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Mortality and use of psychotropic medication in stroke patients: a population-wide register-based study

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Author contributions: Poul Jennum (PJ) and Jakob Kjellberg (JK): creation, initiation and management of the project. PJ is the main author. JK and RI performed the statistical analyses and commented on the manuscript. LB and HI commented on the methods and critically revised the manuscript.

Key words: stroke, hypnotics, antidepressants, antipsychotics, benzodiazepines, all-cause mortality.

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ABSTRACT

Objectives: The study sought to describe whether psychotropic medication may have long-term side effects in stroke patients as compared to controls.

Setting: Use of all national register data from health care services were identified from the Danish National Patient Registry in Denmark. Information about psychotropic medication use was obtained from the Danish Register on Medicinal Product Statistics.

Objectives: We aimed to evaluate all-cause mortality in relation to the use of benzodiazepines, antidepressants and antipsychotics in stroke patients and matched controls.

Participants: Patients with a diagnosis of stroke and either no drug use or pre-index use of psychotropic medication (n = 49 968) and compared with control subjects (n = 86 100) matched on age, gender, marital status and community location.

Primary outcome measure: all-cause mortality

Results: All-cause mortality was higher in patients with previous stroke as compared to control subjects. Mortality hazard ratios were increased for subjects prescribed serotonergic antidepressant drugs (respectively, HR=1.699 (SD=0.030), P=0.001 in patients; HR=1.908 (0.022), P<0.001 in controls), tricyclic antidepressants (HR=1.365 (0.045), P<0.001; HR=1.733 (0.022), P<0.001), benzodiazepines (HR=1.643 (0.040), P<0.001); HR=1.776 (0.053), P<0.001), benzodiazepine-like drugs (HR=1.776 (0.021), p<0.001; HR=1.547 (0.025, p<0.001), first-generation antipsychotics (HR=2.001 (0.076), p<0.001; HR=3.361 (0.159), P<0.001), and second-generation antipsychotics (HR=1.645 (0.070), p<0.001; HR=2.555 (0.086), p<0.001), as compared with no drug use. Interaction analysis suggested statistically significantly higher mortality hazard ratios for most classes of psychotropic drugs in controls compared with stroke patients.

Conclusion: All-cause mortality was higher in stroke patients and controls treated with benzodiazepines, antidepressants and antipsychotics than in their untreated counterparts. Our findings suggest that care should be taken in the use and prescription of such drugs, and that they should be used in conjunction with adequate clinical controls.

Strengths and limitations of this study

- Use of hypnotics, antidepressants and antipsychotics is more frequently used in stroke patients than in controls, and is associated with greater all-cause mortality in stroke patients and matched controls.
- Care should be taken in the use and prescription of such drugs, and that they should be used in conjunction with adequate clinical controls.
- The strengths of the National Patient Registry are that it is a national database that includes all identified patients and comorbid conditions and psychotropic medication, it is time-locked (all reports must be associated with patient contacts) and includes a substantial follow-up period.
- The limitation of the study is, although the study is controlled, it is not a randomized controlled trial, which means there is a potential bias towards more severe diseases among treated patients.

Introduction

Stroke is one of the most important neurological causes of morbidity, mortality and disability and affects a significant proportion of the population. Stroke has deleterious effects on patients' social function, employment, quality of life, and the disease entails a societal burden that is manifested as increased direct and indirect costs¹. Early intervention and management of stroke in recent years have significantly improved prognosis, with reduced mortality and fewer neurological deficits. After the acute phase, up to 40% of patients suffer from post-stroke depression within the first year², and patients may suffer from comorbid insomnia and psychiatric symptoms, such as hallucinations and other psychotic symptoms³.

In recent years, the increased frequency of off-label use of psychotropic drugs, their side effects, and the harm they may cause have been a growing concern⁴⁻⁶. Studies of large groups of patients with psychotic diseases and of patients with chronic insomnia have raised concerns about increased mortality rates in benzodiazepine-treated patients, especially when used in combination with other psychotropic drugs⁷⁻⁹. There is additional concern about the association between benzodiazepine use and cognitive decline in Alzheimer's disease¹⁰⁻¹³. Stroke patients suffer from major comorbidities, including cardiac arrhythmias and sleep-related hypoxemia, and thus there is a general consensus that the use of respiratory depressants should be avoided. Few studies have addressed the effect on mortality when using antidepressants in post-stroke patients. These studies have found reduced mortality rates in short-term studies in patients treated during the stable phase¹⁴. However, there have been no prospective studies of morbidity and mortality in stroke patients in relation to the use of psychotropic drugs.

The aim of this study was to evaluate the association between all-cause mortality and the use of benzodiazepines (BZDs), benzodiazepine-like (BZD-like) medications, tricyclic antidepressants (TCAs), selective serotonin reuptake inhibitors (SSRIs), serotonin noradrenaline reuptake inhibitors (SNRIs), and first-generation and second-generation antipsychotics (FGAs and SGAs) in stroke patients and matched controls.

Material and methods

Subjects

In Denmark, all hospital contacts are recorded in the National Patient Registry (NPR) with respect to the time of contact and information about primary and secondary diagnoses. The NPR includes administrative information and details on all diagnoses, diagnostic methods, hospitalizations, and ambulatory clinical contacts (initial and follow-up) in all public and private hospitals. These data are recorded using several international classification systems, including the International Classification of Diseases, 10th Edition (ICD-10). The NPR is a time-based nation-wide register that includes data from all inpatient and outpatient contacts. Thus, the

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3 data extracted for this study are representative of all patients in Denmark who have been
4 diagnosed with stroke, irrespective of other diagnoses. Data were available for the entire
5 observation period so we were able to trace patients retrospectively and prospectively, relative
6 to the time of their diagnosis.
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9 Using the NPR, we identified all patients diagnosed with stroke between 1997 and 2009.
10 To identify stroke diagnoses, we used the ICD-10 codes I61: cerebral hemorrhage, I63: brain
11 infarction, I64: stroke not otherwise defined as being due to infarction or hemorrhage. The
12 diagnosis code is recorded after patient evaluation in each hospital (based on a standardized
13 evaluation of stroke). The expected distribution of stroke types is 15% hemorrhagic and 85%
14 ischemic¹⁵. We did not include patients with a diagnosis of transitory ischemic episodes. Then,
15 using data from Denmark's Civil Registration System Statistics, we randomly selected citizens of
16 the same age, gender, county of residence and marital status as the patients, but who did not
17 have a diagnosis of any stroke episodes. Parity of socio-economic status (SES) was ensured by
18 selecting control subjects from the same part of the country in which the patient lived and by
19 matching for partnership (including those married). A ratio of control subjects to patients of 2:1
20 was used to take into account the variation among the controls. Data from patients and
21 matched controls that could not be identified in the Coherent Social Statistics database were
22 excluded from the sample. The patients and matched control subjects were traced
23 retrospectively and prospectively for up to 12 years in the NPR registry and prescription
24 database. All observations were successfully matched at the year of diagnosis (index year).
25 Patients and control subjects who could not be identified in the social security registry in the
26 years before and after diagnosis (typically as a result of emigration) were excluded from the
27 sample. More than 99% of the patients and controls had complete data.
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38 **Psychotropic medications studied**

