

BMJ Open

Hospitalization with community-acquired pneumonia among type 2 diabetes patients: an observational population-based study in Spain from 2004 to 2013.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013097
Article Type:	Research
Date Submitted by the Author:	20-Jun-2016
Complete List of Authors:	Lopez-de-Andres, Ana ; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit. de Miguel-Diez, Javier; Hospital General Universitario Gregorio Maranon, Respiratoy Care Department Jimenez-Trujillo, Isabel ; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit Hernandez-Barrera, Valentin; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit de Miguel-Yanes, Jose; Hospital General Universitario Gregorio Maranon, Internal Medicine Department Mendez-Bailon, Manuel; Hospital Clinico Universitario San Carlos, Internal Medicine Department Perez-Farinos, Napoleon; Ministry of Health, Social Services and Equality, Health Security Agency SALINERO-FORT, MIGUEL; Servicio Madrileño de Salud, Gerencia de Atención Primaria Jimenez-Garcia, Rodrigo; Univ Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Diabetes and endocrinology
Keywords:	type 2 diabetes, community-acquired pneumonia, hospitalization, in-hospital mortality

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 **Hospitalization with community-acquired pneumonia among type 2 diabetes**
2 **patients: an observational population-based study in Spain from 2004 to 2013.**

3
4 Ana López-de-Andrés,¹ Javier de Miguel-Díez,² Isabel Jiménez-Trujillo,¹ Valentín
5 Hernández-Barrera,¹ José M. de Miguel-Yanes,³ Manuel Méndez-Bailón,⁴ Napoleón
6 Pérez-Farinós,⁵ Miguel Ángel Salinero-Fort,⁶ Rodrigo Jiménez-García¹

7
8 ¹Preventive Medicine and Public Health Teaching and Research Unit. Health Sciences
9 Faculty. Rey Juan Carlos University. Alcorcón. Comunidad de Madrid. Spain.

10 ²Respiratory Care Department, Hospital General Universitario Gregorio Marañón,
11 Universidad Complutense de Madrid. Comunidad de Madrid. Spain.

12 ³Internal Medicine Department. Hospital General Universitario Gregorio Marañón.
13 Madrid. Comunidad de Madrid. Spain.

14 ⁴Internal Medicine Department. Hospital Universitario Clínico San Carlos. Madrid.
15 Comunidad de Madrid. Spain.

16 ⁵Health Security Agency. Ministry of Health, Social Services and Equality. Madrid.
17 Comunidad de Madrid. Spain.

18 ⁶Dirección Técnica de Docencia e Investigación. Gerencia Atención Primaria. Madrid.
19 Comunidad de Madrid. Spain.

20
21 Address for correspondence: Ana López de Andrés. Preventive Medicine and Public
22 Health Teaching and Research Unit, Health Sciences Faculty, Rey Juan Carlos
23 University Avda. de Atenas s/n, 28922 Alcorcón, Madrid, Spain. Tel: +34 91 4888623.
24 Fax: +34 91 4888848. E-mail: ana.lopez@urjc.es

25
26 **Keywords:** type 2 diabetes; community-acquired pneumonia; hospitalization; in-
27 hospital mortality

28
29 **Wordcount:** 4,000

1 ABSTRACT

2 **Objectives:** To describe trends in the incidence and outcomes of community-acquired
3 pneumonia (CAP) hospitalizations among patients with or without diabetes in Spain
4 (2004-2013).

5 **Design:** Retrospective, observational study using the Spanish National Hospital
6 Discharge Database (CMBD, *Conjunto Mínimo Básico de Datos*).

7 **Setting:** Spain.

8 **Participants:** We used national hospital discharge data to select all hospital admissions
9 for CAP.

10 **Main outcome measures:** Incidence was calculated overall and stratified by diabetes
11 status: type 2 diabetes (T2DM) and no-diabetes.

12 **Results:** We identified 901,136 admissions for CAP (24.8% with T2DM). Incidence
13 rates of CAP increased significantly in T2DM patients over time. The incidence was
14 higher among people with T2DM for all time periods. T2DM patients were older and
15 had higher comorbidity index than non-diabetic. *S. pneumoniae* decreased over time for
16 both groups. Time trend analyses showed significant decreases in mortality during
17 admission for CAP for patients with and without T2DM. Factor associated with higher
18 mortality in both groups included: older age, higher comorbidity, mechanical
19 ventilation, red cell transfusion, readmission and *S. aureus* detected. Diabetes was
20 associated with a lower in-hospital mortality (OR: 0.92, 95%CI 0.91-0.94) after a CAP
21 hospitalization.

22 **Conclusions:** CAP incidence rates were higher and increased over time at a higher rate
23 among T2DM patients. Mortality decreased over time in all groups. The presence of
24 diabetes is not a risk factor for death during admission for CAP.

25 **Strengths and limitations of this study**

- 26 • The strengths of our findings lie in the large sample size, the 10-year follow-up
27 period, and the standardized methodology.
- 28 • Our findings are limited by the lack of data precluded adjustment for
29 pneumococcal and influenza vaccinations, which have been associated with
30 reduced mortality among patients hospitalized with pneumonia.
- 31 • We haven't identified factors (specimen quality or antimicrobial treatments) that
32 may influence in CAP outcomes because these variables were not collected in
33 the Spanish Hospital Discharge Database.
- 34

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1 • We did not classify diabetic patients into groups based on the therapy used to
2 control blood glucose, with the result that we were unable to provide data on the
3 control of blood glucose during the hospitalization.

For peer review only

1 INTRODUCTION

2 Prevalence of diabetes is steadily rising. In Spain the number of people with diabetes
3 has more than doubled over the last decade due to an increasing obesity rate and an
4 aging population.[1] This increase in diabetes prevalence is projected to lead a
5 significant increase in patients with community-acquired pneumonia (CAP).[2]

6 CAP is a leading infectious cause of hospitalization worldwide, particularly among
7 people with diabetes.[3-5] Previous studies have shown that diabetes is a risk factor for
8 a pneumonia-related hospitalization.[6-8] A population-based cohort study found that
9 the adjusted relative risk (RR) for pneumonia-related hospitalization among subjects
10 with diabetes was 1.26 (95%CI 1.21-1.31) compared with non-diabetic patients.[4]

11 Advanced age and comorbidity are associated with increased mortality among adults
12 hospitalized with CAP.[9] Diabetic patients may have increased susceptibility to
13 pneumonia for several reasons. They are at increased risk of hyperglycemia, decreased
14 immunity, impaired lung function and chronic complications such as heart disease, renal
15 failure and pulmonary microangiopathy.[10] Kornum et al concluded that presence of
16 type 2 diabetes (T2DM) predict increased pneumonia-related mortality.[5] However,
17 Kaplan et al reported no association between in-hospital mortality (IHM) and
18 diabetes.[11]

19 The incidence of pneumonia may be increasing.[3,9,12] Secular trends in incidence and
20 outcomes of CAP among patients with and without T2DM have been examined.[4-6]
21 However, to our knowledge, no previous studies have investigated national trends in the
22 incidence, characteristics and outcomes of CAP in people with diabetes in Spain.

23 In this study, we used national hospital discharge data to examine trends in incidence
24 and outcomes of CAP among patients with or without T2DM in Spain from 2004 to
25 2013. In particular, we analyzed patient comorbidities, diagnostic and therapeutic
26 procedures, pneumonia pathogens and in-hospital outcomes, such as readmission, IHM
27 and length of hospital stay (LOHS).

29 METHODS

30 We performed a retrospective, observational study using the Spanish National Hospital
31 Discharge Database (CMBD, *Conjunto Mínimo Básico Datos*), which compiles all
32 public and private hospital data, covering more than 98% of hospital admissions.[13]
33 The CMBD includes patient variables (sex, date of birth), admission and discharge
34 dates, up to 14 discharge diagnoses, and up to 20 procedures performed during the

1 hospital stay.[13] We analyzed data collected between January 1, 2004 and December
2 31, 2013 for subjects aged 40 and over.

3 The criteria for diseases and procedures were defined according to the ICD-9-CM,
4 which is used in the Spanish CMBD.

5 We selected admissions for patients with a primary diagnosis of CAP (ICD-9-CM
6 codes: 480-488, 507.0-507.8). We grouped admissions by diabetes status as follows:
7 T2DM (ICD-9-CM codes: 250.x0 and 250.x2) or no-diabetes in any diagnostic position.

8 We excluded people with type 1 diabetes mellitus (ICD-9-CM codes: 250.x1; 250.x3).

9 Clinical characteristics included information on overall comorbidity at the time of
10 diagnosis, which was assessed by calculating the Charlson comorbidity index
11 (CCI).[14] We divided patients into three categories: low index, which corresponds to
12 patients with no previously recorded disease; medium index, patients with one disease
13 category; and high index, patients with two or more disease categories.

14 Irrespectively of the position at the diagnoses coding list, we retrieved data about
15 comorbidities as described by Kornum et al (2007).[5] Also, we specifically identified
16 the following procedures: computerized axial tomography of thorax (ICD-9-CM code
17 87.41), bronchial fibroscopy (ICD-9-CM code 33.21-33.24), non-invasive mechanical
18 ventilation (ICD-9-CM code 93.90), invasive mechanical ventilation (ICD-9-CM code
19 96.7, 96.70, 96.71, 96.72), thoracocentesis (ICD-9-CM code 34.91), and red cell
20 transfusion (ICD-9-CM code 99.03, 99.04).

21 We analyzed pneumonia pathogens documented during hospitalizations for pneumonia
22 using the following ICD-9-CM codes: 481 for *Streptococcus pneumoniae*; 482.84 for
23 *Legionella*; 482.41 and 482.42 for *Staphylococcus aureus*; 482.2 for *Haemophilus*
24 *influenzae*; and 482.1 for *Pseudomonas aeruginosa*. These were the five most frequently
25 identified pathogens. All others represented under 0.30% of admissions.

26 We estimated the proportion of readmission (patients that had been discharged from the
27 hospital within the previous 30 days), the median of LOHS and IHM. IHM is defined by
28 the proportion of patients who died during admission for each year of study.

29 **Statistical analysis**

30 In order to assess time trends, the incidence rates of admissions for CAP in patients with
31 T2DM and non-diabetic patients were calculated per 100,000 inhabitants, according to
32 sex. We calculated yearly T2DM-specific incidence rates by dividing the number of
33 admissions per year, sex, and age group by the corresponding number of people in that
34 population group using the age-, sex-adjusted estimated prevalence of T2DM obtained

1 from National Health Surveys (NHS) conducted in 2003/04, 2006/07, 2009/10, and
2 2011/12 and based on data from the Di@bet.es Study, which estimated the prevalence
3 of diabetes in the Spanish population.[1,15] From 2001 to 2010, Spanish NHS has been
4 published every two or three years. So diabetic population for missing years (2005 and
5 2008) was estimated assuming that growth rate was the same thorough the period 2004-
6 2010. We estimated rate fitting a linear regression model with population from years
7 when NHS was available and we used this model to impute population for 2005 and
8 2008. We also calculated the yearly age-, sex-specific incidence rates for non-diabetic
9 patients by dividing the number of cases per year, sex, and age group by the
10 corresponding number of people in that population group (excluding those with T2DM),
11 according to the data from the Spanish National Institute of Statistics, as reported on
12 December 31 of each year.[16]

13 To assess the effect of T2DM on the incidence we fitted two separate multivariate
14 Poisson regression models for patients with and without T2DM adjusted by sex, age and
15 year of discharge as independent variables. So that estimates correspond to Incidence
16 Rate Ratio (IRR) with their 95% confidence intervals. A model adjusting by the same
17 independent variables and including diabetes status was also conducted to assess the
18 adjusted effect of diabetes in the incidence of the total population.

19 A descriptive statistical analysis was performed for all continuous variables and
20 categories by stratifying admissions for CAP according to diabetes status. Variables are
21 expressed as proportions, as means with standard deviations or as medians with
22 interquartile ranges (LOHS). A bivariate analysis of variables according to year was
23 performed using the χ^2 test for linear trend (proportions), ANOVA (means) and
24 Kruskal-Wallis (medians), as appropriate.

25 Lastly, we performed logistic regression analyses with mortality as a binary outcome
26 using the independent variables and age, sex, CCI, readmission, diagnostic and
27 therapeutic procedures, pathogens and year of admission for those with and without
28 diabetes and for the entire population to assess the influence of diabetes on IHM.
29 Estimates were Odds Ratios (OR) with their 95% confidence intervals. Statistical
30 analyses were performed using Stata version 10.1 (Stata, College Station, Texas, USA).
31 Statistical significance was set at $p < 0.05$ (2-tailed).

32 ***Ethical aspects***

33 Data confidentiality was maintained at all times in accordance with Spanish legislation.
34 Given the anonymous and mandatory nature of the dataset, it was not deemed necessary

1 to obtain informed consent. The study protocol was approved by the ethics committee
2 of the Universidad Rey Juan Carlos.

4 **RESULTS**

5 From 2004 to 2013, we identified a total of 901,136 admissions for CAP as primary
6 diagnosis in patients aged ≥ 40 years in Spain. Patients with T2DM accounted for 24.8%
7 of total (134,534 men and 89,181 women).

8 Table 1 and Table 2 show the cumulative incidence and the clinical characteristics,
9 comorbidities, diagnostic and therapeutic procedures and in-hospital outcomes of
10 admissions for CAP in patients with T2DM and in patients without T2DM from 2004 to
11 2013, respectively.

For peer review only

Table 1. Incidence and characteristics of hospital admissions for pneumonia as primary diagnosis in patients with type 2 diabetes in Spain, 2004-2013.

