

BMJ Open

Near-infrared Fluorescence Cholangiography assisted Laparoscopic Cholecystectomy versus Conventional Laparoscopic Cholecystectomy (FALCON trial): study protocol for a multicenter randomized controlled trial.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-011668
Article Type:	Protocol
Date Submitted by the Author:	29-Feb-2016
Complete List of Authors:	van den Bos, Jacqueline; Maastricht University Medical Center, Department of Surgery Schols, Rutger; Maastricht University Medical Center, Department of Surgery; Maastricht University Medical Center, Department of Plastic, Reconstructive and Hand Surgery Luyer, Misha; Catharina Ziekenhuis, Department of Surgery van Dam, Ronald; Maastricht University Medical Center, Department of Surgery Vahrmeijer, Alexander; Leids Universitair Medisch Centrum, Department of Surgery Meijerink, Wilhelmus; VU University Medical Center, Department of Surgery Gobardhan, Paul ; Amphia Hospital, Department of Surgery van Dam, Gooitzen; University Medical Center Groningen, Department of Surgery Bouvy, Nicole; Maastricht University Medical Center, Department of Surgery Stassen, Laurents; Maastricht University Medical Center, Department of Surgery
Primary Subject Heading:	Surgery
Secondary Subject Heading:	Gastroenterology and hepatology, Research methods
Keywords:	Near-Infrared Fluorescence Imaging (NIRF), Indocyanine Green (ICG), Laparoscopic Cholecystectomy (LC), Critical View of Safety (CVS), Bile duct Injury

SCHOLARONE™
Manuscripts

1
2
3 **Near-infrared Fluorescence Cholangiography assisted Laparoscopic Cholecystectomy versus**
4 **Conventional Laparoscopic Cholecystectomy (FALCON trial): study protocol for a multicenter**
5 **randomized controlled trial.**
6
7
8

9 Jacqueline van den Bos¹, Rutger M. Schols^{1,2}, Misha D. Luyer³, Ronald M. van Dam¹, Alexander L.
10 Vahrmeijer⁴, Wilhelmus J. Meijerink⁵, Paul D. Gobardhan⁶, Gooitzen M. van Dam⁷, Nicole D. Bouvy¹,
11 Laurents P.S. Stassen¹
12
13

14
15
16 ¹ Department of Surgery, Maastricht University Medical Center, Maastricht, The Netherlands

17 ² Department of Plastic, Reconstructive and Hand Surgery, Maastricht University Medical Center,
18 Maastricht, The Netherlands

19 ³ Department of Surgery, Catharina Ziekenhuis, Eindhoven, The Netherlands

20 ⁴ Department of Surgery, Leids Universitair Medisch Centrum, Leiden, The Netherlands

21 ⁵ Department of Surgery, VU University Medical Center, Amsterdam, The Netherlands

22 ⁶ Department of Surgery, Amphia Hospital, Breda, The Netherlands

23 ⁷ Department of Surgery, University Medical Center Groningen, Groningen, The Netherlands
24
25
26
27
28
29

30 **Corresponding Author:**

31 Jacqueline van den Bos, MD

32 Department of Surgery

33 Maastricht University Medical Center

34 Email: Jacqueline.vanden.bos@mumc.nl

35 Phone number: 0031613206302
36
37
38
39
40
41

42 **Trial registration**

43 ClinicalTrials.gov, number NL47718.068.14
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ABSTRACT**Introduction:**

Misidentification of the extra-hepatic bile duct anatomy during laparoscopic cholecystectomy is the main cause of bile duct injury. Easier intraoperative recognition of the biliary anatomy may be accomplished by using near-infrared fluorescence (NIRF) imaging after intravenous injection of indocyanine green (ICG). Promising results were reported for successful intraoperative identification of the extra-hepatic bile ducts, compared to conventional laparoscopic imaging. However, routine use of ICG fluorescence laparoscopy has not gained wide clinical acceptance yet due to a lack of high quality clinical data. Therefore, this multicenter randomized clinical study was designed to assess the potential added value of the NIRF-imaging technique during laparoscopic cholecystectomy.

Methods and Analysis:

A multi-center, randomized controlled clinical trial will be carried out to assess the use of NIRF imaging in laparoscopic cholecystectomy. In total 308 patients scheduled for an elective laparoscopic cholecystectomy for gallstone disease will be included. These patients will be randomized into a NIRF-imaging laparoscopic cholecystectomy (NIRF-LC) group and conventional laparoscopic cholecystectomy (CLC) group. The primary endpoint is time to 'Critical View of Safety' (CVS). Secondary endpoints are: "time to identification of the cystic duct (CD), of the common bile duct, the transition of CD in the gallbladder and the transition of the cystic artery in the gallbladder, these all during dissection of CVS"; "total surgical time"; "intraoperative bile leakage from the gallbladder or cystic duct"; "bile duct injury"; "postoperative length of stay", "complications due to the injected ICG"; "conversion to open cholecystectomy"; "postoperative complications (until 90 days postoperatively)" and "cost-minimization".

Ethics and dissemination

The protocol has been approved by the Medical Ethical Committee of Maastricht University Medical Center / Maastricht University; the trial has been registered at ClinicalTrials.gov. The findings of this study will be disseminated widely through peer-reviewed publications and conference presentations.

Keywords

Near-Infrared Fluorescence Imaging (NIRF), Indocyanine Green (ICG), Laparoscopic Cholecystectomy (LC), Critical View of Safety (CVS)

INTRODUCTION

Laparoscopic cholecystectomy (LC) is the most commonly performed laparoscopic procedure in The Netherlands, with almost 23 000 procedures annually (1). Bile duct injury during this procedure is rare with an incidence of 0.3-0.7% (2-5). However, when bile duct injury or vascular injury is present, it results in significant clinical relevant morbidity and mortality, lower quality of life and extra costs (6-10). Bile duct injury will generally lead to bile leakage and abdominal sepsis and can lead to bile duct obstruction with obstructive jaundice eventually leading to orthotopic liver transplantation, or both (7). Late recognition and management of bile duct injuries can lead to severe deterioration in the patient's condition, progressing to biliary peritonitis, sepsis, multi-organ failure and eventually death. Therefore, early recognition and treatment is important (7, 11). Misidentification of the extra-hepatic bile duct anatomy during laparoscopic cholecystectomy is the main cause of bile duct injury (12).

To reduce this risk of bile duct injury, the Critical View of Safety (CVS) technique was introduced by Strasberg in 1995 (13). A recent Society of American Gastrointestinal and Endoscopic Surgeons (SAGES) expert Delphi consensus deemed the Critical View of Safety as being the most important factor for overall safety (14), in accordance with the current Dutch Surgical Society Guideline for laparoscopic cholecystectomy (15).

To establish CVS, two observation windows need to be created: one window between the cystic artery, cystic duct and gallbladder, another between the cystic artery, gallbladder and liver (see figure 1a and 1b). The CVS technique is especially aimed at mobilizing the gallbladder neck from the liver, in order to obtain a circumferential identification of the transition of the cystic duct (CD) into the gallbladder. The CVS technique is the gold standard nowadays to perform a safe cholecystectomy with identification of the vital structures such as the CD (16-20). According to a Dutch nationwide survey in 2011, 97.6% of the Dutch surgeons use the CVS technique (21). However, according to a recent study by Nijssen et al, only in 10% of the laparoscopic cholecystectomies CVS is actually established (22). This could mean that it is more difficult to establish CVS than thought before, thus resulting in more bile duct injury than necessary.

Nowadays, there are several imaging techniques to identify the relevant anatomical structures easier, such as intraoperative cholangiography (IOC) and near-infrared fluorescence (NIRF) imaging. IOC has been advised to reduce the risk of bile duct injury (2, 16, 23). However, this radiological imaging of the biliary tree is not adopted worldwide in standard laparoscopic cholecystectomy, as the procedure takes time, radiation exposure is involved and additional equipment and manpower for the procedure are required. Moreover, the interpretation of an intraoperative cholangiogram with potentially distorted anatomy clearly depends on the expertise of the surgeon. Therefore, worldwide consensus about implementation of intraoperative cholangiography is still lacking (24).

1
2
3 Near-infrared fluorescence (NIRF) imaging after intravenous injection of indocyanine green (ICG) is a
4 promising new technique for easier intraoperative recognition of the biliary anatomy (25, 26). ICG is
5 cleared quickly and exclusively by the liver after intravenous administration and has a very well-
6 known pharmacokinetic and safety profile. Neither radiological support nor additional intervention
7 such as opening the cystic or common bile duct is required, making it an easy, real-time and flexible
8 technique to use technique during surgery. By real-time identification of the vital structures being
9 the cystic duct and common bile duct within the already adapted CVS technique, it may improve the
10 outcome of laparoscopic cholecystectomy (16, 27, 28). NIRF imaging using ICG has been evaluated in
11 various animal models (29-31) and in open, laparoscopic and single-incision laparoscopic
12 cholecystectomies (30, 32-34). Promising results were presented for safe and successful
13 intraoperative identification of the common bile duct and the cystic duct, compared to conventional
14 laparoscopic imaging. Furthermore, a clinical study (n=30) showed that the NIRF imaging technique
15 provided significantly earlier identification of the extra-hepatic bile ducts during the CVS dissection
16 phase: up to 10 minutes earlier identification of the cystic duct and common bile duct could be
17 obtained (35). Real-time imaging of the hepatic and cystic arteries was also achieved when given a
18 repeated dose of ICG was given (35-37).

19 Despite these encouraging results derived from clinical feasibility studies, the routine use of ICG
20 fluorescence laparoscopy has not gained wide clinical acceptance yet due to a lack of high quality
21 clinical data. Therefore, a multicenter randomized clinical study was designed to assess the added
22 value of the NIRF imaging technique during laparoscopic cholecystectomy. The ultimate goal of this
23 technique is to perform a safer procedure leading to a reduction in vascular and bile duct injuries.
24 The primary objective of the present study is to evaluate whether earlier establishment of Critical
25 View of Safety can be obtained using the NIRF imaging technique during laparoscopic
26 cholecystectomy.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

METHODS AND ANALYSIS

Primary aim: The main objective of the study is to evaluate whether earlier establishment of the Critical View of Safety can be obtained using the NIRF imaging technique during elective laparoscopic cholecystectomy for symptomatic bile stone disease, by applying NIRF imaging as an adjunct to conventional laparoscopic imaging versus conventional laparoscopic imaging alone.

Hypothesis: It is hypothesized that standard application of NIRF imaging during laparoscopic cholecystectomy will result in establishment of Critical View of Safety at least 5 minutes earlier and with more certainty regarding visualization of biliary anatomy when compared to conventional laparoscopic imaging alone.

Study design: A multicenter randomized controlled clinical trial, with two randomization arms: a NIRF-LC (laparoscopic cholecystectomy) group: this group of patients will undergo NIRF cholangiography assisted laparoscopic cholecystectomy; a CLC (conventional laparoscopic cholecystectomy) control group: this group will undergo conventional laparoscopic cholecystectomy.

Setting: This study will initially take place in five large teaching hospitals in the Netherlands, of which three are Academic Medical Centers. After the study in these centers has started, international centers will be included.

Participants: In the FALCON trial, a total of 308 patients will be included at the Departments of Surgery of the participating centers.

Sample size calculation: The number of 308 participants is based on pilot data (35, 38) in which identification of the cystic duct and common bile duct was established respectively 11 and 10 minutes earlier using fluorescence laparoscopic imaging compared to conventional laparoscopic imaging. A sample size of 131 for each randomization arm has been calculated to detect a reduction in 'time to establishment of CVS' of at least 5 minutes with a power of 80% and an α of 0.05 (95%-confidence). Assuming a withdrawal rate of 15% (due to usual reasons for drop-out in combination with technical difficulties concerning the video recordings) during the trial, a total of 308 ($n = 2 \times 131 + 15\%$) will be required

All patients (age >18 years) scheduled for an elective laparoscopic cholecystectomy and meeting the inclusion criteria will be suitable for inclusion.

