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The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Title: The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Brief Title: Performance curve of medical researchers

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Abstract

Objectives: To establish the pattern of change in individual scientific production over the career of medical researchers.

Design: Retrospective cohort based on prospectively collected data in hospital information system.

Setting: Multicentre university hospital in France.

Participants: Two distinct populations of 1835 researchers (full professors versus non-academic physicians) having produced 44723 publications between 1995 and 2014.

Main outcome measures: Annual number of publications referenced in MEDLINE/PubMed with a sensitivity analysis based on publications as first/last author and in high impact journals. The individual volume of publications was modelled by age using generalized estimating equations adjusted for birth cohort, biomedical discipline and academic position of researchers.

Results: Averaged over the whole career, the annual number of publications was 5.28 (95% confidence interval, 4.90 to 5.69) among professors compared to 0.82 (0.76 to 0.89) among non-academic physicians ($p < 0.0001$). The performance curve of professors evolved in three successive phases, including an initiation phase with a sharp increase in scientific production between 25 and 35 years (adjusted incidence rate ratio 102.20 (60.99 to 171.30)), a maturation phase with a slower increase from 35 to 50 years (2.10 (1.75 to 2.51)) until a stabilisation phase with constant production followed by a potential decline at the end of career (0.90 (0.77 to 1.06)). The non-academic physicians experienced a slower pace of learning curve at the beginning of career (42.38 (25.37 to 70.81)) followed by a smaller increase in annual number of publication (1.29 (1.11 to 1.51)).

Conclusions: Compared to full professors, non-academic physicians had a poor capacity to publish, evidencing a low productivity when medical doctors have a limited time and poor

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incentives for research. This finding highlights the potential for rethinking the missions of medical doctors towards an enlargement of scientific prerogatives in favour of a global knowledge progress.

For peer review only

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Article summary

- This is the first study with longitudinal design to evaluate the performance curves of individual researchers over career taking into account their biomedical field, academic position and birth cohort.
- An accurate measurement of scientific production was available for all researchers.
- The local context of the study may affect the generalisation of results.
- Bibliometric analysis based on referenced papers does not necessarily reflect the entire contribution of researchers to science.

Introduction

Productivity is a concern that initially arose in the manufacturing industry before spreading to all economic sectors, including research and innovation in healthcare. Industrial productivity tends to increase in all fields worldwide, but variations exist between firms, addressing the question of the determinants of productivity [1,2]. In research context, marked differences exist between universities for scientific production [3]. At the individual level, publications volume is now crucial for all researchers because it is often a prerequisite for the credibility of research projects and basically for getting funding or an academic position [4].

The effect of age on scientific production of researchers has been explored in the past. Some studies stated the most novel theories were found before 40 years of age among scientists who have won the Nobel prize. This supports the existence of an “obsolescence theory” with major scientific breakthroughs emanating from young researchers [5,6]. Other studies stated a high productivity for researcher after 50 years of age, in line with the “cumulative advantage theory” or “Matthew effect”, suggesting that older researchers take advantage of their experience, position, and network [5,7]. However, the vast majority of investigations focusing on the individual determinants of scientific production were based on cross-sectional designs, comparing a heterogeneous population of researchers at a given time [4,5,8–10]. A longitudinal follow-up of individual researchers during their entire career appears more appropriate to investigate this time-dependant phenomenon [7,11]. Furthermore, exploring the change of scientific production with experience requires to disassociate the effect of age from a possible generational effect and to consider several confounders related to the academic position and discipline of researchers.

This study aimed to establish the performance curve of two distinct populations of medical researchers, full professors versus non-academic physicians, based on the annual volume of publications over their career.

Methods

Study design and population

A retrospective cohort of medical researchers employed at the Lyon university hospital between 1995 and 2014 was constituted. This multicentre institution employs more than 23000 healthcare workers divided between 14 sites and a large community of researchers from various biomedical disciplines generating more than 2000 citations per year in MEDLINE/PubMed. In particular, the medical community gathers two profiles of physicians: full professors and the non-academic physicians. The full professors are affiliated both to the hospital and the university, having care, teaching, and research activities. Non-academic physicians are affiliated to the hospital, their main activity is patient care with optional participation in research.

The cohort was selected among medical researchers with at least one publication during their period of employment and between 25 and 60 years of age. Researchers with uncertain position or discipline were excluded. In particular, young researchers with insufficient follow-up to determine their permanent position between full professor and non-academic physician were not considered in the analyses (e.g., medical students, residents, fellows, assistant or associate professors).

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

Data sources and main variables

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3 We linked two databases that are prospectively collected in the institution data warehouse
4 using an anonymous identifier readily available for every healthcare worker. On one hand, the
5 human resources database provided detailed information about career development of each
6 medical researcher, including the change of his/her academic position and discipline during
7 the period of employment. On the other hand, the annual number of publications by a given
8 researcher and their characteristics (author ranking and journal impact factor) were available
9 from the bibliometric system SIGAPS [12].
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12 The primary outcome was the annual number of publications referenced in the
13 MEDLINE/PubMed database for every researcher during the study period. As part of
14 sensitivity analyses, we used the annual number of publications in which the researcher was
15 the first or last author to evaluate the work for which he/she was strongly involved. Jointly, to
16 estimate the visibility of scientific production of each researcher, we monitored his/her annual
17 number of publications in high impact journals, defined as the 25% journals with the highest
18 impact factors among all the journals in the same category of the Web of Science Journal
19 Citation Report (JCR) [12].
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22 The birth date was extracted from the human resources database allowing calculation of age at
23 the time of publication and birth cohort for all researchers. In order to explore a potential
24 generational effect, the birth cohort was categorized into three classes from the oldest to the
25 youngest: 1935-1945, 1946-1965, and 1966-1985. Other determinants included the academic
26 position and scientific discipline of the researcher. The academic position was the last known
27 status of researcher; either full professor or non-academic physician. The scientific discipline
28 of the researcher was attributed according to the predominant biomedical field of interest
29 during his/her career, as follows: medicine, surgery, emergency/intensive care, biology,
30 medical imaging, or public health.
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33 *Statistical analysis*

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3 The main characteristics of population were first described and compared by researcher
4 position. Categorical variables were presented using absolute and relative frequencies, and
5 they were compared between full professors and non-academic physicians using the χ^2 test.
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10 Continuous variables were presented using the median and inter-quartile range.

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12 The annual number of publications and the proportion of each type of publications were
13 modelled using Generalized Estimating Equations (GEE) with a negative binomial
14 distribution (or a binomial distribution for proportion) and a log link taking into account
15 repeated publication measurement for each researcher according to his/her age [13]. The
16 working correlation matrix structure chosen was AR(1) and the results were presented on the
17 empirical variance-covariance matrix. The mean number of publications per year was drawn
18 on the entire follow-up according to age in class and academic position of researchers in
19 univariate GEE models. The change with the age was modelled by quadratic spline with
20 nodes *a priori* at 30 years, 35 years, and 50 years. The learning curves were successively
21 drawn based on two intermediate multivariate models: the first adjusted on age and position,
22 the second adjusted on age, position, and birth cohort. The final multivariate model was
23 adjusted on age, position, birth cohort, and biomedical discipline. In all these models, the
24 interactions of order two were explored particularly between age and other determinants and
25 were kept in the model presented when they reach the significance threshold of 5%.
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44 In order to enhance the interpretability of model estimates, some incidence rate ratios (IRR)
45 were combined, the effect of age was computed at several times points corresponding to each
46 phase of performance curve (25 years, 35 years, 50 years, and 60 years) and the trend between
47 these time points was computed every 5 years. The results were presented as adjusted IRR
48 with corresponding 95% confidence interval (95% CI). Similar analyses were repeated
49 regarding the annual number of publications as first or last author and the annual number of
50 publications in high impact journals.
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3 Data manipulation and analyses were performed using SAS software (version 9.3; SAS
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5 Institute Inc., Cary, NC).
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10 **Results**

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13 The study population included 1835 medical researchers who produced 44723 publications
14 from 1995 to 2014, corresponding to 12518 years of research with at least one publication
15 (see study flow chart in the Supplement, eFigure 1). As shown in Table 1, those researchers
16 were divided between 319 full professors (88.40% male) and 1516 non-academic physicians
17 (46.44% male). Overall, 5.72% of researchers belonged to the oldest birth cohort (1935-
18 1945), 48.23% to the intermediate cohort (1946-1965), and 46.05% to the newest one (1966-
19 1985). The most frequent discipline of researchers was medicine (40.16%), followed by
20 surgery (17.98%), emergency/intensive care (17.33%), biology (12.37%), imaging (6.70%),
21 and public health (5.45%). The volume of publications during the two decades of follow-up
22 ranged between 1 and 438 by researcher, with a median 68 referenced papers among full
23 professors and 5 among non-academic physicians.
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38 The annual number of publications increased with age, from a mean of 0.48 (95% CI, 0.43 to
39 0.54) between 25 and 30 years to a mean of 2.24 (2.01 to 2.49) between 50 and 55 years
40 (Figure 1). Averaged over the whole career, the annual number of publications was 5.28 (4.90
41 to 5.69) among full professors in relation to 0.82 (0.76 to 0.89) among non-academic
42 physicians ($p<0.0001$). Full professors published more paper as first/last author (42.84%
43 (40.85% to 44.92%) vs. 25.90% (24.42% to 27.47%), $p<0.0001$) and in high impact journals
44 (40.28% (38.27% to 42.40%) vs. 34.04% (32.62% to 35.52%), $p<0.0001$) compared to non-
45 academic physicians.
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3 The performance curve of full professors was composed of three successive phases including
4 a sharp increase in scientific production between 25 and 35 years of age (initiation phase),
5 then a slower increase from 35 to 50 years of age (maturation phase), until a plateau with
6 constant production followed by a potential decline at the end of career (stabilisation phase)
7 (Figure 2). Since starting their academic work, the annual number of publication among full
8 professors was multiplied by adjusted IRR 102.20 (60.99 to 171.30) at 35 years of age, 214.60
9 (121.90 to 377.80) at 50 years of age, and 193.90 (108.70 to 345.60) at 60 years (Table 2).
10 Accordingly, the annual number of publications was multiplied by 102.20 (60.99 to 171.30)
11 during the initiation phase, while it was multiplied by 2.10 (1.75 to 2.51) during the
12 maturation phase and by 0.90 (0.77 to 1.06) during the stabilisation phase. These slopes were
13 more pronounced than those of non-academic physicians who experienced a slower pace at
14 the beginning of their career followed by a smaller increase in the annual number of
15 publications. This was evidenced through a 2.41 (1.77 to 3.29, $p < 0.0001$) fold higher slope
16 during initiation phase among full professors compared to non-academic physicians, then a
17 1.62 (1.35 to 1.94, $p < 0.0001$) fold higher slope during the maturation phase. Conversely,
18 scientific production of professors declined compared to physicians after 50 years: IRR 0.79
19 (0.65 to 0.96, $p = 0.0178$) during the stabilisation phase. Similar results were observed
20 regarding the annual number of publications as first/last author and in high impact journals.

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22 The birth cohort influenced the scientific production of medical researchers, irrespective of
23 age, academic position and biomedical discipline (Figure 3 and eTable 1 in the Supplement).
24 Although the same shape of performance curves was observed across generations, the birth
25 cohort was significantly associated with the annual number of publications in the final
26 multivariate analysis: IRR 1.69 (1.31 to 2.19) for the birth cohort 1966-1985, and 1.22 (0.96
27 to 1.54) for the birth cohort 1946-1965, compared to the birth cohort 1935-1945 ($p < 0.0001$).
28 Hence, professors in the newest cohort published 9.23 (7.80 to 10.93) papers annually at 50
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3 years, compared to 6.59 (5.94 to 7.32) papers in the intermediate cohort, and 4.56 (3.50 to
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5.94) in the oldest one. The birth cohort was also significantly associated with the number of
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7 publications in high impact journals ($p < 0.0001$) but not with the number of publications as
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10 first/last author ($p = 0.1066$).

11 12 13 14 15 **Discussion**

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18 This study established the pattern of researcher performance over an entire career in a medical
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20 context. There was a marked difference in scientific productivity between two distinct
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22 populations of researchers. Compared to full professors, non-academic physicians had a poor
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24 capacity to publish, evidencing a low performance when medical doctors have a limited time
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26 and poor incentives for research. The publication volume among full professors evolved in
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28 three successive phases: the initiation phase with a dramatic hundredfold increase in scientific
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30 production before 35 years of age, the maturation phase with a doubling in production
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32 between 35 and 50 years of age, and the stabilisation phase with constant production followed
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34 by a potential decline at career end. The performance curve for non-academic physicians
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36 showed the same change with a less marked dynamic and a gradual downturn in the slope of
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38 production improvement during career. Furthermore, the scientific production of researchers
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40 was strongly influenced by their birth cohort, supporting the hypothesis of a generational
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42 effect. There was a significant increase in publication volume among the researcher
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44 community born more recently compared to older cohorts. This effect was observed among
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46 both full professors and non-academic physicians, suggesting an increasing production over
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48 time as the generations succeed one another.

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54 The main strength of this work is its longitudinal design that provided a valid picture of
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56 performance curves for individual researchers by exploring the change of scientific
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3 production over a career according to their age, academic position, and birth cohort. Outcome
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5 measurement based on the SIGAPS bibliometric system was accurate because this required
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7 individual approbation by researchers with incentives to validate their publications in the
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9 system [12]. Human resources data were also exhaustive and of high quality because this
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11 information was critical for payment of salaries. The main study limitation is the local context
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13 that may affect the generalisation of results. In particular, the absolute number of publications
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15 by researcher may have been influenced by how the research teams and disciplines were
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17 locally organized. Although these findings would deserve to be replicated using a
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19 multinational community of researchers, we assume that the pattern of the performance curves
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21 highlighted and the relative differences between academic positions and birth cohorts would
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23 be identical in a more general context. Another limitation relates to the absence of
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25 consideration of total number of co-authors in analyses to control for opportunistic authorship
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27 strategies [14]. Collaborations within and across research teams that systematically include an
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29 important number of authors with limited contributions can trigger a spurious inflation in
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31 publications volume and an overestimation of scientific production at the individual
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33 researcher level. However, our sensitivity analysis based on the number of publications as
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35 first/last author revealed unchanging results for most findings. Finally, volumetric analysis
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37 based on referenced papers does not necessarily reflect when a researcher has full capacity to
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39 make a scientific breakthrough during his/her career. Identifying qualitatively the ground-
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41 breaking nature and potential impact of research findings beyond the state of the art (i.e. novel
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43 concepts across disciplines with high gain for scientific community and public health)
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45 requires another approach. This may reveal a different pattern of individual performance
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47 curve with an innovation peak occurring earlier during scientific career of young researchers.
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49 Jointly to bibliometric evaluation, researcher performance could also be assessed using other
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51 aspects of scientific production. Active collaboration to international research networks or the
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3 mentoring of future researchers would make sense for the most experimented researchers in
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5 the last part of their career [15].
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8 The definition of “scientific productivity” in terms of volume is subject to much debate in the
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10 research community, because this is a complex notion the measurement of which can include
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12 a wide range of documents including publications in peer-reviewed journals but also books,
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14 reports, conference abstracts, oral communications, or filed patents [16]. To date, there is no
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16 consensus for a gold standard in measuring scientific production and a wide range of criteria
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18 exists in the literature [4,5,8,6,9,7]. In this study, the basic criterion of publication volume was
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20 refined to reflect substantial contribution of researcher in scientific projects as first/last author
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22 and the visibility of his/her own works in high impact journals. We identified the same
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24 determinants of scientific productivity that have been reported in other investigations
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26 conducted worldwide, including age, discipline, and academic position [5,8,10]. A similar
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28 pattern of performance curve was also found in the literature regarding the change of
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30 publication by age, corroborating our findings. However, there was a more important decline
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32 at the end of career because publication volume was not adjusted for birth cohort and older
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34 researchers belonged to the oldest generation [7]. Furthermore, the shape of observed
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36 performance curve for medical researchers was close to the conceptual curve proposed by
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38 Ericsson in other fields such as chess or music [11]. Our study enhances the transportability of
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40 this theory to the research realm, as evidenced previously for healthcare delivery [17,18]. In
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42 the same way, the generational increase in productivity has been well established in various
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44 industrial sectors [1,2,18]. Beyond the broadening in available space for publishing in
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46 biomedical journals, the effect of birth cohort may reveal a growing productivity of
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48 researchers whose practices are impacted by more incentives to publish. Indeed, the public
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50 institutions and research funding tend to prioritize career advancement based on metrics
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52 reflecting their publications in peer-reviewed journals [20]. It is of note that this generational
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3 effect was found for overall publication volume and not the number of publications as
4 first/last authors, which may indicate changing practices across generations towards more
5 collaborations [21].
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10 Based on routinely collected data from a hospital information system over 20 years, this study
11 established an accurate curve of individual performance among medical researcher during
12 their career. Using this curve to evaluate researchers integrates the need to consider their
13 personal characteristics for a fair interpretation of their scientific production. Indeed, it would
14 be inappropriate to expect from a physician who has just started his/her training to perform
15 similarly as a professor at the peak of his/her career. Each researcher can now follow his/her
16 publication volume over time depending on what is expected in view of his/her experience,
17 academic position, and year of birth. Such an approach, both dynamic and researcher-centred,
18 should enable to set realistic goals to improve or maintain researchers' performance
19 throughout their career. A further implication regards the organisation of research at the
20 macro level of university hospitals. To date, most of publications are produced by a limited
21 number of professors, while there is a modest contribution of non-academic physicians to
22 research effort in spite of representing most of medical workforce in university hospitals.
23 Rethinking the missions of all medical doctors towards an enlargement of scientific
24 prerogatives would represent a substantial investment at the level of each institution in favour
25 of a global knowledge progress.
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46 To this end, we need tangible elements about the optimal balance between research, teaching,
47 and care activities that can be performed by the same person. Although clinical activities may
48 catalyse the emergence of original research ideas, overwhelming investment of medical
49 doctors in patient care reduces even more their time dedicated to science. Spending adequate
50 time in research activities is essential to allow principal investigators to lead creative and
51 well-designed research projects. Better understanding of the effect on scientific production of
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time spent exclusively for research purposes compared to time spent in administrative tasks or patient care would be of interest for medical researchers and their host institutions. This poses the question of how to prioritize the time of medical researchers to increase their scientific production and the chance of major discovery without compromising patient care.

