BMJ Open Systematic evidence review of rates and burden of harm of intravenous admixture drug preparation errors in healthcare settings

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ABSTRACT

Objective To examine published evidence on intravenous admixture preparation errors (IAPes) in healthcare settings.

Methods Searches were conducted in three electronic databases (January 2005 to April 2017). Publications reporting rates of IAPes and error types were reviewed and categorised into the following groups: component errors, dose/calculation errors, aseptic technique errors and composite errors. The methodological rigour of each study was assessed using the Hawker method.

Results Of the 34 articles that met inclusion criteria, 28 reported the site of IAPes: central pharmacies (n=8), nursing wards (n=14), both settings (n=4) and other sites (n=3). Using the Hawker criteria, 14% of the articles were of good quality, 74% were of fair quality and 12% were of poor quality. Error types and reported rates varied substantially, including wrong drug (~0% to 4.7%), wrong diluent solution (0% to 49.0%), wrong label (0% to 99.0%), wrong dose (0% to 32.6%), wrong concentration (0.3% to 88.6%), wrong diluent volume (0.06% to 49.0%) and inadequate aseptic technique (0% to 92.7%). Four studies directly compared incidence by preparation site and/or method, finding error incidence to be lower for doses prepared within a central pharmacy versus the nursing ward and lower for automated preparation versus manual preparation. Although eight studies (24%) reported ≥1 errors with the potential to cause patient harm, no study directly linked IAPe occurrences to specific adverse patient outcomes.

Conclusions The available data suggest a need to continue to optimise the intravenous preparation process, focus on improving preparation workflow, design and implement preventive strategies, train staff on optimal admixture protocols and implement standardisation. Future research should focus on the development of consistent error subtype definitions, standardised reporting methodology and reliable, reproducible methods to track and link risk factors with the burden of harm associated with these errors.

INTRODUCTION

Errors in medication preparation and administration can lead to patient harm.^{1–3} For example, many preventable adverse events with respect to medication have been linked to errors in dosing (eg, patients receiving

Strengths and limitations of this study

- To the authors' knowledge, this is the first systematic review conducted that attempts to categorise intravenous admixture preparation errors (IAPes) according to both the characteristics of the error and the location and method of intravenous preparation.
- Although IAPe is a safety concern, its frequency, subtypes and associated burden of harm are not well understood; thus, the current review presented a thoughtful and valid framework to assess IAPes within their procedural context.
- This review attempted to include all articles published in English between January 2005 and April 2017 that reported on IAPes in which healthcare professionals prepared ≥1 dose of intravenous administered therapy.
- This review is limited by the number of studies identified that reported data on the frequency and/or burden of harm of IAPes.

higher or lower amounts of medication than intended).^{2–4} The medication use cycle for an intravenous medication involves multiple steps prior to administration, including prescribing and transcription (paper-based orders), in addition to a number of admixture preparation and labelling steps (figure 1).

An intravenous admixture preparation error (IAPe) can be considered as any deviation from the specifications involved in the admixture preparation and labelling process. An IAPe is a form of medication error—in other words, a preventable adverse event resulting from inappropriate medication preparation, administration or use that can lead to patient harm, including death, while the medication is in the control of the healthcare professional, patient or consumer.^{5–6}

IAPes can be introduced at multiple points during admixture preparation and labelling. These steps can occur on site at a nursing ward or in a central or satellite pharmacy. Intravenous medication doses are typically

Figure 1 Intravenous medication use cycle.

prepared (1) manually by nurses, either at the bedside or in a ward-based preparation room, (2) manually by pharmacists and pharmacy technicians in a central or satellite clean room under a laminar-airflow hood or (3) through the use of pharmacy automation technology, which can be partially or fully automated and may be located in clean rooms or clean compartments within the machine. US data suggest increasing use of automated technologies aimed at reducing IAPes, for technologies ranging from robotic chemotherapy compounding devices (0.3% of hospitals) to barcode verification (20% of hospitals), with higher levels of adoption predominantly within larger hospitals.⁷ Delivery of the correct dose of an intravenous admixture to a patient depends on the careful control of many factors, such as the calculation of a patient-specific dose (eg, based on body weight or organ function), oversight of procedures used for admixture preparation and labelling practices.^{4 8} While research suggests that the highest medication-error rates can be attributed to the prescribing and administration phases of the medication use cycle,^{9–11} studies focused on medication preparation practices suggest that the intravenous admixture preparation and labelling phase pose a significant potential for errors.^{9 12–15} It is unknown what proportion of IAPes are unreported.

In addition to measuring the incidence of IAPes, it is also important to understand their impact in terms of burden of harm. Two examples of existing frameworks for categorising patient harm resulting from medication errors are The Institute for Safe Medication Practices (ISMP) high-alert medication lists and The National Coordinating Council for Medication Error Reporting and Prevention (NCC MERP) Medication Error Index. ISMP publishes information and educational resources for healthcare providers on preventing medication errors and tracks voluntary medication errors reports. Based on these voluntary error reports, ISMP maintains lists of high-alert medications in outpatient and inpatient settings that have the potential for increased risk of patient harm if used in error.¹⁶ The NCC MERP

Medication Error Index groups medication errors into nine possible categories, ranging from non-errors (situations in which errors may occur) to errors resulting in patient death.¹⁷ These categories also include near-miss (near-hit) situations in which an error occurred but did not reach the patient or cause harm. ISMP uses the NCC MERP Medication Error Index in its medication error database.