39 Information on type, number, dose and date of prescriptions were obtained from the
40 Danish Register of Medicinal Product Statistics, which includes all medications prescribed
41 outside hospitals. Seven medication classes were included in the analysis: BZDs, BZD-like, SSRIs,
42 SNRIs, TCAs, FGAs and SGAs. Medication use was defined as filling of at least three prescriptions
43 with a compliance rate of at least 60% in the 12 months before the index date. Compliance rate
44 was defined as the daily defined dose (DDD) coverage of prescriptions and was set to 60% as an
45 inclusion criterion. The no medication group was defined as those who had not collected any
46 psychotropic drugs within 12 months prior to the index date or during the observation period.
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49 A substantial number of patients used medication before the year of diagnosis. We
50 created a dummy variable to control for this in the regression. Some patients discontinued
51 medication; they were identified by determining whether the most recent prescription had
52 been collected more than three years before the end-point (stop parameter). Such cases were
53 scored as zero in the analysis.
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59 **Statistical analyses**

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3 Morbidity and mortality data were extracted as primary and secondary diagnoses from the NPR
4 in accordance with the World Health Organization (WHO) ICD-10 criteria. For the purpose of
5 this study, we used all-cause morbidity and all-cause mortality data. To avoid the influence of
6 mortality due to factors other than medication use, we only included patients and controls who
7 had survived for at least 1 year after the index date and who were treated with not more than
8 one psychotropic medication to avoid the influence of the others. Statistical analysis involved
9 the development of proportional Cox hazard models in which survival was conditional on the
10 explanatory variables of age, gender and use of medication types. Three regressions were
11 performed, for the case and control groups separately, and for both groups. In the latter, a
12 dummy variable indicating case vs. control and interaction terms for medication*control were
13 included. The interaction term should indicate whether the effect of that particular medication
14 on survival was different for the case and control groups. After conditioning on one year of
15 survival (removing both cases and controls from the population), we tested the model for
16 proportionality to make sure that the assumption for the proportional Cox model was met.
17 Since this was not the case for some covariates, an extended Cox model was developed, using a
18 time*interaction term for the covariates that were not proportional. The interpretations of the
19 covariates were not straightforward once the interaction with time had been included. The
20 effect of the non-proportional covariates on survival could be calculated for each year after the
21 index date. Statistical analyses were performed using SAS 9 (SAS, Inc., Cary, NC, USA).

22 23 24 25 26 27 28 29 30 31 32 **Ethics**

33 The study was approved by the Danish Data Protection Agency. Data were anonymized and so
34 neither individual nor ethical approval was required.

35 36 37 38 **Results**

39 In total, the study population comprised 49 968 patients suffering from ischemic, hemorrhagic
40 or unspecified stroke and 86 100 controls. The descriptive information for the study population
41 is shown in Table 1. Stroke patients suffered from high pre-index (i.e., 12 months prior to the
42 index date) mortality (Figure 1).

43 The distribution of the patients and control subjects along with their treatment is shown in
44 Table 1. In general, stroke patients were more frequently treated with a psychotropic drug than
45 were the controls (Table 1).

46 47 48 49 50 51 52 **Survival after a stroke diagnosis**

53 Survival data with respect to total medication use in stroke patients and their controls are
54 shown in Figure 1 subdivided into treatment with any of the psychotropic drugs or not.
55 Mortality hazard ratios for each class of medication are shown in Table 2 and the results of the
56 Cox model with interactions in Table 3 adjusted for the effect of age, gender and Charlson
57 comorbidity index. Survival was generally lower, with increased mortality hazard ratios, for all
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3 drug classes in both stroke patients and controls. We found statistically significant interactions
4 between group and psychotropic medication regarding TCAs, SSRIs, BZD-like and SGAs (Table
5 3), i.e. the associations of excess risk of death with use of these classes of psychotropic
6 medications were more pronounced in control subjects compared with controls. The Hazard
7 Ratios was highest among controls using any of the psychotropic drugs as compared to stroke
8 patients (Table 3).

13 Discussion

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16 This study, which included data from a nation-wide patient registry, yielded several important
17 findings: a) psychotropic drugs are more frequently used by stroke patients than by matched
18 control individuals; b) psychotropic drugs are generally associated with increased mortality, the
19 highest mortality being observed in stroke patients and control subjects treated with
20 antipsychotics, c) control subjects showed higher mortality hazard ratios (SSRI, TCA and BZD-
21 like) as compared to stroke patients, and d) this association is present after adjusting for
22 comorbidities and avoiding the initial phase with high mortality which may be due to the stroke
23 and multiple comorbidities immediately after the stroke.

