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## Evaluation of a novel intervention providing insight into the tobacco industry to prevent the uptake of smoking in school-aged children: a mixed-methods study

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Complete List of Authors:	Szatkowski, Lisa; University of Nottingham Taylor, John; University of Nottingham Fuller, Amy; University of Nottingham Lewis, Sarah; University of Nottingham Wu, Qi; University of York, Department of Health Sciences Parrott, Steve; University of York McNeill, Ann; King's College London, UK Centre for Tobacco Control Studies, National Addiction Centre, Institute of Psychiatry Britton, John; University of Nottingham/ UK Centre for Tobacco and Alcohol Studies, Division of Epidemiology Bauld, Linda; University of Stirling, Institute for Social Marketing Jones, Laura; University of Birmingham, Public Health, Epidemiology & Biostatistics Bains, Manpreet; University of Nottingham, Epidemiology and Public Health
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3 **Evaluation of a novel intervention providing insight into the tobacco industry to**  
4 **prevent the uptake of smoking in school-aged children: a mixed-methods study**  
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10 Lisa Szatkowski<sup>1</sup>, John Taylor<sup>1</sup>, Amy Fuller<sup>1</sup>, Sarah Lewis<sup>1</sup>, Qi Wu<sup>2</sup>, Steve Parrott<sup>2</sup>,  
11 Ann McNeill<sup>3</sup>, John Britton<sup>1</sup>, Linda Bauld<sup>4</sup>, Laura L Jones<sup>5</sup>, Manpreet Bains<sup>1</sup>  
12  
13

14  
15  
16 <sup>1,2,3,4,5</sup>UK Centre for Tobacco and Alcohol Studies;

17  
18 <sup>1</sup>University of Nottingham, Division of Epidemiology and Public Health, Clinical  
19 Sciences Building, Nottingham City Hospital, Nottingham, UK, NG5 1PB;  
20  
21

22  
23 <sup>2</sup>Department of Health Sciences, University of York, Seebohm Rowntree Building,  
24 Heslington, York, UK, YO10 5DD;  
25  
26

27  
28 <sup>3</sup>King's College London, National Addictions Centre, Institute of Psychiatry,  
29 Psychology and Neuroscience, Addiction Sciences Building, 4 Windsor Walk,  
30 Denmark Hill, London, UK, SE5 8BB;  
31  
32

33  
34 <sup>4</sup>Institute for Social Marketing, 3Y1, University of Stirling, Stirling, UK, FK9 4LA;  
35

36  
37 <sup>5</sup>Public Health, Epidemiology and Biostatistics, School of Health and Population  
38 Sciences, College of Medical and Dental Sciences, University of Birmingham,  
39 Edgbaston, Birmingham, UK, B15 2TT.  
40  
41  
42

43  
44  
45 **Correspondence to:** Dr Manpreet Bains: [manpreet.bains@nottingham.ac.uk](mailto:manpreet.bains@nottingham.ac.uk)  
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## ABSTRACT

**Objectives:** Evidence from the US Truth<sup>®</sup> campaign suggests that interventions focusing on tobacco industry practices and ethics may be effective in preventing youth smoking uptake. We developed, piloted and evaluated a school-based intervention based on this premise.

**Methods:** Exploratory study Students in Years 7–8 (aged 11–13) in two UK schools received *Operation Smoke Storm*, comprising three 50-minute classroom-based sessions in Year 7, an accompanying family booklet and a 1-hour classroom-based booster session in Year 8. We compared the risk and odds of ever smoking and susceptibility to smoking in Year 8 students in study schools post-intervention compared with students in control schools. Focus groups and interviews with students, teachers and parents evaluated the acceptability of the intervention.

**Results:** In intervention schools the combined prevalence of ever smoking and susceptibility increased from 18.2% in Year 7 to 33.8% in Year 8. There was no significant difference in the odds of a Year 8 student in an intervention school being an ever smoker or susceptible never smoker compared with controls [adjusted OR 1.28, 95%CI 0.83-1.97, p=0.263] and no significant difference in the odds of ever smoking (aOR 0.82, 95%CI 0.42-1.58, p=0.549). Teachers highlighted differences by academic ability in how well the messages presented were understood. Use of the family component was low but was received positively by parents who engaged with it.

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3 **Conclusions:** *Operation Smoke Storm* is an acceptable resource for delivering  
4 smoking-prevention education but it does not appear to have reduced smoking and  
5 susceptibility.  
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### Strengths and limitations

- There is little conclusive evidence that school-based smoking prevention interventions can have long-term impacts on students' smoking behaviours.
- Evidence from the United States suggests that mass-media smoking prevention campaigns focusing on tobacco industry practices and ethics may be effective in preventing youth smoking uptake, but this approach has not been tested in a school setting.
- This study suggests that delivery of such a school-based smoking prevention intervention in the early years of secondary education (aged 11-13) is feasible, well-received by students, teachers and parents, and may prompt some positive changes in knowledge and attitudes towards smoking.
- However, the intervention did not have a significant impact on self-reported ever smoking and susceptibility to smoking.

## INTRODUCTION

In the UK, nearly 40% of adult smokers start to smoke regularly before the age of 16<sup>1</sup> and those who start at an early age are more likely to die from a smoking-attributable cause<sup>2</sup>. Therefore, preventing young people from smoking is an important public health priority and school-based approaches provide an opportunity to reach large numbers of young people. However, there is little conclusive evidence that school-based prevention interventions have anything beyond short-term effects<sup>3-5</sup>.

In the United States the mass media *Truth*® campaign has demonstrated some success in encouraging young people not to smoke, focusing on the ethics and exploitative tactics of the tobacco industry<sup>6-8</sup>. Its acceptability and effectiveness has been recognised as worth exploring further in school settings<sup>5</sup>. Previously we have reported results of a preliminary qualitative evaluation amongst Year 7 students (aged 11-12) in two UK schools of the acceptability of a novel school-based intervention, *Operation Smoke Storm* (OSS), based on the premise of *Truth*®<sup>9</sup>. Initially, *OSS* comprised three 50-minute multimedia interactive teaching sessions, developed by *Kick It*, who deliver the National Health Service (NHS) Stop Smoking Service for several London boroughs<sup>10</sup>. Further description of this intervention is given in supplementary file 1.

In focus groups conducted after the delivery of *OSS* students reported enjoying the intervention and acquiring new knowledge about smoking and the tobacco industry, which seemed to strengthen their aversion to smoking<sup>9</sup>. In one-to-one interviews teachers expressed confidence delivering the 'off the shelf' resource, although they

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2  
3 highlighted a need for the package to be flexible and not dependent on lesson length,  
4  
5 teacher confidence or expertise<sup>9</sup>. Following this feedback, Year 7 lessons were refined  
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7 by the research team alongside *Kick It*, primarily to correct technical issues and to  
8  
9 increase flexibility and provide teachers with more guidance to help them facilitate  
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11 discussions regardless of their own level of knowledge. The intervention was also  
12  
13 extended to include a family booklet to complement the Year 7 lessons to encourage  
14  
15 parents to talk to their children about smoking and a ‘booster’ session for use with  
16  
17 Year 8 students (aged 12-13) to reinforce the anti-smoking message. These family and  
18  
19 booster components are described in supplementary file 1. Here we report quantitative  
20  
21 and qualitative data evaluating the acceptability and effectiveness of the full  
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23 intervention package.  
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## 32 **METHODS**

### 33 **Quantitative evaluation**

#### 34 *Collection of baseline and follow-up data*

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37 The recruitment process and characteristics of the two schools where *OSS* was  
38  
39 delivered are described elsewhere<sup>9</sup>. PSHE teachers delivered the first intervention  
40  
41 component to all Year 7 students in both schools (n=585) in autumn 2013. Before and  
42  
43 after intervention delivery all students were asked to complete an anonymous  
44  
45 questionnaire to gather information on their socio-demographic characteristics as well  
46  
47 as smoking behaviours and attitudes. Students were asked if they had ever smoked, as  
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3 well as a set of three previously-validated questions to assess their susceptibility to  
4 smoking<sup>11</sup>. Students were classified as non-susceptible if they answered 'no' to the  
5 question 'do you think that you will try a cigarette soon?' and 'definitely not' to the  
6 questions 'if one of your best friends were to offer you a cigarette, would you smoke  
7 it?' and 'do you think you will smoke a cigarette at any time during the next year?'  
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9 Students who answered 'definitely yes', 'probably yes' or 'probably not' to either of  
10 the last two questions or 'yes' to the first question were classified as susceptible.  
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21 One year later, in autumn 2014, the booster session was delivered to the same  
22 students, then in Year 8 (n=538). In one school PSHE specialists delivered the booster;  
23 40-minute lessons meant they needed two sessions to cover the material. In the  
24 second school, changes in the organisation of PSHE meant that the booster was  
25 instead delivered by science teachers; lessons here were one hour in length and the  
26 material was delivered in a single session. An anonymous questionnaire was  
27 administered after the booster session to gather data on smoking behaviours and  
28 attitudes and socio-demographic characteristics.  
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41 In autumn 2014 the refined Year 7 intervention component was also delivered to the  
42 new cohort of Year 7 students (n=350) in one school only, and these students were  
43 given the new family booklet to take home. Changes in the delivery of PSHE in the  
44 second school meant that they were not able to accommodate delivery of the Year 7  
45 sessions. Questionnaire data were collected at the end of the sessions to gain  
46 information about the acceptability of the revised intervention and family component.  
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57 *Collection of control data from a non-randomised comparison group*  
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5 Given some difficulty in recruiting schools, and in order to minimise costs, we chose  
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7 to use external control data collected as part of another study just prior to ours. The  
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9 Nottingham School Smoking Survey collected data from students in eight schools  
10  
11 local to the study area in Spring 2011, 2012 and 2013 (though not all schools  
12  
13 participated in every wave). The primary aim of this survey was to evaluate changes  
14  
15 in young people's smoking behaviour following the introduction of point-of-sale  
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17 tobacco display legislation<sup>12,13</sup>. By mid-2013 data were available on current smoking  
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19 and susceptibility to smoking in Year 7 and Year 8 for two successive cohorts of  
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21 students (i.e. students who were in Year 7 in 2011 and Year 8 in 2012 and students  
22  
23 who were in Year 7 in 2012 and Year 8 in 2013).  
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### 29 *Statistical analysis*

