Frailty measurement and outcomes in interventional studies: protocol for a systematic review of randomised control trials

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ABSTRACT

Introduction Frailty is associated with reduced functional capacity, decreased resistance to stressors and is predictive of a range of adverse health outcomes, including dependency, hospitalisation and mortality. Early identification of frailty may prevent, reduce and postpone adverse health outcomes. However, there is a need for additional evidence to guide decision-making for the care of frail patients since frail persons are frequently excluded from studies, the differential impact of frailty is often not examined in clinical trials and few large-scale clinical trials examining frail cohorts have been conducted. Randomised control trials (RCTs) published to date have used a diverse range of definitions of frailty, as well as a variety of outcome measures. The objective of this systematic review is to comprehensively characterise the frail populations enrolled and the end points reported in frailty RCTs.

Methods and analysis We will identify all RCTs reporting on the outcome of interventions in adult (age ≥18 years) frail populations as defined by authors, in all settings of care. Databases will include MEDLINE, CINAHL, EMBASE, PsycINFO, Global Health, the Joanna Briggs database and Cochrane Library. Two reviewers will independently determine trial eligibility. For each included trial, we will conduct duplicate independent data extraction, inter-rater reliability, risk of bias assessment and evaluation of the quality of the evidence using the Grading of Recommendations, Assessment, Development and Evaluations approach.

Ethics and dissemination This systematic review will comprehensively identify RCTs including frail patients to identify how frailty is measured and which outcomes are reported. The results of this systematic review may inform clinicians caring for persons with frailty, facilitate conduct of future RCTs and inform future efforts to develop common data elements and core outcomes for frailty studies. Our findings will be disseminated through conference presentation and publication in peer-reviewed journals.

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INTRODUCTION

Frailty is a state of increased vulnerability, associated with loss of functional capacity and reduced ability to cope with normal or disease-based stressors.1 2 As a multidimensional concept, frailty is associated with a range of adverse health outcomes, including dependency, hospitalisation and mortality.3 4 From a healthcare perspective, the early identification of frailty is important as this may prevent, reduce or postpone adverse health outcomes for adults living with frailty. Based on an European cohort study and two systematic reviews involving community-dwelling older adults, the reported prevalence of frailty ranges from 10% to 19%.5 6 However, prevalence rates vary based on the populations studied, survey methodology employed and the instruments used to measure frailty.7 8 Even in populations which are often thought of as being mostly frail such as long-term care homes, the prevalence as well as the severity of frailty may vary widely.9 Overall
frailty is common, but there is an increasing need for standardised approaches for the detection and measurement of frailty.

In contrast to the high prevalence of frailty described in many populations, there is a lack of high-quality evidence to guide decision-making for the care of frail patients. Clinical trials that enrol older adults rarely consider the impact of frailty, as patients living with frailty and/or those with significant comorbidities are often excluded from trials. Further, there have been few large-scale clinical trials specifically including frail patients. As a result, current treatments and practice guidelines are based on evidence that may not be applicable to frail patients. Treatments that are effective in non-frail patients are often applied to those with frailty, but they may not be effective and result in harm or wasted resources. As an example, in patients with advanced frailty nearing end of life, invasive and expensive technologies are often aggressively used without improvement in outcomes and worsen quality of life (QoL). Conversely, treatments ineffective in fit patients may be effective in those who are frail. To guide evidence-based practice, there is a need for data that are interpretable, generalisable and applicable to the patients living with frailty encountered by clinicians and decision-makers in practice.

Although there has been an exponential increase in frailty publications and a growing interest in the field, most publications have used observational designs. Furthermore, randomised controlled trials (RCTs) enrolling patients living with frailty have reported a diverse range of definitions of frailty, as well as a variety of outcome measures. Importantly, these studies rarely report on patient-centred outcomes, such as QoL. In addition, current studies infrequently determine the degree or severity of frailty. This is problematic, as the severity of frailty correlates with worsened outcomes including increased mortality and institutionalisation. Variability in inclusion criteria and outcome measures in frailty interventional trials creates a challenge for their interpretation and generalisation, confounding the utilisation of these studies in clinical decision-making. To guide the conduct of future interventional studies of frailty and increase their ability to guide practice, it is necessary to establish a set of common data elements and standardisation of reported outcomes.