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Figure Legends

Figure 1 – Mean number of publications per year according to age (1a) and academic position (1b) of researcher

Interpretation: 1a. Between 35 and 40 years, a medical researcher produced 1.38 (95% CI, 1.27 to 1.51) publications annually, including 0.50 (0.44 to 0.59) publications as first/last author and 0.52 (0.46 to 0.58) publications in high impact journals. 1b. Averaged over the whole career, a full professor produced annually 5.28 (4.90 to 5.69) publications, including 2.24 (2.05 to 2.46) publications as first/last author and 2.16 (1.93 to 2.40) publications in high impact journals.

Figure 2 – Scientific production during career according to academic position of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 2a. The mean number of annual publications at 35 years was 4.20 (95% CI, 3.71 to 4.74) among full professors and 1.01 (0.93 to 1.10) among non-academic physicians.

Figure 3 – Scientific production during career according to academic position and generation of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 3a. Among full professors, the mean annual number of publications at 50 years was 4.56 (95% CI, 3.50 to 5.94) for the birth cohort 1935-1945, 6.59 (5.94 to 7.32) for the birth cohort 1946-1965, and 9.23 (7.80 to 10.93) for the birth cohort 1966-1985. Among non-academic physicians, the mean annual number of publications at 50 years was 0.86 (0.57 to 1.31) for the birth cohort 1935-1945, 0.99 (0.87 to 1.12) for the birth cohort 1946-1965, and 1.33 (1.15 to 1.54) for the birth cohort 1966-1985.

Tables

Table 1 – Characteristics of study population

	Academic position		Total (N=1835)
	Full professors (N=319)	Non-academic physicians (N=1516)	
Sex			
<i>Female</i>	37 (11.60%)	812 (53.56%)	849 (46.27%)
<i>Male</i>	282 (88.40%)	704 (46.44%)	986 (53.73%)
Birth Cohort			
<i>1935-1945</i>	54 (16.93%)	51 (3.36%)	105 (5.72%)
<i>1946-1965</i>	195 (61.13%)	690 (45.51%)	885 (48.23%)
<i>1966-1985</i>	70 (21.94%)	775 (51.12%)	845 (46.05%)
Discipline			
<i>Medicine</i>	130 (40.75%)	607 (40.04%)	737 (40.16%)
<i>Surgery</i>	91 (28.53%)	239 (15.77%)	330 (17.98%)
<i>Emergency/intensive care</i>	20 (6.27%)	298 (19.66%)	318 (17.33%)
<i>Biology</i>	41 (12.85%)	186 (12.27%)	227 (12.37%)
<i>Medical imaging</i>	19 (5.96%)	104 (6.86%)	123 (6.70%)
<i>Public health</i>	18 (5.64%)	82 (5.41%)	100 (5.45%)
Total number of publications*			
<i>All</i>	68 (39 to 109)	5 (2 to 13)	7 (2 to 26)
<i>As first/last author</i>	26 (16 to 44)	1 (0 to 3)	2 (0 to 7)
<i>In high impact journals</i>	23 (10 to 46)	1 (0 to 4)	2 (0 to 9)

*Median and inter-quartile range

Table 2 – Multivariate analysis of scientific production over a career

	Full professor	Non-academic physicians	Full professor vs non-academic physicians	P-value
	IRR (95% CI)	IRR (95% CI)	IRR (95% CI)	
Annual number of publications*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	102.20 (60.99 to 171.30) ^o	42.38 (25.37 to 70.81) ^o	2.41 (1.77 to 3.29) ^o	<.0001
Effect at 50 years	214.60 (121.90 to 377.80)	54.86 (31.64 to 95.11)	3.91 (2.45 to 6.23)	<.0001
Effect at 60 years	193.90 (108.70 to 345.60)	62.69 (35.32 to 111.30)	3.09 (2.03 to 4.72)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	31.61 (19.71 to 50.71)	19.25 (11.89 to 31.17)	1.64 (1.38 to 1.96)	<.0001
Effect at 35 years	102.20 (60.99 to 171.3)	42.38 (25.37 to 70.81)	2.41 (1.77 to 3.29)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.35 (1.23 to 1.47)	1.03 (0.96 to 1.10)	1.31 (1.20 to 1.44)	<.0001
Effect at 45 years	1.72 (1.49 to 1.99)	1.12 (1.00 to 1.25)	1.54 (1.33 to 1.79)	<.0001
Effect at 50 years	2.10 (1.76 to 2.51) ^{oo}	1.29 (1.11 to 1.51) ^{oo}	1.62 (1.35 to 1.94) ^{oo}	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.06 (0.99 to 1.13)	1.13 (1.06 to 1.21)	0.94 (0.87 to 1.02)	0.1237
Effect at 60 years	0.90 (0.77 to 1.06)	1.14 (0.96 to 1.36)	0.79 (0.65 to 0.96)	0.0178
Annual number of publications as first/last author*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
Effect at 50 years	156.00 (78.32 to 310.70)	22.40 (11.31 to 44.38)	6.96 (3.91 to 12.41)	<.0001
Effect at 60 years	116.60 (55.72 to 244.10)	26.01 (12.45 to 54.32)	4.48 (2.61 to 7.69)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	39.02 (22.85 to 66.64)	18.70 (11.03 to 31.70)	2.09 (1.67 to 2.61)	<.0001
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.28 (1.16 to 1.42)	0.87 (0.78 to 0.97)	1.47 (1.31 to 1.65)	<.0001
Effect at 45 years	1.54 (1.32 to 1.81)	0.85 (0.71 to 1.01)	1.83 (1.51 to 2.20)	<.0001
Effect at 50 years	1.75 (1.43 to 2.14)	0.92 (0.72 to 1.17)	1.90 (1.51 to 2.40)	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	0.97 (0.89 to 1.06)	1.11 (1.01 to 1.23)	0.88 (0.78 to 0.98)	0.0212
Effect at 60 years	0.75 (0.60 to 0.93)	1.16 (0.90 to 1.50)	0.64 (0.49 to 0.85)	0.0018
Annual number of publications in high impact journals*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
Effect at 50 years	1257.00 (419.20 to 3768.00)	287.10 (101.70 to 810.60)	4.38 (2.49 to 7.70)	<.0001
Effect at 60 years	1150.00 (376.60 to 3511.00)	361.10 (125.20 to 1041.00)	3.18 (1.92 to 5.29)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	118.80 (40.60 to 347.60)	68.20 (23.83 to 195.20)	1.74 (1.40 to 2.17)	<.0001
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.49 (1.35 to 1.64)	1.11 (1.00 to 1.22)	1.34 (1.20 to 1.50)	<.0001

Effect at 45 years	2.05 (1.75 to 2.39)	1.29 (1.10 to 1.52)	1.59 (1.32 to 1.90)	<.0001
Effect at 50 years	2.62 (2.15 to 3.20)	1.60 (1.30 to 1.96)	1.64 (1.32 to 2.04)	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.09 (1.00 to 1.18)	1.19 (1.09 to 1.30)	0.91 (0.82 to 1.01)	0.0774
Effect at 60 years	0.91 (0.75 to 1.12)	1.26 (1.00 to 1.59)	0.73 (0.56 to 0.94)	0.0157

*Effect of age based on quadratic splines (nodes at 30, 35 and 50 years) adjusted on position, discipline, and birth cohort.

**Reference category.

°Interpretation: The annual number of publications was multiplied by 102.20 at 35 years versus 25 years among full professors, and by 42.38 among non-academic physicians, meaning a 2.41 fold higher increase among professors versus physicians.

°°Interpretation: The annual number of publications was multiplied by 2.10 at 50 years versus 35 years among full professors and by 1.29 among non-academic physicians, meaning that the increase in annual number of publications (from 35 to 50 years) was multiplied by 1.62 for professors versus physicians.

Acknowledgments

Competing interests

All authors have completed the ICMJE uniform disclosure form at http://www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

Contributors

AD identified the research question, interpreted the results and wrote the manuscript. EH analysed the data and provided a first draft of the manuscript. SP produced the dataset to be analysed and provided a critical revision the manuscript. MM and OC highlighted some new insights on the results and provided a critical revision of the article. All authors gave a final approval to the article. AD is the guarantor of this work.

Ethics approval

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

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2
3 This study received no specific financial support. Researchers had full intellectual
4 independency in the design and conduct of the study; collection, management, analysis, and
5 interpretation of the data; preparation, review, or approval of the manuscript; and decision to
6 submit the manuscript for publication.
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11 **Data access, responsibility, and analysis**

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17 Authors had full access to all the data in the study and can take responsibility for the integrity
18 of the data and the accuracy of the data analysis. AD and EH are responsible for the data
19 analysis.
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24 **Transparency declaration**

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30 AD affirms that the manuscript is an honest, accurate, and transparent account of the study
31 being reported; that no important aspects of the study have been omitted; and that any
32 discrepancies from the study as planned (and, if relevant, registered) have been explained.
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37 **Data sharing**

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43 Statistical code are available from the corresponding author at antoine.duclos@chu-lyon.fr.
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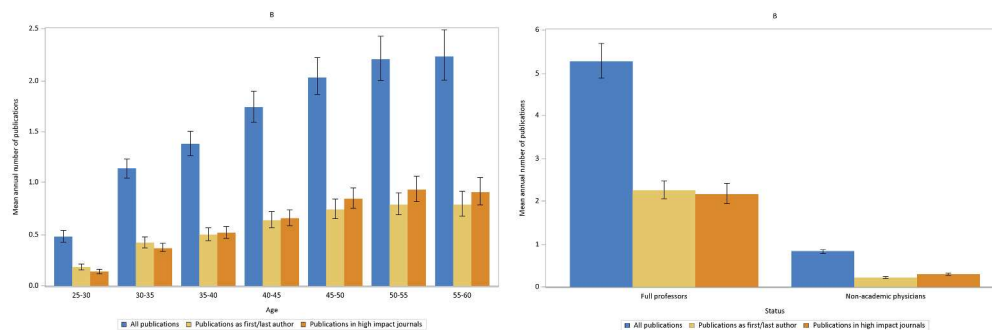
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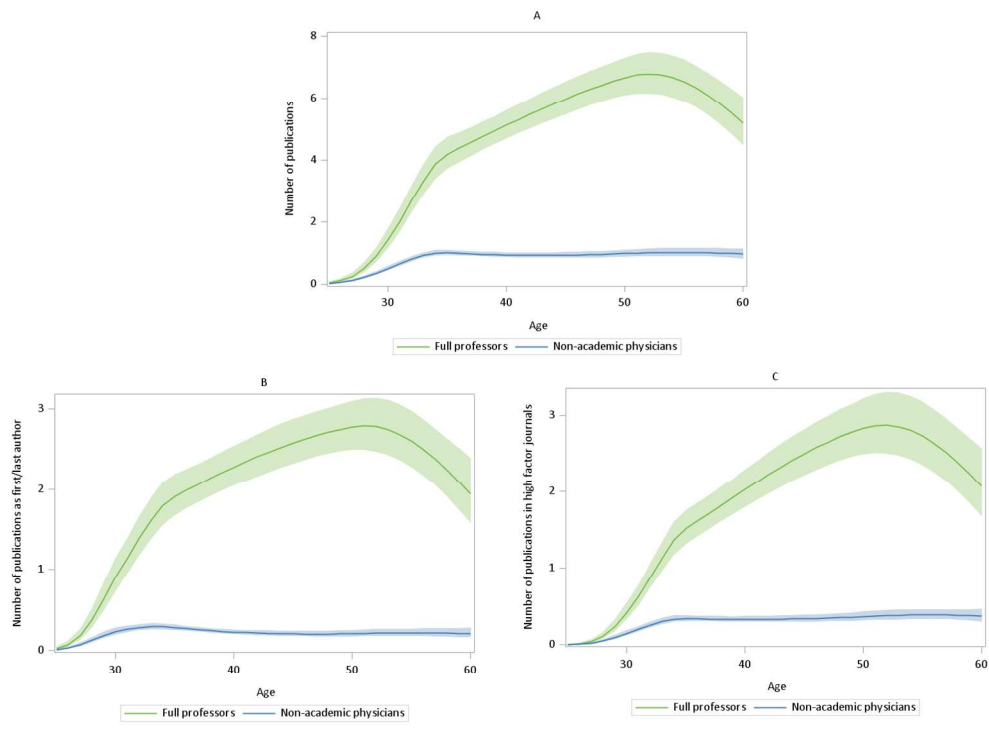