Much of the prior published research focusing on the prescription or administration of intravenous therapies has failed to describe or distinguish between errors that arise as a result of the admixture preparation process versus errors associated with incorrect prescribing or administration.^{18–21} With this systematic review, our objective is to identify the incidence of IAPes (overall and by subtype) reported across institutional healthcare settings and to understand the frequency of error subtypes and associated burden of patient harm attributable to IAPes as reported in the published literature.

METHODS

Identification of literature and data sources

For the purposes of this review, an IAPE was defined as an error or deviation at any step within the admixture preparation process where the drug container was physically handled or manipulated by a healthcare professional. A broad search strategy was developed to identify all studies (published from January 2005 to April 2017) that mention any type of IAPE in an institutional healthcare setting, which included reports relating to wrong drug, wrong diluent solution, wrong label, wrong dose, wrong concentration, wrong diluent volume and inadequate aseptic technique. Dose omission errors were considered to be errors related to administration rather than preparation and, thus, were not included as a focus in this study. Near-miss and actual errors (those that did reach patients) were both included. The review was structured based on the PICOS (patients, intervention, comparator, outcomes and study design) search strategy (table 1).

Table 1 PICOS search strategy

Patient/Problem	Incorrect preparation of intravenous admixtures within an institutional healthcare setting (acute or long-term care) by a licensed healthcare professional (nursing and/or pharmacy staff and/or physician) team member
Intervention	Preparation of an intravenous admixture
Comparison	Automated versus manual preparation methods (studies were not required to demonstrate both) Central pharmacy versus on-unit (on the nursing ward) preparation location (studies were not required to demonstrate both)
Outcome	Incorrectly prepared or labelled intravenous admixture, which may or may not have reached a patient: <ul style="list-style-type: none"> ▶ Wrong drug or diluent ▶ Wrong dose, concentration or volume ▶ Wrong, inaccurate or omitted label ▶ Contaminated admixture or failure to follow hygiene or sterility protocols ▶ A combination of the above
Study types	Inclusion criteria: Observational studies for which numerator (number of doses impacted or number of errors) and denominator (number of eligible doses or opportunities for error) are discernible Exclusion criteria: Studies in which isolated contamination volumes are reported but for which total batch size is unknown fail to qualify for consideration Error report logs for which number of errors is known but associated number of prepared doses is not also fail to qualify

PICOS, patients, intervention, comparator, outcomes and study design.

Systematic review process

Three electronic databases were searched for relevant literature reporting on IAPE: Ovid MEDLINE, EMBASE and International Pharmaceutical Abstracts. The initial search was conducted on 6 February 2014, with supplementation on 4 September 2015 and 26 April 2017 to include articles published during the interim. Aggregate results include articles published in English between January 2005 and April 2017 that involved studies in human subjects in which a healthcare professional prepared ≥ 1 doses of intravenous administered therapy (medication, including total parenteral nutrition). This date range was selected to include a sufficiently long period to capture the studies of interest, while remaining relevant to current practice in terms of technology and guidelines. Key search terms and limits used in the systematic review are shown in online supplementary table S1. Screenings for relevant literature citations that appeared in the publications were made during the review process to identify any pertinent, additional publications up to April 2017. For this systematic review, references had to meet the inclusion/exclusion criteria detailed in the next section. Duplicate articles were removed electronically prior to manual review. Titles of the papers and abstracts captured in the electronic search results were screened by two reviewers for relevancy according to prespecified criteria. If the titles did not provide sufficient information for screening, the abstract or full-text articles were then reviewed to discern whether the publication met inclusion criteria. All publications that met entry criteria for the review were obtained as full-text articles and then reassessed by the reviewers against the review criteria. The review process was fully compliant with the 2009

Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines.²²

Inclusion and exclusion criteria

Publications reporting on a randomised, controlled trial, prospective cohort study, observational quality audit, descriptive study, quasi-experimental study or quality-improvement study were selected for inclusion. Quasi-experimental studies, quality-improvement studies and descriptive studies were eligible if they included sufficient data on the number of doses prepared. While systematic reviews reporting on these study types were not included, their respective reference lists were reviewed to identify potentially relevant studies. Publications were not limited to a single geographic or physical study location and may have occurred in the hospital or any other institutional or outpatient healthcare setting associated with a hospital.

Publications and studies were included for review if they either reported incidence of IAPE or provided sufficient detail for incidence calculation. These errors included incorrectly dispensed medication as well as near-misses that were caught by the study observer prior to administration. Errors also had to originate with a healthcare professional (eg, nurse or pharmacist). Studies reporting patient or informal caregiver medication errors were not included. To be included, studies were required to report original data on IAPes, including a denominator, to allow for incidence calculations.