The objectives for this systematic review are: (1) to report on the characterisation of frail populations enrolled in frailty RCTs including the measurement of frailty and (2) to describe the outcomes reported in RCTs addressing frailty.

METHODS AND ANALYSIS

This systematic review will be conducted according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) criteria. The review protocol will be reported in accordance with the PRISMA-Protocol (PRISMA-P) and has been registered in PROSPERO on CRD42017065233. Any amendments to this protocol will be updated on PROSPERO and documented accordingly.

Criteria for selecting trials for this review

Types of trials

We will include RCTs of any design (both individual randomisation and cluster randomisation) studying the impact of a patient-level or system-level intervention in a population living with frailty. Only the primary report of interventional trials will be included. Language restrictions will not be imposed and non-English manuscripts will be translated.

We will exclude non-randomised studies, pilot trials that only report feasibility criteria, abstracts, non-peer-reviewed publications and grey literature. We will also exclude planned or ongoing trials found in clinical trial registries and publications reporting on secondary analyses of data. Narrative and systematic reviews will be excluded, but references will be reviewed for possible trials meeting inclusion criteria.

Types of participants

RCTs meeting inclusion criteria must study the impact of an intervention in adults (≥18 years of age) living with frailty as determined by the research question, hypothesis, objectives and/or study inclusion criteria. Any characterisation of frailty as defined by the investigators in each trial will be eligible. Studies that do not include or aim to target a frail population will be excluded. We will exclude studies in which the study population has a high prevalence of frailty but whose objective is not to explicitly study the impact of an intervention on frail patients. We will exclude studies targeting prefrail patients due to the variability as to how this is defined and will exclude publications who study the effect of an intervention on caregivers.

Types of interventions

This review will include all types of interventions in all settings of care. Trials that study both patient-level and system-level interventions will be included. Exercise/ nutrition related interventions, programmes of care, pharmaceutical interventions, home visit interventions, remote healthcare support, community programmes, and self-management programmes are all examples of interventions that may be included for this review.

Types of outcome measures

Trials that report any outcome will be included; both the primary and secondary outcomes of each trial as categorised by the authors will be abstracted. We will...
abstract both the types of outcomes reported and the impact of the intervention on the outcome. Examples include frailty status, physical function, health service utilisation, muscular strength, change in activities of daily living, QoL, number of falls, bone density, mortality, hospital length of stay and measures of depression.

Subgroup analyses
We will report on the following subgroups of studies: study quality (low, high), study setting (acute care, long-term care or residential care, primary care), type of frailty measurement used on enrolment (no measurement of frailty, type of validated measurement scale), type of outcome, date of publication (before and including 2010, after 2010) and surgical versus non-surgical populations.

Search methods for identification of trials
The literature search will be conducted using a two-step strategy designed to maximise retrieval (online supplementary appendix 1). An initial search of MEDLINE will be performed using the Ovid platform. Results from this search will be reviewed by the lead researcher and team for recall. Revisions will be made as necessary, prior to expanding the search. We will search: MEDLINE (1946–present), EMBASE (1947–present), PsycInfo (1806–present), Global Health and the Joanna Briggs database (1973–present) (all on the Ovid platform), CINAHL (ebSCO platform) and the Cochrane Library (Wiley platform).

Trial records
After identification of potentially relevant trials, all titles and abstracts will be screened independently by reviewers for relevance using the eligibility criteria listed above. This will be conducted in duplicate by the combination of six reviewers using an electronic systematic review software (Covidence.org).24 Titles can be marked as yes/no/maybe. Any titles and abstracts with the combination of ‘yes/yes’, and ‘yes/maybe’ will be advanced for full-text review. Titles and abstracts with the combination of ‘yes/no’ and ‘no/maybe’ will be identified as a conflict and resolved by the lead author (JM).

Data collection
Data will be extracted independently by reviewers, using a data abstraction form (online supplementary appendix 2) that has been developed and piloted on 10 randomly selected included studies. The data abstraction form will be reviewed for any discrepancies, which will secondarily serve as a calibration exercise. The form will be refined accordingly prior to proceeding with data abstraction. The data that will be abstracted will include title, first author, year of publication, study design, number of centres, definition of frailty, relevant baseline patient data, intervention and comparator, setting of care, primary outcome (definition and results), secondary outcomes (definitions and results), duration of follow-up and QoL data. Disagreements will be settled by consensus and adjudication by the lead author (JM). Inter-rater reliability between reviewers will be established using a k score.

