

# BMJ Open

## Predicting the outcome of hip fracture patients by using N-terminal fragment of pro-B-type natriuretic peptide

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2015-009416
Article Type:	Research
Date Submitted by the Author:	20-Jul-2015
Complete List of Authors:	Nordling, Pauliina; Turku University Hospital and University of Turku, Heart Center Kiviniemi, Tuomas; Turku University Hospital and University of Turku, Heart Center Strandberg, Marjatta; Turku University Hospital and University of Turku, Heart Center Strandberg, Niko; Turku University Hospital, Department of Orthopedic Surgery Airaksinen, Juhani; Turku University Hospital and University of Turku, Heart Center
<b>Primary Subject Heading</b>:	Cardiovascular medicine
Secondary Subject Heading:	Geriatric medicine
Keywords:	Heart failure < CARDIOLOGY, Adult cardiology < CARDIOLOGY, Cardiac Epidemiology < CARDIOLOGY, GERIATRIC MEDICINE, Cardiology < INTERNAL MEDICINE

SCHOLARONE™  
Manuscripts

only

1  
2  
3 **Predicting the outcome of hip fracture patients by using N-terminal fragment of**  
4  
5 **pro-B-type natriuretic peptide**  
6  
7  
8  
9

10 Pauliina Nordling, MD<sup>a</sup>, hmphie@utu.fi

11 Tuomas Kiviniemi, MD, PhD<sup>a</sup>, tuoski@utu.fi

12 Marjatta Strandberg, MD, PhD<sup>a</sup>, marjatta.strandberg@tyks.fi

13 Niko Strandberg, MD<sup>b</sup>, niko.strandberg@tyks.fi

14 K.E. Juhani Airaksinen, MD, PhD<sup>a</sup>, juhani.airaksinen@tyks.fi

15  
16  
17  
18  
19  
20  
21  
22  
23 a Heart Center, Turku University Hospital and Department of Clinical Medicine, University of Turku, Turku,  
24  
25 Finland.

26  
27 b Department of Orthopedic Surgery, Turku University Hospital, Turku, Finland.

28  
29  
30  
31 Correspondence: K.E. Juhani Airaksinen, Heart Center, Turku University Hospital, Hämeentie 11 PL 52,  
32  
33 FIN-20521 Turku, Finland. Tel: +358 2 3131005, fax: +358 2 3138651, e-mail: juhani.airaksinen@tyks.fi  
34  
35  
36  
37  
38  
39

40 **Key words:** NT-proBNP, troponin T, hip fracture, prognosis, mortality.

41 **Word count:** 2516  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**ABSTRACT**

**Objective:** To examine the prognostic value of perioperative N-terminal fragment of pro-brain natriuretic peptide (NT-proBNP) in hip fracture patients.

**Design:** Blinded prospective cohort study.

**Setting:** Single centre trial at Turku University Hospital in Finland.

**Participants:** Inclusion criterion was admittance to the study hospital due to hip fracture during the trial period of October 2009 - May 2010. Exclusion criteria were the patient's refusal and inadequate laboratory tests. The final study population consisted of 182 patients.

**Primary and secondary outcome measures:** NT-proBNP was assessed once during the perioperative period and later if clinically indicated, and troponin T (TnT) and ECG recordings repeatedly. The short- (30-day) and long-term (1000-days) mortalities were studied.

**Results:** Median [IQR] follow-up time was 3.1 [0.3] years. The median [IQR] NT-proBNP level was 1260 [2298] ng/l in preoperative and 1600 [3971] ng/l in postoperative samples ( $P=0.001$ ). TnT was elevated in 66 (36 %) patients, and was significantly more common in patients with higher NT-proBNP. Patients with high (>2370 ng/L) and intermediate (806 – 2370 ng/L) NT-proBNP level had significantly higher short-term mortality compared to patients with low (<806 ng/L) NT-proBNP level (15 vs. 11 vs. 2 %,  $P=0.04$ ), and the long-term mortality remained higher in these patients (69 % vs. 49 % vs. 27 %,  $P<0.001$ ). Intermediate or high NT-proBNP level (HR 8.30, 95%CI 1.10-62.57,  $P=0.04$ ) was the only independent predictor of short-term mortality, while intermediate or high NT-proBNP level (HR 3.23, 95%CI 1.80-5.80,  $P<0.001$ ), the presence of dementia (HR 2.28, 95%CI 1.45-3.56,  $P<0.001$ ) and higher preoperative ASA classification (HR 2.44, 95%CI 1.59-3.74,  $P<0.001$ ) were independent predictors of long-term mortality.