Articles that described only errors in prescribing, transcription, administration and monitoring were not included. In addition to all articles that failed

to meet the aforementioned inclusion criteria, the following article types were also excluded: conference abstracts, case reports, simulations and survey findings.

Data extraction

The data extracted from relevant articles for analysis included year of publication, country of origin, study period, patient population, definition of error, intravenous preparation location (eg, central or satellite pharmacy or nursing ward), care setting (eg, critical care, general nursing ward), type of therapy, method of error detection and error incidence. Data were extracted and scored independently by two separate reviewers, with introduction of a third reviewer in the case of scoring discrepancies, with all differences being resolved by consensus. Each review team included ≥ 1 pharmacist for professional knowledge and understanding of drug preparation. The methodological rigour of each study was critically appraised and scored using the Hawker method.²³ This appraisal tool is simple and particularly adaptable to literature reviews encompassing varied research methodologies.²⁴ It employs nine criteria to evaluate for each study: (1) abstract and title; (2) introduction and aims; (3) method and data; (4) sampling; (5) data analysis; (6) ethics and bias; (7) results; (8) transferability or generalisability and (9) implications and usefulness. For each criterion, studies were scored as: good (score 4), fair (score 3), poor (score 2) or very poor (score 1). A mean score was then calculated for each study across all nine criteria, and the overall quality of each study was likewise scored from good to very poor.

For the purposes of this review, IAPes were grouped into one of four categories based on the characteristics of the error and the location and method of intravenous preparation. Component errors were defined as all those that result from selecting an incorrect ingredient (ie, wrong drug or wrong diluent solution) or applying an incorrect, incomplete or inaccurate label (ie, wrong label) to the admixture. Dose/calculation errors were defined as those involving the use of an incorrect calculation to determine dose and/or diluent amount or the use of a diluent volume not in accordance with the package insert (ie, wrong dose, wrong concentration and wrong diluent volume). Aseptic technique errors involved a breakdown in the process designed to minimise the potential for antimicrobial contamination (ie, inadequate aseptic technique, bacterial contamination, failure to disinfect phial and improper hand hygiene). The category of composite errors was used to describe IAPe rates reported in aggregate, in which the researchers reported an overall rate that included multiple IAPe subtypes. Composite errors included cases in which >1 error or type of error was observed in a single preparation.

This study was registered with the PROSPERO international database of systematic reviews (CRD42014010418) to comply with PRISMA guidelines.

RESULTS

Electronic database searches yielded 2018 English language publications for review. Additional sources (hand searches of publication reference lists) identified another three publications for evaluation. After removing duplicates and screening for inclusion and exclusion criteria, 34 articles were included in the final synthesis (figure 2).^{3 25–57} Of the 34 articles, five (15%) were rated good quality,^{30 34 38 45 46} 24 (71%) were fair quality,^{3 25–29 31–33 36 37 39–41 43 44 48 51–57} and four (12%) were poor quality^{35 42 49 50} after assessment using the Hawker method. The quality of one study (3%) could not be fully scored due to a missing data table in the available publication.⁴⁷ Details of the Hawker analysis for each study are shown in online supplementary table S2.

Study characteristics

A summary of the study characteristics, in terms of the setting and methodology, described in the 34 publications is presented in table 2. Collectively, the publications reported international data, with studies spanning Africa, North America, South America, Europe, the Eastern Mediterranean region and the Western Pacific region. Patient populations varied across studies, with both adults and children represented. Studies were conducted mainly in general inpatient or critical care settings, with several in paediatric or haematology units. The majority of publications (21 (62%)) assessed errors in >1 type of intravenous therapy. Additional individual details for each study are shown in online supplementary table S3.

The most common method of detecting errors was direct observation, used in 17 studies (50%),^{3 25 26 28 31–33 37 39 41 44–48 52 55} and one study used direct observation and analysis of final intravenous admixture concentration.⁵³ Other methods included analysis of final concentration in five studies (15%),^{27 30 36 38 51} bacterial culture in four studies (12%),^{29 34 49 57} cross-checking in three studies (9%),^{35 42 43} incident reports in three studies (9%)^{40 54 56} and chart review in one study.⁵⁰ In several studies using the direct observation method, nurses or pharmacists preparing the intravenous admixtures consented to participate but were not fully aware of the study aims to avoid influencing their behaviour.^{18 39 45} Eight studies (24%) reported on the accuracy of intravenous preparation before and after an intervention,^{35 36 41–43 45 46 52} five studies (15%) compared intravenous admixture preparation locations or methods,^{30 36 41 42 49} and the remaining 21 publications (62%) were single-arm studies.^{3 25–29 31–34 37–40 44 47 48 50 55–57}

A total of 28 studies reported the intravenous preparation site. Of those studies, 14 publications (38%) reported preparation on the nursing ward^{3 25 26 28 31–34 37 43 47 48 52 55} and 8 (24%) reported use of central pharmacies.^{27 29 35 36 40 41 44 54} Three studies (12%) compared rates of IAPes in the nursing ward and a central pharmacy^{30 49 51} and one compared IAPes in the

Figure 2 PRISMA study inclusion flow diagram. PRISMA, preferred reporting items for systematic reviews and meta-analysis.

nursing ward and operating theatre.⁵³ Last, two studies reported intravenous preparation at offsite pharmacies⁵⁶ and in the obstetric theatre,⁵⁷ respectively.