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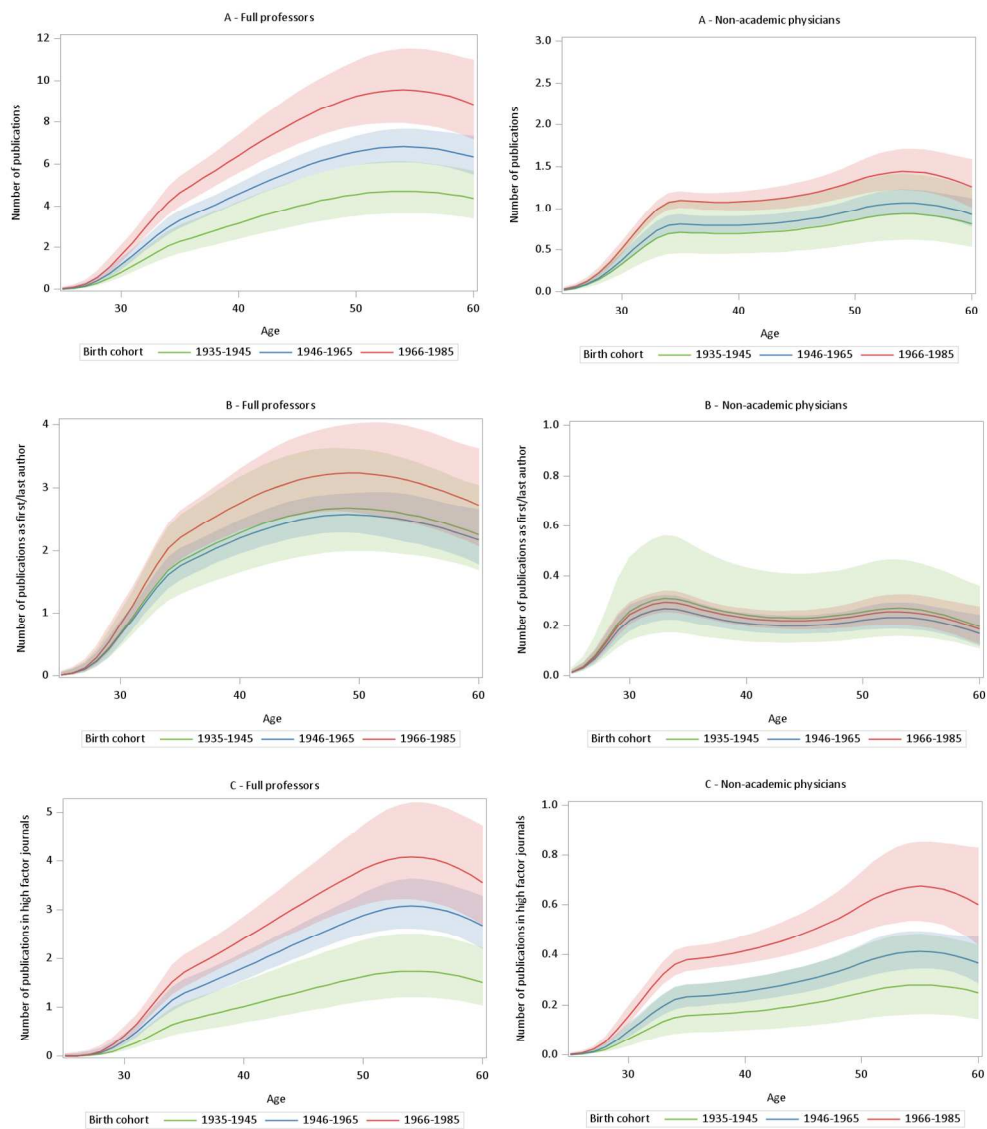
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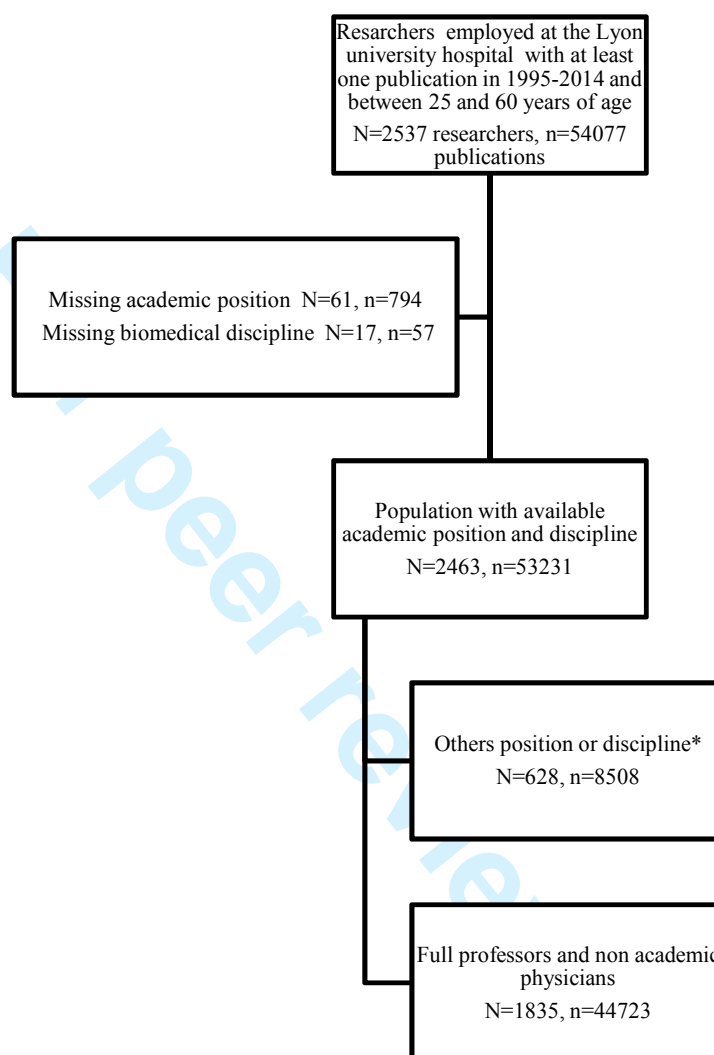
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eTable 1 - P-values in multivariable models for annual publications

	Association with number of annual publications		
	All	As first/last author	In high impact factor journals
Age			
<i>Linear effect</i>	<.0001	<.0001	<.0001
<i>Quadratic effect</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 30 years</i>	0.0609	<.0001	0.0334
<i>Spline on quadratic effect after 35 years</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 50 years</i>	0.0083	0.0513	0.0503
Birth cohort	<.0001	0.1066	<.0001
Academic position			
<i>Overall effect on full professor</i>	0.0096	0.0218	0.0163
<i>Linear effect of age on full professor</i>	<.0001	<.0001	<.0001
<i>Quadratic effect of age on full professor</i>	<.0001	<.0001	<.0001
Discipline			
<i>Overall effect on each discipline</i>	0.0335	0.0573	<.0001
<i>Linear effect of age on each discipline</i>	0.0115	0.0491	0.0088
Academic position and discipline			
<i>Effect of academic position on each discipline</i>	0.0099	0.0012	0.0268

Interpretation: Significance level of determinants included in the three multivariate models (for the three outcomes), for example, birth cohort is significantly associated with the total number of annual publication ($p < 0.0001$) but not with the number of annual publications as first/last author ($p = 0.1066$).

Online-Only Supplements



eFigure 1 – Flow chart

* 527 others position: 37 medical students, residents and fellows, 62 research staff, 272 assistant professors and 156 associate professors. 152 others discipline: 88 pharmacists, 43 dentists, and 21 psychiatrists. The categories for positions and disciplines were not mutually exclusives.

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Pages
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	p 1-2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	p 2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	p 5
Objectives	3	State specific objectives, including any prespecified hypotheses	p 5
Methods			
Study design	4	Present key elements of study design early in the paper	p 6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	p 6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	p 6, Flow chart in online supplements
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	p 7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	p 7
Bias	9	Describe any efforts to address potential sources of bias	p 11-13
Study size	10	Explain how the study size was arrived at	p 6-7, Flow chart in online supplements
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	p 8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	p 8
		(b) Describe any methods used to examine subgroups and interactions	p 8
		(c) Explain how missing data were addressed	p 7, Flow chart in online supplements
		(d) If applicable, explain how loss to follow-up was addressed	-
		(e) Describe any sensitivity analyses	p 7
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Flow chart in online supplements
		(b) Give reasons for non-participation at each stage	Flow chart in online

			supplements
		(c) Consider use of a flow diagram	Flow chart in online supplements
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	p 9, 18
		(b) Indicate number of participants with missing data for each variable of interest	Flow chart in online supplements
		(c) Summarise follow-up time (eg, average and total amount)	p 9
Outcome data	15*	Report numbers of outcome events or summary measures over time	p 9-10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	p 9-10
		(b) Report category boundaries when continuous variables were categorized	p 9-10
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	–
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	p 9-10
Discussion			
Key results	18	Summarise key results with reference to study objectives	p 11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	p 11-13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	p 13-14
Generalisability	21	Discuss the generalisability (external validity) of the study results	p 13-14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P 25-26

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

BMJ Open

The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Primary Subject Heading:	Medical publishing and peer review
Secondary Subject Heading:	Research methods
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Manuscripts

Title: The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Brief Title: Performance curve of medical researchers

Count: Manuscript 3933 words, Abstract 293 words, 24 references, 2 Tables, 3 Figures and 2 Online-Only Supplements.

Abstract

Objectives: To establish the pattern of change in individual scientific production over the career of medical researchers.

Design: Retrospective cohort based on prospectively collected data in hospital information system.

Setting: Multicentre university hospital in France.

Participants: Two distinct populations of 1835 researchers (full professors versus non-academic physicians) having produced 44723 publications between 1995 and 2014.

Main outcome measures: Annual number of publications referenced in MEDLINE/PubMed with a sensitivity analysis based on publications as first/last author and in high impact journals. The individual volume of publications was modelled by age using generalized estimating equations adjusted for birth cohort, biomedical discipline and academic position of researchers.

Results: Averaged over the whole career, the annual number of publications was 5.28 (95% confidence interval, 4.90 to 5.69) among professors compared to 0.82 (0.76 to 0.89) among non-academic physicians ($p < 0.0001$). The performance curve of professors evolved in three successive phases, including an initiation phase with a sharp increase in scientific production between 25 and 35 years (adjusted incidence rate ratio 102.20 (60.99 to 171.30)), a maturation phase with a slower increase from 35 to 50 years (2.10 (1.75 to 2.51)) until a stabilisation phase with constant production followed by a potential decline at the end of career (0.90 (0.77 to 1.06)). The non-academic physicians experienced a slower pace of learning curve at the beginning of career (42.38 (25.37 to 70.81)) followed by a smaller increase in annual number of publication (1.29 (1.11 to 1.51)).

Conclusions: Compared to full professors, non-academic physicians had a poor capacity to publish, evidencing a low productivity when medical doctors have a limited time or poor

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3 interest for research. This finding highlights the potential for rethinking the missions of
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5 medical doctors towards an enlargement of scientific prerogatives in favour of a global
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7 knowledge progress.
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For peer review only

Article summary

- This is the first study with longitudinal design to evaluate the performance curves of individual researchers over career taking into account their biomedical field, academic position and birth cohort.
- An accurate measurement of scientific production was available for all researchers.
- The local context of the study may affect the generalisation of results.
- Bibliometric analysis based on referenced papers does not necessarily reflect the entire contribution of researchers to science.

Introduction

Productivity is a concern that initially arose in the manufacturing industry before spreading to all economic sectors, including research and innovation in healthcare. Industrial productivity tends to increase in all fields worldwide, but variations exist between firms, addressing the question of the determinants of productivity^{1,2}. In research context, marked differences exist between universities for scientific production³. At the individual level, publications volume is now crucial for all researchers because it is often a prerequisite for the credibility of research projects and basically for getting funding or an academic position⁴.

The effect of age on scientific production of researchers has been explored in the past. Some studies stated the most novel theories were found before 40 years of age among scientists who have won the Nobel prize. This supports the existence of an “obsolescence theory” with major scientific breakthroughs emanating from young researchers^{5,6}. Other studies stated a high productivity for researcher after 50 years of age, in line with the “cumulative advantage theory” or “Matthew effect”, suggesting that older researchers take advantage of their experience, position, and network^{5,7}. However, the vast majority of investigations focusing on the individual determinants of scientific production were based on cross-sectional designs, comparing a heterogeneous population of researchers at a given time^{4,5,8,9,10}. A longitudinal follow-up of individual researchers during their entire career appears more appropriate to investigate this time-dependant phenomenon^{7,11}. Furthermore, exploring the change of scientific production with experience requires to disassociate the effect of age from a possible secular trend and to consider several confounders related to the academic position and discipline of researchers.

This study aimed to establish the performance curve of two distinct populations of medical researchers, full professors versus non-academic physicians, based on the annual volume of publications over their career.

Methods

Study design and population

A retrospective cohort of medical researchers employed at the Lyon university hospital between 1995 and 2014 was constituted. This multicentre institution employs more than 23000 healthcare workers divided between 14 sites and a large community of researchers from various biomedical disciplines generating more than 2000 citations per year in MEDLINE/PubMed. In particular, the medical community gathers two profiles of physicians: full professors and the non-academic physicians. The full professors are affiliated both to the hospital and the university, having care, teaching, and research activities. Non-academic physicians are affiliated to the hospital, their main activity is patient care with optional participation in research.

The cohort was selected among medical researchers with at least one publication during their period of employment and between 25 and 60 years of age. Researchers with uncertain position or discipline were excluded. In particular, young researchers with insufficient follow-up to determine their permanent position between full professor and non-academic physician were not considered in the analyses (e.g., medical students, residents, fellows, assistant or associate professors).

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

Data sources and main variables

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3 We linked two databases that are prospectively collected in the institution data warehouse
4 using an anonymous identifier readily available for every healthcare worker. On one hand, the
5 human resources database provided detailed information about career development of each
6 medical researcher, including the change of his/her academic position and discipline during
7 the period of employment. On the other hand, the annual number of publications by a given
8 researcher and their characteristics (author ranking and journal impact factor) were available
9 from the bibliometric system SIGAPS¹².
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12 The primary outcome was the annual number of publications referenced in the
13 MEDLINE/PubMed database for every researcher during the study period. As part of
14 sensitivity analyses, we used the annual number of publications in which the researcher was
15 the first or last author to evaluate the work for which he/she was strongly involved. Jointly, to
16 estimate the visibility of scientific production of each researcher, we monitored his/her annual
17 number of publications in high impact journals, defined as the 25% journals with the highest
18 impact factors among all the journals in the same category of the Web of Science Journal
19 Citation Report (JCR)¹².
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22 The birth date was extracted from the human resources database allowing calculation of age at
23 the time of publication and birth cohort for all researchers. In order to explore a potential
24 secular trend, the birth cohort was categorized into three classes from the oldest to the
25 youngest: 1935-1945, 1946-1965, and 1966-1985. Other determinants included the academic
26 position and scientific discipline of the researcher. The academic position was the last known
27 status of researcher; either full professor or non-academic physician. The scientific discipline
28 of the researcher was attributed according to the predominant biomedical field of interest
29 during his/her career, as follows: medicine, surgery, emergency/intensive care, biology,
30 medical imaging, or public health.
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33 *Statistical analysis*

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3 The main characteristics of population were first described and compared by researcher
4 position. Categorical variables were presented using absolute and relative frequencies, and
5 they were compared between full professors and non-academic physicians using the χ^2 test.
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10 Continuous variables were presented using the median and inter-quartile range.

