Prevalence of diabetic retinopathy among 13473 patients with diabetes mellitus in China: a cross-sectional epidemiological survey in six provinces

Yan Liu,1 Yifan Song,1 Liyuan Tao,2 Weiqiang Qiu,1 Huibin Lv,1 Xiaodan Jiang,1 Mingzhou Zhang,1 Xuemin Li1

ABSTRACT

Objective: To describe the prevalence and severity of diabetic retinopathy (DR) and sight-threatening DR (STDR) among Chinese adults with diabetes.

Design, setting and participants: A cross-sectional epidemiological survey across Mainland China (N=13 473). Participants were diagnosed with diabetes mellitus by physicians and transferred to our screening clinics (1/3 from hospital patients, 1/3 from city residents, the other 1/3 from rural residents). 2 fundus photographs were taken of each eye with a colourful, non-mydriatic and non-steroscopic camera and were graded according to the UK guidelines.

Main outcomes and measures: The prevalence and severity of DR and STDR.

Results: Of the 13 473 participants with diabetes participating in the study, 4591 had DR and 1769 had STDR, for an overall prevalence of 34.08% (95% CI 33.28% to 34.88%) and 13.13% (95% CI 12.56% to 13.70%), respectively. Among these, gradable photographs were available for 12 780 participants (94.86%). Participants who were aged >65 years were less likely to suffer from DR or STDR (p<0.001; age distribution). No gender-related differences were present (p>0.05). Participants with STDR suffered from more severe visual impairment compared with those without STDR (p<0.001). The prevalence of DR and STDR in the northern region was higher than in the southern region (p<0.001). The prevalence of DR was closely related to duration of disease (OR 2.63; 95% CI 2.42 to 2.86; p<0.001) and diabetes onset age (OR 0.38; 95% CI 0.35 to 0.41; p<0.001).

Conclusions: The prevalence of DR and STDR in Mainland China appeared a little high, and varied according to area. Non-proliferative DR was more common, but STDR needed prompt treatment, especially in economically less developed areas. This study highlights the necessity for DR screening and treatment in Mainland China.

INTRODUCTION

Diabetes mellitus (DM) is becoming a major threat to global public health. There were 382 million people who suffered from DM worldwide in 2013. The predicted number would be 592 million by 2035. Most people with diabetes live in low-income and middle-income countries and these will experience the greatest increase in cases of diabetes over the next 22 years.1 As the largest developing country in the world, China has experienced a rapid increase in the prevalence of DM and prediabetes in the past three decades. A recent diabetes epidemic meta-analysis indicated that the prevalence of diabetes has reached 9.7% in Mainland China, translating into 92.4 million adults with diabetes.2 Patients with diabetes are susceptible to diabetic retinopathy (DR). Since the prevalence of DM is increasing, so is the number of people with DR. DR is a common complication in individuals with DM, which is caused by long-term damage to the small blood vessels in the retina. It has

Strengths and limitations of this study

This is a cross-sectional epidemiological survey concerning diabetic retinopathy (DR) in a diabetic population (13 473 diabetic participants) across Mainland China (including both the northern and southern parts of China), which could comprehensively reflect DR prevalence status in the Chinese diabetic population.

The participants included both hospital patients as well as rural and city residents.

Despite the large sample size, the sampling method of this study was not stratified, resulting in a lack of representativeness.

Owing to the large sample size and multiple screening centres, data collection was sometimes not comprehensive. Some invasive examination results such as blood glucose and glycated haemoglobin were incomplete, which resulted in a flaw in risk factor analysis.
been established that DR can lead to severe, permanent visual impairment and is one of the most common causes of blindness in working-age adults (20–65 years). A previous report mentioned that after 15 years of diabetes, the prevalence of any DR would be 95% in insulin-dependent diabetes mellitus (IDDM) and 58% in non-IDDM (NIDDM), while proliferative DR (PDR) would be 26% and 4% in IDDM and NIDDM, respectively.

