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# General practitioners justifications for therapeutic inertia in cardiovascular prevention: an empirically grounded typology

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### Declaration of competing interests:

All authors have completed the Unified Competing Interest form (available on request from the corresponding author) and declare that:

- JPL and DP have support from Pierre Fabre Médicaments<sup>®</sup> for the submitted work;
- They have no relationships with companies that might have an interest in the submitted work in the previous 3 years;
- Their spouses, partners, or children have no financial relationships that may be relevant to the submitted work;
- They have no non-financial interests that may be relevant to the submitted work.

# Ethics approval

The Institutional Review Board of Versailles approved the ESCAPE study, which included this study. All patients gave written informed consent for their data to be used for this study. The ESCAPE trial was registered with ClinicalTrials.gov, number NCT00348855.

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# Authorship, transparency

All authors had full access to all of the data in the study, and can take responsibility for the integrity and accuracy of the analysis. The first author declares that the article is an honest, accurate and transparent account of the study.

#### Contributorship

JPL, JSC, DP, VY, IAA and AM designed the study and carried out the data collection and analysis. HVR, EV and KH audited the whole methodological process. All authors contributed to the interpretation of findings and to the final construction of the typology. All authors contributed to the development of the manuscript.

#### Data sharing

Full datasets and coding tables are available from the corresponding author at *jean-pierre.lebeau@univ-tours.fr*. All these data are anonymised, and risk of identification is low.

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#### **Abstract**

**Objective.** To construct a typology of GPs responses regarding their justification of therapeutic inertia in cardiovascular primary prevention for high-risk hypertensive patients.

**Design.** Empirically grounded construction of typology. Types were defined by attributes derived from the qualitative analysis of GPs reported reasons for inaction.

**Participants.** 256 GPs randomized in the intervention group of a cluster randomized controlled trial. **Setting.** GPs members of 23 French Regional Colleges of Teachers in General Practice, included in the ESCAPE trial.

**Data collection and analysis.** The database consisted of 2638 written responses given by the GPs to an open-ended question asking for the reasons why drug treatment was not changed as suggested by the national guidelines. All answers were coded using constant comparison analysis. A matrix analysis of codes per GP allowed the construction of a response typology, where types were defined by codes as attributes. Initial coding and definition of types were performed independently by two teams.

**Results.** Initial coding resulted in a list of 69 codes in the final codebook, representing 4764 coded references in the question responses. A typology including seven types was constructed. 100 GPs were allocated to one and only one of these types, while 25 GPs did not provide enough data to allow classification. Types (numbers of GPs allocated) were: "Optimists" (28), "Negotiators" (20), "Checkers" (15), "Contextualizers" (13), "Cautious" (11), "Rounders" (8) and "Scientists" (5). For the 36 GPs that provided 50 or more coded references, analysis of the code evolution over time and across patients showed a consistent belonging to the initial type for any given GP.

**Conclusion.** This typology could provide GPs with some insight into their general ways of considering changes in the treatment/management of cardiovascular risk factors, and guide design of specific physician-centred interventions to reduce inappropriate inaction.

# What is already known on the subject

- Therapeutic (or clinical) inertia has been defined as the failure of health care providers to initiate or increase treatment when the therapeutic targets are not met
- The existence of therapeutic inertia in the management of modifiable cardiovascular risk factors has been shown
- Little is known on the underlying reasons and intimate mechanisms of therapeutic inertia

# What this study adds

- GPs reported reasons for inaction all fit in a typology including seven types
- Belonging to one of these types is consistent over time and from one patient to another for a given GP
- Specific physician-centred interventions relying on this typology should be designed to reduce therapeutic inertia

# Strengths and limitations of this study

- The typology was constructed from the data gathered during the study, without any pre-established framework, and therefore reflects the actual way GPs rationalize their reasons for inaction.
- Both the initial coding and the physician types initially described separately and blindly by the two
  coding teams were remarkably consistent.
- For the GPs who provided enough data to allow such an analysis, the type of responses given was consistent over time and across patients.
- Social desirability bias may have influenced the content of the data: in writing down reasons for inaction, a physician would consider the acceptability of the response.
- The GPs included may not represent the general GPs populatio, because they were specifically trained in treating cardiovascular risk factors for the ESCAPE trial intervention group, and were involved to various extent in general practice teaching.

#### Introduction

Guidelines for the primary prevention of cardiovascular events in hypertensive patients have been widely disseminated<sup>1-4</sup>. They cover blood pressure (BP) targets that should be achieved and other risk-reducing strategies regarding LDL-cholesterol and smoking cessation. For hypertensive patients with type-2 diabetes, specific BP targets are recommended, along with HbA1c targets and low-dose acetylsalicylic acid treatment in specific cases. These guidelines and targets rely on a large body of evidence from a substantial number of large randomized controlled trials<sup>5-7</sup>.

Nevertheless, most hypertensive patients do not achieve control according to the recommended targets<sup>8</sup>. In the United Kingdom, 30% of people between 20 and 79 years old are hypertensive, but among them only 65% are aware of their condition, Of these, 51% are treated, and 27% are controlled<sup>9</sup>. These figures are even worse in France, where 31% of 18-74 year olds are hypertensive, 52% are aware, 42% treated, and 21% optimally controlled<sup>10</sup>. Evidence from the United States and Canada also shows considerable room for improvement<sup>9</sup>. Overall, in Europe and North America, more than half of the hypertensive patients are uncontrolled<sup>11,12</sup>. Furthermore, when multiple risk factors are active in one patient, control of each risk factor becomes even more suboptimal<sup>12,13</sup>.

Various causes may explain this gap between proposed targets and actual clinical outcomes. While patients' lack of adherence remains a prominent factor<sup>14</sup>, the responsibility of the health care professional has been more recently brought to light<sup>15</sup>. Among the various reasons that often keep adherence to guidelines low, therapeutic inertia (TI), or the failure of health care providers to initiate or increase treatment when the therapeutic targets are not met, is now regarded as a major impediment to reach both individual and public therapeutic goals regarding cardiovascular risk factors<sup>11,15,16</sup>. The existence of TI in the management of modifiable cardiovascular risk factors has been shown<sup>16-18</sup>. For uncontrolled BP in treated hypertensive patients in European countries, TI occurs in up to 85% of consultations<sup>11</sup>.

A number of explanations have been proposed to understand this phenomenon. The subjective overestimation by the practitioner of the care provided, a lack of familiarity with the guidelines, or organizational issues have been shown to lead to TI<sup>17,18</sup>. Various hypotheses regarding the intimate mechanisms underlying these behaviours have also been proposed<sup>15,19,20</sup>, but very few qualitative studies have explored these in depth<sup>21-23</sup>. Studies were based on either nominal or focus groups, but did not specifically explore what happens during consultations with individual patients.

Decision-making is an important issue in psychological research, and the use of typologies has proven effective to better understand vocational choices<sup>24</sup> or to characterize the effects of cultural

 differences<sup>25</sup>. Regarding health care, typical patterns of dealing with clinical issues and typologies in decision-making have been brought to light in various situations<sup>26,27</sup>. Decision-making is also both crucial and very specific in cardiovascular primary prevention, where the physician should prescribe drugs and rules to an asymptomatic patient, expecting a hypothetical benefit that will remain unseen. A typology of GPs decision-making in cardiovascular primary prevention in actual clinical practice has not been studied yet.

In this qualitative study, the objective was to construct a typology of GPs responses regarding their justification of TI in cardiovascular primary prevention. We explored the reasons put forward by GPs for not optimizing a treatment when indicated, that is, to explain their TI, and then looked for possible patterns of responses that could be clustered into types.

#### Methods

# Qualitative approach

We performed an empirically grounded construction of typology28-30. A typology is made of a number of types. Each type is constructed and defined by a combination of attributes. These attributes are codes that resulted from the qualitative analysis of the responses collected from the GPs included. The typology described the reasons given for not initiating or reinforcing a preventive cardiovascular drug treatment when indicated. Although the aim was to construct a typology, there was no initial framework, and all types were inductively derived from the data.

In the process of constructing the typology, the combination of two rules was followed:

- The GPs within one type had to be as similar as possible, and the differences between the types as strong as possible<sup>28</sup>.
- Each GP had to belong to one type and only one<sup>28,30</sup>.

# Population

For this study, qualitative data of the ESCAPE study were analysed. The quantitative part of ESCAPE was a cluster randomized controlled trial conducted in general practice settings in France. It aimed at determining whether a multifaceted intervention focused on GPs could increase the proportion of high-risk hypertensive patients in primary prevention who achieved their recommended therapeutic targets. The results have been published elsewhere<sup>31</sup>.

The GPs involved in this study were all in the intervention group of the ESCAPE trial<sup>31</sup>. As the intervention of the trial was at the GPs level, they all attended a one-day training seminar about therapeutic targets and strategies recommended by the French national guidelines<sup>1,2</sup>. Four trained

university GP lecturers delivered the standardized training seminars, using the same teaching kit. A validated electronic blood pressure measurement device (Spengler TB101<sup>®</sup>, Spengler SAS, Antony, France) was provided to the GPs, to improve the accuracy of blood pressure measurements. A six-page leaflet that summarized therapeutic targets and strategies recommended in the guidelines was also provided, and the GPs were asked to keep it on their office desks.

126 GPs formed the intervention group of the ESCAPE trial, and recruited at least one patient. 125 provided qualitative data. On average GPs were 51 (SD=5.4) years old, with a male/female ratio of 80/20 (Table 1).

The patients recruited were aged 40 to 75, treated for hypertension for at least six months, in primary prevention, with at least two other cardiovascular risk factors (Age/gender, family history, type 2 diabetes, high LDL-cholesterol, left ventricular hypertrophy or smoking). 905 patients were recruited (7.2 per GP; 1-17). On average, they were 62 (SD 7.8) years old, and had been treated for hypertension for an average of 10.9 years (SD 8.1). 71% had more than two other associated cardiovascular risk factors and 57% had type 2 diabetes (Table 2). Each patient was seen five times during this study.

#### Data collection

As planned in the ESCAPE protocol, at the end of each of the five consultations per patient dedicated to cardiovascular prevention, GPs in the intervention group were asked to write in the case report form the answer to the following open-ended question:

"If the therapeutic targets recommended in the guidelines for this patient were not reached (blood pressure, LDL-cholesterol, HbA1c, and low-dose aspirin for diabetic patients) and you did not change the medication, could you tell us why?"

This led to the collection of up to five responses per patient.

# Coding

All the responses were entered into a database and coded, using a constant comparison process without predetermined categories. This generated an initial list of codes. The initial coding was performed independently by two teams of researchers (JPL/VY and IAA/AM) using a qualitative analysis software package (NVivo 9.2<sup>®</sup>, QSR International Pty Ltd, Doncaster, Australia; 2011). The two lists were then combined into one final codebook. Discrepancies were resolved by discussion, and remaining disagreements went to arbitration with a fifth researcher (JSC).