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12 The annual number of publications and the proportion of each type of publications were
13 modelled using Generalized Estimating Equations (GEE) with a negative binomial
14 distribution (or a binomial distribution for proportion) and a log link taking into account
15 repeated publication measurement for each researcher according to his/her age¹³. The working
16 correlation matrix structure chosen was AR(1) and the results were presented on the empirical
17 variance-covariance matrix. The mean number of publications per year was drawn on the
18 entire follow-up according to age in class and academic position of researchers in univariate
19 GEE models. The change with the age was modelled by quadratic spline with nodes *a priori*
20 at 30 years, 35 years, and 50 years. The degree of splines was chosen by testing statistically
21 the highest degree of spline until achieving a p-value higher than 5%. The learning curves
22 were successively drawn based on two intermediate multivariate models: the first adjusted on
23 age and position, the second adjusted on age, position, and birth cohort. The final multivariate
24 model was adjusted on factors selected *a priori*: age, position, birth cohort, and biomedical
25 discipline. In all these models, the interactions of order two were explored one by one
26 particularly between age and other determinants and were kept in the model presented when
27 they reach the significance threshold of 5%.
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48 In order to enhance the interpretability of model estimates, some incidence rate ratios (IRR)
49 were combined, the effect of age was computed at several times points corresponding to each
50 phase of performance curve (25 years, 35 years, 50 years, and 60 years) and the trend between
51 these time points was computed every 5 years. The results were presented as adjusted IRR
52 with corresponding 95% confidence interval (95% CI). Similar analyses were repeated
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3 regarding the annual number of publications as first or last author and the annual number of
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5 publications in high impact journals.
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8 Data manipulation and analyses were performed using SAS software (version 9.3; SAS
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10 Institute Inc., Cary, NC).
11

12 13 14 15 16 **Results**

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18 The study population included 1835 medical researchers who produced 44723 publications
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20 from 1995 to 2014, corresponding to 12518 years of research with at least one publication
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22 (see study flow chart in the Supplement, eFigure 1). As shown in Table 1, those researchers
23
24 were divided between 319 full professors (88.40% male) and 1516 non-academic physicians
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26 (46.44% male). Overall, 5.72% of researchers belonged to the oldest birth cohort (1935-
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28 1945), 48.23% to the intermediate cohort (1946-1965), and 46.05% to the newest one (1966-
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30 1985). The most frequent discipline of researchers was medicine (40.16%), followed by
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32 surgery (17.98%), emergency/intensive care (17.33%), biology (12.37%), imaging (6.70%),
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34 and public health (5.45%). The volume of publications during the two decades of follow-up
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36 ranged between 1 and 438 by researcher, with a median 68 referenced papers among full
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38 professors and 5 among non-academic physicians.
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43 The annual number of publications increased with age, from a mean of 0.48 (95% CI, 0.43 to
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45 0.54) between 25 and 30 years to a mean of 2.24 (2.01 to 2.49) between 50 and 55 years
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47 (Figure 1). Averaged over the whole career, the annual number of publications was 5.28 (4.90
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49 to 5.69) among full professors in relation to 0.82 (0.76 to 0.89) among non-academic
50
51 physicians ($p < 0.0001$). Full professors published more paper as first/last author (42.84%
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53 (40.85% to 44.92%) vs. 25.90% (24.42% to 27.47%), $p < 0.0001$) and in high impact journals
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3 (40.28% (38.27% to 42.40%) vs. 34.04% (32.62% to 35.52%), $p < 0.0001$) compared to non-
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5 academic physicians.
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8 The performance curve of full professors was composed of three successive phases including
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10 a sharp increase in scientific production between 25 and 35 years of age (initiation phase),
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12 then a slower increase from 35 to 50 years of age (maturation phase), until a plateau with
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14 constant production followed by a potential decline at the end of career (stabilisation phase)
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16 (Figure 2). Since starting their academic work, the annual number of publication among full
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18 professors was multiplied by adjusted IRR 102.20 (60.99 to 171.30) at 35 years of age, 214.60
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20 (121.90 to 377.80) at 50 years of age, and 193.90 (108.70 to 345.60) at 60 years (Table 2).
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22 Accordingly, the annual number of publications was multiplied by 102.20 (60.99 to 171.30)
23
24 during the initiation phase, while it was multiplied by 2.10 (1.75 to 2.51) during the
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26 maturation phase and by 0.90 (0.77 to 1.06) during the stabilisation phase. These slopes were
27
28 more pronounced than those of non-academic physicians who experienced a slower pace at
29
30 the beginning of their career followed by a smaller increase in the annual number of
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32 publications. This was evidenced through a 2.41 (1.77 to 3.29, $p < 0.0001$) fold higher slope
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34 during initiation phase among full professors compared to non-academic physicians, then a
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36 1.62 (1.35 to 1.94, $p < 0.0001$) fold higher slope during the maturation phase. Conversely,
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38 scientific production of professors declined compared to physicians after 50 years: IRR 0.79
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40 (0.65 to 0.96, $p = 0.0178$) during the stabilisation phase. Similar results were observed
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42 regarding the annual number of publications as first/last author and in high impact journals.
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48 The birth cohort influenced the scientific production of medical researchers, irrespective of
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50 age, academic position and biomedical discipline (Figure 3 and eTable 1 in the Supplement).

51 Although the same shape of performance curves was observed across generations, the birth
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53 cohort was significantly associated with the annual number of publications in the final
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55 multivariate analysis: IRR 1.69 (1.31 to 2.19) for the birth cohort 1966-1985, and 1.22 (0.96
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3 to 1.54) for the birth cohort 1946-1965, compared to the birth cohort 1935-1945 ($p<0.0001$).
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5 Hence, professors in the newest cohort published 9.23 (7.80 to 10.93) papers annually at 50
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7 years, compared to 6.59 (5.94 to 7.32) papers in the intermediate cohort, and 4.56 (3.50 to
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9 5.94) in the oldest one. The birth cohort was also significantly associated with the number of
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11 publications in high impact journals ($p<0.0001$) but not with the number of publications as
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13 first/last author ($p=0.1066$).
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20 Discussion

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22 This study established the pattern of researcher performance over an entire career in a medical
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24 context. There was a marked difference in scientific productivity between two distinct
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26 populations of researchers. Compared to full professors, non-academic physicians had a poor
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28 capacity to publish, evidencing a low performance when medical doctors have a limited time,
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30 poor incentives or no interest for research. The publication volume among full professors
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32 evolved in three successive phases: the initiation phase with a dramatic hundredfold increase
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34 in scientific production before 35 years of age, the maturation phase with a doubling in
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36 production between 35 and 50 years of age, and the stabilisation phase with constant
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38 production followed by a potential decline at career end. The performance curve for non-
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40 academic physicians showed the same change with a less marked dynamic and a gradual
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42 downturn in the slope of production improvement during career. Furthermore, the scientific
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44 production of researchers was strongly influenced by their birth cohort, supporting the
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46 hypothesis of a secular trend. There was a significant increase in publication volume among
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48 the researcher community born more recently compared to older cohorts. This effect was
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50 observed among both full professors and non-academic physicians, suggesting an increasing
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52 production over time as the generations succeed one another.
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The main strength of this work is its longitudinal design that provided a valid picture of performance curves for individual researchers by exploring the change of scientific production over a career according to their age, academic position, and birth cohort. The chosen GEE model was appropriate to evaluate the mean performance trajectories according to various determinants, even though this approach did not allow comparison between models. Outcome measurement based on the SIGAPS bibliometric system was accurate because this required individual approbation by researchers with incentives to validate their publications in the system¹². Human resources data were also exhaustive and of high quality because this information was critical for payment of salaries.

The main study limitation is the local context that may affect the generalisation of results. In particular, the absolute number of publications by researcher may have been influenced by how the research teams and disciplines were locally organized. Although these findings would deserve to be replicated using a multinational community of researchers, we assume that the pattern of the performance curves highlighted and the relative differences between academic positions and birth cohorts would be identical in a more general context. Furthermore, researchers' gender was not considered in determining performance curves for robustness considerations, due to the small number of women in several strata related to academic position, birth cohort and discipline. Another limitation relates to the absence of consideration of total number of co-authors in analyses to control for opportunistic authorship strategies¹⁴. Collaborations within and across research teams or in some disciplines that systematically include an important number of authors with limited contributions can trigger a spurious inflation in publications volume and an overestimation of scientific production at the individual researcher level. This aspect could not be evaluated in present study because the number of co-authors in each paper was not available, which limited the analysis to full

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3 counts of publications instead of fractional counts. However, our sensitivity analysis based on
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5 the number of publications as first/last author revealed unchanging results for most findings.
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7 Finally, volumetric analysis based on referenced papers does not necessarily reflect when a
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9 researcher has full capacity to make a scientific breakthrough during his/her career.
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11 Identifying qualitatively the ground-breaking nature and potential impact of research findings
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13 beyond the state of the art (i.e. novel concepts across disciplines with high gain for scientific
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15 community and public health) requires another approach. This may reveal a different pattern
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17 of individual performance curve with an innovation peak occurring earlier during scientific
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19 career of young researchers. Jointly to bibliometric evaluation, researcher performance could
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21 also be assessed using other aspects of scientific production. Active collaboration to
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23 international research networks or the mentoring of future researchers would make sense for
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25 the most experienced researchers in the last part of their career¹⁵.
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33 The definition of “scientific productivity” in terms of volume is subject to much debate in the
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35 research community, because this is a complex notion the measurement of which can include
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37 a wide range of documents including publications in peer-reviewed journals but also books,
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39 reports, conference abstracts, oral communications, or filed patents¹⁶. To date, there is no
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41 consensus for a gold standard in measuring scientific production and a wide range of criteria
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43 exists in the literature^{4,5,6,7,8,9}. In this study, the basic criterion of publication volume was
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45 refined to reflect substantial contribution of researcher in scientific projects as first/last author
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47 and the visibility of his/her own works in high impact journals. We identified the same
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49 determinants of scientific productivity that have been reported in other investigations
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51 conducted worldwide, including age, discipline, and academic position^{5,8,10}. While similar
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53 pattern of performance curve by age was found in the literature and corroborates our findings,
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55 this result should be cautiously interpreted because it could reflect exogenous factors
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3 occurring at career milestones, such as shifts in job security or responsibilities. Previous work
4 found a more important decline of scientific production at the end of career because
5 publication volume was not adjusted for birth cohort and older researchers belonged to the
6 oldest generation⁷. One reason to this age-related decline in scientific achievement might be
7 that full professor cannot maintain high level of scientific production passively by
8 accumulating experience, which raises concerns about motivation throughout a career that
9 extends several decades. In many European countries, academics need publications to their
10 names if they want to reach the rank of full professor, but this pressure to publish disappears
11 once they have reached this goal. Average age for achieving professor position at our
12 institution was closer 45 than 55 years. A potential explanation to the absence of decline in
13 performance immediately after appointment is that personal status within the academic system
14 also relies on the research funds one acquires, and because even senior professors have to
15 continue publishing if they want to be respected by their colleagues¹⁷.

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32 The shape of observed performance curve for medical researchers seemed close to the
33 “conceptual” curve proposed by Ericsson in other fields such as chess or music¹¹. However,
34 this "conceptual curve" had no a strong empirical basis and was directed at performance in
35 well-defined task domains, as evidenced previously for systematic care delivery^{18,19}.
36 Considerable evidence shows that creative productivity does not necessarily work the same
37 way for researchers, yielding different expected longitudinal functions²⁰. There are
38 fundamental shifts in the life cycle of research productivity and the frequency of great
39 achievement at young ages would be more a function of time than field. Indeed, independent
40 associations have been found between age dynamics within fields and both the prevalence of
41 theoretical work and measures of the stock of foundational knowledge²¹. In the same way, the
42 generational increase in productivity has been well established in various industrial
43 sectors^{1,222}. Beyond the broadening in available space for publishing in biomedical journals,
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3 the effect of birth cohort may reveal a growing productivity of researchers whose practices are
4 impacted by more incentives to publish. Indeed, the public institutions and research funding
5 tend to prioritize career advancement based on metrics reflecting their publications in peer-
6 reviewed journals²³. It is of note that this secular trend was found for overall publication
7 volume and not the number of publications as first/last authors, which may indicate changing
8 practices across generations towards more collaborations²⁴. Additionally, assuming that young
9 researchers are mostly likely not independent and are collaborating with senior researchers
10 already in their maturation or stabilization phases, this may also represent a virtuous circle
11 with increasing publication capacity over generations. Whatever, variations also exist within
12 each generation and researchers who are highly productive in their 30s are also likely to be
13 much more productive in their 60s than are researchers who are not very productive at a
14 young age.
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33 Based on routinely collected data from a hospital information system over 20 years, this study
34 established an accurate curve of individual performance among medical researcher during
35 their career. Using this curve to evaluate researchers integrates the need to consider their
36 personal characteristics for a fair interpretation of their scientific production. Indeed, it would
37 be inappropriate to expect from a physician who has just started his/her training to perform
38 similarly as a professor at the peak of his/her career. Each researcher can now follow his/her
39 publication volume over time depending on what is expected in view of his/her experience,
40 academic position, and year of birth. Such an approach, both dynamic and researcher-centred,
41 should enable to set realistic goals to improve or maintain researchers' performance
42 throughout their career. A further implication regards the organisation of research at the
43 macro level of university hospitals. To date, most of publications are produced by a limited
44 number of professors, while there is a modest contribution of non-academic physicians to
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3 research effort in spite of representing most of medical workforce in university hospitals.
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5 Rethinking the missions of all medical doctors towards an enlargement of scientific
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7 prerogatives would represent a substantial investment at the level of each institution in favour
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9 of a global knowledge progress.
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12 To this end, we need tangible elements about the optimal balance between research, teaching,
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14 and care activities that can be performed by the same person. Although clinical activities may
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16 catalyse the emergence of original research ideas, overwhelming investment of medical
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18 doctors in patient care reduces even more their time dedicated to science. Spending adequate
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20 time in research activities is essential to allow principal investigators to lead creative and
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22 well-designed research projects. Better understanding of the effect on scientific production of
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24 time spent exclusively for research purposes compared to time spent in administrative tasks or
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26 patient care would be of interest for medical researchers and their host institutions. This poses
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28 the question of how to prioritize the time of medical researchers to increase their scientific
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30 production and the chance of major discovery without compromising patient care.
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Figure Legends

Figure 1 – Mean number of publications per year according to age (1a) and academic position (1b) of researcher

Interpretation: 1a. Between 35 and 40 years, a medical researcher produced 1.38 (95% CI, 1.27 to 1.51) publications annually, including 0.50 (0.44 to 0.59) publications as first/last author and 0.52 (0.46 to 0.58) publications in high impact journals. 1b. Averaged over the whole career, a full professor produced annually 5.28 (4.90 to 5.69) publications, including 2.24 (2.05 to 2.46) publications as first/last author and 2.16 (1.93 to 2.40) publications in high impact journals.

Figure 2 – Scientific production during career according to academic position of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 2a. The mean number of annual publications at 35 years was 4.20 (95% CI, 3.71 to 4.74) among full professors and 1.01 (0.93 to 1.10) among non-academic physicians.

Figure 3 – Scientific production during career according to academic position and generation of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 3a. Among full professors, the mean annual number of publications at 50 years was 4.56 (95% CI, 3.50 to 5.94) for the birth cohort 1935-1945, 6.59 (5.94 to 7.32) for the birth cohort 1946-1965, and 9.23 (7.80 to 10.93) for the birth cohort 1966-1985. Among non-academic physicians, the mean annual number of publications at 50 years was 0.86 (0.57 to 1.31) for the birth cohort 1935-1945, 0.99 (0.87 to 1.12) for the birth cohort 1946-1965, and 1.33 (1.15 to 1.54) for the birth cohort 1966-1985.