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32 All data management and analysis was carried out using Stata v13 (StataCorp,  
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34 College Station, TX). Logistic regression was used to compare the self-reported odds  
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36 of a combined outcome of ever smoking and susceptibility to smoking, plus ever  
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38 smoking on its own, in Year 8 students after the delivery of the booster session to the  
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40 odds amongst Year 8 students in the two combined cohorts of students in control  
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42 schools, using a multilevel model to adjust for clustering with the effect of school  
43  
44 modelled as a random intercept. Due to difficulties in linking students' responses to  
45  
46 the Year 7 and Year 8 questionnaires in intervention schools, odds ratios could not be  
47  
48 adjusted for differences between intervention and control groups at baseline.  
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50 However, models were adjusted for socio-demographic variables using data collected  
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52 in Year 8 and smoking behaviour at Year 7 was compared between intervention and  
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3 control schools to quantify any differences. Unfortunately, a comparable measure of  
4 deprivation was not available across intervention and control schools. Therefore, a  
5 proxy indicator of deprivation was created, considering students in the most deprived  
6 quintile of the Index of Multiple Deprivation (IMD) in the control schools and those  
7 who reported being eligible for free school meals in the intervention schools as  
8 deprived relative to all others. Given the exploratory nature of the study, we have not  
9 applied a correction for multiple hypothesis testing but, instead, have presented results  
10 with 95% confidence intervals (95% CIs) and p-values in order to allow the reader to  
11 evaluate the findings fully. We also calculated unadjusted and adjusted risk  
12 differences (using the 'adjrr' post-estimation command in Stata) to compare  
13 intervention and control schools.  
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29 The non-randomised study was not intended to be fully powered but was instead  
30 planned as an exploratory study of the potential effectiveness of the intervention. A  
31 pre-study power calculation, based on estimates of the likely achieved sample size in  
32 intervention and control schools and the self-reported prevalence of ever smoking and  
33 susceptibility amongst Year 8 students, suggested that we would be able to estimate  
34 the risk difference to within 6.6% i.e. if the observed effect was 6.7% or greater the  
35 confidence intervals would preclude the possibility of no effect or a negative effect of  
36 the intervention. This effect size was consistent with the size of effect that a  
37 subsequent cluster-randomised controlled trial would be powered to detect, and in line  
38 with the size of effect used to power the ASSIST study<sup>14</sup>. For each of our outcomes  
39 (ever smoking and susceptibility to smoking, plus ever smoking on its own) we also  
40 calculated Bayes factors under three different scenarios in order to assess whether our  
41 data provided substantial evidence for or against the null hypothesis: 1) assuming a  
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3 maximum odds ratio of 2 i.e. a doubling of never smokers in intervention  
4 compared to control schools, taking hypothesised values uniformly distributed  
5 between 0 and the maximum as plausible values; 2) assuming a plausible  
6 predicted odds ratio of 2 and taking hypothesised values in a normal distribution  
7 around this value; 3) assuming a plausible predicted odds ratio of 2 and taking  
8 hypothesised values in a half normal distribution around this value. A Bayes  
9 factor of 3 or more was taken as substantial evidence against the null hypothesis  
10 and 1/3 or less as evidence for the null.  
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23 We have followed the STROBE statement in reporting the results of this study.  
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## 28 **Qualitative evaluation**

### 29 *Focus group and interview procedures*

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37 The qualitative evaluation comprised focus groups with Year 7 and Year 8 students,  
38 interviews with teachers who delivered the Year 7 sessions and the Year 8 booster  
39 session, and paired Year 7 student-parent interviews to evaluate the family booklet,  
40 each guided by a semi-structured interview schedule. We used the same procedures as  
41 described previously<sup>9</sup>. In summary, we conducted two gender-specific focus groups  
42 with Year 7 students in the one school that delivered the revised sessions (16 students  
43 in total – 8 male, 8 female) and eight focus groups with Year 8 students across the  
44 two schools (51 students in total – 25 male, 26 female). Students shared their views  
45 on the sessions and their awareness of and attitudes towards the tobacco industry and  
46 smoking. Both Year 7 focus groups lasted for 26 minutes and Year 8 focus groups  
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3 lasted for 24 minutes on average (range 11–35 minutes). All Year 7 and Year 8  
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5 teachers who delivered part of the intervention were invited by email to be  
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7 interviewed about its acceptability and effectiveness; ten Year 7 teachers and six Year  
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9 8 teachers took part (four from School 1, two from School 2, interviews lasted 26  
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11 minutes on average [range 19–33 minutes]). The family booklet was accompanied by  
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13 a letter inviting parents to express an interest in participating in a paired student-  
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15 parent interview to explore their views. These interviews sought students' and  
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17 parents' views on the booklet and how they engaged with it. An inconvenience  
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19 allowance (£15 high-street voucher) was offered to each pair who participated (n = 9).  
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21 Interviews took place in participants' home or on school premises according to  
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23 individual preference (lasted 23 minutes on average and ranged between 13 – 33  
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25 minutes).  
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### 33 *Data analysis*

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37 Analysis procedures were similar to those used previously<sup>9</sup>, which followed the  
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39 framework approach<sup>15,16</sup>. Digital audio-recordings were transcribed verbatim. A  
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41 sample of focus group and interview transcripts was read initially (by AT and JT) to  
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43 identify initial codes, themes and sub-themes and any within- or between-group  
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45 differences (school and gender). As in our earlier work, codes identified from the  
46  
47 focus groups, teacher interviews and student-parent interviews were similar (apart  
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49 from teachers' interview data identifying a theme about preparation to deliver the  
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51 intervention) and thus all Year 7 data were analysed together and similarly all Year 8  
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53 data. Initial themes and sub-themes were discussed between the researchers (AT, JT,  
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55 MB, LS) to reach consensus on an initial analytical framework. This framework was  
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3 applied and refined following analysis of the remaining transcripts and until the point  
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5 of data saturation. Data were then indexed according to the final framework and  
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7 charted into matrices according to each theme to facilitate synthesis and interpretation.  
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11 Similar themes were identified for both the Year 7 and Year 8 intervention  
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13 components, and these supported those reported in our initial evaluation<sup>9</sup>: *Teachers'*  
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15 *preparedness to deliver OSS; Raised awareness; Engagement with the intervention;*  
16  
17 *and Options for extending the resource* (see supplementary file 2 for details of  
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19 themes). Qualitative findings with respect to the Year 7 sessions were similar to those  
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21 reported previously<sup>9</sup> and the amendments made to correct technical issues, increase  
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23 flexibility and provide teachers with more guidance were positively received.  
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25 Therefore, the qualitative findings presented here focus on evaluation of the family  
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27 booklet and Year 8 booster session.  
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### 34 **Ethics and consent**

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38 The study received ethical approval from the University of Nottingham Medical  
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40 School Research Ethics Committee (reference 13122012 CHS EPH Smoking).  
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42 Parents of students in both Year 7 and Year 8 were sent a letter informing them about  
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44 *OSS* and the accompanying academic evaluation, approximately three weeks prior to  
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46 delivery. They were asked to return an opt-out slip if they did not want their child to  
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48 complete a questionnaire or to participate in a focus group. Students were able to opt  
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50 out of questionnaire completion and were under no obligation to volunteer for focus  
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52 groups. Written informed consent was obtained from participants prior to data  
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54 collection.  
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## RESULTS

### Did OSS have an impact on smoking behaviour?

Completed questionnaires were received from 445 Year 8 students in intervention schools and 1,692 Year 8 students in control schools; Table 1 describes students' characteristics.

As expected, given the non-randomised nature of the study there were significant differences between students in intervention and control schools. In control schools a greater proportion of students were of non-white ethnicity, had parents who smoked, reported smoking was allowed in their home, had more friends who smoked and were ever smokers themselves.

Table 2 shows the odds of a student being a susceptible never smoker and/or an ever smoker in Year 7 and Year 8 in the two intervention schools compared to control schools. After adjusting for significant confounders, there were no differences in ever smoking and susceptibility to smoking between intervention and control schools in Year 7. In Year 8, after adjusting for significant confounders, the odds of a student in an intervention school being an ever smoker or susceptible never smoker were 28% higher than the odds for a student in a control school, though this difference was not statistically significant (adjusted OR 1.28, 95% CI 0.83-1.97,  $p=0.263$ ). The adjusted risk difference suggested a non-significant 4.1% higher prevalence of ever smoking and susceptibility to smoking in intervention schools. Students in intervention schools were slightly less likely to have ever smoked compared to students in control schools, though again the difference was not statistically significant (adjusted OR 0.82, 95%

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3 CI 0.42-1.58,  $p=0.549$ ). The adjusted risk difference suggested a non-significant 2.0%  
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5 lower prevalence of ever smoking in intervention schools.  
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10 Bayes factors for the combined outcome were 1/3 or lower under each of the three  
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12 scenarios tested, suggesting that our data provide substantial evidence for the null  
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14 hypothesis of no positive effect of the intervention. Bayes factors for ever smoking  
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16 were all close to one, suggesting that our data are insensitive and unable to distinguish  
17  
18 between the alternative and null hypotheses.  
19

### 20 21 **What did students, teachers and parents think about OSS?**

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23 Students broadly liked *OSS*; 77.1% of Year 7 students said that the revised Year 7  
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25 sessions were very good or okay and 72.4% of Year 8 students evaluated the booster  
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27 session similarly. Qualitative data from Year 8 focus groups showed the booster  
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29 session was well received and that most students bought into the storyline (Table  
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31 3a,b).  
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36 Of the 61.6% of Year 7 students who reported receiving the family booklet and taking  
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38 it home, 43.0% said they showed it to their mother or another adult female, 21.5%  
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40 reported showing to their father or another adult male and 24.4% said that they did  
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42 not show the booklet to anyone. Very few reported having completed activities with a  
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44 parent or carer. Even though Year 7 students and parents who were interviewed  
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46 endorsed the family booklet as a way to improve knowledge and initiate  
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48 conversations around smoking (Table 3c,d), our qualitative data also indicated that  
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50 often the booklet was not used as intended – many students simply did not show the  
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52 booklet to their parents or realise the booklet was for them to complete with their  
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54 parents (Table 3e,f).  
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### Did *OSS* change students' knowledge and attitudes about smoking?

69.3% of Year 7 students and 45.0% of Year 8 students thought that *OSS* had made it less likely that they would ever try a cigarette. Students displayed some changes in knowledge and attitudes over the course of the study (Table 4).

Qualitative findings from Year 8 students and teachers suggest the booster session raised awareness of the harmful effects of tobacco and some students showed an appreciation of why and how the tobacco industry might target young people (Table 5a,b). However, teachers mentioned that not all students understood this message and highlighted differences in the extent to which students of higher and lower academic abilities could remember the new information and complete the activities (Table 5c,d).

## DISCUSSION

This project was the first to formally evaluate a school-based smoking prevention intervention highlighting the ethics and exploitative tactics of the tobacco industry. The intervention was feasible to deliver in the classroom, was generally acceptable to teachers, students and parents and helped to raise awareness about smoking-related issues and the tobacco industry. However, there was no significant difference in the odds or risk of self-reported ever smoking and susceptibility to smoking in students who received *OSS* compared to students from local schools where the intervention was not delivered.

