

BMJ Open

Trends and changes in the clinical practice of pediatric tonsil surgery in Sweden 1987- 2013

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013346
Article Type:	Research
Date Submitted by the Author:	07-Jul-2016
Complete List of Authors:	Borgström, Anna; Karolinska University Hospital, Huddinge; Karolinska Institutet, Department of Clinical Science, Intervention and Technology, CLINTEC Nerfeldt, Pia; Karolinska University Hospital, Huddinge, ORL department Friberg, Danielle; Karolinska University Hospital, Huddinge, ORL Department Sunnergren, Ola; Department of Otorhinolaryngology, Ryhov County Hospital Stalfors, Joacim; Institute of Clinical Sciences, Sahlgrenska Academy at the University of Gothenburg
Primary Subject Heading:	Ear, nose and throat/otolaryngology
Secondary Subject Heading:	Epidemiology, Paediatrics, Public health
Keywords:	tonsillectomy, tonsillotomy, tonsil surgery, register

SCHOLARONE™
Manuscripts

Only

TRENDS AND CHANGES IN THE CLINICAL PRACTICE OF PEDIATRIC TONSIL SURGERY IN SWEDEN 1987 – 2013

Corresponding author: Anna Borgström

Postal address: Department of Otorhinolaryngology B53 , Karolinska University Hospital, 141 86 Stockholm, Sweden

Institution: Department of Clinical Science, Intervention and Technology, CLINTEC, Karolinska Institute, Stockholm Sweden

E-mail: anna.borgstrom@karolinska.se

Telephone number: +46-704-220211

Co-authors:

Pia Nerfeldt

Department of Otorhinolaryngology, Karolinska University Hospital
CLINTEC, Karolinska Institute, Stockholm Sweden

Danielle Friberg

Department of Otorhinolaryngology, Karolinska University Hospital
CLINTEC, Karolinska Institute, Stockholm Sweden

Ola Sunnergren

Department of Otorhinolaryngology, Ryhov County Hospital, Jönköping Sweden

Joacim Stalfors

Institute of Clinical Sciences, Sahlgrenska Academy at the University of Gothenburg,
Sweden

Key words: Tonsillectomy, Tonsillotomy, Tonsil surgery, Pediatric, Register

Trends and changes in the clinical practice of pediatric tonsil surgery in Sweden: 1987- 2013

Borgström A, Nerfeldt P, Friberg D, Sunnergren O, Stalfors J

Abstract

Objectives: The objective of this study was to longitudinally describe the history of tonsil surgery in Swedish children and adolescents regarding incidence, indications for surgery, surgical methods, and the age- and gender distributions.

Setting: A retrospective longitudinal population-based cohort study based on register data from the Swedish National Patient Register (NPR) and population data from Statistics Sweden.

Participants: All Swedish children 1-<18 years registered in the NPR with a tonsil surgery procedure 1987-2013.

Results: 167,894 tonsil surgeries were registered in the NPR 1987-2013. An increase in the total incidence rate was observed, from 22/10,000 person years in 1987 to 47/10,000 in 2013. The most marked increase was noted in children 1-3 years of age, increasing from 17 to 73/10,000 person years over the period. The proportion children with obstructive/Sleep Disordered Breathing (SDB) indications increased from 42.4% in 1987 to 73.6% in 2013. Partial tonsillectomy, tonsillotomy (TT), increased since 1996 and in 2013 55.1% of all tonsil procedures were TTs.

Conclusions: There have been considerable changes in clinical practice for tonsil surgery in Swedish children over the past decades. Overall, a doubling in the total incidence rate was observed. This increase consisted mainly of an increase in surgical procedures due to obstructive/SDB indications, particularly among the youngest age group (1-3 years old). TT has gradually replaced tonsillectomy as the predominant method for tonsil surgery.

Strengths and limitations of this study

- The major strengths of the study are the large population size and the use of the Swedish National Patient Register (NPR) with its nationwide data observed over a long period of time.
- One limitation is the potential inherent weakness of the NPR with the possibilities of missing values and inaccurate data reporting.

Introduction

Tonsil surgery has an ancient history and was first described in the literature almost 3000 years ago¹. Today, tonsillectomy (TE), with or without adenoidectomy, is one of the most frequently performed surgical procedures in children, with over 530,000 TEs performed on children in the United States each year² and nearly 9000 tonsil procedures performed on children in Sweden in 2013³.

Over the past hundred years, tonsil surgery has undergone a number of changes, particularly with respect to indications and surgical methods. Prior to 1900, the predominant surgical technique was tonsillotomy (TT) with subtotal intracapsular removal of the tonsils⁴. Then, along with advances in anaesthesiology at the beginning of the 20th century, total extracapsular TE gradually became more common. This paradigm shift from TT to TE was essentially complete by 1950⁴, and during the second half of the 20th century, TE was the prevailing method. Later, in the 1990s, the previously abandoned method of TT was revived in some countries, including Sweden and Austria⁵. Compared

with TE, TT is associated with fewer perioperative morbidities, such as postoperative haemorrhaging and pain^{6,7}, and it is now primarily used to treat sleep disordered breathing (SDB) caused by upper airway obstruction due to tonsil hypertrophy⁸. Along with shifts in surgical methods, the indications for tonsil surgery have also changed considerably. At the beginning of the 20th century, in the pre-antibiotic era, infection or recurrent tonsillitis was the main indication for tonsil surgery⁹. Following the introduction of antibiotics in the 1950s, the number of TEs decreased dramatically over the following decades^{10,11}. Then, in the 1970s the first cases of pediatric obstructive sleep apnoea (OSA) were identified¹² and a successive shift in indications followed. Today, upper airway obstruction/SDB is the most common indication for tonsil surgery in children, having surpassed the second largest indication group, infectious related tonsil disease⁹. A more detailed analysis of the trends and changes in pediatric tonsil surgery during the 20th century is difficult to perform due to lack of high quality data sources. The National Board of Health and Welfare in Sweden has initiated a national registry, known as the Swedish National Patient Register (NPR), with the aim of collecting data on all healthcare procedures performed in Sweden¹³. The NPR provides the possibility of performing detailed analyses of trends and changes in health care practices in a nationwide population. Indeed, this database provides a unique source of data with few, if any, equals around the world. The importance of monitoring historic and current trends in medical practice cannot be overstated. For example, some of the most important changes in indications and methods for pediatric tonsil surgery over the last century were not the result of evidence-based research, related consequences regarding outcome, complications and economic costs are often unstudied. The objective of this study was to longitudinally describe the history of tonsil surgery in Swedish children and adolescents with respect to incidence, indications for surgery, and surgical methods, as well as age- and gender distributions. A description of the trends in pediatric tonsil surgery is a prerequisite to identifying critical research areas that will allow us to determine best practices and improve health care quality.

Materials and methods

Study design

A retrospective longitudinal population-based cohort study based on register data from the NPR and population data from Statistics Sweden.

Data sources

The Swedish National Patient Register (NPR): All medical data were collected from the NPR. Registration in the NPR is mandatory by law for both public and private care providers (except primary care) in Sweden. The NPR contains information regarding medical data (diagnoses, surgical procedures), patient-related data (gender, age, personal identity number) and information regarding health care providers. The NPR is thought to have complete national coverage for inpatient care starting in 1987¹⁴; out-patient care was included beginning in 1997 and was mandatory starting in 2001.

Statistics Sweden: a government agency that coordinates the official statistics of Sweden and provides statistical information on the Swedish population¹⁵.

Study population

The study population included all patients 1-<18 years registered in the NPR with a tonsil surgery procedure between January 1st 1987 and December 31st 2013. The search was based on surgical codes in the Nordic Medico-Statistical Committees Classification of Surgical Procedures, including both TE and TT with or without simultaneous adenoidectomy.

Diagnosis codes from the International Classification of Diseases (ICD) were collected from the NPR. Since 1987, two different ICD-classifications have been used (ICD-9 1987-1996 and ICD-10 1997-). For patients registered from 1997 (ICD-10) onward, it was possible to exclude patients with malignant disease (C- or D 0-48 codes).

Population statistics for all individuals in Sweden aged 1-<18 years during the study period were collected from the Sweden Statistics population database.

Indications for surgery were categorized in two main groups: “Obstructive/SDB” and “Infectious” (**table 1**); all other indications were referred to as “Other.” The indication groups were analysed with respect to age, gender and longitudinal incidence.

OBSTRUCTIVE/SDB	INFECTIOUS
<p>ICD-9 (1987-1996)</p> <p>307E Specific Sleep Disturbances 474B Hypertrophy of adenoids and tonsils 474C Adenoid vegetations 519W Other specified diseases of the respiratory system not elsewhere classified 780F Sleep disturbances 786A Dyspnea and respiratory abnormalities 786B Stridor 474B Hypertrophy of adenoids and tonsils 474C Adenoid vegetations 519W Other specified diseases of the respiratory system not elsewhere classified 780F Sleep disturbances 786A Dyspnea and respiratory abnormalities 786B Stridor 474B Hypertrophy of adenoids and tonsils</p>	<p>ICD-9 (1987-1996)</p> <p>101X Vincent’s angina 469X Acute nasopharyngitis 462X Acute pharyngitis 463X Acute tonsillitis 465A Acute laryngopharyngitis 465X Acute upper respiratory infection of unspecified site 472 Chronic pharyngitis and nasopharyngitis 472B Chronic pharyngitis 472C Chronic nasopharyngitis 474A Chronic tonsillitis 475X Peritonsillar abscess 682B Cellulitis/abscess, neck 101X Vincent’s angina</p>
<p>ICD-10 (1997-)</p> <p>G47.0 Insomnia G47.2 Disorders of the sleep-wake schedule G47.3 Sleep apnoea G47.30 Sleep apnoea, unspecified G47.39 Sleep apnoea, other G47.8 Other sleep disorders G47.9 Sleep disorder, unspecified J35.1 Hypertrophy of tonsils J35.2 Hypertrophy of adenoids J35.3 Hypertrophy of tonsils with hypertrophy of adenoids R06.1 Stridor R06.3 Periodic breathing R06.5 Mouth breathing R06.50 Mouth breathing and snoring R06.8 Other and unspecified abnormalities of breathing</p>	<p>ICD-10 (1997-)</p> <p>A42.2 Cervicofacial actinomycosis A42.8 Other forms of actinomycosis A42.9 Actinomycosis, unspecified A69.1 Other Vincent infections B27.0 Mononucleosis due to Epstein Barr virus B27.1 Cytomegaloviral mononucleosis B27.8 Other infectious mononucleosis B27.9 Infectious mononucleosis, unspecified J00.9 Acute nasopharyngitis J02.0 Streptococcal pharyngitis J02- Acute pharyngitis J02.9 Acute pharyngitis, unspecified J03.0 Streptococcal tonsillitis J03.8 Acute tonsillitis due to other specified organisms J03.9 Acute tonsillitis, unspecified J06.9 Acute upper respiratory infection, unspecified J31.1 Chronic nasopharyngitis J31.2 Chronic pharyngitis J35.0 Chronic tonsillitis J35.00 Chronic tonsillitis J35.09 Chronic tonsillitis</p>

Table 1. Patients were categorized into “Obstructive/SDB” and “Infectious” groups based on the diagnoses from the ICD-9 and -10 classification.