1
2
3 **Inclusion criteria:** Male and female patients, aged 18 years and above, scheduled for elective
4 laparoscopic cholecystectomy, with uncomplicated symptomatic cholelithiasis as the indication
5 for surgery, normal liver and renal function, no hypersensitivity for iodine or ICG, able to understand
6 the nature of the study procedures, willing to participate and give written informed consent, Physical
7 Status Classification of ASA I / ASA II.
8
9

10
11
12 **Exclusion criteria:** Age < 18 years, acute or chronic cholecystitis as indication for surgery,
13 cholecystectomy after biliary pancreatitis, suspected malignancy, liver or renal insufficiency, known
14 iodine or ICG hypersensitivity, pregnancy or breastfeeding, not able to understand the nature of the
15 study procedure, and a Physical Status Classification of ASA III and above.
16
17 Subjects can leave the study at any time for any reason if they wish to do so without any
18 consequences. The investigator can decide to withdraw a subject from the study for urgent medical
19 reasons. Conversion to open cholecystectomy, before CVS is established, is a reason for study
20 withdrawal. Furthermore, if the video recordings of the laparoscopic procedure were not successful,
21 the procedure will be unsuitable for analysis of all predefined endpoints. There are no other specific
22 criteria for withdrawal. In case of withdrawal, individual subjects will be replaced to achieve the
23 calculated sample size. All inclusions will be analyzed on an intention-to-treat basis.
24
25
26
27
28
29
30
31

32 **Randomization:** All included patients will be randomized centrally using block randomization with
33 sealed envelopes and stratification per participating center. After signing the informed consent form,
34 the next sealed envelope in line will be opened by the coordinating investigator. There will be no
35 blinding of patients or surgeons.
36
37
38
39

40 **Intervention:** The CLC group will undergo conventional laparoscopic cholecystectomy (CLC). The
41 NIRF-LC group will undergo near-infrared fluorescence cholangiography using a laparoscopic NIRF
42 imaging system (Karl Storz GmbH, Tuttlingen, Germany). To obtain fluorescence imaging of the biliary
43 tract and cystic artery a NIRF contrast agent will administered. Directly after induction of anesthesia
44 2,5 mg of Indocyanine Green (ICG) (2.5mg/ml) (Diagnostic Green, Aschheim, Germany) will be given
45 intravenously. A repeat injection of 2,5 mg will be administered for concomitant arterial and biliary
46 fluorescence delineation after achievement of CVS.
47
48
49
50
51
52

53 **Outcome measures:** The primary outcome measure is time to identification of CVS. This endpoint is
54 used as a surrogate for bile duct identification without surgical exploration. CVS is established if the
55 following three criteria are met:
56
57
58
59
60

1. Mobilization of the gallbladder infundibulum for 1/3rd of the length of the gallbladder from the liver bed
2. Circumferential exposure of the cystic duct and confirmation of its transition in the gallbladder
3. Circumferential exposure of the cystic artery and confirmation of its transition in the gallbladder

Secondary outcome measures are listed in table 1:

Table1: Secondary outcome measures

Outcome measure	Definition
Time until identification of the cystic duct (CD)	Time in minutes
Time until identification of common bile duct	Time in minutes
Time until identification of the transition of CD into the gallbladder	Time in minutes
Time until identification of the transition of the cystic artery (CA) into the gallbladder	Time in minutes
Total Surgical time	Time in minutes from skin incision to the end of skin closure
Visualization of CVS and visualization of the transition of the cystic duct and cystic artery into the gallbladder	Time in minutes
Intraoperative bile leakage from the gallbladder or cystic duct	Visualized bile leakage or spill during surgery.
Bile duct injury	Any injury to the main biliary tree; will be classified using the Strasberg Classification System (13) Type A: Injury to the cystic duct or from minor hepatic ducts draining the liver bed. Type B: Occlusion of biliary tree, commonly aberrant right hepatic duct(s). Type C: Transection without ligation of aberrant right hepatic duct(s). Type D: Lateral injury to a major bile duct. Type E (1-5) - Injury to the main hepatic duct; classified

	according to level of injury.
Postoperative length of hospital stay	Duration from date of admission (included) to date of discharge (included)
Complications due to injected contrast agent	Any complication potentially caused by injected ICG
Conversion to open cholecystectomy	Laparoscopic approach converted to an open operation, or in which an abdominal incision to assist the procedure was needed.
90 day all-cause postoperative complications	Any complication, up to 90 days, described by the Clavien-Dindo classification of postoperative complications (39). Specific attention to bile leak, CBD injury, wound infection, intra-abdominal collection, pancreatitis, CBD stones, ICU/HDU readmissions; prospectively assessed during admission; thereafter immediately to be reported to study coordinator
Cost Minimization	Difference in costs (in Euros) between conventional LC and NIRF LC

Data collection: Intra-operatively a Case Report Form will be filled in. A structure is scored as 'identified' if its localization is confirmed with great certainty by the experienced surgeon. The attending surgeon will be consulted to decide whether he believes CVS is established.

In accordance with regular care, all laparoscopic surgical procedures will be digitally recorded. An expert panel, consisting of three highly experienced laparoscopic surgeons, will analyze the data using video recordings: time until identification of the cystic duct and of its transition into the gallbladder; time until identification of the cystic artery and its transition into the gallbladder during dissection of CVS; when and whether CVS is established. Eventually, all five observers (the surgeon or surgical trainee, PhD researcher or local researcher during the operation and the three postoperative observers) will individually assess the above mentioned endpoints. Mean values of these five assessments will be used for each of the endpoints. All clinical data are prospectively registered in a database.

OsiriX 5.5.1. Imaging Software (Pixmeo, Geneva, Switzerland) will be used for objective assessment of the degree of fluorescence illumination in the extra-hepatic bile ducts. The fluorescence images will be analyzed by determining target-to-background ratio (TBR). TBR is defined as the mean fluorescence intensity (FI) of two point regions of interest (ROIs) in the target (i.e. CBD, CD or CA) minus the mean fluorescence intensity of two background (BG) ROIs in the liver hilum, divided by the

1
2
3 mean fluorescence intensity of the two background ROIs in the liver hilum; in formula: $TBR = (FI \text{ of target} - FI \text{ of BG}) / FI \text{ of BG}$.

4
5
6 The costs made in the two groups will be compared, resulting in a cost-minimization analysis. This
7
8 analysis will include the costs made by using the operation theater in terms of fluorescence
9
10 laparoscopy equipment, the fluorescent dye indocyanine green, morbidity, mortality and
11
12 postoperative hospital stay.

13 In figure 2a and 2b, a flowchart of the study procedure for both the NIRF-LC group and the C group is
14
15 presented.

16
17
18 **Data validation and management:** Patient data will be anonymously registered and analyzed
19
20 comparing NIRF-LC with CLC. Only the investigators will have access to the patient data after
21
22 informed consent is given.

23
24 **Study timeline:** In figure 3, the study timeline is presented. From January 2016 until January 2018
25
26 data will be collected; in September 2016, March 2017, September 2017 and March 2018 the expert
27
28 panel will evaluate the video material for endpoints; around July 2018 data analysis is expected to be
29
30 complete.

31
32 Participants will be informed about the study during their preoperative visit to the outpatient clinic.
33
34 Thereafter, patients have at least a week to consider participation in the study. During their elective
35
36 surgery the Near-infrared fluorescence laparoscopy will be used if the patient is randomized in the
37
38 NIRF-LC group. After surgery a 90day follow-up period follows after which possible complications will
39
40 be evaluated.

41
42 **Statistical analysis:** For statistical analysis, the most recent version of SPSS (IBM, Armonk, NY, USA)
43
44 will be used. Baseline characteristics such as patient clinical history (including previous surgery), age,
45
46 Body Mass Index, indication for the procedure will be recorded and compared between the
47
48 intervention (NIRF-LC) and control groups (CLC). Categorical baseline variables will be compared
49
50 using a Chi-Square test, while numerical variables will be compared by the independent sample T-
51
52 test or the Mann-Whitney U test, depending on the distribution.

53
54 The primary outcome measure, namely time until establishment of CVS will be given in minutes, with
55
56 a mean and standard deviation. A linear regression analysis will be applied for determination of
57
58 possible significant differences between the time measurements, therewith comparing the NIRF-LC
59
60 group to the CLC group. This will be conducted to determine whether a reduction in time can in fact
61
62 be achieved using NIRF imaging technique compared to CLC.

1
2
3 All numerical secondary outcomes such as time until visualization of cystic duct and cystic artery will
4 be analyzed using a linear regression model. In case of missing values, a Cox regression analysis will
5 be performed. Missing values can occur especially in the postoperative analysis by the expert panel,
6 when the panel concludes that, contrary to the opinion of the operating team, actually no CVS was
7 obtained or that the transition of the cystic duct or cystic artery in the gallbladder had actually not
8 been properly identified. All categorical secondary outcomes such as bile duct injury and conversion
9 to open surgery will be analyzed with a logistic regression model.
10
11
12
13

14
15
16 **Data monitoring:** An independent data monitoring committee will monitor the study procedures and
17 data management. No interim analysis will be performed. Adverse events and Serious adverse events
18 will be centrally reported in the online database toetsingonline.nl
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ETHICS AND DISSEMINATION

The proposed study is approved by the Medical Ethics committee of Maastricht University Medical Center / Maastricht University. Possible protocol amendments will be send to the Medical Ethics committee of Maastricht University Medical Center / Maastricht University. After approval the changes will be communicated in the registration on clinicaltrials.gov and to the for the amendment relevant parties.

1. Is there scientific and clinical value in conducting this study?

Despite the promising results from previous feasibility studies, a lack of solid clinical data precludes wide clinical acceptance of the routine use of ICG fluorescence laparoscopy. This multicenter randomized clinical study can provide such data.

2. Risk-benefit assessment

There are no additional risks accompanied by the laparoscopic NIRF imaging systems, compared to conventional laparoscopic imaging.

The gifts of ICG are the only additional (minimally) invasive interventions for the patient. ICG preparations can, in very rare cases, cause nausea and anaphylactoid or anaphylactic reactions (<1 : 10 000). Patients with terminal renal insufficiency seem to be more prone for such an anaphylactic reaction. Estimated death due to anaphylaxis is reported as less than 1 per 330 000 (40-43). Symptoms Include; anxiety, feeling of warmth, pruritus, urticaria, acceleration of heart rate, decrease in blood pressure, shortness of breath, bronchospasm, flushing, cardiac arrest, laryngospasm, facial edema, nausea. Together with the anaphylactoid reaction hypereosinophilia may occur. If, contrary to expectations, symptoms of anaphylaxis occur, the following measures will be taken: stop further administration of ICG, leave injection catheter or cannula in the vein, keep airways free, inject 100-300 mg hydrocortisone or a similar preparation by rapid intravenous injection, substitute volume with isotonic electrolyte solution, give oxygen and monitor the circulation, slowly administer antihistamines intravenously. In case of an anaphylactic shock, the patient will be placed in recumbent position with legs raised, volume will be rapidly substituted with e.g. isotonic electrolyte solution (pressure infusion), plasma expanders. And 0.1-0.5 mg adrenaline will be administered immediately diluted to 10 ml with 0.9% saline intravenously. If necessary, this will be repeated after 10 minutes

The benefit for the patients in the NIRF-LC group will possibly consist of a shorter period to establishment of CVS and the clearer identification of CVS and its anatomical components.

3. Do the individuals give informed consent?

To each patient that is a potential candidate for inclusion thorough patient information will be given. From each subject that is willing to participate written informed consent will be obtained by one of the investigators. The ethical issues of the trial will be thoroughly explained and discussed, both verbally and in writing. The basic principles laid down in the Declaration of Helsinki (44) will be followed throughout the execution of the trial. Accordingly, each participant has the right to withdraw from the study at any given moment without having to explain this decision in any way.

Contributors: JvdB, RMS, RMvD, WJHJM, ALV, PDG, MDL, GMvD, NDB, LPSS all made substantial contributions to the conception and design of the study. RMS undertook pilot scoring and provided refinement of outcome measure adjudication methods. JvdB and RMS drafted the manuscript under supervision of LPS. All authors provided critical review and final approval of the present manuscript.

Funding: the RCT will in part be funded by Karl Storz GmbH (Tuttlingen, Germany), who will also provide the fluorescence imaging equipment. Half of the needed ICG will be provided by Diagnostic Green (Aschheim, Germany). The funders will not have authority over any of the study related activities, including data collection, data management, analysis, interpretation of data, writing the report or submission for publication.

Competing interests: none declared

Ethics approval: Ethics approval was given by the Medical Ethical Committee Maastricht University Medical Center / University of Maastricht.

Provenance and peer review: not commissioned; peer reviewed for ethical approval prior to submission.

List of participating sites: Approval is obtained for the following sites: Maastricht University Medical Center+ (MUMC+, Maastricht, The Netherlands), Leiden University Medical Center (LUMC, Leiden, The Netherlands); University Medical Center Groningen (UMCG, Groningen, The Netherlands); Amphia Hospital (Breda, The Netherlands); Catharina Hospital (Eindhoven, The Netherlands). Several centers outside the Netherlands will be approached after the trial has fully started in the national centers. Maastricht University Center will be the coordinating center. The investigators from Maastricht University Medical Center will manage, analyze and interpret the data primarily.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Protocol version: This manuscript is based on protocol version 4, submitted to the Medical Ethical Committee Maastricht University Medical Center/ University of Maastricht on November 2nd 2016

For peer review only

BMJ Open: first published as 10.1136/bmjopen-2016-011668 on 26 August 2016. Downloaded from <http://bmjopen.bmj.com/> on April 19, 2024 by guest. Protected by copyright.