DR results in loss of quality of life for patients, as well as a significant burden to healthcare systems and society. Clinical trials have confirmed that good control of diabetes and other related risk factors (such as hypertension, exercise, smoking, etc) could significantly reduce the risk for DR. Proper treatment at the proper time during the progress of DR will reduce the risk of visual loss, as well as decrease the burden to individuals, healthcare systems and society. Focal/grid photocoagulation, panretinal photocoagulation and intravitreal injection of antivascular endothelial growth factor agents have been the standard of care to treat diabetic macular edema (DME) and DR, reducing the risk of vision loss in the long term. However, the awareness about DR among patients with diabetes is limited, and compliance with treatment is often poor. China has experienced an economic booming during the past 30 years, as well as the blood glucose level among Chinese citizens. Recent studies reported a rapid increasing prevalence of diabetes, especially in middle-aged high-risk men. What is worse, the treatment condition of DM is not satisfying—only one-fourth of overall patients with diabetes were treated and only two-fifths of them had their glycated haemoglobin (HbA1c) controlled to a proper concentration (<7.0%). Considering the poor management of hyperglycaemia, the prevalence and severity of DR may be higher than commonly expected.

Although there are several researches that have studied the prevalence of DR in the Chinese population, ranging from 0.2% to 30.3% due to the different inclusion population, national population-based data on the prevalence and severity of DR in the diabetic population remain scarce. Most of the previous studies were implemented in one province or district, which are often economically developed regions. Also, most of these studies were conducted in the northern region of China. There are still few data for DR prevalence across Mainland China. Additionally, a majority of previous studies focused on regular residents, rather than the patients with diabetes, which resulted in a relatively low DR detection rate.

No recent comprehensive population-based estimate of the prevalence and severity of DR among participants with diabetes exists. This study is the first survey to investigate the prevalence and severity of DR in patients with diabetes in six provinces across Mainland China (including both the northern and southern parts of China).

METHODS

Participants and screening procedure

This study was a cross-sectional epidemiological survey, conducted from April 2014 to September 2015. Eight hospitals from six different provinces (Shandong, Guangxi, Guangdong, Henan, Inner Mongolia, Jilin Provinces) were included in this study. Participants were enrolled from both hospitals and local communities according to the study design (1/3 from hospital patients, 1/3 from city residents and the other 1/3 from rural residents). Hospital patients were diagnosed with DM and transferred to our screening clinics. Community participants were recruited by advertisements. They could visit the screening clinics and undergo screening examinations if they were previously diagnosed with DM by a qualified physician and consented to participate in this study. The necessity of screening was elaborated and screening procedures were provided for free for the participants. The research protocol was approved by the Ethics Committee of Peking University Third Hospital and written informed consent was obtained from all participants.

The screening procedure consisted of measuring visual acuity (VA) using the Early Treatment Diabetic Retinopathy Study (ETDRS) chart, checking the anterior segment structure (including the cornea, anterior chamber and lens) using slit lamp biomicroscopy of both eyes. Pupils would be dilated with 0.5% compound tropicamide eye drops (including 5 mg tropicamide and 5 mg phenylephrine hydrochloride per 1 mL) if needed. Intraocular pressures were measured before and after pupil dilation. Digital, colourful and non-stereoscopic retinal photographs were taken by a non-mydriatic auto fundus camera (CR-1 Mark II, Canon, Tokyo, Japan), including two fields for each eye: one centred at the optic disc and the other at the macula. Patient information and digital images were randomly transmitted from screening clinics to one of the three grading centres (Peking University Third Hospital, Peking Union Medical College Hospital and Shantou International Eye Center) using the software named the VisDR Tele Diabetic Retinopathy Screening Platform (VisDR, V.1.1).