**Conclusions:** Elevated perioperative NT-proBNP level is common in hip fracture patients and it is an independent predictor of short- and long-term mortality superior to the commonly used clinical risk scores.

**Trial registration:** www.ClinicalTrials.gov, identifier NCT01015105.

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- To the best of our knowledge, there is no prior data on the combined effect of TnT and NT-proBNP on top of clinical preoperative risk evaluation in hip fracture patients.

-All consecutive patients admitted to hospital due to an acute hip fracture during the trial period were initially included in the study and the only exclusion criteria were the patient's refusal and inadequate laboratory testing.

-Complete follow-up data was available of all the study patients

-The blinded setting of the trial prevents acquiring information on the effect of possible pharmacological treatment on the outcome

## INTRODUCTION

History of cardiovascular diseases and heart failure is common amongst hip fracture patients.(1, 2) However, clinical preoperative cardiac risk assessment of hip fracture patients is often complicated and inaccurate and can lead to delays in surgery.(3) This has led to search for alternative ways to identify patients at high risk for complications. Brain natriuretic peptide (BNP) is a vasoactive hormone secreted mainly by the ventricular myocytes in response to cardiac wall tension,(4, 5) and the level of the N-terminal fragment of its prohormone (NT-proBNP) correlates with the extent of ventricular dysfunction.(6) Increased preoperative BNP and NT-proBNP levels have been shown to predict cardiovascular complications in non-cardiac surgery.(7-12) An earlier small study on orthopaedic patients has found preoperative BNP elevation to be superior to American Society of Anesthesiologists' (ASA) physical status classification in independently predicting postoperative cardiac complications.(13) Increased preoperative NT-proBNP has also been shown to independently predict short-term cardiovascular complications and cardiac death in non-cardiac surgery,(9, 14) and in older patients high perioperative NT-proBNP has also predicted long-term mortality.(7) However, to our knowledge only one small study has assessed the role of NT-proBNP in the prediction of peri- and early postoperative cardiac complications in high-risk hip fracture patients.(8) We recently showed that troponin T (TnT) is a strong independent predictor of short- and long-term mortality in hip fracture patients,(15) but there is no data on the combined effect of TnT and NT-proBNP on top of

1  
2  
3 clinical preoperative risk evaluation in hip fracture patients. The purpose of this study was to evaluate  
4 whether NT-ProBNP together with TnT provides useful additive prognostic information on the short- and  
5 long-term outcome of unselected hip fracture patients.  
6  
7  
8  
9

## 10 11 12 **METHODS**

13  
14  
15 The study ([www.ClinicalTrials.gov](http://www.ClinicalTrials.gov), identifier NCT01015105) is part of a wider protocol in progress to  
16 assess thrombotic and bleeding complications of invasive procedures in Western Finland.(16-18) All  
17 consecutive hip fracture patients referred to Turku University hospital during a period of 6 months (from  
18 October 19<sup>th</sup> 2009 to May 19<sup>th</sup> 2010) were asked consent to be included in this study. One patient declined.  
19 This resulted in 200 consecutive hip fracture patients. NT-proBNP measurements were missing in 18 patients  
20 and these were excluded and the final study population consisted of 182 hip fracture patients. An  
21 anaesthesiologist clinically evaluated the patients preoperatively. A lumbar epidural catheter was placed for  
22 pain control, and the patients received a mixture of a local anaesthetic and opiate from the admission to the  
23 second postoperative morning. A chest x-ray study and basic blood chemistry tests were performed on  
24 admission and later according to the clinical need. The patients were operated under spinal anaesthesia with  
25 isobaric bupivacaine. Significant postoperative blood loss was substituted with red blood cell transfusions.  
26 Hypotension (blood pressure <100/60) was treated with rapid fluid challenge, vasopressors and atropine as  
27 appropriate. Patient's cardiac medications (excluding diuretics) were continued throughout the hospital  
28 period. Blinded NT-proBNP measurements were performed once during the hospitalization. Blinded TnT  
29 measurements and ECG recordings were performed on admission, before operation and on 1<sup>st</sup> and 2<sup>nd</sup>  
30 postoperative days. Physicians were unaware of these results but additional tests were performed when  
31 clinically indicated.  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49