Tables

Table 1 – Characteristics of study population

	Academic position		Total (N=1835)
	Full professors (N=319)	Non-academic physicians (N=1516)	
Sex			
<i>Female</i>	37 (11.60%)	812 (53.56%)	849 (46.27%)
<i>Male</i>	282 (88.40%)	704 (46.44%)	986 (53.73%)
Birth Cohort			
<i>1935-1945</i>	54 (16.93%)	51 (3.36%)	105 (5.72%)
<i>1946-1965</i>	195 (61.13%)	690 (45.51%)	885 (48.23%)
<i>1966-1985</i>	70 (21.94%)	775 (51.12%)	845 (46.05%)
Discipline			
<i>Medicine</i>	130 (40.75%)	607 (40.04%)	737 (40.16%)
<i>Surgery</i>	91 (28.53%)	239 (15.77%)	330 (17.98%)
<i>Emergency/intensive care</i>	20 (6.27%)	298 (19.66%)	318 (17.33%)
<i>Biology</i>	41 (12.85%)	186 (12.27%)	227 (12.37%)
<i>Medical imaging</i>	19 (5.96%)	104 (6.86%)	123 (6.70%)
<i>Public health</i>	18 (5.64%)	82 (5.41%)	100 (5.45%)
Total number of publications*			
<i>All</i>	68 (39 to 109)	5 (2 to 13)	7 (2 to 26)
<i>As first/last author</i>	26 (16 to 44)	1 (0 to 3)	2 (0 to 7)
<i>In high impact journals</i>	23 (10 to 46)	1 (0 to 4)	2 (0 to 9)

*Median and inter-quartile range

Table 2 – Multivariate analysis of scientific production over a career

	Full professor	Non-academic physicians	Full professor vs non-academic physicians	P-value
	IRR (95% CI)	IRR (95% CI)	IRR (95% CI)	
Annual number of publications*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	102.20 (60.99 to 171.30) ^o	42.38 (25.37 to 70.81) ^o	2.41 (1.77 to 3.29) ^o	<.0001
Effect at 50 years	214.60 (121.90 to 377.80)	54.86 (31.64 to 95.11)	3.91 (2.45 to 6.23)	<.0001
Effect at 60 years	193.90 (108.70 to 345.60)	62.69 (35.32 to 111.30)	3.09 (2.03 to 4.72)	<.0001
Change in each phase versus the start of the phase				
<i>Initiation</i>				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	31.61 (19.71 to 50.71)	19.25 (11.89 to 31.17)	1.64 (1.38 to 1.96)	<.0001
Effect at 35 years	102.20 (60.99 to 171.3)	42.38 (25.37 to 70.81)	2.41 (1.77 to 3.29)	<.0001
<i>Maturation</i>				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.35 (1.23 to 1.47)	1.03 (0.96 to 1.10)	1.31 (1.20 to 1.44)	<.0001
Effect at 45 years	1.72 (1.49 to 1.99)	1.12 (1.00 to 1.25)	1.54 (1.33 to 1.79)	<.0001
Effect at 50 years	2.10 (1.76 to 2.51) ^{oo}	1.29 (1.11 to 1.51) ^{oo}	1.62 (1.35 to 1.94) ^{oo}	<.0001
<i>Stabilisation</i>				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.06 (0.99 to 1.13)	1.13 (1.06 to 1.21)	0.94 (0.87 to 1.02)	0.1237
Effect at 60 years	0.90 (0.77 to 1.06)	1.14 (0.96 to 1.36)	0.79 (0.65 to 0.96)	0.0178
Annual number of publications as first/last author*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
Effect at 50 years	156.00 (78.32 to 310.70)	22.40 (11.31 to 44.38)	6.96 (3.91 to 12.41)	<.0001
Effect at 60 years	116.60 (55.72 to 244.10)	26.01 (12.45 to 54.32)	4.48 (2.61 to 7.69)	<.0001
Change in each phase versus the start of the phase				
<i>Initiation</i>				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	39.02 (22.85 to 66.64)	18.70 (11.03 to 31.70)	2.09 (1.67 to 2.61)	<.0001
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
<i>Maturation</i>				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.28 (1.16 to 1.42)	0.87 (0.78 to 0.97)	1.47 (1.31 to 1.65)	<.0001
Effect at 45 years	1.54 (1.32 to 1.81)	0.85 (0.71 to 1.01)	1.83 (1.51 to 2.20)	<.0001
Effect at 50 years	1.75 (1.43 to 2.14)	0.92 (0.72 to 1.17)	1.90 (1.51 to 2.40)	<.0001
<i>Stabilisation</i>				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	0.97 (0.89 to 1.06)	1.11 (1.01 to 1.23)	0.88 (0.78 to 0.98)	0.0212
Effect at 60 years	0.75 (0.60 to 0.93)	1.16 (0.90 to 1.50)	0.64 (0.49 to 0.85)	0.0018
Annual number of publications in high impact journals*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
Effect at 50 years	1257.00 (419.20 to 3768.00)	287.10 (101.70 to 810.60)	4.38 (2.49 to 7.70)	<.0001
Effect at 60 years	1150.00 (376.60 to 3511.00)	361.10 (125.20 to 1041.00)	3.18 (1.92 to 5.29)	<.0001
Change in each phase versus the start of the phase				
<i>Initiation</i>				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	118.80 (40.60 to 347.60)	68.20 (23.83 to 195.20)	1.74 (1.40 to 2.17)	<.0001
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
<i>Maturation</i>				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.49 (1.35 to 1.64)	1.11 (1.00 to 1.22)	1.34 (1.20 to 1.50)	<.0001

Effect at 45 years	2.05 (1.75 to 2.39)	1.29 (1.10 to 1.52)	1.59 (1.32 to 1.90)	<.0001
Effect at 50 years	2.62 (2.15 to 3.20)	1.60 (1.30 to 1.96)	1.64 (1.32 to 2.04)	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.09 (1.00 to 1.18)	1.19 (1.09 to 1.30)	0.91 (0.82 to 1.01)	0.0774
Effect at 60 years	0.91 (0.75 to 1.12)	1.26 (1.00 to 1.59)	0.73 (0.56 to 0.94)	0.0157

*Effect of age based on quadratic splines (nodes at 30, 35 and 50 years) adjusted on position, discipline, and birth cohort.

**Reference category.

°Interpretation: The annual number of publications was multiplied by 102.20 at 35 years versus 25 years among full professors, and by 42.38 among non-academic physicians, meaning a 2.41 fold higher increase among professors versus physicians.

°°Interpretation: The annual number of publications was multiplied by 2.10 at 50 years versus 35 years among full professors and by 1.29 among non-academic physicians, meaning that the increase in annual number of publications (from 35 to 50 years) was multiplied by 1.62 for professors versus physicians.

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Acknowledgments

Competing interests

All authors have completed the ICMJE uniform disclosure form at http://www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

Contributors

AD identified the research question, interpreted the results and wrote the manuscript. EH analysed the data and provided a first draft of the manuscript. SP produced the dataset to be analysed and provided a critical revision the manuscript. MM and OC highlighted some new insights on the results and provided a critical revision of the article. All authors gave a final approval to the article. AD is the guarantor of this work.

Ethics approval

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

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1
2
3 This study received no specific financial support. Researchers had full intellectual
4 independency in the design and conduct of the study; collection, management, analysis, and
5 interpretation of the data; preparation, review, or approval of the manuscript; and decision to
6 submit the manuscript for publication.
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11 **Data access, responsibility, and analysis**

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17 Authors had full access to all the data in the study and can take responsibility for the integrity
18 of the data and the accuracy of the data analysis. AD and EH are responsible for the data
19 analysis.
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24 **Transparency declaration**

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30 AD affirms that the manuscript is an honest, accurate, and transparent account of the study
31 being reported; that no important aspects of the study have been omitted; and that any
32 discrepancies from the study as planned (and, if relevant, registered) have been explained.
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37 **Data sharing**

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41
42 Statistical code are available from the corresponding author at antoine.duclos@chu-lyon.fr.
43
44
45 No additional data are available.
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50 **Open Access**

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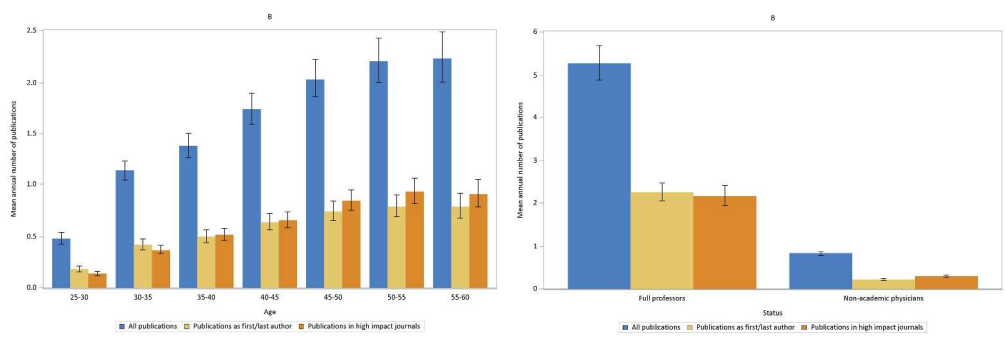


Figure 1 – Mean number of publications per year according to age (1a) and academic position (1b) of researcher

Figure 1
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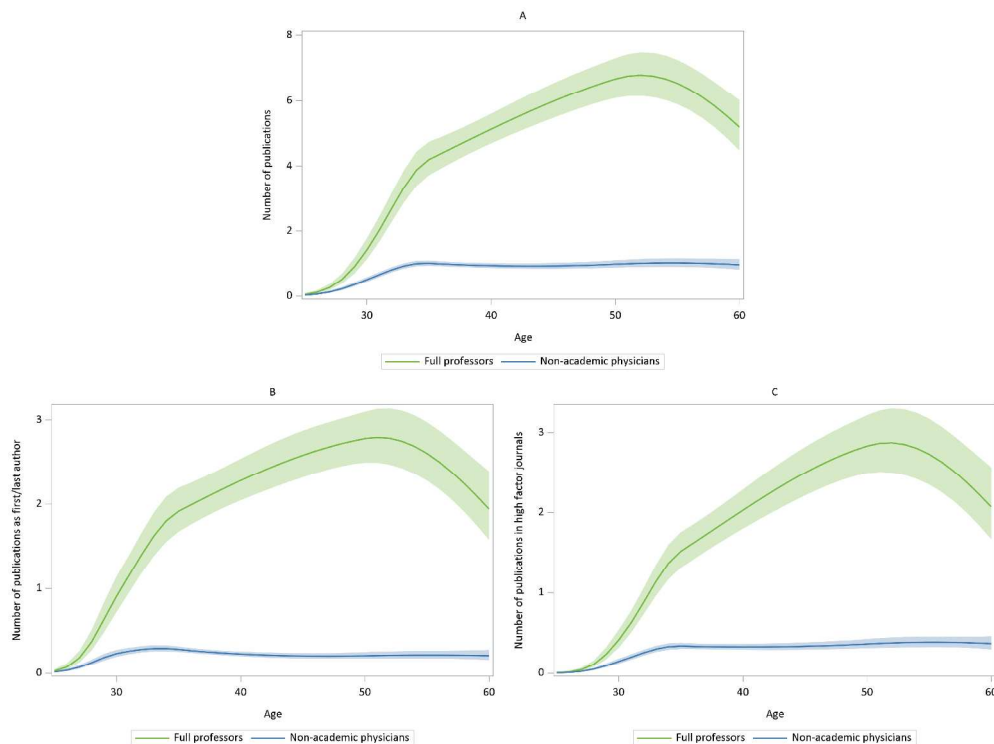


Figure 2 – Scientific production during career according to academic position of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Figure 2

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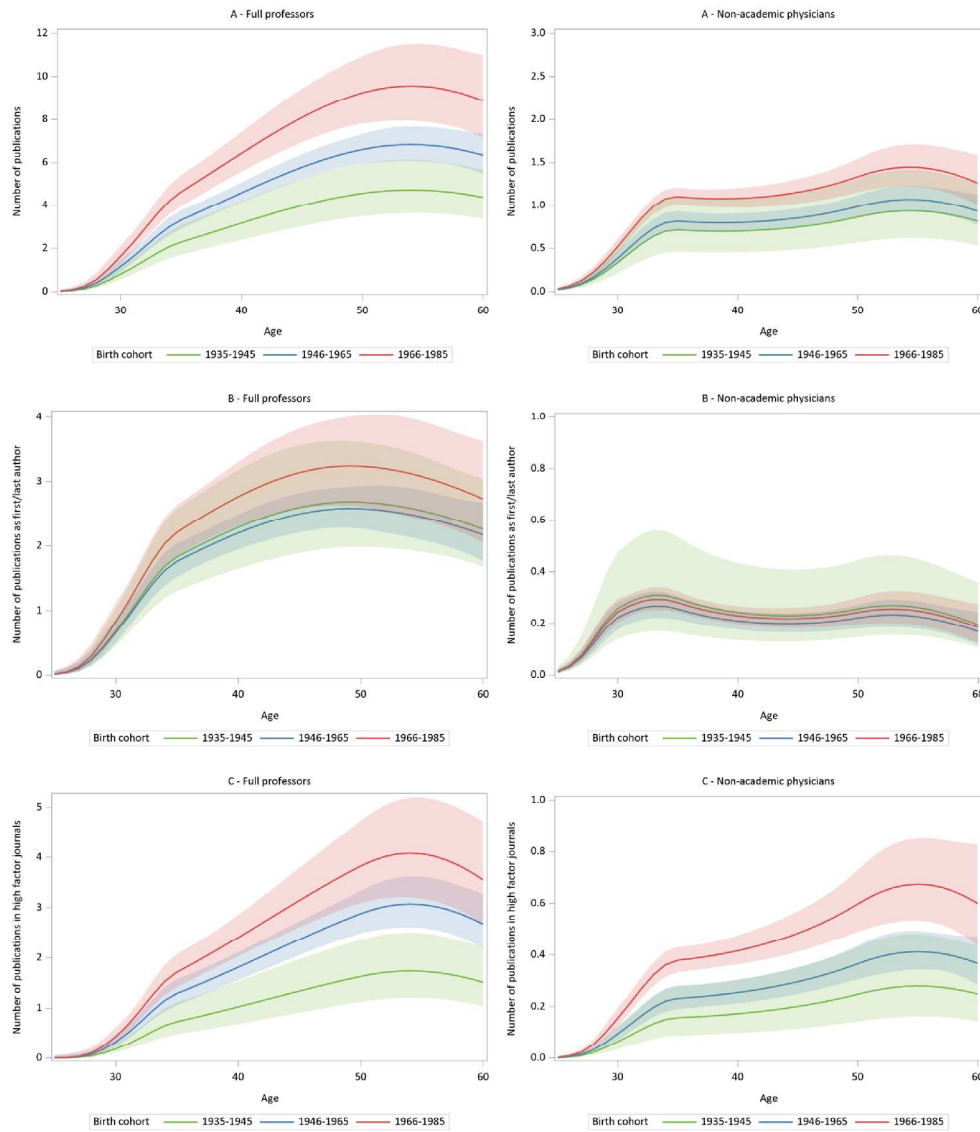


Figure 3 – Scientific production during career according to academic position and generation of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Figure 3
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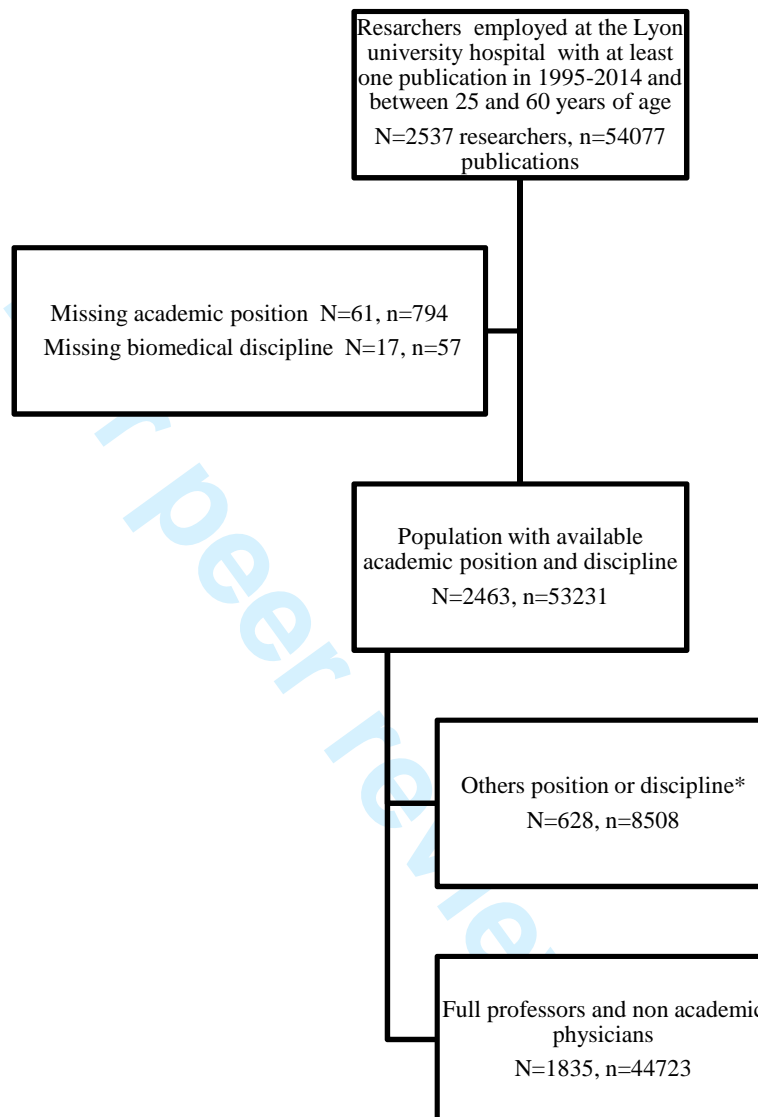
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eTable 1 - P-values in multivariable models for annual publications

	Association with number of annual publications		
	All	As first/last author	In high impact factor journals
Age			
<i>Linear effect</i>	<.0001	<.0001	<.0001
<i>Quadratic effect</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 30 years</i>	0.0609	<.0001	0.0334
<i>Spline on quadratic effect after 35 years</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 50 years</i>	0.0083	0.0513	0.0503
Birth cohort	<.0001	0.1066	<.0001
Academic position			
<i>Overall effect on full professor</i>	0.0096	0.0218	0.0163
<i>Linear effect of age on full professor</i>	<.0001	<.0001	<.0001
<i>Quadratic effect of age on full professor</i>	<.0001	<.0001	<.0001
Discipline			
<i>Overall effect on each discipline</i>	0.0335	0.0573	<.0001
<i>Linear effect of age on each discipline</i>	0.0115	0.0491	0.0088
Academic position and discipline			
<i>Effect of academic position on each discipline</i>	0.0099	0.0012	0.0268

Interpretation: Significance level of determinants included in the three multivariate models (for the three outcomes), for example, birth cohort is significantly associated with the total number of annual publication ($p < 0.0001$) but not with the number of annual publications as first/last author ($p = 0.1066$).