#### Attributes and types

 A matrix was constructed, where codes were the columns and GPs were the rows. The number in each cell was the number of occurrences of the code found in the responses of each GP. In order to have as much data as possible in a manageable size, a submatrix of the 10 GPs who provided the greatest number of codes was first analysed to characterize GPs types and start constructing the typologies. From this submatrix, researchers defined relevant codes as major or minor attributes to construct and define types. The decision to use a given code as a major or minor attribute of a type was taken independently by the two teams of researchers (JPL/VY and IAA/AM), and then discussed with the arbitration of a third team (JSC and DP) for a final consensus. The types thus defined were then applied to 30 other randomly allocated GPs (10 for each of the three teams), to check for other emerging types and characterize them.

# Typology

The types were applied to the whole matrix, and every GP that provided sufficient data was allocated to one type.

Finally, we checked for consistency per GP of belonging to one type over time and from one patient to another. In order to have sufficient data for this, we selected and checked the GPs who provided more than 50 coded references.

# Registration

The Institutional Review Board of Versailles approved the ESCAPE study, which included this study. The ESCAPE trial was registered with ClinicalTrials.gov, number NCT00348855.

#### Results

# Data collection

The 125 GPs performed 4295 visits for the ESCAPE study for 905 patients, and gave 2638 answers (from 1 to 59) to the open-ended question. Responses were transcribed verbatim to form the database. Qualitative analysis of the database provided the results.

#### Coding

Initial coding resulted in a list of 69 codes in the final codebook, representing 4764 coded references from the responses (Table 3).

#### Attributes and types

The matrix was constructed (Additional file 1), and the submatrix of the 10 GPs who provided the largest numbers of codes (from 85 to 173) was extracted to define each type and its attributes. Both

teams of researchers determined the same five types, with slight differences in the major and minor attributes that characterized these types. Discussion and arbitration with the third team resolved the final definition of 6 six types ("procrastinators" was split in "checkers" and "negotiators"). Belonging to one type depended on fulfilling at least one of the two or three major attributes, and at least three of the minor attributes defining that type (Table 4).

Application of the templates to the subsequent 30 randomly allocated GPs lead to the emergence of a 7<sup>th</sup> type ("Scientists").

# Typology

 Applying the attributes to the whole database allowed the classification to one of the seven response types for 100 of the 125 GPs. The 25 remaining did not have enough data to allow a classification using attributes (Figure 1).

The "Optimists" were the largest group (28 GPs). Great expectations related to the patient's recent or expected lifestyle changes were their central characteristics: "Repeating the lifestyle recommendations should be enough to reach the HbA1c target". Three GPs had a "Negotiator" tendency, but the negotiations were mostly focused on lifestyle too: "We insisted again on diet and exercise". Follow-up visits for re-evaluations were often scheduled.

Twenty GPs were classified as "Negotiators". Difficulties in negotiating the treatment (including lifestyle changes) with the patient were the main argument for not changing it: "no aspirin: says he won't take it anyway...". "Partial modification" was a frequent way to overcome these difficulties: "he finally accepted the statin, so I did not insist on aspirin". Two GPs in this group had a profile close to the "Optimists": "so I finally asked for lifestyle modification, which, after all, might do...".

Fifteen GPs were "Checkers". TI was justified by results that were either close to the targets or questionable with regards to the usual results: "BP usually not as high. Will check in 6 months and ask for a home measurement if still as high".

"Contextualizers" accounted for 13 of the GPs. Abnormalities in measurement results were attributed to either associated events (including the circumstances of measurement) or socio-professional context: "High BP, but drove a long way to the practice, and waited a long time in the overheated waiting room"; "Is in the middle of a political campaign". Intercurrent medical events could be seen either as a cause for bad results or as priorities that justified postponing any other medical intervention: "Very anxious about the surgery (and so am I). I didn't even mention the high BP".

The "Cautious" type included 11 GPs. Fear of adverse effects was their main characteristic. Possible gastric adverse effect of aspirin, muscular adverse effect of statins and orthostatic

hypotension were the most frequently invoked reasons: "no aspirin because of gastrointestinal history"; "statins might not be well tolerated". Of note, one GP in this group reported that all of his six diabetic patients "allergic to aspirin".

Eight GPs were "Rounders". They had a tendency to consider the results as close enough to the targets to justify inaction: "BP close to target. HbA1c is getting better. LDL-c is very close to target".

The "Scientists" included five GPs. Their reasons for inaction were based on evidence, which could be new studies, new guidelines, or specialists' advices: "The cardiologist he met in January said: no aspirin". Three GPs defended their disagreement with the guidelines by providing the contradictory results of more recent publications: "I disagree with the guidelines regarding aspirin: read the recent New England article!". While the attributes for this type were few, these GPs were quite consistent in showing these attributes only.

No GP fulfilled the attributes to belong to two different types. However, 27 had a tendency to relate to another type (one major attribute, and one or two minor). Table 5 summarizes the interrelations between the types.

For the 36 GPs that provided 50 or more coded references, analysis of the code evolution over time and from one patient to another showed consistency with their initial type for any given GP.

#### **Discussion**

## Main findings

A typology including seven types was constructed from the qualitative analysis of GPs reported reasons for not initiating or reinforcing cardiovascular primary prevention drug treatment For the GPs who provided enough data to allow such an analysis, the type of responses given was consistent over time, and across patients.

#### Strengths and limitations

The specificities of both the underlying concept (therapeutic inertia) and the method used imply a special consideration for the meanings and limits of the results.

Of note, the names given to the types are actually nicknames referring to responses and not to the person, and therefore should not be considered as semantic description of the GPs included.

The GPs included were all investigators in the ESCAPE trial, and as such were recruited by the French National College of Teachers in General Practice. Many of them hosted a general practice trainee in their practice, and a substantial number of them were involved to various extents in teaching. Furthermore, they were all randomized in the intervention group of the trial, and

underwent a training seminar. Their involvement and motivation in treating cardiovascular risk factors was therefore different from the general population of GPs.

Results of LDL-cholesterol, glycated hemoglobin and BP measurements were included in the CRF, but the prescriptions were not, so it was not possible to know if a reason for not modifying therapeutics was given every time it should have been.

Two key points in the analysis process could introduce considerable subjectiveness: initial coding and definition of the types. Modelling of the analysis by the researchers' assumptions is a bias nested in the core of qualitative analysis. We tried as much as possible to overcome this by blinded coding and analysis by separate teams of researchers. Both the initial coding and the types initially described separately by the two teams were remarkably consistent, although we had as little discussion as possible on the matter before the analysis.

Although many of the reasons given by the GPs for inaction were questionable, the analysis came across very few that could be regarded as definitely inacceptable, such as "no time" or "not in the mood". One can imagine that in writing down the reasons for inaction, a physician would consider the acceptability of the response. This social desirability bias may have influenced the content of the data. Indeed, the typology here defined described the way GPs rationalized their decision of inaction, and thus may not elucidate underlying factors or motivations, especially those that might be considered socially unacceptable. Nevertheless, while it may not provide complete insight to the intimate mechanisms of inaction, it does provide a practical classification of justifications.

# Comparison with existing literature

 Defining a typology regarding the reasons provided for not initiating or increasing the treatment of a cardiovascular risk factor when indicated has not been done previously. The survey study of Olivieira *et al.* did ask the physician the reasons of the decision for a given patient, but was not conducted in a framework where the physician was specifically urged to give guideline-based care<sup>32</sup>. While our results cannot be confronted with previous results of the exact same nature, the various factors and behaviours involved in the inaction process have all been described before.

Reviewing the whole database for a first impression, the overwhelming trust in lifestyle counselling is striking. Diets of all kinds, physical exercise, weight loss and various other lifestyle modifications expected or prescribed were cited very often as reasons to delay a drug prescription. Although most guidelines do recommend lifestyle counselling as the first intervention, such a confidence in its effectiveness for high-risk hypertensive or type 2 diabetic patients is not supported by clinical evidence<sup>33</sup>. Moreover, recent results suggest very little effect on clinical outcomes, if any, of lifestyle interventions in diabetic patients<sup>34</sup>. This confidence relates to the broader "overestimation of care provided" issue, already described by Phillips et al. as a cause of clinical inertia<sup>15,35</sup>.

 The need to check the values of blood pressure accounts for up to 35% of the reasons for inaction in the management of hypertensive patients according to Olivieira *et al.*<sup>32</sup>. As already stated by a number of authors, office blood pressure is often unreliable, due to white coat effect or other intercurrent reasons, and home or ambulatory blood pressure measurement (HBPM or ABPM) is now the recommended procedure<sup>36,37</sup>. Taking in account HBPM or ABPM should therefore be regarded as appropriate, as long as it is not indefinitely repeated. In this study, very few physicians disregarded the results of such measurements. Nevertheless, a few "Checkers" did check values above targets up to 5 times for the same patient, without increasing the treatment over 2 years, which can hardly be justified. This also happened with LDL-cholesterol and HbA1c values.

Negotiation is the core of the patient-centred shared decision-making. Negotiating the primary prevention of cardiovascular risk in a consultation raises some specific issues<sup>38</sup>. On the one hand, much high-quality evidence is available, together with a number of decision aids, and this makes information easy to share with patients. On the other hand, cardiovascular risk prevention means lifestyle modifications and drug treatments for a benefit that remains hypothetical, and usually not discernible. Further, most patients will not agree with all the lifestyle or drug options available. This ambivalence relates to the controversy that arose when, in order to overcome clinical inertia, Phillips and Twombly suggested to "run the numbers first and deal with blood pressure and glucose before asking about other problems"39. A number of researchers and physicians protested that such an attitude would oversimplify primary care and go against the principles of patient centeredness<sup>40</sup>. Elements of negotiation were present in a very large proportion of the GPs responses gathered here, and most of them sounded relevant at first sight. However, GPs in the "Negotiators" group seemed to lead, with any given patient, the same negotiation about the same drug every six months for two years. Here again, the decision to postpone the drug prescription relied a lot on the expectations of lifestyle changes and a better adherence to medication. In these cases, negotiating did not actually lead to any further action.

The "rounding phenomenon" refers to three different behaviours, related to three possible reasons for inaction. The first one is the end-digit preference, or tendency of physicians to round down the results of measurement the results are usually rounded to the lower multiple 10 or 5. Although the use of an electronic device reduces this tendency, it still exists, and can significantly delay the initiation or reinforcement of a recommended treatment<sup>41,42</sup>. The second one is the "close enough to target" issue, already extensively described<sup>23,32,43</sup>. It was mentioned here at least once by each of the "Rounders". Although its consequences have not been as precisely assessed as with end-digit preference, it is likely to have the same effect. The third one is the "mental adjustment" described by participants in the study by Howes *et al.*, where physicians described how they mentally adjust down the BP actually measured to "better represent the true BP" of the patient<sup>23</sup>. Although such a behaviour was not as clearly described here, it seemed to

underlie the decision of some "Rounders". The GPs responses do not allow measurement of the gap between the measured and the "mentally adjusted" BP, nor the possible clinical consequences of the adjustment. Overall, rounding, in any of these three ways, might be a way to avoid a difficult or time-consuming negotiation.