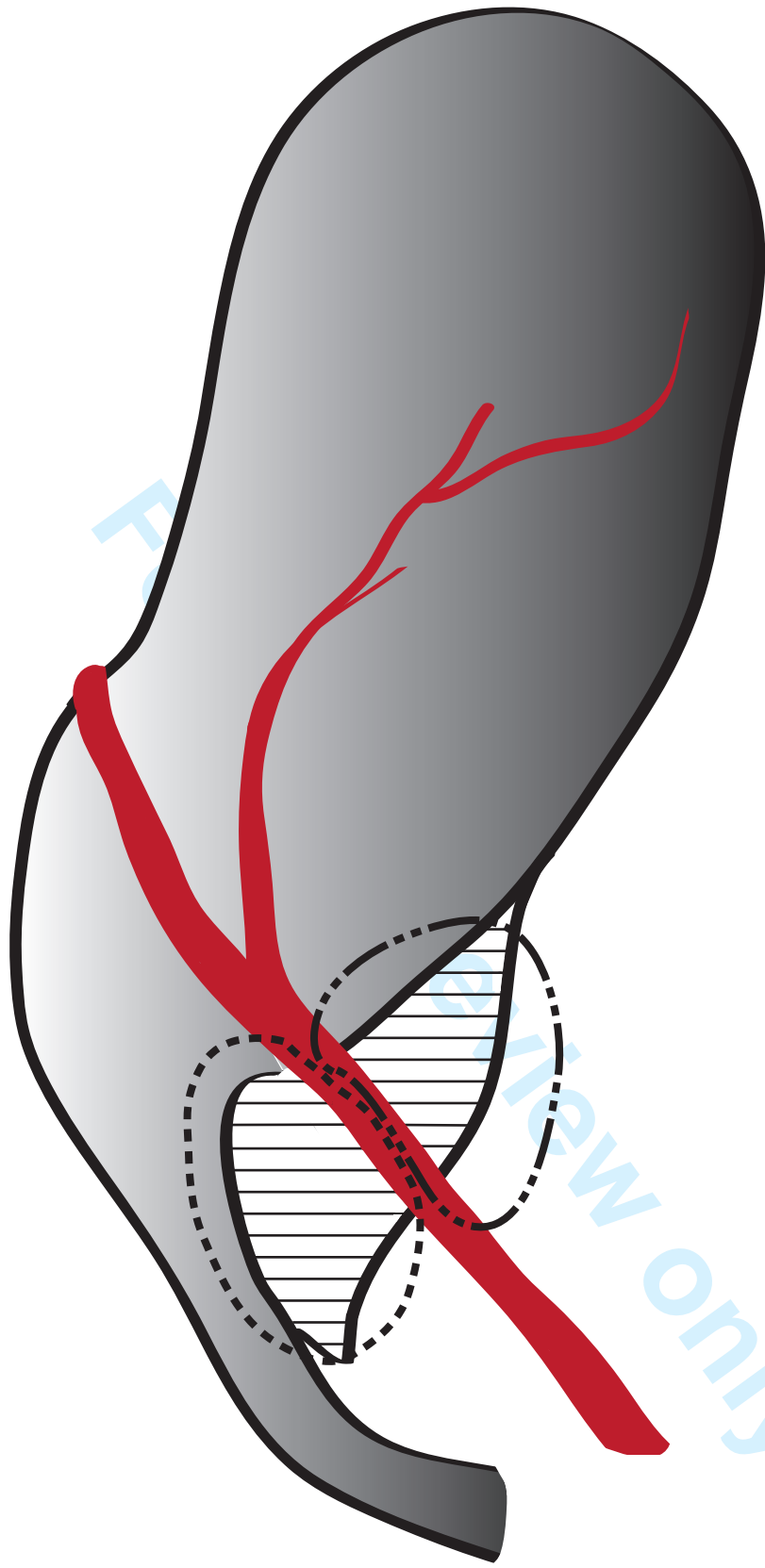
REFERENCES

1. Statistiek CBvd. Operaties in het ziekenhuis; soort opname, leeftijd en geslacht, 1995-2010 2010 [updated 05-02-2014]. Available from: <http://statline.cbs.nl/StatWeb/publication/?VW=T&DM=SLNL&PA=80386NED&LA=NL>.
2. Flum DR, Dellinger EP, Cheadle A, Chan L, Koepsell T. Intraoperative cholangiography and risk of common bile duct injury during cholecystectomy. *Jama*. 2003 Apr 2;289(13):1639-44. PubMed PMID: 12672731.
3. Fletcher DR, Hobbs MS, Tan P, Valinsky LJ, Hockey RL, Pikora TJ, et al. Complications of cholecystectomy: risks of the laparoscopic approach and protective effects of operative cholangiography: a population-based study. *Annals of surgery*. 1999 Apr;229(4):449-57. PubMed PMID: 10203075. Pubmed Central PMCID: 1191728.
4. Nuzzo G, Giuliani F, Giovannini I, Ardito F, D'Acapito F, Vellone M, et al. Bile duct injury during laparoscopic cholecystectomy: results of an Italian national survey on 56 591 cholecystectomies. *Archives of surgery*. 2005 Oct;140(10):986-92. PubMed PMID: 16230550.
5. Waage A, Nilsson M. Iatrogenic bile duct injury: a population-based study of 152 776 cholecystectomies in the Swedish Inpatient Registry. *Archives of surgery*. 2006 Dec;141(12):1207-13. PubMed PMID: 17178963.
6. Bobkiewicz A, Krokowicz L, Banasiewicz T, Kosciński T, Borejsza-Wysocki M, Ledwosinski W, et al. Iatrogenic bile duct injury. A significant surgical problem. Assessment of treatment outcomes in the department's own material. *Polski przegląd chirurgiczny*. 2014 Dec;86(12):576-83. PubMed PMID: 25803057.
7. Booij KA, de Reuver PR, Yap K, van Dieren S, van Delden OM, Rauws EA, et al. Morbidity and mortality after minor bile duct injury following laparoscopic cholecystectomy. *Endoscopy*. 2015 Jan;47(1):40-6. PubMed PMID: 25532112.
8. Dolan JP, Diggs BS, Sheppard BC, Hunter JG. Ten-year trend in the national volume of bile duct injuries requiring operative repair. *Surgical endoscopy*. 2005 Jul;19(7):967-73. PubMed PMID: 15920680.
9. Boerma D, Rauws EA, Keulemans YC, Bergman JJ, Obertop H, Huibregtse K, et al. Impaired quality of life 5 years after bile duct injury during laparoscopic cholecystectomy: a prospective analysis. *Annals of surgery*. 2001 Dec;234(6):750-7. PubMed PMID: 11729381. Pubmed Central PMCID: 1422134.
10. Landman MP, Feurer ID, Moore DE, Zaydfudim V, Pinson CW. The long-term effect of bile duct injuries on health-related quality of life: a meta-analysis. *HPB : the official journal of the International Hepato Pancreato Biliary Association*. 2013 Apr;15(4):252-9. PubMed PMID: 23458623. Pubmed Central PMCID: 3608978.
11. Tornqvist B, Stromberg C, Persson G, Nilsson M. Effect of intended intraoperative cholangiography and early detection of bile duct injury on survival after cholecystectomy: population based cohort study. *Bmj*. 2012;345:e6457. PubMed PMID: 23060654. Pubmed Central PMCID: 3469410.
12. Way LW, Stewart L, Gantert W, Liu K, Lee CM, Whang K, et al. Causes and prevention of laparoscopic bile duct injuries: analysis of 252 cases from a human factors and cognitive psychology perspective. *Annals of surgery*. 2003 Apr;237(4):460-9. PubMed PMID: 12677139. Pubmed Central PMCID: 1514483.
13. Strasberg SM, Hertl M, Soper NJ. An analysis of the problem of biliary injury during laparoscopic cholecystectomy. *J Am Coll Surg*. 1995 Jan;180(1):101-25. PubMed PMID: 8000648. Epub 1995/01/01. eng.
14. Pucher PH, Brunt LM, Fanelli RD, Asbun HJ, Aggarwal R. SAGES expert Delphi consensus: critical factors for safe surgical practice in laparoscopic cholecystectomy. *Surgical endoscopy*. 2015 Feb 11. PubMed PMID: 25669635.
15. Lange JF SL. Best practice: De techniek van de laparoscopische cholecystectomie (Critical View of Safety [CVS]; Werkgroep Endoscopische Chirurgie

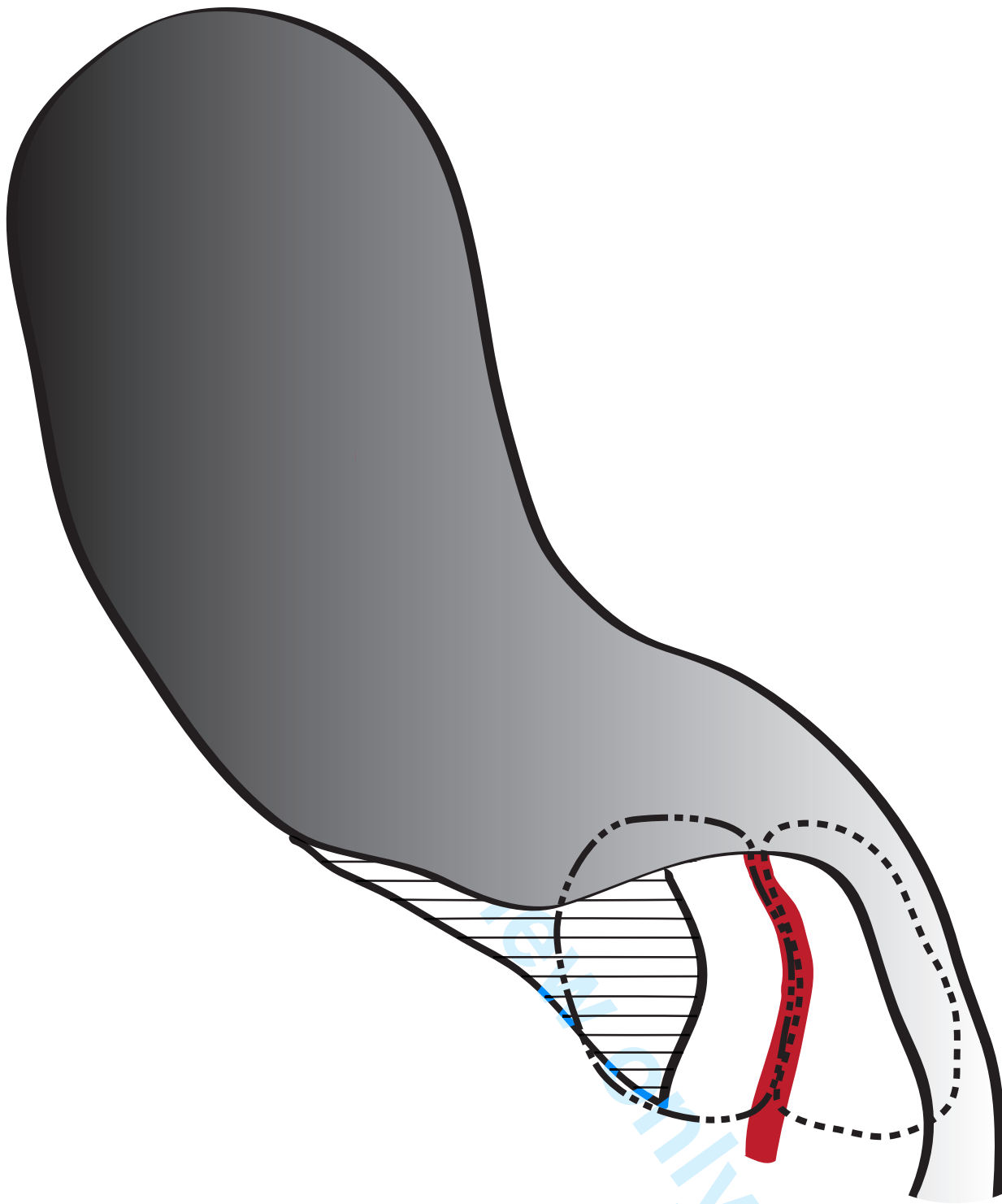
- van de Nederlandse Vereniging voor Heelkunde) 2006. Available from:
<http://www.nvgic.nl/richtlijnen/Best%20Practice%20Laparoscopische%20Cholecystectomie.pdf>.
16. Buddingh KT, Nieuwenhuijs VB, van Buuren L, Hulscher JB, de Jong JS, van Dam GM. Intraoperative assessment of biliary anatomy for prevention of bile duct injury: a review of current and future patient safety interventions. *Surg Endosc*. 2011 Aug;25(8):2449-61. PubMed PMID: 21487883. Pubmed Central PMCID: 3142332. Epub 2011/04/14. eng.
 17. Dziondzio T, Weiss S, Sucher R, Pratschke J, Biebl M. A 'critical view' on a classical pitfall in laparoscopic cholecystectomy! *International journal of surgery case reports*. 2014;5(12):1218-21. PubMed PMID: 25437680. Pubmed Central PMCID: 4275857.
 18. Kaczynski J, Hilton J. A gallbladder with the "hidden cystic duct": A brief overview of various surgical techniques of the Calot's triangle dissection. *Interventional medicine & applied science*. 2015 Mar;7(1):42-5. PubMed PMID: 25838927. Pubmed Central PMCID: 4369147.
 19. Strasberg SM, Brunt LM. Rationale and use of the critical view of safety in laparoscopic cholecystectomy. *J Am Coll Surg*. 2010 Jul;211(1):132-8. PubMed PMID: 20610259. Epub 2010/07/09. eng.
 20. Vettoretto N, Saronni C, Harbi A, Balestra L, Taglietti L, Giovanetti M. Critical view of safety during laparoscopic cholecystectomy. *JLS : Journal of the Society of Laparoendoscopic Surgeons / Society of Laparoendoscopic Surgeons*. 2011 Jul-Sep;15(3):322-5. PubMed PMID: 21985717. Pubmed Central PMCID: 3183538.
 21. Buddingh KT, Hofker HS, ten Cate Hoedemaker HO, van Dam GM, Ploeg RJ, Nieuwenhuijs VB. Safety measures during cholecystectomy: results of a nationwide survey. *World journal of surgery*. 2011 Jun;35(6):1235-41; discussion 42-3. PubMed PMID: 21445669. Pubmed Central PMCID: 3092925.
 22. Nijssen MA, Schreinemakers JM, Meyer Z, van der Schelling GP, Crolla RM, Rijken AM. Complications After Laparoscopic Cholecystectomy: A Video Evaluation Study of Whether the Critical View of Safety was Reached. *World journal of surgery*. 2015 Jul;39(7):1798-803. PubMed PMID: 25711485.
 23. Tornqvist B, Stromberg C, Akre O, Enochsson L, Nilsson M. Selective intraoperative cholangiography and risk of bile duct injury during cholecystectomy. *The British journal of surgery*. 2015 Jul;102(8):952-8. PubMed PMID: 25919401.
 24. Ford JA, Soop M, Du J, Loveday BP, Rodgers M. Systematic review of intraoperative cholangiography in cholecystectomy. *Br J Surg*. 2012 Feb;99(2):160-7. PubMed PMID: 22183717. Epub 2011/12/21. eng.
 25. Schols RM, Connell NJ, Stassen LP. Near-infrared fluorescence imaging for real-time intraoperative anatomical guidance in minimally invasive surgery: a systematic review of the literature. *World journal of surgery*. 2015 May;39(5):1069-79. PubMed PMID: 25522896.
 26. Verbeek FP, van der Vorst JR, Schaafsma BE, Hutteman M, Bonsing BA, van Leeuwen FW, et al. Image-guided hepatopancreatobiliary surgery using near-infrared fluorescent light. *J Hepatobiliary Pancreat Sci*. 2012 Nov;19(6):626-37. PubMed PMID: 22790312. Pubmed Central PMCID: 3501168.
 27. Agarwal BB. Patient safety in laparoscopic cholecystectomy. *Archives of surgery*. 2009 Oct;144(10):979; author reply PubMed PMID: 19841374. Epub 2009/10/21. eng.
 28. Pesce A, Piccolo G, La Greca G, Puleo S. Utility of fluorescent cholangiography during laparoscopic cholecystectomy: A systematic review. *World journal of gastroenterology : WJG*. 2015 Jul 7;21(25):7877-83. PubMed PMID: 26167088. Pubmed Central PMCID: 4491975.
 29. Figueiredo JL, Siegel C, Nahrendorf M, Weissleder R. Intraoperative near-infrared fluorescent cholangiography (NIRFC) in mouse models of bile duct injury. *World J Surg*. 2010 Feb;34(2):336-43. PubMed PMID: 20033407. Pubmed Central PMCID: 2809822. Epub 2009/12/25. eng.
 30. Tagaya N, Shimoda M, Kato M, Nakagawa A, Abe A, Iwasaki Y, et al. Intraoperative exploration of biliary anatomy using fluorescence imaging of indocyanine green in experimental and clinical cholecystectomies. *J Hepatobiliary Pancreat Sci*. 2010 Sep;17(5):595-600. PubMed PMID: 19806299. Epub 2009/10/07. eng.