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eFigure 1 – Flow chart

* 527 others position: 37 medical students, residents and fellows, 62 research staff, 272 assistant professors and 156 associate professors. 152 others discipline: 88 pharmacists, 43 dentists, and 21 psychiatrists. The categories for positions and disciplines were not mutually exclusives.

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Pages
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	p 1-2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	p 2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	p 5
Objectives	3	State specific objectives, including any prespecified hypotheses	p 5
Methods			
Study design	4	Present key elements of study design early in the paper	p 6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	p 6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	p 6, Flow chart in online supplements
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	p 7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	p 7
Bias	9	Describe any efforts to address potential sources of bias	p 11-13
Study size	10	Explain how the study size was arrived at	p 6-7, Flow chart in online supplements
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	p 8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	p 8
		(b) Describe any methods used to examine subgroups and interactions	p 8
		(c) Explain how missing data were addressed	p 7, Flow chart in online supplements
		(d) If applicable, explain how loss to follow-up was addressed	-
		(e) Describe any sensitivity analyses	p 7
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Flow chart in online supplements
		(b) Give reasons for non-participation at each stage	Flow chart in online

			supplements
		(c) Consider use of a flow diagram	Flow chart in online supplements
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	p 9, 18
		(b) Indicate number of participants with missing data for each variable of interest	Flow chart in online supplements
		(c) Summarise follow-up time (eg, average and total amount)	p 9
Outcome data	15*	Report numbers of outcome events or summary measures over time	p 9-10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	p 9-10
		(b) Report category boundaries when continuous variables were categorized	p 9-10
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	–
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	p 9-10
Discussion			
Key results	18	Summarise key results with reference to study objectives	p 11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	p 11-13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	p 13-14
Generalisability	21	Discuss the generalisability (external validity) of the study results	p 13-14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P 25-26

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

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The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Manuscripts

Title: The performance curve of medical researchers during their career: analysis of scientific production from a retrospective cohort

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Abstract

Objectives: To establish the pattern of change in individual scientific production over the career of medical researchers.

Design: Retrospective cohort based on prospectively collected data in hospital information system.

Setting: Multicentre university hospital in France.

Participants: Two distinct populations of 1835 researchers (full professors versus non-academic physicians) having produced 44723 publications between 1995 and 2014.

Main outcome measures: Annual number of publications referenced in MEDLINE/PubMed with a sensitivity analysis based on publications as first/last author and in high impact journals. The individual volume of publications was modelled by age using generalized estimating equations adjusted for birth cohort, biomedical discipline and academic position of researchers.

Results: Averaged over the whole career, the annual number of publications was 5.28 (95% confidence interval, 4.90 to 5.69) among professors compared to 0.82 (0.76 to 0.89) among non-academic physicians ($p < 0.0001$). The performance curve of professors evolved in three successive phases, including an initiation phase with a sharp increase in scientific production between 25 and 35 years (adjusted incidence rate ratio 102.20 (60.99 to 171.30)), a maturation phase with a slower increase from 35 to 50 years (2.10 (1.75 to 2.51)) until a stabilisation phase with constant production followed by a potential decline at the end of career (0.90 (0.77 to 1.06)). The non-academic physicians experienced a slower pace of learning curve at the beginning of career (42.38 (25.37 to 70.81)) followed by a smaller increase in annual number of publication (1.29 (1.11 to 1.51)).

Conclusions: Compared to full professors, non-academic physicians had a poor capacity to publish, evidencing a low productivity when medical doctors have a limited time or poor

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3 interest for research. This finding highlights the potential for rethinking the missions of
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5 medical doctors towards an enlargement of scientific prerogatives in favour of a global
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7 knowledge progress.
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For peer review only

Article summary

- This is the first study with longitudinal design to evaluate the performance curves of individual researchers over career taking into account their biomedical field, academic position and birth cohort.
- An accurate measurement of scientific production was available for all researchers.
- The local context of the study may affect the generalisation of results.
- Bibliometric analysis based on referenced papers does not necessarily reflect the entire contribution of researchers to science.

Introduction

Productivity is a concern that initially arose in the manufacturing industry before spreading to all economic sectors, including research and innovation in healthcare. Industrial productivity tends to increase in all fields worldwide, but variations exist between firms, addressing the question of the determinants of productivity^{1,2}. In research context, marked differences exist between universities for scientific production³. At the individual level, publications volume is now crucial for all researchers because it is often a prerequisite for the credibility of research projects and basically for getting funding or an academic position⁴.

The effect of age on scientific production of researchers has been explored in the past. Some studies stated the most novel theories were found before 40 years of age among scientists who have won the Nobel prize. This supports the existence of an “obsolescence theory” with major scientific breakthroughs emanating from young researchers^{5,6}. Other studies stated a high productivity for researcher after 50 years of age, in line with the “cumulative advantage theory” or “Matthew effect”, suggesting that older researchers take advantage of their experience, position, and network^{5,7}. However, the vast majority of investigations focusing on the individual determinants of scientific production were based on cross-sectional designs, comparing a heterogeneous population of researchers at a given time^{4,5,8,9,10}. A longitudinal follow-up of individual researchers during their entire career appears more appropriate to investigate this time-dependant phenomenon^{7,11}. Furthermore, exploring the change of scientific production with experience requires to disassociate the effect of age from a possible secular trend and to consider several confounders related to the academic position and discipline of researchers.

This study aimed to establish the performance curve of two distinct populations of medical researchers, full professors versus non-academic physicians, based on the annual volume of publications over their career.

Methods

Study design and population

A retrospective cohort of medical researchers employed at the Lyon university hospital between 1995 and 2014 was constituted. This multicentre institution employs more than 23000 healthcare workers divided between 14 sites and a large community of researchers from various biomedical disciplines generating more than 2000 citations per year in MEDLINE/PubMed. In particular, the medical community gathers two profiles of physicians: full professors and the non-academic physicians. The full professors are affiliated both to the hospital and the university, having care, teaching, and research activities. Non-academic physicians are affiliated to the hospital, their main activity is patient care with optional participation in research.

The cohort was selected among medical researchers with at least one publication during their period of employment and between 25 and 60 years of age. Researchers with uncertain position or discipline were excluded. In particular, young researchers with insufficient follow-up to determine their permanent position between full professor and non-academic physician were not considered in the analyses (e.g., medical students, residents, fellows, assistant or associate professors).

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

Data sources and main variables

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3 We linked two databases that are prospectively collected in the institution data warehouse
4 using an anonymous identifier readily available for every healthcare worker. On one hand, the
5 human resources database provided detailed information about career development of each
6 medical researcher, including the change of his/her academic position and discipline during
7 the period of employment. On the other hand, the annual number of publications by a given
8 researcher and their characteristics (author ranking and journal impact factor) were available
9 from the bibliometric system SIGAPS¹².
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12 The primary outcome was the annual number of publications referenced in the
13 MEDLINE/PubMed database for every researcher during the study period. As part of
14 sensitivity analyses, we used the annual number of publications in which the researcher was
15 the first or last author to evaluate the work for which he/she was strongly involved. Jointly, to
16 estimate the visibility of scientific production of each researcher, we monitored his/her annual
17 number of publications in high impact journals, defined as the 25% journals with the highest
18 impact factors among all the journals in the same category of the Web of Science Journal
19 Citation Report (JCR)¹².
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22 The birth date was extracted from the human resources database allowing calculation of age at
23 the time of publication and birth cohort for all researchers. In order to explore a potential
24 secular trend, the birth cohort was categorized into three classes from the oldest to the
25 youngest: 1935-1945, 1946-1965, and 1966-1985. Other determinants included the academic
26 position and scientific discipline of the researcher. The academic position was the last known
27 status of researcher; either full professor or non-academic physician. The scientific discipline
28 of the researcher was attributed according to the predominant biomedical field of interest
29 during his/her career, as follows: medicine, surgery, emergency/intensive care, biology,
30 medical imaging, or public health.
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33 *Statistical analysis*

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3 The main characteristics of population were first described and compared by researcher
4 position. Categorical variables were presented using absolute and relative frequencies, and
5 they were compared between full professors and non-academic physicians using the χ^2 test.
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7 Continuous variables were presented using the median and inter-quartile range.
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12 The annual number of publications and the proportion of each type of publications were
13 modelled using Generalized Estimating Equations (GEE) with a negative binomial
14 distribution (or a binomial distribution for proportion) and a log link taking into account
15 repeated publication measurement for each researcher according to his/her age¹³. The working
16 correlation matrix structure chosen was AR(1) and the results were presented on the empirical
17 variance-covariance matrix. The mean number of publications per year was drawn on the
18 entire follow-up according to age in class and academic position of researchers in univariate
19 GEE models. The change with the age was modelled by quadratic spline with nodes *a priori*
20 at 30 years, 35 years, and 50 years. The degree of splines was chosen by testing statistically
21 the highest degree of spline until achieving a p-value higher than 5%. The learning curves
22 were successively drawn based on two intermediate multivariate models: the first adjusted on
23 age and position, the second adjusted on age, position, and birth cohort. The final multivariate
24 model was adjusted on factors selected *a priori*: age, position, birth cohort, and biomedical
25 discipline. In all these models, the interactions of order two were explored one by one
26 particularly between age and other determinants and were kept in the model presented when
27 they reach the significance threshold of 5%.
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48 In order to enhance the interpretability of model estimates, some incidence rate ratios (IRR)
49 were combined, the effect of age was computed at several times points corresponding to each
50 phase of performance curve (25 years, 35 years, 50 years, and 60 years) and the trend between
51 these time points was computed every 5 years. The results were presented as adjusted IRR
52 with corresponding 95% confidence interval (95% CI). Similar analyses were repeated
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3 regarding the annual number of publications as first or last author and the annual number of
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5 publications in high impact journals.
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8 Data manipulation and analyses were performed using SAS software (version 9.3; SAS
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10 Institute Inc., Cary, NC).
11

12 13 14 15 16 **Results**

17
18 The study population included 1835 medical researchers who produced 44723 publications
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20 from 1995 to 2014, corresponding to 12518 years of research with at least one publication
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22 (see study flow chart in the Supplement, eFigure 1). As shown in Table 1, those researchers
23
24 were divided between 319 full professors (88.40% male) and 1516 non-academic physicians
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26 (46.44% male). Overall, 5.72% of researchers belonged to the oldest birth cohort (1935-
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28 1945), 48.23% to the intermediate cohort (1946-1965), and 46.05% to the newest one (1966-
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30 1985). The most frequent discipline of researchers was medicine (40.16%), followed by
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32 surgery (17.98%), emergency/intensive care (17.33%), biology (12.37%), imaging (6.70%),
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34 and public health (5.45%). The volume of publications during the two decades of follow-up
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36 ranged between 1 and 438 by researcher, with a median 68 referenced papers among full
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38 professors and 5 among non-academic physicians.
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43 The annual number of publications increased with age, from a mean of 0.48 (95% CI, 0.43 to
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45 0.54) between 25 and 30 years to a mean of 2.24 (2.01 to 2.49) between 50 and 55 years
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47 (Figure 1). Averaged over the whole career, the annual number of publications was 5.28 (4.90
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49 to 5.69) among full professors in relation to 0.82 (0.76 to 0.89) among non-academic
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51 physicians ($p < 0.0001$). Full professors published more paper as first/last author (42.84%
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53 (40.85% to 44.92%) vs. 25.90% (24.42% to 27.47%), $p < 0.0001$) and in high impact journals
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3 (40.28% (38.27% to 42.40%) vs. 34.04% (32.62% to 35.52%), $p < 0.0001$) compared to non-
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5 academic physicians.
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8 The performance curve of full professors was composed of three successive phases including
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10 a sharp increase in scientific production between 25 and 35 years of age (initiation phase),
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12 then a slower increase from 35 to 50 years of age (maturation phase), until a plateau with
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14 constant production followed by a potential decline at the end of career (stabilisation phase)
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16 (Figure 2). Since starting their academic work, the annual number of publication among full
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18 professors was multiplied by adjusted IRR 102.20 (60.99 to 171.30) at 35 years of age, 214.60
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20 (121.90 to 377.80) at 50 years of age, and 193.90 (108.70 to 345.60) at 60 years (Table 2).
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22 Accordingly, the annual number of publications was multiplied by 102.20 (60.99 to 171.30)
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24 during the initiation phase, while it was multiplied by 2.10 (1.75 to 2.51) during the
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26 maturation phase and by 0.90 (0.77 to 1.06) during the stabilisation phase. These slopes were
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28 more pronounced than those of non-academic physicians who experienced a slower pace at
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30 the beginning of their career followed by a smaller increase in the annual number of
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32 publications. This was evidenced through a 2.41 (1.77 to 3.29, $p < 0.0001$) fold higher slope
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34 during initiation phase among full professors compared to non-academic physicians, then a
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36 1.62 (1.35 to 1.94, $p < 0.0001$) fold higher slope during the maturation phase. Conversely,
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38 scientific production of professors declined compared to physicians after 50 years: IRR 0.79
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40 (0.65 to 0.96, $p = 0.0178$) during the stabilisation phase. Similar results were observed
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42 regarding the annual number of publications as first/last author and in high impact journals.
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48 The birth cohort influenced the scientific production of medical researchers, irrespective of
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50 age, academic position and biomedical discipline (Figure 3 and eTable 1 in the Supplement).