The context of the measurement provided a wide variety of reasons why the figures found were not regarded as reliable. At the time of the study, ambulatory measurements were not mandatory, and the three measurements made in the office were the standards for the study. Therefore, contextual reasons ranging from "waited too long in the waiting-room" to "didn't have enough time in the waiting room" are now outdated. Still, contextual reasons most frequently cited referred to personal life or professional issues experienced by the patient. The "Contextualizers" paid much attention to any kind of stress that patient was going through, regarding elevated BP as a consequence. This has probably much to do with a coincidence of words in French, where BP, muscular tension, and psychological stress share the same denomination ("tension"). Supporting this hypothesis, the findings of Nicodème et al. in France<sup>44</sup>, stressing the high impact of the "immediate" context on the physician's decision of inaction, differ from those in English-speaking countries, where context refers more to the initial reason for consultation or to other medical priorities<sup>23,27</sup>. Such a variety of contextual elements, with regards to different cultures, questions both the feasibility and the appropriateness of interventions on the physician behaviour, and makes clear the need of additional qualitative data.

Questioning the guidelines is also a very common reason invoked for not following them<sup>19</sup>. The usual criticism relates to their complexity, inapplicability in general practice real-life, and outdated evidence basis<sup>19,23</sup>. This study did not find any rejection of the guidelines related to either their complexity or their inapplicability in daily practice. This unusual result may be due to the framework of the ESCAPE trial, where the GPs in the intervention group attended a one-day training about these guidelines and the way they should be followed. Therefore, the "Scientists" typology included GPs that criticized the validity of the guidelines on the basis of new scientific evidence. Indeed, during the 2 years of the study, 2 articles were published that concluded that low-dose aspirin for hypertensive diabetic patients should be prescribed under certain conditions only<sup>45,46</sup>. At the same time, a controversy arose about the maximum BP values that should be tolerated before initiating or increasing a treatment. A popular French evidence-based medicine journal advocated values above those defined in the guidelines<sup>47</sup>. There were only five "Scientists" in our sample, but this should not be understood as a lack of "scientism" in this GP population, since the typology related only to reasons for inaction.

Perspectives

 More work is needed to understand the mechanisms of inaction in GPs decision-making. First, the validity of this typology should be confirmed. One way could be to propose clinical case vignettes to these GPs, and check for the consistence of their declared behaviour with the attributes of the type they belong to. Second, a thorough exploration of their representations and inner feelings in these situations must be conducted.

The answers given by the GPs were related to typical clinical inertia<sup>15</sup>. A number of works have been published on this issue in the last few years. Nevertheless, because of the persisting ambivalence between loss of chance for the patient and clinical safeguard from the guidelines, the whole concept remains blurry<sup>17,20,39,40</sup>. Interventions so far have aimed at reducing inertia as a whole, but chances are that a proportion of the recorded inaction is actually appropriate patient-centred care. Where the ultimate goal is to eliminate inappropriate inaction only, this typology could be a mean to provide the GPs with some insight to their general ways of considering changes in the care of cardiovascular risk factors, and to design specific physician-centred interventions aiming at reducing inappropriate inaction.

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Characteristics		n	%
Overall		125	100
Gender	M (%)	100	80
	F (%)	25	20
Mean age (SE)		50.2 (+/- 5.4)	
Mean years of practice (SE)		21.0 (+/- 6.5)	
Area of practice	Urban	67	53.6
	Semi-rural	40	32.0
	Rural	18	14.4
Conditions of practice	Joint	91	72.8
	Single	34	27.2
Table 1. Characteristics of the C	GPs	,	27.2

Table 1. Characteristics of the GPs

Characteristic	n = 905	
Male, n (%)	575 (63.5)	
Mean age, years (SD)	62.1 (7.9)	
Body Mass Index, kg/m² (SD)	30.7 (5.2)	
Mean systolic blood pressure, mmHg (SD)*	145.9 (15.3)	
Mean diastolic blood pressure, mmHg (SD)*	83.7 (11.7)	
LDL, mmol/L (SD)	3.19 (1.02)	
MDRD-estimated glomerular filtration rate, ml/min (SD)	79.6 (19.6)	
Left ventricular hypertrophy, n (%)	150 (16.6)	
Family history of early cardiovascular event, n (%)	225 (24.9)	4
Albuminuria ≥ 20 mg/L, n (%)	186 (22.3)	0
Mean years since diagnosis of hypertension (SD)	10.5 (7.8)	
Smoker status		
Current n (%):	193 (21.3)	
Past smoker < 3 years n (%):	72 (8.0)	
Non-smoker n (%):	640 (70.7)	

	ı	
Characteristic	n = 905	
Number of antihypertensive drugs, n (SD)	2.16 (1.04)	
Type-2 diabetes, n (%)	527 (58.2)	
Mean years since diagnosis of type-2 diabetes, (SD)	6.9 (6.1)	
HbA1c, % (SD)	7.0 (1.1)	
Cardiovascular risk factors, n (%)		
Men > 50 or women > 60 years old	779 (86.1)	
Current smoker or past smoker < 3 years	265 (29.3)	
LDL ≥ 4.14 mmol/L or treatment	692 (76.5)	<b>▶</b>
HDL ≤ 1.04 mmol/L	189 (20.9)	<b>70.</b>
Number of cardiovascular risk factors, n (%)		12
≤2	259 (28.6)	
3	319 (35.2)	
4	222 (24.5)	
≥5	105 (11.6)	
Mean 10-year Framingham-Anderson risk score, (%)	17.5	



Codes	Number of references
Exercise and/or diet in progress	203
Lifestyle changes instructions first	129
Recent changes	122
Patient's promise	25
Expectations	20
Just wait and see	5
Scheduled reevaluation	277
Referral	121
Scheduled change	38
Other specialist's advice	63
GP's opinion	45
Selected result	37
Partial modification	36
Omission	33
Minor modification	24
Other scientific reasons	13
Doubt on treatment effectiveness	7
No time	1
Lifestyle rules	588
Weight loss	53
Dietician	34
Alternate treatment	11
Adverse effect	196
Long prescription/Polypharmacy	85
Precautions of use	69
Insulin	48
Maximal treatment	36
Adherence to non-drug treatment	298

Adherence to drug treatment	89	
Treatment interrupted	40	
Patient's preferences	123	
Psychological profile	110	
Alcohol	57	
Socio-professional context	43	
Familial context	29	
Stress	27	
Hopeless	10	
Professional risk	6	
Age	5	
Other intercurrent disease	158	
Depressive disorder	24	
Sleep Apnea	7	
Drug related medical intercurrent event	30	
Non medical intercurrent event	119	
Organizational issue	101	
Other medical priority	46	
Sleep issues	6	
HBPM* unknown procedure	161	
HBPM* uncorrect procedure	45	
HBPM* correct procedure	26	
Recent cardio checkup	92	
ABPM**		
Echocardiography	2	
Borderline results	136	
Unusual results	123	
« Not so bad » results	65	
Preference for manual device	71	

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Inadequate arm cuff	38
Unreliable measurement device	19
Preference for another electronic device	13
Missing results	44
White coat effect	36
Circumstances of measurement	33
Not estimable LDL cholesterol	15
electronic device  Missing results  White coat effect  Circumstances of measurement  Not estimable LDL	3

69 codes	4764
Confidence	12
Hierarchical organisation	29
Limitation of instructions	39
Negotiation	83
Preference for self- measured glycaemia	12

Table 3. Final code book. The number of references for a given code represents the number of sections of the initial verbatim allocated to that particular code

\*HBPM: home blood pressure measurement\*\*; ABPM: ambulatory blood pressure measurement

Types	Codes defined as major attributes	Codes defined as minor attributes
Optimists	Physical exercise  Preference for exercise and diet	"Not so bad" results Hygienic rules Weight loss Exercise and/or diet in progress Scheduled re-evaluation Patient's preference Recent changes Expectation
Negotiators	Negotiation Hierarchical organisation Limitation of instruction	Patient's promise Adherence (drug or non-drug) Treatment interrupted Psychological profile Insulin Hopeless Circumstances of measurement
Checkers	BP self-measurement Scheduled re-evaluation	Unusual results Referral Scheduled change Scheduled re-evaluation Borderline results Circumstances of measurement
Contextualizers	Non medical intercurrent event Intercurrent disease Socio-professional context	Psychological profile Stress Familial context Adherence to treatment (drug or non-drug) Treatment interrupted Scheduled re-evaluation Alcohol Unusual results Other medical priorities Hierarchical organisation
Cautious	Precautions of use Adverse effects	Drug related intercurrent event Partial modification Minor modification Long prescription Maximal treatment Lifestyle changes first

Rounders	Borderline results	"Not so bad" results Unusual results Circumstances of measurement Scheduled re-evaluation
	Other scientific reason	Doubt on treatment effectiveness
Scientists	Other specialist's advice	BP self-measurement

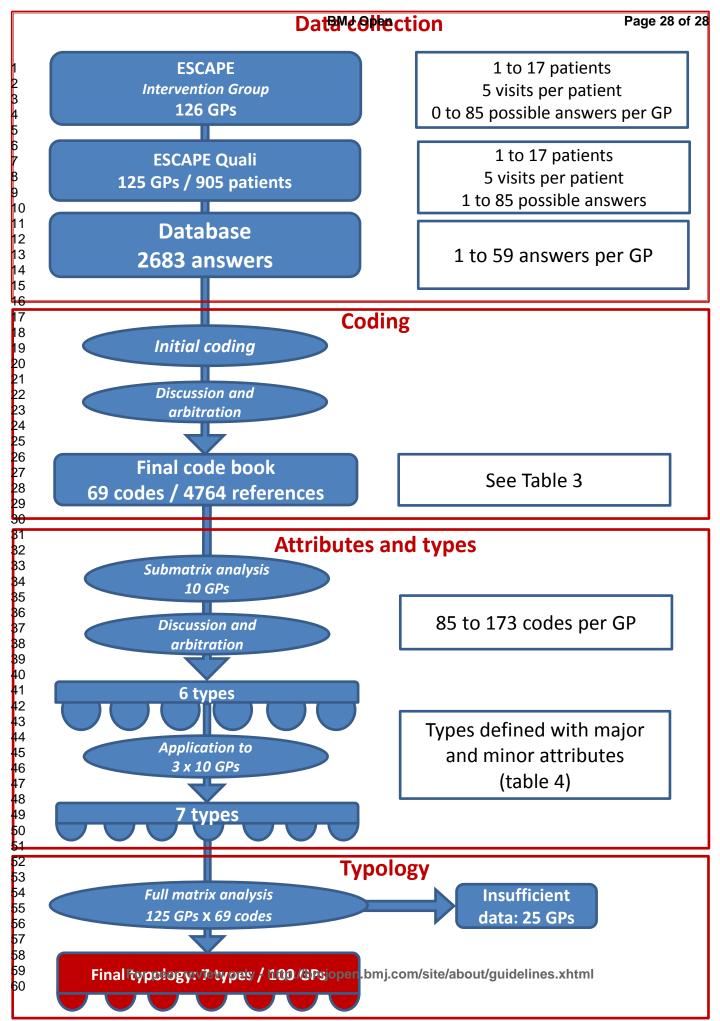
Table 4. Attributes for the 7 types

Belonging to a type meant fulfilling at least one major and three minor attributes (one major and two minor for the "Scientists" type).