- 1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
31. Matsui A, Tanaka E, Choi HS, Winer JH, Kianzad V, Gioux S, et al. Real-time intra-operative near-infrared fluorescence identification of the extrahepatic bile ducts using clinically available contrast agents. *Surgery*. 2010 Jul;148(1):87-95. PubMed PMID: 20117813. Pubmed Central PMCID: 2886157. Epub 2010/02/02. eng.
32. Ishizawa T, Bandai Y, Ijichi M, Kaneko J, Hasegawa K, Kokudo N. Fluorescent cholangiography illuminating the biliary tree during laparoscopic cholecystectomy. *Br J Surg*. 2010 Sep;97(9):1369-77. PubMed PMID: 20623766. Epub 2010/07/14. eng.
33. Aoki T, Murakami M, Yasuda D, Shimizu Y, Kusano T, Matsuda K, et al. Intraoperative fluorescent imaging using indocyanine green for liver mapping and cholangiography. *J Hepatobiliary Pancreat Sci*. 2010 Sep;17(5):590-4. PubMed PMID: 19844652. Epub 2009/10/22. eng.
34. Verbeek FP, Schaafsma BE, Tummers QR, van der Vorst JR, van der Made WJ, Baeten CI, et al. Optimization of near-infrared fluorescence cholangiography for open and laparoscopic surgery. *Surg Endosc*. 2014 Apr;28(4):1076-82. PubMed PMID: 24232054. Pubmed Central PMCID: 4021038.
35. Schols RM, Bouvy ND, van Dam RM, Masclee AA, Dejong CH, Stassen LP. Combined vascular and biliary fluorescence imaging in laparoscopic cholecystectomy. *Surgical endoscopy*. 2013 Dec;27(12):4511-7. PubMed PMID: 23877766.
36. Ashitate Y, Stockdale A, Choi HS, Laurence RG, Frangioni JV. Real-time simultaneous near-infrared fluorescence imaging of bile duct and arterial anatomy. *The Journal of surgical research*. 2012 Jul;176(1):7-13. PubMed PMID: 21816414. Pubmed Central PMCID: 3212656.
37. Mitsuhashi N, Kimura F, Shimizu H, Imamaki M, Yoshidome H, Ohtsuka M, et al. Usefulness of intraoperative fluorescence imaging to evaluate local anatomy in hepatobiliary surgery. *J Hepatobiliary Pancreat Surg*. 2008;15(5):508-14. PubMed PMID: 18836805. Epub 2008/10/07. eng.
38. Schols RM, Bouvy ND, Masclee AA, van Dam RM, Dejong CH, Stassen LP. Fluorescence cholangiography during laparoscopic cholecystectomy: a feasibility study on early biliary tract delineation. *Surgical endoscopy*. 2013 May;27(5):1530-6. PubMed PMID: 23076461.
39. Clavien PA, Barkun J, de Oliveira ML, Vauthey JN, Dindo D, Schulick RD, et al. The Clavien-Dindo classification of surgical complications: five-year experience. *Annals of surgery*. 2009 Aug;250(2):187-96. PubMed PMID: 19638912.
40. Benya R, Quintana J, Brundage B. Adverse reactions to indocyanine green: a case report and a review of the literature. *Catheterization and cardiovascular diagnosis*. 1989 Aug;17(4):231-3. PubMed PMID: 2670244.
41. Bjerregaard J, Pandia MP, Jaffe RA. Occurrence of severe hypotension after indocyanine green injection during the intraoperative period. A & A case reports. 2013 Oct;1(1):26-30. PubMed PMID: 25611609.
42. Wolf S, Arend O, Schulte K, Reim M. Severe anaphylactic reaction after indocyanine green fluorescence angiography. *American journal of ophthalmology*. 1992 Nov 15;114(5):638-9. PubMed PMID: 1279977.
43. Hope-Ross M, Yannuzzi LA, Gragoudas ES, Guyer DR, Slakter JS, Sorenson JA, et al. Adverse reactions due to indocyanine green. *Ophthalmology*. 1994 Mar;101(3):529-33. PubMed PMID: 8127574.
44. World Medical A. World Medical Association Declaration of Helsinki: ethical principles for medical research involving human subjects. *Jama*. 2013 Nov 27;310(20):2191-4. PubMed PMID: 24141714.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



- Window of CVS between cystic artery, cystic duct and gallbladder
- Window of CVS between cystic artery, gallbladder and liver
- ==== Gallbladder bed where detached from liver



- Window of CVS between cystic artery, cystic duct and gallbladder
- Window of CVS between cystic artery, gallbladder and liver
- ==== Gallbladder bed where detached form liver

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure 2a – Flow chart of study procedures: NIRF laparoscopic cholecystectomy (NIRF-LC) group

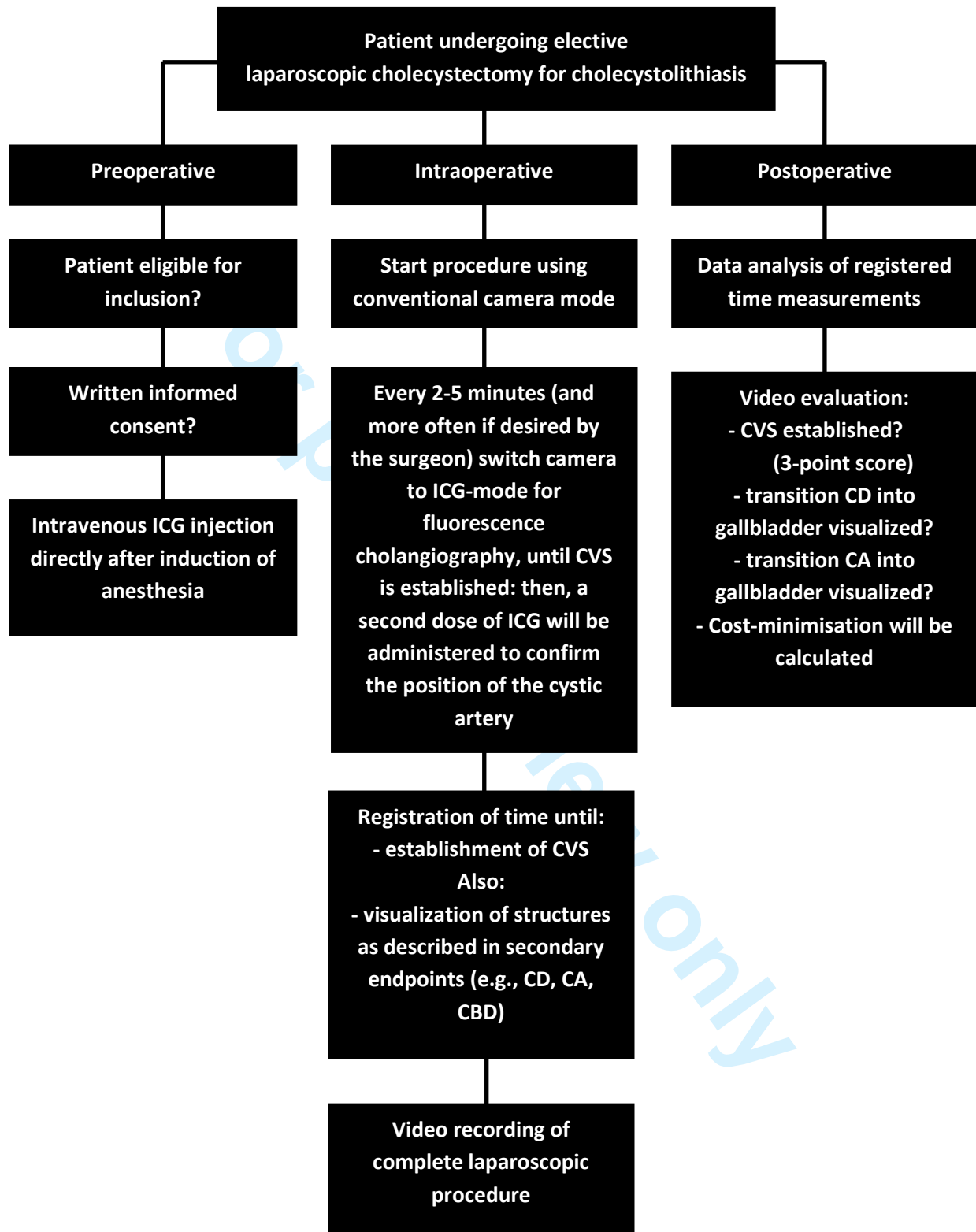
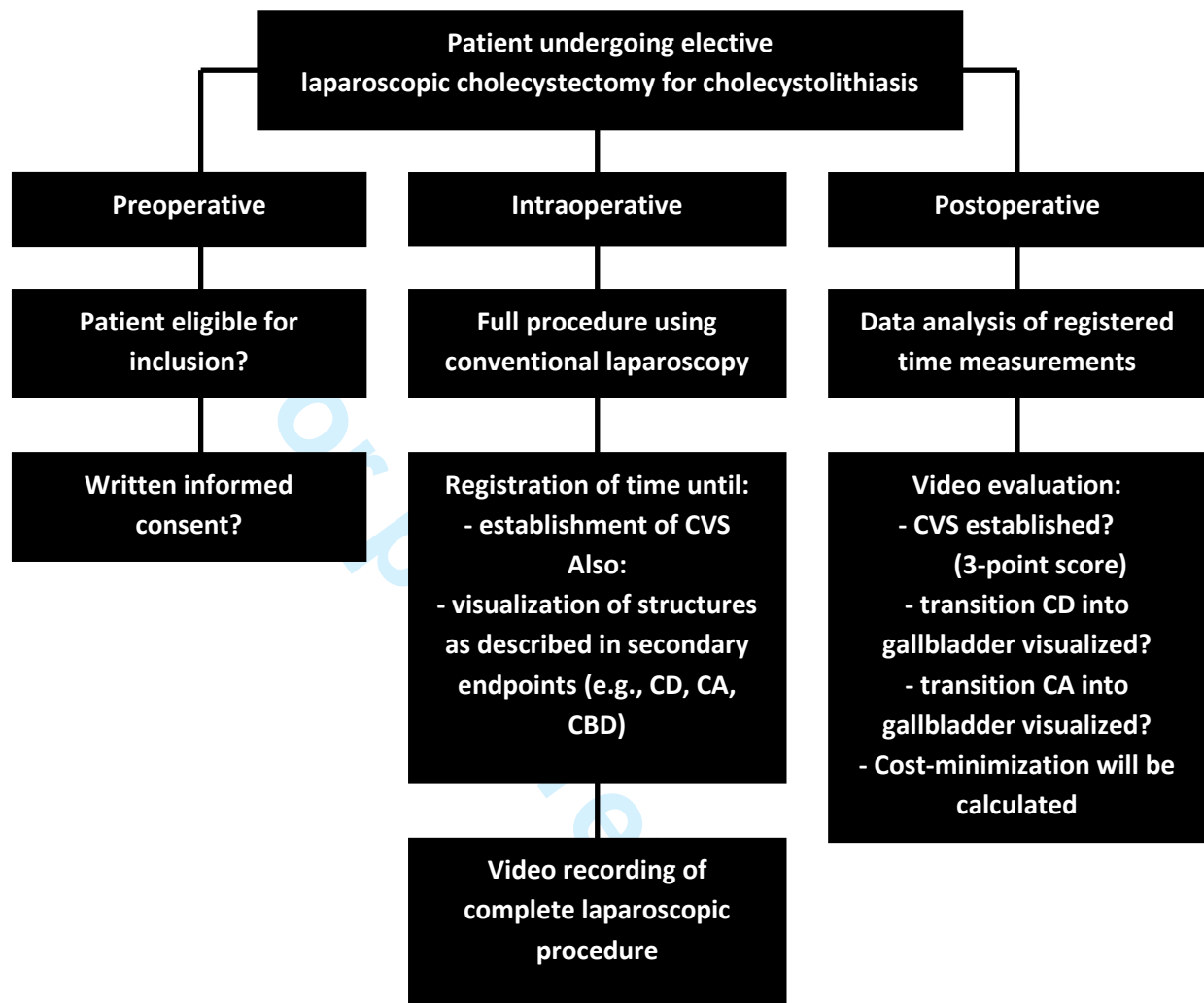
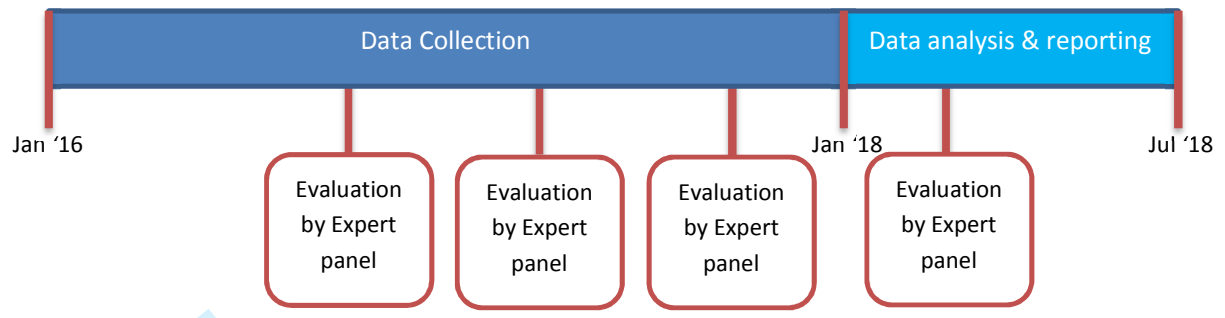