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
N	16161	19764	17267	20913	22002	24426	23377	25807	27655	26343	223715
Incidence*	812.64	948.39	792.36	959.67	974.43	1045.33	1000.43	962.56	1031.49	923.26	948.84
Women, n(%)*	6476(40.07)	8060(40.78)	6632(38.41)	8364(39.99)	8678(39.44)	9880(40.45)	9240(39.53)	10338(40.06)	11126(40.23)	10387(39.43)	89181(39.86)
Age, mean (SD)	75.97(10.24)	76.06(10.39)	76.18(10.47)	76.29(10.39)	76.91(10.47)	76.37(10.98)	77.53(10.28)	77.64(10.45)	78.41(10.15)	78.23(10.34)	77.08(10.46)
40-64 years n(%)*	2150(13.3)	2638(13.35)	2348(13.6)	2864(13.69)	2894(13.15)	3589(14.69)	2690(11.51)	3085(11.95)	2892(10.46)	2846(10.8)	27996(12.51)
65-74 years n(%)*	4329(26.79)	5096(25.78)	4302(24.91)	5017(23.99)	4780(21.73)	5262(21.54)	4880(20.88)	5178(20.06)	5030(18.19)	5027(19.08)	48901(21.86)
75-84 years n(%)*	6385(39.51)	7925(40.1)	6962(40.32)	8468(40.49)	8972(40.78)	9782(40.05)	9749(41.7)	10407(40.33)	11515(41.64)	10651(40.43)	90816(40.59)
≥85 years n (%)*	3297(20.4)	4105(20.77)	3655(21.17)	4564(21.82)	5356(24.34)	5793(23.72)	6058(25.91)	7137(27.66)	8218(29.72)	7819(29.68)	56002(25.03)
AMI, n(%)*	865(5.35)	1106(5.6)	991(5.74)	1180(5.64)	1167(5.3)	1141(4.67)	958(4.1)	1098(4.25)	1184(4.28)	1057(4.01)	10747(4.8)
CHF, n(%)*	2587(16.01)	3258(16.48)	2941(17.03)	3542(16.94)	3940(17.91)	4183(17.13)	4379(18.73)	5210(20.19)	5795(20.95)	5645(21.43)	41480(18.54)
PVD, n(%)*	950(5.88)	1205(6.1)	1041(6.03)	1249(5.97)	1239(5.63)	1391(5.69)	1371(5.86)	1681(6.51)	1728(6.25)	1874(7.11)	13729(6.14)
CEVD/HP/PAPL, n(%)*	1509(9.34)	1850(9.36)	1688(9.78)	1959(9.37)	2290(10.41)	2496(10.22)	2535(10.84)	2753(10.67)	3101(11.21)	2854(10.83)	23035(10.3)
Chronic pulmonary disease, n(%)*	5618(34.76)	6693(33.86)	5730(33.18)	6927(33.12)	7244(32.92)	7986(32.69)	7762(33.2)	8511(32.98)	9006(32.57)	8822(33.49)	74299(33.21)
Dementia n(%)*	1676(10.37)	1973(9.98)	1881(10.89)	2062(9.86)	2404(10.93)	2672(10.94)	2762(11.82)	3182(12.33)	3403(12.31)	3062(11.62)	25077(11.21)
Renal disease n(%)*	1893(11.71)	2260(11.43)	2187(12.67)	2659(12.71)	3082(14.01)	3640(14.9)	3878(16.59)	4504(17.45)	5160(18.66)	5261(19.97)	34524(15.43)
Any type of malignancy n(%)*	1154(7.14)	1335(6.75)	1323(7.66)	1594(7.62)	1634(7.43)	1906(7.8)	1953(8.35)	2181(8.45)	2350(8.5)	2338(8.88)	17768(7.94)
Any liver disease n(%)	793(4.91)	1001(5.06)	879(5.09)	1059(5.06)	1058(4.81)	1248(5.11)	1156(4.95)	1220(4.73)	1359(4.91)	1407(5.34)	11180(5)
Obesity n(%)*	1240(7.67)	1593(8.06)	1400(8.11)	1766(8.44)	1754(7.97)	2339(9.58)	2167(9.27)	2599(10.07)	2671(9.66)	2822(10.71)	20351(9.1)
Pleuritis, n(%)*	913(5.65)	1263(6.39)	1107(6.41)	1299(6.21)	1391(6.32)	1393(5.7)	1326(5.67)	1511(5.86)	1611(5.83)	1481(5.62)	13295(5.94)
CCI 0 n(%)*	4646(28.75)	5726(28.97)	4905(28.41)	6175(29.53)	6162(28.01)	6782(27.77)	6064(25.94)	6429(24.91)	6835(24.72)	6237(23.68)	59961(26.8)
CCI 1 n(%)*	8140(50.37)	9870(49.94)	8663(50.17)	10253(49.03)	10951(49.77)	12304(50.37)	11865(50.76)	13141(50.92)	13929(50.37)	13368(50.75)	112484(50.28)
CCI ≥2 n (%)*	3375(20.88)	4168(21.09)	3699(21.42)	4485(21.45)	4889(22.22)	5340(21.86)	5448(23.3)	6237(24.17)	6891(24.92)	6738(25.58)	51270(22.92)
CAT, n(%)*	1441(8.92)	1759(8.9)	1701(9.85)	2229(10.66)	2447(11.12)	2656(10.87)	2725(11.66)	2929(11.35)	3100(11.21)	3071(11.66)	24058(10.75)
Bronchial fibroscopy, n(%)*	424(2.62)	516(2.61)	418(2.42)	521(2.49)	540(2.45)	583(2.39)	537(2.3)	564(2.19)	581(2.1)	642(2.44)	5326(2.38)
Non-invasive MV, n (%)*	135(0.84)	170(0.86)	160(0.93)	255(1.22)	308(1.4)	387(1.58)	558(2.39)	791(3.07)	946(3.42)	918(3.48)	4628(2.07)
Invasive MV, n (%)*	354(2.19)	462(2.34)	316(1.83)	343(1.64)	346(1.57)	411(1.68)	319(1.36)	388(1.5)	331(1.2)	340(1.29)	3610(1.61)
Thoracentesis, n (%)*	268(1.66)	401(2.03)	311(1.8)	345(1.65)	446(2.03)	439(1.8)	383(1.64)	474(1.84)	452(1.63)	449(1.7)	3968(1.77)
Red cell transfusion n(%)*	512(3.17)	584(2.95)	572(3.31)	718(3.43)	771(3.5)	899(3.68)	899(3.85)	1054(4.08)	1219(4.41)	1051(3.99)	8279(3.7)
Readmission, n(%)*	2031(12.57)	2619(13.25)	2267(13.13)	2728(13.04)	2948(13.4)	3375(13.82)	3274(14.01)	3692(14.31)	3971(14.36)	3860(14.65)	30765(13.75)
LOHS, median (IQR)	8(8)	8(8)	8(8)	8(7)	8(7)	8(7)	8(7)	7(6)	7(6)	7(7)	8(7)
IHM n(%)*	2232(13.81)	2728(13.8)	2345(13.58)	2619(12.52)	2797(12.71)	3167(12.97)	2987(12.78)	3415(13.23)	3728(13.48)	3256(12.36)	29274(13.09)
<i>S. pneumoniae</i> , n(%)*	2504(15.49)	3411(17.26)	2977(17.24)	3873(18.52)	3783(17.19)	3992(16.34)	3501(14.98)	2380(9.22)	2027(7.33)	2095(7.95)	30543(13.65)
<i>Legionella</i> , n(%)*	154(0.95)	197(1)	207(1.2)	189(0.9)	217(0.99)	213(0.87)	197(0.84)	158(0.61)	170(0.61)	159(0.6)	1861(0.83)
<i>S. aureus</i> , n(%)*	69(0.43)	91(0.46)	104(0.6)	104(0.5)	127(0.58)	157(0.64)	131(0.56)	133(0.52)	171(0.62)	187(0.71)	1274(0.57)
<i>H. influenzae</i> , n(%)	58(0.36)	63(0.32)	57(0.33)	85(0.41)	77(0.35)	76(0.31)	94(0.4)	81(0.31)	92(0.33)	109(0.41)	792(0.35)
<i>P. aeruginosa</i> , n(%)	139(0.86)	159(0.8)	146(0.85)	160(0.77)	160(0.73)	154(0.63)	169(0.72)	184(0.71)	206(0.74)	193(0.73)	1670(0.75)

AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay; IQR: Interquartile range; IHM: In-hospital mortality.

*P<0.05 to assess time trend from 2004 to 2013.

Table 2. Characteristics of hospital admissions for pneumonia as primary diagnosis in patients without type 2 diabetes in Spain, 2004-2013.

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
N	56991	66996	58233	66504	67123	74338	67643	72762	74816	72015	677421
Incidence*	316.24	355.18	295.55	337.53	333.66	362.07	329.46	348.43	358.27	341.98	338.21
Women, n(%)*	20375(35.75)	24955(37.25)	21500(36.92)	25263(37.99)	26195(39.03)	29742(40.01)	26715(39.49)	29407(40.42)	30912(41.32)	29455(40.9)	264519(39.05)
Age, mean (SD)	73.96(13.25)	74.26(13.36)	74.24(13.64)	74.33(13.78)	74.79(13.78)	73.77(14.61)	75.67(13.72)	75.65(13.86)	77.02(13.28)	76.36(13.73)	75.06(13.76)
40-64 years n(%)*	12471(21.88)	14327(21.38)	13036(22.39)	15012(22.57)	14768(22)	18992(25.55)	13930(20.59)	15392(21.15)	13497(18.04)	14306(19.87)	145731(21.51)
65-74 years n(%)*	12578(22.07)	14150(21.12)	11555(19.84)	12535(18.85)	12153(18.11)	12409(16.69)	11068(16.36)	11581(15.92)	11321(15.13)	10965(15.23)	120315(17.76)
75-84 years n(%)*	19395(34.03)	23171(34.59)	19755(33.92)	22482(33.81)	22213(33.09)	23189(31.19)	22555(33.34)	23706(32.58)	24640(32.93)	23151(32.15)	224257(33.1)
≥85 years n (%)*	12547(22.02)	15348(22.91)	13887(23.85)	16475(24.77)	17989(26.8)	19748(26.57)	20090(29.7)	22083(30.35)	25358(33.89)	23593(32.76)	187118(27.62)
AMI, n(%)*	1932(3.39)	2309(3.45)	1917(3.29)	2279(3.43)	2123(3.16)	2207(2.97)	1884(2.79)	1955(2.69)	1952(2.61)	1802(2.5)	20360(3.01)
CHF, n(%)*	6649(11.67)	7929(11.84)	6761(11.61)	8228(12.37)	8673(12.92)	9310(12.52)	9516(14.07)	10896(14.97)	12004(16.04)	11680(16.22)	91646(13.53)
PVD, n(%)*	1857(3.26)	2345(3.5)	2121(3.64)	2239(3.37)	2237(3.33)	2445(3.29)	2496(3.69)	2753(3.78)	2923(3.91)	3074(4.27)	24490(3.62)
CEVD/HP/PAPL, n(%)*	3993(7.01)	4543(6.78)	4020(6.9)	4505(6.77)	4969(7.4)	5487(7.38)	5561(8.22)	6088(8.37)	6508(8.7)	6322(8.78)	51996(7.68)
Chronic pulmonary disease, n(%)*	20012(35.11)	23065(34.43)	19416(33.34)	21961(33.02)	21810(32.49)	24120(32.45)	22753(33.64)	24112(33.14)	24376(32.58)	23789(33.03)	225414(33.28)
Dementia n(%)*	5402(9.48)	6295(9.4)	5833(10.02)	6224(9.36)	6904(10.29)	7599(10.22)	7793(11.52)	8536(11.73)	9300(12.43)	8742(12.14)	72628(10.72)
Renal disease n(%)*	4363(7.66)	5327(7.95)	4609(7.91)	5760(8.66)	6328(9.43)	7189(9.67)	7312(10.81)	8278(11.38)	9609(12.84)	9572(13.29)	68347(10.09)
Any type of malignancy n(%)*	5696(9.99)	6420(9.58)	6006(10.31)	6671(10.03)	6929(10.32)	7642(10.28)	7504(11.09)	8234(11.32)	8289(11.08)	8407(11.67)	71798(10.6)
Any liver disease n(%)	2825(4.96)	3362(5.02)	2942(5.05)	3436(5.17)	3409(5.08)	3852(5.18)	3457(5.11)	3689(5.07)	3733(4.99)	3754(5.21)	34459(5.09)
Obesity n(%)*	1940(3.4)	2352(3.51)	2044(3.51)	2304(3.46)	2489(3.71)	3188(4.29)	2839(4.2)	3367(4.63)	3393(4.54)	3529(4.9)	27445(4.05)
Pleuritis, n(%)*	3992(7)	4898(7.31)	4240(7.28)	4936(7.42)	4760(7.09)	4892(6.58)	4782(7.07)	4954(6.81)	5054(6.76)	5101(7.08)	47609(7.03)
CCI 0 n(%)*	18620(32.67)	22311(33.3)	19452(33.4)	22643(34.05)	21991(32.76)	24786(33.34)	20436(30.21)	21691(29.81)	21653(28.94)	20550(28.54)	214133(31.61)
CCI 1 n(%)*	28219(49.51)	32726(48.85)	28327(48.64)	31765(47.76)	32752(48.79)	35890(48.28)	33399(49.38)	36155(49.69)	37205(49.73)	35850(49.78)	332288(49.05)
CCI ≥2 n (%)*	10152(17.81)	11959(17.85)	10454(17.95)	12096(18.19)	12380(18.44)	13662(18.38)	13808(20.41)	14916(20.5)	15958(21.33)	15615(21.68)	131000(19.34)
CAT, n(%)*	5936(10.42)	6931(10.35)	6565(11.27)	8042(12.09)	8487(12.64)	9356(12.59)	9191(13.59)	9690(13.32)	9627(12.87)	10028(13.92)	83853(12.38)
Bronchial fibroscopy, n(%)*	2165(3.8)	2256(3.37)	2068(3.55)	2245(3.38)	2220(3.31)	2258(3.04)	2135(3.16)	2207(3.03)	2195(2.93)	2351(3.26)	22100(3.26)
Non-invasive MV, n (%)*	442(0.78)	584(0.87)	531(0.91)	718(1.08)	945(1.41)	1188(1.6)	1616(2.39)	1934(2.66)	2307(3.08)	2286(3.17)	12551(1.85)
Invasive MV, n (%)*	1426(2.5)	1700(2.54)	1140(1.96)	1338(2.01)	1405(2.09)	1520(2.04)	1279(1.89)	1516(2.08)	1336(1.79)	1287(1.79)	13947(2.06)
Thoracocentesis, n (%)*	1271(2.23)	1476(2.2)	1308(2.25)	1527(2.3)	1549(2.31)	1655(2.23)	1502(2.22)	1508(2.07)	1518(2.03)	1636(2.27)	14950(2.21)
Red cell transfusion n(%)*	1830(3.21)	2125(3.17)	2034(3.49)	2189(3.29)	2498(3.72)	2642(3.55)	2709(4)	2830(3.89)	3073(4.11)	3009(4.18)	24939(3.68)
Readmission, n(%)*	6633(11.64)	7830(11.69)	7063(12.13)	7889(11.86)	8121(12.1)	8947(12.04)	8794(13)	9515(13.08)	10427(13.94)	9716(13.49)	84935(12.54)
LOHS, median (IQR)	8(8)	8(8)	8(7)	8(7)	8(7)	7(8)	7(7)	7(7)	7(7)	7(7)	7(7)
IHM n(%)*	8036(14.1)	9900(14.78)	8121(13.95)	8758(13.17)	9105(13.56)	9727(13.08)	9087(13.43)	10209(14.03)	10777(14.4)	9803(13.61)	93523(13.81)
<i>S. pneumoniae</i> , n(%)*	9736(17.08)	11685(17.44)	10295(17.68)	12295(18.49)	11899(17.73)	12099(16.28)	10081(14.9)	7147(9.82)	5918(7.91)	6101(8.47)	97256(14.36)
<i>Legionella</i> , n(%)*	667(1.17)	808(1.21)	727(1.25)	667(1)	678(1.01)	729(0.98)	668(0.99)	544(0.75)	546(0.73)	449(0.62)	6483(0.96)
<i>S. aureus</i> , n(%)*	253(0.44)	350(0.52)	286(0.49)	366(0.55)	368(0.55)	378(0.51)	400(0.59)	438(0.6)	503(0.67)	470(0.65)	3812(0.56)
<i>H. influenzae</i> , n(%)	267(0.47)	272(0.41)	257(0.44)	264(0.4)	263(0.39)	293(0.39)	295(0.44)	289(0.4)	300(0.4)	333(0.46)	2833(0.42)
<i>P. aeruginosa</i> , n(%)	509(0.89)	597(0.89)	514(0.88)	574(0.86)	617(0.92)	622(0.84)	632(0.93)	632(0.87)	638(0.85)	685(0.95)	6020(0.89)

AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay; IQR: Interquartile range; IHM: In-hospital mortality.

*P<0.05 to assess time trend from 2004 to 2013.

1 Among patients with T2DM, crude incidence of admissions for CAP increased
2 significantly from 812.64 cases per 100,000 T2DM population in 2004 to 923.26 cases
3 in 2013 (Table 1). In patients without T2DM the cumulative incidence of admissions
4 increased significantly from 316.24 cases per 100,000 population without diabetes in
5 2004 to 341.98 in 2013 (Table 2). Incidence was significantly higher in T2DM people
6 than in non-diabetic people for all years analysed. From 2004 to 2013, the adjusted IRR
7 of having CAP admission diagnosis in patients with type 2 diabetes was significant and
8 higher than in those without diabetes (1.27 95%CI 1.23-1.31 vs. 1.05 95% CI 1.03-
9 1.07).

10 Taking people without diabetes admitted with CAP as the reference category and using
11 the Poisson regression models constructed to compare the adjusted incidence of
12 admissions for CAP from 2004 to 2013, we obtained an adjusted IRR of 1.66 (95%CI
13 1.65-1.67) for patients with T2DM. In other words, the incidence of admissions for
14 CAP over the entire period was 1.66-times higher among patients with T2DM than
15 those without diabetes.

16 In patients who have an admission for CAP, there was a significant male predominance
17 (60.14% for T2DM and 60.95% for no diabetes). Overall, patients with T2DM were
18 significantly older (77.08 ± 10.46 years) than patients without diabetes (75.06 ± 13.76
19 years) and had more coexisting medical conditions. Specifically, had higher prevalence
20 of acute myocardial infarction (4.8% vs. 3.1%), congestive heart failure (18.54% vs.
21 13.53%), cerebrovascular disease/hemiplegia/paraplegia (10.3% vs. 7.68%), dementia
22 (11.21% vs. 10.72%), renal disease (15.43% vs. 10.09%), peripheral vascular disease
23 (6.14% vs. 3.62%) and prevalence of obesity is two times higher (all P values < 0.05). On
24 the other hand, any type of malignancy and pleuritis were more prevalent in non-
25 diabetic patients (10.6% and 7.03%, respectively) than in those with T2DM (7.94% and
26 5.94%). Age and all these comorbidities increased significantly over time in both people
27 with T2DM and without diabetes (Table 1 and Table 2).

28 As can be seen in Table 1 and Table 2, acute myocardial infarction and chronic
29 pulmonary disease decreased significantly in both groups over the study period. Male
30 sex increased significantly in people with T2DM only.

31 A significant decrease in the use of bronchial fibroscopy was found reducing from
32 2.62% in 2004 to 2.44% in 2013 among T2DM subjects and from 3.8% to 3.26% in
33 those without diabetes. We detected a significant increase in use of thorax CAT in both
34 groups over the study period as can be seen in Table 1 and Table 2.

1 The use of all therapeutics procedures (except invasive mechanical ventilation which
2 showed a significant decrease) have significantly increased in the last ten years in
3 diabetic and non-diabetic patients (Table 1 and Table 2). The use of non invasive
4 mechanical ventilation has shown an over three fold increase in both groups of patients
5 over the study period.

6 Of the pathogens analysed the most commonly found was *S. pneumoniae*, followed by
7 *Legionella*, *P. aeruginosa*, *S. aureus* and *H. influenza*.