Types	Optimis ts	Negotiato rs	Checkers	Contextualize rs	Cautiou s	Rounder s	Scientis ts
Tendencies Optimists		2 (10%)	2 (13.3%)	2 (15%)	0	0	0
Negotiators	3 (10.7%)		0	0	1 (9.1%)	1 (12.5%)	0
Checkers	1 (3.6%)	2 (10%)		0	1 (9.1%)	1 (12.5%)	1 (20%)
Contextualizer s	1 (3.6%)	0	1 (6.7%)		0	2 (25%)	0
Cautious	0	0	0	2 (15%)		1 (12.5%)	1 (20%)
Rounders	1 (3.6%)	1 (5%)	0	0	0		0
Scientists	0	1 (5%)	0	0	1 (9.1%)	0	
None	22 (78.6%)	14 (70%)	12 (80%)	9 (69%)	8 (73%)	3 (37,5%)	3 (60%)
Total=92	28	20	15	13	11	8	5

Table 5. Interrelations between the types

27 GPs had a tendency - defined as one major attribute, and one or two minor - to relate to another type



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# General practitioners justifications for therapeutic inertia in cardiovascular prevention: an empirically grounded typology

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#### Abstract

 **Objective.** To construct a typology of GPs responses regarding their justification of therapeutic inertia in cardiovascular primary prevention for high-risk hypertensive patients.

**Design.** Empirically grounded construction of typology. Types were defined by attributes derived from the qualitative analysis of GPs reported reasons for inaction.

**Participants.** 256 GPs randomized in the intervention group of a cluster randomized controlled trial. **Setting.** GPs members of 23 French Regional Colleges of Teachers in General Practice, included in the ESCAPE trial.

**Data collection and analysis.** The database consisted of 2638 written responses given by the GPs to an open-ended question asking for the reasons why drug treatment was not changed as suggested by the national guidelines. All answers were coded using constant comparison analysis. A matrix analysis of codes per GP allowed the construction of a response typology, where types were defined by codes as attributes. Initial coding and definition of types were performed independently by two teams.

**Results.** Initial coding resulted in a list of 69 codes in the final codebook, representing 4764 coded references in the question responses. A typology including seven types was constructed. 100 GPs were allocated to one and only one of these types, while 25 GPs did not provide enough data to allow classification. Types (numbers of GPs allocated) were: "Optimists" (28), "Negotiators" (20), "Checkers" (15), "Contextualizers" (13), "Cautious" (11), "Rounders" (8) and "Scientists" (5). For the 36 GPs that provided 50 or more coded references, analysis of the code evolution over time and across patients showed a consistent belonging to the initial type for any given GP.

**Conclusion.** This typology could provide GPs with some insight into their general ways of considering changes in the treatment/management of cardiovascular risk factors, and guide design of specific physician-centred interventions to reduce inappropriate inaction.

# Strengths and limitations of this study

- The typology was constructed from the data gathered during the study, without any pre-established framework, and therefore reflects the actual way GPs rationalize their reasons for inaction.
- Both the initial coding and the physician types initially described separately and blindly by the two
  coding teams were remarkably consistent.
- For the GPs who provided enough data to allow such an analysis, the type of responses given was consistent over time and across patients.
- Social desirability bias may have influenced the content of the data: in writing down reasons for inaction, a physician would consider the acceptability of the response.
- The GPs included may not represent the general GPs population, because they were specifically trained in treating cardiovascular risk factors for the ESCAPE trial intervention group, and were involved to various extent in general practice teaching.

#### Introduction

Guidelines for the primary prevention of cardiovascular events in hypertensive patients have been widely disseminated<sup>1-4</sup>. They cover blood pressure (BP) targets that should be achieved and other risk-reducing strategies regarding LDL-cholesterol and smoking cessation. For hypertensive patients with type-2 diabetes, specific BP targets are recommended, along with HbA1c targets and low-dose acetylsalicylic acid treatment in specific cases<sup>1</sup>. These guidelines and targets rely on a extensive body of evidence from a substantial number of large randomized controlled trials<sup>5-7</sup>.

Nevertheless, most hypertensive patients do not achieve control according to the recommended targets<sup>8</sup>. In the United Kingdom, 30% of people between 20 and 79 years old are hypertensive, but among them only 65% are aware of their condition. Of these, 51% are treated, and 27% are controlled<sup>9</sup>. These figures are even worse in France, where 31% of 18-74 year olds are hypertensive, 52% are aware, 42% treated, and 21% optimally controlled<sup>10</sup>. Evidence from the United States and Canada also shows considerable room for improvement<sup>9</sup>. Overall, in Europe and North America, more than half of the hypertensive patients are uncontrolled<sup>11,12</sup>. Furthermore, when multiple risk factors are active in one patient, control of each risk factor becomes even more suboptimal<sup>12,13</sup>.

Various causes may explain this gap between proposed targets and actual clinical outcomes. While patients' lack of adherence remains a prominent factor<sup>14</sup>, the responsibility of the health care professional has been more recently brought to light<sup>15</sup>. Among the various reasons that often keep adherence to guidelines low, therapeutic inertia (TI), or the failure of health care providers to initiate or increase treatment when the therapeutic targets are not met, is now regarded as a major impediment to reach both individual and public therapeutic goals regarding cardiovascular risk factors<sup>11,15,16</sup>. The existence of TI in the management of modifiable cardiovascular risk factors has been shown<sup>16-18</sup>. For uncontrolled BP in treated hypertensive patients in European countries, TI occurs in up to 85% of consultations<sup>11</sup>.

A number of explanations have been proposed to understand this phenomenon. The subjective overestimation by the practitioner of the care provided, a lack of familiarity with the guidelines, or organizational issues have been shown to lead to TI<sup>17,18</sup>. Various hypotheses regarding the intimate mechanisms underlying these behaviours have also been proposed<sup>15,19,20</sup>, but very few qualitative studies have explored these in depth<sup>21-23</sup>. Studies were based on either nominal or focus groups, but did not specifically explore what happens during consultations with individual patients.

Decision-making is an important issue in psychological research, and the use of typologies has proven effective to better understand vocational choices<sup>24</sup> or to characterize the effects of cultural

differences<sup>25</sup>. Regarding health care, typical patterns of dealing with clinical issues and typologies in decision-making have been brought to light in various situations<sup>26,27</sup>. Decision-making is also both crucial and very specific in cardiovascular primary prevention, where the physician should prescribe drugs and rules to an asymptomatic patient, expecting a hypothetical benefit that will remain unseen. A typology of GPs decision-making in cardiovascular primary prevention in actual clinical practice has not been proposed yet.

In this qualitative study, the objective was to construct a typology of general practitioners (GPs) responses regarding their justification of TI in cardiovascular primary prevention. We explored the reasons put forward by GPs for not optimizing a treatment when indicated, that is, to explain their TI, and then looked for possible patterns of responses that could be clustered into types.

# Methods

# Qualitative approach

We performed an empirically grounded construction of typology<sup>28-30</sup>. A typology is made of a number of types. Each type is constructed and defined by a combination of attributes. These attributes are codes that resulted from the qualitative analysis of the responses collected from the GPs included. The typology described the reasons given for not initiating or reinforcing a preventive cardiovascular drug treatment when indicated. Although the aim was to construct a typology, there was no initial framework, and all types were inductively derived from the data.

In the process of constructing the typology, the combination of two rules was followed:

- The GPs within one type had to be as similar as possible, and the differences between the types as strong as possible<sup>28</sup>.
- Each GP had to belong to one and only one type<sup>28,30</sup>.

#### Population

For this study, qualitative data of the ESCAPE trial were analysed. The quantitative part of ESCAPE was a cluster randomized controlled trial conducted in general practice settings in France. It aimed at determining whether a multifaceted intervention focused on GPs could increase the proportion of high-risk hypertensive patients in primary prevention who achieved their recommended therapeutic targets. The results have been published elsewhere<sup>31</sup>.

The GPs involved in this qualitative study were all in the intervention group of the ESCAPE trial<sup>31</sup>. As the intervention of the trial was at the GPs level, they all attended a one-day training seminar about therapeutic targets and strategies recommended by the French national guidelines<sup>1,2</sup>. Four

 trained university GP lecturers delivered the standardized training seminars, using the same teaching kit. A validated electronic blood pressure measurement device (Spengler TB101<sup>®</sup>, Spengler SAS, Antony, France) was provided to the GPs, to improve the accuracy of blood pressure measurements. A six-page leaflet that summarized therapeutic targets and strategies recommended in the guidelines was also provided, and the GPs were asked to keep it on their office desk.

126 GPs formed the intervention group of the ESCAPE trial, and recruited at least one patient. 125 provided qualitative data. On average GPs were 51 (SD=5.4) years old, with a male/female ratio of 80/20 (Table 1).

The patients recruited were aged 40 to 75, treated for hypertension for at least six months, in primary prevention, with at least two other cardiovascular risk factors (Age/gender, family history, type 2 diabetes, high LDL-cholesterol, left ventricular hypertrophy or smoking). 905 patients were recruited (7.2 per GP; range 1-18). On average, they were 62 (SD 7.8) years old, and had been treated for hypertension for an average of 10.9 years (SD 8.1). 71% had more than two other associated cardiovascular risk factors and 57% had type 2 diabetes (Table 2). Each patient was seen five times during this trial.

#### Data collection

As planned in the ESCAPE protocol, at the end of each of the five consultations per patient dedicated to cardiovascular prevention, GPs in the intervention group were asked to write in the case report form the answer to the following open-ended question:

"If the therapeutic targets recommended in the guidelines for this patient were not reached (blood pressure, LDL-cholesterol, HbA1c, and low-dose aspirin for diabetic patients) and you did not change the medication, could you tell us why?"

This led to the collection of up to five responses per patient.

#### Coding

All the responses were entered into a database and coded, using a constant comparison process without predetermined categories. This generated an initial list of codes. The initial coding was performed independently by two teams of researchers (JPL/VY and IAA/AM) using a qualitative analysis software package (NVivo 9.2<sup>®</sup>, QSR International Pty Ltd, Doncaster, Australia; 2011). The two lists were then combined into one final codebook. Discrepancies were resolved by discussion, and remaining disagreements went to arbitration with a fifth researcher (JSC).