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25 The higher mortality with the use of benzodiazepines, antidepressants and antipsychotics
26 in patients with stroke was not be exclusively associated with the presence of stroke because
27 the association was also found in control subjects. Previous studies have evaluated the
28 mortality rates associated with BZDs in patients with other conditions, including patients with
29 insomnia^{7;8}, patients in dialysis¹⁶, and those with depression¹⁷. The need for further
30 evaluation of the use of antidepressants and antipsychotics and their associations with
31 morbidity, including metabolic syndrome and mortality in other groups of patients including
32 psychiatric, neurodegenerative and cardiac diseases has been stressed by many authors¹⁸⁻²². A
33 major concern about studies of this type is whether the group of people receiving treatment is
34 a population with intrinsically higher comorbidity rates than the untreated population. We
35 cannot discount this possibility in the population considered here. Depression is associated with
36 an increased risk of developing cardiac and cerebrovascular events^{23;24}, and with new events in
37 established cardiovascular patients²⁵. Patients with stroke are more likely to be treated for
38 depression²⁶⁻²⁸ and to suffer from insomnia²⁹ and depressive symptoms^{30;31}. Earlier studies
39 addressed the potentially greater mortality in stroke patients using antipsychotics³¹⁻³³.
40 Although not proving that comorbid conditions play a role in the higher mortality of those
41 treated with psychotropic drugs, it does suggest that the relation is associated with effect of
42 the drugs. We included three major classes of psychotropic drugs in this study to evaluate the
43 effects of their individual use. We also included the use of BZD-like drugs as it has previously
44 been assumed that these drugs are safer than benzodiazepines. We found no such effect; there
45 was no major difference in mortality between BZD and BZD-like drugs. This population is one of
46 the largest ever studied, but, even though we found that the risk was still higher for SSRIs, BZD-
47 like drugs and antipsychotics, our results suggest that even larger population-based studies,
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3 with longer follow-up times, are required. This is reflected in Table 3, which illustrates a
4 subgroup analysis of specific groups of drugs that attempts to evaluate the different types.
5 Further sub-classification of morbidities that adequately controls for different types of
6 psychiatric and medical diagnosis would require an even larger dataset.
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9 There are several factors that could explain why some of the drugs studied might affect
10 morbidity and even mortality rates. The drugs may affect arousals from sleep, metabolic,
11 respiratory, autonomic and cardiac function³⁴⁻³⁶. BZDs may induce upper-airway collapse and
12 potentially affect respiration. Use of clonazepam has been reported to induce sleep apnea³⁷.
13 This is potentially important as sleep apnea is very common in stroke patients²⁹. The
14 respiratory depression of hypnotics has not been fully identified in polysomnography studies³⁸.
15 These studies are performed under controlled circumstances in a small number of selected
16 patients, while natural usage includes unselected patients in their natural environment, where
17 dose and presence of other risk factors (e.g., co-medication, sleep deprivation, and alcohol
18 consumption) are not controlled for. Overdoses and combinations have been reported in
19 patients admitted to emergency rooms³⁹. Furthermore, predictors of higher mortality include
20 male gender, increasing age and lower educational level, combined with alcohol consumption
21 and inappropriate lifestyles — factors that may be associated with the use of psychotropic
22 drugs and that are not taken into consideration in clinical laboratory testing. Another Danish
23 study confirmed our findings of higher total mortality but lower cardiovascular mortality among
24 SSRI-treated stroke patients. It was concluded that this was due to the higher hemorrhagic
25 mortality in treated patients⁴⁰. Consequently, future studies should explore the relationship
26 between psychotropic drugs, morbidity and mortality in greater detail.
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29 In this study, we carried out a total evaluation, controlling for gender, age and
30 demographic variables, which are all known to influence disease outcome, and for
31 comorbidities. We also avoided the initial period when patients are much frailer and mortality
32 rates are high due to the direct effect of the stroke, and the great comorbidity in these patients.
33 As such, we believe that the risk associations found in the study are conservative, as is further
34 supported by the finding that the risk association in stroke patients is lower than in controls.
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36 Limitations of the study: the study has a number of limitations: a) it is based on
37 clinic/hospital reports to the NPR; b) the diagnostic accuracy depends on the clinic's
38 presentation and reporting of the diagnosis and any comorbidities; c) confounder variables
39 (e.g., BMI and other cardiovascular risk factors) were not recorded; d) symptoms and clinical
40 evaluation results were not considered (e.g., those measured by polysomnography, limited
41 channel polygraphy and cardiac evaluations), so we could not relate our findings to disease
42 severity. We did not exclude diseases, especially unidentified stroke, in the controls. The types
43 of medication given on prescription are highly controlled in Denmark, but we do not know
44 whether the drugs were taken or shared, or if they were taken from someone else's
45 prescription (e.g., that of the patient's spouse). Nevertheless, we believe that using at least
46 three prescriptions indicates more chronic use. We have no definitive explanation of the higher
47 mortality rate among the controls compared with the stroke patients: one cause could be that
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3 we only included patients who survived after one year. Evaluation of the total population
4 showed smaller differences, so it is likely that those who survived the stroke incident have
5 higher survival rates despite the treatment with psychotropic drugs.
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8 The strengths of the NPR, however, are that it is a national database that includes all
9 identified patients and comorbid conditions and psychotropic medication, it is time-locked (all
10 reports must be associated with patient contacts) and includes a substantial follow-up period.
11 In addition, although the study is controlled, it is not a randomized controlled trial, which
12 means there is a potential bias towards more severe diseases among treated patients. We
13 conclude that use of hypnotics, antidepressants and antipsychotics is more frequently used in
14 stroke patients than in controls, and is associated with greater all-cause mortality in stroke
15 patients and matched controls. Our findings suggest that care should be taken in the use and
16 prescription of such drugs, and that they should be used in conjunction with adequate clinical
17 controls.
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30 **Competing interest:** none of the authors report competing.

31 **Data sharing statement** No additional data are available.
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Table 1 Number of the total population conditioned on 1 year of survival after the first onset of stroke, classified as stroke patients and their controls, and their distribution with respect to psychotropic drug use.

	Stroke patients		Controls		P
	N	%	N	%	
Age (mean, SD)	66.6	14.4	65.3	14.5	
Gender (female)	21 995	44.0	36 595	42.5	
No medication	33 778	67.6	72 707	84.4	<0.001
SSRI/SNRI	8366	16.7	6789	7.9	<0.001
TCA	1082	2.2	756	0.9	<0.001
BZD	4675	9.4	3542	4.1	<0.001
Z-drugs	5165	10.3	4331	5.0	<0.001
FGA	381	0.8	349	0.4	<0.001
SGA	511	1.0	421	0.5	<0.001
Charlson comorbidity index (mean, SD)	0.8	0.8	0.1	0.4	<0.001
All	49 968		86 100		

Abbreviations:

SSRI: Selective serotonin reuptake inhibitors

SNRI: Serotonin–norepinephrine reuptake inhibitors

TCA: Tricyclic antidepressants

BZD: Benzodiazepines

FGA: First-generation antipsychotics

SGA: Second-generation antipsychotics

Table 2 Hazard ratios of mortality in stroke and control subjects.