50 NT-ProBNP and TnT levels were determined by electrochemiluminescence immunoassay  
51 (ECLIA) on Modular E170 automatic analyzer (Roche Diagnostics GmbH, Mannheim, Germany), which  
52 detects a NT-proBNP level of  $\geq 50$  ng/l. The patients were divided into tertiles according to the measured  
53 NT-proBNP level. When multiple NT-proBNP measurements were available, the highest of them was  
54  
55  
56  
57  
58  
59  
60

considered. The recommended diagnostic threshold of 0.03 µg/l was used to evaluate TnT elevation. Data on medical history, medication and cardiac risks were collected from the electronic medical records. These data were also used to evaluate the Revised Cardiac Risk Index value (RCRI, the Lee's score) for each patient.<sup>(19)</sup> Furthermore, each patient was assigned an ASA physical status class. The patients were followed until April 2013. The Ethics Committee of the Hospital District of Southwest Finland reviewed and approved the study protocol, all study patients gave their informed consent and the principles of the Helsinki declaration were followed.

Normality was tested using Kolmogorov-Smirnov and Shapiro-Wilk tests. Skewed variables presented as median and interquartile range [IQR], and categorical variables as percentage. ANOVA, Mann-Whitney U-test and Chi-square test were used for comparison of variables as appropriate. Survival analysis was performed using Kaplan-Meier's method and Cox proportional hazards method. A Cox regression analysis with backward selection was performed to analyse the independent predictors of short- and long-term mortality. A *p*-value < 0.05 was considered statistically significant. All computations were carried out with SPSS software (V22, SPSS Inc., Chicago, Illinois, USA).

## RESULTS

Baseline characteristics are presented in Table 1. Median [IQR] age of the patients was 84 [11] years. A history of heart failure was known in 26 (14 %) patients and coronary artery disease in 56 (31 %). Surgery was performed on the day of admission in 34 (19 %) patients, 1 day after admission in 109 (60 %), 2 days after admission in 24 (13 %) and 3-5 days after admission in 12 (7 %) patients. One patient died before the operation.

**Table 1.** Baseline clinical characteristics of the study population

Variable	All patients	NT-proBNP level			<i>P</i> -value
		Low	Intermediate	High	
	n = 182	n = 60	n = 61	n = 61	
NT-proBNP level	1415 [2932]	441 [342]	1390 [860]	5170 [6045]	

Men	59 (32 %)	20 (33 %)	18 (30 %)	21 (34 %)	0.83
Age (years)	81.2 ± 11.0	74.7 ± 12.8	83.0 ± 9.2	85.8 ± 7.4	<0.001
History of any cardiovascular disease	130 (71 %)	36 (60 %)	43 (70 %)	51 (83 %)	0.02
History of heart failure	26 (14 %)	3 (5 %)	5 (8 %)	18 (30 %)	<0.001
Coronary artery disease	56 (31 %)	12 (20 %)	19 (31 %)	25 (41 %)	0.04
Prior myocardial infarction	19 (10 %)	3 (5 %)	6 (10 %)	10 (16 %)	0.12
Prior coronary revascularization	14 (8 %)	2 (3 %)	4 (7 %)	8 (13 %)	0.12
Hypertension	91 (50 %)	22 (37 %)	31 (51 %)	38 (62 %)	0.02
Diabetes mellitus	32 (18 %)	10 (17 %)	9 (15 %)	13 (21 %)	0.62
Atrial fibrillation	39 (21 %)	3 (5 %)	10 (16 %)	26 (43 %)	<0.001
Renal failure	10 (6 %)	0 (0 %)	1 (2 %)	9 (15 %)	<0.001
Dementia	73 (40 %)	16 (27 %)	32 (53 %)	25 (41 %)	0.02
Prior TIA or stroke	30 (17 %)	11 (18 %)	11 (18 %)	8 (13 %)	0.68
Preoperative ASA class	2.28 ± 0.82	2.05 ± 0.87	2.23 ± 0.82	2.56 ± 0.70	0.002
Revised cardiac risk index	0.72 ± 0.91	0.53 ± 0.83	0.64 ± 0.86	0.98 ± 0.99	0.017
Preoperative haemoglobin	113 ± 17	116 ± 14	111 ± 16	113 ± 19	0.32
Received red blood cell units	1.51 ± 1.53	1.68 ± 1.70	1.66 ± 1.54	1.18 ± 1.30	0.125
Perioperative TnT elevation	66 (36 %)	7 (12 %)	18 (30 %)	41 (67 %)	<0.001