8 In year 2013 *S. pneumonia* was detected in 7.95% of diabetic patients and 8.47% in
9 those without the disease. All other pathogens were found in under 1% of patients.

10 *S. pneumoniae* and *Legionella* decreased over time in both people with T2DM and
11 without diabetes. However, we detected a significant increase of *S. aureus* in both
12 groups over the study period (Table 1 and Table 2). The prevalence of pathogens
13 analysed was similar in patients with and without the disease.

14 Readmissions increased in both groups during the study (Table 1 and Table 2). Among
15 diabetic patients, the increase was from 12.57% in 2004 to 14.65% in 2013. Equivalent
16 figures for subjects without diabetes were significantly lower (11.64% and 13.49%).

17 Overall median LOHS was significantly higher in patients with T2DM (8 vs.7 days).

18 Over time, LOHS following CAP fell significantly in both patients with T2DM and
19 without diabetes.

20 IHM was 13.81% for T2DM patients and 13.09% for non-diabetic people ($p < 0.05$).

21 Crude IHM decreased significantly over time in both people with T2DM and without
22 diabetes (from 13.81% and 14.1%, respectively in 2004 to 12.36% and 13.61% in
23 2013), as can be seen in Table 1 and Table 2.

24 Table 3 shows the characteristics of hospital admissions for CAP in patients with and
25 without T2DM according to IHM during the study period.

Table 3. Characteristics of hospital admissions for pneumonia as primary diagnosis in patients with and without type 2 diabetes in Spain, 2001-2013 according to in hospital mortality.

	Diabetes		No Diabetes	
	LIVE	DIED	LIVE	DIED
Women, n(%) ^{*†}	76301(39.24)	12880(44)	225626(38.64)	38893(41.59)
Age, mean (SD) ^{* †}	76.42(10.51)	81.48(8.94)	74.11(13.87)	80.97(11.43)
40-64 years n(%) ^{*†}	26507(13.63)	1489(5.09)	136594(23.39)	9137(9.77)
65-74 years n(%) ^{*†}	45070(23.18)	3831(13.09)	109241(18.71)	11074(11.84)
75-84 years n(%) ^{*†}	78684(40.47)	12132(41.44)	192709(33)	31548(33.73)
≥85 years n (%) ^{*†}	44180(22.72)	11822(40.38)	145354(24.89)	41764(44.66)
AMI, n(%) ^{*†}	9163(4.71)	1584(5.41)	17000(2.91)	3360(3.59)
CHF, n(%) ^{*†}	35096(18.05)	6384(21.81)	74122(12.69)	17524(18.74)
PVD, n(%) ^{*†}	11802(6.07)	1927(6.58)	20931(3.58)	3559(3.81)
CEVD/HP/PAPL, n(%) ^{*†}	18199(9.36)	4836(16.52)	40554(6.95)	11442(12.23)
Chronic pulmonary disease, n(%) ^{*†}	67498(34.71)	6801(23.23)	203108(34.78)	22306(23.85)
Dementia n(%) ^{*†}	18657(9.6)	6420(21.93)	53446(9.15)	19182(20.51)
Renal disease n(%) ^{*†}	29173(15)	5351(18.28)	55766(9.55)	12581(13.45)
Any type of malignancy n(%) ^{*†}	14089(7.25)	3679(12.57)	56195(9.62)	15603(16.68)
Any liver disease n(%)	9730(5)	1450(4.95)	29671(5.08)	4788(5.12)
Obesity n(%) ^{*†}	19076(9.81)	1275(4.36)	25570(4.38)	1875(2)
Pleuritis, n(%) ^{*†}	11774(6.06)	1521(5.2)	42000(7.19)	5609(6)
CCI 0 n(%) ^{*†}	54116(27.83)	5845(19.97)	191839(32.85)	22294(23.84)
CCI 1 n(%) ^{*†}	97047(49.91)	15437(52.73)	283688(48.59)	48600(51.97)
CCI ≥2 n(%) ^{*†}	43278(22.26)	7992(27.3)	108371(18.56)	22629(24.2)
CAT, n(%) ^{*†}	22383(11.51)	1675(5.72)	77695(13.31)	6158(6.58)
Bronchial fibroscopy, n(%) ^{*†}	4859(2.5)	467(1.6)	20039(3.43)	2061(2.2)
Non-invasive MV, n (%) ^{*†}	3563(1.83)	1065(3.64)	9250(1.58)	3301(3.53)
Invasive MV, n (%) ^{*†}	1937(1)	1673(5.71)	7248(1.24)	6699(7.16)
Thoracocentesis , n (%) ^{*†}	3610(1.86)	358(1.22)	13535(2.32)	1415(1.51)
Red cell transfusion n(%) ^{*†}	6866(3.53)	1413(4.83)	19626(3.36)	5313(5.68)
Readmission, n(%) ^{*†}	24306(12.5)	6459(22.06)	66353(11.36)	18582(19.87)
LOHS, median (IQR) ^{*†}	8(7)	6(10)	8(7)	6(11)
<i>S. pneumonia</i> , n(%) ^{*†}	28086(14.44)	2457(8.39)	89171(15.27)	8085(8.64)
<i>Legionella</i> , n(%) ^{*†}	1766(0.91)	95(0.32)	6134(1.05)	349(0.37)
<i>S. aureus</i> , n(%) ^{*†}	1042(0.54)	232(0.79)	3017(0.52)	795(0.85)
<i>H. influenza</i> , n(%) ^{*†}	763(0.39)	29(0.1)	2704(0.46)	129(0.14)
<i>P. aeruginosa</i> , n(%) ^{*†}	1400(0.72)	270(0.92)	4934(0.85)	1086(1.16)

AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay.

*Without diabetes † With diabetes

1 For the entire time period IHM was slightly but significantly higher among those
2 without diabetes (13.81%vs. 13.09%).

3 Overall, patients with T2DM who died during their hospitalization were significantly
4 older (81.48±8.94 years) than those that survived (76.42±10.51 years) and had more
5 coexisting medical conditions. Including higher prevalence of acute myocardial
6 infarction (5.41% vs 4.71%), congestive heart failure (21.81% vs. 18.05%), vascular
7 disease (6.58% vs, 6.07%), cerebrovascular disease/hemiplegia/paraplegia (16.52% vs.
8 9.36%), dementia (21.93% vs. 9.6%), renal disease (18.28% vs. 15%), any type of
9 malignancy (12.57% vs. 7.25%). On the other hand chronic obstructive pulmonary
10 disease, obesity and pleuritis were more prevalent in diabetic patients that didn't die
11 during their hospital stay.

12 Invasive and non-invasive mechanical ventilation and red cell transfusion procedures
13 were significantly more used in diabetic patients who died than in those that survived
14 (5.71%, 3.64% and 4.83% vs. 1%, 1.83% and 3.53%, respectively). However, CAT of
15 thorax, thoracocentesis, bronchial fibroscopy were more frequent in T2DM and non-
16 diabetic patients that survived than in those who died.

17 As can be seen in Table 3, non-diabetic patients who died were significantly older,
18 had more coexisting conditions like acute myocardial infarction, congestive heart
19 failure, vascular disease, cerebrovascular disease/hemiplegia/paraplegia, dementia, renal
20 disease and any type of malignancy and were underwent invasive and non-invasive
21 mechanical ventilation and red cell transfusion procedures than those non-diabetic
22 patients that survived.

23 We found that 22.06% of diabetic patients that died and 12.5%% of diabetic patients
24 that survived were readmission (P< 0.01). LOHS was 6 days in those diabetic and non-
25 diabetic patients who died vs. 8 days in those diabetic and non-diabetic patients that
26 survived.

27 *S. pneumoniae* was more frequently detected in patients who lived than in those who
28 died in both T2DM and non-diabetic patients (14.44% vs. 8.39% and 15.27% vs.
29 8.64%), as can be seen in Table 3.

30 In Table 4 we can see the results of the multivariate analysis of the factors
31 independently associated with in hospital mortality in diabetic and non-diabetic patients.
32 during hospital admission for CAP in Spain, 2004-2013.

1 **Table 4.** Multivariate analysis of the factors potentially associated with in-hospital
 2 mortality for patients with and without type 2 diabetes in Spain, 2001-2013 with
 3 pneumonia as primary diagnosis.
 4

		Diabetes OR(CI 95%)	No diabetes OR(CI 95%)	Total OR(CI 95%)
Age, years	40-64	1	1	1
	65-74	1.47(1.38-1.57)	1.47(1.42-1.51)	1.46(1.42-1.50)
	75-84	2.70(2.55-2.87)	2.49(2.42-2.55)	2.53(2.47-2.59)
	≥85	4.75(4.47-5.05)	4.52(4.40-4.64)	4.55(4.44-4.66)
CCI	0	1	1	1
	1	1.35(1.30-1.39)	1.28(1.26-1.31)	1.30(1.28-1.32)
	≥2	1.50(1.44-1.56)	1.44(1.41-1.47)	1.46(1.43-1.48)
Obesity		0.51(0.48-0.54)	0.50(0.47-0.52)	0.50(0.48-0.52)
Non-invasive MV		2.04(1.89-2.21)	2.01(1.92-2.11)	2.02(1.94-2.10)
Invasive MV		11.53(10.68-12.45)	12.55(12.06-13.06)	12.34(11.91-12.78)
Red cell transfusion		1.14(1.07-1.21)	1.35(1.31-1.40)	1.30(1.26-1.34)
Readmission		1.91(1.85-1.97)	1.85(1.82-1.89)	1.87(1.84-1.90)
CAT		0.54(0.51-0.57)	0.53(0.51-0.55)	0.53(0.52-0.55)
Thoracocentesis		0.82(0.73-0.93)	0.86(0.80-0.91)	0.85(0.80-0.90)
Bronchial fibroscopy		0.75(0.67-0.83)	0.71(0.67-0.75)	0.72(0.68-0.75)
<i>S. pneumonia</i>		0.54(0.52-0.57)	0.52(0.51-0.53)	0.52(0.51-0.54)
<i>Legionella,</i>		0.43(0.34-0.53)	0.38(0.34-0.42)	0.39(0.35-0.43)
<i>S. aureus</i>		1.22(1.04-1.42)	1.26(1.16-1.37)	1.25(1.16-1.35)
<i>H. influenza,</i>		0.22(0.15-0.32)	0.26(0.21-0.31)	0.25(0.21-0.29)
Year		0.97(0.96-0.99)	0.97(0.96-0.98)	0.97(0.96-0.98)
Diabetes			-	0.92(0.91-0.94)

5
 6 CCI Charlson comorbidity index. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax.
 7
 8

1 Among diabetic patients, IHM was significantly higher in older subjects (vs. <40-64
2 years old, OR: 4.75, 95%CI 4.47-5.05 for \geq 85 years old) and in those with more
3 comorbidities according to the CCI (vs. no comorbidities, OR: 1.35, 95%CI 1.30-1.39,
4 for one comorbidity; OR: 1.50, 95%CI 1.44-1.56, for two or more comorbidities).

5 For diabetic patients, IHM was significantly lower in obese persons (OR: 0.51, 95%CI
6 0.48-0.54) than in those with normal body mass index.

7 Over the entire study period, a diabetic patient with readmission was 1.14 (95%CI,
8 1.07-1.21) times more likely to die than a diabetic patient without readmission.

9 T2DM patients having an in-hospital infection during admission for CAP (*S.*
10 *pneumoniae* or *Legionella* or *H. influenza* were identified) had lower probability of
11 dying than patients without these pathogens. However diabetic patients with *S. aureus*
12 had 1.22-fold higher probability of dying during their stay than those without that
13 pathogen. IHM was significantly higher in patients who underwent invasive and non-
14 invasive mechanical ventilation (OR: 11.53, 95%CI 10.68-12.45 and OR: 2.04, 95%CI
15 1.89-2.21) and red cell transfusion (OR: 1.14, 95%CI 1.07-1.21).

16 Diabetic patients who underwent CAT of thorax, bronchial fibroscopy and
17 thoracocentesis procedures had a 0.54-fold, 0.75-fold and 0.82-fold, respectively, lower
18 probability of dying during their stay than those who did not undergo these procedures.

19 Time trend analysis showed a minor but significant decrease in IHM from 2004 to 2013
20 in T2DM patients (OR: 0.97, 95%CI 0.96-0.99).

21 As can be seen in Table 4, for non-diabetic patients, IHM was significantly higher in
22 older persons, in those with more comorbidities, in those with readmissions, in those
23 with infections for *S. aureus* and in those who underwent invasive and non-invasive
24 mechanical ventilation and red cell transfusion procedures. As for diabetic patients we
25 found a significant decrease in mortality over time.

26 In our study, suffering diabetes was associated with a lower IHM (OR: 0.92, 95%CI
27 0.91-0.94).

28 Finally, for the entire population time trend analyses showed a significant decrease in
29 mortality from 2004 to 2013 in patients admitted for CAP in Spain (OR: 0.97, 95%CI
30 0.96-0.98).

31

32 DISCUSSION

33 Using data from the Spanish National Hospital Database, we found that rates of
34 hospitalization for CAP in patients with and without T2DM increased significantly from

1 2004 to 2013. These results are consistent with a report from Denmark, which pointed
2 that total pneumonia hospitalization increased by 63%, from 4.96 per 1000 population
3 in 1997 to 8.09 in 2011.[12] Recently, Quan et al concluded that hospital admission for
4 CAP are increasing by $\approx 9\%$ per year between 2009 and 2014.[9] Possible explanations
5 for the CAP increase are that more low-severity cases are presenting to hospital and
6 ageing population.[3,9,17]

7 We found that readmissions for CAP increased over time in patients with and without
8 T2DM and LOHS decreased in both groups of patients. These data are consistent with
9 other published study, suggesting that the fact that readmissions for pneumonia
10 increased over time supports another plausible explanation for the shortening LOHS,
11 namely an increased pressure for early discharge.[9,18]

12 After adjusting for age and sex, we found that the incidence of CAP among T2DM
13 patients was 1.66-times higher than among non-diabetic patients. Our results agree with
14 the Fremantle Diabetes Study data, in this study Hamilton et al compared patients with
15 T2DM in Australia to matched nondiabetic subjects and indicated that IRR for
16 pneumonia was 1.86 (95%CI 1.55-2.21).[6] In US, Jackson et al, also reported that the
17 adjusted RR for hospitalizations for CAP was 1.52 (95%CI 1.29-1.78) among patients
18 with diabetes compared with patients without diabetes, based on 46,237 subjects aged
19 >65 years.[19] In a Canadian study, the authors indicated that patients with diabetes had
20 an increased risk of pneumonia-related hospitalization than those without diabetes (RR
21 1.46 [95%CI 1.42-1.49]).[8] In a case-control study in Denmark, Kornum et al found
22 that T2DM was associated with a 1.2-fold increased risk of a pneumonia-related
23 hospitalization.[4] They concluded that longer duration of diabetes and poor glycemic
24 control increase the risk of CAP-related hospitalization.