Grading

Grading was performed by trained and certified optometrists and ophthalmologists from three grading centres. Photographs were systematically graded using VisDR software, with a spatial resolution of 1024*768 pixels. All of the graders underwent periodic tests to ensure the accuracy of grading. They examined fundus photographs for the presence and severity of DR according to the UK guidelines (table 1). Each photograph underwent primary grading. All the photographs with any DR or other eye disease and 15% of the photographs without any DR were further graded by a secondary grader (another certified optometrist or ophthalmologist). If there was any different opinion in the grading of the
same assigned photograph, it would be assessed by a third grader (an authoritative ophthalmologist) who would make the final decision. Therefore, the final decision could be made by the primary grader if negative, by agreement between the primary and secondary grader or by an authoritative grader if any DR or other eye disease was present. Sight-threatening DR (STDR) was identified as present if any features of maculopathy (M1), pre-PDR (R2) or PDR (R3) were found. Photographs that were not assessable or could not be graded were considered ungradable (U).

The DR grading for each participant was based on the worse DR grades of the two eyes. If one eye was non-STDR (R0 or R1) and the other eye was graded as U, the participant would be classified as U and transferred to the ophthalmology clinic for further examination. If one eye was STDR (R2, R3 or M1) and the other eye was U, the participant would be classified as STDR and transferred. If non-STDR (R0 or R1) was graded in both eyes, participants would be graded as non-STDR and not transferred. The better VA of the two eyes represented the participant’s VA. It was also noted by the graders if there were any other eye diseases presented, which included but were not limited to increased cup-or-disc ratio, cataract, macular degeneration, retinal artery occlusion, retinal vein occlusion, anterior ischaemic optic neuropathy, macular hole, epiretinal membrane and hypermyopia fundus changes. These participants were also transferred to a specialist clinic.

### Data collection

VisDR software stored all the screening data, including demographic information, VA, screening hospitals and fundus pictures. The ETDRS VA was converted into Snellen VA and uploaded in the DR grading software. VA was classified into three groups: no visual impairment or mild visual impairment (no-VI, VA ≥20/66), moderate visual impairment (mod-VI, 20/66 > VA > 20/200) and severe visual impairment (s-VI, VA < 20/200). At the time of the clinical visit, the following measurements were recorded, including body mass index (BMI, calculated from measured height and weight), diabetes duration and diabetes types.

### Statistical analysis

Statistical analyses were performed using SPSS V.18.0 (SPSS, Chicago, Illinois, USA) software. Descriptive analyses included the prevalence of DR and STDR in the overall diabetic population, and were calculated by age group (≤65 and >65), gender and region with 95% CIs. Student’s t-test was used to compare continuous variables, and the $\chi^2$ test was used to compare proportions among groups. The level of visual impairment was recorded and evaluated, which was compared between the groups with and without STDR, or in different regions using the $\chi^2$ test. The duration of diabetes was calculated as the difference between the year of diagnosis (as reported by the participant) and the year of this study. Those with newly diagnosed diabetes were given a diabetes duration of 0 years. All participants classified as

### Table 1: DR classification used in our grading for DR screening based on the UK guidelines, Harding et al.25

<table>
<thead>
<tr>
<th>Grade of retinopathy</th>
<th>Description</th>
<th>Features</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retinopathy</td>
<td>R0 None</td>
<td>None</td>
</tr>
<tr>
<td></td>
<td>R1 Mild NPDR</td>
<td>At least one microaneurysm, retinal haemorrhages, any exudates</td>
</tr>
<tr>
<td></td>
<td>R2 Severe NPDR</td>
<td>Venous beading, venous loop or reduplication, IRMA</td>
</tr>
<tr>
<td></td>
<td>R3 High-risk PDR</td>
<td>Multiple deep, round or blot haemorrhages, cotton wool spots in addition to the above features</td>
</tr>
<tr>
<td>Maculopathy</td>
<td>M0 None</td>
<td>No maculopathy</td>
</tr>
<tr>
<td></td>
<td>M1 Exudate within one DD of entry of fovea, circinate or group of exudates within the macula, retinal thickening less than or equal to one DD of centre of fovea (if stereo available)</td>
<td></td>
</tr>
<tr>
<td>Photocoagulation</td>
<td>P Focal or scatter laser scars</td>
<td></td>
</tr>
<tr>
<td>Other lesions</td>
<td>OL Other lesions such as non-diabetic lesions as AMD</td>
<td></td>
</tr>
<tr>
<td>Unclassifiable</td>
<td>U Ungradable</td>
<td>For example, if media opacity, poor view on photographs</td>
</tr>
</tbody>
</table>

AMD, age-related macular degeneration; DD, disc diameter; DR, diabetic retinopathy; IRMA, intraretinal microvascular abnormality; NPDR, Non-proliferative DR; NVD, new vessels on disc; NVE, new vessels elsewhere; VA, visual acuity.
U were excluded in this step. An α level of 0.05 was adopted as the significance level.