#### Attributes and types

A matrix was constructed, where codes were the columns and GPs were the rows. The number in each cell was the number of occurrences of the code found in the responses of each GP. In order to have as much data as possible in a manageable size, a submatrix of the 10 GPs who provided the greatest number of codes was first analysed to characterize GPs types and start constructing the typologies. From this submatrix, researchers defined relevant codes as major or minor attributes to construct and define types. The decision to use a given code as a major or minor attribute of a type was taken independently by the two teams of researchers (JPL/VY and IAA/AM), and then discussed with the arbitration of a third team (JSC and DP) for a final consensus. The types thus defined were then applied to 30 other randomly allocated GPs (10 for each of the three teams), to check for other emerging types and characterize them.

# Typology

 The types were applied to the whole matrix, and every GP that provided sufficient data was allocated to one type.

Finally, we checked for consistency per GP of belonging to one type over time and from one patient to another. In order to have sufficient data for this, we selected and checked the GPs who provided more than 50 coded references.

## Registration

The Institutional Review Board of Versailles approved the ESCAPE trial, which included this qualitative study. The ESCAPE trial was registered with ClinicalTrials.gov, number NCT00348855.

## Results

## Data collection

The 125 GPs performed 4295 visits for the ESCAPE trial for 905 patients, and gave 2638 answers (from 1 to 59) to the open-ended question. Responses were transcribed verbatim to form the database. Qualitative analysis of the database provided the results.

#### Coding

Initial coding resulted in a list of 69 codes in the final codebook, representing 4764 coded references from the responses (Table 3).

#### Attributes and types

The matrix was constructed (Additional file 1), and the submatrix of the 10 GPs who provided the largest numbers of codes (from 85 to 173) was extracted to define each type and its attributes. Both

teams of researchers determined the same five types, with slight differences in the major and minor attributes that characterized these types. Discussion and arbitration with the third team resolved the final definition of 6 six types ("procrastinators" was split in "checkers" and "negotiators"). Belonging to one type depended on fulfilling at least one of the two or three major attributes, and at least three of the minor attributes defining that type (Table 4).

Application of the templates to the subsequent 30 randomly allocated GPs lead to the emergence of a 7<sup>th</sup> type ("Scientists").

## Typology

Applying the attributes to the whole database allowed the classification to one of the seven response types for 100 of the 125 GPs. The 25 remaining did not have enough data to allow a classification using attributes (Figure 1). For the 100 GPs allocated to a type, the mean number of patient was 8.0 (range 2-18).

The "Optimists" were the largest group (28 GPs). Great expectations related to the patient's recent or expected lifestyle changes were their central characteristics: "Repeating the lifestyle recommendations should be enough to reach the HbA1c target". Three GPs had a "Negotiator" tendency, but the negotiations were mostly focused on lifestyle too: "We insisted again on diet and exercise". Follow-up visits for re-evaluations were often scheduled.

Twenty GPs were classified as "Negotiators". Difficulties in negotiating the treatment (including lifestyle changes) with the patient were the main argument for not changing it: "no aspirin: says he won't take it anyway...". "Partial modification" was a frequent way to overcome these difficulties: "he finally accepted the statin, so I did not insist on aspirin". Two GPs in this group had a profile close to the "Optimists": "so I finally asked for lifestyle modification, which, after all, might do...".

Fifteen GPs were "Checkers". TI was justified by results that were either close to the targets or questionable with regards to the usual results: "BP usually not as high. Will check in 6 months and ask for a home measurement if still as high".

"Contextualizers" accounted for 13 of the GPs. Abnormalities in measurement results were attributed to either associated events (including the circumstances of measurement) or socio-professional context: "High BP, but drove a long way to the practice, and waited a long time in the overheated waiting room"; "Is in the middle of a political campaign". Intercurrent medical events could be seen either as a cause for bad results or as priorities that justified postponing any other medical intervention: "Very anxious about the surgery (and so am I). I didn't even mention the high BP".

The "Cautious" type included 11 GPs. Fear of adverse effects was their main characteristic. Possible gastric adverse effect of aspirin, muscular adverse effect of statins and orthostatic hypotension were the most frequently invoked reasons: "no aspirin because of gastrointestinal history"; "statins might not be well tolerated". Of note, one GP in this group reported that all of his six diabetic patients "allergic to aspirin".

Eight GPs were "Rounders". They had a tendency to consider the results as close enough to the targets to justify inaction: "BP close to target. HbA1c is getting better. LDL-c is very close to target".

The "Scientists" included five GPs. Their reasons for inaction were based on evidence, which could be new studies, new guidelines, or specialists' advices: "The cardiologist he met in January said: no aspirin". Three GPs defended their disagreement with the guidelines by providing the contradictory results of more recent publications: "I disagree with the guidelines regarding aspirin: read the recent New England article!". While the attributes for this type were few, these GPs were quite consistent in showing these attributes only.

No GP fulfilled the attributes to belong to two different types. However, 27 had a tendency to relate to another type (one major attribute, and one or two minor). Table 5 summarizes the interrelations between the types.

For the 36 GPs that provided 50 or more coded references, analysis of the code evolution over time and from one patient to another showed consistency with their initial type for any given GP.

#### **Discussion**

#### Main findings

A typology including seven types was constructed from the qualitative analysis of GPs reported reasons for not initiating or reinforcing cardiovascular primary prevention drug treatment. For the GPs who provided enough data to allow such an analysis, the type of responses given was consistent over time, and across patients.

Detailed findings and comparison with existing literature

Defining a typology regarding the reasons provided for not initiating or increasing the treatment of a cardiovascular risk factor when indicated has not been done previously. The survey study of Oliveira *et al.* did ask the physician the reasons of the decision for a given patient, but was not conducted in a framework where the physician was specifically urged to give guideline-based care<sup>32</sup>. While our results cannot be confronted with previous results of the exact same nature, the various factors and behaviours involved in the inaction process have all been described before.

 Reviewing the whole database for a first impression, the overwhelming confidence in lifestyle counselling is striking. Diets of all kinds, physical exercise, weight loss and various other lifestyle modifications expected or prescribed were cited very often as reasons to delay a drug prescription. Although most guidelines do recommend lifestyle counselling as the first intervention, such a confidence in its effectiveness for high-risk hypertensive or type 2 diabetic patients is not supported by clinical evidence<sup>33</sup>. Moreover, recent results suggest very little effect on clinical outcomes, if any, of lifestyle interventions in diabetic patients<sup>34</sup>. This confidence relates to the broader "overestimation of care provided" issue, already described by Phillips et al. as a cause of TI<sup>15,35</sup>.

Regarding the need to check blood pressure, home or ambulatory blood pressure measurement (HBPM or ABPM) is now the recommended procedure<sup>36,37</sup>. Taking in account HBPM or ABPM should therefore be regarded as appropriate, as long as it is not indefinitely repeated. In this study, very few physicians disregarded the results of such measurements. Nevertheless, a few "Checkers" did check values above targets up to 5 times for the same patient, without increasing the treatment over 2 years, which can hardly be justified. This also happened with LDL-cholesterol and HbA1c values.

Negotiation is the core of the patient-centred shared decision-making. Negotiating the primary prevention of cardiovascular risk in a consultation raises some specific issues<sup>38</sup>. Cardiovascular risk prevention means lifestyle modifications and drug treatments for a benefit that remains hypothetical, and usually not discernible. Further, most patients will not agree with all the lifestyle or drug options available. This ambivalence relates to the controversy that arose when, in order to overcome clinical inertia, Phillips and Twombly suggested to "run the numbers first and deal with blood pressure and glucose before asking about other problems"<sup>39</sup>. A number of researchers and physicians protested that such an attitude would oversimplify primary care and go against the principles of patient centeredness<sup>40</sup>. Elements of negotiation were present in a very large proportion of the GPs responses gathered here, and most of them sounded relevant at first sight. However, GPs in the "Negotiators" group seemed to lead, with any given patient, the same negotiation about the same drug every six months for two years. In these cases, negotiating did not actually lead to any further action.

The "rounding phenomenon" refers to three different behaviours, related to three possible reasons for inaction. The first one is the end-digit preference, or tendency of physicians to round down the results of measurement<sup>41</sup>. For BP measurement the results are usually rounded to the lower multiple 10 or 5. Although the use of an electronic device reduces this tendency, it still exists, and can significantly delay the initiation or reinforcement of a recommended treatment<sup>41,42</sup>. The second one is the "close enough to target" issue, already extensively described<sup>23,32,43</sup>. It was mentioned here at least once by each of the "Rounders". Although its consequences have not been as

precisely assessed as with end-digit preference, it is likely to have the same effect. The third one is the "mental adjustment" described by participants in the study by Howes *et al.*, where physicians described how they mentally adjust down the BP actually measured to "better represent the true BP" of the patient<sup>23</sup>. Although such a behaviour was not as clearly described here, it seemed to underlie the decision of some "Rounders". Overall, rounding, in any of these three ways, might be a way to avoid a difficult or time-consuming negotiation.

The context of the measurement provided a wide variety of reasons why the results were not regarded as reliable. At the time of the study, ambulatory measurements were not mandatory, and the three measurements made in the office were the standards for the study. Therefore, contextual reasons ranging from "waited too long in the waiting-room" to "didn't have enough time in the waiting room" are now outdated. Still, the "Contextualizers" paid much attention to any kind of stress that the patient was going through, regarding elevated BP as a consequence. This has probably much to do with a coincidence of words in French, where BP, muscular tension, and psychological stress share the same denomination ("tension"). Supporting this hypothesis, the findings of Nicodème et al. in France<sup>44</sup>, stressing the high impact of the "immediate" context on the physician's decision of inaction, differ from those in English-speaking countries, where context refers more to the initial reason for consultation or to other medical priorities<sup>23,27</sup>.

Questioning the content of the guidelines is also a very common reason invoked by professionals for not following them<sup>19</sup>. The usual criticism relates to their complexity, inapplicability in general practice real-life, and outdated evidence basis<sup>19,23</sup>. This study did not find any rejection of the guidelines related to either their complexity or their inapplicability in daily practice. This unusual result may be due to the framework of the ESCAPE trial, where the GPs in the intervention group attended a one-day training about these guidelines and the way they should be followed. Therefore, the "Scientists" typology included GPs that criticized the validity of the guidelines on the basis of new scientific evidence. Indeed, during the 2 years of the study, 2 articles were published that concluded that low-dose aspirin for hypertensive diabetic patients should be prescribed under certain conditions only<sup>45,46</sup>. At the same time, a controversy arose about the maximum BP values that should be tolerated before initiating or increasing a treatment. A popular French evidence-based medicine journal advocated values above those defined in the guidelines<sup>47</sup>. There were only five "Scientists" in our sample, but this should not be understood as a lack of scientific attitude in this GP population, since the typology related only to reasons for inaction.