25 Like other authors, we found that patients admitted for CAP were increasing
26 older.[9,17] In UK, using linked electronic health records of patients with diabetes,
27 McDonald et al observed that pneumonia incidence was 6-8 times higher among
28 patients aged ≥ 85 years than patients aged 65-69 years.[20] Possible explanations
29 include a general improvement in clinical management, especially changes in
30 immunosuppressive regimens and handling of comorbidities.[12]

31 In our study, T2DM patients had a higher number of simultaneous comorbidities and
32 were more frequently obese, but obesity was not associated with a higher mortality risk
33 during admission for CAP. Obesity is known to have adverse effects on immune
34 function and to increase susceptibility to infections such as pneumonia,[21] however

1 Hamilton et al concluded that a high body mass index was independently associated
2 with any infection in their cohort of diabetic patients.[6] A recent meta-analysis
3 concluded that overweight and obesity were significantly associated with reduced risk
4 of pneumonia mortality (RR: 0.83, 95% CI 0.77 to 0.91, $P < 0.01$) and suggests that an
5 'obesity survival paradox' exists for pneumonia.[22]

6 The use of non invasive mechanical ventilation has shown an over three fold increase in
7 patients with and without T2DM over the study period. In a study about CAP in elderly,
8 the authors found that mechanical ventilation was provided commonly and that almost
9 half of the patients older than 90 years who received such care were discharged alive,
10 supporting the belief that such care for the critically ill elderly patient is often
11 justified.[11]

12 As expected, *S. pneumoniae* was the most frequent etiological agent among patients
13 with and without diabetes, however, its dominance is decreasing. Smith et al concluded
14 that declines in cases of pneumonia due to *S. pneumoniae* (from 7.1% in 1993 to 2.3%
15 2011) may be related to more frequent and effective vaccination, which reduces the risk
16 of invasive pneumococcal disease and bacteriemia.[23] Also this reduced risk may have
17 resulted in less-frequent coding because more thorough diagnostic evaluations
18 accompany a higher severity of disease. In Spain *S. pneumoniae* vaccine is
19 recommended for high-risk groups, including people with diabetes, and for all persons
20 aged 65 years or over.[24]

21 We found that other organism's particularly *S aureus* was more prevalent in dead
22 patients than in survivors in both T2DM and non-diabetic patients. Like other authors
23 despite the trends observed,[23,25] the low incidence of *S aureus* (0.57% in patients
24 with T2DM and 0.56% in those without T2DM), may be suggests that *S aureus* is not
25 routinely search for and detected for patients with CAP.[23,26] It has been reported that
26 pneumonia is the leading infectious cause of death in Spain, however the mortality rate
27 for pneumonia has decreased between 1980 and 2011.[27] In our study, we found that
28 crude IHM decreased over among diabetic and non-diabetic patients with a diagnosis of
29 CAP. Simonetti AF et al found a progressive downward trend of thirty-day mortality in
30 hospitalized patients with CAP (-0.2% death/year; P for trend=.003]) and concluded that
31 the decreased in mortality rates suggest general improvement in the management of
32 CAP.[28]

33 We detected that patients with T2DM who died during their stay were older, had more
34 coexisting comorbid conditions and had significantly more readmissions than those

1 patients with T2DM that survived. In diabetic patients who died mechanical ventilation
2 and red cell transfusion were significantly more used than in those that survived. An
3 alternative explanation is that there is a trend to hospitalizing a higher proportion of
4 fragil or terminal patients who previously may have been treated at home.[12]

5 In our population, the presence of T2DM was not a risk factor of death during
6 admission for CAP. The results add important evidence to previous information. An
7 observational cohort study of all Medicare recipients, aged 65 years or older,
8 hospitalized in nonfederal U.S. hospitals Kaplan et al reported no association between
9 IHM and diabetes.[11] In a Canadian study of 2,471 patients with CAP, the authors
10 concluded that hyperglycemia, but not the presence of diabetes, was the only factor
11 having a significant negative effect on patient survival.[29] However, Kornum et al,
12 indicated that high glucose levels were associated with increased mortality in both
13 patients with and without T2DM.[5] Perhaps the fact that patients with diabetes are
14 more likely to be hospitalized with less severity. In fact, in our study, we observed a
15 lower frequency of pleuritis and any type of malignancy in diabetics than in non-
16 diabetics, which could justify the lower mortality in the first group.

17 In our study mechanical ventilation (invasive and non-invasive) and red cell transfusion
18 were significantly associated with mortality during admission for CAP in both groups of
19 patients with and without diabetes.

20 A recent study, reported that noninvasive pressure ventilation is frequently used in CAP
21 but is associated with high failure rates, indicated that patients who failed non invasive
22 mechanical ventilation had an increased odds of death when compared with patients
23 who were treated with invasive ventilation (OR, 2.2; 95% CI, 1.0-4.8; P = .03).[30]

24 The strengths of our findings lie in the large sample size, the 10-year follow-up period,
25 and the standardized methodology, which has been used to investigate diabetes and its
26 complications in Spain and elsewhere.[31]

27 ***Limitations of the study***

28 Nevertheless, our study is subject to several limitations. Our data source was the
29 CMBD, an administrative database that contains discharge data for hospitalizations in
30 Spain and uses information the physician has included in the discharge report.
31 Therefore, our findings are limited by the lack of data precluded adjustment for
32 pneumococcal and influenza vaccinations, which have been associated with reduced
33 mortality among patients hospitalized with pneumonia.[5]

34 Other studies have identified factors that may influence in CAP outcomes and that were

1 not included in our investigation because these variables were not collected in the
2 Spanish Hospital Discharge Database. These factors include, among others, specimen
3 quality or antimicrobial treatments.[9] Additionally, we also cannot identify whether
4 gradual changes were made in referral practice during the study period.

5 Another significant limitation is the fact that we did not classify diabetic patients into
6 groups based on the therapy used to control blood glucose, with the result that we were
7 unable to provide data on the control of blood glucose during the hospitalization.

8 9 **CONCLUSIONS**

10 In conclusion, Spanish national data show that rates of hospitalization for CAP in
11 patients with and without T2DM increased significantly from 2004 to 2013 and
12 incidence rates were higher in T2DM patients than in those without diabetes in all time
13 periods studied. CAP incidence seems to be increasing at a higher rate among T2DM
14 patients than among non-diabetic patients. IHM after CAP shows downward trends over
15 time in all groups analyzed. Remarkably, the presence of T2DM is not a risk factor of
16 death after CAP in our cohort.

1 ACKNOWLEDGEMENTS

2 We would like to thank the Spanish Ministry of Health and Social Policy for providing
3 the records of the Minimum Basic Data Set (MBDS).

4 CONTRIBUTIONS

5 AL and RJG researched data, contributed to the discussion, wrote the manuscript, and
6 reviewed/edited the manuscript. VHB researched data and reviewed/edited the
7 manuscript. JMD, IJT, JMMY, MMB, NPF and MASF contributed to the discussion
8 and reviewed/edited the manuscript.

9 All authors reviewed and gave their final approval of the version to be submitted.

10 COMPETING INTERESTS

11 The authors declare that they have no competing interests.

12 DATA SHARING STATEMENT

13 "No additional data available"

14 FUNDING

15 This study is part of research funded by the FIS (*Fondo de Investigaciones Sanitarias—*
16 *Health Research Fund*, grant no. PI13/00118, *Instituto de Salud Carlos III*) and by the
17 *Grupo de Excelencia Investigadora URJC-Banco Santander N°30VCPIGI03:*
18 *Investigación traslacional en el proceso de salud - enfermedad (ITPSE).*

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
1 REFERENCES

- 2 1. Soriguer F, Goday A, Bosch-Comas A, et al. Prevalence of diabetes mellitus and
3 impaired glucose regulation in Spain: the Di@bet.es Study. *Diabetologia*. 2012;55:88-
4 93.
- 5 2. Korbel L, Spencer JD. Diabetes mellitus and infection: an evaluation of hospital
6 utilization and management costs in the United States. *J Diabetes Complications*.
7 2015;29(2):192-195.
- 8 3. Fry AM, Shay DK, Holman RC, Curns AT, Anderson LJ. Trends in hospitalizations
9 for pneumonia among persons aged 65 years or older in the United States, 1988-2002.
10 *JAMA*. 2005; 294(21):2712-2719.
- 11 4. Kornum JB, Thomsen RW, Riis A, Lervang HH, Schönheyder HC, Sørensen HT.
12 Diabetes, glycemic control, and risk of hospitalization with pneumonia. A population-
13 based case-control study. *Diabetes Care*. 2008; 31(8):1541-1545.
- 14 5. Kornum JB, Thomsen RW, Riis A, Lervang HH, Schönheyder HC, Sørensen HT.
15 Type 2 diabetes and pneumonia outcomes. A population-based cohort study. *Diabetes*
16 *Care*. 2007; 30(9):2251-2257.
- 17 6. Hamilton EJ, Martin N, Makepeace A, Sillars BA, Davis WA, Davis TM. Incidence
18 and predictors of hospitalization for bacterial infection in community-based patients
19 with type 2 diabetes: the fremantle diabetes study. *PLoS One*. 2013;8(3):e60502.
- 20 7. Benfield T, Jensen JS, Nordestgaard BG. Influence of diabetes and hyperglycaemia
21 on infectious disease hospitalisation and outcome. *Diabetologia*. 2007;50(3):549-554.
- 22 8. Shah BR, Hux JE. Quantifying the risk of infectious diseases for people with
23 diabetes. *Diabetes Care*. 2003;26(2):510-513.
- 24 9. Quan TP, Fawcett NJ, Wrightson JM, et al. Increasing burden of community-acquired
25 pneumonia leading to hospitalisation, 1998-2014. *Thorax*. 2016 Feb 17.
- 26 10. Koziel H, Koziel MJ. Pulmonary complications of diabetes mellitus. Pneumonia.
27 *Infect Dis Clin North Am*. 1995;9(1):65-96.
- 28 11. Kaplan V, Angus DC, Griffin MF, Clermont G, Scott Watson R, Linde-Zwirble
29 WT. Hospitalized community-acquired pneumonia in the elderly: age- and sex-related
30 patterns of care and outcome in the United States. *Am J Respir Crit Care Med*.
31 2002;165(6):766-772.
- 32 12. Sogaard M, Nielsen RB, Schönheyder HC, Nørgaard M, Thomsen RW. Nationwide
33 trends in pneumonia hospitalization rates and mortality, Denmark 1997-2011. *Respir*
34 *Med*. 2014;108(8):1214-1222.

- 1
2
3 1 13. Instituto Nacional de Gestión Sanitaria, Ministerio de Sanidad, Servicios Sociales e
4 Igualdad. Conjunto Mínimo Básico de Datos, Hospitales del INSALUD.
5 <http://www.ingesa.msc.es/estadEstudios/documPublica/CMBD-2001.htm>. Accessed
6 October 6, 2015.
7
8
9 14. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying
10 prognostic comorbidity in longitudinal studies: development and validation. *J Chronic*
11 *Dis.* 1987;40:373-383.
12
13 15. Ministerio de Sanidad, Servicios Sociales e Igualdad. [Encuesta Nacional de Salud
14 de España]. <http://www.msssi.gob.es/estadEstudios/estadisticas/encuestaNacional/>.
15 Accessed December 1, 2015.
16
17 16. Instituto Nacional de Estadística. Population estimates 2010. <http://www.ine.es>.
18 Accessed December 1, 2015.
19
20 17. Jain S, Self WH, Wunderink RG, et al. Community-acquired pneumonia requiring
21 hospitalization among US adults. *NEJM.* 2015;373:415-427.
22
23 18. Sicras-Mainar A, Ibáñez-Nolla J, Cifuentes I, Guijarro P, Navarro-Artieda R,
24 Aguilar L. Retrospective epidemiological study for the characterization of community-
25 acquired pneumonia and pneumococcal pneumonia in adults in a well-defined area of
26 Badalona (Barcelona, Spain). *BMC Infectious Diseases.* 2012;12:283.
27
28 19. Jackson ML, Neuzil KM, Thompson WW, et al. The burden of community-acquired
29 pneumonia in seniors: results of a population-base study. *Clin Infect Dis.* 2004;
30 39(11):1642-1650.
31
32 20. Mc Donald HI, Nitsch D, Millett ER, Sinclair A, Thomas SL. New estimates of the
33 burden of acute community-acquired infections among older people with diabetes
34 mellitus: a retrospective cohort study using linked electronic health records. *Diabet*
35 *Med.* 2014; 31:606-614.
36
37 21. Mancuso P. Obesity and respiratory infections: does excess adiposity weigh down
38 host defense?. *Pulm Pharmacol Ther.* 2013; 26(4):412-419.
39
40 22. Nie W, Zhang Y, Jee SH, Jung KJ, Li B, Xiu Q. Obesity survival paradox in
41 pneumonia: a meta-analysis. *BMC Medicine.* 2014;12:61.
42
43 23. Smith SB, Ruhnke GW, Weiss CH, Waterer GW, Wunderink RG. Trends in
44 pathogens among patients hospitalized for pneumonia from 1993 to 2011. *JAMA Intern*
45 *Med.* 2014;174(11):1837-1839.
46
47 24. Ministry of Health, Social Services and Equality. Vaccination against pneumococcal
48 infections in risk groups.
49
50
51
52
53
54
55
56
57
58
59
60

- 1 [http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/](http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/Neumococo_Gruposriesgo.pdf)
2 Neumococo_Gruposriesgo.pdf. Accessed 3 April 2016.
- 3 25. Lewis SS, Walker VJ, Lee MS, et al. Epidemiology of methicillin-resistant
4 *Staphylococcus aureus* pneumonia in community hospitals. *Infect Control Hosp*
5 *Epidemiol.* 2014;35(12):1452-1457.
- 6 26. Wunderink RG. Community-acquired pneumonia versus healthcare-associated
7 pneumonia. The returning pendulum. *Am J Respir Crit Care Med.* 2013;188(8):896-
8 898.
- 9 27. Lopez-Cuadrado T, Llácer A, Palmera-Suárez R, et al. Trends in infectious disease
10 mortality rates, Spain, 1980-2011. *Emerg Infect Dis.* 2014;20(5):782-789.
- 11 28. Simonetti AF, Garcia-Vidal C, Viasus D, et al. Declining Mortality among
12 hospitalized patients with community-acquired pneumonia. *Clin Microbiol Infect.* 2016
13 Mar 25.
- 14 29. McAlister FA, Majumdar SR, Blitz S, Rowe BH, Romney J, Marrie TJ. The relation
15 between hyperglycemia and outcomes in 2,471 patients admitted to the hospital with
16 community-acquired pneumonia. *Diabetes Care.* 2005;28:810-815.
- 17 30. Murad A, Li PZ, Dial S, Shahin J. The role of noninvasive positive pressure
18 ventilation in community-acquired pneumonia. *J Crit Care.* 2015;30(1):49-54.
- 19 31. Jiménez-García R, Hernández-Barrera V, Rodríguez-Rieiro C, et al. Hospitalizations
20 from pandemic Influenza [A(H1N1)pdm09] infections among type 1 and 2 diabetes
21 patients in Spain. *Influenza Other Respir Viruses.* 2013;7(3):439-447.

STROBE Statement

Checklist of items that should be included in reports of observational studies

Section/Topic	Item No	Recommendation	Reported on Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1,3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
		(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	
Participants	6	<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	5
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	
Variables	7	(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	
		<i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
		Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5,6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5,6
Bias	9	Describe any efforts to address potential sources of bias	5,6
Study size	10	Explain how the study size was arrived at	5,6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
		(a) Describe all statistical methods, including those used to control for confounding	6,7
Statistical methods	12	(b) Describe any methods used to examine subgroups and interactions	6,7
		(c) Explain how missing data were addressed	6,7
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	6,7
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	

Section/Topic	Item No	Recommendation	Reported on Page No
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	7-11
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7-11
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	7-11
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	7-11
		(b) Report category boundaries when continuous variables were categorized	7-11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-14
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other Information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Hospitalization with community-acquired pneumonia among type 2 diabetes patients: an observational population-based study in Spain from 2004 to 2013.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013097.R1
Article Type:	Research
Date Submitted by the Author:	10-Oct-2016
Complete List of Authors:	Lopez-de-Andres, Ana ; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit. de Miguel-Diez, Javier; Hospital General Universitario Gregorio Maranon, Respiratoy Care Department Jimenez-Trujillo, Isabel ; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit Hernandez-Barrera, Valentin; Universidad Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit de Miguel-Yanes, Jose; Hospital General Universitario Gregorio Maranon, Internal Medicine Department Mendez-Bailon, Manuel; Hospital Clinico Universitario San Carlos, Internal Medicine Department Perez-Farinos, Napoleon; Ministry of Health, Social Services and Equality, Health Security Agency SALINERO-FORT, MIGUEL; Servicio Madrileño de Salud, Gerencia de Atención Primaria Jimenez-Garcia, Rodrigo; Univ Rey Juan Carlos, Preventive Medicine and Public Health Teaching and Research Unit
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Diabetes and endocrinology
Keywords:	type 2 diabetes, community-acquired pneumonia, hospitalization, in-hospital mortality

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1 **Hospitalization with community-acquired pneumonia among type 2 diabetes**
2 **patients: an observational population-based study in Spain from 2004 to 2013.**

3
4 Ana López-de-Andrés,¹ Javier de Miguel-Díez,² Isabel Jiménez-Trujillo,¹ Valentín
5 Hernández-Barrera,¹ José M. de Miguel-Yanes,³ Manuel Méndez-Bailón,⁴ Napoleón
6 Pérez-Farinós,⁵ Miguel Ángel Salinero-Fort,⁶ Rodrigo Jiménez-García¹

7
8 ¹Preventive Medicine and Public Health Teaching and Research Unit. Health Sciences
9 Faculty. Rey Juan Carlos University. Alcorcón. Comunidad de Madrid. Spain.

10 ²Respiratory Care Department, Hospital General Universitario Gregorio Marañón,
11 Universidad Complutense de Madrid. Comunidad de Madrid. Spain.

12 ³Internal Medicine Department. Hospital General Universitario Gregorio Marañón.
13 Madrid. Comunidad de Madrid. Spain.

14 ⁴Internal Medicine Department. Hospital Universitario Clínico San Carlos. Madrid.
15 Comunidad de Madrid. Spain.

16 ⁵Health Security Agency. Ministry of Health, Social Services and Equality. Madrid.
17 Comunidad de Madrid. Spain.

18 ⁶Dirección Técnica de Docencia e Investigación. Gerencia Atención Primaria. Madrid.
19 Comunidad de Madrid. Spain.