**RESULTS**

A screening procedure was performed at eight hospitals in eight cities from six different provinces in China from April 2014 to October 2015. A total of 13,473 participants were included in this study, including 0.71% with type 1 diabetes (96/13,473), 98.75% with type 2 diabetes (13,304/13,473) and 0.54% with pregnancy diabetes (73/13,473) with a median duration of 6.0 (p25: 3.0, p75: 11.0) years. The estimated prevalence of any DR among all subjects with diabetes was 34.08% (4591/13,473, 95% CI 33.28% to 34.88%) and of any STDR was 13.13% (1769/13,473, 95% CI 12.56% to 13.70%). The most common DR status was R1M0, with a prevalence of 15.80%. The estimated prevalence of each status is demonstrated in table 2. The prevalence of any DR and STDR in those with type 1 diabetes was 31.5% and 18.5%, in type 2 diabetes was 30.5% and 13.8%, and in pregnancy diabetes was 29.9% and 9.0%, respectively. No significant difference was found in gender of both DR (31.13% vs 29.97%, p=0.13) and STDR (13.88% vs 13.81%, p=0.98) prevalence as demonstrated in figure 1. We also found that older people (>65 years old) were less likely to suffer from DR or STDR (25.65% vs 33.77%, p<0.001; 11.07% vs 15.70%, p<0.001).

Table 2 Estimated prevalence of DR and STDR in individuals with diabetes (N=13,473)

<table>
<thead>
<tr>
<th>DR grading N</th>
<th>Percent</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-STDR</td>
<td>11,011</td>
<td>81.73</td>
</tr>
<tr>
<td>R0M0</td>
<td>8882</td>
<td>65.92</td>
</tr>
<tr>
<td>R1M0</td>
<td>2129</td>
<td>15.80</td>
</tr>
<tr>
<td>STD R2M0</td>
<td>1769</td>
<td>13.13</td>
</tr>
<tr>
<td>R3M0</td>
<td>95</td>
<td>0.71</td>
</tr>
<tr>
<td>R3M1</td>
<td>120</td>
<td>0.89</td>
</tr>
<tr>
<td>R1M1</td>
<td>940</td>
<td>6.98</td>
</tr>
<tr>
<td>R2M1</td>
<td>306</td>
<td>2.27</td>
</tr>
<tr>
<td>R3M1</td>
<td>308</td>
<td>2.29</td>
</tr>
<tr>
<td>Ungradable</td>
<td>693</td>
<td>5.14</td>
</tr>
</tbody>
</table>

DR, diabetic retinopathy; M0, no maculopathy; M1, maculopathy; R0, no diabetic retinopathy; R1, background diabetic retinopathy; R2, preproliferative diabetic retinopathy; R3, proliferative diabetic retinopathy; STD R, sight-threatening diabetic retinopathy.

There were 30 participants out of 13,473 (missing rate 0.22%) who did not report VA. Within the remaining 13,443 participants, 1.65% (222/13,443), 7.56% (1016/13,443) and 90.79% (12,205/13,443) participants were grouped as those with s-VI, mod-VI and no-VI, respectively. Also, we found that participants with older diabetes onset age (>50 years old) were less likely to develop DR (OR 0.38; 95% CI 0.35 to 0.41; p<0.001) or STDR (OR 0.35; 95% CI 0.31 to 0.38; p<0.001).