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5 Synthesis of the quantitative and qualitative data offers potential suggestions as to  
6 why the intervention does not appear to be effective in preventing smoking uptake. In  
7 both the focus groups with Year 7 students reported previously<sup>9</sup>, and those following  
8 delivery of the revised Year 7 sessions, students' interest and recall centred mainly on  
9 the chemical constituents of cigarettes and/or the health effects of smoking. There was  
10 some suggestion from teachers that concepts relating to tobacco marketing,  
11 particularly where they were mentioned more subtly, were too advanced for students  
12 of lower academic ability to fully grasp. Given that educational attainment is  
13 inversely associated with adolescent smoking<sup>18</sup>, it might be that *OSS* failed to reach  
14 those students most likely to become smokers.  
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29 The prevalence of smoking amongst young people increases with age<sup>19</sup> and it might  
30 be that any effect of *OSS* on uptake is delayed beyond the follow-up period studied  
31 here. Many students reported that participation in *OSS* had made it less likely that  
32 they would try a cigarette, and there was evidence of increasing disagreement over  
33 time with statements such as 'smoking is not that serious compared with other drugs  
34 that young people use'. These data are encouraging and, although these shifts in  
35 attitudes are not reflected in self-reported smoking and susceptibility in Year 8, the  
36 possibility remains that the impact of the intervention may become evident among  
37 these students in years to come.  
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51 The Year 8 students on whom the primary analysis is based received the original  
52 version of the Year 7 lessons that were subsequently revised. Therefore it is possible  
53 that the effect of the revised resources on smoking and/or susceptibility might have  
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3 been different. However, given the fact that the majority of the changes made were to  
4 correct technical issues rather than changes to content, this is unlikely. In addition,  
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7 Year 8 students had not received the family component of the intervention. However,  
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10 few Year 7 students in the second phase of the study used the booklet as intended so it  
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12 is unlikely that this would have a substantial effect on the outcomes.  
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16 The 95% CIs around the odds ratios quantifying differences in smoking behaviours  
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18 between students in intervention and control schools were wide, and the adjusted risk  
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20 differences were small. The direction of the point estimate for the odds of ever  
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22 smoking tentatively suggests that exposure to *OSS* might reduce the odds of this  
23  
24 outcome, although the odds ratio for the combined outcome of ever smoking plus  
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26 susceptibility suggests an increase in odds. A reduction in ever smoking following  
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28 exposure to *OSS* would be encouraging, and with a larger sample size the precision of  
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30 the effect estimates would improve and smaller effect sizes may be detected as  
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32 statistically significant.  
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39 The study findings are based on data from only two schools and may not be  
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41 generalisable to schools more widely, particularly with regard to students' ethnicity  
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43 and deprivation. The non-randomised comparison meant there were significant  
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45 differences between the characteristics of students in intervention and control schools,  
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47 which we were not able to adjust for. Our conclusions also rely on self-reported data,  
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49 even though measures such as ensuring students' anonymity were in place to  
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51 encourage honest responses.  
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3 The use of topic guides and the rigorous analytical process of the framework approach  
4 counterbalanced any potential for biased interpretation in favour of the intervention.  
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7 However, some Year 8 focus groups had a small number of participants meant there  
8 was a less than ideal group dynamic. Finally, the students, teachers and parents who  
9 took part in the focus groups and interviews were a self-selecting sample, which  
10 introduced potential for bias.  
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19 Despite there being no evidence of effectiveness in this study, there is scope for  
20 further work to understand whether the concept behind *OSS* is worth pursuing further.  
21  
22 *OSS* as it stands is probably not suitable for use with students older than the Year 7  
23 and Year 8 groups, but the concept might be effective if used as the basis of an age-  
24 appropriate intervention with older students who might be better able to engage with  
25 subtle messages about industry influences. Alternatively, *OSS* might usefully be  
26 adapted to include fully differentiated activities and resources for use with different  
27 academic abilities. Given the erosion of PSHE within the curriculum, there is scope to  
28 understand whether *OSS* could be delivered effectively in other settings such as youth  
29 groups. Finally, further work is warranted to explore how to effectively engage  
30 parents and guardians more in supporting their child to remain smoke free.  
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## 45 **DECLARATIONS**

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6  
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8

9  
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11  
12 study. JT and AT led the focus groups and conducted the teacher interviews; MB, LS  
13  
14 and LLJ observed the focus groups. JT and AT analysed the data with MB providing  
15  
16 external validation of themes. LS and JT wrote the first draft of this manuscript. All  
17  
18 authors made critical comments on subsequent drafts of the paper and have approved  
19  
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21  
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24  
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26  
27 author.  
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Table 1: Characteristics of Year 8 students in intervention and control schools

	Intervention schools, n (%)	Control schools, n (%)	p-value for difference <sup>a</sup>
<b>Total number of completed questionnaires received</b>	445	1692	
<b>Sex</b>			
Male	200 (44.9)	843 (49.8)	0.482
Female	216 (48.5)	843 (49.8)	
Missing	29 (6.5)	6 (0.4)	
<b>Ethnic group</b>			
White	368 (82.7)	1309 (77.4)	<0.001
Non-white	27 (6.1)	220 (13.0)	
Missing	50 (11.2)	163 (9.6)	
<b>Parental smoking</b>			
Neither	302 (67.9)	1123 (66.4)	0.031
At least one	106 (23.8)	516 (30.5)	
Missing	37 (8.3)	53 (3.1)	
<b>Sibling smoking</b>			
None	365 (82.0)	1461 (86.4)	0.852
At least one	43 (9.7)	178 (10.5)	
Missing	37 (8.3)	53 (3.1)	
<b>Smoking in the home</b>			
Not allowed	369 (82.9)	1460 (80.4)	<0.001
Allowed	36 (7.6)	375 (16.3)	
Missing	42 (9.4)	57 (3.4)	
<b>Number of friends who smoke</b>			
None	289 (64.9)	734 (43.4)	<0.001
One or two	48 (10.8)	236 (14.0)	
Three or more	18 (4.0)	254 (15.0)	
Missing	90 (20.2)	468 (27.7)	
<b>Rebelliousness and sensation seeking<sup>17</sup></b>			
Low	225 (50.6)	870 (51.4)	0.661
High	176 (39.6)	715 (42.3)	
Missing	44 (9.9)	107 (6.3)	
<b>Academic performance (self-perceived)</b>			
Excellent or good	313 (70.3)	1228 (72.6)	0.372
Average or below average	92 (20.7)	406 (24.0)	
Missing	40 (9.0)	58 (3.4)	
<b>Eligible for free school meals</b>			
No	374 (84.0)	Not collected	N/A
Yes	25 (5.6)		
Missing	46 (10.3)		
<b>Index of Multiple Deprivation (IMD) quintile</b>			
Least deprived	Not collected	375 (22.2)	N/A
2		160 (9.5)	
3		282 (16.7)	
4		240 (14.2)	
Most deprived		261 (15.4)	
Missing		374 (22.1)	

<sup>a</sup>excluding missing data



Table 2: Odds ratios and adjusted risk differences for smoking outcomes in intervention compared to control schools

	Prevalence (%)		Unadjusted			Adjusted*		
	Students in intervention schools	Students in control schools	Odds of outcome in intervention vs. control schools OR (95% CI)	p-value	Risk difference % (95% CI)	Odds of outcome in intervention vs. control schools OR (95% CI)	p-value	Risk difference % (95% CI)
<b>Year 7 (before intervention delivery)</b>								
<b>Ever smoker or susceptible never smoker</b>	18.2 (92/505)	22.9 (351/1530)	0.82 (0.43-1.55)	0.536	-4.7 (-15.3-5.9)	1.74 (0.54-5.56)	0.351	5.9 (-13.8-2.6)
<b>Ever smoker</b>	2.4 (12/505)	6.4 (98/1530)	0.38 (0.13-1.08)	0.070	-4.0 (-6.9-1.2)	1.22 (0.13-11.3)	0.858	0.4 (-9.9-10.8)
<b>Year 8 (after intervention delivery)</b>								
<b>Ever smoker or susceptible never smoker</b>	33.8 (145/429)	30.9 (504/1631)	1.17 (0.70-1.95)	0.556	2.9 (-4.0-9.8)	1.28 (0.83-1.97)	0.263	4.1 (-0.5-8.6)
<b>Ever smoker</b>	7.9 (34/429)	10.7 (175/1631)	0.80 (0.32-1.98)	0.622	-2.8 (-7.8-2.1)	0.82 (0.42-1.58)	0.549	-2.0 (-5.4-1.4)

\*Adjusted for: perceived academic ability; rebelliousness; sibling

smoking; parental smoking; and whether smoking is allowed in the family home.

Table 3: What did students, teachers and parents think about OSS?

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- a) *It was really good. It was something different and I liked it.* (School 2, F)
- b) *They're [the videos] really cool because I like when that girl went on a mission. That was, kind of like, interesting because I was like, "What is she going to do next?"* (School 1, F)
- c) *I learned something, I didn't know about all the additives if you like; and the sneaky way that the big companies and the amount of money involved and all of that really.* (School 1, Parent 1)
- d) *We've discussed it since and had a chat about it. We were talking about it the other day, weren't we, things like the booklet and things like that and talking about what we now know about it. It was building on really what you'd done in [Drug Abuse Resistance Education] DARE at primary, wasn't it, just taking it a bit further.* (School 1, Parent 7)
- e) *My tutor didn't really explain what it actually was about, so I didn't know I actually had to do anything with it, that's why I didn't show my mum.* (School 1, Parent 3)
- f) *That's why I just thought, "oh, it's for my parents, it's not for me."* (School 1, F)

Table 4: Mean Likert scale responses (1=strongly agree, 5=strongly disagree)

	How far do you agree with the following statements? (mean+SD for statements 1-3; median+IQR for statement 4)			p-value*
	Baseline	After Year 7 lessons in Phase 1	After Year 8 lessons in Phase 2	
1) Companies that make cigarettes only try to attract customers aged 18+	2.30 (1.04)	2.85 (1.22)	3.47 (1.07)	<0.001
2) Companies that make cigarettes sell dangerous products, but still operate in a fair and decent way	2.79 (0.95)	2.80 (1.04)	2.95 (0.95)	0.030
3) Smoking is not that serious compared with other drugs young people use	3.06 (1.13)	3.20 (1.16)	3.24 (1.09)	0.034
4) Nicotine in cigarettes is one of the most addictive drugs that people use	2 (1-3)	2 (1-2)	2 (1-3)	<0.001

\*ANOVA F test for normally distributed variables, Kruskal-Wallis test for non-normally distributed variables

Table 5: Did OSS change students' knowledge and attitudes about smoking?

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- a) *I didn't know about like all the effects until this year, and it's just like, it just shows you what actually smoking does. It just opened my eyes a bit. (School 1, F)*
- b) *If they target to young people and try and get to young people, then they will get more money, 'cause there'll be more people getting addicted to it. (School 2, F)*
- c) *Do you know that little clip where the boss is being very subtle going, 'oh do you use social media?'. And, 'oh we could do brand placement. Oh but we're not allowed to.' And it was all very subtle... Yeah and do you know lower-ability pupils wouldn't have got that. I think that would have confused them, where the other pupils it wouldn't have. (School 1, Teacher 1)*
- d) *So whenever they talked about like their Tweets for social media, they kind of went for, 'You shouldn't smoke, it's bad for you. You shouldn't smoke. Cigarettes have got all this stuff in them,' so kind of the obvious stuff from it, but they then don't take it that step further to think, like, should they be publicising it, yeah, taking that conversation a bit deeper. (School 1, Teacher 2)*

## Supplementary file 1: Outline of the Operation Smoke Storm intervention

**a) Year 7 component**

*Operation Smoke Storm* is a web-based educational package designed for delivery by teachers as part of a school's Personal, Social, Health and Economic Education (PSHE) curriculum. Teachers are provided with detailed lesson plans for three 50-minute classroom sessions (although the material can also be delivered as one longer session). Multimedia presentations, streamed 'live' over the Internet from *Kick It's* servers, are used to guide teachers and students through the lessons. Students act as secret agents to uncover the tactics of the tobacco industry and share what they find with others. The sessions also cover the health effects of tobacco, passive smoking, nicotine addiction and the economic cost of smoking. Sessions one and two include video clips followed by individual and group-based quizzes, and discussion activities where students learn about the harmful and addictive nature of smoking and methods used by tobacco companies to encourage young people to smoke. Students are provided with a workbook to record their answers. In session three, they then use this information to 'spread the word' in a group presentation to their class, in a medium of their choice such as through drama or song.