Statistical analysis

Only descriptive statistics were used. The denominator used for incidence rate calculations was the sum of the end-of-year population estimates for each year and age.

Results

In total, 167,894 tonsil surgeries (82,398 (49%) girls and 85,496 (51%) boys), were registered in the NPR between 1987 and 2013 (**table 2**).

Age group	1987-1990		1991-1994		1995-1998		1999-2002		2003-2006		2007-2010		2011-2013		1987-2013
	n	rate	n	rate	n	rate	n	Rate	n	rate	n	rate	n	rate	n
F 1-3	1 003	16	2 236	31	2 073	33	1 475	28	1 834	32	2 660	42	2 838	56	
F 4-6	2 054	36	3 938	60	4 443	62	2 801	47	2 959	54	3 881	64	3 800	78	
F 7-9	1 375	24	1 937	33	2 341	34	1 671	24	1 353	24	1 700	30	1 568	33	
F 10-12	1 146	20	1 448	25	1 497	24	1 208	17	1 153	17	1 108	19	1 032	23	
F 13-15	1 682	27	1 702	29	1 639	28	1 543	24	1 771	24	1 876	28	1 090	25	
F 16-17	1 687	38	1 621	40	1 481	38	1 535	38	2 153	46	2 592	52	1 494	47	
F subtotal	8 947	26	12 882	37	13 474	37	10 233	28	11 223	31	13 817	39	11 822	45	82 398
M 1-3	1 677	26	3 509	47	3 235	49	2 416	43	2 861	47	4 140	62	4 370	82	
M 4-6	2 934	49	5 278	76	5 979	79	3 793	61	4 017	70	5 549	87	5 051	97	
M 7-9	1 503	25	2 117	34	2 447	34	1 724	23	1 496	25	1 808	30	1 693	34	
M 10-12	790	13	1 081	18	1 008	15	847	11	851	12	863	14	805	17	
M 13-15	746	11	898	15	866	14	730	11	805	10	1 006	15	630	14	
M 16-17	766	17	769	18	672	16	768	18	1 038	21	1 235	24	725	21	
M subtotal	8 416	24	13 652	37	14 207	37	10 278	27	11 068	29	14 601	39	13 274	47	85 496
Total	17 363	25	26 534	37	27 681	37	20 511	28	22 291	30	28 418	39	25 096	46	167 894

Table 2. The number and incidence rate of tonsil surgery procedures registered in the NPR between 1987 and 2013 by age, gender (F=females, M=males) and time period. The rate was calculated as the incidence/10,000 person years.

Incidence

The overall incidence rate of registered tonsil operations in patients aged 1-<18 years increased from 22/10,000 person years in 1987 to 47/10,000 person years in 2013 (**figure 1**). This increase was continuous over the study period, with the exception of 1996 through 2001, when a decrease was observed (**figure 1**).

The most marked increase in incidence was noted in children aged 1-3 years, increasing from 17/10,000 person years in 1987 to 73/10,000 person years in 2013. This 1-3 year-old group increased their share of total tonsil surgeries from 13.5% 1987 to 29.4% in 2013.

Gender and age

Figures 2a and b show the age and gender distributions as well as the indication groups. For both genders, the indication “obstructive/SDB” was most common in the younger age groups, with a peak between ages 2 to 6, and the highest incidence (113/10,000 person years) was in 3-year-old boys with obstruction between 2008 and 2013 (**figure 2 a, b**). Infectious indications were more common in teenagers for both genders, particularly for girls, whose incidence was 3-4 times greater compared with boys of the same age. Further, **figures 2a and b** show that the highest incidence levels for tonsil surgery for boys and girls at all ages were observed over the last time period, 2008-2013. The major reason for this increase was operations in young children with obstruction.

Indications

The indications for surgery between 1987 and 2013 are presented as proportions of the total incidence in **figure 1**. The incidence of tonsil procedures performed for the indication obstructive/SDB increased nearly four-fold over this period, from 10 to 36/10,000 person years (**figure 1**). Since 1990, obstruction/SDB constituted the major indication group. In 1987, 42.4% of tonsil procedures were performed for obstructive/SDB, whereas in 2013, this proportion was 73.6%. In the youngest age groups (children 1-<4 years old), the vast majority (>90%) of tonsil procedures were performed for obstructive/SDB indications. The incidence of infectious tonsil disease as an indication remained stable over the study period.

Surgical methods

Figure 3 shows the proportions of TE and TT (+/- adenoidectomy) between 1987 and 2013. An increase in the number of TT procedures began in 1996 with a gradual annual increasing trend, followed by a sharp increase from 2006 onward. From 1997 to 2005, TT increased from 1.8% to 9.8% of all tonsil procedures, and from 2006 to 2013 it increased from 11.2% to 55.1% of all procedures. From 2011 onwards, TT was more common than TE. The major indication for TT was obstruction, and in 2013 >96% of all TTs were performed for this indication. When TE was utilized, about half (49%) of the procedures were performed due to infection in 2013, a percentage that was generally stable during the observed period.

In the youngest group (1-3 year olds), TT was most common, and in 2013, 71% of all tonsil surgeries in this age group were TTs.

Discussion

This study was based on a national cohort and describes the epidemiological trends during 1987-2013 for tonsil surgeries in Swedish children. Overall, the incidence rate for pediatric tonsil surgery has roughly doubled during the observation period due to an increase in the number of procedures performed for the obstruction/SDB indication. Furthermore, TT has gradually replaced TE as the predominant surgical method. Few previous studies have addressed these topics in large national cohorts over long periods of time.

Incidence

During the study period, 1987-2013, there was a near doubling of the incidence rate for tonsil operations in Swedish children, with incidence rates reaching 47/10,000 person years in 2013. A similar, albeit weaker, trend was reported in a Danish study based on a

1
2
3 national cohort, where the cumulative risk of tonsillectomy in the first 20 years of life
4 increased nearly 20% between 1980 and 2001¹⁶.

5 Another important observation in the present study was that the incidence for the youngest
6 group of children, 1-3 years of age, increased more than four-fold over the study period.
7 This trend was also seen in a study from the UK in which TE rates among children <4
8 years of age increased from 13.5 to 21.3/10,000 children during the period from 2001 to
9 2012¹⁷.

10 The Swedish incidence rate can be viewed as relatively low compared with other nations.
11 Van den Akker et al. reported large differences in tonsillectomy rates between several
12 European countries, the US, Canada and Australia. Incidence rates varied from 19/10,000
13 person-years in Canada to 118/10,000 in Northern Ireland¹⁸. The incidence rates in our
14 study varied from 22/10,000 person-years in 1987 to 47/10,000 in 2013. Potential
15 explanations to this variation in incidence rates between different countries include
16 differences in national guidelines for tonsil surgery, differences in the availability of
17 medical service, and differences in reimbursement systems.

18 For instance, in the Netherlands, antibiotics are not recommended for uncomplicated
19 tonsillitis, which could be a reason for the relative higher incidence of tonsillectomy in
20 that country¹⁹. By contrast, in the NHS system of the UK, the incidence rate has
21 decreased after evidence regarding outcomes following tonsil surgery for infectious
22 indications were questioned. Concomitant with this decrease in TE rates, there was an
23 increase in admissions and serious complications due to tonsil infections²⁰.

24 Interestingly, local geographic and socio-demographic factors have been reported to
25 influence tonsil surgery incidence rates^{17 21}. A recent Swedish study reported an increased
26 risk of pediatric SDB in families with low socio-economic status²², and this has also been
27 suggested to be a risk factor for group A streptococcal infections²³.

31 *Age and gender*

32 We observed a peak in incidence rates during the preschool years, the same age that the
33 prevalence of SDB/OSA peaks²⁴. This peak was higher for boys than it was for girls,
34 which is likely because childhood SDB is more common in boys²⁵. Another peak
35 occurred in teenage girls with primarily infectious indications. This difference in gender
36 distributions among teenagers could indicate that infectious tonsil diseases are more
37 prevalent in females, although the reasons for this are not fully understood. Overall the
38 observed age and gender distributions were well in line with previous publications
39 showing similar results^{21 26}.

42 *Indications*

43 Overall, a shift in the prevalence of indications was observed, with obstructive/SDB
44 indications increasing over the study period. Obstruction/SDB now constitutes the primary
45 indication for tonsil surgery in children, consistent with other published studies^{27 28}. The
46 incidence for infectious indications remained relatively stable over the study period.
47 The most common indication in the younger age group by far was obstruction/SDB,
48 which was seen in this study as well as in several previous studies^{10 28}, likely reflecting an
49 increased awareness of upper airway obstruction and SDB as a common disease in the
50 pediatric population. In a single county study from Minnesota, a comparable large-scale
51 shift in indications was observed with an increase in the percentage of SDB/obstructive
52 indications from 12% in 1970 to 77% in 2005²⁶. Bhattacharyya et al. reported that the
53 rates of paediatric adenotonsillectomy nearly doubled between 1996 and 2006, likely due to
54 increased recognition of SDB and obstructive sleep apnoea (OSA)²⁹.

Surgical methods

Along with a shift in indications, there has also been a shift in surgical methods, with TT gradually replacing TE as the most common surgical method for children in Sweden. This was also the conclusion of a study based on data from another Swedish registry independent of the NPR, the National Tonsil Register in Sweden³⁰. Since 2011, TT has become more common than TE in Sweden. That same year, new national guidelines for TT were issued in Sweden³¹. One possible explanation for our findings could be that Swedish ENT surgeons consider TT to be safe enough to perform on small children. TT has advantages over TE with respect to postoperative complications such as pain and bleeding^{6,32} with comparable outcomes for symptom relief³³. The clinical practice of TT for treating airway obstructions in children is spreading; for instance, in Austria, several serious complications due to posttonsillectomy haemorrhaging has led to a switch to TT⁵.

Strengths and limitations

In this study, there was a marked decrease in the incidence rate of tonsil surgeries between 1996 and 2008. The reasons for this decrease in the number of recorded surgeries were analysed together with the representatives from the NPR³⁴. One reason for this dip could be a failure on the part of the NPR to collect data on performed surgeries, perhaps due to the increased use of day-care surgery between 1997 and 2001. Another reason could be insufficient reporting to the NPR from private hospitals, which became more numerous during this period. However, we believe this decrease was too great to be explained by failed registrations alone and must represent an actual decrease in the number of surgeries, although the true extent of this decrease cannot be precisely determined.