51 Although the same shape of performance curves was observed across generations, the birth
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53 cohort was significantly associated with the annual number of publications in the final
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55 multivariate analysis: IRR 1.69 (1.31 to 2.19) for the birth cohort 1966-1985, and 1.22 (0.96
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3 to 1.54) for the birth cohort 1946-1965, compared to the birth cohort 1935-1945 ($p<0.0001$).
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5 Hence, professors in the newest cohort published 9.23 (7.80 to 10.93) papers annually at 50
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7 years, compared to 6.59 (5.94 to 7.32) papers in the intermediate cohort, and 4.56 (3.50 to
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9 5.94) in the oldest one. The birth cohort was also significantly associated with the number of
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11 publications in high impact journals ($p<0.0001$) but not with the number of publications as
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13 first/last author ($p=0.1066$).
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20 Discussion

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22 This study established the pattern of researcher performance over an entire career in a medical
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24 context. There was a marked difference in scientific productivity between two distinct
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26 populations of researchers. Compared to full professors, non-academic physicians had a poor
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28 capacity to publish, evidencing a low performance when medical doctors have a limited time,
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30 poor incentives or no interest for research. The publication volume among full professors
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32 evolved in three successive phases: the initiation phase with a dramatic hundredfold increase
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34 in scientific production before 35 years of age, the maturation phase with a doubling in
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36 production between 35 and 50 years of age, and the stabilisation phase with constant
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38 production followed by a potential decline at career end. The performance curve for non-
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40 academic physicians showed the same change with a less marked dynamic and a gradual
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42 downturn in the slope of production improvement during career. Furthermore, the scientific
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44 production of researchers was strongly influenced by their birth cohort, supporting the
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46 hypothesis of a secular trend. There was a significant increase in publication volume among
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48 the researcher community born more recently compared to older cohorts. This effect was
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50 observed among both full professors and non-academic physicians, suggesting an increasing
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52 production over time as the generations succeed one another.
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The main strength of this work is its longitudinal design that provided a valid picture of performance curves for individual researchers by exploring the change of scientific production over a career according to their age, academic position, and birth cohort. The chosen GEE model was appropriate to evaluate the mean performance trajectories according to various determinants, even though this approach did not allow comparison between models. Outcome measurement based on the SIGAPS bibliometric system was accurate because this required individual approbation by researchers with incentives to validate their publications in the system¹². Human resources data were also exhaustive and of high quality because this information was critical for payment of salaries.

The main study limitation is the local context that may affect the generalisation of results. In particular, the absolute number of publications by researcher may have been influenced by how the research teams and disciplines were locally organized. Although these findings would deserve to be replicated using a multinational community of researchers, we assume that the pattern of the performance curves highlighted and the relative differences between academic positions and birth cohorts would be identical in a more general context. Furthermore, researchers' gender was not considered in determining performance curves for robustness considerations, due to the small number of women in several strata related to academic position, birth cohort and discipline. Resolving this issue would require to investigate a larger cohort of researchers. Another limitation relates to the absence of consideration of total number of co-authors in analyses to control for opportunistic authorship strategies¹⁴. Collaborations within and across research teams or in some disciplines that systematically include an important number of authors with limited contributions can trigger a spurious inflation in publications volume and an overestimation of scientific production at the individual researcher level. This aspect could not be evaluated in present study because the

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3 number of co-authors in each paper was not available, which limited the analysis to full
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5 counts of publications instead of fractional counts. However, our sensitivity analysis based on
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7 the number of publications as first/last author revealed unchanging results for most findings.
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9 Finally, volumetric analysis based on referenced papers does not necessarily reflect when a
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11 researcher has full capacity to make a scientific breakthrough during his/her career.
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13 Identifying qualitatively the ground-breaking nature and potential impact of research findings
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15 beyond the state of the art (i.e. novel concepts across disciplines with high gain for scientific
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17 community and public health) requires another approach. This may reveal a different pattern
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19 of individual performance curve with an innovation peak occurring earlier during scientific
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21 career of young researchers. Jointly to bibliometric evaluation, researcher performance could
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23 also be assessed using other aspects of scientific production. Active collaboration to
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25 international research networks or the mentoring of future researchers would make sense for
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27 the most experienced researchers in the last part of their career¹⁵.
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35 The definition of “scientific productivity” in terms of volume is subject to much debate in the
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37 research community, because this is a complex notion the measurement of which can include
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39 a wide range of documents including publications in peer-reviewed journals but also books,
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41 reports, conference abstracts, oral communications, or filed patents¹⁶. To date, there is no
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43 consensus for a gold standard in measuring scientific production and a wide range of criteria
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45 exists in the literature^{4,5,6,7,8,9}. In this study, the basic criterion of publication volume was
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47 refined to reflect substantial contribution of researcher in scientific projects as first/last author
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49 and the visibility of his/her own works in high impact journals. We identified the same
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51 determinants of scientific productivity that have been reported in other investigations
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53 conducted worldwide, including age, discipline, and academic position^{5,8,10}. While similar
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55 pattern of performance curve by age was found in the literature and corroborates our findings,
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3 this result should be cautiously interpreted because it could reflect exogenous factors
4 occurring at career milestones, such as shifts in job security or responsibilities. Previous work
5 found a more important decline of scientific production at the end of career because
6 publication volume was not adjusted for birth cohort and older researchers belonged to the
7 oldest generation⁷. One reason for this age-related decline in scientific achievement might be
8 that full professor cannot maintain high level of scientific production passively by
9 accumulating experience, which raises concerns about motivation throughout a career that
10 extends several decades. In many European countries, academics need publications under
11 their names if they want to reach the rank of full professor, but this pressure to publish
12 disappears once they have reached this goal. Average age for achieving professor position at
13 our institution was closer 45 than 55 years. A potential explanation to the absence of decline
14 in performance immediately after appointment is that personal status within the academic
15 system also relies on the research funds one acquires, and because even senior professors have
16 to continue publishing if they want to be respected by their colleagues and to continue
17 mentoring top graduate students¹⁷. This late peak and minimal decline in performance at the
18 end of researchers' career is consistent with previous works¹⁸.

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The shape of observed performance curve for medical researchers seemed close to the
"conceptual" curve proposed by Ericsson in other fields such as chess or music¹¹. However,
this "conceptual curve" had no a strong empirical basis and was directed at performance in
well-defined task domains, as evidenced previously for systematic care delivery^{19,20}.
Considerable evidence shows that creative productivity does not necessarily work the same
way for researchers, yielding different expected longitudinal functions²¹. There are
fundamental shifts in the life cycle of research productivity and the frequency of great
achievement at young ages would be more a function of time than field. Indeed, independent
associations have been found between age dynamics within fields and both the prevalence of

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3 theoretical work and measures of the stock of foundational knowledge²². In the same way, the
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5 generational increase in productivity has been well established in various industrial
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7 sectors^{1,2,23}. Beyond the broadening in available space for publishing in biomedical journals,
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9 the effect of birth cohort may reveal a growing productivity of researchers whose practices are
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11 impacted by more incentives to publish. Indeed, the public institutions and research funding
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13 tend to prioritize career advancement based on metrics reflecting their publications in peer-
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15 reviewed journals²⁴. It is of note that this secular trend was found for overall publication
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17 volume and not the number of publications as first/last authors, which may indicate changing
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19 practices across generations towards more collaborations²⁵. Additionally, assuming that young
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21 researchers are most likely not independent and are collaborating with senior researchers
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23 already in their maturation or stabilization phases, this may also represent a virtuous circle
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25 with increasing publication capacity over generations. Whatever, variations also exist within
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27 each generation and researchers who are highly productive in their 30s are also likely to be
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29 much more productive in their 60s than are researchers who are not very productive at a
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31 young age.
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40 Based on routinely collected data from a hospital information system over 20 years, this study
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42 established an accurate curve of individual performance among medical researcher during
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44 their career. Using this curve to evaluate researchers integrates the need to consider their
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46 personal characteristics for a fair interpretation of their scientific production. Indeed, it would
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48 be inappropriate to expect from a physician who has just started his/her training to perform
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50 similarly as a professor at the peak of his/her career. Each researcher can now follow his/her
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52 publication volume over time depending on what is expected in view of his/her experience,
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54 academic position, and year of birth. Such an approach, both dynamic and researcher-centred,
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56 should enable to set realistic goals to improve or maintain researchers' performance
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3 throughout their career. A further implication regards the organisation of research at the
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5 macro level of university hospitals. To date, most of publications are produced by a limited
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7 number of professors, while there is a modest contribution of non-academic physicians to
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9 research effort in spite of representing most of medical workforce in university hospitals.
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11 Rethinking the missions of all medical doctors towards an enlargement of scientific
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13 prerogatives would represent a substantial investment at the level of each institution in favour
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15 of a global knowledge progress.
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19 To this end, we need tangible elements about the optimal balance between research, teaching,
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21 and care activities that can be performed by the same person. Although clinical activities may
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23 catalyse the emergence of original research ideas, overwhelming investment of medical
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25 doctors in patient care reduces even more their time dedicated to science. Spending adequate
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27 time in research activities is essential to allow principal investigators to lead creative and
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29 well-designed research projects. Better understanding of the effect on scientific production of
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31 time spent exclusively for research purposes compared to time spent in administrative tasks or
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33 patient care would be of interest for medical researchers and their host institutions. This poses
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35 the question of how to prioritize the time of medical researchers to increase their scientific
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37 production and the chance of major discovery without compromising patient care.
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Figure Legends

Figure 1 – Mean number of publications per year according to age (1a) and academic position (1b) of researcher

Interpretation: 1a. Between 35 and 40 years, a medical researcher produced 1.38 (95% CI, 1.27 to 1.51) publications annually, including 0.50 (0.44 to 0.59) publications as first/last author and 0.52 (0.46 to 0.58) publications in high impact journals. 1b. Averaged over the whole career, a full professor produced annually 5.28 (4.90 to 5.69) publications, including 2.24 (2.05 to 2.46) publications as first/last author and 2.16 (1.93 to 2.40) publications in high impact journals.

Figure 2 – Scientific production during career according to academic position of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 2a. The mean number of annual publications at 35 years was 4.20 (95% CI, 3.71 to 4.74) among full professors and 1.01 (0.93 to 1.10) among non-academic physicians.

Figure 3 – Scientific production during career according to academic position and generation of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Interpretation: 3a. Among full professors, the mean annual number of publications at 50 years was 4.56 (95% CI, 3.50 to 5.94) for the birth cohort 1935-1945, 6.59 (5.94 to 7.32) for the birth cohort 1946-1965, and 9.23 (7.80 to 10.93) for the birth cohort 1966-1985. Among non-academic physicians, the mean annual number of publications at 50 years was 0.86 (0.57 to 1.31) for the birth cohort 1935-1945, 0.99 (0.87 to 1.12) for the birth cohort 1946-1965, and 1.33 (1.15 to 1.54) for the birth cohort 1966-1985.

Tables

Table 1 – Characteristics of study population

	Academic position		Total (N=1835)
	Full professors (N=319)	Non-academic physicians (N=1516)	
Sex			
<i>Female</i>	37 (11.60%)	812 (53.56%)	849 (46.27%)
<i>Male</i>	282 (88.40%)	704 (46.44%)	986 (53.73%)
Birth Cohort			
<i>1935-1945</i>	54 (16.93%)	51 (3.36%)	105 (5.72%)
<i>1946-1965</i>	195 (61.13%)	690 (45.51%)	885 (48.23%)
<i>1966-1985</i>	70 (21.94%)	775 (51.12%)	845 (46.05%)
Discipline			
<i>Medicine</i>	130 (40.75%)	607 (40.04%)	737 (40.16%)
<i>Surgery</i>	91 (28.53%)	239 (15.77%)	330 (17.98%)
<i>Emergency/intensive care</i>	20 (6.27%)	298 (19.66%)	318 (17.33%)
<i>Biology</i>	41 (12.85%)	186 (12.27%)	227 (12.37%)
<i>Medical imaging</i>	19 (5.96%)	104 (6.86%)	123 (6.70%)
<i>Public health</i>	18 (5.64%)	82 (5.41%)	100 (5.45%)
Total number of publications*			
<i>All</i>	68 (39 to 109)	5 (2 to 13)	7 (2 to 26)
<i>As first/last author</i>	26 (16 to 44)	1 (0 to 3)	2 (0 to 7)
<i>In high impact journals</i>	23 (10 to 46)	1 (0 to 4)	2 (0 to 9)

*Median and inter-quartile range

Table 2 – Multivariate analysis of scientific production over a career

	Full professor	Non-academic physicians	Full professor vs non-academic physicians	P-value
	IRR (95% CI)	IRR (95% CI)	IRR (95% CI)	
Annual number of publications*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	102.20 (60.99 to 171.30) ^o	42.38 (25.37 to 70.81) ^o	2.41 (1.77 to 3.29) ^o	<.0001
Effect at 50 years	214.60 (121.90 to 377.80)	54.86 (31.64 to 95.11)	3.91 (2.45 to 6.23)	<.0001
Effect at 60 years	193.90 (108.70 to 345.60)	62.69 (35.32 to 111.30)	3.09 (2.03 to 4.72)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	31.61 (19.71 to 50.71)	19.25 (11.89 to 31.17)	1.64 (1.38 to 1.96)	<.0001
Effect at 35 years	102.20 (60.99 to 171.3)	42.38 (25.37 to 70.81)	2.41 (1.77 to 3.29)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.35 (1.23 to 1.47)	1.03 (0.96 to 1.10)	1.31 (1.20 to 1.44)	<.0001
Effect at 45 years	1.72 (1.49 to 1.99)	1.12 (1.00 to 1.25)	1.54 (1.33 to 1.79)	<.0001
Effect at 50 years	2.10 (1.76 to 2.51) ^{oo}	1.29 (1.11 to 1.51) ^{oo}	1.62 (1.35 to 1.94) ^{oo}	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.06 (0.99 to 1.13)	1.13 (1.06 to 1.21)	0.94 (0.87 to 1.02)	0.1237
Effect at 60 years	0.90 (0.77 to 1.06)	1.14 (0.96 to 1.36)	0.79 (0.65 to 0.96)	0.0178
Annual number of publications as first/last author*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
Effect at 50 years	156.00 (78.32 to 310.70)	22.40 (11.31 to 44.38)	6.96 (3.91 to 12.41)	<.0001
Effect at 60 years	116.60 (55.72 to 244.10)	26.01 (12.45 to 54.32)	4.48 (2.61 to 7.69)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	39.02 (22.85 to 66.64)	18.70 (11.03 to 31.70)	2.09 (1.67 to 2.61)	<.0001
Effect at 35 years	89.23 (48.67 to 163.60)	24.38 (13.67 to 43.49)	3.66 (2.48 to 5.41)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.28 (1.16 to 1.42)	0.87 (0.78 to 0.97)	1.47 (1.31 to 1.65)	<.0001
Effect at 45 years	1.54 (1.32 to 1.81)	0.85 (0.71 to 1.01)	1.83 (1.51 to 2.20)	<.0001
Effect at 50 years	1.75 (1.43 to 2.14)	0.92 (0.72 to 1.17)	1.90 (1.51 to 2.40)	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	0.97 (0.89 to 1.06)	1.11 (1.01 to 1.23)	0.88 (0.78 to 0.98)	0.0212
Effect at 60 years	0.75 (0.60 to 0.93)	1.16 (0.90 to 1.50)	0.64 (0.49 to 0.85)	0.0018
Annual number of publications in high impact journals*				
Effect over the course of entire career				
Effect at 25 years**	1.00	1.00	-	-
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
Effect at 50 years	1257.00 (419.20 to 3768.00)	287.10 (101.70 to 810.60)	4.38 (2.49 to 7.70)	<.0001
Effect at 60 years	1150.00 (376.60 to 3511.00)	361.10 (125.20 to 1041.00)	3.18 (1.92 to 5.29)	<.0001
Change in each phase versus the start of the phase				
Initiation				
Effect at 25 years**	1.00	1.00	-	-
Effect at 30 years	118.80 (40.60 to 347.60)	68.20 (23.83 to 195.20)	1.74 (1.40 to 2.17)	<.0001
Effect at 35 years	479.40 (169.80 to 1353.00)	179.90 (66.83 to 484.10)	2.67 (1.82 to 3.91)	<.0001
Maturation				
Effect at 35 years**	1.00	1.00	-	-
Effect at 40 years	1.49 (1.35 to 1.64)	1.11 (1.00 to 1.22)	1.34 (1.20 to 1.50)	<.0001

Effect at 45 years	2.05 (1.75 to 2.39)	1.29 (1.10 to 1.52)	1.59 (1.32 to 1.90)	<.0001
Effect at 50 years	2.62 (2.15 to 3.20)	1.60 (1.30 to 1.96)	1.64 (1.32 to 2.04)	<.0001
Stabilisation				
Effect at 50 years**	1.00	1.00	-	-
Effect at 55 years	1.09 (1.00 to 1.18)	1.19 (1.09 to 1.30)	0.91 (0.82 to 1.01)	0.0774
Effect at 60 years	0.91 (0.75 to 1.12)	1.26 (1.00 to 1.59)	0.73 (0.56 to 0.94)	0.0157

*Effect of age based on quadratic splines (nodes at 30, 35 and 50 years) adjusted on position, discipline, and birth cohort.