	Stroke patients			Controls		
	Hazard ratio	SD	P	Hazard ratio	SD	P
Age	1.087*	<0.001	<0.001	1.114	<0.001	<0.001
Gender (female)	0.786	0.016	<0.001	0.679	0.017	<0.001
Charlson comorbidity index	1.076*	0.014	<0.001	1.403*	0.014	<0.001
SSRI (incl. SNRI)	1.699*	0.030	<0.001	1.908	0.022	<0.001
TCA	1.365	0.045	<0.001	1.733	0.060	<0.001
BZD	1.643*	0.040	<0.001	1.776*	0.053	<0.001
BZD-like	1.386	0.021	<0.001	1.547	0.025	<0.001
FGA	2.001	0.076	<0.001	3.361*	0.159	<0.001
SGA	1.645	0.070	<0.001	2.555	0.086	<0.001
Observations	49 968			86	100	
% censored	64.5%			81.3%		

Note: time*interaction coefficient not reported

*Time-varying covariates were calculated in year 2, when all time-dependent covariates were significant in the time test

Estimate = a + a_t * year

Abbreviations:

SSRI: Selective serotonin reuptake inhibitors

SNRIs: Serotonin–norepinephrine reuptake inhibitors

TCA: Tricyclic antidepressants

BZDs: Benzodiazepines

FGAs: First-generation antipsychotics

SGAs: Second-generation antipsychotics

Table 3 Mortality hazard ratios for psychotropic drugs in the total study sample.

	Basic Cox model*			Cox model with interactions*		
	Hazard ratio	SD	P	Hazard ratio	SD	P
Age	1.102	0.001	<0.001	1.102	0.001	<0.001
Gender (female)	0.734	0.012	<0.001	0.734	0.012	<0.001
Control group	0.600	0.013	<0.001	0.547	0.015	<0.001
Charlson comorbidity index	1.175	0.010	<0.001	1.169	0.010	<0.001
SSRI (incl. SNRI)	1.737	0.014	<0.001	1.270	0.029	<0.001
TCA	1.500	0.036	<0.001	1.404	0.045	<0.001
BZD	1.720	0.032	<0.001	1.532	0.023	<0.001
BZD-like	1.536	0.027	<0.001	1.340	0.021	<0.001
FGA	2.374	0.057	<0.001	2.260	0.076	<0.001
SGA	1.934	0.054	<0.001	1.745	0.070	<0.001
Interactions						
Control*SSRI				1.165	0.045	<0.001
Control*TCA				1.209	0.075	0.011
Control*BZD				1.164	0.057	0.143
Control*BZD-like				1.236	0.032	<0.001
Control*FGA				1.125	0.115	0.305
Control*SGA				1.327	0.111	0.011
Observations	136 068			136 068		
% censored	75.1%			75.1%		

* time interaction coefficient not reported

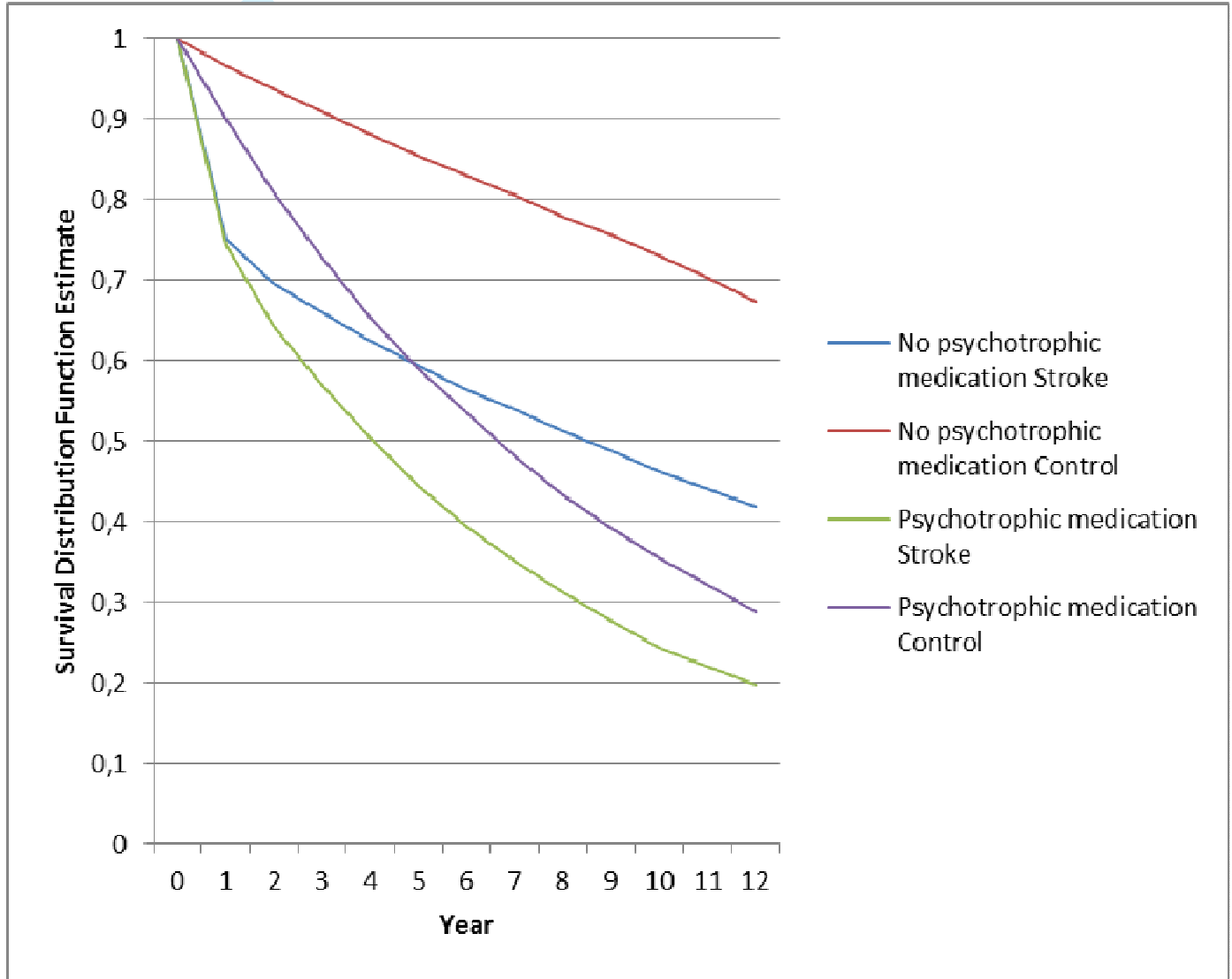
Note: Time-varying covariates were calculated in year 2, when all time-dependent covariates were significant in the time test

Estimate = $a + a_t * \text{year}$

Figure legends

Figure 1

Survival by groups: total stroke patients versus total controls, and stroke patients versus controls subdivided into those treated or not treated with any psychotropic drugs, by years of follow-up.