**DISCUSSION**

The prevalence of DR and STDR in this study was 34.08% and 13.13%, respectively. DR prevalence has been reported in many other researches, but comparisons are difficult to make due to various participant inclusion criteria and different DR definitions, since...
our programme was conducted according to the UK guidelines, the results of which could be compared with those who followed the same guidelines. The prevalence of DR and STDR was 25.3% and 6.0% in a systematic screening in Liverpool,28 and was 30.9% and 2.9% in a national screening in Wales among patients with type 2 diabetes.29 Both results are lower than the findings of our study (34.1% and 13.1%, respectively).

One possible reason might be the shorter DM duration of the participants included in those two studies when compared with ours—the median duration of DM was 3.2 years in the Liverpool study and 5.3 years in the Wales study, while it was 6.0 years in our study. In Hong Kong, a higher prevalence of 39.0% DR was reported, as well as an accordingly longer mean duration of 7.7 years.30 However, the prevalence of STDR in this study was higher than that in Hong Kong (13.1% vs 9.8%), which might be due to a lack of prompt and effective treatment. It can be concluded that DR has occurred in about one-third of the diabetes population in our study, and over 10% of these individuals have visual impairment. Among those who have STDR, 19.89% have visual impairment, and the appearance of mod-VI and s-VI was 15.97% and 3.92%, respectively, higher than those in Hong Kong (9.8%, 7.7% and 2.1%, respectively). It has been mentioned that in China, 7 out of 10 patients with diabetes are not aware of their high blood glucose condition19, which means participants may have a much longer history than reported. This may be one possible reason for the higher percentage of STDR and visual impairment. Therefore, it should be strongly suggested that a fundus screening should be taken as soon as the patients are diagnosed with diabetes. What is worse, it was reported among the patients with diabetes that only one-fourth of the overall patients with diabetes were treated. And among treated patients less than a 1/2 patients’ blood sugar can reach the goal level and 2/5 of patients had their HbA1c controlled to a proper concentration.19

Considering that both the HbA1c level and variability are closely associated with the occurrence and severity of DR,3 it might be one important reason why STDR prevalence in this study is higher than that in the UK and Hong Kong. A meta-analysis of population-based studies in Mainland China reported the prevalence of any DR as 23% (95% CI 17.8% to 29.2%), non-PDR as 19.1% (13.6% to 26.3%) and PDR as 2.8% (1.9% to 4.2%) among those with DM, but the included studies varied by examination methods and DR definitions, while the prevalence of STDR and maculopathy was not reported.20 The comparison of this study to related studies is demonstrated in Table 5.

According to our findings, about one-third of patients with DM in Mainland China suffered from DR, and among them more than one-third need further medical assessment and treatment. We found that visual impairment was more severe in the patients with STDR than the non-STDR population, which should arouse more attention. Although how much of the visual impairment can be attributed to STDR is not clear, we did find that STDR was associated with visual impairment. Good management of blood glucose and prompt treatment of retinopathy (including maculopathy) is the key to avoid permanent visual impairment. In this study, we did not determine the cause of the visual impairment or whether it was correctable, but all patients with STDR or

Table 3 Visual impairment levels in general, and by groups with and without STDR

<table>
<thead>
<tr>
<th>Total</th>
<th>Non-STDR</th>
<th>STDR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Per cent</td>
</tr>
<tr>
<td>s-VI</td>
<td>222</td>
<td>1.65</td>
</tr>
<tr>
<td>mod-VI</td>
<td>1016</td>
<td>7.56</td>
</tr>
<tr>
<td>no-VI</td>
<td>12 205</td>
<td>90.79</td>
</tr>
</tbody>
</table>

s-VI means severe visual impairment; mod-VI means moderate visual impairment; no-VI means no or mild visual impairment. STDR, sight-threatening diabetic retinopathy.