Figure 2b – Flow chart of study procedures: conventional laparoscopic cholecystectomy (CLC) group



1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure 3: Study timeline



For peer review only



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	___ 1 ___
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	___ 1 ___
	2b	All items from the World Health Organization Trial Registration Data Set	_____
Protocol version	3	Date and version identifier	___ 14 ___
Funding	4	Sources and types of financial, material, and other support	___ 13 ___
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	___ 13 ___
	5b	Name and contact information for the trial sponsor	___ 13 ___
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	___ 13 ___
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	___ 13 ___

1
2
3 **Introduction**
4

5	Background and	6a	Description of research question and justification for undertaking the trial, including summary of relevant	___ 4 and 5 ___
6	rationale		studies (published and unpublished) examining benefits and harms for each intervention	
7				
8		6b	Explanation for choice of comparators	___ 5 ___
9				
10	Objectives	7	Specific objectives or hypotheses	___ 6 ___
11				
12	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group),	
13			allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	___ 6 ___
14				

15
16 **Methods: Participants, interventions, and outcomes**
17

18	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will	___ 6 ___
19			be collected. Reference to where list of study sites can be obtained	
20				
21	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and	___ 7 ___
22			individuals who will perform the interventions (eg, surgeons, psychotherapists)	
23				
24	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be	___ 7 ___
25			administered	
26				
27		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose	___ not applicable ___
28			change in response to harms, participant request, or improving/worsening disease)	
29				
30		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence	___ not applicable ___
31			(eg, drug tablet return, laboratory tests)	
32				
33		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	___ not applicable ___
34				
35	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood	___ 7-9 ___
36			pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg,	
37			median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen	
38			efficacy and harm outcomes is strongly recommended	
39				
40	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for	___ 10 ___
41			participants. A schematic diagram is highly recommended (see Figure)	
42				
43				
44				
45				

1				
2				
3	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	_____6_____
4				
5				
6	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	_____10_____
7				

8 **Methods: Assignment of interventions (for controlled trials)**

9 Allocation:

10				
11				
12	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	_____7_____
13				
14				
15				
16				
17				
18	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	_____7_____
19				
20				
21				
22	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	_____7_____
23				
24				
25	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	_____7_____
26				
27				
28		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	__not applicable__
29				
30				
31				

32 **Methods: Data collection, management, and analysis**

33				
34	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	_____9_____
35				
36				
37				
38				
39		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	__not applicable__
40				
41				
42				
43				
44				
45				

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

Data management 19 Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol _____10_____

Statistical methods 20a Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol _____10-11_____

20b Methods for any additional analyses (eg, subgroup and adjusted analyses) _____10-11_____

20c Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation) _____10-11_____

Methods: Monitoring

Data monitoring 21a Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed _____11_____

21b Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial _____11_____

Harms 22 Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct _____11_____

Auditing 23 Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor _____11_____

Ethics and dissemination

Research ethics approval 24 Plans for seeking research ethics committee/institutional review board (REC/IRB) approval _____12_____

Protocol amendments 25 Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators) _____12_____

1				
2				
3	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	_____13_____
4				
5				
6		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	Not applicable
7				
8				
9	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	_____10_____
10				
11				
12	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	_____13_____
13				
14				
15	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	_____10_____
16				
17				
18	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	_____12_____
19				
20				
21	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	_____12_____
22				
23				
24				
25				
26		31b	Authorship eligibility guidelines and any intended use of professional writers	_____12_____
27				
28		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	_Not applicable
29				
30	Appendices			
31				
32	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	_____
33				
34				
35	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	_____
36				
37				

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

BMJ Open

Near-infrared Fluorescence Cholangiography assisted Laparoscopic Cholecystectomy versus Conventional Laparoscopic Cholecystectomy (FALCON trial): study protocol for a multicenter randomized controlled trial.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-011668.R1
Article Type:	Protocol
Date Submitted by the Author:	29-Jun-2016
Complete List of Authors:	van den Bos, Jacqueline; Maastricht University Medical Center, Department of Surgery Schols, Rutger; Maastricht University Medical Center, Department of Surgery; Maastricht University Medical Center, Department of Plastic, Reconstructive and Hand Surgery Luyer, Misha; Catharina Ziekenhuis, Department of Surgery van Dam, Ronald; Maastricht University Medical Center, Department of Surgery Vahrmeijer, Alexander; Leids Universitair Medisch Centrum, Department of Surgery Meijerink, Wilhelmus; VU University Medical Center, Department of Surgery Gobardhan, Paul ; Amphia Hospital, Department of Surgery van Dam, Gooitzen; University Medical Center Groningen, Department of Surgery Bouvy, Nicole; Maastricht University Medical Center, Department of Surgery Stassen, Laurents; Maastricht University Medical Center, Department of Surgery
Primary Subject Heading:	Surgery
Secondary Subject Heading:	Gastroenterology and hepatology, Research methods
Keywords:	Near-Infrared Fluorescence Imaging (NIRF), Indocyanine Green (ICG), Laparoscopic Cholecystectomy (LC), Critical View of Safety (CVS), Bile duct Injury

SCHOLARONE™
Manuscripts

1
2
3 **Near-infrared Fluorescence Cholangiography assisted Laparoscopic Cholecystectomy versus**
4 **Conventional Laparoscopic Cholecystectomy (FALCON trial): study protocol for a multicenter**
5 **randomized controlled trial.**
6
7
8

9 Jacqueline van den Bos¹, Rutger M. Schols^{1,2}, Misha D. Luyer³, Ronald M. van Dam¹, Alexander L.
10 Vahrmeijer⁴, Wilhelmus J. Meijerink⁵, Paul D. Gobardhan⁶, Gooitzen M. van Dam⁷, Nicole D. Bouvy¹,
11 Laurents P.S. Stassen¹
12
13

14
15
16 ¹ Department of Surgery, Maastricht University Medical Center, Maastricht, The Netherlands

17 ² Department of Plastic, Reconstructive and Hand Surgery, Maastricht University Medical Center,
18 Maastricht, The Netherlands

19 ³ Department of Surgery, Catharina Ziekenhuis, Eindhoven, The Netherlands

20 ⁴ Department of Surgery, Leids Universitair Medisch Centrum, Leiden, The Netherlands

21 ⁵ Department of Surgery, VU University Medical Center, Amsterdam, The Netherlands

22 ⁶ Department of Surgery, Amphia Hospital, Breda, The Netherlands

23 ⁷ Department of Surgery, University Medical Center Groningen, Groningen, The Netherlands
24
25
26
27
28
29

30 **Corresponding Author:**

31 Jacqueline van den Bos, MD

32 Department of Surgery

33 Maastricht University Medical Center

34 Email: Jacqueline.vanden.bos@mumc.nl

35 Phone number: 0031613206302
36
37
38
39
40
41

42 **Trial registration**

43 ClinicalTrials.gov, number NL47718.068.14

44 Trial number ID NCT02558556
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ABSTRACT

Introduction:

Misidentification of the extra-hepatic bile duct anatomy during laparoscopic cholecystectomy is the main cause of bile duct injury. Easier intraoperative recognition of the biliary anatomy may be accomplished by using near-infrared fluorescence (NIRF) imaging after intravenous injection of indocyanine green (ICG). Promising results were reported for successful intraoperative identification of the extra-hepatic bile ducts, compared to conventional laparoscopic imaging. However, routine use of ICG fluorescence laparoscopy has not gained wide clinical acceptance yet due to a lack of high quality clinical data. Therefore, this multicenter randomized clinical study was designed to assess the potential added value of the NIRF-imaging technique during laparoscopic cholecystectomy.

Methods and Analysis:

A multi-center, randomized controlled clinical trial will be carried out to assess the use of NIRF imaging in laparoscopic cholecystectomy. In total 308 patients scheduled for an elective laparoscopic cholecystectomy will be included. These patients will be randomized into a NIRF-imaging laparoscopic cholecystectomy (NIRF-LC) group and conventional laparoscopic cholecystectomy (CLC) group. The primary endpoint is time to 'Critical View of Safety' (CVS). Secondary endpoints are: "time to identification of the cystic duct (CD), of the common bile duct, the transition of CD in the gallbladder and the transition of the cystic artery in the gallbladder, these all during dissection of CVS"; "total surgical time"; "intraoperative bile leakage from the gallbladder or cystic duct"; "bile duct injury"; "postoperative length of stay", "complications due to the injected ICG"; "conversion to open cholecystectomy"; "postoperative complications (until 90 days postoperatively)" and "cost-minimization".

Ethics and dissemination

The protocol has been approved by the Medical Ethical Committee of Maastricht University Medical Center / Maastricht University; the trial has been registered at ClinicalTrials.gov. The findings of this study will be disseminated widely through peer-reviewed publications and conference presentations.

Article summary:

Strengths and limitations of this study:

- Strength: this study is a randomized controlled multicenter trial.
- Strength: the study addresses a clinically important topic: safety of laparoscopic cholecystectomy

- Strength: operative endpoints will be assessed in a dual manner: preoperatively, but also by an expert panel postoperatively based on video analysis.
- Limitation: a more preferable primary endpoint would have been 'bile duct injury'; however, this is not achievable since very large sample sizes would be required for sufficient power.

Keywords

Near-Infrared Fluorescence Imaging (NIRF), Indocyanine Green (ICG), Laparoscopic Cholecystectomy (LC), Critical View of Safety (CVS)

For peer review only

INTRODUCTION

Laparoscopic cholecystectomy (LC) is the most commonly performed laparoscopic procedure in The Netherlands, with almost 23 000 procedures annually (1). Bile duct injury during this procedure is rare with an incidence of 0.3-0.7% (2-5). However, when bile duct injury or vascular injury is present, it results in significant clinical relevant morbidity and mortality, lower quality of life and extra costs (6-10). Bile duct injury will generally lead to bile leakage and abdominal sepsis and can lead to bile duct obstruction with obstructive jaundice eventually leading to orthotopic liver transplantation, or both (7). Late recognition and management of bile duct injuries can lead to severe deterioration in the patient's condition, progressing to biliary peritonitis, sepsis, multi-organ failure and eventually death. Therefore, early recognition and treatment is important (7, 11). Misidentification of the extra-hepatic bile duct anatomy during laparoscopic cholecystectomy is the main cause of bile duct injury (12).

To reduce this risk of bile duct injury, the Critical View of Safety (CVS) technique was introduced by Strasberg in 1995 (13). A recent Society of American Gastrointestinal and Endoscopic Surgeons (SAGES) expert Delphi consensus deemed the Critical View of Safety as being the most important factor for overall safety (14), in accordance with the current Dutch Surgical Society Guideline for laparoscopic cholecystectomy (15).

To establish CVS, two observation windows need to be created: one window between the cystic artery, cystic duct and gallbladder, another between the cystic artery, gallbladder and liver (see figure 1a and 1b). The CVS technique is especially aimed at mobilizing the gallbladder neck from the liver, in order to obtain a circumferential identification of the transition of the cystic duct (CD) into the gallbladder. The CVS technique is the gold standard nowadays to perform a safe cholecystectomy with identification of the vital structures such as the CD (16-20). According to a Dutch nationwide survey in 2011, 97.6% of the Dutch surgeons use the CVS technique (21). However, according to a recent study by Nijssen et al, only in 10% of the laparoscopic cholecystectomies CVS is actually established (22). This could mean that it is more difficult to establish CVS than thought before, thus resulting in more bile duct injury than necessary.

Nowadays, there are several imaging techniques to identify the relevant anatomical structures easier, such as intraoperative cholangiography (IOC) and near-infrared fluorescence (NIRF) imaging. IOC has been advised to reduce the risk of bile duct injury (2, 16, 23). However, this radiological imaging of the biliary tree is not adopted worldwide in standard laparoscopic cholecystectomy, as the procedure takes time, radiation exposure is involved and additional equipment and manpower for the procedure are required. Moreover, the interpretation of an intraoperative cholangiogram with potentially distorted anatomy clearly depends on the expertise of the surgeon. Therefore, worldwide consensus about implementation of intraoperative cholangiography is still lacking (24).