20
21 Address for correspondence: Ana López de Andrés. Preventive Medicine and Public
22 Health Teaching and Research Unit, Health Sciences Faculty, Rey Juan Carlos
23 University Avda. de Atenas s/n, 28922 Alcorcón, Madrid, Spain. Tel: +34 91 4888623.
24 Fax: +34 91 4888848. E-mail: ana.lopez@urjc.es

25
26 **Keywords:** type 2 diabetes; community-acquired pneumonia; hospitalization; in-
27 hospital mortality

28
29 **Wordcount:** 4,000

1 ABSTRACT

2 **Objectives:** To describe trends in the incidence and outcomes of community-acquired
3 pneumonia (CAP) hospitalizations among patients with or without diabetes in Spain
4 (2004-2013).

5 **Design:** Retrospective, observational study using the Spanish National Hospital
6 Discharge Database (CMBD, *Conjunto Mínimo Básico de Datos*).

7 **Setting:** Spain.

8 **Participants:** We used national hospital discharge data to select all hospital admissions
9 for CAP.

10 **Main outcome measures:** Incidence was calculated overall and stratified by diabetes
11 status: type 2 diabetes (T2DM) and no-diabetes.

12 **Results:** We identified 901,136 admissions for CAP (24.8% with T2DM). Incidence
13 rates of CAP increased significantly in T2DM patients over time. The incidence was
14 higher among people with T2DM for all time periods. T2DM patients were older and
15 had higher comorbidity index than non-diabetic. *S. pneumoniae* decreased over time for
16 both groups. Time trend analyses showed significant decreases in mortality during
17 admission for CAP for patients with and without T2DM. Factor associated with higher
18 mortality in both groups included: older age, higher comorbidity, mechanical
19 ventilation, red cell transfusion, readmission and *S. aureus* detected. Diabetes was
20 associated with a lower in-hospital mortality (OR: 0.92, 95%CI 0.91-0.94) after a CAP
21 hospitalization.

22 **Conclusions:** CAP incidence rates were higher and increased over time at a higher rate
23 among T2DM patients. Mortality decreased over time in all groups. The presence of
24 diabetes is not a risk factor for death during admission for CAP.

25 **Strengths and limitations of this study**

- 26 • The strengths of our findings lie in the large sample size, the 10-year follow-up
27 period, and the standardized methodology.
- 28 • Our findings are limited by the lack of data precluded adjustment for
29 pneumococcal and influenza vaccinations, which have been associated with
30 reduced mortality among patients hospitalized with pneumonia.
- 31 • We haven't identified factors (specimen quality or antimicrobial treatments) that
32 may influence in CAP outcomes because these variables were not collected in
33 the Spanish Hospital Discharge Database.
- 34

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1 • We did not classify diabetic patients into groups based on the therapy used to
2 control blood glucose, with the result that we were unable to provide data on the
3 control of blood glucose during the hospitalization.

For peer review only

1 INTRODUCTION

2 Prevalence of diabetes is steadily rising. In Spain the number of people with diabetes
3 has more than doubled over the last decade due to an increasing obesity rate and an
4 aging population.[1] This increase in diabetes prevalence is projected to lead a
5 significant increase in patients with community-acquired pneumonia (CAP).[2]

6 CAP is a leading infectious cause of hospitalization worldwide, particularly among
7 people with diabetes.[3-5] Previous studies have shown that diabetes is a risk factor for
8 a pneumonia-related hospitalization.[6-8] A population-based cohort study found that
9 the adjusted relative risk (RR) for pneumonia-related hospitalization among subjects
10 with diabetes was 1.26 (95%CI 1.21-1.31) compared with non-diabetic patients.[4]

11 Advanced age and comorbidity are associated with increased mortality among adults
12 hospitalized with CAP.[9] Diabetic patients may have increased susceptibility to
13 pneumonia for several reasons. They are at increased risk of hyperglycemia, decreased
14 immunity, impaired lung function and chronic complications such as heart disease, renal
15 failure and pulmonary microangiopathy.[10] Kornum et al concluded that presence of
16 type 2 diabetes (T2DM) predict increased pneumonia-related mortality.[5] However,
17 Kaplan et al reported no association between in-hospital mortality (IHM) and
18 diabetes.[11]

19 The incidence of pneumonia may be increasing.[3,9,12] Secular trends in incidence and
20 outcomes of CAP among patients with and without T2DM have been examined.[4-6]
21 However, to our knowledge, no previous studies have investigated national trends in the
22 incidence, characteristics and outcomes of CAP in people with diabetes in Spain.

23 In this study, we used national hospital discharge data to examine trends in incidence
24 and outcomes of CAP among patients with or without T2DM in Spain from 2004 to
25 2013. In particular, we analyzed patient comorbidities, diagnostic and therapeutic
26 procedures, pneumonia pathogens and in-hospital outcomes, such as readmission, IHM
27 and length of hospital stay (LOHS).

28 METHODS

29 We performed a retrospective, observational study using the Spanish National Hospital
30 Discharge Database (CMBD, *Conjunto Mínimo Básico Datos*), which compiles all
31 public and private hospital data, covering more than 98% of hospital admissions.[13]
32 The CMBD includes patient variables (sex, date of birth), admission and discharge
33 dates, up to 14 discharge diagnoses, and up to 20 procedures performed during the
34

1 hospital stay.[13] We analyzed data collected between January 1, 2004 and December
2 31, 2013 for subjects aged 40 and over.

3 The criteria for diseases and procedures were defined according to the ICD-9-CM,
4 which is used in the Spanish CMBD.

5 We selected admissions for patients with a primary diagnosis of CAP (ICD-9-CM
6 codes: 480-488, 507.0-507.8). We grouped admissions by diabetes status as follows:
7 T2DM (ICD-9-CM codes: 250.x0 and 250.x2) or no-diabetes in any diagnostic position.

8 We excluded people with type 1 diabetes mellitus (ICD-9-CM codes: 250.x1; 250.x3).

9 Clinical characteristics included information on overall comorbidity at the time of
10 diagnosis, which was assessed by calculating the Charlson comorbidity index
11 (CCI).[14] We divided patients into three categories: low index, which corresponds to
12 patients with no previously recorded disease; medium index, patients with one disease
13 category; and high index, patients with two or more disease categories.

14 Irrespectively of the position at the diagnoses coding list, we retrieved data about
15 comorbidities as described by Kornum et al (2007).[5] Also, we specifically identified
16 the following procedures: computerized axial tomography of thorax (ICD-9-CM code
17 87.41), bronchial fibroscopy (ICD-9-CM code 33.21-33.24), non-invasive mechanical
18 ventilation (ICD-9-CM code 93.90), invasive mechanical ventilation (ICD-9-CM code
19 96.7, 96.70, 96.71, 96.72), thoracocentesis (ICD-9-CM code 34.91), and red cell
20 transfusion (ICD-9-CM code 99.03, 99.04).

21 We analyzed pneumonia pathogens documented during hospitalizations for pneumonia
22 using the following ICD-9-CM codes: 481 for *Streptococcus pneumoniae*; 482.84 for
23 *Legionella*; 482.41 and 482.42 for *Staphylococcus aureus*; 482.2 for *Haemophilus*
24 *influenzae*; and 482.1 for *Pseudomonas aeruginosa*. These were the five most frequently
25 identified pathogens. All others represented under 0.30% of admissions.

26 We estimated the proportion of readmission (patients that had been discharged from the
27 hospital within the previous 30 days), the median of LOHS and IHM. IHM is defined by
28 the proportion of patients who died during admission for each year of study.

29 **Statistical analysis**

30 In order to assess time trends, the age and sex incidence rates of admissions for CAP in
31 patients with T2DM and non-diabetic patients were calculated per 100,000 inhabitants.

32 We calculated yearly T2DM-specific incidence rates by dividing the number of
33 admissions per year, sex, and age group by the corresponding number of people in that
34 population group using the age-, sex-adjusted estimated prevalence of T2DM obtained

1 from National Health Surveys (NHS) conducted in 2003/04, 2006/07, 2009/10, and
2 2011/12 and based on data from the Di@bet.es Study, which estimated the prevalence
3 of diabetes in the Spanish population.[1,15] From 2001 to 2010, Spanish NHS has been
4 published every two or three years. So diabetic population for missing years (2005 and
5 2008) was estimated assuming that growth rate was the same thorough the period 2004-
6 2010. We estimated rates by fitting a linear regression model with population from
7 years when NHS was available and we used this model to impute population for 2005
8 and 2008. We also calculated the yearly age-, age and sex adjusted-specific incidence
9 rates for non-diabetic patients by dividing the number of cases per year, sex, and age
10 group by the corresponding number of people in that population group (excluding those
11 with T2DM), according to the data from the Spanish National Institute of Statistics, as
12 reported on December 31 of each year.[16]

13 To assess the effect of T2DM on the incidence we fitted two separate multivariate
14 Poisson regression models for patients with and without T2DM adjusted by sex, age and
15 year of discharge as independent variables. The results of these models are shown as
16 adjusted Incidence Rate Ratio (IRR) with their 95% confidence intervals. A model
17 adjusting by the same independent variables and including diabetes status was also
18 conducted to assess the adjusted effect of diabetes in the incidence of the total
19 population.

20 To assess whether there was any over-inflation we tried also with models of negative
21 binomial regression, obtaining very similar results so we decided to use conventional
22 poisson regression models.

23 A descriptive statistical analysis was performed for all continuous variables and
24 categories by stratifying admissions for CAP according to diabetes status. Variables are
25 expressed as proportions, as means with standard deviations or as medians with
26 interquartile ranges (LOHS). A bivariate analysis of variables according to year was
27 performed using the χ^2 test for linear trend (proportions), ANOVA (means) and
28 Kruskal-Wallis (medians), as appropriate.

29 To assess differences between those patients with and without T2DM, for each year and
30 for the total sample, the statistical tests conducted for continuous variables were the T
31 test for normal distributions and the Mann-Whitney test for non-normal distributions;
32 categorical variables were compared using the Chi-square test and adjusted incidences
33 were compared using Poisson regression. These same tests were used to compare the
34 characteristics of those diabetic patients who died with those who survived to the

1 hospital admission and equally for non diabetic subjects. Lastly, we performed logistic
2 regression analyses with mortality as a binary outcome using the independent variables
3 and age, sex, CCI, readmission, diagnostic and therapeutic procedures, pathogens and
4 year of admission for those with and without diabetes and for the entire population to
5 assess the influence of diabetes on IHM. Estimates were Odds Ratios (OR) with their
6 95% confidence intervals. Statistical analyses were performed using Stata version 10.1
7 (Stata, College Station, Texas, USA). Statistical significance was set at $p < 0.05$ (2-
8 tailed).

9 *Ethical aspects*

10 Data confidentiality was maintained at all times in accordance with Spanish legislation.
11 Given the anonymous and mandatory nature of the dataset, it was not deemed necessary
12 to obtain informed consent. The study protocol was approved by the ethics committee
13 of the Universidad Rey Juan Carlos.

15 **RESULTS**

16 From 2004 to 2013, we identified a total of 901,136 admissions for CAP as primary
17 diagnosis in patients aged ≥ 40 years in Spain. Patients with T2DM accounted for 24.8%
18 of total (134,534 men and 89,181 women).

19 Table 1 and Table 2 show the incidence and the clinical characteristics, comorbidities,
20 diagnostic and therapeutic procedures and in-hospital outcomes of admissions for CAP
21 in patients with T2DM and in patients without T2DM from 2004 to 2013, respectively.

Table 1. Incidence and characteristics of hospital admissions for pneumonia as primary diagnosis in patients with type 2 diabetes in Spain, 2004-2013.

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
N	16161	19764	17267	20913	22002	24426	23377	25807	27655	26343	223715
Incidence* (per 100,000 inhabitants)	812.64	948.39	792.36	959.67	974.43	1045.33	1000.43	962.56	1031.49	923.26	948.84
Women, n(%)*	6476(40.07)	8060(40.78)	6632(38.41)	8364(39.99)	8678(39.44)	9880(40.45)	9240(39.53)	10338(40.06)	11126(40.23)	10387(39.43)	89181(39.86)
Age, mean (SD)	75.97(10.24)	76.06(10.39)	76.18(10.47)	76.29(10.39)	76.91(10.47)	76.37(10.98)	77.53(10.28)	77.64(10.45)	78.41(10.15)	78.23(10.34)	77.08(10.46)
40-64 years n(%)†	2150(13.3)	2638(13.35)	2348(13.6)	2864(13.69)	2894(13.15)	3589(14.69)	2690(11.51)	3085(11.95)	2892(10.46)	2846(10.8)	27996(12.51)
65-74 years n(%)†	4329(26.79)	5096(25.78)	4302(24.91)	5017(23.99)	4780(21.73)	5262(21.54)	4880(20.88)	5178(20.06)	5030(18.19)	5027(19.08)	48901(21.86)
75-84 years n(%)*	6385(39.51)	7925(40.1)	6962(40.32)	8468(40.49)	8972(40.78)	9782(40.05)	9749(41.7)	10407(40.33)	11515(41.64)	10651(40.43)	90816(40.59)
≥85 years n (%)*	3297(20.4)	4105(20.77)	3655(21.17)	4564(21.82)	5356(24.34)	5793(23.72)	6058(25.91)	7137(27.66)	8218(29.72)	7819(29.68)	56002(25.03)
AMI, n(%)†	865(5.35)	1106(5.6)	991(5.74)	1180(5.64)	1167(5.3)	1141(4.67)	958(4.1)	1098(4.25)	1184(4.28)	1057(4.01)	10747(4.8)
CHF, n(%)*	2587(16.01)	3258(16.48)	2941(17.03)	3542(16.94)	3940(17.91)	4183(17.13)	4379(18.73)	5210(20.19)	5795(20.95)	5645(21.43)	41480(18.54)
PVD, n(%)*	950(5.88)	1205(6.1)	1041(6.03)	1249(5.97)	1239(5.63)	1391(5.69)	1371(5.86)	1681(6.51)	1728(6.25)	1874(7.11)	13729(6.14)
CEVD/HP/PAPL, n(%)*	1509(9.34)	1850(9.36)	1688(9.78)	1959(9.37)	2290(10.41)	2496(10.22)	2535(10.84)	2753(10.67)	3101(11.21)	2854(10.83)	23035(10.3)
Chronic pulmonary disease, n(%)†	5618(34.76)	6693(33.86)	5730(33.18)	6927(33.12)	7244(32.92)	7986(32.69)	7762(33.2)	8511(32.98)	9006(32.57)	8822(33.49)	74299(33.21)
Dementia n(%)*	1676(10.37)	1973(9.98)	1881(10.89)	2062(9.86)	2404(10.93)	2672(10.94)	2762(11.82)	3182(12.33)	3403(12.31)	3062(11.62)	25077(11.21)
Renal disease n(%)*	1893(11.71)	2260(11.43)	2187(12.67)	2659(12.71)	3082(14.01)	3640(14.9)	3878(16.59)	4504(17.45)	5160(18.66)	5261(19.97)	34524(15.43)
Any type of malignancy n(%)*	1154(7.14)	1335(6.75)	1323(7.66)	1594(7.62)	1634(7.43)	1906(7.8)	1953(8.35)	2181(8.45)	2350(8.5)	2338(8.88)	17768(7.94)
Any liver disease n(%)	793(4.91)	1001(5.06)	879(5.09)	1059(5.06)	1058(4.81)	1248(5.11)	1156(4.95)	1220(4.73)	1359(4.91)	1407(5.34)	11180(5)
Obesity n(%)*	1240(7.67)	1593(8.06)	1400(8.11)	1766(8.44)	1754(7.97)	2339(9.58)	2167(9.27)	2599(10.07)	2671(9.66)	2822(10.71)	20351(9.1)
Pleuritis, n(%)†	913(5.65)	1263(6.39)	1107(6.41)	1299(6.21)	1391(6.32)	1393(5.7)	1326(5.67)	1511(5.86)	1611(5.83)	1481(5.62)	13295(5.94)
CCI 0 n(%)†	4646(28.75)	5726(28.97)	4905(28.41)	6175(29.53)	6162(28.01)	6782(27.77)	6064(25.94)	6429(24.91)	6835(24.72)	6237(23.68)	59961(26.8)
CCI 1 n(%)*	8140(50.37)	9870(49.94)	8663(50.17)	10253(49.03)	10951(49.77)	12304(50.37)	11865(50.76)	13141(50.92)	13929(50.37)	13368(50.75)	112484(50.28)
CCI ≥2 n (%)*	3375(20.88)	4168(21.09)	3699(21.42)	4485(21.45)	4889(22.22)	5340(21.86)	5448(23.3)	6237(24.17)	6891(24.92)	6738(25.58)	51270(22.92)
CAT, n(%)*	1441(8.92)	1759(8.9)	1701(9.85)	2229(10.66)	2447(11.12)	2656(10.87)	2725(11.66)	2929(11.35)	3100(11.21)	3071(11.66)	24058(10.75)
Bronchial fibroscopy, n(%)†	424(2.62)	516(2.61)	418(2.42)	521(2.49)	540(2.45)	583(2.39)	537(2.3)	564(2.19)	581(2.1)	642(2.44)	5326(2.38)
Non-invasive MV, n (%)*	135(0.84)	170(0.86)	160(0.93)	255(1.22)	308(1.4)	387(1.58)	558(2.39)	791(3.07)	946(3.42)	918(3.48)	4628(2.07)
Invasive MV, n (%)†	354(2.19)	462(2.34)	316(1.83)	343(1.64)	346(1.57)	411(1.68)	319(1.36)	388(1.5)	331(1.2)	340(1.29)	3610(1.61)
Thoracentesis, n (%)*	268(1.66)	401(2.03)	311(1.8)	345(1.65)	446(2.03)	439(1.8)	383(1.64)	474(1.84)	452(1.63)	449(1.7)	3968(1.77)
Red cell transfusion n(%)*	512(3.17)	584(2.95)	572(3.31)	718(3.43)	771(3.5)	899(3.68)	899(3.85)	1054(4.08)	1219(4.41)	1051(3.99)	8279(3.7)
Readmission, n(%)*	2031(12.57)	2619(13.25)	2267(13.13)	2728(13.04)	2948(13.4)	3375(13.82)	3274(14.01)	3692(14.31)	3971(14.36)	3860(14.65)	30765(13.75)
LOHS, median (IQR)	8(5-13)	8(5-13)	8(5-13)	8(5-12)	8(5-12)	8(5-12)	8(5-12)	7(5-11)	7(5-11)	7(4-11)	8(5-12)
IHM n(%)†	2232(13.81)	2728(13.8)	2345(13.58)	2619(12.52)	2797(12.71)	3167(12.97)	2987(12.78)	3415(13.23)	3728(13.48)	3256(12.36)	29274(13.09)
<i>S. pneumoniae</i> , n(%)†	2504(15.49)	3411(17.26)	2977(17.24)	3873(18.52)	3783(17.19)	3992(16.34)	3501(14.98)	2380(9.22)	2027(7.33)	2095(7.95)	30543(13.65)
<i>Legionella</i> , n(%)†	154(0.95)	197(1)	207(1.2)	189(0.9)	217(0.99)	213(0.87)	197(0.84)	158(0.61)	170(0.61)	159(0.6)	1861(0.83)
<i>S. aureus</i> , n(%)*	69(0.43)	91(0.46)	104(0.6)	104(0.5)	127(0.58)	157(0.64)	131(0.56)	133(0.52)	171(0.62)	187(0.71)	1274(0.57)
<i>H. influenzae</i> , n(%)	58(0.36)	63(0.32)	57(0.33)	85(0.41)	77(0.35)	76(0.31)	94(0.4)	81(0.31)	92(0.33)	109(0.41)	792(0.35)
<i>P. aeruginosa</i> , n(%)	139(0.86)	159(0.8)	146(0.85)	160(0.77)	160(0.73)	154(0.63)	169(0.72)	184(0.71)	206(0.74)	193(0.73)	1670(0.75)

