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**Chronic Insomnia Symptoms and Recurrent Sleep Duration over 10 Years and Well-being in Older Adults: A Cohort Study**

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## ABSTRACT

**Background:** The extent to which aspects of sleep affect well-being in the long term remains unclear. This longitudinal study examines the association between chronic insomnia symptoms, recurrent sleep duration and well-being at older ages.

**Methods:** Participants were 4491 women and men from a prospective cohort of UK civil servants (the Whitehall II study), with sleep measured three times over 10 years and well-being once at age 55-79 years. Sleep duration and insomnia symptoms were assessed through self-reports in 1997-1999, 2003-2004 and 2007-2009. Indicators of well-being, measured in 2007-2009, were the CASP-19 measure of overall well-being (range 0-57) and the physical and mental well-being component scores (range 0-100) of the Short Form Health Survey (SF-36).

**Results:** In maximally-adjusted analyses, chronic insomnia symptoms were associated with poorer overall well-being (difference between insomnia at three assessments vs. none: -7.0 (SE=0.4)  $p<0.001$ ), mental well-being (difference: -6.9 (SE=0.4),  $p<0.001$ ) and physical well-being (difference -2.8 (SE=0.4),  $p<0.001$ ) independently of the other sleep measures. There was a suggestion of a dose response pattern in these associations. In addition, recurrent short sleep (difference between  $\leq 5$  hrs sleep reported at three assessments vs. none: -1.7 (SE=0.7),  $p<0.05$ ) and recurrent long sleep (difference between  $\geq 9$ hr reported at two or three assessments vs. none -3.5 (SE=0.9),  $p<0.001$ ) were associated with poorer physical well-being.

**Conclusions:** We conclude that in older people, chronic insomnia symptoms are negatively associated with all aspects of well-being, whereas recurrent long and short sleep is only associated with reduced physical well-being.

**Strengths and limitations of this study**

- So far most evidence on the association between quality sleep and well-being has been drawn from cross-sectional data and has focused on health-related well-being measures.
- Strengths of this study include the availability of repeat measures of sleep duration and insomnia symptoms and three validated well-being scales to consider different domains of well-being.
- It suggests that there are long term effects of insomnia symptoms for the well-being of older people. However, negative effects of extreme sleep duration are only seen for physical well-being.
- A limitation of this study is that these sleep measures are self-reported. Although observational are beginning to utilise actigraphy methods, these were not available over such a long time period.

## INTRODUCTION

Insomnia symptoms, short ( $\leq 5$  hours/night) and long ( $\geq 9$  hours/night) sleep are all associated with an increased risk of a range of chronic health conditions, such as diabetes, [1-3] hypertension [4] and mortality. [5, 6] Health is an important predictor of well-being; however, overall well-being is often more than merely the absence of poor physical or mental ill health. This is particularly the case in older populations, where there is a high prevalence of chronic diseases.

Cross-sectional research on the contribution of sleep to well-being indicates that insomnia symptoms [7-9] and both short and long sleep [10-12] are associated with lower levels of well-being. Evidence for an interaction between insomnia symptoms, sleep duration and health has also been suggested. [13] However, what has been studied less is whether these cross-sectional associations strengthen when insomnia symptoms and extreme sleep duration are based on repeated assessments. A recent study measured chronic insomnia symptoms at two time points, using a conservative estimate; the lowest frequency of insomnia symptoms mentioned at either of the time points. [8] The study found that these had a strong negative association with subjective well-being.

The relationship between sleep and well-being might also vary with the outcome measure examined. In previous work there has been an emphasis on measures which capture health-related well-being, such as the Short Form (SF-36) Health Survey. [14] However, this may not fully capture well-being in elderly populations, since it reflects mental and physical functioning which decline in older age groups. [15] To evaluate overall well-being in early old age, the Control, Autonomy, Self-realisation, and Pleasure (CASP-19) measure was developed. It evaluates quality of life as distinct from factors which predict it, such as good health. [16]

To address these limitations of previous work, we examine reports of chronic insomnia symptoms and recurrent extreme sleep duration with well-being in old age. Our two key objectives are: 1) To examine whether chronic insomnia symptoms and recurrent short or long sleep duration are independently associated with well-being in older adults and 2) to determine whether the associations between sleep and well-being extend to three different domains: overall well-being (CASP-19), physical well-being (SF-36: PCS) and mental well-being (SF-36: MCS).

METHODS

Study sample

The Whitehall II Cohort was recruited from London-based Civil Service departments in 1985-1988 (phase 1), the sample consisted of 10,308 participants aged 35-55, with a response rate of 73%. Follow up screening examinations took place in 1991-1993 (phase 3) and 1997-1999 (phase 5), 2003-2004 (phase 7) and 2007-2009 (phase 9) with postal questionnaires being sent to participants in 1989 (phase 2), 1995 (phase 4), 2001 (phase 6) and 2006 (phase 8). Further details of the Whitehall II Study can be found elsewhere. [17] In this study, we used sleep exposure data from 1997-1999, 2003-2004 and 2007-2009 to predict well-being in 2007-2009, when the participants were aged 55 to 79 years. A total of 6,761 respondents participated in phase 9. The final sample of 4491 women and men had participated at phase 9 and had complete information for all relevant variables.

Well-being outcomes

The following outcome measures reported at phase nine (2007-2009) were used in the analysis:

**Overall well-being (CASP-19):** CASP-19 is an instrument developed and validated to measure overall well-being in older people, independent of influencing factors such as health. [18] CASP-19 sums 19 Likert-scaled items, measuring Control, Autonomy, Self-realisation and Pleasure. Testing carried out on CASP-19 during its development is reported elsewhere. [19] Respondents were asked to indicate how often each statement applied to them; *often, sometimes, not often, or never*, and these scores were appropriately coded, using a sliding scale of 0 to 3 and summed (range 0 to 57), with higher scores indicating a better quality of life. [19, 20] The scale had good internal consistency at phase 9 (2007-2009; Cronbach's alpha=0.88).

**Physical and mental well-being (SF-36):** The Short Form 36 health survey (SF-36) is a 36 item questionnaire which measures health related well-being across eight scales: physical functioning, mental functioning, role limitations due to physical problems, social functioning, bodily pain, role limitations due to emotional problems, vitality, and general health perceptions. [21] Using a method based on factor analysis these eight scales were summarized into physical and mental functioning component scores considered to be conceptually distinct measures of physical (SF-36: PCS) and mental well-being (SF-36: MCS). [14, 21] Scores for each of these two scales ranged from 0 to 100, with higher scores indicating greater well-being.

## Measures of Sleep

**Sleep duration** was self-reported and measured at phase five (1997-1999), phase 7 (2003-2004) and phase 9 (2007-2009) using the question: “How many hours of sleep do you have on an average week night?”; with the options *5h or less, 6h, 7h, 8h or 9h or more*. Cross-sectional research (Supplementary Table S1) confirmed evidence from previous literature, that extreme sleep duration has the greatest impact on health and well-being, therefore only short and long sleep was examined longitudinally. Two variables were created using data from each time-point: (i) recurrent short sleep, defined as the number of times a participant reported short ( $\leq 5$  hours/night) sleep across the three time points; (ii) recurrent long sleep, defined as the number of times a participant reported long sleep ( $\geq 9$  hours/night) across the three time points.

**Insomnia symptoms** were measured at the same phases as sleep duration using the Jenkins’ sleep problem scale. [22] Participants were asked how many times during the last month they: (1) “Have trouble falling asleep,” (2) “Have trouble staying asleep (i.e. waking up far too early)” (3) “Wake up several times per night” and (4) “Wake up after usual amount of sleep feeling tired and worn out.” The following response categories were available: *Not at all, 1-3 days, 4-7 days, 8- 14 days, 15-21 days and 22-31 days*. This scale was summed and grouped into quartiles. The first three quartiles were grouped together (low insomnia symptoms) and the fourth quartile was grouped separately (high insomnia symptoms). Chronic insomnia symptoms were defined as the number of times, across the three time points that a participant reported high insomnia symptoms. The length of follow-up from the first sleep exposure to outcome ranged from 8 years to 12 years (mean, 9.8 years).

## Covariates

A range of covariates, measured at phase nine (2007-2009), were also included: *Gender* and *age* were considered to be confounding factors. A quadratic term for age ( $age^2$ ) was included because the relationship of age to CASP-19 has been shown to follow a non-linear trend. [16] Participants were asked to estimate their total *household wealth* (including house value), this was recoded into four categories 1)  $<£200,000$  2)  $£200-£499,999$  3)  $£500-£999,999$  and 4)  $>£1,000,000$ . Household wealth rather than civil service employment grade or income was used since it has been shown to represent the economic status of older people more accurately than income. [23] A binary variable indicated whether the participant was still in paid *employment*. *Marital status* was defined as married/cohabiting or not. *Chronic health conditions* were assessed as the presence or absence of a limiting long term illness. *Poor functioning* was defined as limitations in one or more activities of

daily living (ADL), or one or more instrumental activities of daily living (IADL). *Health behaviours:* smoking (current vs. never/ex-smokers), physical activity; based on the duration of 'vigorous' activity ( $\geq 1.5$ h per week vs.  $< 1.5$ h per week), high alcohol consumption ( $\geq 14$  units/week for women and  $\geq 22$  units/week for men) and body mass index (BMI): Height and weight were measured during the medical examination and BMI ( $\text{kg}/\text{m}^2$ ) calculated. *Depressive symptoms* were assessed using a modified version of the 30-item General Health Questionnaire (GHQ), removing the two questions that referred to sleep problems.

**Statistical Analysis**

Pearson's chi-squared test ( $\chi^2$ ) for homogeneity (4df) was used to examine this association between sleep duration and each categorical covariate, whilst linear regression was used for continuous exposures to examine heterogeneity across the sleep duration categories. Three models were estimated using the exposures for recurrent short and long sleep and chronic insomnia symptoms. In the first model age, age<sup>2</sup>, gender and household wealth, were included. In Model 2 employment status, marital status, chronic health conditions, ADL/IADL and health behaviours were additionally included. In Model 3 the remaining sleep exposure was also added to Model 2. Since the association between overall well-being, or physical well-being and poor sleep might be confounded by mental health, further models were adjusted for the depressive symptoms score. Each exposure variable was also examined cross-sectionally, these results are available in Supplementary Tables S1 and S2 and the results reported in the text. In the cross-sectional analysis, the full five category measure of sleep duration was tested and each item of the insomnia symptoms scale examined separately.



**Table 1: Characteristics of participants by sleep duration 2007-2009 (N= 4,491)**

	<i>Hours of sleep</i>						<i>P value</i> <sup>b</sup>
	<i>ALL</i>	<i>≤ 5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>≥9</i>	
<b>%(N) Sleep duration</b>		7.5 (335)	29.0 (1,303)	41.8 (1,875)	19.7 (884)	2.1 (94)	
<b>CASP-19</b> <sup>a</sup>	43.5 (7.8)	38.7 (9.2)	42.4 (7.8)	44.4 (7.2)	45.0 (7.1)	42.8 (8.1)	<0.0001
<b>SF-36 (PCS)</b> <sup>a</sup>	49.0 (8.5)	45.5 (10.5)	48.4 (9.1)	49.7 (7.9)	49.8 (7.8)	46.1 (8.8)	<0.0001
<b>SF-36 (MCS)</b> <sup>a</sup>	53.9 (7.9)	50.0 (10.6)	53.2 (8.2)	54.5 (7.3)	55.0 (6.8)	53.7 (8.7)	<0.0001
<b>Age</b> <sup>a</sup>	65.6 (5.9)	66.5 (6.1)	65.5 (5.9)	65.4 (5.8)	66.1 (5.7)	67.4 (6.2)	<0.0001
				<b>%(N)</b>			
<b>% High insomnia symptoms</b>	32.5 (1,461)	64.5 (216)	37.2 (484)	27.1 (508)	25.0 (221)	34.0 (32)	<0.0001
<b>% Chronic insomnia symptoms</b> <sup>c</sup>							
No occurrence	63.3 (2,842)	26.0 (87)	53.0 (690)	70.9 (1,329)	76.4 (675)	64.9 (61)	<0.0001
1 occurrence	17.4 (782)	20.6 (69)	20.6 (269)	15.3 (286)	15.8 (140)	19.2 (18)	<0.0001
2 occurrences	11.1 (499)	22.4 (75)	15.4 (200)	9.4 (176)	4.9 (43)	5.3 (5)	<0.0001
3 occurrences	8.2 (368)	31.0 (104)	11.06 (144)	4.5 (84)	2.9 (26)	10.6 (10)	<0.0001
<b>% Trouble falling asleep</b>	3.1 (140)	20.0 (67)	3.3 (43)	1.1 (20)	1.0 (9)	1.1 (1)	<0.0001
<b>% Waking in the night</b>	28.4 (1,275)	54.0 (181)	31.5 (411)	23.9 (448)	23.3 (206)	30.9 (29)	<0.0001
<b>% Waking up tired</b>	7.1 (317)	26.6 (89)	8.0 (104)	4.4 (83)	3.4 (30)	11.7 (11)	<0.0001
<b>% Trouble staying asleep</b>	13.1 (588)	52.2 (175)	18.9 (246)	6.8 (128)	3.7 (33)	6.4 (6)	<0.0001
<b>% Women</b>	25.2 (1,133)	36.4 (122)	26.9 (351)	24.6 (461)	20.1 (178)	22.3 (21)	<0.0001
<b>% Married</b>	76.8 (3,449)	58.8 (197)	74.2 (967)	79.4 (1,489)	81.8 (723)	77.7 (73)	<0.0001
<b>% Employed</b>	31.5 (1,414)	28.7 (96)	36.9 (481)	34.1 (640)	20.9 (185)	12.8 (12)	<0.0001
<b>% Lowest wealth (&lt;£200,000)</b>	9.3 (419)	17.9 (60)	10.1 (132)	8.8 (164)	6.5 (57)	6.4 (6)	<0.0001
<b>% High alcohol consumption</b>	17.8 (800)	13.4 (45)	17.2 (224)	17.6 (330)	19.9 (176)	26.6 (25)	0.015
<b>% Vigorous physical activity</b>	13.3 (595)	9.3 (31)	12.0 (156)	13.4 (251)	16.4 (145)	12.8 (12)	0.007
<b>% Current smoking</b>	6.3 (283)	5.4 (18)	5.4 (70)	6.7 (125)	7.1 (63)	7.5 (7)	0.366
<b>BMI (kg/m<sup>2</sup>)</b> <sup>a</sup>	26.6 (4.3)	27.4 (4.5)	27.0 (4.6)	26.5 (4.2)	26.1 (4.0)	26.7 (4.6)	<0.0001
<b>% No long term illness</b>	34.6 (1,555)	24.5 (82)	33.5 (437)	35.9 (673)	37.6 (332)	33.0 (31)	<0.0001
<b>% 1 or more ADL</b>	8.5 (382)	15.8 (53)	10.3 (134)	6.8 (128)	6.3 (56)	11.7 (11)	<0.0001
<b>% 1 or more IADL</b>	12.4 (555)	21.8 (73)	14.4 (188)	10.2 (192)	9.3 (82)	21.3 (20)	<0.0001
<b>GHQ (modified)</b> <sup>a</sup>	1.9 (4.1)	4.0 (6.1)	2.3 (4.5)	1.5 (3.6)	1.3 (3.1)	2.0 (3.8)	<0.0001

<sup>a</sup> Mean (SD); <sup>b</sup> P value for heterogeneity; <sup>c</sup> Number of times (3 time points) high level of insomnia symptoms reported

RESULTS

The distribution of participant characteristics, by sleep duration reported in 2007-2009 is reported in Table 1. In this sample the mean (SD) overall well-being score was 43.5 (7.8), the mean physical well-being score was 49.0 (8.5) and the mean mental well-being score was 53.9 (7.9). An inverted U shaped association with sleep duration was observed for each of these outcomes. Those who reported shorter and longer sleep were also more likely to have a long term illness and have one or more ADLs and IADLs. Those who reported sleeping five hours or less were more likely to be younger, female and to have worked or be currently working in the lowest civil service employment grade, but were less likely to be married or cohabiting. They were also more likely to have a high BMI, less likely to report undertaking any vigorous physical activity and more likely to score highly on the GHQ depression scale and report high levels of insomnia symptoms.