1
2
3 Near-infrared fluorescence (NIRF) imaging after intravenous injection of indocyanine green (ICG) is a
4 promising new technique for easier intraoperative recognition of the biliary anatomy (25, 26). ICG is
5 cleared quickly and exclusively by the liver after intravenous administration and has a very well-
6 known pharmacokinetic and safety profile. Neither radiological support nor additional intervention
7 such as opening the cystic or common bile duct is required, making it an easy, real-time and flexible
8 technique to use during surgery. By real-time identification of the vital structures being
9 the cystic duct and common bile duct within the already adapted CVS technique, it may improve the
10 outcome of laparoscopic cholecystectomy (16, 27, 28). NIRF imaging using ICG has been evaluated in
11 various animal models (29-31) and in open, laparoscopic and single-incision laparoscopic
12 cholecystectomies (30, 32-34). Promising results were presented for safe and successful
13 intraoperative identification of the common bile duct and the cystic duct, compared to conventional
14 laparoscopic imaging. Furthermore, a clinical study (n=30) showed that the NIRF imaging technique
15 provided significantly earlier identification of the extra-hepatic bile ducts during the CVS dissection
16 phase: up to 10 minutes earlier identification of the cystic duct and common bile duct could be
17 obtained (35). Real-time imaging of the hepatic and cystic arteries was also achieved when given a
18 repeated dose of ICG was given (35-37).

19 Despite these encouraging results derived from clinical feasibility studies, the routine use of ICG
20 fluorescence laparoscopy has not gained wide clinical acceptance yet due to a lack of high quality
21 clinical data. Therefore, a multicenter randomized clinical study was designed to assess the added
22 value of the NIRF imaging technique during laparoscopic cholecystectomy. The ultimate goal of this
23 technique is to perform a safer procedure leading to a reduction in vascular and bile duct injuries.
24 The primary objective of the present study is to evaluate whether earlier establishment of Critical
25 View of Safety can be obtained using the NIRF imaging technique during laparoscopic
26 cholecystectomy.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

METHODS AND ANALYSIS

Primary aim: The main objective of the study is to evaluate whether earlier establishment of the Critical View of Safety can be obtained using the NIRF imaging technique during elective laparoscopic cholecystectomy, by applying NIRF imaging as an adjunct to conventional laparoscopic imaging versus conventional laparoscopic imaging alone.

Hypothesis: It is hypothesized that standard application of NIRF imaging during laparoscopic cholecystectomy will result in establishment of Critical View of Safety at least 5 minutes earlier and with more certainty regarding visualization of biliary anatomy when compared to conventional laparoscopic imaging alone.

Study design: A multicenter randomized controlled clinical trial, with two randomization arms: a NIRF-LC (laparoscopic cholecystectomy) group: this group of patients will undergo NIRF cholangiography assisted laparoscopic cholecystectomy; a CLC (conventional laparoscopic cholecystectomy) control group: this group will undergo conventional laparoscopic cholecystectomy.

Setting: This study will initially take place in five large teaching hospitals in the Netherlands, of which three are Academic Medical Centers. After the study in these centers has started, international centers will be included.

Participants: In the FALCON trial, a total of 308 patients will be included at the Departments of Surgery of the participating centers. The centers will be supported by the trial coordinator (JvdB) and by the Clinical Trial Center Maastricht (see also under 'data monitoring'). Further no additional strategies for achieving adequate participant enrolment to reach target sample size are considered necessary, as a laparoscopic cholecystectomy is a commonly performed surgery.

Sample size calculation: The number of 308 participants is based on pilot data (35, 38) in which identification of the cystic duct and common bile duct was established respectively 11 and 10 minutes earlier using fluorescence laparoscopic imaging compared to conventional laparoscopic imaging. A sample size of 131 for each randomization arm has been calculated to detect a reduction in 'time to establishment of CVS' of at least 5 minutes with a power of 80% and an α of 0.05 (95%-confidence). Assuming a withdrawal rate of 15% (due to usual reasons for drop-out in combination with technical difficulties concerning the video recordings) during the trial, a total of 308 ($n = 2 \times 131 + 15\%$) will be required

1
2
3 All patients (age >18 years) scheduled for an elective laparoscopic cholecystectomy and meeting the
4 inclusion criteria will be suitable for inclusion.
5
6

7
8 **Inclusion criteria:** Male and female patients, aged 18 years and above, scheduled for elective
9 laparoscopic cholecystectomy, with normal liver and renal function, no hypersensitivity for iodine or
10 ICG, able to understand the nature of the study procedures, willing to participate and give written
11 informed consent, Physical Status Classification of ASA I / ASA II.
12
13

14
15
16 **Exclusion criteria:** Age < 18 years, liver or renal insufficiency, known iodine or ICG hypersensitivity,
17 pregnancy or breastfeeding, not able to understand the nature of the study procedure, and a
18 Physical Status Classification of ASA III and above.
19

20
21 Subjects can leave the study at any time for any reason if they wish to do so without any
22 consequences. The investigator can decide to withdraw a subject from the study for urgent medical
23 reasons. Conversion to open cholecystectomy, before CVS is established, is a reason for study
24 withdrawal. Furthermore, if the video recordings of the laparoscopic procedure were not successful,
25 the procedure will be unsuitable for analysis of all predefined endpoints. There are no other specific
26 criteria for withdrawal. In case of withdrawal, individual subjects will be replaced to achieve the
27 calculated sample size. All inclusions will be analyzed on an intention-to-treat basis.
28
29
30
31

32
33
34 **Randomization:** All included patients will be randomized centrally using block randomization with
35 sealed envelopes and stratification per participating center. After signing the informed consent form,
36 the next sealed envelope in line will be opened by the coordinating investigator. There will be no
37 blinding of patients or surgeons.
38
39

40
41
42 **Intervention:** The CLC group will undergo conventional laparoscopic cholecystectomy (CLC). The
43 NIRF-LC group will undergo near-infrared fluorescence cholangiography using a laparoscopic NIRF
44 imaging system (Karl Storz GmbH, Tuttlingen, Germany). To obtain fluorescence imaging of the biliary
45 tract and cystic artery a NIRF contrast agent will administered. Directly after induction of anesthesia
46 2,5 mg of Indocyanine Green (ICG) (2.5mg/ml) (Diagnostic Green, Aschheim, Germany) will be given
47 intravenously. A repeat injection of 2,5 mg will be administered for concomitant arterial and biliary
48 fluorescence delineation after achievement of CVS.
49
50
51
52

53
54
55 **Outcome measures:** The primary outcome measure is time to identification of CVS. This endpoint is
56 used as a surrogate for bile duct identification without surgical exploration. CVS is established if the
57 following three criteria are met:
58
59
60

1. Mobilization of the gallbladder infundibulum for 1/3rd of the length of the gallbladder from the liver bed
2. Circumferential exposure of the cystic duct and confirmation of its transition in the gallbladder
3. Circumferential exposure of the cystic artery and confirmation of its transition in the gallbladder

Secondary outcome measures are listed in table 1:

Table1: Secondary outcome measures

Outcome measure	Definition
Time until identification of the cystic duct (CD)	Time in minutes
Time until identification of common bile duct	Time in minutes
Time until identification of the transition of CD into the gallbladder	Time in minutes
Time until identification of the transition of the cystic artery (CA) into the gallbladder	Time in minutes
Total Surgical time	Time in minutes from skin incision to the end of skin closure
Visualization of CVS and visualization of the transition of the cystic duct and cystic artery into the gallbladder	Time in minutes
Intraoperative bile leakage from the gallbladder or cystic duct	Visualized bile leakage or spill during surgery.
Bile duct injury	Any injury to the main biliary tree; will be classified using the Strasberg Classification System (13) Type A: Injury to the cystic duct or from minor hepatic ducts draining the liver bed. Type B: Occlusion of biliary tree, commonly aberrant right hepatic duct(s). Type C: Transection without ligation of aberrant right hepatic duct(s). Type D: Lateral injury to a major bile duct. Type E (1-5) - Injury to the main hepatic duct; classified

	according to level of injury.
Postoperative length of hospital stay	Duration from date of admission (included) to date of discharge (included)
Complications due to injected contrast agent	Any complication potentially caused by injected ICG
Conversion to open cholecystectomy	Laparoscopic approach converted to an open operation, or in which an abdominal incision to assist the procedure was needed.
90 day all-cause postoperative complications	Any complication, up to 90 days, described by the Clavien-Dindo classification of postoperative complications (39). Specific attention to bile leak, CBD injury, wound infection, intra-abdominal collection, pancreatitis, CBD stones, ICU/HDU readmissions; prospectively assessed during admission; thereafter immediately to be reported to study coordinator
Cost Minimization	Difference in costs (in Euros) between conventional LC and NIRF LC

Data collection: Intra-operatively a Case Report Form will be filled in. A structure is scored as 'identified' if its localization is confirmed with great certainty by the experienced surgeon. The attending surgeon will be consulted to decide whether he believes CVS is established.

In accordance with regular care, all laparoscopic surgical procedures will be digitally recorded. An expert panel, consisting of three highly experienced laparoscopic surgeons, will analyze the data using video recordings: time until identification of the cystic duct and of its transition into the gallbladder; time until identification of the cystic artery and its transition into the gallbladder during dissection of CVS; when and whether CVS is established. Eventually, all five observers (the surgeon or surgical trainee, PhD researcher or local researcher during the operation and the three postoperative observers) will individually assess the above mentioned endpoints. Mean values of these five assessments will be used for each of the endpoints. All clinical data are prospectively registered in a database.

OsiriX 5.5.1. Imaging Software (Pixmeo, Geneva, Switzerland) will be used for objective assessment of the degree of fluorescence illumination in the extra-hepatic bile ducts. The fluorescence images will be analyzed by determining target-to-background ratio (TBR). TBR is defined as the mean fluorescence intensity (FI) of two point regions of interest (ROIs) in the target (i.e. CBD, CD or CA) minus the mean fluorescence intensity of two background (BG) ROIs in the liver hilum, divided by the

1
2
3 mean fluorescence intensity of the two background ROIs in the liver hilum; in formula: $TBR = (FI \text{ of target} - FI \text{ of BG}) / FI \text{ of BG}$.

4
5
6 The costs made in the two groups will be compared, resulting in a cost-minimization analysis. This
7
8 analysis will include the costs made by using the operation theater in terms of fluorescence
9
10 laparoscopy equipment, the fluorescent dye indocyanine green, morbidity, mortality and
11
12 postoperative hospital stay.

13 In figure 2a and 2b, a flowchart of the study procedure for both the NIRF-LC group and the C group is
14
15 presented.

16
17
18 **Data validation and management:** Patient data will be anonymously registered and analyzed
19
20 comparing NIRF-LC with CLC. Only the investigators will have access to the patient data after
21
22 informed consent is given.

23
24 **Study timeline:** In figure 3, the study timeline is presented. From January 2016 until January 2018
25
26 data will be collected; in September 2016, March 2017, September 2017 and March 2018 the expert
27
28 panel will evaluate the video material for endpoints; around July 2018 data analysis is expected to be
29
30 complete.

31
32 Participants will be informed about the study during their preoperative visit to the outpatient clinic.
33
34 Thereafter, patients have at least a week to consider participation in the study. During their elective
35
36 surgery the Near-infrared fluorescence laparoscopy will be used if the patient is randomized in the
37
38 NIRF-LC group. After surgery a 90day follow-up period follows after which possible complications will
39
40 be evaluated.

41
42 **Statistical analysis:** For statistical analysis, the most recent version of SPSS (IBM, Armonk, NY, USA)
43
44 will be used. Baseline characteristics such as patient clinical history (including previous surgery), age,
45
46 Body Mass Index, indication for the procedure will be recorded and compared between the
47
48 intervention (NIRF-LC) and control groups (CLC). Categorical baseline variables will be compared
49
50 using a Chi-Square test, while numerical variables will be compared by the independent sample T-
51
52 test or the Mann-Whitney U test, depending on the distribution.

53
54 The primary outcome measure, namely time until establishment of CVS will be given in minutes, with
55
56 a mean and standard deviation. A linear regression analysis will be applied for determination of
57
58 possible significant differences between the time measurements, therewith comparing the NIRF-LC
59
60 group to the CLC group. This will be conducted to determine whether a reduction in time can in fact
be achieved using NIRF imaging technique compared to CLC.

1
2
3 All numerical secondary outcomes such as time until visualization of cystic duct and cystic artery will
4 be analyzed using a linear regression model. In case of missing values, a Cox regression analysis will
5 be performed. Missing values can occur especially in the postoperative analysis by the expert panel,
6 when the panel concludes that, contrary to the opinion of the operating team, actually no CVS was
7 obtained or that the transition of the cystic duct or cystic artery in the gallbladder had actually not
8 been properly identified. All categorical secondary outcomes such as bile duct injury and conversion
9 to open surgery will be analyzed with a logistic regression model.
10
11

12
13
14
15
16 **Data monitoring:** An independent data monitoring committee will monitor the study procedures and
17 data management. This team consists of independent and certified persons from the Clinical Trial
18 Center Maastricht (CTCM). No interim analysis will be performed. Adverse events and Serious
19 adverse events will be centrally reported in the online database toetsingonline.nl
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ETHICS AND DISSEMINATION

The proposed study is approved by the Medical Ethics committee of Maastricht University Medical Center / Maastricht University. Possible protocol amendments will be send to the Medical Ethics committee of Maastricht University Medical Center / Maastricht University. After approval the changes will be communicated in the registration on clinicaltrials.gov and to the- relevant parties.

1. Is there scientific and clinical value in conducting this study?

Despite the promising results from previous feasibility studies, a lack of solid clinical data precludes wide clinical acceptance of the routine use of ICG fluorescence laparoscopy. This multicenter randomized clinical study can provide such data.

2. Risk-benefit assessment

There are no additional risks accompanied by the laparoscopic NIRF imaging systems, compared to conventional laparoscopic imaging.