Table 4 Estimated prevalence of DR and STDR, BMI and visual impairment in southern and northern regions

<table>
<thead>
<tr>
<th>Type</th>
<th>Southern region</th>
<th>Per cent</th>
<th>Northern region</th>
<th>Per cent</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>DR</td>
<td>Non-DR</td>
<td>4317</td>
<td>72.45</td>
<td>4565</td>
<td>66.93</td>
</tr>
<tr>
<td></td>
<td>DR</td>
<td>1642</td>
<td>27.55</td>
<td>2256</td>
<td>33.07</td>
</tr>
<tr>
<td>STDRA</td>
<td>Non-STDR</td>
<td>5249</td>
<td>88.09</td>
<td>5762</td>
<td>84.47</td>
</tr>
<tr>
<td></td>
<td>STDRA</td>
<td>710</td>
<td>11.91</td>
<td>1059</td>
<td>15.53</td>
</tr>
<tr>
<td>BMI</td>
<td>s-VI</td>
<td>24.21±3.54</td>
<td>25.33±3.77</td>
<td>1.24</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td></td>
<td>mod-VI</td>
<td>438</td>
<td>7.10</td>
<td>578</td>
<td>7.95</td>
</tr>
<tr>
<td></td>
<td>no-VI</td>
<td>5601</td>
<td>90.76</td>
<td>6604</td>
<td>90.81</td>
</tr>
</tbody>
</table>

BMI, body mass index; DR, diabetic retinopathy; mod-VI means moderate visual impairment; no-VI means no or mild visual impairment; STDR, sight-threatening diabetic retinopathy; s-VI means severe visual impairment; VI, visual impairment.
other eye diseases who required treatment were referred to ophthalmologists for further assessment.

Mainland China can be divided into the northern and southern parts by the Qinling Mountains-Huaihe River Line. Our investigation was conducted in eight different cities in six provinces, among which five cities are located in the northern region while the other three are in the southern region. According to our research, the prevalence of DR and STDR was higher in the northern region (33.07% and 15.53%) compared with the southern region (27.55% and 11.91%), consistent with previous studies. The discrepancy of DR prevalence between the north and the south was most likely due to the differences of lifestyle and socioeconomic status. For example, gross domestic product per capita levels in the north is higher than that in the south, so as the BMI levels and prevalence of overweight. Since the occurrence of diabetes can be largely influenced by socioeconomic and lifestyle change, social urbanisation, accompanied by drastic lifestyle changes, such as physical inactivity, unhealthy diet, obesity and hypertension caused by stress, may cause the rise of incidence both in DM and DR. Previous studies also showed that BMI and the prevalence of central obesity was higher in the residents of northern compared with southern in China, and this may also be a little inaccurate when estimating the extent of visual loss in individuals with diabetes, especially in early onset diabetes, and its importance increases with the elongation of diabetes duration.

Our current study has several limitations. Although our participants included a large sample of the diabetic population, both from hospital patients and community individuals, our sampling method was not stratified, resulting in a lack of representativeness. To get a better view of the present prevalence of DR in China, we included eight cities in six different provinces, which contains five northern cities (in four different provinces) and three southern cities (in two different provinces). However, it is still just part of China. To have more specific consideration, a nationwide, population-based research needs to be taken in the future. Another major problem came down to the low response of blood glucose and HbA1c levels of participants in our investigation (<30%). As a result, we did not include or analyse this part of results in this study considering the non-responder bias, which made the analysis of the relationship between blood glucose control and DR prevalence impossible. In addition, the VA we recorded in this study was the presenting VA, not best corrected VA, which might be a little inaccurate when estimating the extent of visual impairment causing by DR.

**CONCLUSION**

In summary, we report a high prevalence of DR and STDR in six provinces across Mainland China, and the prevalence of DR and STDR varied according to areas. This study highlights the necessity for comprehensive screening and timely treatment of DR in China.

**Contributors**

XL had full access to all of the data in the study and takes responsibility for the integrity of the data. XL, YL, YS and WQ were involved in study concept and design. YL, YS, HL, XJ and MZ were involved in data acquisition. LT, YL and YS were involved in analysis and interpretation of data. YL and YS were involved in drafting of the manuscript. XL, WQ and
HL were involved in critical revision of the manuscript. LT and YL were involved in statistical analysis. XL and WQ were involved in study supervision.

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**Competing interests** None declared.

**Patient consent** Obtained.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** No additional data are available.

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