In the cross-sectional linear regression analyses (see Supplementary Tables S1 and S2) a negative association between short sleep ( $\leq 5$  hours or 6 hours) was observed for both mental well-being and overall well-being when compared to those who report sleeping seven hours a night. However, a strong U-shaped association was observed between sleep duration and physical well-being SF-36 (PCS) in all three Models, with both short ( $\leq 5$  hours) and long ( $\geq 9$ ) sleep being associated with worse physical well-being. The binary measure of high levels of insomnia symptoms was associated with lower levels of all the well-being measures in each of the models. These associations were attenuated when covariates were included, especially for the measure of physical well-being. Negative associations were also observed between each of the three outcome measures and each item of the Jenkins sleep scale, when these were included in the analysis individually.

Table 2 shows the results for recurrent short sleep, recurrent long sleep and chronic insomnia symptoms with well-being. In Models 1 and 2 recurrent short sleep ( $\leq 5$  hours) was associated with poorer overall well-being, with a small dose response relationship suggested. However, when chronic insomnia symptoms were also included in the analysis, this association was attenuated substantially. A similar pattern of results were observed for mental well-being. However, for physical well-being the association between three reported occurrences of short sleep, although attenuated, remained in Model 3. The results for reported recurrent long sleep ( $\geq 9$  hours) showed that one occurrence was associated with both lower overall and mental well-being, although this was attenuated by Model 3 for overall well-being. However, for physical well-being there was a negative association between two or more occurrences of long sleep, which although attenuated, remained in each of the three models.

**Table 2: Association of recurrent sleep duration and insomnia symptoms with overall well-being, physical well-being and mental well-being**

<b>N=4,491</b>	<b>Overall well-being</b>			<b>Physical well-being</b>			<b>Mental well-being</b>		
	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>
	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>
	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>
<b>Recurrent short sleep:</b>									
No Short sleep (N=2,842)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=782)	-3.23(0.41) [-0.11] ***	-2.61 (0.39) [-0.09] ***	-0.96 (0.38) [-0.03]**	-2.28(0.44) [-0.07] ***	-0.75 (0.37) [-0.02] *	0.01 (0.37) [-0.00]	-2.56 (0.42) [-0.09] ***	-2.34 (0.41) [-0.08] ***	-0.69 (0.41) [-0.02]
Two occurrences (N=499)	-3.38 (0.63) [-0.08] ***	-2.76 (0.60) [-0.06] ***	-0.73 (0.58) [-0.02]	-2.64 (0.69) [-0.05] ***	-1.37 (0.57) [-0.03] **	-0.56 (0.57) [-0.01]	-1.91 (0.65) [-0.04] ***	-1.57 (0.64) [-0.04] **	0.43 (0.62) [-0.01]
Three occurrences (N=368)	-4.66 (0.75) [-0.09] ***	-3.80 (0.71) [-0.07]***	-0.84 (0.70) [-0.01]	-4.59 (0.82) [-0.08] ***	-2.83 (0.67) [-0.05] ***	-1.68 (0.68) [-0.03] **	-3.03 (0.78) [-0.06] ***	-2.68 (0.76) [-0.05] ***	0.21 (0.75) [-0.00]
<b>Recurrent long sleep:</b>									
No Long sleep (N=4,302)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=134)	-1.86 (0.67) [-0.04] **	-0.97 (0.63) [-0.02]	-1.04 (0.60) [-0.02]	-2.61 (0.72) [-0.05] **	-0.67 (0.59) [-0.01]	-0.67 (0.58) [-0.01]	-1.77 (0.69) [-0.04] *	-1.36 (0.67) [-0.03] *	-1.41 (0.64) [-0.03] *
Two or three occurrences (N=55)	-0.68 (1.03) [-0.01]	-0.03 (0.97) [-0.00]	-0.43 (0.92) [-0.01]	-4.19 (1.11) [-0.05] ***	-3.33 (0.91) [-0.04] ***	-3.52 (0.90) [-0.05] ***	-0.91 (1.06) [-0.01]	-0.38 (1.03) [-0.01]	-0.78 (0.99) [-0.01]
<b>Chronic insomnia symptoms:</b>									
No insomnia symptoms (N=2,842)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=782)	-3.22 (0.29) [-0.16] ***	-2.83 (0.28) [-0.14] ***	-2.72 (0.28) [-0.13] ***	-2.74 (0.32) [-0.12] ***	-1.53 (0.27) [-0.07] ***	-1.53 (0.27) [-0.07] ***	-2.94 (0.30) [-0.14] ***	-2.88 (0.30) [-0.14] ***	-2.81 (0.30) [-0.13] ***
Two occurrences (N=499)	-5.84 (0.34) [-0.24] ***	-4.97 (0.33) [-0.20] ***	-4.80 (0.34) [-0.19] ***	-3.88 (0.39) [-0.14] ***	-1.95 (0.33) [-0.07] ***	-1.88 (0.33) [-0.07] ***	-5.30 (0.36) [-0.21] ***	-4.91 (0.36) [-0.19] ***	-4.85 (0.36) [-0.19] ***
Three occurrences (N=368)	-8.60 (0.39) [-0.30] ***	-7.34 (0.38) [-0.26] ***	-7.04 (0.40) [-0.25] ***	-5.73 (0.45) [-0.18] ***	-3.08 (0.38) [-0.10] ***	-2.82 (0.39) [-0.09] ***	-7.55 (0.41) [-0.26] ***	-6.91(0.41) [-0.24] ***	-6.88 (0.43) [-0.24] ***

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.

Model 1: Adjusted for age, age2, gender, wealth; Model 2: Adjusted as in Model 1 + employment status, marital status, limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI); Model 3: Adjusted as in Model 2 + insomnia symptoms/recurrent long or short sleep \*\*\*p≤0.001, \*\*p≤0.01, \* p<0.0

When chronic insomnia symptoms were examined a dose response association was observed for each well-being outcome, with each additional occurrence of high levels of insomnia symptoms increasing the negative effect. This association remained in all three models, although the association was attenuated in the fully adjusted model. Table 3 shows the association of the three sleep exposures with overall, physical and mental well-being after further adjustment for the potential confounding effects of depression. Model 3 (from Table 2) is additionally adjusted for the modified GHQ-30 depressive symptom score. Overall the pattern of findings observed previously remains consistent, although the size of the association is attenuated, especially for overall well-being. Supplementary Table S3 compares the key characteristics of those included and not included in the analyses. Although well-being scores and participant characteristics were similar between this sample and those excluded due to missing data; recurrent short sleep and chronic insomnia symptoms were more common and well-being poorer among those not included in the analyses.

**Table 3: Association of recurrent sleep duration and insomnia symptoms with well-being after further adjustment for depressive symptoms<sup>a</sup>**

<i>N=4,491</i>	<i>Overall well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Physical well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Mental well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>
<b>Recurrent short sleep:</b>			
No Short sleep	0.00 REF	0.00 REF	0.00REF
One occurrence	-0.62 (0.35) [-0.02]	0.01 (0.37) [-0.00]	-0.12 (0.31) [-0.01]
Two occurrences	-0.72 (0.53) [-0.02]	-0.56 (0.57) [-0.01]	0.46 (0.48) [0.01]
Three occurrences	-0.55 (0.64) [-0.01]	-1.63 (0.68)** [-0.03]	0.70 (0.58) [0.01]
<b>Recurrent long sleep:</b>			
No Long sleep	0.00 REF	0.00 REF	0.00 REF
One occurrence	-0.94 (0.54) [-0.02]	-0.66 (0.58) [-0.01]	-1.25 (0.49)* [-0.03]
Two or three occurrences	-0.14 (0.84) [-0.00]	-3.47 (0.90) *** [-0.04]	-0.30 (0.76) [-0.00]
<b>Chronic insomnia symptoms:</b>			
No insomnia symptoms	0.00 REF	0.00 REF	0.00 REF
One occurrence	-1.76 (0.26) *** [-0.09]	-1.36 (0.27)*** [-0.06]	-1.22 (0.23)*** [0.06]
Two occurrences	-3.28 (0.31)*** [-0.13]	-1.61 (0.33)*** [-0.06]	-2.31 (0.28)*** [-0.09]
Three occurrences	-4.84 (0.37)*** [-0.17]	-2.41 (0.40)*** [-0.08]	-3.22 (0.34)*** [-0.11]

<sup>a</sup> Estimates are adjusted as in Model 3 (see Tables 3 and 4) with additional adjustment for depressive symptoms score  
<sup>b</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group. \*\*\*p<0.001, \*\*p<0.01, \* p<0.05

## DISCUSSION

Prospective repeat data over 10 years of follow-up suggest that insomnia symptoms and long sleep are independently associated with lower levels of well-being, measured as overall well-being, physical and mental well-being. There is a dose response association between chronic insomnia symptoms and poorer well-being, independent of sleep duration and depressive symptoms. However, the association between sleep duration and well-being differed according to the measure of well-being examined, possibly an indication that as societies age, there may be less homogeneity in older age groups and the correlates of well-being at older age may vary.

Our findings agree with previous research, which has demonstrated independent negative associations, between insomnia symptoms and lower physical and mental well-being scores. [24-30] We are not aware of any studies that have examined the association between chronic exposure to insomnia symptoms and the SF-36. We found a dose response association, suggesting that recurrent exposure to insomnia was associated with both lower mental and physical well-being.

Previous cross-sectional work has shown an association between sleep duration and both mental and physical well-being. [10, 31] We found that recurrent exposure to long or short sleep was associated with poorer physical well-being. However, we did not find a prospective association between sleep duration and mental well-being. The association between recurrent short sleep and mental well-being was no longer significant after insomnia symptoms were taken into account. However, recurrent short sleep in the absence of high levels of insomnia symptoms does not necessarily predict poor well-being. Faubel and colleagues also found that sleep duration at baseline failed to predict change in mental well-being two years later. [10]

Studies that have examined the relationship between both short and long sleep with overall well-being have generally reported an initial U shaped relationship, [11, 12] which did not always remain after adjustment. [12] This did not accord with our cross-sectional findings, where only short sleep was related to well-being. Additionally, we did not find an association between recurrent short or long sleep and overall well-being. However, in accordance with others [7-9, 12] we found an independent association between chronic insomnia symptoms and lower overall well-being, which remained even when depressive symptoms were taken into account.

A number of mechanisms may mediate the association between short sleep and overall or mental well-being, including fatigue or sleepiness during the day [32] and the involvement of metabolic and endocrine functions. [33] The mechanisms linking long sleep and physical well-being are less clear,

possibilities are reverse causation, as longer sleep may be an early symptom of undiagnosed disease, [10] or increased sleep fragmentation. [34, 35] However, associations were robust to adjustment for presence of a limiting long term illness. Associations between well-being and physical well-being may also be subject to confounding by mental health problems such as depression, where reporting problems with sleep is a clinical symptom. [36] However, the association between sleep duration and insomnia symptoms remained following adjustment for the GHQ depression scale.

Many of the mechanisms suggested as explanations for the association between insomnia symptoms and well-being are similar to those suggested for short sleep, [11, 24] implying that both indicators are simply capturing an underlying concept of poor quality sleep. [37, 38] However, we find a dose response association for insomnia symptoms and well-being which is not present for short sleep, suggesting that there may be different mechanisms for these associations.

We used self-reported measures of both sleep duration and insomnia symptoms. Observational studies are beginning to include measures of sleep duration based on actigraphy data; however, these were not available in 1997, when sleep duration was first measured in this cohort. Also as sleep problems remain self-diagnosed within the primary care setting self-reported data can be assumed to have face validity. Secondly, we are not able to take sleep conditions such as sleep apnoea into account directly. However, controlling for BMI in our analysis should reduce potential confounding by sleep apnoea, since the prevalence of obesity is greater in those with this condition. There is a potential overlap between the measures of vitality included in the SF-36 scale and the Jenkins questionnaire which asks respondents about waking up feeling ‘tired and worn out’. A sensitivity analysis was undertaken in the cross-sectional analysis to examine any potential overlap between these questions and it was found that removing them had little effect on the results. The participants in Whitehall II were originally from an occupational cohort of white collar workers and therefore participants were employed and relatively healthy, this may limit generalizability. The strengths of this work are the availability of three repeat measures of exposure to short or long sleep and insomnia symptoms and three validated well-being outcomes for a large sample of participants from a well-characterised cohort. We conclude that insomnia symptoms and short and long sleep are associated with well-being at older ages. Current and chronic insomnia symptoms are associated with poor overall and mental well-being. Chronic insomnia symptoms, short and long sleep are associated with poor physical well-being.