The NPR is generally considered to have high coverage¹⁴, although like all clinical registers, it has potential inherent limitations, including inaccurate data reporting and missing values.

Major strengths include the large population size of the register and its wealth of unique nationwide data over a long time period. It can therefore be used to determine actual longitudinal application of a clinical practice in a specific country over long periods of time.

Conclusions

Our results showed considerable changes in clinical practices for tonsil surgery in Sweden during the period from 1987 to 2013. Overall, a doubling in the incidence rates was observed during this period. The reason for this change was an increase in surgical procedures due to upper airway obstruction/SDB, particularly among the youngest age group (1-3 years old) and among boys. Further, TT has gradually replaced TE as the predominant surgical method for obstructive/SDB indications. The incidence rates for infectious indications for tonsil surgery have remained relatively stable during the study period, and the most common patients in the infectious group were teenage girls.

Acknowledgements

The authors acknowledge Henrik Passmark at the National Board of Health and Welfare for compiling the data from the NPR and statistician Bengt Bengtsson from Statistiska Konsultgruppen for statistical analysis.

Footnotes

Ethics: This study was approved by the regional ethical review board of Gothenburg, Sweden (Dnr 534-14).

Contributorship statement: AB and JS contributed to the study design. AB, PN, DF, OS and JS contributed to the interpretation and analysis of data. AB wrote the first draft of the manuscript. PN, DF, OS and JS contributed to the final writing and revising of the manuscript, and checked for important intellectual content. All authors approved of the final manuscript as submitted.

Competing interests: None declared.

Funding: This study was supported by financial grants from the Acta Otolaryngologica Foundation, the Freemason Child House Foundation in Stockholm and The Health & Medical Care Committee of the Regional Executive Board, Region Västra Götaland.

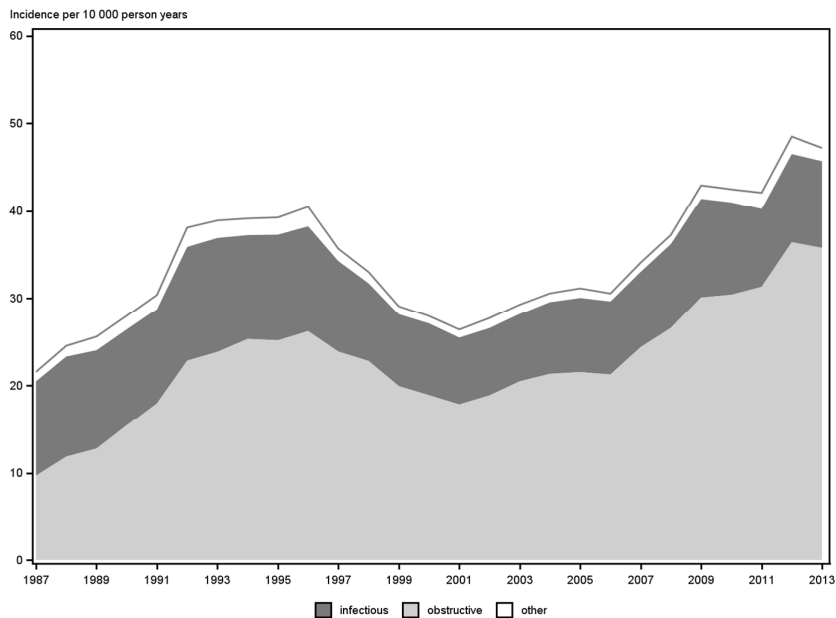
Data sharing statement: No additional data available.

References

1. McNeill RA. A History of Tonsillectomy: Two Millennia of Trauma, Haemorrhage and Controversy. *The Ulster medical journal* 1960;**29**(1):59-63.
2. Cullen KA, Hall MJ, Golosinskiy A. Ambulatory surgery in the United States, 2006. *National health statistics reports* 2009(11):1-25.
3. Iber C A-IS, Chesson A L, Quan S F,. The AASM manual for the scoring of sleep and associated events. *American Academy of Sleep Medicine* 2007.
4. Hulterantz E, Ericsson E. Factors influencing the indication for tonsillectomy: a historical overview and current concepts. *ORL; journal for oto-rhino-laryngology and its related specialties* 2013;**75**(3):184-91.
5. Sarny S, Habermann W, Ossimitz G, et al. What lessons can be learned from the Austrian events? *ORL; journal for oto-rhino-laryngology and its related specialties* 2013;**75**(3):175-81.
6. Koltai PJ, Solares CA, Koempel JA, et al. Intracapsular tonsillar reduction (partial tonsillectomy): reviving a historical procedure for obstructive sleep disordered breathing in children. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2003;**129**(5):532-8.
7. Hulterantz E, Ericsson E. Pediatric tonsillotomy with the radiofrequency technique: less morbidity and pain. *The Laryngoscope* 2004;**114**(5):871-7.
8. Windfuhr JP, Werner JA. Tonsillotomy: it's time to clarify the facts. *European archives of oto-rhino-laryngology : official journal of the European Federation of Oto-Rhino-Laryngological Societies* 2013;**270**(12):2985-96.
9. Gysin C. Indications of pediatric tonsillectomy. *ORL; journal for oto-rhino-laryngology and its related specialties* 2013;**75**(3):193-202.
10. Parker NP, Walner DL. Trends in the indications for pediatric tonsillectomy or adenotonsillectomy. *International journal of pediatric otorhinolaryngology* 2011;**75**(2):282-5.

11. Rosenfeld RM, Green RP. Tonsillectomy and adenoidectomy: changing trends. *The Annals of otology, rhinology, and laryngology* 1990;**99**(3 Pt 1):187-91.
12. Guilleminault C, Eldridge FL, Simmons FB, et al. Sleep apnea in eight children. *Pediatrics* 1976;**58**(1):23-30.
13. <http://www.socialstyrelsen.se/register/halsodataregister/patientregistret/inenglish>.
14. Ludvigsson JF, Andersson E, Ekbom A, et al. External review and validation of the Swedish national inpatient register. *BMC public health* 2011;**11**:450.
15. http://www.scb.se/en_/ (in English).
16. Vestergaard H, Wohlfahrt J, Westergaard T, et al. Incidence of tonsillectomy in Denmark, 1980 to 2001. *The Pediatric infectious disease journal* 2007;**26**(12):1117-21.
17. Koshy E, Bottle A, Murray J, et al. Changing indications and socio-demographic determinants of (adeno)tonsillectomy among children in England--are they linked? A retrospective analysis of hospital data. *PloS one* 2014;**9**(8):e103600.
18. Van Den Akker EH, Hoes AW, Burton MJ, et al. Large international differences in (adeno)tonsillectomy rates. *Clinical otolaryngology and allied sciences* 2004;**29**(2):161-4.
19. de Jongh E, Opstelten W, Werkgroep NHGSAk. [Revision of the Dutch College of General Practitioners practice guideline 'Acute sore throat']. *Nederlands tijdschrift voor geneeskunde* 2015;**159**:A9456.
20. Lau AS, Upile NS, Wilkie MD, et al. The rising rate of admissions for tonsillitis and neck space abscesses in England, 1991-2011. *Annals of the Royal College of Surgeons of England* 2014;**96**(4):307-10.
21. Boss EF, Marsteller JA, Simon AE. Outpatient tonsillectomy in children: demographic and geographic variation in the United States, 2006. *The Journal of pediatrics* 2012;**160**(5):814-9.
22. Friberg D, Lundkvist K, Li X, et al. Parental poverty and occupation as risk factors for pediatric sleep-disordered breathing. *Sleep medicine* 2015;**16**(9):1169-75.
23. Factor SH, Levine OS, Harrison LH, et al. Risk factors for pediatric invasive group A streptococcal disease. *Emerging infectious diseases* 2005;**11**(7):1062-6.
24. Ward SL, Marcus CL. Obstructive sleep apnea in infants and young children. *Journal of clinical neurophysiology : official publication of the American Electroencephalographic Society* 1996;**13**(3):198-207.
25. Lumeng JC, Chervin RD. Epidemiology of pediatric obstructive sleep apnea. *Proceedings of the American Thoracic Society* 2008;**5**(2):242-52.
26. Erickson BK, Larson DR, St Sauver JL, et al. Changes in incidence and indications of tonsillectomy and adenotonsillectomy, 1970-2005. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2009;**140**(6):894-901.
27. Mitchell RB, Pereira KD, Friedman NR. Sleep-disordered breathing in children: survey of current practice. *The Laryngoscope* 2006;**116**(6):956-8.
28. Patel HH, Straight CE, Lehman EB, et al. Indications for tonsillectomy: a 10 year retrospective review. *International journal of pediatric otorhinolaryngology* 2014;**78**(12):2151-5.
29. Bhattacharyya N, Lin HW. Changes and consistencies in the epidemiology of pediatric adenotonsillar surgery, 1996-2006. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2010;**143**(5):680-4.
30. Hultcrantz E, Ericsson E, Hemlin C, et al. Paradigm shift in Sweden from tonsillectomy to tonsillotomy for children with upper airway obstructive symptoms due to tonsillar