Strengths and limitations

It should be noted that the names given to the types are actually nicknames referring to responses and not to the person, and therefore should not be considered as semantic description of the GPs included.

The GPs included were all investigators in the ESCAPE trial, and as such were recruited by the French National College of Teachers in General Practice. Many of them hosted a general practice trainee in their practice, and a substantial number of them were involved to various extents in teaching. Furthermore, they were all randomized in the intervention group of the trial, and underwent a training seminar. Their involvement and motivation in treating cardiovascular risk factors was therefore different from the general population of GPs.

Results of LDL-cholesterol, HbA1c and BP measurements were included in the CRF, but the prescriptions were not, so it was not possible to know if a reason for not modifying therapeutics was given every time it should have been.

Two key points in the analysis process could introduce considerable subjectivity: initial coding and definition of the types. Modelling of the analysis by the researchers' assumptions is a bias nested in the core of qualitative analysis. We tried as much as possible to overcome this by blinded coding and analysis by separate teams of researchers. Both the initial coding and the types initially described separately by the two teams were remarkably consistent, although we had as little discussion as possible on the matter before the analysis.

Although many of the reasons given by the GPs for inaction were questionable, the analysis came across very few that could be regarded as definitely inacceptable, such as "no time" or "not in the mood". One can imagine that in writing down the reasons for inaction, a physician would consider the acceptability of the response. This social desirability bias may have influenced the content of the data. Indeed, the typology here defined described the way GPs rationalized their decision of inaction, and thus may not elucidate underlying factors or motivations, especially those that might be considered socially unacceptable. Nevertheless, while it may not provide complete insight to the intimate mechanisms of inaction, it does provide a practical classification of justifications.

#### Perspectives

The answers given by the GPs were related to typical clinical inertia<sup>15</sup>. Interventions so far have aimed at reducing inertia as a whole, but chances are that a proportion of the recorded inaction is actually appropriate patient-centred care<sup>20,40</sup>. This typology could help GPs elucidate their personal decision-making processes, and help design physician-centred interventions aiming at reducing inappropriate inaction only.

Concerted and repeated efforts in implementing up-to-date guidelines have proven effective in addressing the recurrent issue of poor blood pressure control, but have still left room for improvement<sup>48</sup>. Our results suggest that a well-defined set of doctor-related determinants are important. This reinforces the need for education and interventions aiming at the physician's behaviour.

More work is needed to understand the mechanisms of inaction in GPs decision-making. First, the validity of this typology should be confirmed. One way could be to propose clinical case vignettes to these GPs, and check for the consistence of their declared behaviour with the attributes of the type they belong to. Second, a thorough exploration of their representations and inner feelings in these situations must be conducted.

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### **Footnotes**

Contributors JPL, JSC, DP, VY, IAA and AM designed the study and carried out the data collection and analysis. HVR, ER, RR, EV and KH audited the whole methodological process. All authors contributed to the interpretation of findings and to the final construction of the typology. All authors contributed to the development of the manuscript. All authors had full access to all of the data in the study, and can take responsibility for the integrity and accuracy of the analysis. The first author declares that the article is an honest, accurate and transparent account of the study.

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**Competing interests** All authors have completed the Unified Competing Interest form (available on request from the corresponding author) and declare that:

- JPL and DP have support from Pierre Fabre Medicaments® for the submitted work;
- They have no relationships with companies that might have an interest in the submitted work in the previous 3 years;
- Their spouses, partners, or children have no financial relationships that may be relevant to the submitted work;
- They have no non-financial interests that may be relevant to the submitted work.

**Ethics approval** The Institutional Review Board of Versailles (France) approved the ESCAPE trial, which included this qualitative study. All patients gave written informed consent for their data to be used for this study. The ESCAPE trial was registered with ClinicalTrials.gov, number NCT00348855.

Provenance and peer review Not commissioned; external peer reviewed.

**Data sharing** Full datasets and coding tables are available from the corresponding author at *jean-pierre.lebeau@univ-tours.fr*. All these data are anonymised, and risk of identification is low.

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Characteristics		n	%
Overall		125	100
Gender	M (%)	100	80
	F (%)	25	20
Mean age (SE)		50.2 (±5.4)	
Mean years of practice (SE)		21.0 (±6.5)	
Area of practice	Urban	67	53.6
	Semi-rural	40	32.0
	Rural	18	14.4
Conditions of practice	Joint	91	72.8
	Single	34	27.2
Table 1. Characteristics of the G	GPs		0/2

Table 1. Characteristics of the GPs

Characteristic	n = 905 N (%)	
Male, n (%)	575 (63.5)	
Mean age, years (SD)	62.1 (7.9)	
Body Mass Index, kg/m² (SD)	30.7 (5.2)	
Mean systolic blood pressure, mmHg (SD)*	145.9 (15.3)	
Mean diastolic blood pressure, mmHg (SD)*	83.7 (11.7)	
LDL, mmol/L (SD)	3.19 (1.02)	
MDRD-estimated glomerular filtration rate, ml/min (SD)	79.6 (19.6)	
Left ventricular hypertrophy, n (%)	150 (16.6)	
Family history of early cardiovascular event, n (%)	225 (24.9)	2
Albuminuria ≥ 20 mg/L, n (%)	186 (22.3)	
Mean years since diagnosis of hypertension (SD)	10.5 (7.8)	
Smoker status		
Current n (%):	193 (21.3)	
Past smoker < 3 years n (%):	72 (8.0)	
Non-smoker n (%):	640	

		_
Characteristic	n = 905 N (%)	
	(70.7)	
Number of antihypertensive drugs, n (SD)	2.16 (1.04)	
Type-2 diabetes, n (%)	527 (58.2)	
Mean years since diagnosis of type-2 diabetes, (SD)	6.9 (6.1)	
HbA1c, % (SD)	7.0 (1.1)	
Cardiovascular risk factors, n (%)		
Men > 50 or women > 60 years old	779 (86.1)	
Current smoker or past smoker < 3 years	265 (29.3)	
LDL ≥ 4.14 mmol/L or treatment	692 (76.5)	
HDL ≤ 1.04 mmol/L	189 (20.9)	
Number of cardiovascular risk factors, n (%)		
≤ 2	259 (28.6)	
3	319 (35.2)	
4	222 (24.5)	
≥ 5	105 (11.6)	

Characteristic	n = 905 N (%)			
Mean 10-year Framinghar risk score, (%)	m-Anderson 17.5			
Table 2.	Characteristics	of	the	patients

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Codes	Number of references
Exercise and/or diet in progress	203
Lifestyle changes instructions first	129
Recent changes	122
Patient's promise	25
Expectations	20
Just wait and see	5
Scheduled reevaluation	277
Referral	121
Scheduled change	38
Other specialist's advice	63
GP's opinion	45
Selected result	37
Partial modification	36
Omission	33
Minor modification	24
Other scientific reasons	13
Doubt on treatment effectiveness	7
No time	1
Lifestyle rules	588
Weight loss	53
Dietician	34
Alternate treatment	11
Adverse effect	196
Long prescription/Polypharmacy	85
Precautions of use	69
Insulin	48
Maximal treatment	36
Adherence to non-drug treatment	298

Adherence to drug treatment	89
Treatment interrupted	40
Patient's preferences	123
Psychological profile	110
Alcohol	57
Socio-professional context	43
Familial context	29
Stress	27
Hopeless	10
Professional risk	6
Age	5
Other intercurrent disease	158
Depressive disorder	24
Sleep Apnea	7
Drug related medical intercurrent event	30
Non medical intercurrent event	119
Organizational issue	101
Other medical priority	46
Sleep issues	6
HBPM* unknown procedure	161
HBPM* incorrect procedure	45
HBPM* correct procedure	26
Recent cardio check-up	92
ABPM**	23
Echocardiography	2
Borderline results	136
Unusual results	123
« Not so bad » results	65
Preference for manual device	71
Inadequate arm cuff	38

Unreliable measurement device	19
Preference for another electronic device	13
Missing results	44
White coat effect	36
Circumstances of measurement	33
Not estimable LDL cholesterol	15
Preference for self- measured glycaemia	12
*LIDDA4. becase blood necessary	

69 codes	4764
Confidence	12
Hierarchical organisation	29
Limitation of instructions	39
Negotiation	83

Table 3. Final code book. The number of references for a given code represents the number of sections of the initial verbatim allocated to that particular code

\*HBPM: home blood pressure measurement; \*\*ABPM: ambulatory blood pressure measurement

Types	Codes defined as major attributes	Codes defined as minor attributes
Optimists	Physical exercise  Preference for exercise and diet	"Not so bad" results Hygienic rules Weight loss Exercise and/or diet in progress Scheduled re-evaluation Patient's preference Recent changes Expectation
Negotiators	Negotiation Hierarchical organisation Limitation of instruction	Patient's promise Adherence (drug or non-drug) Treatment interrupted Psychological profile Insulin Hopeless Circumstances of measurement
Checkers	BP self-measurement Scheduled re-evaluation	Unusual results Referral Scheduled change Scheduled re-evaluation Borderline results Circumstances of measurement
Contextualizers	Non medical intercurrent event Intercurrent disease Socio-professional context	Psychological profile Stress Familial context Adherence to treatment (drug or non-drug) Treatment interrupted Scheduled re-evaluation Alcohol Unusual results Other medical priorities Hierarchical organisation
Cautious	Precautions of use Adverse effects	Drug related intercurrent event Partial modification Minor modification Long prescription Maximal treatment Lifestyle changes first

Rounders	Borderline results	"Not so bad" results Unusual results Circumstances of measurement Scheduled re-evaluation
Scientists	Other scientific reason Other specialist's advice	Doubt on treatment effectiveness  BP self-measurement

Table 4. Attributes for the 7 types

Belonging to a type meant fulfilling at least one major and three minor attributes (one major and two minor for the "Scientists" type).