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**Competing interest:** None declared

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**Author contributions:** JA and MKu designed the study and wrote the first draft of the manuscript. JA analysed the data. MS, JF and Mki interpreted the results and assisted with the preparation of the manuscript

**Data sharing:** The Whitehall II research data are available to bona fide researchers for research purposes and public benefit. The relevant website is: <http://www.ucl.ac.uk/whitehallII/data-sharing>

**Ethical approval:** Ethical approval for the Whitehall II study was obtained from the University College London Medical School committee on the ethics of human research.



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**Table S1: Cross-sectional association between sleep duration and well-being**

N=4,491									
Hours of Sleep	Overall well-being			Physical well-being			Mental well-being		
	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardise d diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	MCS Diff <sup>a</sup> (SE) [Standardised diff]
≤ 5	-5.11 (0.44) [-0.17] ***	-4.19 (0.43) [-0.14] ***	-3.08 (0.42) [-0.10] ***	-3.24 (0.49) [-0.10] ***	-1.47 (0.40) [-0.05] ***	-1.01 (0.41) [-0.03] **	-4.35 (0.46) [-0.14] ***	-3.86 (0.45) [-0.13] ***	-2.75 (0.45) [-0.09] ***
6	-1.85 (0.27) [-0.11] ***	-1.55 (0.26) [-0.09] ***	-1.26 (0.25) [-0.07] ***	-1.11 (0.29) [-0.06] ***	-0.45 (0.24) [-0.02]	-0.32 (0.24) [-0.02]	-1.25 (0.28) [-0.07] ***	-1.13 (0.27) [-0.06] ***	-0.83 (0.27) [-0.05] **
7	REF	REF	REF	REF	REF	REF	REF	REF	REF
8	0.48 (0.30)* [0.02]	0.42 (0.29) [0.02]	0.34 (0.28) [0.02]	0.18 (0.33) [0.01]	0.05 (0.28) [0.00]	0.01 (0.27) [0.00]	0.30 (0.32) [0.02]	0.30 (0.31) [0.02]	0.21 (0.29) [0.01]
9 ≥	-1.66 (0.79)* [-0.03]	-0.90 (0.75) [-0.02]	-0.81 (0.73) [-0.01]	-3.05 (0.86) [-0.05] ***	-1.69 (0.71) [-0.03] **	-1.65 (0.71) [-0.03] **	-1.14 (0.82) [-0.02]	-0.68 (0.80) [-0.01]	-0.59 (0.78) [-0.01]

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.

Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth

Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI)

Model 3: Adjusted as in Model 2 + insomnia symptoms

\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

Table S2: Cross-sectional association between insomnia symptoms and well-being

N=4,491									
Overall well-being			Physical well-being			Mental well-being			
Insomnia Symptoms:	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised Beta]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardise d diff]
High Insomnia symptoms (binary)	-4.42 (0.23) [-0.27]***	-3.65 (0.23) [-0.22]***	-3.25 (0.20) [-0.23]***	-3.07 (0.26) [-0.17]***	-1.46 (0.22) [-0.08]***	-1.34 (0.22) [-0.07]***	-3.97 (0.24) [-0.23]***	-3.59 (0.24) [-0.21]***	-3.25 (0.25) [-0.19]***
High Insomnia symptoms (quartile)	-5.98 (0.26) [-0.32]	-5.10 (0.25) [-0.27]***	-4.61 (0.27) [-0.25]***	-3.85 (0.28) [-0.19]***	-2.07 (0.25) [-0.10]***	-1.97 (0.26) [-0.10]***	-5.80 (0.27) [-0.30]***	-5.34 (0.27) [-0.28]***	-5.01 (0.28) [-0.26]***
Trouble falling asleep	-6.40 (0.65) [-0.14]***	-5.03 (0.62) [-0.11]***	-3.59 (0.63) [-0.08]***	-5.51 (0.70) [-0.11]***	-2.87 (0.58) [-0.06]***	-2.49 (0.60) [-0.05]***	-6.48 (0.67) [-0.14]***	-5.78 (0.65) [-0.13]***	-4.56 (0.67) [-0.10]***
Waking in the night	-3.49 (0.25) [-0.20]***	-2.81 (0.24) [-0.16]***	-2.43 (0.24) [-0.14]***	-2.77 (0.27) [-0.15]***	-1.37 (0.23) [-0.07]***	-1.25 (0.23) [-0.06]***	-2.98 (0.26) [-0.17]***	-2.65 (0.25) [-0.15]***	-2.31 (0.26) [-0.13]***
Waking up tired	-9.59 (0.42) [-0.32]***	-8.2 (0.41) [-0.27]***	-7.6 (0.42) [-0.25]***	-5.50 (0.47) [-0.16]***	-2.74 (0.40) [-0.08]***	-2.51 (0.41) [-0.07]***	-10.61 (0.43) [-0.34]***	-9.85 (0.43) [-0.32]***	-9.42 (0.44) [-0.30]***
Trouble staying asleep	-5.81 (0.33) [-0.25]***	-4.95 (0.31) [-0.22]***	-4.20 (0.33) [-0.18]***	-3.10 (0.36) [-0.12]***	-1.44 (0.30) [-0.06]***	-1.19 (0.32) [-0.05]***	-5.86 (0.34) [-0.25]***	-5.37 (0.33) [-0.23]***	-4.86 (0.35) [-0.21]***

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.  
Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth,  
Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI)  
Model 3: Adjusted as in Model 2 + insomnia symptoms  
\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

**Table S3: Eligible for analysis (participants at phase 9)**

	In study sample	Not in study sample	P value
	N= 4491	Mean (SD) or %	
Sex (% men)	74.7	60.8	<0.001
Age (yr)	65.7	66.6	<0.001
Employment grade (% lower)	7.5	17.6	<0.001
Marital status (% married)	76.8	71.9	<0.001
SF-36 Mental Component Score (MCS)	53.9 (7.9)	52.6 (9.3)	<0.001
SF-36 Physical Component Score (PCS)	49.0 (8.5)	46.9 (10.2)	<0.001
CASP-19	43.5 (7.8)	42.2 (8.6)	<0.001
Smoker	6.3 %	7.9 %	0.024
Chronic insomnia symptoms	8.2%	10.4%	0.009
Recurrent short sleep duration	2.3%	2.9%	<0.001
BMI (kg/m <sup>2</sup> )	26.6 (4.3)	27.3 (4.8)	<0.001
% 1 or more ADL	8.5 %	13.3 %	<0.001
% 1 or more IADL	12.4 %	19.0 %	<0.001
GHQ (modified)	1.9 (4.1)	2.6 (5.2)	<0.001

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	
			Pg. No
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1/2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	3
Methods			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants	4
		(b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed Case-control study—For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-5
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	4-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	6
		(c) Explain how missing data were addressed	10
		(d) Cohort study—If applicable, explain how loss to follow-up was addressed Case-control study—If applicable, explain how matching of cases and controls was addressed Cross-sectional study—If applicable, describe analytical methods taking account of sampling strategy	N/A
		(e) Describe any sensitivity analyses	6

Continued on next page

**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4
		(b) Give reasons for non-participation at each stage	4
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7
		(b) Indicate number of participants with missing data for each variable of interest	10
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	7
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-10
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	8-10, 12
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	12
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	13

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Association of Chronic Insomnia Symptoms and Recurrent Extreme Sleep Duration over 10 Years with Well-being in Older Adults: A Cohort Study

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**Association of Chronic Insomnia Symptoms and Recurrent Extreme Sleep Duration over 10 Years with Well-being in Older Adults: A Cohort Study**

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Keywords: Sleep length, sleep quality, quality of life, observational study, ageing.

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## ABSTRACT

**Objectives:** The extent to which aspects of sleep affect well-being in the long term remains unclear. This longitudinal study examines the association between chronic insomnia symptoms, recurrent sleep duration and well-being at older ages.

**Setting:** A prospective cohort of UK civil servants (the Whitehall II study),

**Participants:** 4491 women and men (25.2% women) with sleep measured three times over 10 years and well-being once at age 55-79 years. Insomnia symptoms and sleep duration were assessed through self-reports in 1997-1999, 2003-2004 and 2007-2009.

**Primary and secondary outcome:** Indicators of well-being, measured in 2007-2009, were the Control, Autonomy, Self-realisation and Pleasure measure (CASP-19) of overall well-being (range 0-57) and the physical and mental well-being component scores (range 0-100) of the Short Form Health Survey (SF-36).

**Results:** In maximally-adjusted analyses, chronic insomnia symptoms were associated with poorer overall well-being (difference between insomnia at three assessments vs. none: -7.0 (Standard Error (SE)=0.4)  $p<0.001$ ), mental well-being (difference: -6.9 (SE=0.4),  $p<0.001$ ) and physical well-being (difference -2.8 (SE=0.4),  $p<0.001$ ) independently of the other sleep measures. There was a suggestion of a dose response pattern in these associations. In addition, recurrent short sleep (difference between  $\leq 5$  hrs sleep reported at three assessments vs. none: -1.7 (SE=0.7),  $p<0.05$ ) and recurrent long sleep (difference between  $\geq 9$ hr reported at two or three assessments vs. none -3.5 (SE=0.9),  $p<0.001$ ) were associated with poorer physical well-being.

**Conclusions:** We conclude that in older people, chronic insomnia symptoms are negatively associated with all aspects of well-being, whereas recurrent long and short sleep is only associated with reduced physical well-being.

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**Strengths and limitations of this study**

- So far most evidence on the association between quality sleep and well-being has been drawn from cross-sectional data and has focused on health-related well-being measures.
- Strengths of this study include the availability of repeat measures of sleep duration and insomnia symptoms and three validated well-being scales to consider different domains of well-being.
- It suggests that there are long term effects of insomnia symptoms for the well-being of older people. However, negative effects of extreme sleep duration are only seen for physical well-being.
- A limitation of this study is that these sleep measures are self-reported. Although observational are beginning to utilise actigraphy methods, these were not available over such a long time period.

## INTRODUCTION

Insomnia symptoms, short ( $\leq 5$  hours/night) and long ( $\geq 9$  hours/night) sleep are all associated with an increased risk of a range of chronic health conditions, such as diabetes, [1-3] hypertension [4] and mortality. [5, 6] Health is an important predictor of well-being; however, overall well-being is often more than merely the absence of poor physical or mental ill health. This is particularly the case in older populations, where there is a high prevalence of chronic diseases.

Cross-sectional research on the contribution of sleep to well-being indicates that insomnia symptoms [7-9] and both short and long sleep [10-12] are associated with lower levels of well-being. Evidence for an interaction between insomnia symptoms, sleep duration and health has also been suggested. [13] However, what has been studied less is whether these cross-sectional associations strengthen when insomnia symptoms and extreme sleep duration are based on repeated assessments. A recent study measured chronic insomnia symptoms at two time points, using a conservative estimate; the lowest frequency of insomnia symptoms mentioned at either of the time points. [8] The study found that these had a strong negative association with subjective well-being.

The relationship between sleep and well-being might also vary with the outcome measure examined. In previous work there has been an emphasis on measures which capture health-related well-being, such as the Short Form (SF-36) Health Survey. [14] However, this may not fully capture well-being in elderly populations, since it reflects mental and physical functioning which decline in older age groups. [15] To evaluate overall well-being in early old age, the Control, Autonomy, Self-realisation, and Pleasure (CASP-19) measure was developed. It evaluates quality of life as distinct from factors which predict it, such as good health. [16]

To the best of our knowledge no other studies have been able to provide repeat measurements taken over a 10 year follow up period. To address these limitations of previous work, we examine reports of chronic insomnia symptoms and recurrent extreme sleep duration with well-being in old age. Our two key objectives are: 1) To examine whether chronic insomnia symptoms and recurrent short or long sleep duration are independently associated with well-being in older adults and 2) to determine whether the associations between sleep and well-being extend to three different domains: overall well-being (CASP-19), physical well-being (SF-36: PCS) and mental well-being (SF-36: MCS).

## METHODS

**Study sample**

The Whitehall II Cohort was recruited from London-based Civil Service departments in 1985-1988 (phase 1), the sample consisted of 10,308 participants aged 35-55, with a response rate of 73%. Follow up screening examinations took place in 1991-1993 (phase 3) and 1997-1999 (phase 5), 2003-2004 (phase 7) and 2007-2009 (phase 9) with postal questionnaires being sent to participants in 1989 (phase 2), 1995 (phase 4), 2001 (phase 6) and 2006 (phase 8). Further details of the Whitehall II Study can be found elsewhere. [17] In this study, we used sleep exposure data from 1997-1999, 2003-2004 and 2007-2009 to predict well-being in 2007-2009, when the participants were aged 55 to 79 years. A total of 6,761 respondents participated in phase 9, a response rate of 66% since phase 1, but 86% from those eligible at phase 9. The follow-up rate from phase 5 to phase 9 was 85.9%. The final sample of 4491 (1,133 women; 25.2%) participated at phase 9 and had complete information for all relevant variables.

**Well-being outcomes**

The following outcome measures reported at phase nine (2007-2009) were used in the analysis:

**Overall well-being (CASP-19):** CASP-19 is an instrument developed and validated to measure overall well-being in older people, independent of influencing factors such as health. [18] CASP-19 sums 19 Likert-scaled items, measuring Control, Autonomy, Self-realisation and Pleasure. Testing carried out on CASP-19 during its development is reported elsewhere. [19] Respondents were asked to indicate how often each statement applied to them; *often, sometimes, not often, or never*, and these scores were appropriately coded, using a sliding scale of 0 to 3 and summed (range 0 to 57), with higher scores indicating a better quality of life. [19, 20] The scale had good internal consistency at phase 9 (2007-2009; Cronbach's alpha=0.88).

**Physical and mental well-being (SF-36):** The Short Form 36 health survey (SF-36) is a 36 item questionnaire which measures health related well-being across eight scales: physical functioning, mental functioning, role limitations due to physical problems, social functioning, bodily pain, role limitations due to emotional problems, vitality, and general health perceptions. [21] Using a method based on factor analysis these eight scales were summarized into physical and mental functioning component scores considered to be conceptually distinct measures of physical (SF-36: PCS) and mental well-being (SF-36: MCS). [14, 21] Scores for each of these two scales ranged from 0 to 100, with higher scores indicating greater well-being. The correlation between CASP-19 and SF-36 mental well-being was  $r=0.64$  ( $p\leq0.001$ ).