**b) Family component**

A ten-page A5 booklet accompanying the Year 7 lessons, designed to stimulate discussions about smoking between parents and students at home. The booklet contained the following interactive activities: 1) a repeat of the quiz questions students completed themselves in class to enable them to test their parents' knowledge about areas such as the health effects of smoking; 2) consideration of new information about the marketing practices employed by the tobacco industry, with particular focus on how young people might be targeted; 3) an opportunity for

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3 students to give advice to other young people in various scenarios they might find  
4 themselves in relating to smoking.  
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8 **c) Year 8 booster component**

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10 A one hour interactive session for ‘off the shelf’ delivery in Year 8 focusing on  
11 tobacco marketing strategies from the perspective of a tobacco industry executive and  
12 marketing company, as well as a health campaigner, both seen through the eyes of a  
13 teenager and reported direct to camera in the form of a social media blog. Students are  
14 asked a series of questions relating to the tobacco industry at key moments in the  
15 storyline. Two further optional activities are: 1) writing a slogan for a billboard  
16 poster, advertising a fake cigarette brand, in order to raise their awareness of how  
17 tobacco companies may portray smoking to young people; 2) writing a ‘tweet’ about  
18 the tobacco industry enabling students to reflect on their learning and consider their  
19 personal thoughts and feelings about this.  
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Supplementary file 2: Themes and sub-themes identified in qualitative data from Year 7 and Year 8 students and teachers delivering to both groups

Theme	Sub-themes
Teachers' preparedness to deliver <i>Operation Smoke Storm</i> *	<ul style="list-style-type: none"> <li>• Previous experience teaching tobacco control</li> <li>• Preparation before delivering the intervention</li> <li>• Confidence to deliver the intervention</li> <li>• Use of the teaching resources provided</li> </ul>
Raised awareness	<ul style="list-style-type: none"> <li>• New information learnt               <ul style="list-style-type: none"> <li>- Prior knowledge; What's in a cigarette; Health effects; Tobacco industry; Understanding the message; Adding to knowledge learnt in Year 7 (booster)</li> </ul> </li> <li>• Impact of new information               <ul style="list-style-type: none"> <li>- Realising the seriousness of smoking; Perceptions of smoking; Decision to Smoke; Using new information; Concerns and worries about others' smoking</li> </ul> </li> </ul>
Engagement with <i>Operation Smoke Storm</i>	<ul style="list-style-type: none"> <li>• Views on the classroom-based sessions               <ul style="list-style-type: none"> <li>- Messaging and storyline; Structure and timings; Formatting; Nature and variety of activities; Student ability</li> <li>- Views on the booster session: Storyline and angle; Formatting; Following on from Year 7; Student ability; Nature and variety of activities</li> </ul> </li> <li>• Views on the family booklet               <ul style="list-style-type: none"> <li>- How it was used; Discussions; Students' raised awareness of tobacco-related issues; Parental attitudes and raised awareness of tobacco-related issues; Timing</li> </ul> </li> </ul>
Extending <i>Operation Smoke Storm</i>	<ul style="list-style-type: none"> <li>• E-cigarettes               <ul style="list-style-type: none"> <li>- Students' knowledge; Discussions had in class; Students' perceptions and usage of e-cigs</li> </ul> </li> <li>• Peer pressure</li> </ul>

\*Data for this theme solely from teachers. Remaining themes represent data from students in Years 7 and 8 as well as teachers.

Table 1 Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist No Item  
Guide questions/description

Domain/item	Guide/ questions description	Author comments
Domain 1: Research team and reflexivity Personal Characteristics		
1.Interviewer/facilitator	Which author/s conducted the interview or focus group?	Page 10 cites the paper (ref 9) with these details to save space in this manuscript.
2.Credentials	What were the researcher's credentials?	Focus groups were conducted by MB (PhD), AT (MSc), and LS (PhD). Interviews with teachers and parents were completed by AT and JT (PhD). All had been involved in the data collection conducted during the first stage and MB, AT and JT have previous experience of conducting qualitative interviews/focus groups.
3.Occupation	What was their occupation at the time of the study?	MB – Assistant Professor, LS – Associate Professor, JT – Research Fellow on the trial, and AT – Research Assistant on the trial
4.Gender	Was the researcher male or female?	Both males and females were involved in the data collection.
5.Experience and training	What experience or training did the researcher have?	All researchers had been involved in the qualitative data collected during the first stage (ref 9 cited in the paper on page 10). MB, JT and AT are all experienced in leading interviews and focus groups, which they have conducted previously. The more experienced researchers led the data collection, where LS acted as a facilitator due to having less experience in collecting qualitative data.
6.Relationship established	Was a relationship established prior to study commencement?	Relationship was established with staff at the schools prior to the study commencing. LS, MB, JT and AT delivered a training/introductory session



		to teachers that would be involved in intervention delivery which outlined the resource and the research components (data collection). Information sheets outlined the purpose of the study including the qualitative evaluation (referred to on page 10, where ref 9 is cited). The researchers introduced themselves and the purpose of the interviews, focus groups prior to commencing them. They gave individuals the chance to ask questions prior to them completing the consent forms and before starting data collection
7. Participant knowledge of the interviewer	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	Prior to beginning the qualitative evaluation (i.e. focus groups, interviews) the researchers reiterated the purpose of the research/interview/focus groups and allowed individuals to ask questions before beginning. They were informed that we were carrying out the study to find out what they thought of the resource and how it could be improved. Outlined in ref 9 cited on page 10.
8. Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	None, other than that we were interested in hearing what their views on the resource, booster and family components, particularly to hear whether/how it could be improved. This was outlined prior to conducting any of the qualitative interviews. We encouraged participants to share their honest views and that there were not any right/wrong answers.
Domain 2: study design Theoretical framework		
9. Methodological orientation	What methodological	Framework approach (pages

and Theory	orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	11-12). We used an inductive approach to identify themes.
10.Participant selection: Sampling	How were participants selected? e.g. purposive, convenience, consecutive, snowball	Pages 10-11 (again, we refer to reference 9 for readers to find more details as this was similar to earlier work).
11.Method of approach	How were participants approached? e.g. face-to-face, telephone, mail, email	Pages 10-11 outlines the approach and reference 9 is cited for readers to refer to for further details. Teachers invited students to express an interest in taking part in a focus group. Teachers were approached via email and parents were invited to return a slip if they wanted to take part in paired parent-student interview. This was provided with a letter that detailed the study.
12.Sample size	How many participants were in the study?	Pages 10-11 detail the final numbers of participants that took part: 16 Year 7 students , 51 year 8 students, 16 teachers and 9 parent-student interviews were conducted.
13.Non-participation	How many people refused to participate or dropped out? Reasons?	N/A those who volunteered and subsequently turned up/completed the focus group or interview.
14.Setting of data collection	Where was the data collected? e.g. home, clinic, workplace	Pages 10-11 (procedures for focus groups and interviews same as those in earlier work and thus reference 9 cited to save space).Focus groups conducted in schools, interviews with teachers via phone or at the school and parent-student ones conducted at individual's home.
15.Presence of non-participants	Was anyone else present besides the participants and researchers?	No.
16.Description of sample	What are the important characteristics of the sample? e.g. demographic data, date	Pages 10-11 report numbers according to gender and school (i.e. school 1/2)

17. Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Pages 10-11 report topics covered by the guides
18. Repeat interviews	Were repeat interviews carried out? If yes, how many?	N/A
19. Audio/visual recording	Did the research use audio or visual recording to collect the data?	Reported on pages 10-11 – all data audio-recorded only.
20. Field notes	Were field notes made during and/or after the interview or focus group?	N/A as audio-recorded
21. Duration	What was the duration of the interviews or focus group?	Covered on pages 10-11.
22. Data saturation	Was data saturation discussed?	Data analysis section on pages 12 reports saturation
23. Transcripts returned	Were transcripts returned to participants for comment and/or correction	N/A – accuracy of transcriptions checked against audio-recordings.
Domain 3: analysis and findings		
24. Number of data coders	How many data coders coded the data?	The data analysis section on pages 11-12 reports who was involved in the analysis and derivation of the themes.
25. Description of the coding tree	Did authors provide a description of the coding tree?	The data analysis section on pages 11-12 covers the themes identified as does Appendix 1.
26. Derivation of themes	Were themes identified in advance or derived from the data?	The analysis process on pages 11-12 outlines how themes were derived inductively.
27. Software	What software, if applicable, was used to manage the data?	NVivo 11 software was used and this is reported on page 12.
28. Participant checking	Did participants provide feedback on the findings?	No.
29. Quotations presented	Were participant quotations presented to illustrate the themes / findings? Was each quotation identified? e.g. participant number	Quotes are presented in the results section to illustrate the findings.
30. Data and findings consistent	Was there consistency between the data presented and the findings?	Yes
31. Clarity of major themes	Were major themes clearly presented in the findings?	Yes in the Results and Discussion sections.
32. Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Diverse cases are reflected in the results and considered in the Discussion section.

## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (page 1) (b) Provide in the abstract an informative and balanced summary of what was done and what was found (pages 2-3)
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported (pages 5-6)
Objectives	3	State specific objectives, including any prespecified hypotheses (page 6)
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper (pages 6 (end of introduction, elaboration in methods section, pages 6-7)
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection (pages 6-7)
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls (pages 6-8) <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable (pages 6-9)
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group (pages 6-9)
Bias	9	Describe any efforts to address potential sources of bias (N/A)
Study size	10	Explain how the study size was arrived at (page 9)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why (pages 8-10)
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (pages 8-10) (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (Table 1) (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed (pages 8-9) <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of

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sampling strategy

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(e) Describe any sensitivity analyses (pages 9-10: Bayes)

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**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (pages 8-10, 15 [including Table 2]) (b) Give reasons for non-participation at each stage ( pages 8-10) (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (pages 15-16 [including Table 2]) (b) Indicate number of participants with missing data for each variable of interest (pages 15-16 – Table 2) (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure (reported throughout the results sections i.e. intervention schools versus control schools) <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (reported throughout the results section) (b) Report category boundaries when continuous variables were categorized (results on pages 19-20 clearly describe results according to the category boundaries measured) (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses (Bayes factors results reported on page 17)

**Discussion**

Key results	18	Summarise key results with reference to study objectives (Opening paragraph of discussion, pages 21-22)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias (pages 23-24 in particular highlight limitations of the study)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence (page 24 – final paragraph)
Generalisability	21	Discuss the generalisability (external validity) of the study results (pages 23-24 discuss generalisability)

**Other information**

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based (page 25 funding stated)
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at

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<http://www.annals.org/>, and *Epidemiology* at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

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# BMJ Open

## Evaluation of a novel intervention providing insight into the tobacco industry to prevent the uptake of smoking in school-aged children: a mixed-methods study

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Secondary Subject Heading:	Smoking and tobacco
Keywords:	PUBLIC HEALTH, Smoking, Prevention, Young people

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3 **Evaluation of a novel intervention providing insight into the tobacco industry to**  
4 **prevent the uptake of smoking in school-aged children: a mixed-methods study**  
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10 Lisa Szatkowski<sup>1</sup>, John Taylor<sup>1</sup>, Amy Fuller<sup>1</sup>, Sarah Lewis<sup>1</sup>, Qi Wu<sup>2</sup>, Steve Parrott<sup>2</sup>,  
11 Ann McNeill<sup>3</sup>, John Britton<sup>1</sup>, Linda Bauld<sup>4</sup>, Laura L Jones<sup>5</sup>, Manpreet Bains<sup>1</sup>  
12  
13

14  
15  
16 <sup>1,2,3,4,5</sup>UK Centre for Tobacco and Alcohol Studies;

17  
18 <sup>1</sup>University of Nottingham, Division of Epidemiology and Public Health, Clinical  
19 Sciences Building, Nottingham City Hospital, Nottingham, UK, NG5 1PB;

20  
21  
22 <sup>2</sup>Department of Health Sciences, University of York, Seebohm Rowntree Building,  
23 Heslington, York, UK, YO10 5DD;

24  
25  
26  
27 <sup>3</sup>King's College London, National Addictions Centre, Institute of Psychiatry,  
28 Psychology and Neuroscience, Addiction Sciences Building, 4 Windsor Walk,  
29 Denmark Hill, London, UK, SE5 8BB;

30  
31  
32 <sup>4</sup>Institute for Social Marketing, 3Y1, University of Stirling, Stirling, UK, FK9 4LA;

33  
34  
35  
36 <sup>5</sup>Public Health, Epidemiology and Biostatistics, School of Health and Population  
37 Sciences, College of Medical and Dental Sciences, University of Birmingham,  
38 Edgbaston, Birmingham, UK, B15 2TT.  
39  
40  
41

42  
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45 **Correspondence to:** Dr Manpreet Bains: [manpreet.bains@nottingham.ac.uk](mailto:manpreet.bains@nottingham.ac.uk)  
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## ABSTRACT

**Objectives:** Evidence from the US Truth<sup>®</sup> campaign suggests that interventions focusing on tobacco industry practices and ethics may be effective in preventing youth smoking uptake. We developed, piloted and evaluated a school-based intervention based on this premise.

**Methods:** Exploratory study Students in Years 7–8 (aged 11–13) in two UK schools received *Operation Smoke Storm*, comprising three 50-minute classroom-based sessions in Year 7, an accompanying family booklet and a 1-hour classroom-based booster session in Year 8. We compared the risk and odds of ever smoking and susceptibility to smoking in Year 8 students in study schools post-intervention compared with students in control schools. Focus groups and interviews with students, teachers and parents evaluated the acceptability of the intervention.

**Results:** In intervention schools the combined prevalence of ever smoking and susceptibility increased from 18.2% in Year 7 to 33.8% in Year 8. There was no significant difference in the odds of a Year 8 student in an intervention school being an ever smoker or susceptible never smoker compared with controls [adjusted OR 1.28, 95%CI 0.83-1.97, p=0.263] and no significant difference in the odds of ever smoking (aOR 0.82, 95%CI 0.42-1.58, p=0.549). Teachers highlighted differences by academic ability in how well the messages presented were understood. Use of the family component was low but was received positively by parents who engaged with it.

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3 **Conclusions:** *Operation Smoke Storm* is an acceptable resource for delivering  
4 smoking-prevention education but it does not appear to have reduced smoking and  
5 susceptibility.  
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### Strengths and limitations

- We used a mixed-methods design that enabled triangulation of quantitative and qualitative data to strengthen the internal and external validity of the findings.
- Conclusions are based on data from only two intervention schools, which served relatively more affluent and ethnically white populations than the national average.
- The comparison with external, non-randomised control data meant there were significant differences between the characteristics of students in intervention and control schools.
- Logistical difficulties meant we were unable to link students' responses at baseline and follow-up, though smoking behaviours differed little between intervention and control schools at baseline and analyses were adjusted for confounders measured at follow-up.

## INTRODUCTION

In the UK, nearly 40% of adult smokers start to smoke regularly before the age of 16<sup>1</sup> and those who start at an early age are more likely to die from a smoking-attributable cause<sup>2</sup>. Therefore, preventing young people from smoking is an important public health priority and school-based approaches provide an opportunity to reach large numbers of young people. Existing school-based approaches to smoking prevention differ in theoretical approach, design and mode of delivery. However, there is no evidence that any one approach is more superior to another, and little conclusive evidence that school-based prevention interventions have anything beyond short-term effects<sup>3-5</sup>. In the only UK study to show significant benefit, training school pupils to initiate conversations about smoking with their peers has been shown to reduce smoking uptake up to two years later<sup>6</sup>, though since the publication of this study approaching a decade ago there have been substantial changes in public attitudes towards smoking as well as in the tobacco control and education environments.

In the United States the mass media *Truth*® campaign has demonstrated some success in encouraging young people not to smoke, focusing on the ethics and exploitative tactics of the tobacco industry<sup>7-9</sup>. Its acceptability and effectiveness has been recognised as worth exploring further in school settings<sup>5</sup>. Previously we have reported results of a preliminary qualitative evaluation amongst Year 7 students (aged 11-12) in two UK schools of the acceptability of a novel school-based intervention, *Operation Smoke Storm* (OSS), based on the premise of *Truth*®<sup>10</sup>. Initially, *OSS* comprised three 50-minute multimedia interactive teaching sessions, developed by *Kick It*, who deliver the National Health Service (NHS) Stop Smoking Service for

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3 several London boroughs<sup>11</sup>. Further description of this intervention is given in  
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5 supplementary file 1.  
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10 In focus groups conducted after the delivery of *OSS* students reported enjoying the  
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12 intervention and acquiring new knowledge about smoking and the tobacco industry,  
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14 which seemed to strengthen their aversion to smoking<sup>10</sup>. In one-to-one interviews  
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16 teachers expressed confidence delivering the ‘off the shelf’ resource, although they  
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18 highlighted a need for the package to be flexible and not dependent on lesson length,  
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20 teacher confidence or expertise<sup>10</sup>. Following this feedback, Year 7 lessons were  
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22 refined by the research team alongside *Kick It*, primarily to correct technical issues  
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24 and to increase flexibility and provide teachers with more guidance to help them  
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26 facilitate discussions regardless of their own level of knowledge. The intervention  
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28 was also extended to include a family booklet to complement the Year 7 lessons to  
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30 encourage parents to talk to their children about smoking and a ‘booster’ session for  
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32 use with Year 8 students (aged 12-13) to reinforce the anti-smoking message. These  
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34 family and booster components are described in supplementary file 1. Here we report  
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36 quantitative and qualitative data evaluating the acceptability and effectiveness of the  
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38 full intervention package.  
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## 47 **METHODS**

### 48 **Quantitative evaluation**

#### 49 *Collection of baseline and follow-up data*

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5 Six secondary schools in the UK East Midlands region were approached and two  
6 agreed to participate in delivering and evaluating OSS. The characteristics of the two  
7 schools where OSS was delivered are described in detail elsewhere<sup>10</sup>. Personal, Social,  
8 Health and Economic Education (PSHE) teachers delivered the first intervention  
9 component to all Year 7 students in both schools (n=585) in autumn 2013. Before and  
10 after intervention delivery all students were asked to complete an anonymous  
11 questionnaire to gather information on their socio-demographic characteristics as well  
12 as smoking behaviours and attitudes. Students were asked if they had ever smoked, as  
13 well as a set of three previously-validated questions to assess their susceptibility to  
14 smoking<sup>12</sup>:

- 15 1) do you think that you will try a cigarette soon? (yes/no)
- 16 2) if one of your best friends were to offer you a cigarette, would you smoke it?  
17 (definitely yes/ probably yes/ probably not/ definitely not)
- 18 3) do you think you will smoke a cigarette at any time during the next year?  
19 (definitely yes/ probably yes/ probably not/ definitely not)

20 Students were classified as non-susceptible if they answered 'no' to the first question  
21 'and 'definitely not' to questions two and three. Students giving other combinations of  
22 responses were classified as susceptible.

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25 One year later, in autumn 2014, the booster session was delivered to the same  
26 students, then in Year 8 (n=538). In School 1 PSHE specialists delivered the booster;  
27 40-minute lessons meant they needed two sessions to cover the material. In School 2,  
28 changes in the organisation of PSHE meant that the booster was instead delivered by  
29 science teachers; lessons here were one hour in length and the material was delivered  
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3 in a single session. An anonymous questionnaire was administered after the booster  
4 session to gather data on smoking behaviours and attitudes and socio-demographic  
5 characteristics.  
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12 In autumn 2014 the refined Year 7 intervention component was also delivered to the  
13 new cohort of Year 7 students (n=350) in School 1 only, and these students were  
14 given the new family booklet to take home. Changes in the delivery of PSHE in  
15 School 2 meant that they were not able to accommodate delivery of the Year 7  
16 sessions. Questionnaire data were collected at the end of the sessions to gain  
17 information about the acceptability of the revised intervention and family component.  
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#### 27 *Collection of control data from a non-randomised comparison group*

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32 Given some difficulty in recruiting schools, and in order to minimise costs, we chose  
33 to use external control data collected as part of another study just prior to ours. The  
34 Nottingham School Smoking Survey collected data from students in eight schools  
35 local to the study area in Spring 2011, 2012 and 2013 (though not all schools  
36 participated in every wave). The primary aim of this survey was to evaluate changes  
37 in young people's smoking behaviour following the introduction of point-of-sale  
38 tobacco display legislation<sup>13,14</sup>. By mid-2013 data were available on current smoking  
39 and susceptibility to smoking in Year 7 and Year 8 for two successive cohorts of  
40 students (i.e. students who were in Year 7 in 2011 and Year 8 in 2012 and students  
41 who were in Year 7 in 2012 and Year 8 in 2013).  
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#### 56 *Statistical analysis*