Types Tendencies	Optimis ts	Negotiato rs	Checkers	Contextualize rs	Cautiou s	Rounder s	Scientis ts
Optimists		2 (10%)	2 (13.3%)	2 (15%)	0	0	0
Negotiators	3 (10.7%)		0	0	1 (9.1%)	1 (12.5%)	0
Checkers	1 (3.6%)	2 (10%)		0	1 (9.1%)	1 (12.5%)	1 (20%)
Contextualizer s	1 (3.6%)	0	1 (6.7%)		0	2 (25%)	0
Cautious	0	0	0	2 (15%)		1 (12.5%)	1 (20%)
Rounders	1 (3.6%)	1 (5%)	0	0	0		0
Scientists	0	1 (5%)	0	0	1 (9.1%)	0	
None	22 (78.6%)	14 (70%)	12 (80%)	9 (69%)	8 (73%)	3 (37,5%)	3 (60%)
Total=92	28	20	15	13	11	8	5

Table 5. Interrelations between the types

27 GPs had a tendency - defined as one major attribute, and one or two minor - to relate to another type

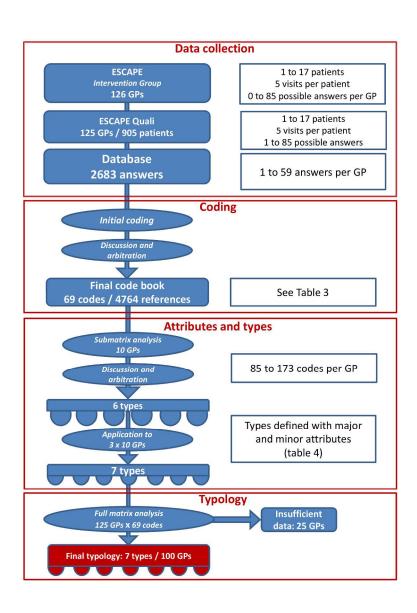


Figure 1. Study flowchart 210x297mm (300 x 300 DPI)

Categories   Wait and see   BMJ Open   Scheduled   Code   Code					
Code					Others
Codes   and-or dist   in progress   in pro					
1	Selected result			Partial lification	Omission
1:1002	resuit	pinion	resuit mod	illication	
3   3:1004   3   2   1   0   0   0   14   1   1   0   1   4   1:1006   4   0   2   1   1   0   0   0   0   0   0   0   0	2			0	0
4	0	0		0	0
5: 1007         3         1         1         0         0         0         7         4         0         1         2           6: 1008         0         <	3			1	0
6	0			0	0
7:1009	1			0	0
7 8 1010 0 0 0 0 2 0 0 0 0 1 0 0 0 0 0 0 0	0			0	0
8	0			0	0
10	1			0	0
10	3			1	0
10	1 1			0	0
11       13:1015       1       2       1       0       0       0       3       1       0       1       0         12       14:1016       0	3		3	1	0
13	0	0	0	1	0
16: 1102	0	0	0	0	0
14       16: 1102       0       1       0        0	1			0	0
15	0			0	2
16       19:1105       0       0       2       0       0       0       3       1       0       0       0         18       20:1106       1       1       1       0       0       0       1       0       0       0         21:1107       2       1       2       0       2       0       2       1       3       0       2         22:1108       2       2       0       0       0       0       2       0       0       0       0         20       24:1110       3       0       1       0<	0			0	0
17       18       20:1106       1       1       1       0       0       0       1       0	0			2	0
17 18 21:1107 2 1 2 0 2 0 2 1 3 0 2 22:1108 22:1108 2 2 0 0 0 0 0 0 2 0 0 0 0 23:1109 24:1110 3 0 1 0 0 0 0 0 0 0 0 0 24:1110 3 0 1 0 0 0 0 0 0 0 0 0 25:1111 7 1 4 0 1 0 2 4 0 0 0 27:1202 1 2 0 0 0 0 0 0 0 0 0 0 28:1202 23 24:1100 25:1111 7 1 0 0 0 0 0 0 0 0 0 26:1114 1 0 0 0 0 0 0 0 0 0 0 27:1202 1 0 0 0 0 0 0 0 0 0 0 0 28:1202 29:1208 20 3 0 0 0 0 0 1 2 0 3 0 0 20:1207 20 0 0 0 0 0 0 0 0 0 0 0 0 30:1207 20 0 0 0 0 0 0 0 0 0 0 0 31:1208 4 2 0 0 0 0 0 0 0 0 0 0 0 0 31:1208 4 2 0 0 0 0 0 0 0 0 0 0 0 0 0 31:1208 4 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 33:1210 5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0			0	0
18         19         23:1109       2       2       0       0       0       0       2       0       0       0       0         20       24:1110       3       0       1       0 <td>1</td> <td></td> <td></td> <td>0</td> <td>5</td>	1			0	5
19	0			0	0
20	0			0	0
21	1			0	0
22	0	0		0	<u></u>
23	1 1	0		1	
24	0	· _		0	0
25 26 27 30: 1207	0			0	0
25 26 27 33:1210 5 0 1 0 0 0 7 3 0 0 2 32:1209 1 1 2 1 0 0 0 2 0 0 1 1 1 33:1210 5 0 1 1 0 0 0 3 3 0 0 0 1 3	0			1	1
26 27 33:1210 5 0 1 0 0 2 0 0 1 1 2 3 3 3 3 1 2 1 3 3 3 3 1 0 0 0 1 3 3 0 0 0 1 3 3 3 3	2			0	2
27 33:1210 5 5 5 7 0 1 1 1 0 0 1 0 1 0 1 0 1 1 1 1 3	1			1	2
700.1210	10			0	<u>0</u>
		<del>_</del> 3			<u></u>
					<del></del>
29	0			0	0
30	1 0			0	0
31 38:1301 3 3 3 2 0 0 0 4 3 0 2 1	1 0			0	0
32 39:1302 1 5 1 0 0 0 3 0 0 0 0	1 0	0	0	0	0
33 40:1304 4 1 0 0 0 0 2 2 0 0 0	0	0	0	0	0
34 41:1305 4 0 0 1 0 0 3 1 0 0 0	0	0	0	0	0
	0	0	0	0	1
35 42:1306 0 0 0 0 0 0 1 2 0 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	0	0	1	0
36         44:1308         1         0         1         0<	0			0	0
37 45:1310 8 1 1 0 0 0 1 2 0 1 0	0			0	0
38 46:1311 0 0 1 0 0 0 0 0 0	0			0	0
30 47:1312 0 0 0 0 0 0 0 0 0 0 0	0			0	0
40. 1313 2 1 1 0 0 0 4 0 0 0	0			0	0
40	0			0	0
	0			0	0
42 52.4407 3 1 2 1 2 1 0 1 0 1 1 7 1 2 1 1 1 2	1 1			2	0
43 53.1408 2 1 1 1 0 0 0 2 0 0 0 0	1 1			0	1
44 54:1409 1 1 0 0 0 0 0 2 0 0 0	0			0	0
45 55:1416 0 0 0 0 0 0 0 5 1 3 0	0	0	0	0	0
46 56:1417 1 0 1 0 0 0 1 3 0 0 0	0			0	0
A7 57:1418 1 2 0 0 0 0 1 0 0 0 0 0 0	0			0	0
10 36.1419 0 0 2 0 1 2 2 0 0 0 0	0			0	1
48 59:1502 3 2 1 0 0 0 2 0 0 1 1	0			0	0
49 60:1503 1 3 0 0 0 0 0 0 0 0 0	0			0	0
50 61: 1504 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	-		0	$-\frac{0}{0}$
51 63:1506 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				0	<u>0</u>
52 64:1507 2 0 1 0 0 0 0 1 0 1 3 0	0			0	0
53 65:1508 0 0 1 0 0 0 2 0 0 1 0	0			0	0
54 66:1509 1 1 0 0 0 0 0 0 0 1	0			0	0
67 · 1510 1 1 1 3 0 0 0 4 2 0 0 0 2	7			0	0
55 68:1511 0 0 0 0 0 0 0 1 0 0	0	0	0	0	0
56 69:1512 0 0 1 0 0 1 0 0 0	1	0		0	0
57 <del>70:1514</del> 1 0 0 2 0 0 0 0 0 0	0			1	1
58 <mark>71:1516                                  </mark>	0			0	0
<b>50 72:1517</b>	0			0	0
13.1002	0			0	1
60 74:1603 1 2 4 1 0 0 9 1 5 0 2	0			0	0
75: 1604 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	o es.xhtml	lines		2	2
				0	0
77: 1606         0         1         0         1         0         0         0         0         1         0           78: 1607         2         1         0         0         1         0         3         0         0         0         0	0			0	0
10.1001	V	-	ŭ	~	J

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	79 : 1608	2	0	3	0	0	0	2	2	1	2	0	0	0	0
	80 : 1610	0	0	1	0	0	0	BMJ <sup>®</sup> Ope	n 0	0	0	0	0	0	<sup>0</sup> Pa
	81 : 1611	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	82 : 1613	0	1	0	0	0	0	0	0	0	0	1	0	1	1
	83 : 1614	0	2	1	0	0	0	2	3	0	0	1	1	2	0
1	84 : 1615	1	1	0	0	0	0	0	0	0	0	0	0	0	0
2	85 : 1702	0	0	0	0	0	0	2	0	0	1	0	0	0	0
3	86 : 1703 87 : 1704	9	2	6	3	0	0	12	12	8	12	3	0	1	3
4	188 : 1706		<u>'</u>	<del>3</del>		<del></del>		<del></del>	<u>- 1</u> 2.	<u>°</u>		<del>-</del>			<u>3</u>
5	89 : 1802	<u> </u>		<del>-</del>	<del>;</del>	<del>-</del>	;	<del>-</del>	;	<del></del> 0	°	<del>-</del>			$-\frac{0}{0}$
6	90 : 1803	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	91 : 1806	1	0	1	0	0	0	2	0	0	1	0	0	0	1
7	92 : 1807	0	1	0	0	0	0	0	1	0	0	0	0	0	0
8	93 : 1808	0	2	0	0	0	0	5	0	0	2	0	0	0	0
9	94 : 1901	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	95 : 1903	0	0	0	0	0	0	0	1	0	0	0	0	0	0
11	96 : 1904	1	0	0	0	0	0	0	0	0	0	0	0	1	0
12	97 : 1905	0	1	1	0	0	0	2	5	0	0	0	0	1	0
	98 : 1906 99 : 1907	0	0	0	0	0	0	0	0	0	0	0	0	0	0
13	100 : 1907	3	4	2	0	0	0	6	2	3	1 1	0	0	0	0
14	101 : 1909	2	0	2	0	0	0	2	0	1	0	0	0	1 1	0
15	101 : 1909	0	2	0	0	0	0	1	0	0	0	1	0	0	2
16	103 : 1912	2	0	0	0	0	0	7	2	0	0	0	0	0	0
17	104 : 2003	7	3	1	0	0	0	0	0	0	0	0	0	0	0
18	105 : 2004	2	5	2	2	0	0	2	4	0	1	0	0	0	0
19	106 : 2005	0	0	0	0	0	0	1	0	0	0	0	0	0	0
	107 : 2007	0	3	0	0	0	0	3	0	1	1	0	0	2	1
20	108 : 2008	2	1	2	0	0	0	2	0	0	0	0	0	2	0
21	109 : 2009	1	1	0	0	0	0	0	0	0	0	0	0	0	0
22	110 : 2010	0	0	0	0	0	0	0	0	0	1	0	0	0	0
23	111 : 2011	1	3	0	0	0	0	4	0	0	0	0	0	0	0
24	112 : 2012 113 : 2013	1	1 6	0	0	0	0	1 4	0	0	0	0	0	0	0
25	114 : 2014	3	1	0	0	2	0	4	0	1	0	0	0	1	2
26	115 : 2015	1	1	0	0	0	0	4	2	0	1	0	0	2	0
	116 : 2016	0	0	1	0	0	0	1	0	0	1	0	0	0	0
27	117 : 2017	0	0	0	0	0	0	1	1	0	0	0	0	0	0
28	118 : 2018	1	0	0	0	1	0	1	0	0	1	0	0	0	3
29	119 : 2019	0	0	0	0	0	0	0	1	0	0	0	0	0	0
30	120 : 2103	2	2	0	0	1	0	1	0	0	0	1	0	0	0
31	121 : 2104	0	0	0	0	0	0	0	0	0	0	0	0	0	0
32	122 : 2105	0	0	2	0	0	0	2	3	0	5	0	0	2	0
	123 : 2106	9	1	3	0	0	1	4	1	2	2	3	0	0	0
33	124 : 2107	0	2	0	0	0	0	4 5	9	0	0	0	0	0	0
34	125 : 2109 126 : 1113	0	0	0	0	0	0	0	0	0	0	0	0	0	0
35	Total	203	129	122	25	20	5	277	121	38	63	45	37	36	33
36	Total	200	120				•	_,,			- 55	10		00	
37															
38															
20															
39															
40															
41															
42															
43															
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7 <b>-</b> 7															
45 46															
46															
17															