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Mortality and use of psychotropic medication in stroke patients: a population-wide register-based study

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Mortality and use of psychotropic medication in stroke patients: a population-wide register-based study

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Key words: stroke, hypnotics, antidepressants, antipsychotics, benzodiazepines, all-cause mortality.

Word count: 2581

ABSTRACT

Objectives: The study sought to describe whether psychotropic medication may have long-term side effects in stroke patients as compared to controls.

Setting: Use of all national register data from health care services were identified from the Danish National Patient Registry in Denmark. Information about psychotropic medication use was obtained from the Danish Register on Medicinal Product Statistics.

Objectives: We aimed to evaluate all-cause mortality in relation to the use of benzodiazepines, antidepressants and antipsychotics in stroke patients and matched controls.

Participants: Patients with a diagnosis of stroke and either no drug use or pre-index use of psychotropic medication (n = 49 968) and compared with control subjects (n = 86 100) matched on age, gender, marital status and community location.

Primary outcome measure: all-cause mortality

Results: All-cause mortality was higher in patients with previous stroke as compared to control subjects. Mortality hazard ratios were increased for subjects prescribed serotonergic antidepressant drugs (respectively, HR=1.699 (SD=0.030), P=0.001 in patients; HR=1.908 (0.022), P<0.001 in controls), tricyclic antidepressants (HR=1.365 (0.045), P<0.001; HR=1.733 (0.022), P<0.001), benzodiazepines (HR=1.643 (0.040), P<0.001); HR=1.776 (0.053), P<0.001), benzodiazepine-like drugs (HR=1.776 (0.021), p<0.001; HR=1.547 (0.025, p<0.001), first-generation antipsychotics (HR=2.001 (0.076), p<0.001; HR=3.361 (0.159), P<0.001), and second-generation antipsychotics (HR=1.645 (0.070), p<0.001; HR=2.555 (0.086), p<0.001), as compared with no drug use. Interaction analysis suggested statistically significantly higher mortality hazard ratios for most classes of psychotropic drugs in controls compared with stroke patients.

Conclusion: All-cause mortality was higher in stroke patients and controls treated with benzodiazepines, antidepressants and antipsychotics than in their untreated counterparts. Our findings suggest that care should be taken in the use and prescription of such drugs, and that they should be used in conjunction with adequate clinical controls.

Strengths and limitations of this study

- Hypnotics, antidepressants and antipsychotics are more frequently used in stroke patients than in controls, and is associated with greater all-cause mortality in stroke patients and matched controls.
- Care should be taken in the use and prescription of such drugs, and that they should be used in conjunction with adequate clinical control.
- The strengths of the National Patient Registry includes the following: it is a national database that includes all identified patients and comorbid conditions and psychotropic medication, it is time-locked (all reports must be associated with patient contacts) and includes a substantial follow-up period.
- The limitation of the study is that although the study is controlled, it is not a randomized controlled trial, which means there is a potential bias towards more severe diseases among treated patients.

Introduction

Stroke is one of the most important neurological causes of morbidity, mortality and disability and affects a significant proportion of the population. Stroke has deleterious effects on patients' social function, employment, quality of life, and the disease entails a societal burden that is manifested as increased direct and indirect costs¹. Early intervention and management of stroke in recent years have significantly improved prognosis, with reduced mortality and fewer neurological deficits. After the acute phase, up to 40% of patients suffer from post-stroke depression within the first year², and patients may suffer from comorbid insomnia and psychiatric symptoms, such as hallucinations and other psychotic symptoms³.

In recent years, the increased frequency of off-label use of psychotropic drugs, their side effects, and the harm they may cause have been a growing concern⁴⁻⁶. Studies of large groups of patients with psychotic diseases and of patients with chronic insomnia have raised concerns about increased mortality rates in benzodiazepine-treated patients, especially when used in combination with other psychotropic drugs⁷⁻⁹. There is additional concern about the association between benzodiazepine use and cognitive decline in Alzheimer's disease¹⁰⁻¹³. Stroke patients suffer from major comorbidities, including cardiac arrhythmias and sleep-related hypoxemia¹⁴. Few studies have addressed the effect on mortality when using antidepressants in post-stroke patients. These studies have found reduced mortality rates in short-term studies in patients treated during the stable phase¹⁵, and there is also some evidence that the use of selective serotonin reuptake inhibitors (SSRIs) may improve recovery after stroke¹⁶. However, there have been no prospective studies of morbidity and mortality in stroke patients in relation to the use of psychotropic drugs.

The aim of this study was to evaluate the association between all-cause mortality and the use of benzodiazepines (BZDs), benzodiazepine-like (BZD-like) medications, tricyclic antidepressants (TCAs), SSRIs, serotonin noradrenaline reuptake inhibitors (SNRIs), and first-generation and second-generation antipsychotics (FGAs and SGAs) in stroke patients and matched controls.

Material and methods

Subjects

In Denmark, all hospital contacts are recorded in the National Patient Registry (NPR) with respect to the time of contact and information about primary and secondary diagnoses. The NPR includes administrative information and details on all diagnoses, diagnostic methods, hospitalizations, and ambulatory clinical contacts (initial and follow-up) in all public and private hospitals. These data are recorded using several international classification systems, including the International Classification of Diseases, 10th Edition (ICD-10). The NPR is a time-based nation-wide register that includes data from all inpatient and outpatient contacts. Thus, the