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5 All data management and analysis was carried out using Stata v13 (StataCorp,  
6 College Station, TX). Logistic regression was used to compare the self-reported odds  
7 of a combined outcome of ever smoking and susceptibility to smoking, plus ever  
8 smoking on its own, in Year 8 students after the delivery of the booster session to the  
9 odds amongst Year 8 students in the two combined cohorts of students in control  
10 schools, using a multilevel model to adjust for clustering with the effect of school  
11 modelled as a random intercept. Due to difficulties in linking students' responses to  
12 the Year 7 and Year 8 questionnaires in intervention schools, odds ratios could not be  
13 adjusted for differences between intervention and control groups at baseline.  
14 However, models were adjusted for socio-demographic variables using data collected  
15 in Year 8 and smoking behaviour at Year 7 was compared between intervention and  
16 control schools to quantify any differences. Unfortunately, a comparable measure of  
17 deprivation was not available across intervention and control schools. Therefore, a  
18 proxy indicator of deprivation was created, considering students in the most deprived  
19 quintile of the Index of Multiple Deprivation (IMD) in the control schools and those  
20 who reported being eligible for free school meals in the intervention schools as  
21 deprived relative to all others. Given the exploratory nature of the study, we have not  
22 applied a correction for multiple hypothesis testing but, instead, have presented results  
23 with 95% confidence intervals (95% CIs) and p-values in order to allow the reader to  
24 evaluate the findings fully. We also calculated unadjusted and adjusted risk  
25 differences (using the 'adjrr' post-estimation command in Stata) to compare  
26 intervention and control schools.  
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3 The non-randomised study was not intended to be fully powered but was instead  
4 planned as an exploratory study of the potential effectiveness of the intervention. A  
5 pre-study power calculation, based on estimates of the likely achieved sample size in  
6 intervention and control schools and the self-reported prevalence of ever smoking and  
7 susceptibility amongst Year 8 students, suggested that we would be able to estimate  
8 the risk difference to within 6.6% i.e. if the observed effect was 6.7% or greater the  
9 confidence intervals would preclude the possibility of no effect or a negative effect of  
10 the intervention. This effect size was consistent with the size of effect that a  
11 subsequent cluster-randomised controlled trial would be powered to detect, and in line  
12 with the size of effect used to power the ASSIST study<sup>6</sup>. For each of our outcomes  
13 (ever smoking and susceptibility to smoking, plus ever smoking on its own) we also  
14 calculated Bayes factors under three different scenarios in order to assess whether our  
15 data provided substantial evidence for or against the null hypothesis: 1) assuming a  
16 maximum odds ratio of 2 i.e. a doubling of never smokers in intervention  
17 compared to control schools, taking hypothesised values uniformly distributed  
18 between 0 and the maximum as plausible values; 2) assuming a plausible  
19 predicted odds ratio of 2 and taking hypothesised values in a normal distribution  
20 around this value; 3) assuming a plausible predicted odds ratio of 2 and taking  
21 hypothesised values in a half normal distribution around this value. A Bayes  
22 factor of 3 or more was taken as substantial evidence against the null hypothesis  
23 and 1/3 or less as evidence for the null.  
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50 We have followed the STROBE statement in reporting the results of this study.  
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### 55 **Qualitative evaluation**

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### *Focus group and interview procedures*

The qualitative evaluation comprised focus groups with Year 7 and Year 8 students, interviews with teachers who delivered the Year 7 sessions and the Year 8 booster session, and paired Year 7 student-parent interviews to evaluate the family booklet, each guided by a semi-structured interview schedule. We used the same procedures as described previously<sup>10</sup>. In summary, we conducted two gender-specific focus groups with Year 7 students in the one school (School 1) that delivered the revised sessions (16 students in total – 8 male, 8 female) and eight focus groups with Year 8 students across the two schools (51 students in total – 25 male, 26 female). Students shared their views on the sessions and their awareness of and attitudes towards the tobacco industry and smoking. Both Year 7 focus groups lasted for 26 minutes and Year 8 focus groups lasted for 24 minutes on average (range 11–35 minutes). All Year 7 and Year 8 teachers who delivered part of the intervention were invited by email to be interviewed about its acceptability and effectiveness; ten Year 7 teachers and six Year 8 teachers took part (four from School 1, two from School 2, interviews lasted 26 minutes on average [range 19-33 minutes]). The family booklet was accompanied by a letter inviting parents to express an interest in participating in a paired student-parent interview to explore their views. These interviews sought students' and parents' views on the booklet and how they engaged with it. An inconvenience allowance (£15 high-street voucher) was offered to each pair who participated (n = 9). Interviews took place in participants' home or on school premises according to individual preference (lasted 23 minutes on average and ranged between 13 – 33 minutes).

### *Data analysis*

Analysis procedures were similar to those used previously<sup>10</sup>, which followed the framework approach<sup>15,16</sup>. Digital audio-recordings were transcribed verbatim. A sample of focus group and interview transcripts was read initially (by AT and JT) to identify initial codes, themes and sub-themes and any within- or between-group differences (school and gender). As in our earlier work, codes identified from the focus groups, teacher interviews and student-parent interviews were similar (apart from teachers' interview data identifying a theme about preparation to deliver the intervention) and thus all Year 7 data were analysed together and similarly all Year 8 data. Initial themes and sub-themes were discussed between the researchers (AT, JT, MB, LS) to reach consensus on an initial analytical framework. This framework was applied and refined following analysis of the remaining transcripts and until the point of data saturation. Data were then indexed according to the final framework and charted into matrices according to each theme to facilitate synthesis and interpretation.

Similar themes were identified for both the Year 7 and Year 8 intervention components, and these supported those reported in our initial evaluation<sup>10</sup>: *Teachers' preparedness to deliver OSS; Raised awareness; Engagement with the intervention; and Options for extending the resource* (see supplementary file 2 for details of themes). Qualitative findings with respect to the Year 7 sessions were similar to those reported previously<sup>10</sup> and the amendments made to correct technical issues, increase flexibility and provide teachers with more guidance were positively received.

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3 Therefore, the qualitative findings presented here focus on evaluation of the family  
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5 booklet and Year 8 booster session.  
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### 9 10 **Ethics and consent**

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14 The study received ethical approval from the University of Nottingham Medical  
15 School Research Ethics Committee (reference 13122012 CHS EPH Smoking).  
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17 Parents of students in both Year 7 and Year 8 were sent a letter informing them about  
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19 *OSS* and the accompanying academic evaluation, approximately three weeks prior to  
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21 delivery. They were asked to return an opt-out slip if they did not want their child to  
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23 complete a questionnaire or to participate in a focus group. Students were able to opt  
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25 out of questionnaire completion and were under no obligation to volunteer for focus  
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27 groups. Written informed consent was obtained from participants prior to data  
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29 collection.  
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## RESULTS

### Did OSS have an impact on smoking behaviour?

Completed questionnaires were received from 445 Year 8 students in intervention schools and 1,692 Year 8 students in control schools; Table 1 describes students' characteristics.

Table 1: Characteristics of Year 8 students in intervention and control schools

	Intervention schools, n (%)	Control schools, n (%)	p-value for difference <sup>a</sup>
<b>Total number of completed questionnaires received</b>	445	1692	
<b>Sex</b>			
Male	200 (44.9)	843 (49.8)	0.482
Female	216 (48.5)	843 (49.8)	
Missing	29 (6.5)	6 (0.4)	
<b>Ethnic group</b>			
White	368 (82.7)	1309 (77.4)	<0.001
Non-white	27 (6.1)	220 (13.0)	
Missing	50 (11.2)	163 (9.6)	
<b>Parental smoking</b>			
Neither	302 (67.9)	1123 (66.4)	0.031
At least one	106 (23.8)	516 (30.5)	
Missing	37 (8.3)	53 (3.1)	
<b>Sibling smoking</b>			
None	365 (82.0)	1461 (86.4)	0.852
At least one	43 (9.7)	178 (10.5)	
Missing	37 (8.3)	53 (3.1)	
<b>Smoking in the home</b>			
Not allowed	369 (82.9)	1460 (80.4)	<0.001
Allowed	36 (7.6)	375 (16.3)	
Missing	42 (9.4)	57 (3.4)	
<b>Number of friends who smoke</b>			
None	289 (64.9)	734 (43.4)	<0.001
One or two	48 (10.8)	236 (14.0)	
Three or more	18 (4.0)	254 (15.0)	
Missing	90 (20.2)	468 (27.7)	
<b>Rebelliousness and sensation seeking<sup>17</sup></b>			
Low	225 (50.6)	870 (51.4)	0.661
High	176 (39.6)	715 (42.3)	
Missing	44 (9.9)	107 (6.3)	
<b>Academic performance (self-perceived)</b>			
Excellent or good	313 (70.3)	1228 (72.6)	0.372
Average or below average	92 (20.7)	406 (24.0)	
Missing	40 (9.0)	58 (3.4)	
<b>Eligible for free school meals</b>			
No	374 (84.0)	Not collected	N/A
Yes	25 (5.6)		

Missing	46 (10.3)		
<b>Index of Multiple Deprivation (IMD) quintile</b>			
Least deprived	Not collected	375 (22.2)	N/A
2		160 (9.5)	
3		282 (16.7)	
4		240 (14.2)	
Most deprived		261 (15.4)	
Missing		374 (22.1)	

<sup>a</sup> excluding missing data

As expected, given the non-randomised nature of the study there were significant differences between students in intervention and control schools. In control schools a greater proportion of students were of non-white ethnicity, had parents who smoked, reported smoking was allowed in their home, had more friends who smoked and were ever smokers themselves.

Table 2 shows the odds of a student being a susceptible never smoker and/or an ever smoker in Year 7 and Year 8 in the two intervention schools compared to control schools. After adjusting for significant confounders, there were no differences in ever smoking and susceptibility to smoking between intervention and control schools in Year 7. In Year 8, after adjusting for significant confounders, the odds of a student in an intervention school being an ever smoker or susceptible never smoker were 28% higher than the odds for a student in a control school, though this difference was not statistically significant (adjusted OR 1.28, 95% CI 0.83-1.97,  $p=0.263$ ). The adjusted risk difference suggested a non-significant 4.1% higher prevalence of ever smoking and susceptibility to smoking in intervention schools. Students in intervention schools were slightly less likely to have ever smoked compared to students in control schools, though again the difference was not statistically significant (adjusted OR 0.82, 95% CI 0.42-1.58,  $p=0.549$ ). The adjusted risk difference suggested a non-significant 2.0% lower prevalence of ever smoking in intervention schools.

Table 2: Odds ratios and adjusted risk differences for smoking outcomes in intervention compared to control schools

	Prevalence (%)		Unadjusted			Adjusted*		
	Students in intervention schools	Students in control schools	Odds of outcome in intervention vs. control schools OR (95% CI)	p-value	Risk difference % (95% CI)	Odds of outcome in intervention vs. control schools OR (95% CI)	p-value	Risk difference % (95% CI)
<b>Year 7 (before intervention delivery)</b>								
<b>Ever smoker or susceptible never smoker</b>	18.2 (92/505)	22.9 (351/1530)	0.82 (0.43-1.55)	0.536	-4.7 (-15.3-5.9)	1.74 (0.54-5.56)	0.351	5.9 (-13.8-2.6)
<b>Ever smoker</b>	2.4 (12/505)	6.4 (98/1530)	0.38 (0.13-1.08)	0.070	-4.0 (-6.9-1.2)	1.22 (0.13-11.3)	0.858	0.4 (-9.9-10.8)
<b>Year 8 (after intervention delivery)</b>								
<b>Ever smoker or susceptible never smoker</b>	33.8 (145/429)	30.9 (504/1631)	1.17 (0.70-1.95)	0.556	2.9 (-4.0-9.8)	1.28 (0.83-1.97)	0.263	4.1 (-0.5-8.6)
<b>Ever smoker</b>	7.9 (34/429)	10.7 (175/1631)	0.80 (0.32-1.98)	0.622	-2.8 (-7.8-2.1)	0.82 (0.42-1.58)	0.549	-2.0 (-5.4-1.4)

\*Adjusted for: perceived academic ability; rebelliousness; sibling smoking; parental smoking; and whether smoking is allowed in the family home.