While IAPes were not consistently linked with individual patient outcomes in the studies surveyed, nearly half of the studies attempted to assess the potential for patient impact in some way. Twelve (35%) of the publications included in this review reported on the severity of harm or potential for harm arising from identified IAPes (see online supplementary table S3),^{3 26 29 31 32 39–41 44–46 48} eight (67%) of which reported ≥ 1 errors to result in various degrees of harm^{3 26 40 41 44–46 48} and four (33%) having reported no errors to have resulted in adverse outcomes or to have presented a major patient risk.^{29 31 32 39}

Of the 12 studies that reported on burden of harm, three (25%) used the NCC MERP medication error index¹⁷ to score identified errors,^{31 39 40} while six studies (50%) relied on clinician assessment or an expert panel for the determination of error severity.^{3 26 41 44–46} Among the six studies that used clinician assessment or an expert panel, two of the study teams (Niemann *et al*⁴⁶ and Nguyen *et al*⁴⁵) assessed errors based on clinical relevance rather than assigning a score based on patient harm or potential for harm. The remaining three studies each took a different approach to estimating patient harm.^{29 32 48} Ding and colleagues⁴⁸ were the only authors to record whether the error was associated with a drug found on the ISMP

list of high-alert medications. Crill and colleagues²⁹ did not have a system for rating error severity but did note that no contamination errors resulted in patient infections. Last, the 2008 study by Fahimi and colleagues³² did not describe a specific system for rating error severity, but noted that none of the errors identified resulted in adverse events or major risks to patients. Further detail on how each study assessed patient burden of harm is shown in online supplementary table S4.

Categorisation and incidence of IAPes

Errors identified in the selected studies were grouped into four broad categories: component errors, dose/calculation errors, aseptic technique errors and composite errors, as detailed in the Methods section. Errors of the same subtype were frequently defined slightly differently among studies; full descriptions of the error subtype definitions are shown in online supplementary table S5. Incidence values for error subtypes are presented in table 3.

The error subtype of wrong drug selection was infrequent,^{3 25 28 31 37 41 44 45 54 55} with the highest reported rate of 4.7% of total doses.³¹ Selection of a wrong diluent solution was reported to have occurred in 9 of 34 publications (26%), with results varying across studies (~0% to 49.0%).^{3 28 32 37 39 44 46 53 54} Of note, the multicentre, multinational study by Cousins *et al*²⁸ reported that 1.0%–49.0% of doses administered had been prepared with an

Table 2 Summary of study characteristics

Study setting characteristics		Study methodology characteristics		Intravenous admixture preparation characteristics	
	n (%)		n (%)		n (%)
Geographical region		Study design		Location of intravenous admixture preparation	
Europe	13 (38)	Single arm		Nursing ward	21 (62)
Americas	10 (29)	Interventional		Central pharmacy	8 (24)
Western Pacific	6 (18)	Comparative		Not specified	5 (15)
Eastern Mediterranean	4 (12)			Nursing ward and central pharmacy	4 (12)
Africa	1 (3)	Observational technique		Nursing ward and operating theatre	1 (3)
		Direct observation		Offsite pharmacy	17 (50)
Number of sites		Analysis of final concentration		Obstetric theatre	5 (15)
Single-centre	28 (82)	Bacterial culture			4 (12)
Two centres	3 (9)	Cross-checking		Method of intravenous admixture preparation	
Three or more centres	3 (9)	Incident report		Manual	3 (9)
		Chart review		Automated	1 (3)
Patient population		Direct observation and analysis of final concentration		Manual versus automated	1 (3)
Not specified	15 (44)			Not specified	3 (9)
Paediatric patients	10 (29)				
Adult patients	6 (18)	Measurement of patient impact		Types of intravenous therapies	
Adult and paediatric patients	3 (9)	Not measured		Multiple	22 (65)
		Clinician assessment or expert panel		Chemotherapy	6 (18)
Care setting		NCC MERP medication error index		Parenteral nutrition or intravenous lipid emulsion	3 (9)
Critical care*	9 (26)	Other		Antibiotic	2 (6)
General inpatient wards	8 (24)	ISMP high-alert medication		Morphine	1 (3)
Paediatric units	7 (20)			Phenylephrine	1 (3)
Oncology and/or haematology†	6 (18)				
General inpatient and critical care	3 (9)				
Obstetrics	1 (3)				

*Includes intensive care, neonatal intensive care, postsurgical and neurological critical care.

†Inpatient and/or outpatient.