The gifts of ICG are the only additional (minimally) invasive interventions for the patient. ICG preparations can, in very rare cases, cause nausea and anaphylactoid or anaphylactic reactions (<1 : 10 000). Patients with terminal renal insufficiency seem to be more prone for such an anaphylactic reaction. Estimated death due to anaphylaxis is reported as less than 1 per 330 000 (40-43). Symptoms Include; anxiety, feeling of warmth, pruritus, urticaria, acceleration of heart rate, decrease in blood pressure, shortness of breath, bronchospasm, flushing, cardiac arrest, laryngospasm, facial edema, nausea. Together with the anaphylactoid reaction hypereosinophilia may occur. If, contrary to expectations, symptoms of anaphylaxis occur, the following measures will be taken: stop further administration of ICG, leave injection catheter or cannula in the vein, keep airways free, inject 100-300 mg hydrocortisone or a similar preparation by rapid intravenous injection, substitute volume with isotonic electrolyte solution, give oxygen and monitor the circulation, slowly administer antihistamines intravenously. In case of an anaphylactic shock, the patient will be placed in recumbent position with legs raised, volume will be rapidly substituted with e.g. isotonic electrolyte solution (pressure infusion), plasma expanders. And 0.1-0.5 mg adrenaline will be administered immediately diluted to 10 ml with 0.9% saline intravenously. If necessary, this will be repeated after 10 minutes

The benefit for the patients in the NIRF-LC group will possibly consist of a shorter period to establishment of CVS and the clearer identification of CVS and its anatomical components.

3. Do the individuals give informed consent?

To each patient that is a potential candidate for inclusion thorough patient information will be given. From each subject that is willing to participate written informed consent will be obtained by one of the investigators. The ethical issues of the trial will be thoroughly explained and discussed, both verbally and in writing. The basic principles laid down in the Declaration of Helsinki (44) will be followed throughout the execution of the trial. Accordingly, each participant has the right to withdraw from the study at any given moment without having to explain this decision in any way.

Dissemination: The findings of this study will be disseminated widely through peer-reviewed publications and conference presentations. Participants have an option in their informed consent form to be informed of the study results after the study. These patients will receive a short communication written on patient-level. There are no publication restrictions for this trial.

Contributors: JvbB, RMS, RMvD, WJHJM, ALV, PDG, MDL, GMvD, NDB, LPSS all made substantial contributions to the conception and design of the study. RMS undertook pilot scoring and provided refinement of outcome measure adjudication methods. JvdB and RMS drafted the manuscript under supervision of LPS. All authors provided critical review and final approval of the present manuscript.

Funding: the RCT will in part be funded by Karl Storz GmbH (Tuttlingen, Germany), who will also provide the fluorescence imaging equipment. Half of the needed ICG will be provided by Diagnostic Green (Aschheim, Germany). The funders will not have authority over any of the study related activities, including data collection, data management, analysis, interpretation of data, writing the report or submission for publication.

Competing interests: none declared

Ethics approval: Ethics approval was given by the Medical Ethical Committee Maastricht University Medical Center / University of Maastricht.

Provenance and peer review: not commissioned; peer reviewed for ethical approval prior to submission.

List of participating sites: Approval is obtained for the following sites: Maastricht University Medical Center+ (MUMC+, Maastricht, The Netherlands), Leiden University Medical Center (LUMC, Leiden, The Netherlands); University Medical Center Groningen (UMCG, Groningen, The Netherlands); Amphia Hospital (Breda, The Netherlands); Catharina Hospital (Eindhoven, The Netherlands). Several

1
2
3 centers outside the Netherlands will be approached after the trial has fully started in the national
4 centers. Maastricht University Center will be the coordinating center. The investigators from
5 Maastricht University Medical Center will manage, analyze and interpret the data primarily.
6
7
8

9
10 **Protocol version:** This manuscript is based on protocol version 5.2, submitted to the Medical Ethical
11 Committee Maastricht University Medical Center/ University of Maastricht on April 7th 2016
12
13

14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For peer review only

REFERENCES

1. Statistiek CBvd. Operaties in het ziekenhuis; soort opname, leeftijd en geslacht, 1995-2010 2010 [updated 05-02-2014]. Available from: <http://statline.cbs.nl/StatWeb/publication/?VW=T&DM=SLNL&PA=80386NED&LA=NL>.
2. Flum DR, Dellinger EP, Cheadle A, Chan L, Koepsell T. Intraoperative cholangiography and risk of common bile duct injury during cholecystectomy. *Jama*. 2003 Apr 2;289(13):1639-44. PubMed PMID: 12672731.
3. Fletcher DR, Hobbs MS, Tan P, Valinsky LJ, Hockey RL, Pikora TJ, et al. Complications of cholecystectomy: risks of the laparoscopic approach and protective effects of operative cholangiography: a population-based study. *Annals of surgery*. 1999 Apr;229(4):449-57. PubMed PMID: 10203075. Pubmed Central PMCID: 1191728.
4. Nuzzo G, Giuliani F, Giovannini I, Ardito F, D'Acapito F, Vellone M, et al. Bile duct injury during laparoscopic cholecystectomy: results of an Italian national survey on 56 591 cholecystectomies. *Archives of surgery*. 2005 Oct;140(10):986-92. PubMed PMID: 16230550.
5. Waage A, Nilsson M. Iatrogenic bile duct injury: a population-based study of 152 776 cholecystectomies in the Swedish Inpatient Registry. *Archives of surgery*. 2006 Dec;141(12):1207-13. PubMed PMID: 17178963.
6. Bobkiewicz A, Krokowicz L, Banasiewicz T, Kosciński T, Borejsza-Wysocki M, Ledwosinski W, et al. Iatrogenic bile duct injury. A significant surgical problem. Assessment of treatment outcomes in the department's own material. *Polski przegląd chirurgiczny*. 2014 Dec;86(12):576-83. PubMed PMID: 25803057.
7. Booij KA, de Reuver PR, Yap K, van Dieren S, van Delden OM, Rauws EA, et al. Morbidity and mortality after minor bile duct injury following laparoscopic cholecystectomy. *Endoscopy*. 2015 Jan;47(1):40-6. PubMed PMID: 25532112.
8. Dolan JP, Diggs BS, Sheppard BC, Hunter JG. Ten-year trend in the national volume of bile duct injuries requiring operative repair. *Surgical endoscopy*. 2005 Jul;19(7):967-73. PubMed PMID: 15920680.
9. Boerma D, Rauws EA, Keulemans YC, Bergman JJ, Obertop H, Huibregtse K, et al. Impaired quality of life 5 years after bile duct injury during laparoscopic cholecystectomy: a prospective analysis. *Annals of surgery*. 2001 Dec;234(6):750-7. PubMed PMID: 11729381. Pubmed Central PMCID: 1422134.
10. Landman MP, Feurer ID, Moore DE, Zaydfudim V, Pinson CW. The long-term effect of bile duct injuries on health-related quality of life: a meta-analysis. *HPB : the official journal of the International Hepato Pancreato Biliary Association*. 2013 Apr;15(4):252-9. PubMed PMID: 23458623. Pubmed Central PMCID: 3608978.
11. Tornqvist B, Stromberg C, Persson G, Nilsson M. Effect of intended intraoperative cholangiography and early detection of bile duct injury on survival after cholecystectomy: population based cohort study. *Bmj*. 2012;345:e6457. PubMed PMID: 23060654. Pubmed Central PMCID: 3469410.
12. Way LW, Stewart L, Gantert W, Liu K, Lee CM, Whang K, et al. Causes and prevention of laparoscopic bile duct injuries: analysis of 252 cases from a human factors and cognitive psychology perspective. *Annals of surgery*. 2003 Apr;237(4):460-9. PubMed PMID: 12677139. Pubmed Central PMCID: 1514483.
13. Strasberg SM, Hertl M, Soper NJ. An analysis of the problem of biliary injury during laparoscopic cholecystectomy. *J Am Coll Surg*. 1995 Jan;180(1):101-25. PubMed PMID: 8000648. Epub 1995/01/01. eng.
14. Pucher PH, Brunt LM, Fanelli RD, Asbun HJ, Aggarwal R. SAGES expert Delphi consensus: critical factors for safe surgical practice in laparoscopic cholecystectomy. *Surgical endoscopy*. 2015 Feb 11. PubMed PMID: 25669635.
15. Lange JF SL. Best practice: De techniek van de laparoscopische cholecystectomie (Critical View of Safety [CVS]; Werkgroep Endoscopische Chirurgie

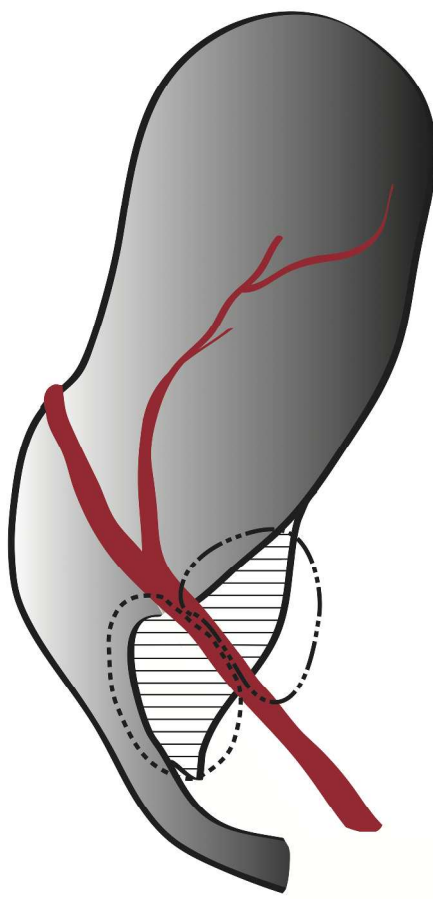
van de Nederlandse Vereniging voor Heelkunde) 2006. Available from:

<http://www.nvgic.nl/richtlijnen/Best%20Practice%20Laparoscopische%20Cholecystectomie.pdf>.

16. Buddingh KT, Nieuwenhuijs VB, van Buuren L, Hulscher JB, de Jong JS, van Dam GM. Intraoperative assessment of biliary anatomy for prevention of bile duct injury: a review of current and future patient safety interventions. *Surg Endosc*. 2011 Aug;25(8):2449-61. PubMed PMID: 21487883. Pubmed Central PMCID: 3142332. Epub 2011/04/14. eng.
17. Dziodzio T, Weiss S, Sucher R, Pratschke J, Biebl M. A 'critical view' on a classical pitfall in laparoscopic cholecystectomy! *International journal of surgery case reports*. 2014;5(12):1218-21. PubMed PMID: 25437680. Pubmed Central PMCID: 4275857.
18. Kaczynski J, Hilton J. A gallbladder with the "hidden cystic duct": A brief overview of various surgical techniques of the Calot's triangle dissection. *Interventional medicine & applied science*. 2015 Mar;7(1):42-5. PubMed PMID: 25838927. Pubmed Central PMCID: 4369147.
19. Strasberg SM, Brunt LM. Rationale and use of the critical view of safety in laparoscopic cholecystectomy. *J Am Coll Surg*. 2010 Jul;211(1):132-8. PubMed PMID: 20610259. Epub 2010/07/09. eng.
20. Vettoretto N, Saronni C, Harbi A, Balestra L, Taglietti L, Giovanetti M. Critical view of safety during laparoscopic cholecystectomy. *JLS : Journal of the Society of Laparoendoscopic Surgeons / Society of Laparoendoscopic Surgeons*. 2011 Jul-Sep;15(3):322-5. PubMed PMID: 21985717. Pubmed Central PMCID: 3183538.
21. Buddingh KT, Hofker HS, ten Cate Hoedemaker HO, van Dam GM, Ploeg RJ, Nieuwenhuijs VB. Safety measures during cholecystectomy: results of a nationwide survey. *World journal of surgery*. 2011 Jun;35(6):1235-41; discussion 42-3. PubMed PMID: 21445669. Pubmed Central PMCID: 3092925.
22. Nijssen MA, Schreinemakers JM, Meyer Z, van der Schelling GP, Crolla RM, Rijken AM. Complications After Laparoscopic Cholecystectomy: A Video Evaluation Study of Whether the Critical View of Safety was Reached. *World journal of surgery*. 2015 Jul;39(7):1798-803. PubMed PMID: 25711485.
23. Tornqvist B, Stromberg C, Akre O, Enochsson L, Nilsson M. Selective intraoperative cholangiography and risk of bile duct injury during cholecystectomy. *The British journal of surgery*. 2015 Jul;102(8):952-8. PubMed PMID: 25919401.
24. Ford JA, Soop M, Du J, Loveday BP, Rodgers M. Systematic review of intraoperative cholangiography in cholecystectomy. *Br J Surg*. 2012 Feb;99(2):160-7. PubMed PMID: 22183717. Epub 2011/12/21. eng.
25. Schols RM, Connell NJ, Stassen LP. Near-infrared fluorescence imaging for real-time intraoperative anatomical guidance in minimally invasive surgery: a systematic review of the literature. *World journal of surgery*. 2015 May;39(5):1069-79. PubMed PMID: 25522896.
26. Verbeek FP, van der Vorst JR, Schaafsma BE, Hutteman M, Bonsing BA, van Leeuwen FW, et al. Image-guided hepatopancreatobiliary surgery using near-infrared fluorescent light. *J Hepatobiliary Pancreat Sci*. 2012 Nov;19(6):626-37. PubMed PMID: 22790312. Pubmed Central PMCID: 3501168.
27. Agarwal BB. Patient safety in laparoscopic cholecystectomy. *Archives of surgery*. 2009 Oct;144(10):979; author reply PubMed PMID: 19841374. Epub 2009/10/21. eng.
28. Pesce A, Piccolo G, La Greca G, Puleo S. Utility of fluorescent cholangiography during laparoscopic cholecystectomy: A systematic review. *World journal of gastroenterology : WJG*. 2015 Jul 7;21(25):7877-83. PubMed PMID: 26167088. Pubmed Central PMCID: 4491975.
29. Figueiredo JL, Siegel C, Nahrendorf M, Weissleder R. Intraoperative near-infrared fluorescent cholangiography (NIRFC) in mouse models of bile duct injury. *World J Surg*. 2010 Feb;34(2):336-43. PubMed PMID: 20033407. Pubmed Central PMCID: 2809822. Epub 2009/12/25. eng.
30. Tagaya N, Shimoda M, Kato M, Nakagawa A, Abe A, Iwasaki Y, et al. Intraoperative exploration of biliary anatomy using fluorescence imaging of indocyanine green in experimental and clinical cholecystectomies. *J Hepatobiliary Pancreat Sci*. 2010 Sep;17(5):595-600. PubMed PMID: 19806299. Epub 2009/10/07. eng.