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6 Bayes factors for the combined outcome were 1/3 or lower under each of the three  
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8 scenarios tested, suggesting that our data provide substantial evidence for the null  
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10 hypothesis of no positive effect of the intervention. Bayes factors for ever smoking  
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12 were all close to one, suggesting that our data are insensitive and unable to distinguish  
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14 between the alternative and null hypotheses.  
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### 19 **What did students, teachers and parents think about OSS?**

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21 Students broadly liked *OSS*; 77.1% of Year 7 students said that the revised Year 7  
22  
23 sessions were very good or okay and 72.4% of Year 8 students evaluated the booster  
24  
25 session similarly. Qualitative data from Year 8 focus groups showed the booster  
26  
27 session was well received and that most students bought into the storyline (Table  
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29 3a,b).  
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34 Of the 61.6% of Year 7 students who reported receiving the family booklet and taking  
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36 it home, 43.0% said they showed it to their mother or another adult female, 21.5%  
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38 reported showing to their father or another adult male and 24.4% said that they did  
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40 not show the booklet to anyone. Very few reported having completed activities with a  
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42 parent or carer. Even though Year 7 students and parents who were interviewed  
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44 endorsed the family booklet as a way to improve knowledge and initiate  
45  
46 conversations around smoking (Table 3c,d), our qualitative data also indicated that  
47  
48 often the booklet was not used as intended – many students simply did not show the  
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50 booklet to their parents or realise the booklet was for them to complete with their  
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52 parents (Table 3e,f).  
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Table 3: What did students, teachers and parents think about OSS?

- a) *It was really good. It was something different and I liked it.* (School 2, F)
- b) *They're [the videos] really cool because I like when that girl went on a mission. That was, kind of like, interesting because I was like, "What is she going to do next?"* (School 1, F)
- c) *I learned something, I didn't know about all the additives if you like; and the sneaky way that the big companies and the amount of money involved and all of that really.* (School 1, Parent 1)
- d) *We've discussed it since and had a chat about it. We were talking about it the other day, weren't we, things like the booklet and things like that and talking about what we now know about it. It was building on really what you'd done in [Drug Abuse Resistance Education] DARE at primary, wasn't it, just taking it a bit further.* (School 1, Parent 7)
- e) *My tutor didn't really explain what it actually was about, so I didn't know I actually had to do anything with it, that's why I didn't show my mum.* (School 1, Parent 3)
- f) *That's why I just thought, "oh, it's for my parents, it's not for me."* (School 1, F)

### **Did OSS change students' knowledge and attitudes about smoking?**

69.3% of Year 7 students and 45.0% of Year 8 students thought that OSS had made it less likely that they would ever try a cigarette. Students displayed some changes in knowledge and attitudes over the course of the study (Table 4).

Table 4: Mean Likert scale responses (1=strongly agree, 5=strongly disagree)

	How far do you agree with the following statements? (mean+SD for statements 1-3; median+IQR for statement 4)			p-value*
	Baseline	After Year 7 lessons in Phase 1	After Year 8 lessons in Phase 2	
1) Companies that make cigarettes only try to attract customers aged 18+	2.30 (1.04)	2.85 (1.22)	3.47 (1.07)	<0.001
2) Companies that make cigarettes sell dangerous products, but still operate in a fair and decent way	2.79 (0.95)	2.80 (1.04)	2.95 (0.95)	0.030
3) Smoking is not that serious compared with other drugs young people use	3.06 (1.13)	3.20 (1.16)	3.24 (1.09)	0.034
4) Nicotine in cigarettes is one of the most addictive drugs that people use	2 (1-3)	2 (1-2)	2 (1-3)	<0.001

\*ANOVA F test for normally distributed variables, Kruskal-Wallis test for non-normally distributed variables

Qualitative findings from Year 8 students and teachers suggest the booster session raised awareness of the harmful effects of tobacco and some students showed an appreciation of why and how the tobacco industry might target young people (Table 5a,b). However, teachers mentioned that not all students understood this message and highlighted differences in the extent to which students of higher and lower academic abilities could remember the new information and complete the activities (Table 5c,d).

Table 5: Did OSS change students' knowledge and attitudes about smoking?

a) <i>I didn't know about like all the effects until this year, and it's just like, it just shows you what actually smoking does. It just opened my eyes a bit. (School 1, F)</i>
b) <i>If they target to young people and try and get to young people, then they will get more money, 'cause there'll be more people getting addicted to it. (School 2, F)</i>
c) <i>Do you know that little clip where the boss is being very subtle going, 'oh do you use social media?'. And, 'oh we could do brand placement. Oh but we're not allowed to.' And it was all very subtle... Yeah and do you know lower-ability pupils wouldn't have got that. I think that would have confused them, where the other pupils it wouldn't have. (School 1, Teacher 1)</i>
d) <i>So whenever they talked about like their Tweets for social media, they kind of went for, 'You shouldn't smoke, it's bad for you. You shouldn't smoke. Cigarettes have</i>

got all this stuff in them,' so kind of the obvious stuff from it, but they then don't take it that step further to think, like, should they be publicising it, yeah, taking that conversation a bit deeper. (School 1, Teacher 2)

## DISCUSSION

This project was the first to formally evaluate a school-based smoking prevention intervention highlighting the ethics and exploitative tactics of the tobacco industry. The intervention was feasible to deliver in the classroom, was generally acceptable to teachers, students and parents and helped to raise awareness about smoking-related issues and the tobacco industry. However, there was no significant difference in the odds or risk of self-reported ever smoking and susceptibility to smoking in students who received *OSS* compared to students from local schools where the intervention was not delivered.

Synthesis of the quantitative and qualitative data offers potential suggestions as to why the intervention does not appear to be effective in preventing smoking uptake. In both the focus groups with Year 7 students reported previously<sup>10</sup>, and those following delivery of the revised Year 7 sessions, students' interest and recall centred mainly on the chemical constituents of cigarettes and/or the health effects of smoking. There was some suggestion from teachers that concepts relating to tobacco marketing, particularly where they were mentioned more subtly, were too advanced for students of lower academic ability to fully grasp. Given that educational attainment is inversely associated with adolescent smoking<sup>17</sup>, it might be that *OSS* failed to reach those students most likely to become smokers.

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3 The prevalence of smoking amongst young people increases with age<sup>18</sup> and it might  
4 be that any effect of *OSS* on uptake is delayed beyond the follow-up period studied  
5 here. Many students reported that participation in *OSS* had made it less likely that  
6 they would try a cigarette, and there was evidence of increasing disagreement over  
7 time with statements such as ‘smoking is not that serious compared with other drugs  
8 that young people use’. These data are encouraging and, although these shifts in  
9 attitudes are not reflected in self-reported smoking and susceptibility in Year 8, the  
10 possibility remains that the impact of the intervention may become evident among  
11 these students in years to come.  
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25 The Year 8 students on whom the primary analysis is based received the original  
26 version of the Year 7 lessons that were subsequently revised. Therefore it is possible  
27 that the effect of the revised resources on smoking and/or susceptibility might have  
28 been different. However, given the fact that the majority of the changes made were to  
29 correct technical issues rather than changes to content, this is unlikely. In addition,  
30 Year 8 students had not received the family component of the intervention. However,  
31 few Year 7 students in the second phase of the study used the booklet as intended so it  
32 is unlikely that this would have a substantial effect on the outcomes.  
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45 The 95% CIs around the odds ratios quantifying differences in smoking behaviours  
46 between students in intervention and control schools were wide, and the adjusted risk  
47 differences were small. The direction of the point estimate for the odds of ever  
48 smoking tentatively suggests that exposure to *OSS* might reduce the odds of this  
49 outcome, although the odds ratio for the combined outcome of ever smoking plus  
50 susceptibility suggests an increase in odds. A reduction in ever smoking following  
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3 exposure to *OSS* would be encouraging, and with a larger sample size the precision of  
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5 the effect estimates would improve and smaller effect sizes may be detected as  
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7 statistically significant.  
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11 The study findings are based on data from only two schools and may not be  
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13 generalisable to schools more widely, particularly with regard to students' ethnicity  
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15 and deprivation. The non-randomised comparison meant there were significant  
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17 differences between the characteristics of students in intervention and control schools,  
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19 which we were not able to adjust for. Our conclusions also rely on self-reported data,  
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21 even though measures such as ensuring students' anonymity were in place to  
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23 encourage honest responses.  
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29 The use of topic guides and the rigorous analytical process of the framework approach  
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31 counterbalanced any potential for biased interpretation in favour of the intervention.  
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33 However, some Year 8 focus groups had a small number of participants meant there  
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35 was a less than ideal group dynamic. Finally, the students, teachers and parents who  
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37 took part in the focus groups and interviews were a self-selecting sample, which  
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39 introduced potential for bias.  
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45 Despite there being no evidence of effectiveness in this study, there is scope for  
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47 further work to understand whether the concept behind *OSS* is worth pursuing further.  
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49 *OSS* as it stands is probably not suitable for use with students older than the Year 7  
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51 and Year 8 groups, but the concept might be effective if used as the basis of an age-  
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53 appropriate intervention with older students who might be better able to engage with  
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55 subtle messages about industry influences. Alternatively, *OSS* might usefully be  
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3 adapted to include fully differentiated activities and resources for use with different  
4 academic abilities. Given the erosion of PSHE within the curriculum, there is scope to  
5 understand whether *OSS* could be delivered effectively in other settings such as youth  
6 groups. Finally, further work is warranted to explore how to effectively engage  
7 parents and guardians more in supporting their child to remain smoke free.  
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## 14 15 16 **DECLARATIONS**

17  
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33  
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36 and LLJ observed the focus groups. JT and AT analysed the data with MB providing  
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38 authors made critical comments on subsequent drafts of the paper and have approved  
39 the final version.  
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50 **Data sharing:** Requests for access to data should be addressed to the corresponding  
51 author.  
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## Supplementary file 1: Outline of the Operation Smoke Storm intervention

**a) Year 7 component**

*Operation Smoke Storm* is a web-based educational package designed for delivery by teachers as part of a school's Personal, Social, Health and Economic Education (PSHE) curriculum. Teachers are provided with detailed lesson plans for three 50-minute classroom sessions (although the material can also be delivered as one longer session). Multimedia presentations, streamed 'live' over the Internet from *Kick It's* servers, are used to guide teachers and students through the lessons. Students act as secret agents to uncover the tactics of the tobacco industry and share what they find with others. The sessions also cover the health effects of tobacco, passive smoking, nicotine addiction and the economic cost of smoking. Sessions one and two include video clips followed by individual and group-based quizzes, and discussion activities where students learn about the harmful and addictive nature of smoking and methods used by tobacco companies to encourage young people to smoke. Students are provided with a workbook to record their answers. In session three, they then use this information to 'spread the word' in a group presentation to their class, in a medium of their choice such as through drama or song.