## Measures of Sleep

**Insomnia symptoms** were measured at the same phases as sleep duration using the Jenkins' sleep problem scale. [22] Participants were asked how many times during the last month they: (1) "Have trouble falling asleep," (2) "Have trouble staying asleep (i.e. waking up far too early)" (3) "Wake up several times per night" and (4) "Wake up after usual amount of sleep feeling tired and worn out." The following response categories were available: *Not at all, 1-3 days, 4-7 days, 8- 14 days, 15-21 days and 22-31 days*. This scale was summed and grouped into quartiles. The first three quartiles were grouped together (low insomnia symptoms) and the fourth quartile was grouped separately (high insomnia symptoms). Chronic insomnia symptoms were defined as the number of times, across the three time points that a participant reported high insomnia symptoms. The length of follow-up from the first sleep exposure to outcome ranged from 8 years to 12 years (mean, 9.8 years).

**Sleep duration** was self-reported and measured at phase five (1997-1999), phase 7 (2003-2004) and phase 9 (2007-2009) using the question: "*How many hours of sleep do you have on an average week night?*"; with the options *5h or less, 6h, 7h, 8h or 9h or more*. Cross-sectional research (Supplementary Table S1) confirmed evidence from previous literature, that extreme sleep duration has the greatest impact on health and well-being, therefore only short and long sleep was examined longitudinally. Two variables were created using data from each time-point: (i) recurrent short sleep, defined as the number of times a participant reported short ( $\leq 5$  hours/night) sleep across the three time points; (ii) recurrent long sleep, defined as the number of times a participant reported long sleep ( $\geq 9$  hours/night) across the three time points.

## Covariates

A range of covariates, measured at phase nine (2007-2009), were also included: *Gender* and *age* were considered to be confounding factors. A quadratic term for age ( $age^2$ ) was included because the relationship of age to CASP-19 has been shown to follow a non-linear trend. [16] Participants were asked to estimate their total *household wealth* (including house value), this was recoded into four categories 1)  $<£200,000$  2)  $£200-£499,999$  3)  $£500-£999,999$  and 4)  $>£1,000,000$ . Household wealth rather than civil service employment grade or income was used since it has been shown to represent the economic status of older people more accurately than income. [23] A binary variable indicated whether the participant was still in paid *employment*. *Marital status* was defined as married/cohabiting or not. *Chronic health conditions* were assessed as the presence or absence of a

limiting long term illness. *Poor functioning* was defined as limitations in one or more activities of daily living (ADL), or one or more instrumental activities of daily living (IADL). *Health behaviours:* smoking (current vs. never/ex-smokers), physical activity; based on the duration of 'vigorous' activity ( $\geq 1.5$ h per week vs.  $< 1.5$ h per week). Physical activity was assessed using a questionnaire which asked participants about the number of hours spent undertaking a range of physical activity (both leisure- time and job-related activities). Each activity was assigned a metabolic equivalent (MET) value[24]. Vigorous physical activity was defined as activities with a MET value of 6 or more[25] (e.g. swimming, mowing). High alcohol consumption ( $\geq 14$  units/week for women and  $\geq 22$  units/week for men) and body mass index (BMI): Height and weight were measured during the medical examination and BMI ( $\text{kg/m}^2$ ) calculated. *Depressive symptoms* were assessed using a modified version of the 30-item General Health Questionnaire (GHQ) [26] removing the two questions that referred to sleep problems. Higher GHQ scores indicate more depressive symptoms.

**Statistical Analysis**

Pearson's chi-squared test ( $\chi^2$ ) for homogeneity (4df) was used to examine this association between sleep duration and each categorical covariate, whilst linear regression was used for continuous exposures to examine heterogeneity across the sleep duration categories. We also conducted a non-parametric test of trend for each well-being outcome, across the groups of each exposure variable. We used the Stata command *nptrend* which is an extension of the Wilcoxon rank-sum test. Three models were estimated using the exposures for recurrent short and long sleep and chronic insomnia symptoms. In the first model age, age<sup>2</sup>, gender and household wealth, were included. In Model 2 employment status, marital status, chronic health conditions, ADL/IADL and health behaviours were additionally included. In Model 3 the remaining sleep exposure was also added to Model 2. Since the association between overall well-being, or physical well-being and poor sleep might be confounded by mental health, further models were adjusted for the depressive symptoms score. Each exposure variable was also examined cross-sectionally, these results are available in Supplementary Tables S1 and S2 and the results reported in the text. In the cross-sectional analysis, the full five category measure of sleep duration was tested and each item of the insomnia symptoms scale examined separately. In the cross-sectional models a reference group of 7 hours was used [27] All analyses were undertaken using Stata 13.1



Table 1: Characteristics of participants by sleep duration 2007-2009 (N= 4,491)

	Hours of sleep						P value <sup>b</sup>
	ALL	≤ 5	6	7	8	≥ 9	
% (N) Sleep duration		7.5 (335)	29.0 (1,303)	41.8 (1,875)	19.7 (884)	2.1 (94)	
Age <sup>a</sup>	65.6 (5.9)	66.5 (6.1)	65.5 (5.9)	65.4 (5.8)	66.1 (5.7)	67.4 (6.2)	<0.0001
% (N) Women	25.2 (1,133)	36.4 (122)	26.9 (351)	24.6 (461)	20.1 (178)	22.3 (21)	<0.0001
% (N) Married	76.8 (3,449)	58.8 (197)	74.2 (967)	79.4 (1,489)	81.8 (723)	77.7 (73)	<0.0001
% (N) Employed	31.5 (1,414)	28.7 (96)	36.9 (481)	34.1 (640)	20.9 (185)	12.8 (12)	<0.0001
% (N) Lowest wealth (<£200,000)	9.3 (419)	17.9 (60)	10.1 (132)	8.8 (164)	6.5 (57)	6.4 (6)	<0.0001
% (N) High alcohol consumption	17.8 (800)	13.4 (45)	17.2 (224)	17.6 (330)	19.9 (176)	26.6 (25)	0.015
% (N) Vigorous physical activity	13.3 (595)	9.3 (31)	12.0 (156)	13.4 (251)	16.4 (145)	12.8 (12)	0.007
% (N) Current smoking	6.3 (283)	5.4 (18)	5.4 (70)	6.7 (125)	7.1 (63)	7.5 (7)	0.366
BMI (kg/m <sup>2</sup> ) <sup>a</sup>	26.6 (4.3)	27.4 (4.5)	27.0 (4.6)	26.5 (4.2)	26.1 (4.0)	26.7 (4.6)	<0.0001
% (N) No long term illness	34.6 (1,555)	24.5 (82)	33.5 (437)	35.9 (673)	37.6 (332)	33.0 (31)	<0.0001
% (N) 1 or more ADL	8.5 (382)	15.8 (53)	10.3 (134)	6.8 (128)	6.3 (56)	11.7 (11)	<0.0001
% (N) 1 or more IADL	12.4 (555)	21.8 (73)	14.4 (188)	10.2 (192)	9.3 (82)	21.3 (20)	<0.0001
GHQ (modified) <sup>a</sup>	1.9 (4.1)	4.0 (6.1)	2.3 (4.5)	1.5 (3.6)	1.3 (3.1)	2.0 (3.8)	<0.0001
% (N) High insomnia symptoms	32.5 (1,461)	64.5 (216)	37.2 (484)	27.1 (508)	25.0 (221)	34.0 (32)	<0.0001
% (N) Chronic insomnia symptoms <sup>c</sup>							
No occurrence	63.3 (2,842)	26.0 (87)	53.0 (690)	70.9 (1,329)	76.4 (675)	64.9 (61)	<0.0001
1 occurrence	17.4 (782)	20.6 (69)	20.6 (269)	15.3 (286)	15.8 (140)	19.2 (18)	<0.0001
2 occurrences	11.1 (499)	22.4 (75)	15.4 (200)	9.4 (176)	4.9 (43)	5.3 (5)	<0.0001
3 occurrences	8.2 (368)	31.0 (104)	11.06 (144)	4.5 (84)	2.9 (26)	10.6 (10)	<0.0001
% (N) Trouble falling asleep	3.1 (140)	20.0 (67)	3.3 (43)	1.1 (20)	1.0 (9)	1.1 (1)	<0.0001
% (N) Waking in the night	28.4 (1,275)	54.0 (181)	31.5 (411)	23.9 (448)	23.3 (206)	30.9 (29)	<0.0001
% (N) Waking up tired	7.1 (317)	26.6 (89)	8.0 (104)	4.4 (83)	3.4 (30)	11.7 (11)	<0.0001
% (N) Trouble staying asleep	13.1 (588)	52.2 (175)	18.9 (246)	6.8 (128)	3.7 (33)	6.4 (6)	<0.0001
CASP-19 <sup>a</sup>	43.5 (7.8)	38.7 (9.2)	42.4 (7.8)	44.4 (7.2)	45.0 (7.1)	42.8 (8.1)	<0.0001
SF-36 (PCS) <sup>a</sup>	49.0 (8.5)	45.5 (10.5)	48.4 (9.1)	49.7 (7.9)	49.8 (7.8)	46.1 (8.8)	<0.0001
SF-36 (MCS) <sup>a</sup>	53.9 (7.9)	50.0 (10.6)	53.2 (8.2)	54.5 (7.3)	55.0 (6.8)	53.7 (8.7)	<0.0001

<sup>a</sup> Mean (SD); <sup>b</sup> P value for heterogeneity; <sup>c</sup> Number of times (3 time points) high level of insomnia symptoms reported

(CASP-19) Control, Autonomy, Self-realisation and Pleasure measure; SF-36 (PCS) Short Form Health Survey physical component scores; SF-36 (MCS) Short Form Health Survey mental well-being component scores; (BMI) body mass index; (ADL) Activities of Daily Living; (IADL) Instrumental Activities of Daily Living



RESULTS

The distribution of participant characteristics, by sleep duration reported in 2007-2009 is reported in Table 1. In this sample the mean (SD) overall well-being score was 43.5 (7.8), the mean physical well-being score was 49.0 (8.5) and the mean mental well-being score was 53.9 (7.9). An inverted U shaped association with sleep duration was observed for each of these outcomes. Those who reported shorter and longer sleep were also more likely to have a long term illness and have one or more ADLs and IADLs. Those who reported sleeping five hours or less were more likely to be younger, female and to have worked or be currently working in the lowest civil service employment grade, but were less likely to be married or cohabiting. They were also more likely to have a high BMI, less likely to report undertaking any vigorous physical activity and more likely to score highly on the GHQ depression scale and report high levels of insomnia symptoms.

In the cross-sectional linear regression analyses (see Supplementary Tables S1 and S2) the binary measure of high levels of insomnia symptoms was associated with lower levels of all the well-being measures in each of the models. These associations were attenuated when covariates were included, especially for the measure of physical well-being. Negative associations were also observed between each of the three outcome measures and each item of the Jenkins sleep scale, when these were included in the analysis individually. A negative association between short sleep ( $\leq 5$  hours or 6 hours) was observed for both mental well-being and overall well-being when compared to those who report sleeping seven hours a night. However, a strong U-shaped association was observed between sleep duration and physical well-being SF-36 (PCS) in all three Models, with both short ( $\leq 5$  hours) and long ( $\geq 9$ ) sleep being associated with worse physical well-being. Table 2 shows the results for recurrent short sleep, recurrent long sleep and chronic insomnia symptoms with well-being. A test for trend showed a trend of each well-being outcome across the occurrence of insomnia symptoms, (CASP-19;  $p \leq 0.001$ , SF-36(PCS);  $p \leq 0.001$ , SF-36 (MCS);  $p \leq 0.001$ ). When chronic insomnia symptoms were examined in regression analysis a dose response association was observed for each well-being outcome, with each additional occurrence of high levels of insomnia symptoms increasing the negative effect. This association remained in all three models, although the association was attenuated in the fully adjusted model. In Models 1 and 2 recurrent short sleep ( $\leq 5$  hours) was associated with poorer overall well-being, with a small dose response relationship suggested. A test of trend analysis indicated a trend for each of the well-being outcomes across the occurrences of short sleep (CASP-19;  $p \leq 0.001$ , SF-36(PCS);  $p \leq 0.001$ , SF-36 (MCS);  $p \leq 0.001$ ). However, when chronic insomnia symptoms were also included in the analysis, this association was attenuated substantially

**Table 2: Association of recurrent sleep duration and insomnia symptoms with overall well-being, physical well-being and mental well-being**

<b>N=4,491</b>	<b>Overall well-being</b>			<b>Physical well-being</b>			<b>Mental well-being</b>		
	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>	<b>Model 1</b>	<b>Model 2</b>	<b>Model 3</b>
	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>	<i>Diff<sup>a</sup> (SE)</i>
	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>	<i>[Standardised diff]</i>
<b>Recurrent short sleep:</b>									
No Short sleep (N=2,842)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=782)	-3.23(0.41) [-0.11] ***	-2.61 (0.39) [-0.09] ***	-0.96 (0.38) [-0.03]**	-2.28(0.44) [-0.07] ***	-0.75 (0.37) [-0.02] *	0.01 (0.37) [-0.00]	-2.56 (0.42) [-0.09] ***	-2.34 (0.41) [-0.08] ***	-0.69 (0.41) [-0.02]
Two occurrences (N=499)	-3.38 (0.63) [-0.08] ***	-2.76 (0.60) [-0.06] ***	-0.73 (0.58) [-0.02]	-2.64 (0.69) [-0.05] ***	-1.37 (0.57) [-0.03] **	-0.56 (0.57) [-0.01]	-1.91 (0.65) [-0.04] ***	-1.57 (0.64) [-0.04] **	0.43 (0.62) [-0.01]
Three occurrences (N=368)	-4.66 (0.75) [-0.09] ***	-3.80 (0.71) [-0.07]***	-0.84 (0.70) [-0.01]	-4.59 (0.82) [-0.08] ***	-2.83 (0.67) [-0.05] ***	-1.68 (0.68) [-0.03] **	-3.03 (0.78) [-0.06] ***	-2.68 (0.76) [-0.05] ***	0.21 (0.75) [-0.00]
<b>Recurrent long sleep:</b>									
No Long sleep (N=4,302)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=134)	-1.86 (0.67) [-0.04] **	-0.97 (0.63) [-0.02]	-1.04 (0.60) [-0.02]	-2.61 (0.72) [-0.05] **	-0.67 (0.59) [-0.01]	-0.67 (0.58) [-0.01]	-1.77 (0.69) [-0.04] *	-1.36 (0.67) [-0.03] *	-1.41 (0.64) [-0.03] *
Two or three occurrences (N=55)	-0.68 (1.03) [-0.01]	-0.03 (0.97) [-0.00]	-0.43 (0.92) [-0.01]	-4.19 (1.11) [-0.05] ***	-3.33 (0.91) [-0.04] ***	-3.52 (0.90) [-0.05] ***	-0.91 (1.06) [-0.01]	-0.38 (1.03) [-0.01]	-0.78 (0.99) [-0.01]
<b>Chronic insomnia symptoms:</b>									
No insomnia symptoms (N=2,842)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=782)	-3.22 (0.29) [-0.16] ***	-2.83 (0.28) [-0.14] ***	-2.72 (0.28) [-0.13] ***	-2.74 (0.32) [-0.12] ***	-1.53 (0.27) [-0.07] ***	-1.53 (0.27) [-0.07] ***	-2.94 (0.30) [-0.14] ***	-2.88 (0.30) [-0.14] ***	-2.81 (0.30) [-0.13] ***
Two occurrences (N=499)	-5.84 (0.34) [-0.24] ***	-4.97 (0.33) [-0.20] ***	-4.80 (0.34) [-0.19] ***	-3.88 (0.39) [-0.14] ***	-1.95 (0.33) [-0.07] ***	-1.88 (0.33) [-0.07] ***	-5.30 (0.36) [-0.21] ***	-4.91 (0.36) [-0.19] ***	-4.85 (0.36) [-0.19] ***
Three occurrences (N=368)	-8.60 (0.39) [-0.30] ***	-7.34 (0.38) [-0.26] ***	-7.04 (0.40) [-0.25] ***	-5.73 (0.45) [-0.18] ***	-3.08 (0.38) [-0.10] ***	-2.82 (0.39) [-0.09] ***	-7.55 (0.41) [-0.26] ***	-6.91(0.41) [-0.24] ***	-6.88 (0.43) [-0.24] ***