Incidence was adjusted by age and sex. AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay; IQR: Interquartile range; IHM: In-hospital mortality.

*P<0.05 to assess increased time trend from 2004 to 2013. †P<0.05 to assess decreased time trend from 2004 to 2013.

Table 2. Characteristics of hospital admissions for pneumonia as primary diagnosis in patients without type 2 diabetes in Spain, 2004-2013.

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
N	56991	66996	58233	66504	67123	74338	67643	72762	74816	72015	677421
Incidence* (per 100,000 inhabitants)	316.24	355.18	295.55	337.53	333.66	362.07	329.46	348.43	358.27	341.98	338.21
Women, n(%)*	20375(35.75)	24955(37.25)	21500(36.92)	25263(37.99)	26195(39.03)	29742(40.01)	26715(39.49)	29407(40.42)	30912(41.32)	29455(40.9)	264519(39.05)
Age, mean (SD)	73.96(13.25)	74.26(13.36)	74.24(13.64)	74.33(13.78)	74.79(13.78)	73.77(14.61)	75.67(13.72)	75.65(13.86)	77.02(13.28)	76.36(13.73)	75.06(13.76)
40-64 years n(%)†	12471(21.88)	14327(21.38)	13036(22.39)	15012(22.57)	14768(22)	18992(25.55)	13930(20.59)	15392(21.15)	13497(18.04)	14306(19.87)	145731(21.51)
65-74 years n(%)†	12578(22.07)	14150(21.12)	11555(19.84)	12535(18.85)	12153(18.11)	12409(16.69)	11068(16.36)	11581(15.92)	11321(15.13)	10965(15.23)	120315(17.76)
75-84 years n(%)†	19395(34.03)	23171(34.59)	19755(33.92)	22482(33.81)	22213(33.09)	23189(31.19)	22555(33.34)	23706(32.58)	24640(32.93)	23151(32.15)	224257(33.1)
≥85 years n(%)*	12547(22.02)	15348(22.91)	13887(23.85)	16475(24.77)	17989(26.8)	19748(26.57)	20090(29.7)	22083(30.35)	25358(33.89)	23593(32.76)	187118(27.62)
AMI, n(%)*	1932(3.39)	2309(3.45)	1917(3.29)	2279(3.43)	2123(3.16)	2207(2.97)	1884(2.79)	1955(2.69)	1952(2.61)	1802(2.5)	20360(3.01)
CHF, n(%)*	6649(11.67)	7929(11.84)	6761(11.61)	8228(12.37)	8673(12.92)	9310(12.52)	9516(14.07)	10896(14.97)	12004(16.04)	11680(16.22)	91646(13.53)
PVD, n(%)*	1857(3.26)	2345(3.5)	2121(3.64)	2239(3.37)	2237(3.33)	2445(3.29)	2496(3.69)	2753(3.78)	2923(3.91)	3074(4.27)	24490(3.62)
CEVD/HP/PAPL, n(%)*	3993(7.01)	4543(6.78)	4020(6.9)	4505(6.77)	4969(7.4)	5487(7.38)	5561(8.22)	6088(8.37)	6508(8.7)	6322(8.78)	51996(7.68)
Chronic pulmonary disease, n(%)†	20012(35.11)	23065(34.43)	19416(33.34)	21961(33.02)	21810(32.49)	24120(32.45)	22753(33.64)	24112(33.14)	24376(32.58)	23789(33.03)	225414(33.28)
Dementia n(%)*	5402(9.48)	6295(9.4)	5833(10.02)	6224(9.36)	6904(10.29)	7599(10.22)	7793(11.52)	8536(11.73)	9300(12.43)	8742(12.14)	72628(10.72)
Renal disease n(%)*	4363(7.66)	5327(7.95)	4609(7.91)	5760(8.66)	6328(9.43)	7189(9.67)	7312(10.81)	8278(11.38)	9609(12.84)	9572(13.29)	68347(10.09)
Any type of malignancy n(%)*	5696(9.99)	6420(9.58)	6006(10.31)	6671(10.03)	6929(10.32)	7642(10.28)	7504(11.09)	8234(11.32)	8289(11.08)	8407(11.67)	71798(10.6)
Any liver disease n(%)	2825(4.96)	3362(5.02)	2942(5.05)	3436(5.17)	3409(5.08)	3852(5.18)	3457(5.11)	3689(5.07)	3733(4.99)	3754(5.21)	34459(5.09)
Obesity n(%)*	1940(3.4)	2352(3.51)	2044(3.51)	2304(3.46)	2489(3.71)	3188(4.29)	2839(4.2)	3367(4.63)	3393(4.54)	3529(4.9)	27445(4.05)
Pleuritis, n(%)*	3992(7)	4898(7.31)	4240(7.28)	4936(7.42)	4760(7.09)	4892(6.58)	4782(7.07)	4954(6.81)	5054(6.76)	5101(7.08)	47609(7.03)
CCI 0 n(%)†	18620(32.67)	22311(33.3)	19452(33.4)	22643(34.05)	21991(32.76)	24786(33.34)	20436(30.21)	21691(29.81)	21653(28.94)	20550(28.54)	214133(31.61)
CCI 1 n(%)*	28219(49.51)	32726(48.85)	28327(48.64)	31765(47.76)	32752(48.79)	35890(48.28)	33399(49.38)	36155(49.69)	37205(49.73)	35850(49.78)	332288(49.05)
CCI ≥2 n(%)*	10152(17.81)	11959(17.85)	10454(17.95)	12096(18.19)	12380(18.44)	13662(18.38)	13808(20.41)	14916(20.5)	15958(21.33)	15615(21.68)	131000(19.34)
CAT, n(%)*	5936(10.42)	6931(10.35)	6565(11.27)	8042(12.09)	8487(12.64)	9356(12.59)	9191(13.59)	9690(13.32)	9627(12.87)	10028(13.92)	83853(12.38)
Bronchial fibroscopy, n(%)†	2165(3.8)	2256(3.37)	2068(3.55)	2245(3.38)	2220(3.31)	2258(3.04)	2135(3.16)	2207(3.03)	2195(2.93)	2351(3.26)	22100(3.26)
Non-invasive MV, n(%)*	442(0.78)	584(0.87)	531(0.91)	718(1.08)	945(1.41)	1188(1.6)	1616(2.39)	1934(2.66)	2307(3.08)	2286(3.17)	12551(1.85)
Invasive MV, n(%)†	1426(2.5)	1700(2.54)	1140(1.96)	1338(2.01)	1405(2.09)	1520(2.04)	1279(1.89)	1516(2.08)	1336(1.79)	1287(1.79)	13947(2.06)
Thoracocentesis, n(%)*	1271(2.23)	1476(2.2)	1308(2.25)	1527(2.3)	1549(2.31)	1655(2.23)	1502(2.22)	1508(2.07)	1518(2.03)	1636(2.27)	14950(2.21)
Red cell transfusion n(%)*	1830(3.21)	2125(3.17)	2034(3.49)	2189(3.29)	2498(3.72)	2642(3.55)	2709(4)	2830(3.89)	3073(4.11)	3009(4.18)	24939(3.68)
Readmission, n(%)*	6633(11.64)	7830(11.69)	7063(12.13)	7889(11.86)	8121(12.1)	8947(12.04)	8794(13)	9515(13.08)	10427(13.94)	9716(13.49)	84935(12.54)
LOHS, median (IQR)	8(5-13)	8(5-13)	8(5-12)	8(5-12)	8(5-12)	7(4-12)	7(5-12)	7(4-11)	7(4-11)	7(4-11)	7(5-12)
IHM n(%)†	8036(14.1)	9900(14.78)	8121(13.95)	8758(13.17)	9105(13.56)	9727(13.08)	9087(13.43)	10209(14.03)	10777(14.4)	9803(13.61)	93523(13.81)
<i>S. pneumoniae</i> , n(%)†	9736(17.08)	11685(17.44)	10295(17.68)	12295(18.49)	11899(17.73)	12099(16.28)	10081(14.9)	7147(9.82)	5918(7.91)	6101(8.47)	97256(14.36)
<i>Legionella</i> , n(%)†	667(1.17)	808(1.21)	727(1.25)	667(1)	678(1.01)	729(0.98)	668(0.99)	544(0.75)	546(0.73)	449(0.62)	6483(0.96)
<i>S. aureus</i> , n(%)*	253(0.44)	350(0.52)	286(0.49)	366(0.55)	368(0.55)	378(0.51)	400(0.59)	438(0.6)	503(0.67)	470(0.65)	3812(0.56)
<i>H. influenzae</i> , n(%)	267(0.47)	272(0.41)	257(0.44)	264(0.4)	263(0.39)	293(0.39)	295(0.44)	289(0.4)	300(0.4)	333(0.46)	2833(0.42)
<i>P. aeruginosa</i> , n(%)	509(0.89)	597(0.89)	514(0.88)	574(0.86)	617(0.92)	622(0.84)	632(0.93)	632(0.87)	638(0.85)	685(0.95)	6020(0.89)

Incidence was adjusted by age and sex.. AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay; IQR: Interquartile range; IHM: In-hospital mortality.

*P<0.05 to assess increased time trend from 2004 to 2013. †P<0.05 to assess decreased time trend from 2004 to 2013

1 Among patients with T2DM, adjusted incidence of admissions for CAP increased
2 significantly from 812.64 cases per 100,000 T2DM population in 2004 to 923.26 cases
3 in 2013 (Table 1). In patients without T2DM the adjusted incidence of admissions
4 increased significantly from 316.24 cases per 100,000 population without diabetes in
5 2004 to 341.98 in 2013 (Table 2). Incidence was significantly higher in T2DM people
6 than in non-diabetic people for all years analysed. From 2004 to 2013, the adjusted IRR
7 of having CAP admission diagnosis in patients with type 2 diabetes was significant and
8 higher than in those without diabetes (1.27 95%CI 1.23-1.31 vs. 1.05 95% CI 1.03-
9 1.07).

10 Using the Poisson regression model, including the total population and diabetes status
11 as an independent variable, we obtained an adjusted IRR per year of 1.66 (95%CI 1.65-
12 1.67) for patients with T2DM using those without diabetes as the reference category. In
13 other words, the incidence of admissions for CAP over the entire period was 1.66-times
14 higher among patients with T2DM than those without diabetes.

15 In patients who have an admission for CAP, there was a significant male predominance
16 (60.14% for T2DM and 60.95% for no diabetes). Overall, patients with T2DM were
17 significantly older (77.08; SD=10.46 years) than patients without diabetes (75.06;
18 SD=13.76 years) and had more coexisting medical conditions. Specifically, had higher
19 prevalence of acute myocardial infarction (4.8% vs. 3.1%), congestive heart failure
20 (18.54% vs. 13.53%), cerebrovascular disease/hemiplegia/paraplegia (10.3% vs.
21 7.68%), dementia (11.21% vs. 10.72%), renal disease (15.43% vs. 10.09%), peripheral
22 vascular disease (6.14% vs. 3.62%) and prevalence of obesity is two times higher (all P
23 values<0.05). On the other hand, any type of malignancy and pleuritis were more
24 prevalent in non-diabetic patients (10.6% and 7.03%, respectively) than in those with
25 T2DM (7.94% and 5.94%). Age and all these comorbidities increased significantly over
26 time in both people with T2DM and without diabetes (Table 1 and Table 2).

27 As can be seen in Table 1 and Table 2, acute myocardial infarction and chronic
28 pulmonary disease decreased significantly in both groups over the study period. Male
29 sex percentage increased significantly in people with T2DM and female percentage
30 showed a much larger change over time in patients without T2DM.

31 We detected a significant increase in use of thorax CAT in both groups over the study
32 period as can be seen in Table 1 and Table 2.

33 The use of all therapeutics procedures (except invasive mechanical ventilation which
34 showed a significant decrease) have significantly increased in the last ten years in

1 diabetic and non-diabetic patients (Table 1 and Table 2). The use of non invasive
2 mechanical ventilation has shown an over three fold increase in both groups of patients
3 over the study period.
4 Of the pathogens analysed the most commonly found was *S. pneumoniae*, followed by
5 *Legionella*, *P. aeruginosa*, *S. aureus* and *H. influenza*.
6 In year 2013 *S. pneumonia* was detected in 7.95% of diabetic patients and 8.47% in
7 those without the disease. All other pathogens were found in under 1% of patients.
8 *S. pneumoniae* and *Legionella* decreased over time in both people with T2DM and
9 without diabetes. However, we detected a significant increase of *S. aureus* in both
10 groups over the study period (Table 1 and Table 2). The prevalence of pathogens
11 analysed was similar in patients with and without the disease.
12 Readmissions increased in both groups during the study (Table 1 and Table 2). Among
13 diabetic patients, the increase was from 12.57% in 2004 to 14.65% in 2013. Equivalent
14 figures for subjects without diabetes were significantly lower (11.64% and 13.49%).
15 Overall median LOHS was significantly higher in patients with T2DM (8 vs.7 days).
16 Over time, LOHS following CAP fell significantly in both patients with T2DM and
17 without diabetes.
18 IHM was 13.81% for T2DM patients and 13.09% for non-diabetic people ($p<0.05$).
19 Crude IHM decreased significantly over time in both people with T2DM and without
20 diabetes (from 13.81% and 14.1%, respectively in 2004 to 12.36% and 13.61% in
21 2013), as can be seen in Table 1 and Table 2.
22 Table 3 shows the characteristics of hospital admissions for CAP in patients with and
23 without T2DM according to IHM during the study period.

Table 3. Characteristics of hospital admissions for pneumonia as primary diagnosis in patients with and without type 2 diabetes in Spain, 2001-2013 according to in hospital mortality.

