Chi reduced the incidence of falls.¹⁶ A possible explanation is that some recently published trials^{15 23 24 27 30–32} were not included so the power of the test was low. Compared with previous meta-analyses, the present study did not limit the comorbidity of participants, included recently published trials and investigated various factors that may influence the preventive effect, therefore provided more comprehensive evidence for practice.

To date few studies have been carried out to investigate the intensity of Tai Chi and the preventive effect. In the study by Taylor *et al*,³⁰ Tai Chi once a week and twice a week was compared with low-level exercise for 20 weeks; the results suggested that the number of fallers was relatively lower in the twice per week group (53.4%) than the once per week group (59.5%) though the difference was not significant. Though based on subgroup analyses which may be confounded with other study-level factors, our results showed a clear trend that increase in Tai Chi exercise frequency was associated with a significant increase in the preventive effect, in terms of number of fallers and rate of falls.

An important strength of this study is that a comprehensive literature search was performed and many recently published trials were included.^{15 23 24 31 32} These studies greatly improved the precision of the estimated effect and enabled us to investigate various influential factors like Tai Chi style and frequency. In addition, for all the prespecified sensitivity analyses, the results had very small difference as compared with the primary results, indicating that the primary results were robust and further actions to resolve the difference was not needed. Our confidence in the findings is further increased by significant dose–response effect and stable analyses by adjusting for publication bias.

It should be noticed that the results are likely to be influenced due the potential bias in some original trials. Like many other trials evaluating exercise intervention, blinding of participants was hard to implement; however, the potential influence would be minor as the end points of this systematic review was unlikely to be affected. The sensitivity analyses by the risk of bias also showed no major influence to the primary analysis results. In addition, clinical heterogeneity in comorbidity, living status, education status, alcohol consumption and use of walking aids may affect the meta-analysis results, but we were unable to investigate these factors as individual patient data were not available. Finally, the estimated preventive effect of Tai Chi may be overestimated due to publication bias. However, we adjusted the meta-analyses by the trim and fill method and the results were not changed, suggesting that the potential influence was minor. This was confirmed by the sensitivity analyses by removing the studies with a sample size <100.

Overall, Tai Chi exercise is effective for preventing falls in older adults. The preventive effect seems to increase with exercise frequency, and Yang style Tai Chi seems to be more effective than Sun style Tai Chi, though direct comparisons are lacking. Future studies are required to investigate the most effective intensity and style of Tai Chi exercise and to assess the effect in older adults with certain comorbidities, such as stroke and Parkinson's disease.

Contributors Y-HF and Y-HL contributed to conception and design and manuscript revision. Z-GH and Y-HF contributed to selection and screening of trials included in this meta-analysis. Z-GH and C-SL contributed to data extraction and risk of bias assessment. Z-GH, C-SL and Y-HL contributed to data analysis. Z-GH and C-SL involved in writing of the paper.

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