- 1
2
3 31. Matsui A, Tanaka E, Choi HS, Winer JH, Kianzad V, Gioux S, et al. Real-time intra-operative
4 near-infrared fluorescence identification of the extrahepatic bile ducts using clinically available
5 contrast agents. *Surgery*. 2010 Jul;148(1):87-95. PubMed PMID: 20117813. Pubmed Central PMCID:
6 2886157. Epub 2010/02/02. eng.
- 7 32. Ishizawa T, Bandai Y, Ijichi M, Kaneko J, Hasegawa K, Kokudo N. Fluorescent cholangiography
8 illuminating the biliary tree during laparoscopic cholecystectomy. *Br J Surg*. 2010 Sep;97(9):1369-77.
9 PubMed PMID: 20623766. Epub 2010/07/14. eng.
- 10 33. Aoki T, Murakami M, Yasuda D, Shimizu Y, Kusano T, Matsuda K, et al. Intraoperative
11 fluorescent imaging using indocyanine green for liver mapping and cholangiography. *J Hepatobiliary*
12 *Pancreat Sci*. 2010 Sep;17(5):590-4. PubMed PMID: 19844652. Epub 2009/10/22. eng.
- 13 34. Verbeek FP, Schaafsma BE, Tummers QR, van der Vorst JR, van der Made WJ, Baeten CI, et al.
14 Optimization of near-infrared fluorescence cholangiography for open and laparoscopic surgery. *Surg*
15 *Endosc*. 2014 Apr;28(4):1076-82. PubMed PMID: 24232054. Pubmed Central PMCID: 4021038.
- 16 35. Schols RM, Bouvy ND, van Dam RM, Masclee AA, Dejong CH, Stassen LP. Combined vascular
17 and biliary fluorescence imaging in laparoscopic cholecystectomy. *Surgical endoscopy*. 2013
18 Dec;27(12):4511-7. PubMed PMID: 23877766.
- 19 36. Ashitate Y, Stockdale A, Choi HS, Laurence RG, Frangioni JV. Real-time simultaneous near-
20 infrared fluorescence imaging of bile duct and arterial anatomy. *The Journal of surgical research*.
21 2012 Jul;176(1):7-13. PubMed PMID: 21816414. Pubmed Central PMCID: 3212656.
- 22 37. Mitsuhashi N, Kimura F, Shimizu H, Imamaki M, Yoshidome H, Ohtsuka M, et al. Usefulness of
23 intraoperative fluorescence imaging to evaluate local anatomy in hepatobiliary surgery. *J*
24 *Hepatobiliary Pancreat Surg*. 2008;15(5):508-14. PubMed PMID: 18836805. Epub 2008/10/07. eng.
- 25 38. Schols RM, Bouvy ND, Masclee AA, van Dam RM, Dejong CH, Stassen LP. Fluorescence
26 cholangiography during laparoscopic cholecystectomy: a feasibility study on early biliary tract
27 delineation. *Surgical endoscopy*. 2013 May;27(5):1530-6. PubMed PMID: 23076461.
- 28 39. Clavien PA, Barkun J, de Oliveira ML, Vauthey JN, Dindo D, Schulick RD, et al. The Clavien-
29 Dindo classification of surgical complications: five-year experience. *Annals of surgery*. 2009
30 Aug;250(2):187-96. PubMed PMID: 19638912.
- 31 40. Benya R, Quintana J, Brundage B. Adverse reactions to indocyanine green: a case report and
32 a review of the literature. *Catheterization and cardiovascular diagnosis*. 1989 Aug;17(4):231-3.
33 PubMed PMID: 2670244.
- 34 41. Bjerregaard J, Pandia MP, Jaffe RA. Occurrence of severe hypotension after indocyanine
35 green injection during the intraoperative period. A & A case reports. 2013 Oct;1(1):26-30. PubMed
36 PMID: 25611609.
- 37 42. Wolf S, Arend O, Schulte K, Reim M. Severe anaphylactic reaction after indocyanine green
38 fluorescence angiography. *American journal of ophthalmology*. 1992 Nov 15;114(5):638-9. PubMed
39 PMID: 1279977.
- 40 43. Hope-Ross M, Yannuzzi LA, Gragoudas ES, Guyer DR, Slakter JS, Sorenson JA, et al. Adverse
41 reactions due to indocyanine green. *Ophthalmology*. 1994 Mar;101(3):529-33. PubMed PMID:
42 8127574.
- 43 44. World Medical A. World Medical Association Declaration of Helsinki: ethical principles for
44 medical research involving human subjects. *Jama*. 2013 Nov 27;310(20):2191-4. PubMed PMID:
45 24141714.
- 46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

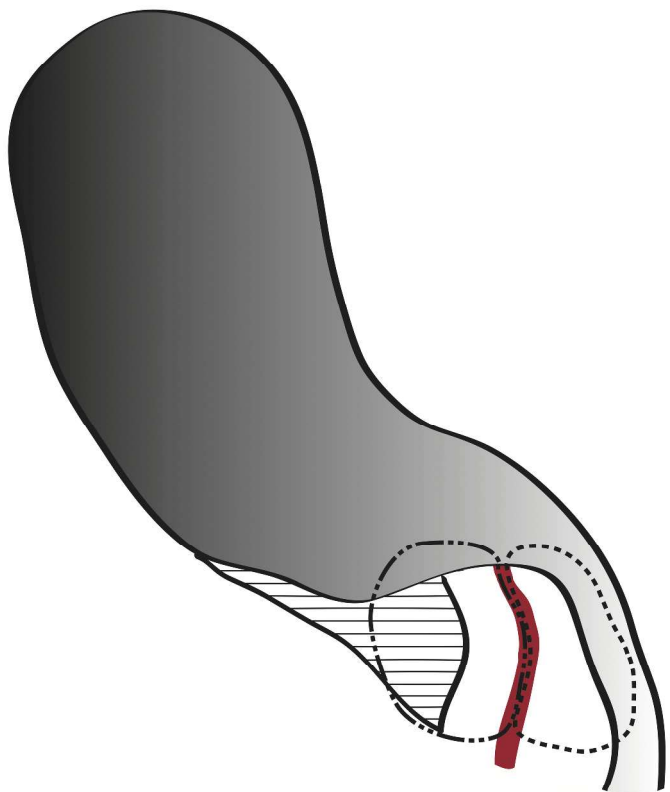


- Window of CVS between cystic artery, cystic duct and gallbladder
- Window of CVS between cystic artery, gallbladder and liver
- ==== Gallbladder bed where detached from liver

CVS Anterior View: two windows are created. One window between the cystic artery, cystic duct and gallbladder, the other between the cystic artery, gallbladder and liver.

Figure 1a
296x419mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



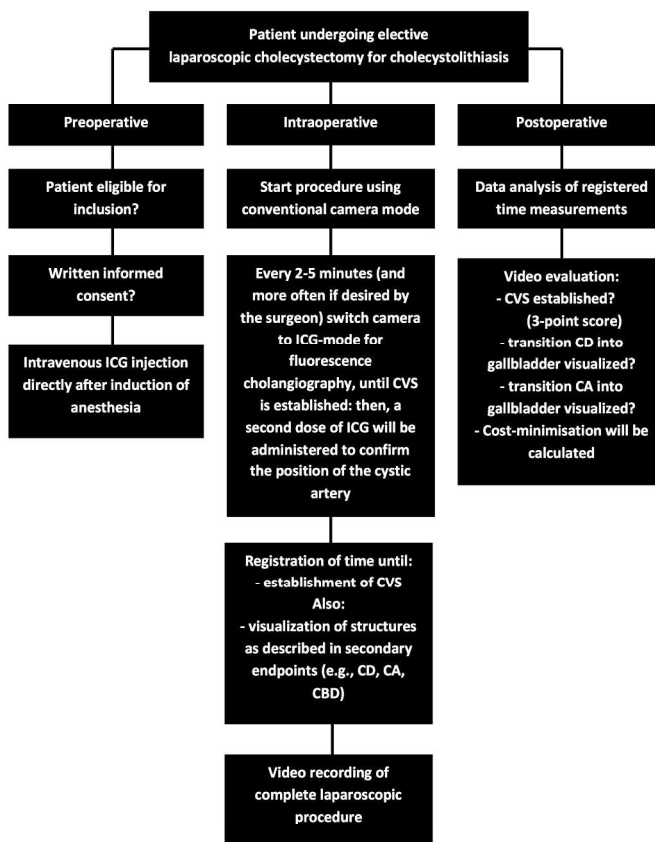
- Window of CVS between cystic artery, cystic duct and gallbladder
- Window of CVS between cystic artery, gallbladder and liver
- ==== Gallbladder bed where detached form liver

CVS Posterior View: two windows are created. One window between the cystic artery, cystic duct and gallbladder, the other between the cystic artery, gallbladder and liver.

Figure 1b

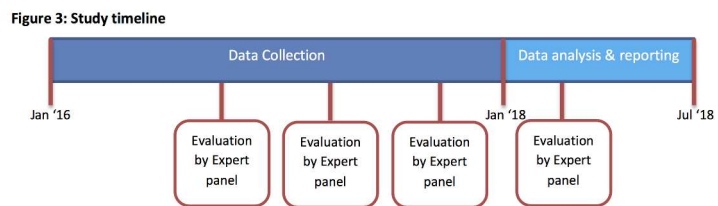
296x419mm (300 x 300 DPI)

Figure 2a – Flow chart of study procedures: NIRF laparoscopic cholecystectomy (NIRF-LC) group



Flow chart of study procedures
Figure 2a and 2b
209x297mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



Study timeline
Figure 3
209x297mm (300 x 300 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	___ 1 ___
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	___ 1 ___
	2b	All items from the World Health Organization Trial Registration Data Set	_____
Protocol version	3	Date and version identifier	___ 14 ___
Funding	4	Sources and types of financial, material, and other support	___ 13 ___
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	___ 13 ___
	5b	Name and contact information for the trial sponsor	___ 13 ___
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	___ 13 ___
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	___ 13 ___ ___ 6 and ___

1
2
3 **Introduction**
4

5	Background and	6a	Description of research question and justification for undertaking the trial, including summary of relevant	___ 4 and 5 ___
6	rationale		studies (published and unpublished) examining benefits and harms for each intervention	
7				
8		6b	Explanation for choice of comparators	___ 5 ___
9				
10	Objectives	7	Specific objectives or hypotheses	___ 6 ___
11				
12	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group),	
13			allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	___ 6 ___
14				

15
16 **Methods: Participants, interventions, and outcomes**
17

18	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will	___ 6 ___
19			be collected. Reference to where list of study sites can be obtained	
20				
21	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and	___ 7 ___
22			individuals who will perform the interventions (eg, surgeons, psychotherapists)	
23				
24	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be	___ 7 ___
25			administered	
26				
27		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose	___ not applicable ___
28			change in response to harms, participant request, or improving/worsening disease)	
29				
30		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence	___ not applicable ___
31			(eg, drug tablet return, laboratory tests)	
32				
33		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	___ not applicable ___
34				
35	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood	___ 7-9 ___
36			pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg,	
37			median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen	
38			efficacy and harm outcomes is strongly recommended	
39				
40	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for	___ 10 ___
41			participants. A schematic diagram is highly recommended (see Figure)	
42				
43				
44				
45				

1				
2				
3	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	_____6_____
4				
5				
6	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	_____610_____
7				
8				—

Methods: Assignment of interventions (for controlled trials)

Allocation:

11				
12				
13	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	_____7_____
14				
15				
16				
17				
18				
19	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	_____7_____
20				
21				
22				
23	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	_____7_____
24				
25				
26	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	_____7_____
27				
28				
29		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	__not applicable__
30				
31				
32				

Methods: Data collection, management, and analysis

33				
34				
35	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	_____9_____
36				
37				
38				
39				
40				
41		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	__not applicable__
42				
43				
44				
45				

1				
2				
3	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	_____10_____
4				
5				
6				
7	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	_____10-11_____
8				
9				
10		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	_____10-11_____
11				
12		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	_____10-11_____
13				
14				
15				
16	Methods: Monitoring			
17				
18	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	_____11_____
19				
20				
21				
22				
23		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	_____11_____
24				
25				
26	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	_____11_____
27				
28				
29	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	_____11_____
30				
31				
32				
33	Ethics and dissemination			
34				
35	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	_____12_____
36				
37				
38	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	_____12_____
39				
40				
41				
42				
43				
44				
45				
46				
47				
48				
49				

1				
2				
3	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	___ 13 ___
4				
5				
6		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	Not applicable
7				
8				
9	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	___ 10 ___
10				
11				
12	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	___ 13 ___
13				
14				
15	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	___ 10 ___
16				
17				
18	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	___ 12 ___
19				
20				
21	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	___ 13 2 ___
22				—
23				
24				
25				
26		31b	Authorship eligibility guidelines and any intended use of professional writers	___ 13 2 ___
27				—
28				
29		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	_Not applicable
30				
31	Appendices			
32				
33	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	_____
34				
35				
36	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	_____
37				
38				

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49

For peer review only