Assessment of risk bias
Trial methodology will be evaluated using a modified Cochrane Collaboration tool for assessing risk of bias.25 26 The primary outcome for each included RCT will receive a description and judgement of ‘low risk’, ‘unclear risk’ and ‘high risk’. Two reviewers will independently perform the risk of bias assessment, with disagreements resolved by discussion. We will consider the risk of bias as ‘high’ when bias is both present and likely to affect outcomes, and ‘low’ when the bias is not present, or present but unlikely to affect outcomes.

Summarising data and treatment effect
The outcome data gathered will be presented in tables, as well as with an accompanying narrative analysis of these data where applicable. In addition, a meta-analysis of primary and secondary outcomes will be conducted where possible. Binary outcomes will be analysed using Mantel-Haenszel statistical methods, random effects models and reported as risk ratios with 95% CI. Continuous outcomes will be pooled using the inverse variance method and random effects model to determine treatment effect and reported as the mean differences with 95% CI. Meta-analyses will be presented as forest plots, where applicable. Primary authors will not be contacted regarding missing or clarification of data. All analyses will be performed using RevMan software V.5.3 (Review Manager, the Nordic Cochrane Centre, the Cochrane Collaboration 2014, Copenhagen, Denmark).

Assessment of heterogeneity
Heterogeneity will be determined for each outcome using the \( \chi^2 \) test and intraclass correlation (I^2).

Assessment of reporting bias
We will investigate the possibility of publication bias using a funnel plot, provided there are at least 10 included studies.27 Risk of bias will be assessed by visual inspection of a funnel plot constructed by plotting effect size versus SE.

Assessment of confidence in estimates of effect
We will assess the quality of evidence for the interventions, examining each outcome using the Grading of Recommendations, Assessment, Development and Evaluations approach and rating system.28 RCTs will start as high-quality evidence but may be rated down by one of the following: risk of bias, imprecision, inconsistency, indirectness and publication bias. Quality of evidence will be assessed by two independent reviewers. Disagreements will be resolved by consensus.
DISCUSSION

Frailty describes a clinical state of increased vulnerability to external stressors and decreased functional capacity. Frailty is quantifiable and higher severity of frailty is associated with increased mortality, morbidity and institutionalisation. There have been increasing efforts to study interventions aimed at improving outcomes for patients living with frailty with randomised controlled studies. However, these studies have used various frailty measurement tools and have reported on a wide range of outcome measures. These inconsistencies limit our ability to translate results of intervention-based RCTs into clinical practice. This systematic review will employ rigorous methodology to summarise the existing data on interventions for patients living with frailty. Our main objective is to describe the characterisation and measurement of frailty along with the outcomes and end points used in the interventional trials reported to date. Further, this review will enhance our understanding of described interventions for this growing and vulnerable patient population.

A limitation of this study is the inclusion of studies over a wide span of time and the evolution in our understanding of frailty over that time. In spite of this limitation, it is important to understand trial evidence in its entirety, therefore we have included all published frailty trials. We will mitigate this limitation by also reporting on a subgroup of more recent trials published after 2010. In addition, due to the large scope of this review, we have not included secondary publications of trials which may result in the under-reporting of some outcomes including economic analyses which are commonly not described in primary publications.

In conclusion, this review will serve to guide future initiatives aimed at developing standardised core data elements, data dictionaries and outcomes in interventional frailty studies. Ultimately this will facilitate the analysis, interpretation, generalisability and aggregation of future frailty RCTs and hence their ability to guide clinical practice and care for populations living with frailty.

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Contributors JM conceived the idea for this systematic review. JM, MS and DM developed the methodology for the systematic review protocol. The manuscript was drafted by MS and revised by DM, BW, MJ, JGB and JM. JM and DM developed the search strategy. MS, DM, BW, MJ, JGB and JM will screen potential studies and MS and DM will perform full text review. Conflicts will be resolved by JM. MS, DM, BW, MJ, JGB and JM will screen potential studies and MS drafted by MS and revised by DM, BW, MJ, JGB and JM. JM and DM developed the search strategy. MS, DM, BW, MJ, JGB and JM will screen potential studies and MS drafted by MS and revised by DM, BW, MJ, JGB and JM. JM and DM developed the search strategy.

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Competing interests JM is the Scientific Director for the Canadian Frailty Network.

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REFERENCES