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group. Model 1: Adjusted for age, age2, gender, wealth; Model 2: Adjusted as in Model 1 + employment status, marital status, limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI); Model 3: Adjusted as in Model 2 + insomnia symptoms/recurrent long or short sleep \*\*\*p≤0.001, \*\*p≤0.01, \* p<0.0

A similar pattern of results were observed for mental well-being. However, for physical well-being the association between three reported occurrences of short sleep, although attenuated, remained in Model 3. The results for reported recurrent long sleep ( $\geq 9$  hours) showed that one occurrence was associated with both lower overall and mental well-being, although this was attenuated by Model 3 for overall well-being. However, for physical well-being there was a negative association between two or more occurrences of long sleep, which although attenuated, remained in each of the three models. A test of trend for well-being outcomes over the occurrences of long sleep was only significant for physical well-being (SF-36 (PCS);  $p=0.011$ ).

Table 3 shows the association of the three sleep exposures with overall, physical and mental well-being after further adjustment for the potential confounding effects of depression. Model 3 (from Table 2) is additionally adjusted for the modified GHQ-30 depressive symptom score. Overall the pattern of findings observed previously remains consistent, although the size of the association is attenuated, especially for overall well-being. Supplementary Table S3 compares the key characteristics of those included and not included in the analyses. Although well-being scores and participant characteristics were similar between this sample and those excluded due to missing data; chronic insomnia symptoms and recurrent short sleep were more common and well-being poorer among those not included in the analyses.

**Table 3: Association of recurrent sleep duration and insomnia symptoms with well-being after further adjustment for depressive symptoms<sup>a</sup>**

<i>N=4,491</i>	<i>Overall well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Physical well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Mental well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>
<b>Recurrent short sleep:</b>			
No Short sleep	0.00 REF	0.00 REF	0.00REF
One occurrence	-0.62 (0.35) [-0.02]	0.01 (0.37) [-0.00]	-0.12 (0.31) [-0.01]
Two occurrences	-0.72 (0.53) [-0.02]	-0.56 (0.57) [-0.01]	0.46 (0.48) [0.01]
Three occurrences	-0.55 (0.64) [-0.01]	-1.63 (0.68)** [-0.03]	0.70 (0.58) [0.01]
<b>Recurrent long sleep:</b>			
No Long sleep	0.00 REF	0.00 REF	0.00 REF
One occurrence	-0.94 (0.54) [-0.02]	-0.66 (0.58) [-0.01]	-1.25 (0.49)* [-0.03]
Two or three occurrences	-0.14 (0.84) [-0.00]	-3.47 (0.90) *** [-0.04]	-0.30 (0.76) [-0.00]
<b>Chronic insomnia symptoms:</b>			
No insomnia symptoms	0.00 REF	0.00 REF	0.00 REF
One occurrence	-1.76 (0.26) *** [-0.09]	-1.36 (0.27)*** [-0.06]	-1.22 (0.23)*** [0.06]
Two occurrences	-3.28 (0.31)*** [-0.13]	-1.61 (0.33)*** [-0.06]	-2.31 (0.28)*** [-0.09]
Three occurrences	-4.84 (0.37)*** [-0.17]	-2.41 (0.40)*** [-0.08]	-3.22 (0.34)*** [-0.11]

<sup>a</sup> Estimates are adjusted as in Model 3 (see Tables 3 and 4) with additional adjustment for depressive symptoms score

<sup>b</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

## DISCUSSION

Prospective repeat data over 10 years of follow-up suggest that insomnia symptoms and long sleep are independently associated with lower levels of well-being, measured as overall well-being, physical and mental well-being. There is a dose response association between chronic insomnia symptoms and poorer well-being, independent of sleep duration and depressive symptoms. However, the association between sleep duration and well-being differed according to the measure of well-being examined, possibly an indication that as societies age, there may be less homogeneity in older age groups and the correlates of well-being at older age may vary.

Our findings agree with previous research, which has demonstrated independent negative associations, between insomnia symptoms and lower physical and mental well-being scores. [28-34] We are not aware of any studies that have examined the association between chronic exposure to

insomnia symptoms and the SF-36. We found a dose response association, suggesting that recurrent exposure to insomnia was associated with both lower mental and physical well-being.

Previous cross-sectional work has shown an association between sleep duration and both mental and physical well-being. [10, 35] We found that recurrent exposure to long or short sleep was associated with poorer physical well-being. However, we did not find a prospective association between sleep duration and mental well-being. The association between recurrent short sleep and mental well-being was no longer significant after insomnia symptoms were taken into account. However, recurrent short sleep in the absence of high levels of insomnia symptoms does not necessarily predict poor well-being. Faubel and colleagues also found that sleep duration at baseline failed to predict change in mental well-being two years later. [10]

Studies that have examined the relationship between both short and long sleep with overall well-being have generally reported an initial U shaped relationship, [11, 12] which did not always remain after adjustment. [12] This did not accord with our cross-sectional findings, where only short sleep was related to well-being. Additionally, we did not find an association between recurrent short or long sleep and overall well-being. However, in accordance with others [7-9, 12] we found an independent association between chronic insomnia symptoms and lower overall well-being, which remained even when depressive symptoms were taken into account.

Many of the mechanisms suggested as explanations for the association between insomnia symptoms and well-being are similar to those suggested for short sleep, [11, 28] implying that both indicators are simply capturing an underlying concept of poor quality sleep. [36, 37] However, we find a dose response association for insomnia symptoms and well-being which is not present for short sleep, suggesting that there may be different mechanisms for these associations.

A number of mechanisms may mediate the association between short sleep and overall or mental well-being, including fatigue or sleepiness during the day [38] and the involvement of metabolic and endocrine functions. [39] The mechanisms linking long sleep and physical well-being are less clear, possibilities are reverse causation, as longer sleep may be an early symptom of undiagnosed disease, [10] or increased sleep fragmentation. [40, 41] However, associations were robust to adjustment for presence of a limiting long term illness. Associations between well-being and physical well-being may also be subject to confounding by mental health problems such as depression, where reporting problems with sleep is a clinical symptom. [42] However, the association between sleep duration and insomnia symptoms remained following adjustment for the GHQ depression scale.

We used self-reported measures of both sleep duration and insomnia symptoms. Observational studies are beginning to include measures of sleep duration based on actigraphy data; however, these were not available in 1997, when sleep duration was first measured in this cohort. Also as sleep problems remain self-diagnosed within the primary care setting self-reported data can be assumed to have face validity. Self-reported sleep duration has shown moderate correlations with more objective measures of sleep, such as actigraphy [43-45]. Despite this, further research will be necessary when long-term actigraphy measures of sleep are available, since three measurements in 10 years may not fully describe the sleep history of participants. Secondly, we are not able to take sleep disorders such as sleep apnoea into account. However, controlling for BMI in our analysis should reduce potential confounding by sleep apnoea, since the prevalence of obesity is greater in those with this sleep condition. There is a potential overlap between the measures of vitality included in the SF-36 scale and the Jenkins questionnaire which asks respondents about waking up feeling 'tired and worn out'. A sensitivity analysis was undertaken in the cross-sectional analysis to examine any potential overlap between these questions and it was found that removing them had little effect on the results. The participants in Whitehall II were originally from an occupational cohort of white collar workers and therefore participants were employed and relatively healthy, this may limit generalizability. Further caution should also be exercised extrapolating these conclusions to a general population, due to drop-outs from the sample originally enrolled in the study. The strengths of this work are the availability of three repeat measures of exposure to short or long sleep and insomnia symptoms and three validated well-being outcomes for a large sample of participants from a well-characterised cohort. We conclude that whilst chronic insomnia symptoms are negatively associated with all aspects of well-being. However, for older adults, recurrent short sleep duration does not necessarily have a negative effect on overall or mental well-being, when the effects of insomnia symptoms are taken into account. However, extreme sleep duration is associated with poor physical well-being.

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**Author contributions:** JA and MKu designed the study and wrote the first draft of the manuscript. JA analysed the data. MS, JF and Mki interpreted the results and assisted with the preparation of the manuscript

**Data sharing:** No additional data available.

**Ethical approval:** Ethical approval for the Whitehall II study was obtained from the University College London Medical School committee on the ethics of human research.



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Table S1: Cross-sectional association between sleep duration and well-being

N=4,491									
Hours of Sleep	Overall well-being			Physical well-being			Mental well-being		
	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	MCS Diff <sup>a</sup> (SE) [Standardised diff]
≤ 5	-5.11 (0.44) [-0.17] ***	-4.19 (0.43) [-0.14] ***	-3.08 (0.42) [-0.10] ***	-3.24 (0.49) [-0.10] ***	-1.47 (0.40) [-0.05] ***	-1.01 (0.41) [-0.03] **	-4.35 (0.46) [-0.14] ***	-3.86 (0.45) [-0.13] ***	-2.75 (0.45) [-0.09] ***
6	-1.85 (0.27) [-0.11] ***	-1.55 (0.26) [-0.09] ***	-1.26 (0.25) [-0.07] ***	-1.11 (0.29) [-0.06] ***	-0.45 (0.24) [-0.02]	-0.32 (0.24) [-0.02]	-1.25 (0.28) [-0.07] ***	-1.13 (0.27) [-0.06] ***	-0.83 (0.27) [-0.05] **
7	REF	REF	REF	REF	REF	REF	REF	REF	REF
8	0.48 (0.30)* [0.02]	0.42 (0.29) [0.02]	0.34 (0.28) [0.02]	0.18 (0.33) [0.01]	0.05 (0.28) [0.00]	0.01 (0.27) [0.00]	0.30 (0.32) [0.02]	0.30 (0.31) [0.02]	0.21 (0.29) [0.01]
≥ 9	-1.66 (0.79)* [-0.03]	-0.90 (0.75) [-0.02]	-0.81 (0.73) [-0.01]	-3.05 (0.86) [-0.05] ***	-1.69 (0.71) [-0.03] **	-1.65 (0.71) [-0.03] **	-1.14 (0.82) [-0.02]	-0.68 (0.80) [-0.01]	-0.59 (0.78) [-0.01]

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group. Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth  
Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI)  
Model 3: Adjusted as in Model 2 + insomnia symptoms  
\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

Table S2: Cross-sectional association between insomnia symptoms and well-being

N=4,491									
	Overall well-being			Physical well-being			Mental well-being		
Insomnia Symptoms:	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised Beta]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]
High Insomnia symptoms (binary)	-4.42 (0.23) [-0.27]***	-3.65 (0.23) [-0.22]***	-3.25 (0.20) [-0.23]***	-3.07 (0.26) [-0.17]***	-1.46 (0.22) [-0.08]***	-1.34 (0.22) [-0.07]***	-3.97 (0.24) [-0.23]***	-3.59 (0.24) [-0.21]***	-3.25 (0.25) [-0.19]***
High Insomnia symptoms (quartile)	-5.98 (0.26) [-0.32]	-5.10 (0.25) [-0.27]***	-4.61 (0.27) [-0.25]***	-3.85 (0.28) [-0.19]***	-2.07 (0.25) [-0.10]***	-1.97 (0.26) [-0.10]***	-5.80 (0.27) [-0.30]***	-5.34 (0.27) [-0.28]***	-5.01 (0.28) [-0.26]***
Trouble falling asleep	-6.40 (0.65) [-0.14]***	-5.03 (0.62) [-0.11]***	-3.59 (0.63) [-0.08]***	-5.51 (0.70) [-0.11]***	-2.87 (0.58) [-0.06]***	-2.49 (0.60) [-0.05]***	-6.48 (0.67) [-0.14]***	-5.78 (0.65) [-0.13]***	-4.56 (0.67) [-0.10]***
Waking in the night	-3.49 (0.25) [-0.20]***	-2.81 (0.24) [-0.16]***	-2.43 (0.24) [-0.14]***	-2.77 (0.27) [-0.15]***	-1.37 (0.23) [-0.07]***	-1.25 (0.23) [-0.06]***	-2.98 (0.26) [-0.17]***	-2.65 (0.25) [-0.15]***	-2.31 (0.26) [-0.13]***
Waking up tired	-9.59 (0.42) [-0.32]***	-8.2 (0.41) [-0.27]***	-7.6 (0.42) [-0.25]***	-5.50 (0.47) [-0.16]***	-2.74 (0.40) [-0.08]***	-2.51 (0.41) [-0.07]***	-10.61 (0.43) [-0.34]***	-9.85 (0.43) [-0.32]***	-9.42 (0.44) [-0.30]***
Trouble staying asleep	-5.81 (0.33) [-0.25]***	-4.95 (0.31) [-0.22]***	-4.20 (0.33) [-0.18]***	-3.10 (0.36) [-0.12]***	-1.44 (0.30) [-0.06]***	-1.19 (0.32) [-0.05]***	-5.86 (0.34) [-0.25]***	-5.37 (0.33) [-0.23]***	-4.86 (0.35) [-0.21]***