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3 data extracted for this study are representative of all patients in Denmark who have been
4 diagnosed with stroke, irrespective of other diagnoses. Data were available for the entire
5 observation period, and thus we were able to trace patients retrospectively and prospectively,
6 relative to the time of their diagnosis.
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9 Using the NPR, we identified all patients diagnosed with stroke between 1997 and 2009.
10 To identify stroke diagnoses, we used the ICD-10 codes I61: cerebral hemorrhage, I63: brain
11 infarction, I64: stroke not otherwise defined as being due to infarction or hemorrhage. We
12 observed 76,591 cases in the total period. Under evaluation for compliance 69,970 cases and
13 49,968 survived one year. The diagnosis code is recorded after patient evaluation in each
14 hospital (based on a standardized evaluation of stroke). The expected distribution of stroke
15 types is 15% hemorrhagic and 85% ischemic¹⁷. We did not include patients with a diagnosis of
16 transitory ischemic episodes. Then, using data from Denmark's Civil Registration System
17 Statistics, we randomly selected citizens of the same age, gender, county of residence and
18 marital status as the patients, but who did not have a diagnosis of any stroke episodes. Parity
19 of socio-economic status (SES) was ensured by selecting control subjects from the same part of
20 the country in which the patient lived and by matching for partnership (including those
21 married). A ratio of control subjects to patients of 2:1 was used to take into account the
22 variation among the controls. Data from patients and matched controls that could not be
23 identified in the Coherent Social Statistics database were excluded from the sample. The
24 patients and matched control subjects were traced retrospectively and prospectively for up to
25 12 years in the NPR registry and prescription database. All observations were successfully
26 matched at the year of diagnosis (index year). Patients and control subjects who could not be
27 identified in the social security registry in the years before and after diagnosis (typically as a
28 result of emigration) were excluded from the sample. More than 99% of the patients and
29 controls had complete data.
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41 **Psychotropic medications studied**

42 Information on type, number, dose and date of prescriptions were obtained from the Danish
43 Register of Medicinal Product Statistics, which includes all medications prescribed outside
44 hospitals. Seven medication classes were included in the analysis: BZDs, BZD-like, SSRIs, SNRIs,
45 TCAs, FGAs and SGAs. Medication use was defined as filling of at least three prescriptions with a
46 compliance rate of at least 60% in the 12 months before the index date. Compliance rate was
47 defined as the daily defined dose (DDD) coverage of prescriptions and was set to 60% as an
48 inclusion criterion. The no medication group was defined as those who had not collected any
49 psychotropic drugs within 12 months prior to the index date or during the observation period.
50 The compliance was determined in the pre-period for the compliant group. Non-compliant was
51 defined as not meeting the compliance goal but collecting medication in the pre-period and
52 they were excluded from the population. Non-user did not collect any medication in either the
53 pre or post-period, so they are true non-users'
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3 A substantial number of patients used medication before the year of diagnosis. We
4 created a dummy variable to control for this in the regression. Some patients discontinued
5 medication; they were identified by determining whether the most recent prescription had
6 been collected more than three years before the end-point (stop parameter). Such cases were
7 scored as zero in the analysis.
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10 11 **Statistical analyses**

12 Morbidity and mortality data were extracted as primary and secondary diagnoses from the NPR
13 in accordance with the World Health Organization (WHO) ICD-10 criteria. For the purpose of
14 this study, we used all-cause morbidity and all-cause mortality data. To avoid the influence of
15 mortality due to factors other than medication use, we only included patients and controls who
16 had survived for at least 1 year after the index date and who were treated with not more than
17 one psychotropic medication to avoid the influence of the others. Statistical analysis involved
18 the development of proportional Cox hazard models in which survival was conditional on the
19 explanatory variables of age, gender and use of medication types. Three regressions were
20 performed, for the case and control groups separately, and for both groups. In the latter, a
21 dummy variable indicating case vs. control and interaction terms for medication*control were
22 included. The interaction term should indicate whether the effect of that particular medication
23 on survival was different for the case and control groups. After conditioning on one year of
24 survival (removing both cases and controls from the population), we tested the model for
25 proportionality to make sure that the assumption for the proportional Cox model was met.
26 Since this was not the case for some covariates, an extended Cox model was developed, using a
27 time*interaction term for the covariates that were not proportional. The interpretations of the
28 covariates were not straightforward once the interaction with time had been included. The
29 effect of the non-proportional covariates on survival could be calculated for each year after the
30 index date. Statistical analyses were performed using SAS 9 (SAS, Inc., Cary, NC, USA).
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42 **Ethics**

43 The study was approved by the Danish Data Protection Agency. Data were anonymized and so
44 neither individual nor ethical approval was required.
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48 **Results**

49 In total, the study population comprised 49 968 patients suffering from ischemic, hemorrhagic
50 or unspecified stroke and 86 100 controls. The descriptive information for the study population
51 is shown in Table 1. Stroke patients suffered from high pre-index (i.e., 12 months prior to the
52 index date) mortality.
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56 The distribution of the patients and control subjects along with their treatment is shown in
57 Table 1. In general, stroke patients were more frequently treated with a psychotropic drug than
58 were the controls (Table 1).
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Survival after a stroke diagnosis

Survival data with respect to total pre-index medication use in stroke patients and their controls are shown in Figure 1 subdivided into treatment with any of the psychotropic drugs or not. Mortality hazard ratios for each class of medication are shown in Table 2 and the results of the Cox model with interactions in Table 3 adjusted for the effect of age, gender and Charlson comorbidity index. Survival was generally lower, with increased mortality hazard ratios, for all drug classes in both stroke patients and controls. We found statistically significant interactions between group and psychotropic medication regarding TCAs, SSRIs, BZD-like and SGAs (Table 3), i.e. the associations of excess risk of death with use of these classes of psychotropic medications were more pronounced in control subjects compared with stroke patients. The Hazard Ratios was highest among controls using any of the psychotropic drugs as compared to stroke patients (Table 3).

Discussion

This study, which included data from a nation-wide patient registry, yielded several important findings: a) psychotropic drugs are more frequently used by stroke patients than by matched control individuals; b) psychotropic drugs are generally associated with increased mortality, the highest mortality being observed in stroke patients and control subjects treated with antipsychotics, c) control subjects showed higher mortality hazard ratios (SSRI, TCA and BZD-like) as compared to stroke patients, and d) this association is present after adjusting for comorbidities and avoiding the initial phase with high mortality which may be due to the stroke and multiple comorbidities immediately after the stroke.