	Diabetes		No Diabetes	
	ALIVE	DIED	ALIVE	DIED
Women, n(%) ^{*†}	76301(39.24)	12880(44)	225626(38.64)	38893(41.59)
Age, mean (SD) ^{* †}	76.42(10.51)	81.48(8.94)	74.11(13.87)	80.97(11.43)
40-64 years n(%) ^{*†}	26507(13.63)	1489(5.09)	136594(23.39)	9137(9.77)
65-74 years n(%) ^{*†}	45070(23.18)	3831(13.09)	109241(18.71)	11074(11.84)
75-84 years n(%) ^{*†}	78684(40.47)	12132(41.44)	192709(33)	31548(33.73)
≥85 years n (%) ^{*†}	44180(22.72)	11822(40.38)	145354(24.89)	41764(44.66)
AMI, n(%) ^{*†}	9163(4.71)	1584(5.41)	17000(2.91)	3360(3.59)
CHF, n(%) ^{*†}	35096(18.05)	6384(21.81)	74122(12.69)	17524(18.74)
PVD, n(%) ^{*†}	11802(6.07)	1927(6.58)	20931(3.58)	3559(3.81)
CEVD/HP/PAPL, n(%) ^{*†}	18199(9.36)	4836(16.52)	40554(6.95)	11442(12.23)
Chronic pulmonary disease, n(%) ^{*†}	67498(34.71)	6801(23.23)	203108(34.78)	22306(23.85)
Dementia n(%) ^{*†}	18657(9.6)	6420(21.93)	53446(9.15)	19182(20.51)
Renal disease n(%) ^{*†}	29173(15)	5351(18.28)	55766(9.55)	12581(13.45)
Any type of malignancy n(%) ^{*†}	14089(7.25)	3679(12.57)	56195(9.62)	15603(16.68)
Any liver disease n(%)	9730(5)	1450(4.95)	29671(5.08)	4788(5.12)
Obesity n(%) ^{*†}	19076(9.81)	1275(4.36)	25570(4.38)	1875(2)
Pleuritis, n(%) ^{*†}	11774(6.06)	1521(5.2)	42000(7.19)	5609(6)
CCI 0 n(%) ^{*†}	54116(27.83)	5845(19.97)	191839(32.85)	22294(23.84)
CCI 1 n(%) ^{*†}	97047(49.91)	15437(52.73)	283688(48.59)	48600(51.97)
CCI ≥2 n(%) ^{*†}	43278(22.26)	7992(27.3)	108371(18.56)	22629(24.2)
CAT, n(%) ^{*†}	22383(11.51)	1675(5.72)	77695(13.31)	6158(6.58)
Bronchial fibroscopy, n(%) ^{*†}	4859(2.5)	467(1.6)	20039(3.43)	2061(2.2)
Non-invasive MV, n (%) ^{*†}	3563(1.83)	1065(3.64)	9250(1.58)	3301(3.53)
Invasive MV, n(%) ^{*†}	1937(1)	1673(5.71)	7248(1.24)	6699(7.16)
Thoracocentesis, n(%) ^{*†}	3610(1.86)	358(1.22)	13535(2.32)	1415(1.51)
Red cell transfusion n(%) ^{*†}	6866(3.53)	1413(4.83)	19626(3.36)	5313(5.68)
Readmission, n(%) ^{*†}	24306(12.5)	6459(22.06)	66353(11.36)	18582(19.87)
LOHS, median (IQR) ^{*†}	8(5-12)	6(2-12)	8(5-12)	6(2-13)
<i>S. pneumoniae</i> , n(%) ^{*†}	28086(14.44)	2457(8.39)	89171(15.27)	8085(8.64)
<i>Legionella</i> , n(%) ^{*†}	1766(0.91)	95(0.32)	6134(1.05)	349(0.37)
<i>S. aureus</i> , n(%) ^{*†}	1042(0.54)	232(0.79)	3017(0.52)	795(0.85)
<i>H. influenzae</i> , n(%) ^{*†}	763(0.39)	29(0.1)	2704(0.46)	129(0.14)
<i>P. aeruginosa</i> , n(%) ^{*†}	1400(0.72)	270(0.92)	4934(0.85)	1086(1.16)

AMI: acute myocardial infarction. CHF: congestive heart failure. PVD: peripheral vascular disease. CEVD/HP/PAPL: cerebrovascular disease/hemiplegia/paraplegia. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax. CCI: Charlson comorbidity index. LOHS: length of hospital stay.

* Significant differences (P<0.05) when comparing “alive” vs. “died” subjects without diabetes.

† Significant differences (P<0.05) when comparing “alive” vs. “died” subjects with diabetes

1 For the entire time period IHM was slightly but significantly higher among those
2 without diabetes (13.81%vs. 13.09%).

3 Overall, patients with T2DM who died during their hospitalization were significantly
4 older (81.48; SD=8.94 years) than those that survived (76.42; SD=10.51 years) and had
5 more coexisting medical conditions. Including higher prevalence of acute myocardial
6 infarction (5.41% vs 4.71%), congestive heart failure (21.81% vs. 18.05%), vascular
7 disease (6.58% vs, 6.07%), cerebrovascular disease/hemiplegia/paraplegia (16.52% vs.
8 9.36%), dementia (21.93% vs. 9.6%), renal disease (18.28% vs. 15%), any type of
9 malignancy (12.57% vs. 7.25%). On the other hand chronic obstructive pulmonary
10 disease, obesity and pleuritis were more prevalent in diabetic patients that didn't die
11 during their hospital stay.

12 Invasive and non-invasive mechanical ventilation and red cell transfusion procedures
13 were significantly more used in diabetic patients who died than in those that survived
14 (5.71%, 3.64% and 4.83% vs. 1%, 1.83% and 3.53%, respectively). However, CAT of
15 thorax, thoracocentesis, bronchial fibroscopy were more frequent in T2DM and non-
16 diabetic patients that survived than in those who died.

17 As can be seen in Table 3, non-diabetic patients who died were significantly older,
18 had more coexisting conditions like acute myocardial infarction, congestive heart
19 failure, vascular disease, cerebrovascular disease/hemiplegia/paraplegia, dementia, renal
20 disease and any type of malignancy and were underwent invasive and non-invasive
21 mechanical ventilation and red cell transfusion procedures than those non-diabetic
22 patients that survived.

23 We found that 22.06% of diabetic patients that died and 12.5%% of diabetic patients
24 that survived were readmission ($P < 0.01$). LOHS was 6 days in those diabetic and non-
25 diabetic patients who died vs. 8 days in those diabetic and non-diabetic patients that
26 survived.

27 *S. pneumoniae* was more frequently detected in patients who lived than in those who
28 died in both T2DM and non-diabetic patients (14.44% vs. 8.39% and 15.27% vs.
29 8.64%), as can be seen in Table 3.

30 In Table 4 we can see the results of the multivariate analysis of the factors
31 independently associated with in hospital mortality in diabetic and non-diabetic patients.
32 during hospital admission for CAP in Spain, 2004-2013.

1 **Table 4.** Multivariate analysis of the factors potentially associated with in-hospital
 2 mortality for patients with and without type 2 diabetes in Spain, 2001-2013 with
 3 pneumonia as primary diagnosis.
 4

		Diabetes OR(CI 95%)	No diabetes OR(CI 95%)	Total OR(CI 95%)
Age, years	40-64	1	1	1
	65-74	1.47(1.38-1.57)	1.47(1.42-1.51)	1.46(1.42-1.50)
	75-84	2.70(2.55-2.87)	2.49(2.42-2.55)	2.53(2.47-2.59)
	≥85	4.75(4.47-5.05)	4.52(4.40-4.64)	4.55(4.44-4.66)
CCI	0	1	1	1
	1	1.35(1.30-1.39)	1.28(1.26-1.31)	1.30(1.28-1.32)
	≥2	1.50(1.44-1.56)	1.44(1.41-1.47)	1.46(1.43-1.48)
Obesity		0.51(0.48-0.54)	0.50(0.47-0.52)	0.50(0.48-0.52)
Non-invasive MV		2.04(1.89-2.21)	2.01(1.92-2.11)	2.02(1.94-2.10)
Invasive MV		11.53(10.68-12.45)	12.55(12.06-13.06)	12.34(11.91-12.78)
Red cell transfusion		1.14(1.07-1.21)	1.35(1.31-1.40)	1.30(1.26-1.34)
Readmission		1.91(1.85-1.97)	1.85(1.82-1.89)	1.87(1.84-1.90)
CAT		0.54(0.51-0.57)	0.53(0.51-0.55)	0.53(0.52-0.55)
Thoracocentesis		0.82(0.73-0.93)	0.86(0.80-0.91)	0.85(0.80-0.90)
Bronchial fibroscopy		0.75(0.67-0.83)	0.71(0.67-0.75)	0.72(0.68-0.75)
<i>S. pneumonia</i> *		0.54(0.52-0.57)	0.52(0.51-0.53)	0.52(0.51-0.54)
<i>Legionella</i> *		0.43(0.34-0.53)	0.38(0.34-0.42)	0.39(0.35-0.43)
<i>S. aureus</i> *		1.22(1.04-1.42)	1.26(1.16-1.37)	1.25(1.16-1.35)
<i>H. influenza</i> *		0.22(0.15-0.32)	0.26(0.21-0.31)	0.25(0.21-0.29)
Year		0.97(0.96-0.99)	0.97(0.96-0.98)	0.97(0.96-0.98)
Diabetes			-	0.92(0.91-0.94)

5
 6 CCI Charlson comorbidity index. MV: Mechanical ventilation. CAT: computerized axial tomography of thorax.

7 *The pathogen ORs are all relative to the baseline of "no pathogen identified".

8

1
2
3 1 Among diabetic patients, IHM was significantly higher in older subjects (vs. <40-64
4 2 years old, OR: 4.75, 95%CI 4.47-5.05 for \geq 85 years old) and in those with more
5 3 comorbidities according to the CCI (vs. no comorbidities, OR: 1.35, 95%CI 1.30-1.39,
6 4 for one comorbidity; OR: 1.50, 95%CI 1.44-1.56, for two or more comorbidities).
7 5 For diabetic patients, IHM was significantly lower in obese persons (OR: 0.51, 95%CI
8 6 0.48-0.54) than in those with normal body mass index.
9 7 Over the entire study period, a diabetic patient with readmission was 1.14 (95%CI,
10 8 1.07-1.21) times more likely to die than a diabetic patient without readmission.
11 9 T2DM patients having an in-hospital infection during admission for CAP (*S.*
12 10 *pneumoniae* or *Legionella* or *H. influenza* were identified) had lower probability of
13 11 dying than patients without these pathogens. However diabetic patients with *S. aureus*
14 12 had 1.22-fold higher probability of dying during their stay than those without that
15 13 pathogen. IHM was significantly higher in patients who underwent invasive and non-
16 14 invasive mechanical ventilation (OR: 11.53, 95%CI 10.68-12.45 and OR: 2.04, 95%CI
17 15 1.89-2.21) and red cell transfusion (OR: 1.14, 95%CI 1.07-1.21).
18 16 Diabetic patients who underwent CAT of thorax, bronchial fibroscopy and
19 17 thoracocentesis procedures had a 0.54-fold, 0.75-fold and 0.82-fold, respectively, lower
20 18 probability of dying during their stay than those who did not undergo these procedures.
21 19 Time trend analysis showed a minor but significant decrease in IHM from 2004 to 2013
22 20 in T2DM patients (OR: 0.97, 95%CI 0.96-0.99).
23 21 As can be seen in Table 4, for non-diabetic patients, IHM was significantly higher in
24 22 older persons, in those with more comorbidities, in those with readmissions, in those
25 23 with infections for *S. aureus* and in those who underwent invasive and non-invasive
26 24 mechanical ventilation and red cell transfusion procedures. As for diabetic patients we
27 25 found a significant decrease in mortality over time.
28 26 In our study, suffering diabetes was associated with a lower IHM (OR: 0.92, 95%CI
29 27 0.91-0.94).
30 28 Finally, for the entire population time trend analyses showed a significant decrease in
31 29 mortality from 2004 to 2013 in patients admitted for CAP in Spain (OR: 0.97, 95%CI
32 30 0.96-0.98).

31 32 **DISCUSSION**

33 Using data from the Spanish National Hospital Database, we found that rates of
34 34 hospitalization for CAP in patients with and without T2DM increased significantly from

1 2004 to 2013. These results are consistent with a report from Denmark, which pointed
2 that total pneumonia hospitalization increased by 63%, from 4.96 per 1000 population
3 in 1997 to 8.09 in 2011.[12] Recently, Quan et al in Oxfordshire, UK, concluded that
4 hospital admission for CAP are increasing by $\approx 9\%$ per year between 2009 and 2014.[9]
5 The authors concluded that there was no evidence that the increase was caused by more
6 low-severity cases presenting to hospital [9], and that the ageing population only
7 explains part of the increase [3,9,17]

8 We found that readmissions for CAP increased over time in patients with and without
9 T2DM and LOHS decreased in both groups of patients. These data are consistent with
10 other published study, suggesting that the fact that readmissions for pneumonia
11 increased over time supports another plausible explanation for the shortening LOHS,
12 namely an increased pressure for early discharge.[9,18]

13 After adjusting for age and sex, we found that the incidence of CAP among T2DM
14 patients was 1.66-times higher than among non-diabetic patients. Our results agree with
15 the Fremantle Diabetes Study data, in this study Hamilton et al compared patients with
16 T2DM in Australia to matched nondiabetic subjects and indicated that IRR for
17 pneumonia was 1.86 (95%CI 1.55-2.21).[6] In US, Jackson et al, also reported that the
18 adjusted RR for hospitalizations for CAP was 1.52 (95%CI 1.29-1.78) among patients
19 with diabetes compared with patients without diabetes, based on 46,237 subjects aged
20 >65 years.[19] In a Canadian study, the authors indicated that patients with diabetes had
21 an increased risk of pneumonia-related hospitalization than those without diabetes (RR
22 1.46 [95%CI 1.42-1.49]).[8] In a case-control study in Denmark, Kornum et al found
23 that T2DM was associated with a 1.2-fold increased risk of a pneumonia-related
24 hospitalization.[4] They concluded that longer duration of diabetes and poor glycemic
25 control increase the risk of CAP-related hospitalization.

26 Like other authors, we found that patients admitted for CAP were increasing older over
27 time.[9,17] In UK, using linked electronic health records of patients with diabetes,
28 McDonald et al observed that pneumonia incidence was 6-8 times higher among
29 patients aged ≥ 85 years than patients aged 65-69 years.[20] Possible explanations
30 include a general improvement in clinical management, especially changes in
31 immunosuppressive regimens and handling of comorbidities.[12]

32 In our study, T2DM patients had a higher number of simultaneous comorbidities and
33 were more frequently obese, but obesity was not associated with a higher mortality risk
34 during admission for CAP. Obesity is known to have adverse effects on immune

1 function and to increase susceptibility to infections such as pneumonia,[21] however
2 Hamilton et al concluded that a high body mass index was independently associated
3 with any infection in their cohort of diabetic patients.[6] A recent meta-analysis
4 concluded that overweight and obesity were significantly associated with reduced risk
5 of pneumonia mortality (RR: 0.83, 95% CI 0.77 to 0.91, $P < 0.01$) and suggests that an
6 'obesity survival paradox' exists for pneumonia.[22]

7 The use of non invasive mechanical ventilation has shown an over three fold increase in
8 patients with and without T2DM over the study period. In a study about CAP in elderly,
9 the authors found that mechanical ventilation was provided to 31.8% of patients and
10 that almost half of the patients older than 90 years who received such care were
11 discharged alive, supporting the belief that such care for the critically ill elderly patient
12 is often justified.[11] Our investigation showed that mechanical ventilation was a strong
13 risk factor for IHM in both groups studied. However, given our study design it is not
14 possible, with our data, to determine if mechanical ventilation is effective for critically
15 ill elderly patient with CAP.

16 As expected, *S. pneumoniae* was the most frequent etiological agent among patients
17 with and without diabetes, however, its dominance is decreasing. Smith et al concluded
18 that declines in cases of pneumonia due to *S. pneumoniae* (from 7.1% in 1993 to 2.3%
19 2011) may be related to more frequent and effective vaccination, which reduces the risk
20 of invasive pneumococcal disease and bacteriemia.[23] Also this reduced risk may have
21 resulted in less-frequent coding because more thorough diagnostic evaluations
22 accompany a higher severity of disease. In Spain *S. pneumoniae* vaccine is
23 recommended for high-risk groups, including people with diabetes, and for all persons
24 aged 65 years or over.[24]

25 We found that other organism's particularly *S aureus* was more prevalent in dead
26 patients than in survivors in both T2DM and non-diabetic patients. Like other authors
27 despite the trends observed,[23,25] the low incidence of *S aureus* (0.57% in patients
28 with T2DM and 0.56% in those without T2DM), perhaps suggests that *S aureus* is not
29 routinely search for and detected for patients with CAP.[23,26] It has been reported that
30 pneumonia is the leading infectious cause of death in Spain, however the mortality rate
31 for pneumonia has decreased between 1980 and 2011.[27] In our study, we found that
32 crude IHM decreased over among diabetic and non-diabetic patients with a diagnosis of
33 CAP. Simonetti AF et al found a progressive downward trend of thirty-day mortality in
34 hospitalized patients with CAP (-0.2% death/year; P for trend=.003]) and concluded that

1 the decreases in mortality rates suggest general improvement in the management of
2 CAP.[28]

3 We detected that patients with T2DM who died during their stay were older, had more
4 coexisting comorbid conditions and had significantly more readmissions than those
5 patients with T2DM that survived. In diabetic patients who died mechanical ventilation
6 and red cell transfusion were significantly more used than in those that survived. One
7 possible explanation is that there is a trend to hospitalizing a higher proportion of fragil
8 or terminal patients who previously may have been treated at home.[12]

9 In our population, the presence of T2DM was not a risk factor of death during
10 admission for CAP. The results add important evidence to previous information. An
11 observational cohort study of all Medicare recipients, aged 65 years or older,
12 hospitalized in nonfederal U.S. hospitals Kaplan et al reported no association between
13 IHM and diabetes.[11] In a Canadian study of 2,471 patients with CAP, the authors
14 concluded that hyperglycemia, but not the presence of diabetes, was the only factor
15 having a significant negative effect on patient survival.[29] However, Kornum et al,
16 indicated that high glucose levels were associated with increased mortality in both
17 patients with and without T2DM.[5] Perhaps the fact that patients with diabetes are
18 more likely to be hospitalized with less severity. In fact, in our study, we observed a
19 lower frequency of pleuritis and any type of malignancy in diabetics than in non-
20 diabetics, which could justify the lower mortality in the first group. Finally we think
21 that this T2DM result is part of the obesity paradox [22].