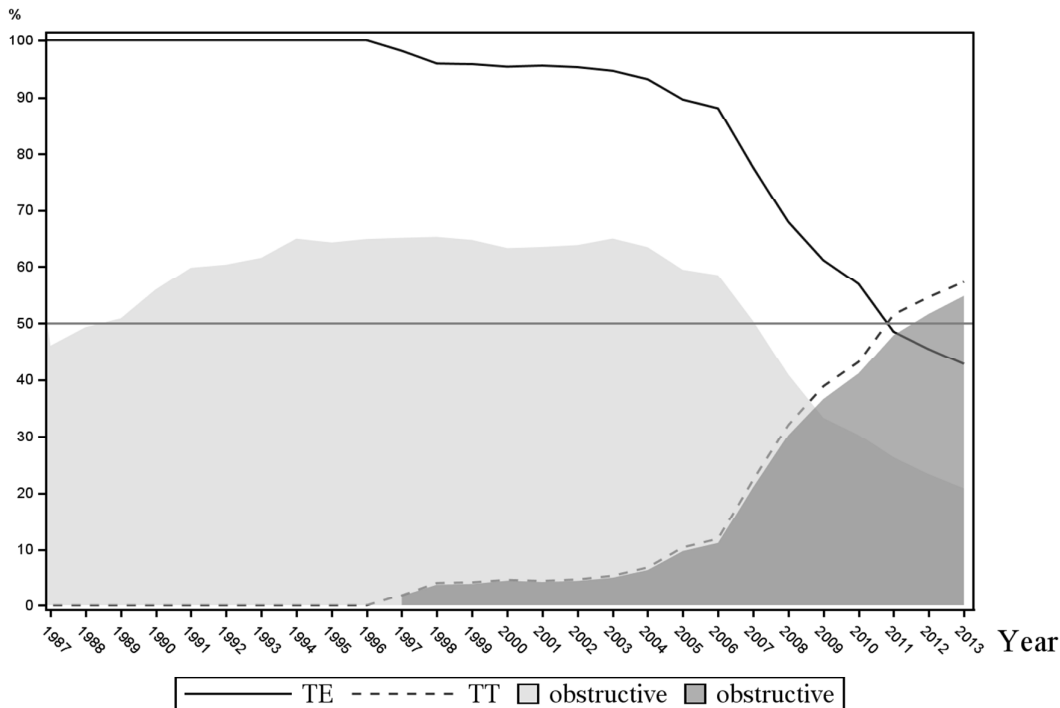
- hypertrophy. *European archives of oto-rhino-laryngology : official journal of the European Federation of Oto-Rhino-Laryngological Societies* 2013;**270**(9):2531-6.
31. Hultcrantz E eE, Hemlin C Eggertsen R, Lundeberg-Hammarström I, Marcusson A, Proczkowska-Björklund M, Stjernquist-Desatnik A, Zettergren-Wijk L, Moa G, Törnqvist H. Indikation för tonsillotomi på barn och ungdomar 2011 (Swedish) http://www.skl.se/vi_arbetar_med//halsaochvard/kvalitetsutveckling/medicinska-indikationer (accessed June 2012).
32. Acevedo JL, Shah RK, Brietzke SE. Systematic review of complications of tonsillotomy versus tonsillectomy. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2012;**146**(6):871-9.
33. Ericsson E, Lundeberg I, Hultcrantz E. Child behavior and quality of life before and after tonsillotomy versus tonsillectomy. *International journal of pediatric otorhinolaryngology* 2009;**73**(9):1254-62.
34. Personal communication with Quality Manager for the Patient Register, Anders Jacobsson.



Peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Fig 3. Operation method



2016-01-12 Analysis.sas / Fig203_TETT / Anna Borgström

review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

BMJ Open

TRENDS AND CHANGES IN PEDIATRIC TONSIL SURGERY IN SWEDEN 1987 – 2013: A POPULATION-BASED COHORT STUDY

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013346.R1
Article Type:	Research
Date Submitted by the Author:	14-Nov-2016
Complete List of Authors:	Borgström, Anna; Karolinska University Hospital, Huddinge; Karolinska Institutet, Department of Clinical Science, Intervention and Technology, CLINTEC Nerfeldt, Pia; Karolinska University Hospital, Huddinge, ORL department Friberg, Danielle; Karolinska University Hospital, Huddinge, ORL Department Sunnergren, Ola; Department of Otorhinolaryngology, Ryhov County Hospital Stalfors, Joacim; Institute of Clinical Sciences, Sahlgrenska Academy at the University of Gothenburg
Primary Subject Heading:	Ear, nose and throat/otolaryngology
Secondary Subject Heading:	Epidemiology, Paediatrics, Public health
Keywords:	tonsillectomy, tonsillotomy, tonsil surgery, register

SCHOLARONE™
Manuscripts

only

TRENDS AND CHANGES IN PEDIATRIC TONSIL SURGERY IN SWEDEN 1987 – 2013: A POPULATION-BASED COHORT STUDY

Corresponding author: **Anna Borgström**

Postal address: Department of Otorhinolaryngology B53, Karolinska University Hospital, 141 86 Stockholm, Sweden

Institution: Department of Clinical Science, Intervention and Technology, CLINTEC, Karolinska Institute, Stockholm Sweden

E-mail: anna.borgstrom@karolinska.se

Telephone number: +46-704-220211

Co-authors:

Pia Nerfeldt

Department of Otorhinolaryngology, Karolinska University Hospital
CLINTEC, Karolinska Institute, Stockholm Sweden

Danielle Friberg

Department of Otorhinolaryngology, Karolinska University Hospital
CLINTEC, Karolinska Institute, Stockholm Sweden

Ola Sunnergren

Department of Otorhinolaryngology, Ryhov County Hospital, Jönköping Sweden

Joacim Stalfors

Institute of Clinical Sciences, Sahlgrenska Academy at the University of Gothenburg,
Sweden

Key words: Tonsillectomy, Tonsillotomy, Tonsil surgery, Pediatric, Register

Trends and changes in pediatric tonsil surgery in Sweden 1987- 2013: a population-based cohort study

Borgström A, Nerfeldt P, Friberg D, Sunnergren O, Stalfors J

Abstract

Objectives: The objective of this study was to longitudinally describe the history of tonsil surgery in Swedish children and adolescents regarding incidence, indications for surgery, surgical methods, and the age- and gender distributions.

Setting: A retrospective longitudinal population-based cohort study based on register data from the Swedish National Patient Register (NPR) and population data from Statistics Sweden.

Participants: All Swedish children 1-<18 years registered in the NPR with a tonsil surgery procedure 1987-2013.

Results: 167,894 tonsil surgeries were registered in the NPR 1987-2013. An increase in the total incidence rate was observed, from 22/10,000 person years in 1987 to 47/10,000 in 2013. The most marked increase was noted in children 1-3 years of age, increasing from 17 to 73/10,000 person years over the period. The proportion children with obstructive/Sleep Disordered Breathing (SDB) indications increased from 42.4% in 1987 to 73.6% in 2013. Partial tonsillectomy, tonsillotomy (TT), increased since 1996 and in 2013 55.1% of all tonsil procedures were TTs.

Conclusions: There have been considerable changes in clinical practice for tonsil surgery in Swedish children over the past decades. Overall, a doubling in the total incidence rate was observed. This increase consisted mainly of an increase in surgical procedures due to obstructive/SDB indications, particularly among the youngest age group (1-3 years old). TT has gradually replaced tonsillectomy as the predominant method for tonsil surgery.

Strengths and limitations of this study

- This study describes the epidemiological trends of tonsil surgery in Swedish children over the past decades.
- The major strengths of the study are the large population size and the use of the Swedish National Patient Register (NPR) with unique nationwide data and high coverage.
- The study covers a long period of time and can therefore be used to determine actual longitudinal application of clinical practice concerning tonsil surgery in Sweden.
- One limitation is the potential inherent weakness of the NPR with the possibilities of missing values and inaccurate data reporting.
- Data are limited to a Swedish population and the generalisability from a global perspective might be somewhat limited, but the results can possibly indicate the evolutionary factors affecting tonsil surgery on a more global scale.

Introduction

Tonsil surgery has an ancient history and was first described in the literature almost 3000 years ago¹. Today, tonsillectomy (TE), with or without adenoidectomy, is one of the most frequently performed surgical procedures in children, with over 530,000 TEs performed

1
2
3 on children in the United States each year ² and nearly 9000 tonsil procedures performed
4 on children in Sweden in 2013 ³.

5 Over the past hundred years, tonsil surgery has undergone a number of changes,
6 particularly with respect to indications and surgical methods. Prior to 1900, the
7 predominant surgical technique was tonsillotomy (TT) with subtotal intracapsular removal
8 of the tonsils ⁴. Then, along with advances in anaesthesiology at the beginning of the 20th
9 century, total extracapsular TE gradually became more common. This paradigm shift from
10 TT to TE was essentially complete by 1950 ⁴, and during the second half of the 20th
11 century, TE was the prevailing method. Later, in the 1990s, the previously abandoned
12 method of TT was revived in some countries, including Sweden and Austria ⁵. Compared
13 with TE, TT is associated with fewer perioperative morbidities, such as postoperative
14 haemorrhage and pain ^{6,7}, and it is now primarily used to treat sleep disordered breathing
15 (SDB) caused by upper airway obstruction due to tonsil hypertrophy ⁸.

16 Along with shifts in surgical methods, the indications for tonsil surgery have also changed
17 considerably. At the beginning of the 20th century, in the pre-antibiotic era, infection or
18 recurrent tonsillitis was the main indication for tonsil surgery ⁹. Following the introduction
19 of antibiotics in the 1950s, the number of TEs decreased dramatically over the following
20 decades ^{10,11}. Then, in the 1970s the first cases of pediatric obstructive sleep apnoea
21 (OSA) were identified ¹² and a successive shift in indications followed. Today, upper
22 airway obstruction/SDB is the most common indication for tonsil surgery in children,
23 having surpassed the second largest indication group, infectious related tonsil disease ⁹.

24 A more detailed analysis of the trends and changes in pediatric tonsil surgery during the
25 20th century is difficult to perform due to lack of high quality data sources.
26 The National Board of Health and Welfare in Sweden has initiated a national registry,
27 known as the Swedish National Patient Register (NPR), with the aim of collecting data on
28 all healthcare procedures performed in Sweden ¹³. The NPR provides the possibility of
29 performing detailed analyses of trends and changes in health care practices in a
30 nationwide population. Indeed, this database provides a unique source of data with few, if
31 any, equals around the world.

32 The importance of monitoring historic and current trends in medical practice cannot be
33 overstated. Some of the most important changes in indications and methods for pediatric
34 tonsil surgery over the last century were not the result of evidence-based research, rather
35 they were based on local praxis and traditions. Furthermore, the related consequences of
36 these changes regarding outcome, complications and economic costs are often unstudied.
37 The objective of this study was to longitudinally describe the history of tonsil surgery in
38 Swedish children and adolescents with respect to incidence, indications for surgery, and
39 surgical methods, as well as age- and gender distributions. A description of the trends in
40 pediatric tonsil surgery is a prerequisite to identifying critical research areas that will
41 allow us to determine best practices and improve health care quality and can also be a
42 guide to future public health planning.

43 44 45 46 47 48 49 **Materials and methods**

50 51 *Study design*

52 A retrospective longitudinal population-based cohort study based on register data from the
53 NPR and population data from Statistics Sweden.

54 55 *Data sources*

56 **The Swedish National Patient Register (NPR):** All medical data were collected from
57 the NPR. Registration in the NPR is mandatory by law for both public and private care
58
59
60

1
2
3 providers (except primary care) in Sweden. The NPR contains information regarding
4 medical data (diagnoses, surgical procedures), patient-related data (gender, age, personal
5 identity number) and information regarding health care providers. The NPR is thought to
6 have complete national coverage for inpatient care starting in 1987¹⁴; out-patient care was
7 included beginning in 1997 and was mandatory starting in 2001.

8 **Statistics Sweden:** a government agency that coordinates the official statistics of Sweden
9 and provides statistical information on the Swedish population¹⁵.