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group. Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth,

Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviors (alcohol, physical activity, smoking, BMI)

Model 3: Adjusted as in Model 2 + insomnia symptoms

\*\*\*p<0.001, \*\*p<0.01, \* p<0.05

Table S3: Comparison of those included and excluded from the study sample among those eligible (participants at phase 9)

	In study sample (N= 4491)	Not in study sample (N= 2270)	P value
	Mean (SD) or %	Mean (SD) or %	
Sex (% men)	74.7	60.8	<0.001
Age (yr)	65.7	66.6	<0.001
Employment grade (% lower)	7.5	17.6	<0.001
Marital status (% married)	76.8	71.9	<0.001
SF-36 Mental Component Score (MCS)	53.9 (7.9)	52.6 (9.3)	<0.001
SF-36 Physical Component Score (PCS)	49.0 (8.5)	46.9 (10.2)	<0.001
CASP-19	43.5 (7.8)	42.2 (8.6)	<0.001
Smoker	6.3 %	7.9 %	0.024
Chronic insomnia symptoms	8.2%	10.4%	0.009
Recurrent short sleep duration	2.3%	2.9%	<0.001
BMI (kg/m <sup>2</sup> )	26.6 (4.3)	27.3 (4.8)	<0.001
% 1 or more ADL	8.5 %	13.3 %	<0.001
% 1 or more IADL	12.4 %	19.0 %	<0.001
GHQ (modified)	1.9 (4.1)	2.6 (5.2)	<0.001

<sup>a</sup> High level of insomnia symptoms reported at each of the three time points

<sup>b</sup> Reported short (≤5 hours/night) sleep reported at each of the three time points

## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pg. No
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	1/2 2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	3
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	4
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-5
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	4-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	6
		(c) Explain how missing data were addressed	10
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	N/A
		(e) Describe any sensitivity analyses	6

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4
		(b) Give reasons for non-participation at each stage	4
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7
		(b) Indicate number of participants with missing data for each variable of interest	10
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	7
		Case-control study—Report numbers in each exposure category, or summary measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-10
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	8-10, 12

Discussion

Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	12

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	13
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).



# BMJ Open

## Association of Chronic Insomnia Symptoms and Recurrent Extreme Sleep Duration over 10 Years with Well-being in Older Adults: A Cohort Study

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**Association of Chronic Insomnia Symptoms and Recurrent Extreme Sleep Duration over 10 Years with Well-being in Older Adults: A Cohort Study**

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Keywords: Sleep length, sleep quality, quality of life, observational study, ageing.

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## ABSTRACT

**Objectives:** The extent to which aspects of sleep affect well-being in the long term remains unclear. This longitudinal study examines the association between chronic insomnia symptoms, recurrent sleep duration and well-being at older ages.

**Setting:** A prospective cohort of UK civil servants (the Whitehall II study).

**Participants:** 4491 women and men (25.2% women) with sleep measured three times over 10 years and well-being once at age 55-79 years. Insomnia symptoms and sleep duration were assessed through self-reports in 1997-1999, 2003-2004 and 2007-2009.

**Primary outcome measures:** Indicators of well-being, measured in 2007-2009, were the Control, Autonomy, Self-realisation and Pleasure measure (CASP-19) of overall well-being (range 0-57) and the physical and mental well-being component scores (range 0-100) of the Short Form Health Survey (SF-36).

**Results:** In maximally-adjusted analyses, chronic insomnia symptoms were associated with poorer overall well-being (difference between insomnia at three assessments vs. none: -7.0 (Standard Error (SE)=0.4)  $p<0.001$ ), mental well-being (difference: -6.9 (SE=0.4),  $p<0.001$ ) and physical well-being (difference -2.8 (SE=0.4),  $p<0.001$ ) independently of the other sleep measures. There was a suggestion of a dose response pattern in these associations. In addition, recurrent short sleep (difference between  $\leq 5$  hrs sleep reported at three assessments vs. none: -1.7 (SE=0.7),  $p<0.05$ ) and recurrent long sleep (difference between  $>9$ hr reported at two or three assessments vs. none -3.5 (SE=0.9),  $p<0.001$ ) were associated with poorer physical well-being.

**Conclusions:** We conclude that in older people, chronic insomnia symptoms are negatively associated with all aspects of well-being, whereas recurrent long and short sleep is only associated with reduced physical well-being.

**Strengths and limitations of this study**

- So far most evidence on the association between quality sleep and well-being has been drawn from cross-sectional data and has focused on health-related well-being measures.
- Strengths of this study include the availability of repeat measures of sleep duration and insomnia symptoms and three validated well-being scales to consider different domains of well-being.
- It suggests that there are long term effects of insomnia symptoms for the well-being of older people. However, negative effects of extreme sleep duration are only seen for physical well-being.
- A limitation of this study is that these sleep measures are self-reported. Although observational are beginning to utilise actigraphy methods, these were not available over such a long time period.

## INTRODUCTION

Insomnia symptoms, short ( $\leq 5$  hours/night) and long ( $\geq 9$  hours/night) sleep are all associated with an increased risk of a range of chronic health conditions, such as diabetes, [1-3] hypertension [4] and mortality. [5, 6] Health is an important predictor of well-being; however, overall well-being is often more than merely the absence of poor physical or mental ill health. This is particularly the case in older populations, where there is a high prevalence of chronic diseases.

Cross-sectional research on the contribution of sleep to well-being indicates that insomnia symptoms [7-9] and both short and long sleep [10-12] are associated with lower levels of well-being. Evidence for an interaction between insomnia symptoms, sleep duration and health has also been suggested. [13] However, what has been studied less is whether these cross-sectional associations strengthen when insomnia symptoms and extreme sleep duration are based on repeated assessments. A recent study measured chronic insomnia symptoms at two time points, using a conservative estimate; the lowest frequency of insomnia symptoms mentioned at either of the time points. [8] The study found that these had a strong negative association with subjective well-being.

The relationship between sleep and well-being might also vary with the outcome measure examined. In previous work there has been an emphasis on measures which capture health-related well-being, such as the Short Form (SF-36) Health Survey. [14] However, this may not fully capture well-being in elderly populations, since it reflects mental and physical functioning which decline in older age groups. [15] To evaluate overall well-being in early old age, the Control, Autonomy, Self-realisation, and Pleasure (CASP-19) measure was developed. It evaluates quality of life as distinct from factors which predict it, such as good health. [16]

To the best of our knowledge no other studies have been able to provide repeat measurements taken over a 10 year follow up period. To address these limitations of previous work, we examine reports of chronic insomnia symptoms and recurrent extreme sleep duration with well-being in old age. Our two key objectives are: 1) To examine whether chronic insomnia symptoms and recurrent short or long sleep duration are independently associated with well-being in older adults and 2) to determine whether the associations between sleep and well-being extend to three different domains: overall well-being (CASP-19), physical well-being (SF-36: PCS) and mental well-being (SF-36: MCS).

## METHODS

**Study sample**

The Whitehall II Cohort was recruited from London-based Civil Service departments in 1985-1988 (phase 1), the sample consisted of 10,308 participants aged 35-55, with a response rate of 73%. Follow up screening examinations took place in 1991-1993 (phase 3) and 1997-1999 (phase 5), 2003-2004 (phase 7) and 2007-2009 (phase 9) with postal questionnaires being sent to participants in 1989 (phase 2), 1995 (phase 4), 2001 (phase 6) and 2006 (phase 8). Further details of the Whitehall II Study can be found elsewhere. [17] In this study, we used sleep exposure data from 1997-1999, 2003-2004 and 2007-2009 to predict well-being in 2007-2009, when the participants were aged 55 to 79 years. A total of 6,761 respondents participated in phase 9, a response rate of 66% since phase 1, but 86% from those eligible at phase 9. The follow-up rate from phase 5 to phase 9 was 85.9%. The final sample of 4491 (1,133 women; 25.2%) participated at phase 9 and had complete information for all relevant variables.

**Well-being outcomes**

The following outcome measures reported at phase nine (2007-2009) were used in the analysis:

**Overall well-being (CASP-19):** CASP-19 is an instrument developed and validated to measure overall well-being in older people, independent of influencing factors such as health. [18] CASP-19 sums 19 Likert-scaled items, measuring Control, Autonomy, Self-realisation and Pleasure. Testing carried out on CASP-19 during its development is reported elsewhere. [19] Respondents were asked to indicate how often each statement applied to them; *often, sometimes, not often, or never*, and these scores were appropriately coded, using a sliding scale of 0 to 3 and summed (range 0 to 57), with higher scores indicating a better quality of life. [19, 20] The scale had good internal consistency at phase 9 (2007-2009; Cronbach's alpha=0.88).

**Physical and mental well-being (SF-36):** The Short Form 36 health survey (SF-36) is a 36 item questionnaire; these questions are used to construct the eight SF-36 scales: physical functioning, mental functioning, role limitations due to physical problems, social functioning, bodily pain, role limitations due to emotional problems, vitality, and general health perceptions. [21] These eight scales can be aggregated to form two summary scores - physical and mental functioning component scores - using a method based on factor analysis. They are considered to be conceptually distinct measures of physical (SF-36: PCS) and mental well-being (SF-36: MCS). [14, 21] Scores for each of these two scales ranged from 0 to 100, with higher scores indicating greater well-being. The

correlation between CASP-19 and SF-36 mental well-being was  $r=0.64$  ( $p \leq 0.001$ ) and the correlation between CASP-19 and SF-36 physical well-being was  $r=0.39$  ( $p \leq 0.001$ ).

### Measures of Sleep

**Insomnia symptoms** were measured at the same phases as sleep duration using the Jenkins' sleep problem scale. [22] Participants were asked how many times during the last month they: (1) "Have trouble falling asleep," (2) "Have trouble staying asleep (i.e. waking up far too early)" (3) "Wake up several times per night" and (4) "Wake up after usual amount of sleep feeling tired and worn out." The following response categories were available: *Not at all, 1-3 days, 4-7 days, 8- 14 days, 15-21 days and 22-31 days*. This scale was summed and grouped into quartiles. The first three quartiles were grouped together (low insomnia symptoms) and the fourth quartile was grouped separately (high insomnia symptoms). Chronic insomnia symptoms were defined as the number of times, across the three time points that a participant reported high insomnia symptoms. The length of follow-up from the first sleep exposure to outcome ranged from 8 years to 12 years (mean, 9.8 years).

**Sleep duration** was self-reported and measured at phase five (1997-1999), phase 7 (2003-2004) and phase 9 (2007-2009) using the question: "*How many hours of sleep do you have on an average week night?*"; with the options *5h or less, 6h, 7h, 8h or 9h or more*. Cross-sectional research (Supplementary Table S1) confirmed evidence from previous literature, that extreme sleep duration has the greatest impact on health and well-being, therefore only short and long sleep was examined longitudinally. Two variables were created using data from each time-point: (i) recurrent short sleep, defined as the number of times a participant reported short ( $\leq 5$  hours/night) sleep across the three time points; (ii) recurrent long sleep, defined as the number of times a participant reported long sleep ( $\geq 9$  hours/night) across the three time points.

### Covariates

A range of covariates, measured at phase nine (2007-2009), were also included: *Gender* and *age* were considered to be confounding factors. A quadratic term for age ( $age^2$ ) was included because the relationship of age to CASP-19 has been shown to follow a non-linear trend. [16] Participants were asked to estimate their total *household wealth* (including house value), this was recoded into four categories 1)  $<£200,000$  2)  $£200-£499,999$  3)  $£500-£999,999$  and 4)  $>£1,000,000$ . Household wealth rather than civil service employment grade or income was used since it has been shown to represent the economic status of older people more accurately than income. [23] A binary variable



indicated whether the participant was still in paid *employment*. *Marital status* was defined as married/cohabiting or not. *Chronic health conditions* were assessed as the presence or absence of a limiting long term illness. *Poor functioning* was defined as limitations in one or more activities of daily living (ADL), or one or more instrumental activities of daily living (IADL). *Health behaviours*: smoking (current vs. never/ex-smokers), physical activity; based on the duration of 'vigorous' activity ( $\geq 1.5$ h per week vs.  $< 1.5$ h per week). Physical activity was assessed using a questionnaire which asked participants about the number of hours spent undertaking a range of physical activity (both leisure- time and job-related activities). Each activity was assigned a metabolic equivalent (MET) value[24]. Vigorous physical activity was defined as activities with a MET value of 6 or more[25] (e.g. swimming, mowing). High alcohol consumption ( $\geq 14$  units/week for women and  $\geq 22$  units/week for men) and body mass index (BMI): Height and weight were measured during the medical examination and BMI ( $\text{kg/m}^2$ ) calculated. *Depressive symptoms* were assessed using a modified version of the 30-item General Health Questionnaire (GHQ) [26] removing the two questions that referred to sleep problems. Higher GHQ scores indicate more depressive symptoms.