The higher mortality with the use of benzodiazepines, antidepressants and antipsychotics in patients with stroke was not exclusively associated with the presence of stroke because the association was also found in control subjects. Previous studies have evaluated the mortality rates associated with BZDs in patients with other conditions, including patients with insomnia^{7,8}, patients in dialysis¹⁸, and those with depression¹⁹. The need for further evaluation of the use of antidepressants and antipsychotics and their associations with morbidity, including metabolic syndrome and mortality in other groups of patients including psychiatric, neurodegenerative and cardiac diseases has been stressed by many authors²⁰⁻²⁴. A major concern about studies of this type is whether the group of people receiving treatment is a population with intrinsically higher comorbidity rates than the untreated population. We cannot discount this possibility in the population considered here. Depression is associated with an increased risk of developing cardiac and cerebrovascular events^{25,26}, and with new events in established cardiovascular patients²⁷. Patients with stroke are more likely to be treated for depression²⁸⁻³⁰ and to suffer from insomnia³¹ and depressive symptoms^{32,33}. Earlier studies addressed the potentially greater mortality in stroke patients using antipsychotics³³⁻³⁵. All-cause mortality is increased in psychiatric diseases, the highest rates are found among

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3 psychosis, followed by depression and anxiety³⁶. The increase in mortality in this study may be
4 related to the underlying psychiatric disorder rather than to its treatment. In a recent study,
5 lower mortality was found among schizophrenia patients with moderate antipsychotic and
6 antidepressant medication but higher mortality when treated with benzodiazepines³⁷. These
7 findings suggest that drug-related mortality may depend on the psychiatric or neurological
8 disorder in question. Although not proving that comorbid conditions play a role in the higher
9 mortality of those treated with psychotropic drugs, it does suggest that the relation is
10 associated with effect of the drugs. We included three major classes of psychotropic drugs in
11 this study to evaluate the effects of their individual use. We also included the use of BZD-like
12 drugs as it has previously been assumed that these drugs are safer than benzodiazepines. We
13 found no such effect; there was no major difference in mortality between BZD and BZD-like
14 drugs. This population is one of the largest ever studied, but, even though we found that the
15 risk was still higher for SSRIs, BZD-like drugs and antipsychotics, our results suggest that even
16 larger population-based studies, with longer follow-up times, are required. This is reflected in
17 Table 3, which illustrates a subgroup analysis of specific groups of drugs that attempts to
18 evaluate the different types. Further sub-classification of morbidities that adequately controls
19 for different types of psychiatric and medical diagnosis would require an even larger dataset.

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There are several factors that could explain why some of the drugs studied might affect
morbidity and even mortality rates. The drugs may affect arousals from sleep, metabolic,
respiratory, autonomic and cardiac function³⁸⁻⁴⁰. BZDs may induce upper-airway collapse and
potentially affect respiration. Use of clonazepam has been reported to induce sleep apnea⁴¹.
This is potentially important as sleep apnea is very common in stroke patients³¹. The
respiratory depression of hypnotics has not been fully identified in polysomnography studies⁴².
These studies are performed under controlled circumstances in a small number of selected
patients, while natural usage includes unselected patients in their natural environment, where
dose and presence of other risk factors (e.g., co-medication, sleep deprivation, and alcohol
consumption) are not controlled for. Overdoses and combinations have been reported in
patients admitted to emergency rooms⁴³. Furthermore, predictors of higher mortality include
male gender, increasing age and lower educational level, combined with alcohol consumption
and inappropriate lifestyles — factors that may be associated with the use of psychotropic
drugs and that are not taken into consideration in clinical laboratory testing. Another Danish
study confirmed our findings of higher total mortality but lower cardiovascular mortality among
SSRI-treated stroke patients. It was concluded that this was due to the higher hemorrhagic
mortality in treated patients⁴⁴. Consequently, future studies should explore the relationship
between psychotropic drugs, morbidity and mortality in greater detail.

In this study, we carried out a total evaluation, controlling for gender, age and
demographic variables, which are all known to influence disease outcome, and for
comorbidities. We also avoided the initial period when patients are much frailer and mortality
rates are high due to the direct effect of the stroke, and the great comorbidity in these patients.

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3 As such, we believe that the risk associations found in the study are conservative, as is further
4 supported by the finding that the risk association in stroke patients is lower than in controls.
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6 The study has a number of limitations: a) it is based on clinic/hospital reports to the NPR;
7 b) the diagnostic accuracy depends on the clinic's presentation and reporting of the diagnosis
8 and any comorbidities; c) confounder variables (e.g., BMI and other cardiovascular risk factors)
9 were not recorded; d) symptoms and clinical evaluation results were not considered (e.g., those
10 measured by polysomnography, limited channel polygraphy and cardiac evaluations), so we
11 could not relate our findings to disease severity. We did not exclude diseases, especially
12 unidentified stroke, in the controls. The types of medication given on prescription are highly
13 controlled in Denmark, but we do not know whether the drugs were taken or shared, or if they
14 were taken from someone else's prescription (e.g., that of the patient's spouse). Nevertheless,
15 we believe that using at least three prescriptions indicates more chronic use. We have no
16 definitive explanation of the higher mortality rate among the controls compared with the
17 stroke patients: one cause could be that we only included patients who survived after one year.
18 Evaluation of the total population showed smaller differences, so it is likely that those who
19 survived the stroke incident have higher survival rates despite the treatment with psychotropic
20 drugs.
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22 The strengths of the NPR, however, are that it is a national database that includes all
23 identified patients and comorbid conditions and psychotropic medication, it is time-locked (all
24 reports must be associated with patient contacts) and includes a substantial follow-up period.
25 In addition, although the study is controlled, it is not a randomized controlled trial, which
26 means there is a potential bias towards more severe diseases among treated patients.
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28 We conclude that use of hypnotics, antidepressants and antipsychotics is more frequently
29 used pre-index in stroke patients than in controls, and is associated with greater all-cause
30 mortality in stroke patients and matched controls.
31

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37

38 **Conflict of interest:** none of the authors report conflicts of interests.
39

40 **Competing interest:** none of the authors report competing.
41

42 **Data sharing statement** No additional data are available.
43

44 **Author contributions:** Poul Jennum (PJ) and Jakob Kjellberg (JK): creation, initiation and
45 management of the project. PJ is the main author. JK and RI performed the statistical analyses
46 and commented on the manuscript. LB and HI commented on the methods and critically
47 revised the manuscript.
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Table 1 Number of the total population conditioned on 1 year of survival after the first onset of stroke, classified as stroke patients and their controls, and their distribution with respect to psychotropic drug use.