11 *Study population*

12 The study population included all patients 1-<18 years registered in the NPR with a tonsil
13 surgery procedure between January 1st 1987 and December 31st 2013. The search was
14 based on surgical codes in the Nordic Medico-Statistical Committees Classification of
15 Surgical Procedures, including both TE and TT with or without simultaneous
16 adenoidectomy.

17
18 Diagnosis codes from the International Classification of Diseases (ICD) were collected
19 from the NPR. Since 1987, two different ICD-classifications have been used (ICD-9
20 1987-1996 and ICD-10 1997-). For patients registered from 1997 (ICD-10) onward, it
21 was possible to exclude patients with malignant disease (C- or D 0-48 codes).

22 Population statistics for all individuals in Sweden aged 1-<18 years during the study
23 period were collected from the Sweden Statistics population database.

24 Indications for surgery were categorized in two main groups: “Obstructive/SDB” and
25 “Infectious” (**table 1**); all other indications were referred to as “Other.” The indication
26 groups were analysed with respect to age, gender and longitudinal incidence.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

OBSTRUCTIVE/SDB	INFECTIOUS
<p>ICD-9 (1987-1996) 307E Specific Sleep Disturbances 474B Hypertrophy of adenoids and tonsils 474C Adenoid vegetations 519W Other specified diseases of the respiratory system not elsewhere classified 780F Sleep disturbances 786A Dyspnea and respiratory abnormalities 786B Stridor 474B Hypertrophy of adenoids and tonsils 474C Adenoid vegetations 519W Other specified diseases of the respiratory system not elsewhere classified 780F Sleep disturbances 786A Dyspnea and respiratory abnormalities 786B Stridor 474B Hypertrophy of adenoids and tonsils</p>	<p>ICD-9 (1987-1996) 101X Vincent's angina 469X Acute nasopharyngitis 462X Acute pharyngitis 463X Acute tonsillitis 465A Acute laryngopharyngitis 465X Acute upper respiratory infection of unspecified site 472 Chronic pharyngitis and nasopharyngitis 472B Chronic pharyngitis 472C Chronic nasopharyngitis 474A Chronic tonsillitis 475X Peritonsillar abscess 682B Cellulitis/abscess, neck 101X Vincent's angina</p>
<p>ICD-10 (1997-) G47.0 Insomnia G47.2 Disorders of the sleep-wake schedule G47.3 Sleep apnoea G47.30 Sleep apnoea, unspecified G47.39 Sleep apnoea, other G47.8 Other sleep disorders G47.9 Sleep disorder, unspecified J35.1 Hypertrophy of tonsils J35.2 Hypertrophy of adenoids J35.3 Hypertrophy of tonsils with hypertrophy of adenoids R06.1 Stridor R06.3 Periodic breathing R06.5 Mouth breathing R06.50 Mouth breathing and snoring R06.8 Other and unspecified abnormalities of breathing</p>	<p>ICD-10 (1997-) A42.2 Cervicofacial actinomycosis A42.8 Other forms of actinomycosis A42.9 Actinomycosis, unspecified A69.1 Other Vincent infections B27.0 Mononucleosis due to Epstein Barr virus B27.1 Cytomegaloviral mononucleosis B27.8 Other infectious mononucleosis B27.9 Infectious mononucleosis, unspecified J00.9 Acute nasopharyngitis J02.0 Streptococcal pharyngitis J02- Acute pharyngitis J02.9 Acute pharyngitis, unspecified J03.0 Streptococcal tonsillitis J03.8 Acute tonsillitis due to other specified organisms J03.9 Acute tonsillitis, unspecified J06.9 Acute upper respiratory infection, unspecified J31.1 Chronic nasopharyngitis J31.2 Chronic pharyngitis J35.0 Chronic tonsillitis J35.00 Chronic tonsillitis J35.09 Chronic tonsillitis</p>

Table 1. Patients were categorized into “Obstructive/SDB” and “Infectious” groups based on the diagnoses from the ICD-9 and -10 classification.

Statistical analysis

Mainly descriptive statistics were used. The denominator used for incidence rate calculations was the sum of the end-of-year population estimates for each year and age. For comparison of the event rate between boys and girls, assuming that the events are Poisson distributed, an exact binomial test was performed.

Gender differences regarding indication for surgery in specific age groups were tested using the Cochran-Mantel-Haenszel test.

Incidence rate trends were tested by using joinpoint regression models (Joinpoint Regression program, version 4.3.1.0 – April 2016; Statistical Methodology and Applications Branch, Surveillance Research Program, National Cancer Institute), that identified points (joinpoints) where linear trends of tonsil surgery changed significantly in direction or magnitude. The rate of change for each trend was tested to determine whether the change was significantly different from zero. In the final model each trend is described by an annual percentage change (APC) with a 95% confidence interval (CI). The joinpoint analyses were performed for boys and girls separately.

All significance tests were two-sided and conducted at the 5% significance level. All analyses except the Joinpoint regression were performed with SAS System Version 9, SAS Inst., Cary, NC, US.

Results

In total, 167,894 tonsil surgeries (82,398 (49%) girls and 85,496 (51%) boys), were registered in the NPR between 1987 and 2013 (**table 2**).

Age group	1987-1990		1991-1994		1995-1998		1999-2002		2003-2006		2007-2010		2011-2013		1987-2013
	n	rate	n	rate	n	rate	n	Rate	n	rate	n	rate	n	rate	n
F 1-3	1 003	16	2 236	31	2 073	33	1 475	28	1 834	32	2 660	42	2 838	56	
F 4-6	2 054	36	3 938	60	4 443	62	2 801	47	2 959	54	3 881	64	3 800	78	
F 7-9	1 375	24	1 937	33	2 341	34	1 671	24	1 353	24	1 700	30	1 568	33	
F 10-12	1 146	20	1 448	25	1 497	24	1 208	17	1 153	17	1 108	19	1 032	23	
F 13-15	1 682	27	1 702	29	1 639	28	1 543	24	1 771	24	1 876	28	1 090	25	
F 16-17	1 687	38	1 621	40	1 481	38	1 535	38	2 153	46	2 592	52	1 494	47	
F subtotal	8 947	26	12 882	37	13 474	37	10 233	28	11 223	31	13 817	39	11 822	45	82 398
M 1-3	1 677	26	3 509	47	3 235	49	2 416	43	2 861	47	4 140	62	4 370	82	
M 4-6	2 934	49	5 278	76	5 979	79	3 793	61	4 017	70	5 549	87	5 051	97	
M 7-9	1 503	25	2 117	34	2 447	34	1 724	23	1 496	25	1 808	30	1 693	34	
M 10-12	790	13	1 081	18	1 008	15	847	11	851	12	863	14	805	17	
M 13-15	746	11	898	15	866	14	730	11	805	10	1 006	15	630	14	
M 16-17	766	17	769	18	672	16	768	18	1 038	21	1 235	24	725	21	
M subtotal	8 416	24	13 652	37	14 207	37	10 278	27	11 068	29	14 601	39	13 274	47	85 496
Total	17 363	25	26 534	37	27 681	37	20 511	28	22 291	30	28 418	39	25 096	46	167 894
p-value															0.0011

Table 2. The number and incidence rate of tonsil surgery procedures registered in the NPR between 1987 and 2013 by age, gender (F=females, M=males) and time period. The rate was calculated as the incidence/10,000 person years. The p-value for the gender difference is shown.

Incidence

The overall incidence rate of registered tonsil operations in patients aged 1-<18 years increased from 22/10,000 person years in 1987 to 47/10,000 person years in 2013 (**figure 1**). This increase was continuous over the study period, with the exception of 1996 through 2001, when a decrease was observed (**figure 1**).

The most marked increase in incidence was noted in children aged 1-3 years, increasing from 17/10,000 person years in 1987 to 73/10,000 person years in 2013. This 1-3 year-old group increased their share of total tonsil surgeries from 13.5% 1987 to 29.4% in 2013.

The trends in incidence rates for boys and girls are shown in **figure 2a+b**. Trends were similar and significant for both genders (**table 3**).

3a(Boys)					
Lower end point	Upper endpoint	APC	Lower 95% CI	Upper 95% CI	p-value
1987	1994	12.0	9.3	14.8	<0.001
1994	2001	-7.7	-10.2	-5.1	<0.001
2001	2013	6.0	5.0	6.9	<0.001

3b(Girls)					
Lower end point	Upper endpoint	APC	Lower 95% CI	Upper 95% CI	p-value
1987	1995	7.1	5.3	8.9	<0.001
1995	2001	-7.2	-10.2	-4.2	<0.001
2001	2013	4.9	4.0	5.8	>0.001

Table 3a+b. Summary of joinpoint annual percentage change (APC) for tonsil surgery incidence in boys (3a) and girls (3b) 1987-2013. The APC is significant different from zero at $\alpha=0.05$. CI, Confidence interval.

Gender and age

For the total time period the incidence difference between the genders were significant ($p=0.0011$, exact binomial test), showing a dominance of boys (**table 2**).

Figures 3a and b show the age and gender distributions as well as the indication groups. For both genders, the indication “obstructive/SDB” was most common in the younger age groups, with a peak between ages 2 to 6, and the highest incidence (113/10,000 person years) was in 3-year-old boys with obstruction between 2008 and 2013 (**figure 3 a, b**). Infectious indications were more common in teenagers for both genders, particularly for girls, whose incidence was 3-4 times greater compared with boys of the same age.

The gender differences were significant in the age group 2-6 year olds who were operated for obstructive/SDB indications with a male dominance ($p<0.001$) as well as in the age group 14-17 year olds operated for infection with a female dominance ($p<0.001$, Cochran-Mantel-Haenszel test).

Further, **figures 3a and b** show that the highest incidence levels for tonsil surgery for boys and girls at all ages were observed over the last time period, 2008-2013. The major reason for this increase was operations in young children with obstruction.

Indications

The indications for surgery between 1987 and 2013 are presented as proportions of the total incidence in **figure 1**. The incidence of tonsil procedures performed for the indication obstructive/SDB increased nearly four-fold over this period, from 10 to

36/10,000 person years (**figure 1**). Since 1990, obstruction/SDB constituted the major indication group. In 1987, 42.4% of tonsil procedures were performed for obstructive/SDB, whereas in 2013, this proportion was 73.6%. In the youngest age groups (children 1-<4 years old), the vast majority (>90%) of tonsil procedures were performed for obstructive/SDB indications. The incidence of infectious tonsil disease as an indication remained stable over the study period.