ISMP, Institute for Safe Medication Practices; NCC MERP, National Coordination Council for Medication Error Reporting.

Table 3 Summary of reported IAPE incidence by error subtype

Reference	Error incidence calculation	Component errors				Dose/calculation errors				Aseptic technique errors			Composite errors
		Wrong drug	Wrong diluent solution	Wrong label	Wrong dose	Wrong concentration	Wrong diluent volume	General inadequate aseptic technique	Bacterial contamination	Failure to disinfect phial	Improper hand hygiene		
Anselmi <i>et al</i> ²⁵	Numerator: errors (including near-misses)	Site 1: 0/804			Site 1: 8/804								Across all sites: 118/1391
	Denominator: doses prepared	Site 2: 0/100			Site 2: 2/100								
	Incidence:	Site 3: 1/487			Site 3: 36/487								
Aruna <i>et al</i> ⁵⁰	Numerator: errors	0.00%–0.20%			0.90%–7.40%								8.48%
	Denominator: cases												
	Incidence:												
Bertsche <i>et al</i> ²⁶	Numerator: events												218/315
	Denominator: drug-handling processes												
	Incidence:												
Campino <i>et al</i> ⁵¹	Numerator: errors												NICUs: 243/444 Central pharmacy: 23/60 38.33%–54.73%
	Denominator: doses prepared												
	Incidence:												
Castagne <i>et al</i> ²⁷	Numerator: errors (102 near-misses; 544 errors).												646/7382 8.80%
	Denominator: doses prepared												
	Incidence:												
Cousins <i>et al</i> ²⁸	Numerator: errors (not including near-misses)	UK: 0/273	UK: 2/273	UK: 118/273	UK: 1/273								13/798 total UK: 295/299 GER: 245/425 FR: 4/100 UK: 299/299 GER: 403/425 FR: 9/100 4.00%–99.00% 9.00%–100%
	Denominator: doses prepared	GER: 0/425	GER: 208/425	GER: 421/425	GER: 7/425								
	Incidence:	FR: 0/100	FR: 18/100	FR: 20/100	FR: 5/100								
Crill <i>et al</i> ^{29*}	Numerator: positive bacterial cultures	0.00%–0.00%	1.00%–49.00%	20.00%–99.00%	1.00%–5.00%								3/90 3/90 3.30% 3.30%
	Denominator: syringes prepared												
	Incidence:												

Table 3 Continued

Reference	Error incidence calculation	Component errors				Dose/calculation errors			Aseptic technique errors			Composite errors
		Wrong drug	Wrong diluent solution	Wrong label	Wrong dose	Wrong concentration	Wrong diluent volume	General inadequate aseptic technique	Bacterial contamination	Failure to disinfect phial	Improper hand hygiene	Any admixture or labelling error
Dehmel <i>et al</i> ^{30,†}	Numerator: errors											
	Denominator: doses prepared											
	Incidence:											
Dehmel <i>et al</i> ^{30,†}	Numerator: errors											
	Denominator: doses prepared											
	Incidence:											
Ding <i>et al</i> ^{48,§}	Numerator: errors											
	Denominator: TOE (ordered and unordered doses)											
	Incidence:											
Fahimi <i>et al</i> ³¹	Numerator: errors (including near-misses)	2/43		4/43	14/43							
	Denominator: doses administered											
	Incidence:	4.65%		9.30%	32.60%							
Fahimi <i>et al</i> ^{32,¶}	Numerator: errors (including near-misses)		49/524		38/524							
	Denominator: doses prepared											
	Incidence:		9.35%		7.25%							
Helder <i>et al</i> ⁵²	Numerator: errors											
	Denominator: doses prepared											
	Incidence:											
Hoefel and Lautert ³³	Numerator: errors				14/99							
	Denominator: doses administered											
	Incidence:				14.10%							
Khalili <i>et al</i> ⁴⁹	Numerator: positive bacterial cultures											
	Denominator: doses prepared											
	Incidence:											