**Statistical Analysis**

Pearson's chi-squared test ( $\chi^2$ ) for homogeneity (4df) was used to examine this association between sleep duration and each categorical covariate, whilst linear regression was used for continuous exposures to examine heterogeneity across the sleep duration categories. We also conducted a non-parametric test of trend for each well-being outcome, across the groups of each exposure variable. We used the Stata command *nptrend* which is an extension of the Wilcoxon rank-sum test. Three models were estimated using the exposures for recurrent short and long sleep and chronic insomnia symptoms. In the first model age, age<sup>2</sup>, gender and household wealth, were included. In Model 2 employment status, marital status, chronic health conditions, ADL/IADL and health behaviours were additionally included. In Model 3 the remaining sleep exposure was also added to Model 2. Since the association between overall well-being, or physical well-being and poor sleep might be confounded by mental health, further models were adjusted for the depressive symptoms score. Statistical significance levels were set at P <0.05 for two-sided analyses. Each exposure variable was also examined cross-sectionally, these results are available in Supplementary Tables S1 and S2 and the results reported in the text. In the cross-sectional analysis, the full five category measure of sleep duration was tested and each item of the insomnia symptoms scale examined separately. In the cross-sectional models a reference group of 7 hours was used [27] All analyses were undertaken using Stata 13.1

Table 1: Characteristics of participants by sleep duration 2007-2009 (N= 4,491)

	Hours of sleep						P value <sup>b</sup>
	ALL	≤ 5	6	7	8	≥ 9	
<b>Sleep duration, % (N)</b>		7.5 (335)	29.0 (1,303)	41.8 (1,875)	19.7 (884)	2.1 (94)	
<b>Age (years), mean (SD)<sup>a</sup></b>	65.6 (5.9)	66.5 (6.1)	65.5 (5.9)	65.4 (5.8)	66.1 (5.7)	67.4 (6.2)	<0.0001
<b>Women, % (N)</b>	25.2 (1,133)	36.4 (122)	26.9 (351)	24.6 (461)	20.1 (178)	22.3 (21)	<0.0001
<b>Married, % (N)</b>	76.8 (3,449)	58.8 (197)	74.2 (967)	79.4 (1,489)	81.8 (723)	77.7 (73)	<0.0001
<b>Employed, % (N)</b>	31.5 (1,414)	28.7 (96)	36.9 (481)	34.1 (640)	20.9 (185)	12.8 (12)	<0.0001
<b>Lowest wealth (&lt;£200,000), % (N)</b>	9.3 (419)	17.9 (60)	10.1 (132)	8.8 (164)	6.5 (57)	6.4 (6)	<0.0001
<b>High alcohol consumption, % (N)</b>	17.8 (800)	13.4 (45)	17.2 (224)	17.6 (330)	19.9 (176)	26.6 (25)	0.015
<b>Vigorous physical activity, % (N)</b>	13.3 (595)	9.3 (31)	12.0 (156)	13.4 (251)	16.4 (145)	12.8 (12)	0.007
<b>Current smoking, % (N)</b>	6.3 (283)	5.4 (18)	5.4 (70)	6.7 (125)	7.1 (63)	7.5 (7)	0.366
<b>BMI (kg/m<sup>2</sup>), mean (SD)<sup>a</sup></b>	26.6 (4.3)	27.4 (4.5)	27.0 (4.6)	26.5 (4.2)	26.1 (4.0)	26.7 (4.6)	<0.0001
<b>No long term illness, % (N)</b>	34.6 (1,555)	24.5 (82)	33.5 (437)	35.9 (673)	37.6 (332)	33.0 (31)	<0.0001
<b>1 or more ADL % (N)</b>	8.5 (382)	15.8 (53)	10.3 (134)	6.8 (128)	6.3 (56)	11.7 (11)	<0.0001
<b>1 or more IADL % (N)</b>	12.4 (555)	21.8 (73)	14.4 (188)	10.2 (192)	9.3 (82)	21.3 (20)	<0.0001
<b>GHQ (modified), mean (SD)<sup>a</sup></b>	1.9 (4.1)	4.0 (6.1)	2.3 (4.5)	1.5 (3.6)	1.3 (3.1)	2.0 (3.8)	<0.0001
<b>High insomnia symptoms, % (N)</b>	32.5 (1,461)	64.5 (216)	37.2 (484)	27.1 (508)	25.0 (221)	34.0 (32)	<0.0001
<b>Chronic insomnia symptoms, % (N)<sup>c</sup></b>							
No occurrence	63.3 (2,842)	26.0 (87)	53.0 (690)	70.9 (1,329)	76.4 (675)	64.9 (61)	<0.0001
1 occurrence	17.4 (782)	20.6 (69)	20.6 (269)	15.3 (286)	15.8 (140)	19.2 (18)	<0.0001
2 occurrences	11.1 (499)	22.4 (75)	15.4 (200)	9.4 (176)	4.9 (43)	5.3 (5)	<0.0001
3 occurrences	8.2 (368)	31.0 (104)	11.06 (144)	4.5 (84)	2.9 (26)	10.6 (10)	<0.0001
<b>Trouble falling asleep, % (N)</b>	3.1 (140)	20.0 (67)	3.3 (43)	1.1 (20)	1.0 (9)	1.1 (1)	<0.0001
<b>Waking in the night, % (N)</b>	28.4 (1,275)	54.0 (181)	31.5 (411)	23.9 (448)	23.3 (206)	30.9 (29)	<0.0001
<b>Waking up tired, % (N)</b>	7.1 (317)	26.6 (89)	8.0 (104)	4.4 (83)	3.4 (30)	11.7 (11)	<0.0001
<b>Trouble staying asleep, % (N)</b>	13.1 (588)	52.2 (175)	18.9 (246)	6.8 (128)	3.7 (33)	6.4 (6)	<0.0001
<b>CASP-19, mean (SD)<sup>a</sup></b>	43.5 (7.8)	38.7 (9.2)	42.4 (7.8)	44.4 (7.2)	45.0 (7.1)	42.8 (8.1)	<0.0001
<b>SF-36 (PCS), mean (SD)<sup>a</sup></b>	49.0 (8.5)	45.5 (10.5)	48.4 (9.1)	49.7 (7.9)	49.8 (7.8)	46.1 (8.8)	<0.0001
<b>SF-36 (MCS), mean (SD)<sup>a</sup></b>	53.9 (7.9)	50.0 (10.6)	53.2 (8.2)	54.5 (7.3)	55.0 (6.8)	53.7 (8.7)	<0.0001

<sup>a</sup> Mean (SD); <sup>b</sup> P value for heterogeneity; <sup>c</sup> Number of times (1997-1999, 2003-2004, and 2007-2009) high level of insomnia symptoms reported (CASP-19) Control, Autonomy, Self-realisation and Pleasure measure; SF-36 (PCS) Short Form Health Survey physical component scores; SF-36 (MCS) Short Form Health Survey mental well-being component scores; (BMI) body mass index; (ADL) Activities of Daily Living; (IADL) Instrumental Activities of Daily Living

RESULTS

The distribution of participant characteristics, by sleep duration reported in 2007-2009 is reported in Table 1. In this sample the mean (SD) overall well-being score was 43.5 (7.8), the mean physical well-being score was 49.0 (8.5) and the mean mental well-being score was 53.9 (7.9). The percentage of those participants who reported high levels of insomnia symptoms at each of the three time points was 8.2 % (N=368), in 2007-2009 7.5 % (N=335) participants reported short sleep and 2.1% (N=94) long sleep. An inverted U shaped association with sleep duration was observed for each of these outcomes. Those who reported shorter and longer sleep were also more likely to have a long term illness and have one or more ADLs and IADLs. Those who reported sleeping five hours or less were more likely to be younger, female and to have worked or be currently working in the lowest civil service employment grade, but were less likely to be married or cohabiting. They were also more likely to have a high BMI, less likely to report undertaking any vigorous physical activity and more likely to score highly on the GHQ depression scale and report high levels of insomnia symptoms.

In the cross-sectional linear regression analyses (see Supplementary Tables S1 and S2) the binary measure of high levels of insomnia symptoms was associated with lower levels of all the well-being measures in each of the models. These associations were attenuated when covariates were included, especially for the measure of physical well-being. Negative associations were also observed between each of the three outcome measures and each item of the Jenkins sleep scale, when these were included in the analysis individually. A negative association between short sleep ( $\leq 5$  hours or 6 hours) was observed for both mental well-being and overall well-being when compared to those who report sleeping seven hours a night. However, a strong U-shaped association was observed between sleep duration and physical well-being SF-36 (PCS) in all three Models, with both short ( $\leq 5$  hours) and long ( $\geq 9$ ) sleep being associated with worse physical well-being.

Table 2 shows the results for recurrent short sleep, recurrent long sleep and chronic insomnia symptoms with well-being. A test for trend showed a trend of each well-being outcome across the occurrence of insomnia symptoms, (CASP-19;  $p \leq 0.001$ , SF-36(PCS);  $p \leq 0.001$ , SF-36 (MCS);  $p \leq 0.001$ ). When chronic insomnia symptoms were examined in regression analysis a dose response association was observed for each well-being outcome, with each additional occurrence of high levels of insomnia symptoms increasing the negative effect. This association remained in all three models, although the association was attenuated in the fully adjusted model. In Models 1 and 2 recurrent short sleep ( $\leq 5$  hours) was associated with poorer overall well-being, with a small dose response relationship suggested. A test of trend analysis indicated a trend for each of the well-being outcomes

across the occurrences of short sleep (CASP-19;  $p \leq 0.001$ , SF-36(PCS);  $p \leq 0.001$ , SF-36 (MCS);  $p \leq 0.001$ ). However, when chronic insomnia symptoms were also included in the analysis, this association was attenuated substantially

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Table 2: Association of recurrent sleep duration and insomnia symptoms with overall well-being, physical well-being and mental well-being

N=4,491	Overall well-being <sup>b</sup>			Physical well-being <sup>c</sup>			Mental well-being <sup>d</sup>		
	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardise d diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]
<b>Recurrent short sleep<sup>e</sup>:</b>									
No Short sleep (N= 3,869)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=372)	-3.23(0.41) [-0.11] ***	-2.61 (0.39) [-0.09] ***	-0.96 (0.38) [-0.03]**	-2.28(0.44) [-0.07] ***	-0.75 (0.37) [-0.02] *	0.01 (0.37) [-0.00]	-2.56 (0.42) [-0.09] ***	-2.34 (0.41) [-0.08] ***	-0.69 (0.41) [-0.02]
Two occurrences (N=147)	-3.38 (0.63) [-0.08] ***	-2.76 (0.60) [-0.06] ***	-0.73 (0.58) [-0.02]	-2.64 (0.69) [-0.05] ***	-1.37 (0.57) [-0.03] **	-0.56 (0.57) [-0.01]	-1.91 (0.65) [-0.04] ***	-1.57 (0.64) [-0.04] **	0.43 (0.62) [-0.01]
Three occurrences (N=103)	-4.66 (0.75) [-0.09] ***	-3.80 (0.71) [-0.07]***	-0.84 (0.70) [-0.01]	-4.59 (0.82) [-0.08] ***	-2.83 (0.67) [-0.05] ***	-1.68 (0.68) [-0.03] **	-3.03 (0.78) [-0.06] ***	-2.68 (0.76) [-0.05] ***	0.21 (0.75) [-0.00]
<b>Recurrent long sleep<sup>f</sup>:</b>									
No Long sleep (N=4,302)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=134)	-1.86 (0.67) [-0.04] **	-0.97 (0.63) [-0.02]	-1.04 (0.60) [-0.02]	-2.61 (0.72) [-0.05] **	-0.67 (0.59) [-0.01]	-0.67 (0.58) [-0.01]	-1.77 (0.69) [-0.04] *	-1.36 (0.67) [-0.03] *	-1.41 (0.64) [-0.03] *
Two or three occurrences (N=55)	-0.68 (1.03) [-0.01]	-0.03 (0.97) [-0.00]	-0.43 (0.92) [-0.01]	-4.19 (1.11) [-0.05] ***	-3.33 (0.91) [-0.04] ***	-3.52 (0.90) [-0.05] ***	-0.91 (1.06) [-0.01]	-0.38 (1.03) [-0.01]	-0.78 (0.99) [-0.01]
<b>Chronic insomnia symptoms<sup>g</sup>:</b>									
No insomnia symptoms (N=2,842)	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF	0.00 REF
One occurrence (N=782)	-3.22 (0.29) [-0.16] ***	-2.83 (0.28) [-0.14] ***	-2.72 (0.28) [-0.13] ***	-2.74 (0.32) [-0.12] ***	-1.53 (0.27) [-0.07] ***	-1.53 (0.27) [-0.07] ***	-2.94 (0.30) [-0.14] ***	-2.88 (0.30) [-0.14] ***	-2.81 (0.30) [-0.13] ***
Two occurrences (N=499)	-5.84 (0.34) [-0.24] ***	-4.97 (0.33) [-0.20] ***	-4.80 (0.34) [-0.19] ***	-3.88 (0.39) [-0.14] ***	-1.95 (0.33) [-0.07] )***	-1.88 (0.33) [-0.07] )***	-5.30 (0.36) [-0.21] ***	-4.91 (0.36) [-0.19] ***	-4.85 (0.36) [-0.19] ***
Three occurrences (N=368)	-8.60 (0.39) [-0.30] ***	-7.34 (0.38) [-0.26] ***	-7.04 (0.40) [-0.25] ***	-5.73 (0.45) [-0.18] ***	-3.08 (0.38) [-0.10] ***	-2.82 (0.39) [-0.09] ***	-7.55 (0.41) [-0.26] )	-6.91(0.41) [-0.24] ***	-6.88 (0.43) [-0.24] ***

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. <sup>b</sup> Overall well-being (CASP-19); <sup>c</sup> Physical well-being (SF-36); <sup>d</sup> Mental well-being (SF-36) <sup>e</sup> A test of trend analysis indicated a trend for each of the well-being outcomes across the occurrences of short sleep (CASP-19; p<0.001, SF-36(PCS); p<0.001, SF-36 (MCS); p<0.001). <sup>f</sup> A test of trend for well-being outcomes over the occurrences of long sleep was only significant for physical well-being (SF-36 (PCS); p=0.011). <sup>g</sup> A test for trend showed a trend of each well-being outcome across the occurrence of insomnia symptoms, (CASP-19; p<0.001, SF-36(PCS); p<0.001, SF-36 (MCS); p<0.001). Figures in square brackets show the difference in standardised well-being scores from the reference group. Model 1: Adjusted

for age, age<sup>2</sup>, gender, wealth; Model 2: Adjusted as in Model 1 + employment status, marital status, limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI); Model 3: Adjusted as in Model 2 + insomnia symptoms/recurrent long or short sleep \*\*\*p≤0.001, \*\*p≤0.01, \* p<0.0.

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A similar pattern of results were observed for mental well-being. However, for physical well-being the association between three reported occurrences of short sleep, although attenuated, remained in Model 3. The results for reported recurrent long sleep ( $\geq 9$  hours) showed that one occurrence was associated with both lower overall and mental well-being, although this was attenuated by Model 3 for overall well-being. However, for physical well-being there was a negative association between two or more occurrences of long sleep, which although attenuated, remained in each of the three models. A test of trend for well-being outcomes over the occurrences of long sleep was only significant for physical well-being (SF-36 (PCS);  $p=0.011$ ).