Surgical methods

Figure 4 shows the proportions of TE and TT (+/- adenoidectomy) between 1987 and 2013. An increase in the number of TT procedures began in 1996 with a gradual annual increasing trend, followed by a sharp increase from 2006 onward. From 1997 to 2005, TT increased from 1.8% to 9.8% of all tonsil procedures, and from 2006 to 2013 it increased from 11.2% to 55.1% of all procedures. From 2011 onwards, TT was more common than TE. The major indication for TT was obstruction, and in 2013 >96% of all TTs were performed for this indication. When TE was utilized, about half (49%) of the procedures were performed due to infection in 2013, a percentage that was generally stable during the observed period.

In the youngest group (1-3 year olds), TT was most common, and in 2013, 71% of all tonsil surgeries in this age group were TTs.

Discussion

This study was based on a national cohort and describes the epidemiological trends during 1987-2013 for tonsil surgeries in Swedish children. Overall, the incidence rate for pediatric tonsil surgery has roughly doubled during the observation period due to an increase in the number of procedures performed for the obstruction/SDB indication. Furthermore, TT has gradually replaced TE as the predominant surgical method. Few previous studies have addressed these topics in large national cohorts over long periods of time.

Incidence

During the study period, 1987-2013, there was a near doubling of the incidence rate for tonsil operations in Swedish children, with incidence rates reaching 47/10,000 person years in 2013. A similar, albeit weaker, trend was reported in a Danish study based on a national cohort, where the cumulative risk of tonsillectomy in the first 20 years of life increased nearly 20% between 1980 and 2001¹⁶.

Another important observation in the present study was that the incidence for the youngest group of children, 1-3 years of age, increased more than four-fold over the study period. This trend was also seen in a study from the UK in which TE rates among children <4 years of age increased from 13.5 to 21.3/10,000 children during the period from 2001 to 2012¹⁷.

The Swedish incidence rate can be viewed as relatively low compared with other nations. Van den Akker et al. reported large differences in tonsillectomy rates between several European countries, the US, Canada and Australia. Incidence rates varied from 19/10,000 person-years in Canada to 118/10,000 in Northern Ireland¹⁸. The incidence rates in our study varied from 22/10,000 person-years in 1987 to 47/10,000 in 2013. Potential explanations to this variation in incidence rates between different countries include differences in national guidelines for tonsil surgery, differences in the availability of medical service, and differences in reimbursement systems.

1
2
3 For instance, in the Netherlands, antibiotics are not recommended for uncomplicated
4 tonsillitis, which could be a reason for the relative higher incidence of tonsillectomy in
5 that country¹⁹. By contrast, in the NHS system of the UK, the incidence rate has
6 decreased after evidence regarding outcomes following tonsil surgery for infectious
7 indications were questioned. Concomitant with this decrease in TE rates, there was an
8 increase in admissions and serious complications due to tonsil infections²⁰.
9 Interestingly, local geographic and socio-demographic factors have been reported to
10 influence tonsil surgery incidence rates^{17 21}. A recent Swedish study reported an increased
11 risk of pediatric SDB in families with low socio-economic status²², and this has also been
12 suggested to be a risk factor for group A streptococcal infections²³.
13
14

15 *Age and gender*

16 We observed a peak in incidence rates during the preschool years, the same age that the
17 prevalence of SDB/OSA peaks²⁴. This peak was significantly higher for boys than it was
18 for girls, which is likely because childhood SDB is more common in boys²⁵. Another
19 peak occurred in teenage girls with primarily infectious indications. This significant
20 difference in gender distributions among teenagers could indicate that infectious tonsil
21 diseases are more prevalent in females, although the reasons for this are not fully
22 understood. Overall the observed age and gender distributions were well in line with
23 previous publications showing similar results^{21 26}.
24
25

26 *Indications*

27 Overall, a shift in the prevalence of indications was observed, with obstructive/SDB
28 indications increasing over the study period. Obstruction/SDB now constitutes the primary
29 indication for tonsil surgery in children, consistent with other published studies^{27 28}. The
30 incidence for infectious indications remained relatively stable over the study period.
31 The most common indication in the younger age group by far was obstruction/SDB,
32 which was seen in this study as well as in several previous studies^{10 28}, likely reflecting an
33 increased awareness of upper airway obstruction and SDB as a common disease in the
34 pediatric population. In a single county study from Minnesota, a comparable large-scale
35 shift in indications was observed with an increase in the percentage of SDB/obstructive
36 indications from 12% in 1970 to 77% in 2005²⁶. Bhattacharyya et al. reported that the
37 rates of paediatric adenotonsillectomy nearly doubled between 1996 and 2006, likely due to
38 increased recognition of SDB and obstructive sleep apnoea (OSA)²⁹. An increasing use of
39 polysomnography (PSG) could have supported this theory of increased awareness of
40 obstructive/SDB indications but no such data were available in our database for this
41 cohort. Overall, PSG is very sparsely used in children in Sweden.
42 Another possible explanation to the increase in obstructive/SDB indications could be
43 increasing prevalence of obesity. However, studies of obesity among Swedish children
44 have observed an increase from 1984 to 2000, but thereafter a stabilization of the
45 prevalence³⁰⁻³². In our database no information about obesity was available and therefore
46 this could not be further analysed.
47
48
49
50

51 *Surgical methods*

52 Along with a shift in indications, there has also been a shift in surgical methods, with TT
53 gradually replacing TE as the most common surgical method for children in Sweden. This
54 was also the conclusion of a study based on data from another Swedish registry
55 independent of the NPR, the National Tonsil Register in Sweden³³. Since 2011, TT has
56 become more common than TE in Sweden. That same year, new national guidelines for
57 TT were issued in Sweden³⁴. One possible explanation for our findings could be that
58
59
60

1
2
3 Swedish ENT surgeons consider TT to be safe enough to perform on small children. TT
4 has advantages over TE with respect to postoperative complications such as pain and
5 bleeding^{6 35} with comparable outcomes for symptom relief³⁶. A known disadvantage of
6 TT is regrowth of tonsils with recurrence of obstructive/SDB symptoms and need for
7 reoperation. A recent Swedish study based on the NPR found a seven times higher risk for
8 reoperation after TT than after TE, with the highest risk in the youngest age groups³⁷.
9 However, the clinical practice of TT for treating airway obstructions in children seem to
10 be spreading; for instance, in Austria, several serious complications with
11 posttonsillectomy haemorrhage has led to a switch to TT⁵.
12

13 *Strengths and limitations*

14
15 In this study, there was a marked decrease in the incidence rate of tonsil surgeries between
16 1996 and 2001. The reasons for this decrease in the number of recorded surgeries were
17 analysed together with the representatives from the NPR³⁸. One reason for this dip could
18 be a failure on the part of the NPR to collect data on performed surgeries, perhaps due to
19 the increased use of day-care surgery between 1997 and 2001. Another reason could be
20 insufficient reporting to the NPR from private hospitals, which became more numerous
21 during this period. However, we believe this decrease was too great to be explained by
22 failed registrations alone and must represent an actual decrease in the number of surgeries,
23 although the true extent of this decrease cannot be precisely determined.

24 The NPR is generally considered to have high coverage¹⁴, although like all clinical
25 registers, it has potential inherent limitations, including inaccurate data reporting and
26 missing values.

27
28 Major strengths include the large population size of the register and its wealth of unique
29 nationwide data over a long time period. It can therefore be used to determine actual
30 longitudinal application of a clinical practice in a specific country over long periods of
31 time.
32

33 **Conclusions**

34
35
36
37 Our results showed considerable changes in clinical practices for tonsil surgery in Sweden
38 during the period from 1987 to 2013. Overall, a doubling in the incidence rates was
39 observed during this period. The reason for this change was an increase in surgical
40 procedures due to upper airway obstruction/SDB, particularly among the youngest age
41 group (1-3 years old) and among boys. Further, TT has gradually replaced TE as the
42 predominant surgical method for obstructive/SDB indications. The incidence rates for
43 infectious indications for tonsil surgery have remained relatively stable during the study
44 period, and the most common patients in the infectious group were teenage girls.
45

46 **Acknowledgements**

47
48 The authors acknowledge Henrik Passmark at the National Board of Health and Welfare
49 for compiling the data from the NPR and statisticians Bengt Bengtsson and Nils-Gunnar
50 Pehrsson from Statistiska Konsultgruppen for statistical analysis.
51
52

53 **Footnotes**

Ethics: This study was approved by the regional ethical review board of Gothenburg, Sweden (Dnr 534-14).

Contributorship statement: AB and JS contributed to the study design. AB, PN, DF, OS and JS contributed to the interpretation and analysis of data. AB wrote the first draft of the manuscript. PN, DF, OS and JS contributed to the final writing and revising of the manuscript, and checked for important intellectual content. All authors approved of the final manuscript as submitted.

Competing interests: None declared.

Funding: This study was supported by financial grants from the Acta Otolaryngologica Foundation, the Freemason Child House Foundation in Stockholm and The Health & Medical Care Committee of the Regional Executive Board, Region Västra Götaland.

Data sharing statement: No additional data available.

References

1. McNeill RA. A History of Tonsillectomy: Two Millenia of Trauma, Haemorrhage and Controversy. The Ulster medical journal 1960;**29**(1):59-63.
2. Cullen KA, Hall MJ, Golosinskiy A. Ambulatory surgery in the United States, 2006. National health statistics reports 2009(11):1-25.
3. Iber C A-IS, Chesson A L, Quan S F,. The AASM manual for the scoring of sleep and associated events. American Academy of Sleep Medicine 2007.
4. Hulterantz E, Ericsson E. Factors influencing the indication for tonsillectomy: a historical overview and current concepts. ORL; journal for oto-rhino-laryngology and its related specialties 2013;**75**(3):184-91.
5. Sarny S, Habermann W, Ossimitz G, et al. What lessons can be learned from the Austrian events? ORL; journal for oto-rhino-laryngology and its related specialties 2013;**75**(3):175-81.
6. Koltai PJ, Solares CA, Koempel JA, et al. Intracapsular tonsillar reduction (partial tonsillectomy): reviving a historical procedure for obstructive sleep disordered breathing in children. Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery 2003;**129**(5):532-8.
7. Hulterantz E, Ericsson E. Pediatric tonsillotomy with the radiofrequency technique: less morbidity and pain. The Laryngoscope 2004;**114**(5):871-7.
8. Windfuhr JP, Werner JA. Tonsillotomy: it's time to clarify the facts. European archives of oto-rhino-laryngology : official journal of the European Federation of Oto-Rhino-Laryngological Societies 2013;**270**(12):2985-96.
9. Gysin C. Indications of pediatric tonsillectomy. ORL; journal for oto-rhino-laryngology and its related specialties 2013;**75**(3):193-202.
10. Parker NP, Walner DL. Trends in the indications for pediatric tonsillectomy or adenotonsillectomy. International journal of pediatric otorhinolaryngology 2011;**75**(2):282-5.
11. Rosenfeld RM, Green RP. Tonsillectomy and adenoidectomy: changing trends. The Annals of otology, rhinology, and laryngology 1990;**99**(3 Pt 1):187-91.