	Stroke patients		Controls		P
	N	%	N	%	
Age (mean, SD)	66.6	14.4	65.3	14.5	
Gender (female)	21 995	44.0	36 595	42.5	
No medication	33 778	67.6	72 707	84.4	<0.001
SSRI/SNRI	8366	16.7	6789	7.9	<0.001
TCA	1082	2.2	756	0.9	<0.001
BZD	4675	9.4	3542	4.1	<0.001
Z-drugs	5165	10.3	4331	5.0	<0.001
FGA	381	0.8	349	0.4	<0.001
SGA	511	1.0	421	0.5	<0.001
Charlson comorbidity index (mean, SD)	0.8	0.8	0.1	0.4	<0.001
All	49 968		86 100		

Abbreviations:

SSRI: Selective serotonin reuptake inhibitors

SNRI: Serotonin–norepinephrine reuptake inhibitors

TCA: Tricyclic antidepressants

BZD: Benzodiazepines

FGA: First-generation antipsychotics

SGA: Second-generation antipsychotics

Table 2 Hazard ratios of mortality in stroke and control subjects.

	Stroke patients			Controls		
	Hazard ratio	SD	P	Hazard ratio	SD	P
Age	1.087*	<0.001	<0.001	1.114	<0.001	<0.001
Gender (female)	0.786	0.016	<0.001	0.679	0.017	<0.001
Charlson comorbidity index	1.076*	0.014	<0.001	1.403*	0.014	<0.001
SSRI (incl. SNRI)	1.699*	0.030	<0.001	1.908	0.022	<0.001
TCA	1.365	0.045	<0.001	1.733	0.060	<0.001
BZD	1.643*	0.040	<0.001	1.776*	0.053	<0.001
BZD-like	1.386	0.021	<0.001	1.547	0.025	<0.001
FGA	2.001	0.076	<0.001	3.361*	0.159	<0.001
SGA	1.645	0.070	<0.001	2.555	0.086	<0.001
Observations	49 968			86	100	
% censored	64.5%			81.3%		

Note: time*interaction coefficient not reported

*Time-varying covariates were calculated in year 2, when all time-dependent covariates were significant in the time test

Estimate = a + a_t * year

Abbreviations:

SSRI: Selective serotonin reuptake inhibitors

SNRIs: Serotonin–norepinephrine reuptake inhibitors

TCA: Tricyclic antidepressants

BZDs: Benzodiazepines

FGAs: First-generation antipsychotics

SGAs: Second-generation antipsychotics

Table 3 Mortality hazard ratios for psychotropic drugs in the total study sample.

	Basic Cox model*			Cox model with interactions*		
	Hazard ratio	SD	P	Hazard ratio	SD	P
Age	1.102	0.001	<0.001	1.102	0.001	<0.001
Gender (female)	0.734	0.012	<0.001	0.734	0.012	<0.001
Control group	0.600	0.013	<0.001	0.547	0.015	<0.001
Charlson comorbidity index	1.175	0.010	<0.001	1.169	0.010	<0.001
SSRI (incl. SNRI)	1.737	0.014	<0.001	1.270	0.029	<0.001
TCA	1.500	0.036	<0.001	1.404	0.045	<0.001
BZD	1.720	0.032	<0.001	1.532	0.023	<0.001
BZD-like	1.536	0.027	<0.001	1.340	0.021	<0.001
FGA	2.374	0.057	<0.001	2.260	0.076	<0.001
SGA	1.934	0.054	<0.001	1.745	0.070	<0.001
Interactions						
Control*SSRI				1.165	0.045	<0.001
Control*TCA				1.209	0.075	0.011
Control*BZD				1.164	0.057	0.143
Control*BZD-like				1.236	0.032	<0.001
Control*FGA				1.125	0.115	0.305
Control*SGA				1.327	0.111	0.011
Observations	136 068			136 068		
% censored	75.1%			75.1%		

* time interaction coefficient not reported

Note: Time-varying covariates were calculated in year 2, when all time-dependent covariates were significant in the time test

Estimate = a + a_t * year

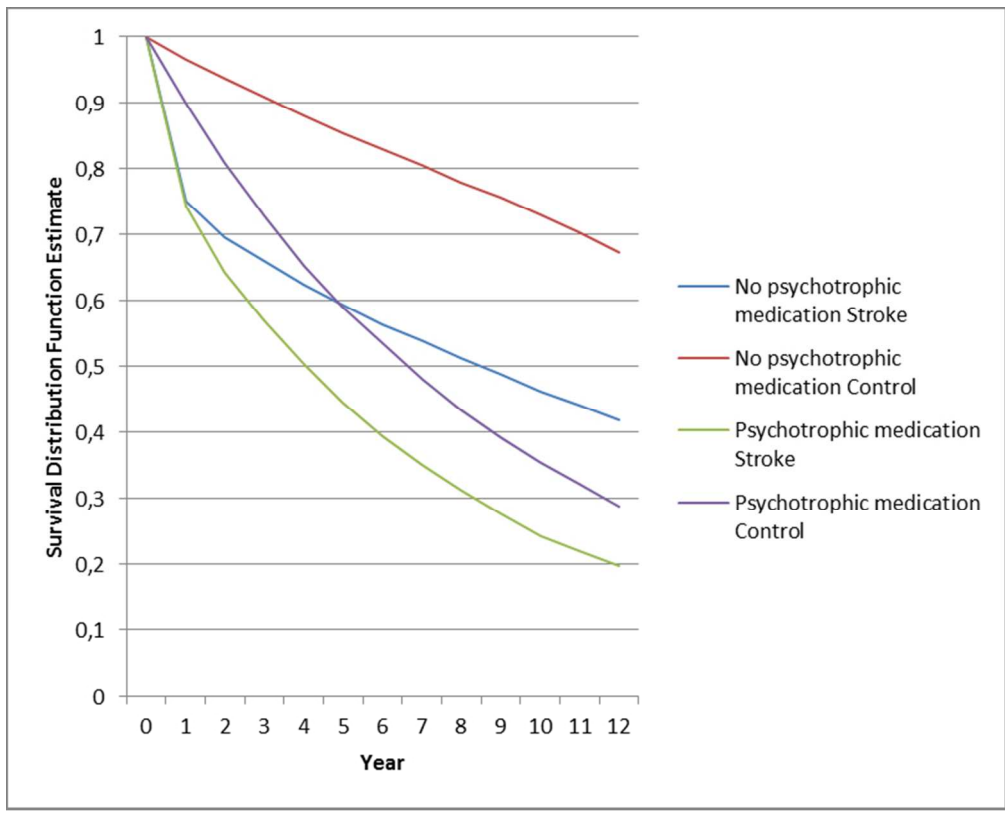
Figure legends

Figure 1

Survival by groups: total stroke patients versus total controls, and stroke patients versus controls subdivided into those treated or not treated with any psychotropic drugs, by years of follow-up.

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