Continued

Table 3 Continued

Reference	Error incidence calculation	Component errors				Dose/calculation errors			Aseptic technique errors			Composite errors	
		Wrong drug	Wrong diluent solution	Wrong label	Wrong dose	Wrong concentration	Wrong diluent volume	General inadequate aseptic technique	Bacterial contamination	Failure to disinfect phial	Improper hand hygiene	Any admixture or labelling error	
Rashed <i>et al</i> ⁶³	Numerator: errors (including near misses)		Theatre: 0/98 Nursing ward: 1/55			Theatre: 31/35 Nursing ward: 17/43		Theatre: 25/98 Nursing ward: 1/55		Theatre: 98/98 Nursing ward: 55/55			
	Denominator: doses prepared												
Reece <i>et al</i> ⁶⁴	Numerator: errors	Self-reported: 1/15 843 Software reported: 52/51 037	Self-reported: 4/15 843 Software reported: 5/51 037		Self-reported: 7/15 843 Software reported: 797/51 037		Self-reported: 4/15 843 Software reported: 37/51 037						
	Denominator: doses prepared												
Rodríguez-Gonzalez <i>et al</i> ^{63,***}	Numerator: errors (including near-misses)	~0.00%–0.01%	0.01%–0.03%		0.04%–1.56%		0.03%–0.07%						
	Denominator: TOE (observed administrations plus omitted doses)												
Sacks <i>et al</i> ⁴⁰	Numerator: errors		8/402										
	Denominator: doses prescribed												
Seger <i>et al</i> ^{41,†††}	Numerator: errors	3/1421				23/184						18/4730	
	Denominator: doses prepared											0.38%	
Skourioliakou <i>et al</i> ⁴²	Numerator: errors	0.21%				12.50%							
	Denominator: solutions prepared												
Tavakoli-Ardakani <i>et al</i> ^{47,†††}	Numerator: errors					20/941	8/941						
	Denominator: TOE					2.13%	0.85%					2705/8322	
Terkola <i>et al</i> ⁶⁶	Numerator: errors											32.50%	
	Denominator: preparations												
	Numerator: errors					59 890/759 060							
	Denominator: preparations					7.89%							

Continued

Table 3 Continued

Reference	Error incidence calculation	Component errors			Dose/calculation errors			Aseptic technique errors			Composite errors	
		Wrong drug	Wrong diluent solution	Wrong label	Wrong dose	Wrong concentration	Wrong diluent volume	General inadequate aseptic technique	Bacterial contamination	Failure to disinfect phial	Improper hand hygiene	Any admixture or labelling error
van den Heever <i>et al</i> ⁶⁷	Numerator: errors Denominator: sampled preparations Incidence:			0–101/110					7/110			
Westbrook <i>et al</i> ³	Numerator: errors (including near-misses) Denominator: doses administered Incidence:	1/568	21/568				121/568					
Wheeler <i>et al</i> ⁴³	Numerator: errors Denominator: syringes prepared Incidence:	0.18%	3.70%	88/149			21.30%					
Yin <i>et al</i> ^{65,66}	Numerator: doses with ≥1 errors Denominator: TOE (observed administrations plus omitted doses) Incidence:	0/122		15/122	1/122		14/122					69/122
		0.00%		12.30%	0.82%		11.50%					56.66%

Unless otherwise noted, all data reported from interventional studies are from the baseline period only.

*Crill *et al* (2010).²⁹ Authors speculate that contamination arose during preparation, but note that it may also have occurred during or after administration.

†Dehmel *et al* (2011).³⁰ Results presented for automated preparation in the centralised pharmacy.

‡Dehmel *et al* (2011).³⁰ Results presented for manual preparation in the nursing ward.

\$Ding *et al* (2015).⁴⁸ Wrong dose error rate combines wrong dose, omission and extra dose.

¶Fahimi *et al* (2008).³² Wrong dose and wrong diluent volume were combined into one value in the original article.

**Macias *et al* (2005).³⁴ This study was designed to observe a sepsis outbreak. Only baseline (pre-outbreak) data are presented in this table.

††Mackay *et al* (2009).³⁵ This study tested automation as an intervention. Only baseline data are presented in this table.

‡‡Masini *et al* (2014).³⁶ Results presented for manual preparation only.

\$\$\$Moniz *et al* (2014).⁴⁴ Wrong volume of drug/diluent (detectable by previous practices), wrong drug volume (not detectable by previous practices) and wrong diluent volume (not detectable by previous practices) are combined in this table as wrong dose.

¶¶Nguyen *et al* (2014).⁴⁵ This was an interventional study. Only baseline data are presented in this table.

***Rodriguez-Gonzalez *et al* (2012).³⁹ Errors were defined as 'wrong reconstitution (volume, fluid)', 'which is reported in this table as wrong dilution (volume, fluid)', 'which is reported in this table as wrong dilution volume'.

†††Seger *et al* (2012).⁴¹ Results presented for manual preparation only. Wrong dose and wrong diluent were reported as a combined value in the original article.

‡‡‡Tavakoli-Ardakani *et al* (2013).⁴⁷ This study reported that additional data were collected by error subcategory; however, these data are not present in the available publication.

\$\$\$Yin *et al* (2016).⁶⁵ One preparation out of 122 was subcutaneous rather than intravenous. Denominator for concentration errors is intravenous preparations only.