12. Guilleminault C, Eldridge FL, Simmons FB, et al. Sleep apnea in eight children. *Pediatrics* 1976;**58**(1):23-30.
13. <http://www.socialstyrelsen.se/register/halsodataregister/patientregistret/inenglish>.
14. Ludvigsson JF, Andersson E, Ekbom A, et al. External review and validation of the Swedish national inpatient register. *BMC public health* 2011;**11**:450.
15. <http://www.scb.se/en/> (in English).
16. Vestergaard H, Wohlfahrt J, Westergaard T, et al. Incidence of tonsillectomy in Denmark, 1980 to 2001. *The Pediatric infectious disease journal* 2007;**26**(12):1117-21.
17. Koshy E, Bottle A, Murray J, et al. Changing indications and socio-demographic determinants of (adeno)tonsillectomy among children in England--are they linked? A retrospective analysis of hospital data. *PloS one* 2014;**9**(8):e103600.
18. Van Den Akker EH, Hoes AW, Burton MJ, et al. Large international differences in (adeno)tonsillectomy rates. *Clinical otolaryngology and allied sciences* 2004;**29**(2):161-4.
19. de Jongh E, Opstelten W, Werkgroep NHGSAk. [Revision of the Dutch College of General Practitioners practice guideline 'Acute sore throat']. *Nederlands tijdschrift voor geneeskunde* 2015;**159**:A9456.
20. Lau AS, Upile NS, Wilkie MD, et al. The rising rate of admissions for tonsillitis and neck space abscesses in England, 1991-2011. *Annals of the Royal College of Surgeons of England* 2014;**96**(4):307-10.
21. Boss EF, Marsteller JA, Simon AE. Outpatient tonsillectomy in children: demographic and geographic variation in the United States, 2006. *The Journal of pediatrics* 2012;**160**(5):814-9.
22. Friberg D, Lundkvist K, Li X, et al. Parental poverty and occupation as risk factors for pediatric sleep-disordered breathing. *Sleep medicine* 2015;**16**(9):1169-75.
23. Factor SH, Levine OS, Harrison LH, et al. Risk factors for pediatric invasive group A streptococcal disease. *Emerging infectious diseases* 2005;**11**(7):1062-6.
24. Ward SL, Marcus CL. Obstructive sleep apnea in infants and young children. *Journal of clinical neurophysiology : official publication of the American Electroencephalographic Society* 1996;**13**(3):198-207.
25. Lumeng JC, Chervin RD. Epidemiology of pediatric obstructive sleep apnea. *Proceedings of the American Thoracic Society* 2008;**5**(2):242-52.
26. Erickson BK, Larson DR, St Sauver JL, et al. Changes in incidence and indications of tonsillectomy and adenotonsillectomy, 1970-2005. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2009;**140**(6):894-901.
27. Mitchell RB, Pereira KD, Friedman NR. Sleep-disordered breathing in children: survey of current practice. *The Laryngoscope* 2006;**116**(6):956-8.
28. Patel HH, Straight CE, Lehman EB, et al. Indications for tonsillectomy: a 10 year retrospective review. *International journal of pediatric otorhinolaryngology* 2014;**78**(12):2151-5.
29. Bhattacharyya N, Lin HW. Changes and consistencies in the epidemiology of pediatric adenotonsillar surgery, 1996-2006. *Otolaryngology--head and neck surgery : official journal of American Academy of Otolaryngology-Head and Neck Surgery* 2010;**143**(5):680-4.
30. Marild S, Bondestam M, Bergstrom R, et al. Prevalence trends of obesity and overweight among 10-year-old children in western Sweden and relationship with parental body mass index. *Acta paediatrica* 2004;**93**(12):1588-95.

- 1
2
3 31. Moraesus L, Lissner L, Sjoberg A. Stable prevalence of obesity in Swedish schoolchildren
4 from 2008 to 2013 but widening socio-economic gap in girls. *Acta paediatrica*
5 2014;**103**(12):1277-84.
- 6 32. de Munter JS, Friedl A, Lind S, et al. Stability in the prevalence of Swedish children who
7 were overweight or obese in 2003 and 2011. *Acta paediatrica* 2016;**105**(10):1173-80.
- 8 33. Hulterantz E, Ericsson E, Hemlin C, et al. Paradigm shift in Sweden from tonsillectomy to
9 tonsillotomy for children with upper airway obstructive symptoms due to tonsillar
10 hypertrophy. *European archives of oto-rhino-laryngology : official journal of the*
11 *European Federation of Oto-Rhino-Laryngological Societies* 2013;**270**(9):2531-6.
- 12 34. Hulterantz E, Hemlin C, Eggertsen R, Lundeborg-Hammarström I, Marcusson A,
13 Proczkowska-Björklund M, Stjernquist-Desatnik A, Zettergren-Wijk L, Moa G,
14 Törnqvist H. Indikation för tonsillotomi på barn och ungdomar 2011 (Swedish
15)[http://www.skl.se/vi_arbetar_med/halsaochvard/kvalitetsutveckling/medicinska-](http://www.skl.se/vi_arbetar_med/halsaochvard/kvalitetsutveckling/medicinska-indikationer)
16 [indikationer](http://www.skl.se/vi_arbetar_med/halsaochvard/kvalitetsutveckling/medicinska-indikationer) (accessed June 2012) .
- 17 35. Acevedo JL, Shah RK, Brietzke SE. Systematic review of complications of tonsillotomy
18 versus tonsillectomy. *Otolaryngology--head and neck surgery : official journal of*
19 *American Academy of Otolaryngology-Head and Neck Surgery* 2012;**146**(6):871-9.
- 20 36. Ericsson E, Lundeborg I, Hulterantz E. Child behavior and quality of life before and after
21 tonsillotomy versus tonsillectomy. *International journal of pediatric*
22 *otorhinolaryngology* 2009;**73**(9):1254-62.
- 23 37. Odhagen E, Sunnergren O, Hemlin C, et al. Risk of reoperation after tonsillotomy versus
24 tonsillectomy: a population-based cohort study. *European archives of oto-rhino-*
25 *laryngology* 2016;**273**(10):3263-8
- 26 38. Personal communication with Quality Manager for the Patient Register, Anders
27 Jacobsson.
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

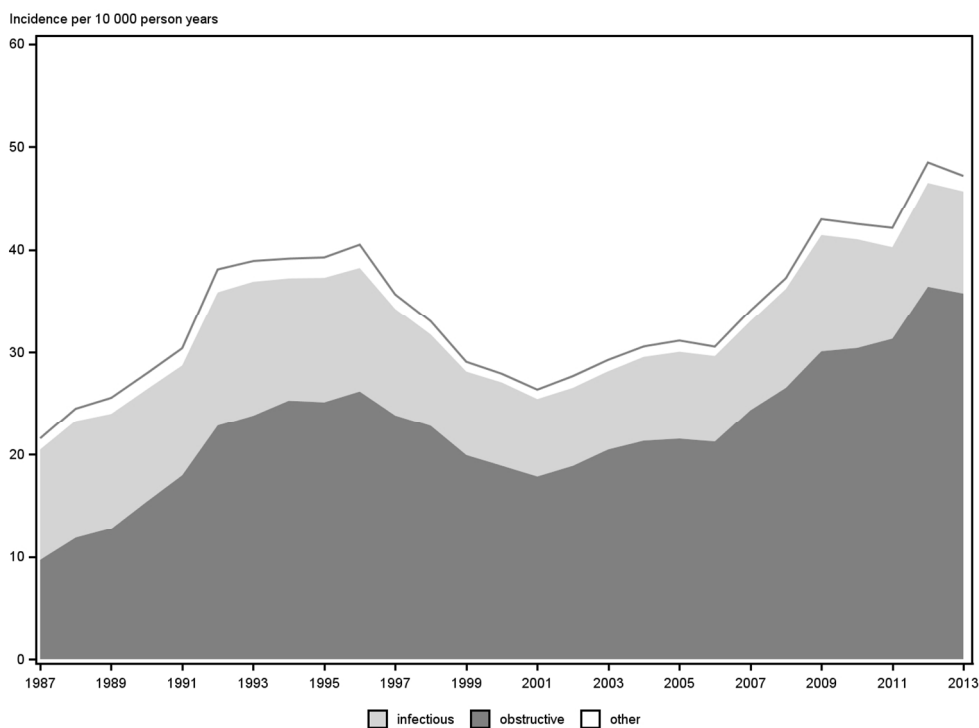
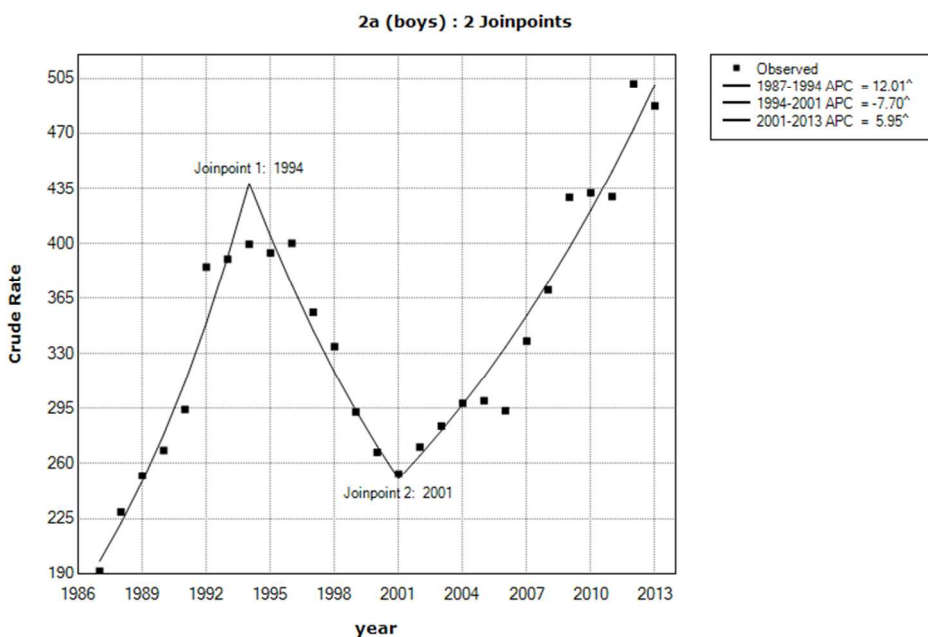


Figure 1. The incidence and indications of tonsil surgery in children aged 1-<18 years from 1987-2013. Incidence/10,000 person years.