**Reference category.

°Interpretation: The annual number of publications was multiplied by 102.20 at 35 years versus 25 years among full professors, and by 42.38 among non-academic physicians, meaning a 2.41 fold higher increase among professors versus physicians.

°°Interpretation: The annual number of publications was multiplied by 2.10 at 50 years versus 35 years among full professors and by 1.29 among non-academic physicians, meaning that the increase in annual number of publications (from 35 to 50 years) was multiplied by 1.62 for professors versus physicians.

Acknowledgments

Competing interests

All authors have completed the ICMJE uniform disclosure form at http://www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

Contributors

AD identified the research question, interpreted the results and wrote the manuscript. EH analysed the data and provided a first draft of the manuscript. SP produced the dataset to be analysed and provided a critical revision the manuscript. MM and OC highlighted some new insights on the results and provided a critical revision of the article. All authors gave a final approval to the article. AD is the guarantor of this work.

Ethics approval

The study was supported by the medical commission and research department of the host institution. Anonymous access and retrospective analysis of personal data was authorised by the national data protection commission (*Commission Nationale de l'Informatique et des Libertés*, CNIL; number 15-076), in accordance with the French legislation.

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1
2
3 This study received no specific financial support. Researchers had full intellectual
4
5 independency in the design and conduct of the study; collection, management, analysis, and
6
7 interpretation of the data; preparation, review, or approval of the manuscript; and decision to
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9 submit the manuscript for publication.
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11 12 13 14 15 **Data access, responsibility, and analysis**

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17 Authors had full access to all the data in the study and can take responsibility for the integrity
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19 of the data and the accuracy of the data analysis. AD and EH are responsible for the data
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21 analysis.
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24 25 26 27 **Transparency declaration**

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29 AD affirms that the manuscript is an honest, accurate, and transparent account of the study
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31 being reported; that no important aspects of the study have been omitted; and that any
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33 discrepancies from the study as planned (and, if relevant, registered) have been explained.
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40 41 42 **Data sharing**

43 Statistical code are available from the corresponding author at antoine.duclos@chu-lyon.fr.
44
45 No additional data are available.
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50 51 52 **Open Access**

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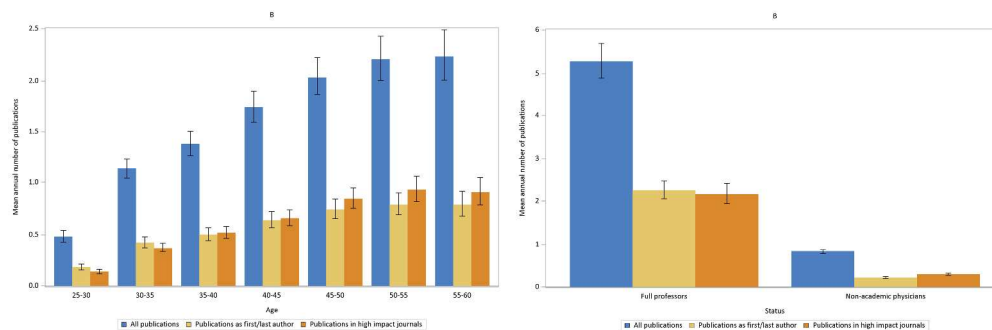


Figure 1 – Mean number of publications per year according to age (1a) and academic position (1b) of researcher

Figure 1
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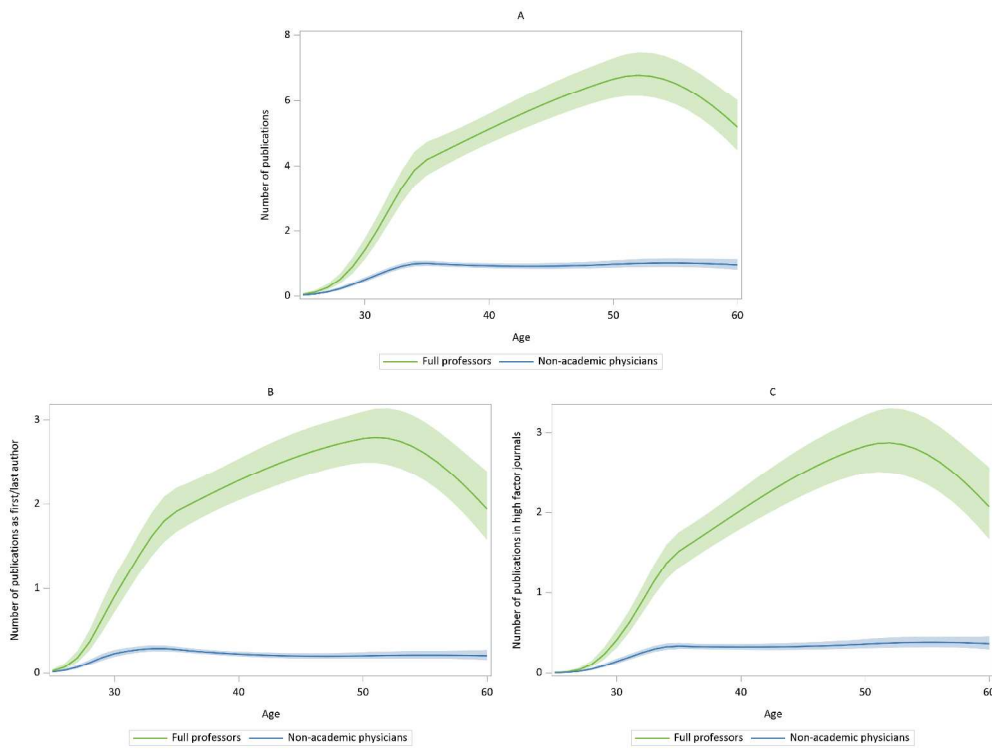


Figure 2 – Scientific production during career according to academic position of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Figure 2

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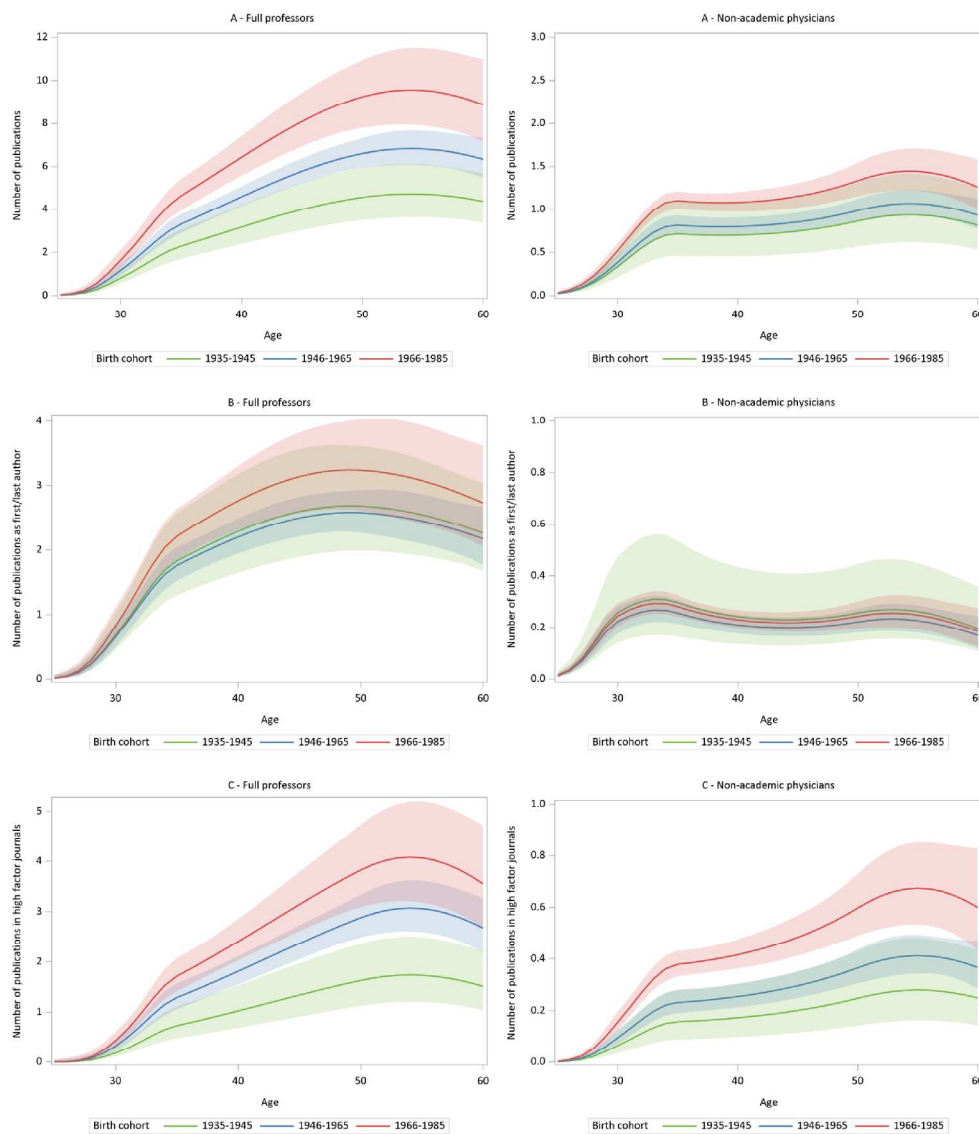


Figure 3 – Scientific production during career according to academic position and generation of researcher (a. Annual number of publications, b. Annual number of publications as first/last author, and c. Annual number of publications in high impact factor journals)

Figure 3
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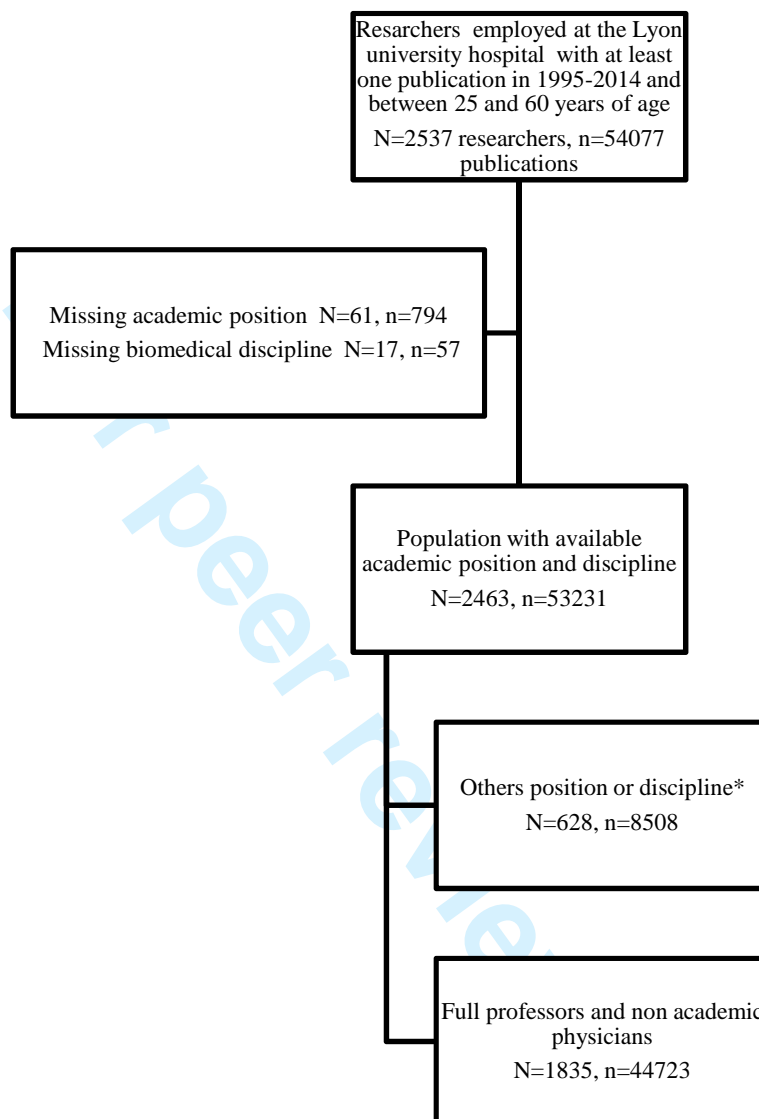
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eTable 1 - P-values in multivariable models for annual publications

	Association with number of annual publications		
	All	As first/last author	In high impact factor journals
Age			
<i>Linear effect</i>	<.0001	<.0001	<.0001
<i>Quadratic effect</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 30 years</i>	0.0609	<.0001	0.0334
<i>Spline on quadratic effect after 35 years</i>	<.0001	<.0001	<.0001
<i>Spline on quadratic effect after 50 years</i>	0.0083	0.0513	0.0503
Birth cohort	<.0001	0.1066	<.0001
Academic position			
<i>Overall effect on full professor</i>	0.0096	0.0218	0.0163
<i>Linear effect of age on full professor</i>	<.0001	<.0001	<.0001
<i>Quadratic effect of age on full professor</i>	<.0001	<.0001	<.0001
Discipline			
<i>Overall effect on each discipline</i>	0.0335	0.0573	<.0001
<i>Linear effect of age on each discipline</i>	0.0115	0.0491	0.0088
Academic position and discipline			
<i>Effect of academic position on each discipline</i>	0.0099	0.0012	0.0268

Interpretation: Significance level of determinants included in the three multivariate models (for the three outcomes), for example, birth cohort is significantly associated with the total number of annual publication ($p < 0.0001$) but not with the number of annual publications as first/last author ($p = 0.1066$).

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eFigure 1 – Flow chart

* 527 others position: 37 medical students, residents and fellows, 62 research staff, 272 assistant professors and 156 associate professors. 152 others discipline: 88 pharmacists, 43 dentists, and 21 psychiatrists. The categories for positions and disciplines were not mutually exclusives.

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Pages
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	p 1-2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	p 2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	p 5
Objectives	3	State specific objectives, including any prespecified hypotheses	p 5
Methods			
Study design	4	Present key elements of study design early in the paper	p 6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	p 6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	p 6, Flow chart in online supplements
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	p 7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	p 7
Bias	9	Describe any efforts to address potential sources of bias	p 11-13
Study size	10	Explain how the study size was arrived at	p 6-7, Flow chart in online supplements
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	p 8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	p 8
		(b) Describe any methods used to examine subgroups and interactions	p 8
		(c) Explain how missing data were addressed	p 7, Flow chart in online supplements
		(d) If applicable, explain how loss to follow-up was addressed	-
		(e) Describe any sensitivity analyses	p 7
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Flow chart in online supplements
		(b) Give reasons for non-participation at each stage	Flow chart in online

			supplements
		(c) Consider use of a flow diagram	Flow chart in online supplements
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	p 9, 18
		(b) Indicate number of participants with missing data for each variable of interest	Flow chart in online supplements
		(c) Summarise follow-up time (eg, average and total amount)	p 9
Outcome data	15*	Report numbers of outcome events or summary measures over time	p 9-10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	p 9-10
		(b) Report category boundaries when continuous variables were categorized	p 9-10
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	–
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	p 9-10
Discussion			
Key results	18	Summarise key results with reference to study objectives	p 11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	p 11-13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	p 13-14
Generalisability	21	Discuss the generalisability (external validity) of the study results	p 13-14
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	P 25-26

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.