**b) Family component**

A ten-page A5 booklet accompanying the Year 7 lessons, designed to stimulate discussions about smoking between parents and students at home. The booklet contained the following interactive activities: 1) a repeat of the quiz questions students completed themselves in class to enable them to test their parents' knowledge about areas such as the health effects of smoking; 2) consideration of new information about the marketing practices employed by the tobacco industry, with particular focus on how

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3 young people might be targeted; 3) an opportunity for students to give advice to other  
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6 young people in various scenarios they might find themselves in relating to smoking.  
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8 **c) Year 8 booster component**  
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10 A one hour interactive session for ‘off the shelf’ delivery in Year 8 focusing on tobacco  
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12 marketing strategies from the perspective of a tobacco industry executive and  
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14 marketing company, as well as a health campaigner, both seen through the eyes of a  
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16 teenager and reported direct to camera in the form of a social media blog. Students are  
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18 asked a series of questions relating to the tobacco industry at key moments in the  
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20 storyline. Two further optional activities are: 1) writing a slogan for a billboard poster,  
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22 advertising a fake cigarette brand, in order to raise their awareness of how tobacco  
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24 companies may portray smoking to young people; 2) writing a ‘tweet’ about the tobacco  
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26 industry enabling students to reflect on their learning and consider their personal  
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28 thoughts and feelings about this.  
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Supplementary file 2: Themes and sub-themes identified in qualitative data from Year 7 and Year 8 students and teachers delivering to both groups

Theme	Sub-themes
Teachers' preparedness to deliver <i>Operation Smoke Storm</i> *	<ul style="list-style-type: none"> <li>• Previous experience teaching tobacco control</li> <li>• Preparation before delivering the intervention</li> <li>• Confidence to deliver the intervention</li> <li>• Use of the teaching resources provided</li> </ul>
Raised awareness	<ul style="list-style-type: none"> <li>• New information learnt               <ul style="list-style-type: none"> <li>- Prior knowledge; What's in a cigarette; Health effects; Tobacco industry; Understanding the message; Adding to knowledge learnt in Year 7 (booster)</li> </ul> </li> <li>• Impact of new information               <ul style="list-style-type: none"> <li>- Realising the seriousness of smoking; Perceptions of smoking; Decision to Smoke; Using new information; Concerns and worries about others' smoking</li> </ul> </li> </ul>
Engagement with <i>Operation Smoke Storm</i>	<ul style="list-style-type: none"> <li>• Views on the classroom-based sessions               <ul style="list-style-type: none"> <li>- Messaging and storyline; Structure and timings; Formatting; Nature and variety of activities; Student ability</li> <li>- Views on the booster session: Storyline and angle; Formatting; Following on from Year 7; Student ability; Nature and variety of activities</li> </ul> </li> <li>• Views on the family booklet               <ul style="list-style-type: none"> <li>- How it was used; Discussions; Students' raised awareness of tobacco-related issues; Parental attitudes and raised awareness of tobacco-related issues; Timing</li> </ul> </li> </ul>
Extending <i>Operation Smoke Storm</i>	<ul style="list-style-type: none"> <li>• E-cigarettes               <ul style="list-style-type: none"> <li>- Students' knowledge; Discussions had in class; Students' perceptions and usage of e-cigs</li> </ul> </li> <li>• Peer pressure</li> </ul>

\*Data for this theme solely from teachers. Remaining themes represent data from students in Years 7 and 8 as well as teachers.

Table 1 Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist No Item  
Guide questions/description

Domain/item	Guide/ questions description	Author comments
Domain 1: Research team and reflexivity Personal Characteristics		
1.Interviewer/facilitator	Which author/s conducted the interview or focus group?	Page 10 cites the paper (ref 9) with these details to save space in this manuscript.
2.Credentials	What were the researcher's credentials?	Focus groups were conducted by MB (PhD), AT (MSc), and LS (PhD). Interviews with teachers and parents were completed by AT and JT (PhD). All had been involved in the data collection conducted during the first stage and MB, AT and JT have previous experience of conducting qualitative interviews/focus groups.
3.Occupation	What was their occupation at the time of the study?	MB – Assistant Professor, LS – Associate Professor, JT – Research Fellow on the trial, and AT – Research Assistant on the trial
4.Gender	Was the researcher male or female?	Both males and females were involved in the data collection.
5.Experience and training	What experience or training did the researcher have?	All researchers had been involved in the qualitative data collected during the first stage (ref 9 cited in the paper on page 10). MB, JT and AT are all experienced in leading interviews and focus groups, which they have conducted previously. The more experienced researchers led the data collection, where LS acted as a facilitator due to having less experience in collecting qualitative data.
6.Relationship established	Was a relationship established prior to study commencement?	Relationship was established with staff at the schools prior to the study commencing. LS, MB, JT and AT delivered a training/introductory session

		to teachers that would be involved in intervention delivery which outlined the resource and the research components (data collection). Information sheets outlined the purpose of the study including the qualitative evaluation (referred to on page 10, where ref 9 is cited). The researchers introduced themselves and the purpose of the interviews, focus groups prior to commencing them. They gave individuals the chance to ask questions prior to them completing the consent forms and before starting data collection
7.Participant knowledge of the interviewer	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	Prior to beginning the qualitative evaluation (i.e. focus groups, interviews) the researchers reiterated the purpose of the research/interview/focus groups and allowed individuals to ask questions before beginning. They were informed that we were carrying out the study to find out what they thought of the resource and how it could be improved. Outlined in ref 9 cited on page 10.
8.Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	None, other than that we were interested in hearing what their views on the resource, booster and family components, particularly to hear whether/how it could be improved. This was outlined prior to conducting any of the qualitative interviews. We encouraged participants to share their honest views and that there were not any right/wrong answers.
Domain 2: study design Theoretical framework		
9.Methodological orientation	What methodological	Framework approach (pages

and Theory	orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	11-12). We used an inductive approach to identify themes.
10.Participant selection: Sampling	How were participants selected? e.g. purposive, convenience, consecutive, snowball	Pages 10-11 (again, we refer to reference 9 for readers to find more details as this was similar to earlier work).
11.Method of approach	How were participants approached? e.g. face-to-face, telephone, mail, email	Pages 10-11 outlines the approach and reference 9 is cited for readers to refer to for further details. Teachers invited students to express an interest in taking part in a focus group. Teachers were approached via email and parents were invited to return a slip if they wanted to take part in paired parent-student interview. This was provided with a letter that detailed the study.
12.Sample size	How many participants were in the study?	Pages 10-11 detail the final numbers of participants that took part: 16 Year 7 students , 51 year 8 students, 16 teachers and 9 parent-student interviews were conducted.
13.Non-participation	How many people refused to participate or dropped out? Reasons?	N/A those who volunteered and subsequently turned up/completed the focus group or interview.
14.Setting of data collection	Where was the data collected? e.g. home, clinic, workplace	Pages 10-11 (procedures for focus groups and interviews same as those in earlier work and thus reference 9 cited to save space).Focus groups conducted in schools, interviews with teachers via phone or at the school and parent-student ones conducted at individual's home.
15.Presence of non-participants	Was anyone else present besides the participants and researchers?	No.
16.Description of sample	What are the important characteristics of the sample? e.g. demographic data, date	Pages 10-11 report numbers according to gender and school (i.e. school 1/2)



17. Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Pages 10-11 report topics covered by the guides
18. Repeat interviews	Were repeat interviews carried out? If yes, how many?	N/A
19. Audio/visual recording	Did the research use audio or visual recording to collect the data?	Reported on pages 10-11 – all data audio-recorded only.
20. Field notes	Were field notes made during and/or after the interview or focus group?	N/A as audio-recorded
21. Duration	What was the duration of the interviews or focus group?	Covered on pages 10-11.
22. Data saturation	Was data saturation discussed?	Data analysis section on pages 12 reports saturation
23. Transcripts returned	Were transcripts returned to participants for comment and/or correction	N/A – accuracy of transcriptions checked against audio-recordings.
Domain 3: analysis and findings		
24. Number of data coders	How many data coders coded the data?	The data analysis section on pages 11-12 reports who was involved in the analysis and derivation of the themes.
25. Description of the coding tree	Did authors provide a description of the coding tree?	The data analysis section on pages 11-12 covers the themes identified as does Appendix 1.
26. Derivation of themes	Were themes identified in advance or derived from the data?	The analysis process on pages 11-12 outlines how themes were derived inductively.
27. Software	What software, if applicable, was used to manage the data?	NVivo 11 software was used and this is reported on page 12.
28. Participant checking	Did participants provide feedback on the findings?	No.
29. Quotations presented	Were participant quotations presented to illustrate the themes / findings? Was each quotation identified? e.g. participant number	Quotes are presented in the results section to illustrate the findings.
30. Data and findings consistent	Was there consistency between the data presented and the findings?	Yes
31. Clarity of major themes	Were major themes clearly presented in the findings?	Yes in the Results and Discussion sections.
32. Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Diverse cases are reflected in the results and considered in the Discussion section.

## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (page 1) (b) Provide in the abstract an informative and balanced summary of what was done and what was found (pages 2-3)
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported (pages 5-6)
Objectives	3	State specific objectives, including any prespecified hypotheses (page 6)
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper (pages 6 (end of introduction, elaboration in methods section, pages 6-7)
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection (pages 6-7)
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls (pages 6-8) <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable (pages 6-9)
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group (pages 6-9)
Bias	9	Describe any efforts to address potential sources of bias (N/A)
Study size	10	Explain how the study size was arrived at (page 9)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why (pages 8-10)
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (pages 8-10) (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (Table 1) (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed (pages 8-9) <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of

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sampling strategy

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(e) Describe any sensitivity analyses (pages 9-10: Bayes)

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**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed ( <a href="#">pages 8-10, 15 [including Table 2]</a> ) (b) Give reasons for non-participation at each stage ( <a href="#">pages 8-10</a> ) (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders ( <a href="#">pages 15-16 [including Table 2]</a> ) (b) Indicate number of participants with missing data for each variable of interest ( <a href="#">pages 15-16 – Table 2</a> ) (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure ( <a href="#">reported throughout the results sections i.e. intervention schools versus control schools</a> ) <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included ( <a href="#">reported throughout the results section</a> ) (b) Report category boundaries when continuous variables were categorized ( <a href="#">results on pages 19-20 clearly describe results according to the category boundaries measured</a> ) (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses ( <a href="#">Bayes factors results reported on page 17</a> )

**Discussion**

Key results	18	Summarise key results with reference to study objectives ( <a href="#">Opening paragraph of discussion, pages 21-22</a> )
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias ( <a href="#">pages 23-24 in particular highlight limitations of the study</a> )
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence (page 24 – final paragraph)
Generalisability	21	Discuss the generalisability (external validity) of the study results ( <a href="#">pages 23-24 discuss generalisability</a> )

**Other information**

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based ( <a href="#">page 25 funding stated</a> )
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at

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<http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

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