119x90mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



211x143mm (96 x 96 DPI)

view only

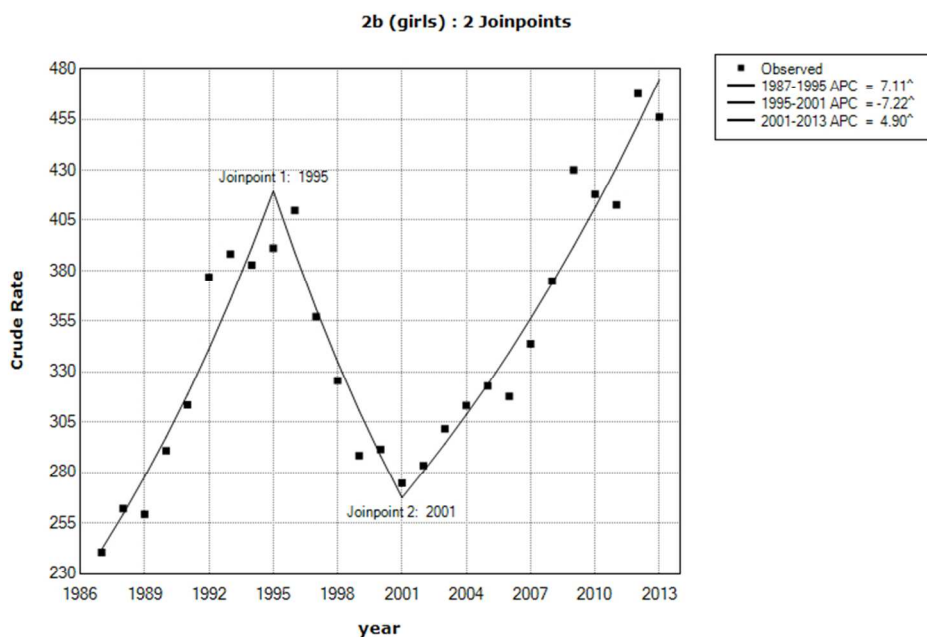
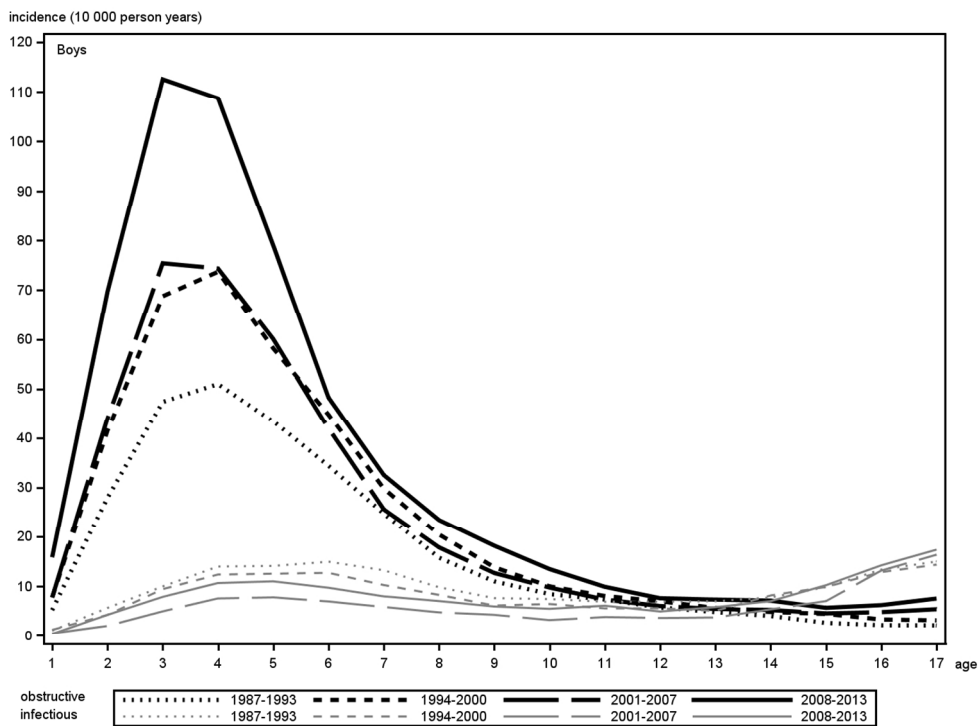


Figure 2 a+b. Joinpoint regression analyses showing the trends in the incidence rate of tonsil surgery for boys (2a) and girls (2b) 1987-2013. For both genders, two joinpoints were observed (1994 and 2001 for boys, 1995 and 2001 for girls). The crude rate is the incidence rate/100,000 person years. APC, annual percentage change.

211x143mm (96 x 96 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



119x90mm (300 x 300 DPI)

ew only

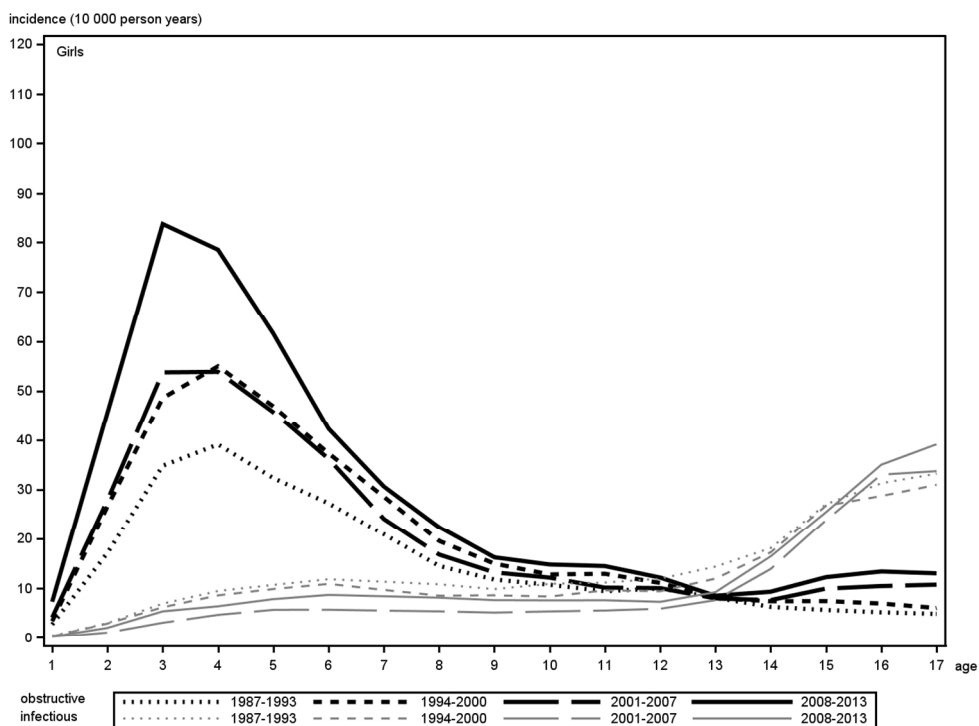


Figure 3a and b. Incidence of tonsil surgery procedures for boys (3a) and girls (3b) aged 1-<18 years between 1987 and 2013 separated by age and indication. Black lines represent obstructive indications and grey lines represent infectious. Each curve represents a 6- or 7-year period.++

119x90mm (300 x 300 DPI)

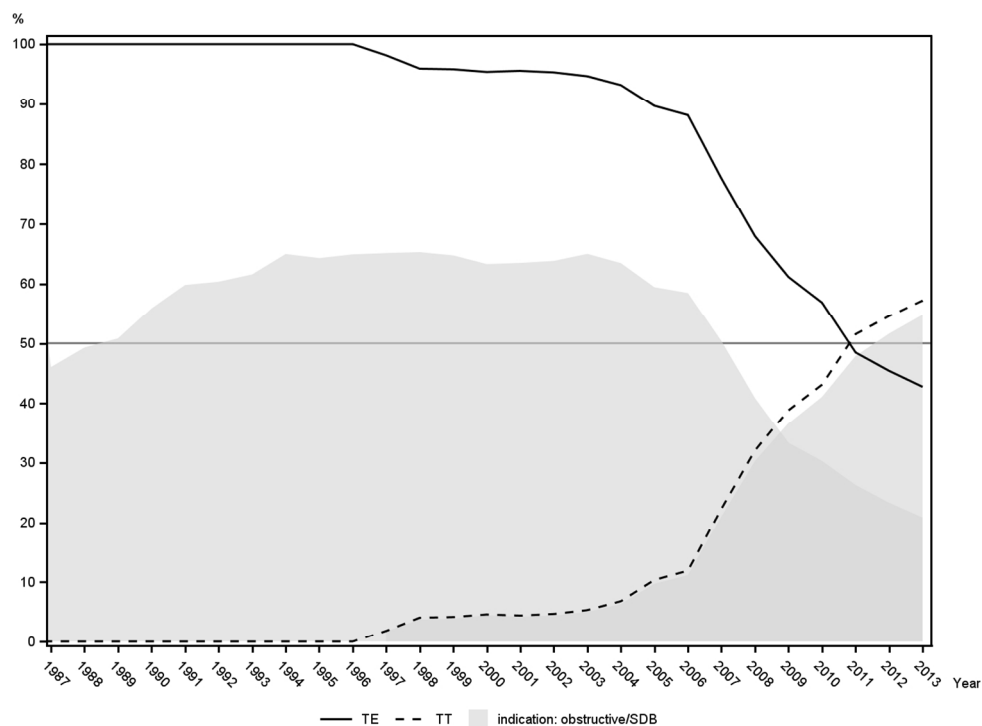


Figure 4. Distributions of the percentage of TE and TT procedures, with or without simultaneous adenoidectomy, performed between 1987 and 2013. The proportion due to an obstructive/SDB indication is shadowed for each method.!! †
 TE, tonsillectomy. TT, tonsillectomy.

119x90mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1, 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	1, 2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	2, 3
Objectives	3	State specific objectives, including any prespecified hypotheses	3
Methods			
Study design	4	Present key elements of study design early in the paper	3
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	3, 4
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	3, 4
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5
		(b) Describe any methods used to examine subgroups and interactions	5
		(c) Explain how missing data were addressed	10
		(d) If applicable, explain how loss to follow-up was addressed	Not applicable
		(e) Describe any sensitivity analyses	Not applicable
Results			

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram	6 Not applicable (register study) -
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) Summarise follow-up time (eg, average and total amount)	6 Not applicable Not applicable
Outcome data	15*	Report numbers of outcome events or summary measures over time	6, 7, 8
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	6, 7 - -
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	7
Discussion			
Key results	18	Summarise key results with reference to study objectives	8
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8, 9, 10
Generalisability	21	Discuss the generalisability (external validity) of the study results	10
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	11

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.