Pag	e 29 of 3	86			Treatment  Non drug treatment BMJ Open Drug treatment									Adherence		
ray	<del>c</del> 29 01 3					Non drug	treatmer	It DIVIS	Open	Long	ug treatme	ent				9
1	Minor modification	Other scientific reasons	Doubt on treatment effectiveness	No time	Lifestyle rules	Weight loss	Dietician	Alternate treatment	Adverse effect	prescription / Poly pharmacy	Precautions of use	Insulin	Maximal treatment	Adherence to non-drug treatment	Adherence to drug treatment	Treatment interrupted
2	1	0	1 0	0	0	4 0	0	0	2	0 2	0	1 0	1	0	0	2
3	0	1	0	0	9	0	0	0	9	3	10	0	1	7	2	1
4	1	0	0	0	17	2	4	0	1	1	0	0	0	8	1	0
5	0	0	0	0	5	1	0	0	0	1	0	0	0	1	0	0
6	0	0	0	0	3	0	0	0	1	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8	0	0	0	0	0	1	0	0	15	0	7	0	0	1	1	0
9	1	0	0	0	3	0	1	0	1	1	0	0	0	3	0	0
10	0	0	0	0	9	0	0	0	6	0	0	0	0	1	0	0
11	0	0	0	0	5	1	0	0	0	2	0	1	1	2	4	1
12	0	0	0	0	2	0	1	0	0	0	0	1	0	0	1	0
13	1 0	0	0	0	11	2	0	0	0	0	0	0	0	5	0	0
14	0	0	0	0	3	1	0	0	0	0	0	3	0	2	0	0
15	1	0	0	0	5	0	0	0	2	5	2	0	0	3	1	0
16	0 2	0	0	0	0 4	0	0	0	3	0	0	0	0	1	1 2	0
17	0	0	0	0	14	1	1	0	4	0	0	0	0	9	1	0
18	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	0
19	0	0	0	0	2 5	0	0	0	0	0	0	0	0	2	0	0
20 21		<del>0</del>		- <del>-</del> 0	<del></del>	i	<del></del> 0		<del>0</del>	<del>-</del>		<del>-</del>		<del>-</del>	<del>-</del> 1	0
22	2	0	0	0	7	2	<del></del>	1	1	0	2	2	0	5	0	0
23	0	0	0	0	5	0	0	0	3	0	0	0	1	1	0	0
24	1	0	0	0	6	1 2	0	0	3 5	7	0	2	6	0	0	0
25	0	0	0	0	7	4	3	0	3	0	2	0	0	1	1	1
26	0	0	1	0	9	2	6	0	1	3	0	0	0	5	2	0
27	0	0	0	0 0	5 5	0	0	<del>1</del>	5	0 5	0	1 0	0	3	0	1 0
28		<del></del>		- <del>-</del>	10	<del>-</del>	<u> </u>		6-6	<u>-</u>		<del>-</del>		4	<del>-</del> 5	0
29	0	0	0		2	0	<del></del> 0	0	0	4	2	0	0	2	0	0
30	0	0	0	0	6	0	0	0	0	1	0	0	0	2	0	0
31	0 2	0	0	0	11 8	0	0	1	3	2	2	2 0	2	4	2	3
32	0	0	0	0	6	0	0	0	3	1	2	0	0	3	0	0
33	0	0	0	0	5	0	0	0	0	0	0	0	0	0	1	0
34	2	0	0	0	7	0	0	0	1	1	0	0	0	6	1 2	0
35	0	0	0	0	1 6	0	0	0	2	0	2	0	0	3	2	2
36	0	0	0	0	3	0	0	0	1	0	0	0	0	1	0	0
37	0	0	0	0	18 0	4	0	1	2	0	1 0	4	0	12	3	0
38	0	0	0	0	0	0	0	0	1 0	0	0	0	0	0	0	0
39	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
40	1	0	0	0	4	0	0	0	0	0	0	0	0	6	1	1
41	0	0	0	0	12 0	2	0	0	0	1	0	0	3	0	3	0
42	1	0	0	0	14	1	1	0	4	1	3	0	1	8	2	2
43 44	0	0	0	0	3	0	0	0	0	1	0	0	0	0	0	0
45	0	0	0	0	7 5	1	0	0	1 2	0	0	0	0	11 6	1	0
46	0	1	0	0	1	0	1	0	0	0	0	0	0	0	0	0
47	0	0	0	0	6	0	0	0	2	1	0	0	1	3	2	1
48	0	0	0	0	9	0	0	0	2	0	1 0	0	0	3 2	0	0
49	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0
50	0	0	0	0	0	0	<u>0</u>	0	0	0	0	0	0	1 4	0	0
51	0	$\frac{1}{0}$	0		17 17	<u>1</u>	$-\frac{1}{0}$	0	10	0	7	3 2		4	<u>1</u>	$\frac{0}{0}$
52	0	1	0	0	1	1	0	0	4	0	1	0	0	1	1	0
53	0	0	0	0	1	0	1	0	0	0	0	1	0	1	3	0
54	0	0	0	0	3	0	0	0	1 4	3	0	0	0	3 4	3	0
55	0	0	0	0	1	0	0	1	0	2	0	1	0	4	0	0
56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
57	0	0	0	0	2	1	0	0	1	0	1 0	0	0	1	0	0 2
58	0	0	0	0	5	2	0	0	0	2	1	0	1	1	0	1
59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
60	0	0	0	0	5	1	1	0	6	2	0	2	0	8	1	1
	0	0	0 0 F	or pee	reviev	<sup>o</sup> ogly -	http://	o b <del>mjope</del>	n.bmj.d	om/site	/about/	g <del>uideli</del> i	nes <sub>e</sub> xht	n 0	0	0
	0	0	0	0	3	0	0	0	0	0	0	3	0	1	0	0
	0	2	0	0	1	2	0	0	1	0	0	0	0	0	0	0

0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0		U	0	0	0	4	U	0	0	4	0	0	1	0	'	2	
0 0 0 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0		0	0	0	0	1	0	0	®M.	Orien	0	0	0	0	0	0	Pag <u></u> 430 €
0 0 0 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0	r	0	0	0	0	1	0	0	0	0	0	0	1	0	0	0	1
0 0 0 0 6 0 0 6 0 0 0 0 3 2 1 1 0 0 0 4 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 0	H	0		0								0	0			1	0
O	-								-			-	-				
0	L													-			
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			Patie	ent						Intercurrent ever					
Pag	e 31 of 3	36	1 0.01		Others			BMJ O	pen	Medical	ntercurrer		ot priority)		Other
1	Patient's preferences	Psychological profile	Alcohol	Socio- professional context	Familial context	Stress	Hopeless	Professional risk	Age	Other intercurrent disease	Depressive disorder	Sleep Apnea	Drug related medical intercurrent event	Non medical intercurrent event	Organization issue
1 2	1	0	2	1	0	0	1	0	0	1	0	0	0	0	1
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6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	3	3	0	0	0	0	0	0	0	0	0	0	0	0	1
8	2	0	0	0	0	0	0	0	0	0	0	0	1	1	1
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11	0	1	0	1	1	0	0	0	1	1	0	0	0	2	0
12	1	1	0	0	0	0	0	0	0	0	0	0	0	2	1
13	0	0	0	2	0	0	0	0	0	0	0	0	0	4 1	0 2
14	1	0	1	0	0	0	0	0	0	0	0	0	0	0	1
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16	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0 2
17 10	0	0	0	0	0	0	0	0	0	5	0	0	1	2	1
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31	0	6	1	0	0	1	0	0	0	1	2	0	0	0	0
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7 3 9 0 1 2 3 4 5															BMJ Open: first published as 10.1136/bmjopen-2015-010639 on 13 May 2016. Downloaded from http://bmjopen.bmj.com/ on April 18, 202	
) 7																18, 202

	Other medical			BP Measu	rement		Diagnosis of risk factor  Home BP Measurement Others					Measurement device				
							Others		BMJ C	урсп		Preference	Measurer	nent device	Destaura	
1 📙	priority	Sleep issues	HBPM correct procedure	HBPM uncorrect procedure	HBPM unknown procedure	Recent cardio checkup	ABPM	Echo cardio graphy	Borderline	Not so bad	Unusual results	for manual device	Inadequate arm cuff	Unreliable measurement device	Preference for another electronic device	Missing results
2	0	0	1	0	8	0	0	0	2	1	0	4	0	1	0	0
3	0	0	0	0	0	0	0	0	0 12	0	9	2	0	0	2	0
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5	0	0	0	0	1	2	0	0	4	1	3	4	6	0	0	0
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6	0	0	0	0	2	0	0	0	0	1	0	0	0	0	0	0
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8	0	0	0	0	0	0	0	0	0	0	3	0 2	0	0	0	0
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10	1	0	1	0	1	0	0	0	2	0	1	3	4	2	2	0
11	3	0	0	0	1	0	0	0	1	0	3	0	0	0	0	0
12	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0	1
13 _	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	2
14	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	1
15	0	0	0	0	0	0	0	0	8	0	2	0	0	0	0	0
16	0	0	1	0	0	0	1	0	0	0	1	0	1	0	0	0
17	0	0	0	0	2	0	0	0	0	1	1	0	0	0	0	1
18	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
20	0	0	0	0	0	0	0	0	2	0	0	3	0	1	0	0
21	0	0	0	0	0	1	0	0	2	0	1	0	0	0	0	0
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25	0	0	0	0	2	2	0	0	0	2	2	1	0	0	0	0
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31	1	0	0	0	13	3	0	0	1	1	3	0	0	0	0	0
32	1	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0
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34	0	0	0	0	1	0	0	0	1	1	0	0	0	0	0	0
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36	0	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0
37	0	0	0	0	0	0	0	0	1	5	0	1	2	0	1	0
38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
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