FR, France; GER, Germany; IAPe, intravenous admixture preparation error; ICU, intensive care unit; NICU, neonatal intensive care unit; TOE, total opportunities for error; UK, United Kingdom.

incorrect diluent across all study sites. This range is wider than that of the other included studies (0%–16.0%). Labelling errors were reported in six publications (18%), with reported incidence varying substantially, ranging from 0% to 99.0% (20.0% to 99.0% within the study by Cousins *et al*²⁸ and 0% to 91.8% in the study by van den Heever *et al*⁵⁷).^{28 31 37 43 55 57}

Eleven publications (32%) captured incidence of wrong dose, and while most of these studies reported incidence rates below 10%,^{25 28 32 33 44 45 48 51 54 55} one study did report an incidence rate over 32%.³¹ Wrong drug concentration errors were reported in 10 publications (29%), with error incidence per total number of intravenous doses prepared ranging from 0.3% to 88.6%.^{27 30 36 38 41 42 51 53 55 56} While some studies defined a concentration error based on a threshold of a 5%^{30 36 41} or 10%^{30 36 38 51 55} deviation between the prepared dose and the ideal dose, the study by Castagne *et al* used a higher threshold of 20%.²⁷

Eight studies (24%) reported errors pertaining to wrong diluent volume,^{3 28 33 37 39 42 46 54} with half explicitly defining this error subgroup as any deviation from manufacturer or accepted institutional guidelines for intravenous preparation.^{3 37 39 46} The highest-reported error rate (49.0%) was identified by Niemann and colleagues,⁴⁶ while the lowest-reported incidence (0.6%) was from a study by Reece *et al*.⁵⁴

Reported challenges with aseptic technique included general aseptic technique deviations, bacterial contamination, failure to disinfect the phial and improper hand hygiene. In studies that reported general inadequate aseptic technique deviations, three studies reported incidence rates below 5% (range: 0%–3.3%)^{29 44 53}; however, the study by Bertsche and colleagues²⁶ reported an incidence rate of just under 70% and findings from Helder *et al* indicated a 92.7% non-adherence rate to hygiene protocols.⁵² The variation in incidence rates presented may be the result of differences in error definitions, as Bertsche and colleagues assessed aseptic technique deviations as any procedural deviation from local hygiene guidelines²⁶ and a study by Helder *et al* required all five steps of the hygiene protocol to be followed.⁵² The other studies defined aseptic technique errors either based on bacterial cultures^{29 34} or report of syringes left uncapped during the preparation process.⁴⁴

Bacterial contamination errors were reported in four studies, with all reporting incidence under 7% (table 3).^{29 34 49 57} Four additional studies report error incidence for both failure to disinfect the phial^{28 37 52 53} and improper hand hygiene.^{28 37 53} In particular, the study by Cousins and colleagues²⁸ presents a wide range of incidence across aseptic technique subtypes (table 3). The study by Cousins *et al*²⁸ presented data from three separate institutions located in France, Germany and UK, with the incidence of aseptic technique errors from the French institution found to be dramatically lower (4.0% for phial disinfection and 9.0% for hand washing). Of note, the authors attribute this difference to the French institution having undergone a recent update to its aseptic preparation

methods protocol due to a prior outbreak of Legionnaire's disease within the facility.²⁸

Ten (29%) studies reported an overall incidence of IAPes that combined multiple error subtypes.^{25 35 40 44–48 50 55} These studies have diverse error definitions and error detection methods; thus, the error incidence ranges widely (0.07%–72.9%).

DISCUSSION

This systematic review found that IAPes are ubiquitous across countries and hospital locations and that the types of errors observed and reported are diverse. Reported error incidence was found to vary widely between settings (central pharmacies or nursing wards) and within these settings across studies. Variability in error detection methods and definitions applied may contribute to the variation in error rates reported across studies.

This review identified studies conducted in Europe, North America, South America, Asia and Africa. While different regions, countries and even individual institutions are likely to have somewhat different standards and practices for intravenous admixture preparation, differences in methods and terms applied for data collection did not seem to vary any greater between countries than within a single country. In theory, variation among institutions within the same country has the potential to be larger than variation among countries, as local practices may be more flexible than nationally adopted standards. ISMP noted in its 2011 Guidelines for the Safe Preparation of Sterile Compounds that intravenous admixture preparation practices are complex, and documentation of errors varies widely across the US.⁵⁸ This highlights an important need for national and international consensus on defining and identifying IAPes to fully understand the global patient burden.

Some evidence indicates the effect of location and method of intravenous admixture preparation on the incidence of errors. In particular, error rates appear to be lower when intravenous preparation takes place in central pharmacy settings compared with nursing wards and lower with automated versus manual preparation. Among studies meeting the inclusion criteria for this systematic review, Dehmel and colleagues³⁰ and Khalili *et al*⁴⁹ directly compared error rates identified from a central pharmacy to those from a nursing ward using consistent IAPe definitions across settings. The study by Dehmel *et al* reported a markedly higher rate of wrong concentration errors using manual preparation in a nursing ward when compared with automated preparation in a central pharmacy (53% vs 16%, respectively).³⁰ Khalili and colleagues reported a low rate of bacterial contamination (1.1%) in admixtures prepared on nursing wards, with no instances of contamination in admixtures prepared in central pharmacies, despite use of manual preparation techniques in each setting.⁴⁹ Caution should be taken in generalising this finding, given the limited sample size of 17 preparations in the central pharmacy and 97 on the nursing ward.⁴⁹ Thus, while it appears that moving intravenous admixture preparation away from the site of care and using

automated technologies may reduce IAPes, further empirical studies are required to substantiate this hypothesis.