Table 3 shows the association of the three sleep exposures with overall, physical and mental well-being after further adjustment for the potential confounding effects of depression. Model 3 (from Table 2) is additionally adjusted for the modified GHQ-30 depressive symptom score. Overall the pattern of findings observed previously remains consistent, although the size of the association is attenuated, especially for overall well-being. Supplementary Table S3 compares the key characteristics of those included and not included in the analyses. Although well-being scores and participant characteristics were similar between this sample and those excluded due to missing data; chronic insomnia symptoms and recurrent short sleep were more common and well-being poorer among those not included in the analyses.



**Table 3: Association of recurrent sleep duration and insomnia symptoms with well-being after further adjustment for depressive symptoms<sup>a</sup>**

<i>N=4,491</i>	<i>Overall well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Physical well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>	<i>Mental well-being</i> <i>Diff<sup>b</sup> (SE)</i> <i>[Standardised diff]</i>
<b>Recurrent short sleep:</b>			
No Short sleep	0.00 REF	0.00 REF	0.00REF
One occurrence	-0.62 (0.35) [-0.02]	0.01 (0.37) [-0.00]	-0.12 (0.31) [-0.01]
Two occurrences	-0.72 (0.53) [-0.02]	-0.56 (0.57) [-0.01]	0.46 (0.48) [0.01]
Three occurrences	-0.55 (0.64) [-0.01]	-1.63 (0.68)** [-0.03]	0.70 (0.58) [0.01]
<b>Recurrent long sleep:</b>			
No Long sleep	0.00 REF	0.00 REF	0.00 REF
One occurrence	-0.94 (0.54) [-0.02]	-0.66 (0.58) [-0.01]	-1.25 (0.49)* [-0.03]
Two or three occurrences	-0.14 (0.84) [-0.00]	-3.47 (0.90) *** [-0.04]	-0.30 (0.76) [-0.00]
<b>Chronic insomnia symptoms:</b>			
No insomnia symptoms	0.00 REF	0.00 REF	0.00 REF
One occurrence	-1.76 (0.26) *** [-0.09]	-1.36 (0.27)*** [-0.06]	-1.22 (0.23)*** [0.06]
Two occurrences	-3.28 (0.31)*** [-0.13]	-1.61 (0.33)*** [-0.06]	-2.31 (0.28)*** [-0.09]
Three occurrences	-4.84 (0.37)*** [-0.17]	-2.41 (0.40)*** [-0.08]	-3.22 (0.34)*** [-0.11]

<sup>a</sup> Estimates are adjusted as in Model 3 (see Tables 3 and 4) with additional adjustment for depressive symptoms score

<sup>b</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

## DISCUSSION

Prospective repeat data over 10 years of follow-up suggest that insomnia symptoms and long sleep are independently associated with lower levels of well-being, measured as overall well-being, physical and mental well-being. There is a dose response association between chronic insomnia symptoms and poorer well-being, independent of sleep duration and depressive symptoms. However, the association between sleep duration and well-being differed according to the measure of well-being examined, possibly an indication that as societies age, there may be less homogeneity in older age groups and the correlates of well-being at older age may vary.

Our findings agree with previous research, which has demonstrated independent negative associations, between insomnia symptoms and lower physical and mental well-being scores. [28-34] We are not aware of any studies that have examined the association between chronic exposure to

insomnia symptoms and the SF-36. We found a dose response association, suggesting that recurrent exposure to insomnia was associated with both lower mental and physical well-being.

Previous cross-sectional work has shown an association between sleep duration and both mental and physical well-being. [10, 35] We found that recurrent exposure to long or short sleep was associated with poorer physical well-being. However, we did not find a prospective association between sleep duration and mental well-being. The association between recurrent short sleep and mental well-being was no longer significant after insomnia symptoms were taken into account. However, recurrent short sleep in the absence of high levels of insomnia symptoms does not necessarily predict poor well-being. Faubel and colleagues also found that sleep duration at baseline failed to predict change in mental well-being two years later. [10]

Studies that have examined the relationship between both short and long sleep with overall well-being have generally reported an initial U shaped relationship, [11, 12] which did not always remain after adjustment. [12] This did not accord with our cross-sectional findings, where only short sleep was related to well-being. Additionally, we did not find an association between recurrent short or long sleep and overall well-being. However, in accordance with others [7-9, 12] we found an independent association between chronic insomnia symptoms and lower overall well-being, which remained even when depressive symptoms were taken into account.

Many of the mechanisms suggested as explanations for the association between insomnia symptoms and well-being are similar to those suggested for short sleep, [11, 28] implying that both indicators are simply capturing an underlying concept of poor quality sleep. [36, 37] However, we find a dose response association for insomnia symptoms and well-being which is not present for short sleep, suggesting that there may be different mechanisms for these associations.

A number of mechanisms may mediate the association between short sleep and overall or mental well-being, including fatigue or sleepiness during the day [38] and the involvement of metabolic and endocrine functions. [39] The mechanisms linking long sleep and physical well-being are less clear, possibilities are reverse causation, as longer sleep may be an early symptom of undiagnosed disease, [10] or increased sleep fragmentation. [40, 41] However, associations were robust to adjustment for presence of a limiting long term illness. Associations between well-being and physical well-being may also be subject to confounding by mental health problems such as depression, where reporting problems with sleep is a clinical symptom. [42] However, the association between sleep duration and insomnia symptoms remained following adjustment for the GHQ depression scale.

We used self-reported measures of both sleep duration and insomnia symptoms. Observational studies are beginning to include measures of sleep duration based on actigraphy data; however, these were not available in 1997, when sleep duration was first measured in this cohort. Also as sleep problems remain self-diagnosed within the primary care setting self-reported data can be assumed to have face validity. Self-reported sleep duration has shown moderate correlations with more objective measures of sleep, such as actigraphy [43-45]. Despite this, further research will be necessary when long-term actigraphy measures of sleep are available, since three measurements in 10 years may not fully describe the sleep history of participants. Secondly, we are not able to take sleep disorders such as sleep apnoea into account. However, controlling for BMI in our analysis should reduce potential confounding by sleep apnoea, since the prevalence of obesity is greater in those with this sleep condition. There is a potential overlap between the measures of vitality included in the SF-36 scale and the Jenkins questionnaire which asks respondents about waking up feeling 'tired and worn out'. A sensitivity analysis was undertaken in the cross-sectional analysis to examine any potential overlap between these questions and it was found that removing them had little effect on the results. The participants in Whitehall II were originally from an occupational cohort of white collar workers and therefore participants were employed and relatively healthy, this may limit generalizability. Further caution should also be exercised extrapolating these conclusions to a general population, due to drop-outs from the sample originally enrolled in the study. The strengths of this work are the availability of three repeat measures of exposure to short or long sleep and insomnia symptoms and three validated well-being outcomes for a large sample of participants from a well-characterised cohort. We conclude that whilst chronic insomnia symptoms are negatively associated with all aspects of well-being. However, for older adults, recurrent short sleep duration does not necessarily have a negative effect on overall or mental well-being, when the effects of insomnia symptoms are taken into account. However, extreme sleep duration is associated with poor physical well-being.

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**Data sharing:** No additional data available.

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Table S1: Cross-sectional association between sleep duration and well-being

Overall well-being				Physical well-being			Mental well-being		
Hours of Sleep	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	MCS Diff <sup>a</sup> (SE) [Standardised diff]
≤ 5	-5.11 (0.44) [-0.17] ***	-4.19 (0.43) [-0.14] ***	-3.08 (0.42) [-0.10] ***	-3.24 (0.49) [-0.10] ***	-1.47 (0.40) [-0.05] ***	-1.01 (0.41) [-0.03] **	-4.35 (0.46) [-0.14] ***	-3.86 (0.45) [-0.13] ***	-2.75 (0.45) [-0.09] ***
6	-1.85 (0.27) [-0.11] ***	-1.55 (0.26) [-0.09] ***	-1.26 (0.25) [-0.07] ***	-1.11 (0.29) [-0.06] ***	-0.45 (0.24) [-0.02]	-0.32 (0.24) [-0.02]	-1.25 (0.28) [-0.07] ***	-1.13 (0.27) [-0.06] ***	-0.83 (0.27) [-0.05] **
7	REF	REF	REF	REF	REF	REF	REF	REF	REF
8	0.48 (0.30)* [0.02]	0.42 (0.29) [0.02]	0.34 (0.28) [0.02]	0.18 (0.33) [0.01]	0.05 (0.28) [0.00]	0.01 (0.27) [0.00]	0.30 (0.32) [0.02]	0.30 (0.31) [0.02]	0.21 (0.29) [0.01]
≥ 9	-1.66 (0.79)* [-0.03]	-0.90 (0.75) [-0.02]	-0.81 (0.73) [-0.01]	-3.05 (0.86) [-0.05] ***	-1.69 (0.71) [-0.03] **	-1.65 (0.71) [-0.03] **	-1.14 (0.82) [-0.02]	-0.68 (0.80) [-0.01]	-0.59 (0.78) [-0.01]

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.  
Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth  
Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviours (alcohol, physical activity, smoking, BMI)  
Model 3: Adjusted as in Model 2 + insomnia symptoms  
\*\*\*p≤0.001, \*\*p≤0.01, \* p<0.05

Table S2: Cross-sectional association between insomnia symptoms and well-being

N=4,491				Overall well-being			Physical well-being			Mental well-being		
Insomnia Symptoms:	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised Beta]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardised diff]	Model 1 Diff <sup>a</sup> (SE) [Standardised diff]	Model 2 Diff <sup>a</sup> (SE) [Standardised diff]	Model 3 Diff <sup>a</sup> (SE) [Standardise d diff]			
High Insomnia symptoms (binary)	-4.42 (0.23) [-0.27]***	-3.65 (0.23) [-0.22]***	-3.25 (0.20) [-0.23]***	-3.07 (0.26) [-0.17] ***	-1.46 (0.22) [-0.08]***	-1.34 (0.22) [-0.07]***	-3.97 (0.24) [-0.23]***	-3.59 (0.24) [-0.21]***	-3.25 (0.25) [-0.19]***			
High Insomnia symptoms (quartile)	-5.98 (0.26) [-0.32]	-5.10 (0.25) [-0.27]***	-4.61 (0.27) [-0.25]***	-3.85 (0.28) [-0.19]***	-2.07 (0.25) [-0.10]***	-1.97 (0.26) [-0.10]***	-5.80 (0.27) [-0.30]***	-5.34 (0.27) [-0.28]***	-5.01 (0.28) [-0.26]***			
Trouble falling asleep	-6.40 (0.65) [-0.14]***	-5.03 (0.62) [-0.11]***	-3.59 (0.63) [-0.08]***	-5.51 (0.70) [-0.11]***	-2.87 (0.58) [-0.06] ***	-2.49 (0.60) [-0.05]***	-6.48 (0.67) [-0.14]***	-5.78 (0.65) [-0.13]***	-4.56 (0.67) [-0.10]***			
Waking in the night	-3.49 (0.25) [-0.20]***	-2.81 (0.24) [-0.16]***	-2.43 (0.24) [-0.14]***	-2.77 (0.27) [-0.15] ***	-1.37 (0.23) [-0.07] ***	-1.25 (0.23) [-0.06]***	-2.98 (0.26) [-0.17] ***	-2.65 (0.25) [-0.15]***	-2.31 (0.26) [-0.13] ***			
Waking up tired	-9.59 (0.42) [-0.32]***	-8.2 (0.41) [-0.27] ***	-7.6 (0.42) [-0.25] ***	-5.50 (0.47) [-0.16] ***	-2.74 (0.40) [-0.08] ***	-2.51 (0.41) [-0.07]***	-10.61 (0.43) [-0.34]***	-9.85 (0.43) [-0.32]***	-9.42 (0.44) [-0.30]***			
Trouble staying asleep	-5.81 (0.33) [-0.25] ***	-4.95 (0.31) [-0.22] ***	-4.20 (0.33) [-0.18] ***	-3.10 (0.36) [-0.12] ***	-1.44 (0.30) [-0.06] ***	-1.19 (0.32) [-0.05] ***	-5.86 (0.34) [-0.25] ***	-5.37 (0.33) [-0.23] ***	-4.86 (0.35) [-0.21] ***			

<sup>a</sup> Difference (and standard error) in well-being score from the reference group. Figures in square brackets show the difference in standardised well-being scores from the reference group.

Model 1: Adjusted for age, age<sup>2</sup>, gender, wealth,

Model 2: Adjusted as in Model 1 + employment status, marital status limiting health conditions, physical functioning (ADL/IADL), health behaviors (alcohol, physical activity, smoking, BMI)

Model 3: Adjusted as in Model 2 + insomnia symptoms

\*\*\*p<0.001, \*\*p<0.01, \* p<0.05

Table S3: Comparison of those included and excluded from the study sample among those eligible (participants at phase 9)

	In study sample (N= 4491)	Not in study sample (N= 2270)	P value
	Mean (SD) or %	Mean (SD) or %	
Sex (% men)	74.7	60.8	<0.001
Age (yr)	65.7	66.6	<0.001
Employment grade (% lower)	7.5	17.6	<0.001
Marital status (% married)	76.8	71.9	<0.001
SF-36 Mental Component Score (MCS)	53.9 (7.9)	52.6 (9.3)	<0.001
SF-36 Physical Component Score (PCS)	49.0 (8.5)	46.9 (10.2)	<0.001
CASP-19	43.5 (7.8)	42.2 (8.6)	<0.001
Smoker	6.3 %	7.9 %	0.024
Chronic insomnia symptoms	8.2%	10.4%	0.009
Recurrent short sleep duration	2.3%	2.9%	<0.001
BMI (kg/m <sup>2</sup> )	26.6 (4.3)	27.3 (4.8)	<0.001
% 1 or more ADL	8.5 %	13.3 %	<0.001
% 1 or more IADL	12.4 %	19.0 %	<0.001
GHQ (modified)	1.9 (4.1)	2.6 (5.2)	<0.001

<sup>a</sup> High level of insomnia symptoms reported at each of the three time points

<sup>b</sup> Reported short (≤5 hours/night) sleep reported at each of the three time points

## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Pg. No
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	1/2 2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	3
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	4
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-6
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-6
Bias	9	Describe any efforts to address potential sources of bias	4-5
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	4-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	6
		(c) Explain how missing data were addressed	10
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	N/A
		(e) Describe any sensitivity analyses	6

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4
		(b) Give reasons for non-participation at each stage	4
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7
		(b) Indicate number of participants with missing data for each variable of interest	10
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	7
		Case-control study—Report numbers in each exposure category, or summary measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-10
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	8-10, 12
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	13

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).