22 In our study mechanical ventilation (invasive and non-invasive) and red cell transfusion
23 were significantly associated with mortality during admission for CAP in both groups of
24 patients with and without diabetes.

25 A recent study, reported that noninvasive pressure ventilation is frequently used in CAP
26 but is associated with high failure rates, indicated that patients who failed non invasive
27 mechanical ventilation had an increased odds of death when compared with patients
28 who were treated with invasive ventilation (OR, 2.2; 95% CI, 1.0-4.8; P = .03).[30]

29 The strengths of our findings lie in the large sample size, the 10-year follow-up period,
30 and the standardized methodology, which has been used to investigate diabetes and its
31 complications in Spain and elsewhere.[31]

32 ***Limitations of the study***

33 Nevertheless, our study is subject to several limitations. Our data source was the
34 CMBD, an administrative database that contains discharge data for hospitalizations in

1 Spain and uses information the physician has included in the discharge report.
2 Therefore, our findings are limited by the lack of data precluded adjustment for
3 pneumococcal and influenza vaccinations, which have been associated with reduced
4 mortality among patients hospitalized with pneumonia.[5] A further limitation is the use
5 of IHM which misses patients who may have died soon after discharge.
6 Other studies have identified factors that may influence in CAP outcomes and that were
7 not included in our investigation because these variables were not collected in the
8 Spanish Hospital Discharge Database. These factors include, among others, illness-
9 severity or antimicrobial treatments.[932] Additionally, we also cannot identify whether
10 gradual changes were made in referral practice during the study period.
11 Another significant limitation is the fact that we did not classify diabetic patients into
12 groups based on the therapy used to control blood glucose, with the result that we were
13 unable to provide data on the control of blood glucose during the hospitalization.
14 The ICD-9-CM used in the Spanish National Hospital Database (CMBD) does not
15 contain any codes specifically for CAP but only has more general codes for pneumonia.
16 Therefore, the ICD-9-CM cannot differentiate a CAP from a Hospital Acquired
17 Pneumonia (HAP). In the CMBD database the first diagnosis in the main reason why a
18 patient is admitted to the hospital. By definition a patient with HAP has to acquire this
19 infection after admission to the hospital. Therefore according to this methodology is
20 very improbable that a HAP could appear as a first diagnosis. The only possible
21 situation for this would be that a patient previously hospitalized, and discharged from
22 the hospital, would return in the first days with a pneumonia that acquired in the
23 previous hospitalization. As commented before we believe this is an extremely
24 improbable situation that would only have a very small impact in the results.
25 Furthermore, the use of cases with a primary diagnosis of pneumonia ICD-9-CM codes
26 (480-488, 507.0-507.8) in the hospital discharge report has been used by other authors,
27 such as Kaplan et al and Hamilton et al, considering those as community-acquired
28 pneumonia admissions [6,11].
29 Beside the limitations of administrative databases for clinical investigation on CAP,
30 many studies have used this data sources for relevant epidemiological studies on
31 respiratory diseases. [6, 11, 33,34]. The CMBD is periodically audited and the validity
32 of the “diabetes diagnosis” in hospital discharge reports has been demonstrated in the
33 past. [35-38]. However, as a result of this audits it is possible and desirable that
34 accuracy of coding may have improved over time so this would affect the results of our

1 investigation and must be taken in consideration.

2 **CONCLUSIONS**

3 In conclusion, Spanish national data show that rates of hospitalization for CAP in
4 patients with and without T2DM increased significantly from 2004 to 2013 and
5 incidence rates were higher in T2DM patients than in those without diabetes in all time
6 periods studied. CAP incidence seems to be increasing at a higher rate among T2DM
7 patients than among non-diabetic patients. IHM after CAP shows downward trends over
8 time in all groups analyzed. Remarkably, the presence of T2DM is not a risk factor of
9 death after CAP in our cohort.

For peer review only

1 ACKNOWLEDGEMENTS

2 We would like to thank the Spanish Ministry of Health and Social Policy for providing
3 the records of the Minimum Basic Data Set (MBDS).

5 CONTRIBUTIONS

6 AL and RJG researched data, contributed to the discussion, wrote the manuscript, and
7 reviewed/edited the manuscript. VHB researched data and reviewed/edited the
8 manuscript. JMD, IJT, JMMY, MMB, NPF and MASF contributed to the discussion
9 and reviewed/edited the manuscript.

10 All authors reviewed and gave their final approval of the version to be submitted.

12 COMPETING INTERESTS

13 The authors declare that they have no competing interests.

15 DATA SHARING STATEMENT

16 "No additional data available"

18 FUNDING

19 This study is part of research funded by the FIS (*Fondo de Investigaciones Sanitarias—*
20 *Health Research Fund*, grant no. PI13/00118 & PI16/00564, *Instituto de Salud Carlos*
21 *III*) co-financed by the European Union through the *Fondo Europeo de Desarrollo*
22 *Regional* (FEDER, “*Una manera de hacer Europa*”) and by the *Grupo de Excelencia*
23 *Investigadora URJC-Banco Santander N°30VCP1G103: Investigación traslacional en el*
24 *proceso de salud - enfermedad (ITPSE).*

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
1 REFERENCES

- 2 1. Soriguer F, Goday A, Bosch-Comas A, et al. Prevalence of diabetes mellitus and
3 impaired glucose regulation in Spain: the Di@bet.es Study. *Diabetologia*. 2012;55:88-
4 93.
- 5 2. Korbel L, Spencer JD. Diabetes mellitus and infection: an evaluation of hospital
6 utilization and management costs in the United States. *J Diabetes Complications*.
7 2015;29(2):192-195.
- 8 3. Fry AM, Shay DK, Holman RC, Curns AT, Anderson LJ. Trends in hospitalizations
9 for pneumonia among persons aged 65 years or older in the United States, 1988-2002.
10 *JAMA*. 2005; 294(21):2712-2719.
- 11 4. Kornum JB, Thomsen RW, Riis A, Lervang HH, Schönheyder HC, Sørensen HT.
12 Diabetes, glycemic control, and risk of hospitalization with pneumonia. A population-
13 based case-control study. *Diabetes Care*. 2008; 31(8):1541-1545.
- 14 5. Kornum JB, Thomsen RW, Riis A, Lervang HH, Schönheyder HC, Sørensen HT.
15 Type 2 diabetes and pneumonia outcomes. A population-based cohort study. *Diabetes*
16 *Care*. 2007; 30(9):2251-2257.
- 17 6. Hamilton EJ, Martin N, Makepeace A, Sillars BA, Davis WA, Davis TM. Incidence
18 and predictors of hospitalization for bacterial infection in community-based patients
19 with type 2 diabetes: the fremantle diabetes study. *PLoS One*. 2013;8(3):e60502.
- 20 7. Benfield T, Jensen JS, Nordestgaard BG. Influence of diabetes and hyperglycaemia
21 on infectious disease hospitalisation and outcome. *Diabetologia*. 2007;50(3):549-554.
- 22 8. Shah BR, Hux JE. Quantifying the risk of infectious diseases for people with
23 diabetes. *Diabetes Care*. 2003;26(2):510-513.
- 24 9. Quan TP, Fawcett NJ, Wrightson JM, et al. Increasing burden of community-acquired
25 pneumonia leading to hospitalisation, 1998-2014. *Thorax*. 2016 Feb 17.
- 26 10. Koziel H, Koziel MJ. Pulmonary complications of diabetes mellitus. Pneumonia.
27 *Infect Dis Clin North Am*. 1995;9(1):65-96.
- 28 11. Kaplan V, Angus DC, Griffin MF, Clermont G, Scott Watson R, Linde-Zwirble
29 WT. Hospitalized community-acquired pneumonia in the elderly: age- and sex-related
30 patterns of care and outcome in the United States. *Am J Respir Crit Care Med*.
31 2002;165(6):766-772.
- 32 12. Sogaard M, Nielsen RB, Schönheyder HC, Nørgaard M, Thomsen RW. Nationwide
33 trends in pneumonia hospitalization rates and mortality, Denmark 1997-2011. *Respir*
34 *Med*. 2014;108(8):1214-1222.

- 1
2
3 1 13. Instituto Nacional de Gestión Sanitaria, Ministerio de Sanidad, Servicios Sociales e
4 Igualdad. Conjunto Mínimo Básico de Datos, Hospitales del INSALUD.
5 <http://www.ingesa.msc.es/estadEstudios/documPublica/CMBD-2001.htm>. Accessed
6 October 6, 2015.
7
8
9 14. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying
10 prognostic comorbidity in longitudinal studies: development and validation. *J Chronic*
11 *Dis.* 1987;40:373-383.
12
13 15. Ministerio de Sanidad, Servicios Sociales e Igualdad. [Encuesta Nacional de Salud
14 de España]. <http://www.msssi.gob.es/estadEstudios/estadisticas/encuestaNacional/>.
15 Accessed December 1, 2015.
16
17 16. Instituto Nacional de Estadística. Population estimates 2010. <http://www.ine.es>.
18 Accessed December 1, 2015.
19
20 17. Jain S, Self WH, Wunderink RG, et al. Community-acquired pneumonia requiring
21 hospitalization among US adults. *NEJM.* 2015;373:415-427.
22
23 18. Sicras-Mainar A, Ibáñez-Nolla J, Cifuentes I, Guijarro P, Navarro-Artieda R,
24 Aguilar L. Retrospective epidemiological study for the characterization of community-
25 acquired pneumonia and pneumococcal pneumonia in adults in a well-defined area of
26 Badalona (Barcelona, Spain). *BMC Infectious Diseases.* 2012;12:283.
27
28 19. Jackson ML, Neuzil KM, Thompson WW, et al. The burden of community-acquired
29 pneumonia in seniors: results of a population-base study. *Clin Infect Dis.* 2004;
30 39(11):1642-1650.
31
32 20. Mc Donald HI, Nitsch D, Millett ER, Sinclair A, Thomas SL. New estimates of the
33 burden of acute community-acquired infections among older people with diabetes
34 mellitus: a retrospective cohort study using linked electronic health records. *Diabet*
35 *Med.* 2014; 31:606-614.
36
37 21. Mancuso P. Obesity and respiratory infections: does excess adiposity weigh down
38 host defense?. *Pulm Pharmacol Ther.* 2013; 26(4):412-419.
39
40 22. Nie W, Zhang Y, Jee SH, Jung KJ, Li B, Xiu Q. Obesity survival paradox in
41 pneumonia: a meta-analysis. *BMC Medicine.* 2014;12:61.
42
43 23. Smith SB, Ruhnke GW, Weiss CH, Waterer GW, Wunderink RG. Trends in
44 pathogens among patients hospitalized for pneumonia from 1993 to 2011. *JAMA Intern*
45 *Med.* 2014;174(11):1837-1839.
46
47 24. Ministry of Health, Social Services and Equality. Vaccination against pneumococcal
48 infections in risk groups.
49
50
51
52
53
54
55
56
57
58
59
60

- 1 [http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/](http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/Neumococo_Gruposriesgo.pdf)
2 Neumococo_Gruposriesgo.pdf. Accessed 3 April 2016.
- 3 25. Lewis SS, Walker VJ, Lee MS, et al. Epidemiology of methicillin-resistant
4 *Staphylococcus aureus* pneumonia in community hospitals. *Infect Control Hosp*
5 *Epidemiol.* 2014;35(12):1452-1457.
- 6 26. Wunderink RG. Community-acquired pneumonia versus healthcare-associated
7 pneumonia. The returning pendulum. *Am J Respir Crit Care Med.* 2013;188(8):896-
8 898.
- 9 27. Lopez-Cuadrado T, Llácer A, Palmera-Suárez R, et al. Trends in infectious disease
10 mortality rates, Spain, 1980-2011. *Emerg Infect Dis.* 2014;20(5):782-789.
- 11 28. Simonetti AF, Garcia-Vidal C, Viasus D, et al. Declining Mortality among
12 hospitalized patients with community-acquired pneumonia. *Clin Microbiol Infect.* 2016
13 Mar 25.
- 14 29. McAlister FA, Majumdar SR, Blitz S, Rowe BH, Romney J, Marrie TJ. The relation
15 between hyperglycemia and outcomes in 2,471 patients admitted to the hospital with
16 community-acquired pneumonia. *Diabetes Care.* 2005;28:810-815.
- 17 30. Murad A, Li PZ, Dial S, Shahin J. The role of noninvasive positive pressure
18 ventilation in community-acquired pneumonia. *J Crit Care.* 2015;30(1):49-54.
- 19 31. Jiménez-García R, Hernández-Barrera V, Rodríguez-Rieiro C, et al. Hospitalizations
20 from pandemic Influenza [A(H1N1)pdm09] infections among type 1 and 2 diabetes
21 patients in Spain. *Influenza Other Respir Viruses.* 2013;7(3):439-447.
- 22 32. Luna CM, Palma I, Niederman MS, et al. The Impact of Age and Comorbidities on
23 the Mortality of Patients of Different Age Groups Admitted with Community-acquired
24 Pneumonia. *Ann Am Thorac Soc.* 2016;13(9):1519-1526.
- 25 33. Sánchez-Muñoz G, López de Andrés A, Jiménez-García R, et al. Time Trends in
26 Hospital Admissions for Bronchiectasis: Analysis of the Spanish National Hospital
27 Discharge Data (2004 to 2013). *PLoS One.* 2016;11(9):e0162282.
- 28 34. de Miguel-Díez J, Muñoz-Rivas N, Jiménez-García R, et al. Type 2 diabetes is
29 associated with a higher incidence of hospitalization for pulmonary embolism in Spain:
30 Analysis of hospital discharge data during 2004-2013. *Respirology.* 2016;21:1277-1284.
- 31 35. Ribera A, Marsal JR, Ferreira-González I, et al. Predicting in-hospital mortality with
32 coronary bypass surgery using hospital discharge data: comparison with a prospective
33 observational study. *Rev Esp Cardiol.* 2008;61:843-852.
- 34 36. Leong A, Dasgupta K, Bernatsky S, Lacaille D, Avina-Zubieta A, Rahme E.

- 1
2
3 1 Systematic review and meta-analysis of validation studies on a diabetes case definition
4 from health administrative records. *PLoS One*. 2013;8:e75256.
5
6 37. Zellweger U, Bopp M, Holzer BM, Djalali S, Kaplan V. Prevalence of chronic
7 medical conditions in Switzerland: exploring estimates validity by comparing
8 complementary data sources. *BMC Public Health*. 2014;14:1157.
9
10 38. Chen G, Khan N, Walker R, Quan H. Validating ICD coding algorithms for diabetes
11 mellitus from administrative data. *Diabetes Res Clin Pract*. 2010;89:189-195.
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For peer review only

STROBE Statement

Checklist of items that should be included in reports of observational studies

Section/Topic	Item No	Recommendation	Reported on Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1,3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
		(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	
Participants	6	<i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	5
		<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	
Variables	7	(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed	
		<i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
		Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5,6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5,6
Bias	9	Describe any efforts to address potential sources of bias	5,6
Study size	10	Explain how the study size was arrived at	5,6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6
		(a) Describe all statistical methods, including those used to control for confounding	6,7
Statistical methods	12	(b) Describe any methods used to examine subgroups and interactions	6,7
		(c) Explain how missing data were addressed	6,7
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed	
		<i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	6,7
		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	

Section/Topic	Item No	Recommendation	Reported on Page No
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	7-11
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7-11
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	7-11
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	7-11
		(b) Report category boundaries when continuous variables were categorized	7-11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-14
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other Information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.