In the present systematic review of IAPes, a patchwork of data emerged from the relevant available literature, in part because no single study design or observational technique is ideal for capturing all the aspects of intravenous admixture preparation that could result in an error. The majority of studies relied on direct observation of the intravenous admixture preparation process by a trained observer, while other studies used bacterial culture, measurement of the final admixture concentration, incident reports and cross-checking against a checklist, computed calculation or other benchmarks. However, certain error subtypes naturally lent themselves to a specific observational technique, such as bacterial culture for assessing bacterial contamination, laboratory testing for concentration errors and direct observation for aseptic technique deviations.

The framework used for categorising IAPes in this review was developed to facilitate the aggregation of data collected across studies. While inconsistency across reported error definitions precluded additional quantitative aggregation, we hope the classification system used herein is informative to researchers designing future studies and may help to facilitate more effective standardisation of error reporting going forward.

Within IAPE subtypes, the method of error calculation varied in some cases, which impacted the ability to generalise results across studies. The majority of studies reported the incidence as errors per doses prescribed, prepared or administered. However, five (15%) studies reported errors per total opportunities for error^{39 45 47 48 55} and two (6%) studies reported errors per total drug-handling processes.^{26 46} While using total opportunities for error or drug-handling processes may be insightful for those wishing to understand and optimise the intravenous medication use cycle from the user perspective, errors per dose may be a more useful measurement for researchers interested in patient impact and outcomes.

Error definitions were also variable within some error subtypes. For instance, thresholds for determining concentration errors ranged from $\pm 5\%$ variance from the label specification to as high as $\pm 20\%$ variance.^{27 30 36 38 41 42 51 53 55 56} Studies reporting IAPE incidence based on a composite of IAPE subtypes were often composed of common elements (eg, wrong drug, wrong concentration), but were sufficiently different that they could not be directly compared. This finding exposes a need for a standardised taxonomy of error subtypes that can be used across a variety of research settings and countries to facilitate meaningful comparisons.

Other factors that may impact error incidence are circumstances, such as either a recent training or sentinel event as described by a study by Cousins *et al*,²⁸ when commenting on proportionally lower aseptic technique deviations observed in the French study site. It was suggested that this finding may be attributed to recent staff training and updated guidelines in the French

institution included in the study, prompted by a recent outbreak of Legionnaire's disease at that site. This highlights the impact of staff training as a source of potential regional or institutional error variation and as a means of reducing error rates. Given the short duration between staff training and study implementation, the long-term sustainability of error reduction potentially gained by staff training in the study by Cousins *et al* was unclear.

In addition to heterogeneous error incidence results, the articles captured in this systematic review used a variety of approaches to measure the potential burden of patient harm. Several studies used the existing NCC MERP error index¹⁷ to rate and score errors, and the majority of other studies relied on either local clinician opinion or expert panel. As a result, there is a high degree of variability in terms of how the errors are scored and how potential for patient risk is attributed.

Of the 34 studies included in this review, 12 (35%) provided estimates or general assessments for potentially attributable patient harm or clinical relevance for IAPes.^{3 26 29 31 32 39–41 44–46 48} Effective and standardised traceability measures are required to link a defect in the admixture process that occurs early within the medication use cycle with later negative patient outcomes. Given the separation in time and physical location between admixture preparation and potential patient physical adverse response, it can be challenging to link potential negative patient outcomes to the admixture/compounding process where unrecognised potential errors may exist.¹² There is a need for robust study designs that allow for the assessment of the association between specific errors incidences and patient outcomes.

Several limitations were present in this systematic review. Our search strategy targeted the broad medical literature, but inclusion of additional databases, such as the Cumulative Index of Nursing and Allied Health Literature, may have added nursing publications relevant to this topic. While the quality of publications was generally fair, only five studies (15%) were deemed to be of good quality in terms of methodology and reporting.^{30 34 38 45 46} Furthermore, the Hawker method of quality ascertainment is generic and may not be best suited to capturing the unique challenges of this research topic. Drawing comparisons between the studies remains difficult due to substantial variations in error definitions. As a result, meta-analysis of the current IAPE literature was not considered appropriate. Last, in the majority of studies, documentation of error severity and associated burden of harm was not sufficient to allow for a thorough evaluation of the impact on patient care or the consequences for healthcare facilities.

CONCLUSION

This systematic review is the first to categorise IAPes according to the characteristics of the error and the location and method of intravenous preparation. It is our hope that future studies may use these categorisations to

provide a meaningful framework to assess IAPes within their procedural context. With improved standardisation of IAPE definitions, grouping error subtypes as we have done may facilitate an improved understanding of where errors happen within the intravenous preparation process and devising solutions to help eradicate them. There is a clear potential burden of harm for patients resulting from IAPes, and thus a need to continue to optimise the intravenous preparation process, focusing on improving preparation workflow, designing and implementing preventive strategies, staff training and implementing process standardisation where possible. Future research should focus on the development of consistent error subtype definitions and a standardised reporting methodology as well as reliable and reproducible methods to track and link risk factors and the burden of harm associated with these errors.

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