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischaemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score.

NT-ProBNP was measured during hospitalization in all 182 patients, preoperatively in 117 (64 %) and postoperatively in 86 (47 %) patients; in 96 patients preoperative only, in 21 both pre- and postoperatively and in 65 postoperatively only. NT-ProBNP levels ranged from 50 to 72100 ng/l, with a median [IQR] of 1415 [2932] ng/l. The median [IQR] NT-proBNP level was 1260 [2298] ng/l in preoperative samples and 1600 [3971] ng/l in postoperative samples (P = 0.001). Those 21 patients who had both pre- and postoperative NT-proBNP measurements had a median preoperative proBNP level of 2220 [2964] ng/l and postoperative proBNP level of 3370 [5520] ng/l (P = 0.001). Comparison of the NT-ProBNP tertiles is presented in Table 1. There was no significant gender difference in NT-proBNP levels. Increasing age, history of hypertension, coronary artery disease, atrial fibrillation, heart failure, renal failure and

dementia were significantly associated with higher NT-proBNP levels. However, high NT-proBNP levels were detected even in patients with no prior cardiac morbidity, and 10 (16 %) patients in the highest NT-proBNP tertile had no history of cardiovascular diseases. Multivariate logistic regression showed that age, renal failure and atrial fibrillation were the independent predictors of higher NT-proBNP. Chest x-ray showed signs of congestive heart failure already on admission to hospital in 1 (2 %) patient with low NT-proBNP (<806 ng/L), in 2 (3 %) patients with intermediate NT-proBNP (806 – 2370 ng/L) and in 5 (8 %) patients with high NT-proBNP (>2370 ng/L). Median [IQR] duration of hospitalization was 6.0 [4.0] days and there was no difference in the duration between patients with low vs. intermediate vs. high NT-proBNP.

TnT was elevated in 7 (12 %) patients with low NT-proBNP, in 18 (30 %) patients with intermediate NT-proBNP and in 41 (67 %) patients with high NT-proBNP ( $P < 0.001$ ).

Cardiac symptoms were infrequent in all NT-proBNP groups during hospitalization. Shortness of breath was experienced by 10 (17 %) patients with low, 10 (16 %) patients with intermediate, and 19 (31 %) patients with high NT-proBNP ( $P = 0.08$ ) and chest pain by 2 (3 %) vs. 2 (3 %) vs. 3 (5 %) ( $P = 0.87$ ). Disorientation was observed in 23 (38 %) vs. 36 (59 %) vs. 46 (75 %) of the patients in low, intermediate and high NT-proBNP groups, respectively ( $P < 0.001$ ).

At 30 days follow-up, 17 (9 %) patients had died (Table 2).

**Table 2.** Comparison of the patients who died within 30 days of hospital admission and patients who survived.

Variable	Died during 30 days n = 17	Alive after 30 days n = 165	P-value
NT-ProBNP level	2700 [10435]	1230 [2736]	0.01
Men	9 (53 %)	50 (30 %)	0.058
Age (years)	84.7 ± 6.3	80.8 ± 11.4	0.17
History of any cardiovascular disease	15 (88 %)	115 (70 %)	0.11
History of heart failure	2 (12 %)	24 (15 %)	0.76
Coronary artery disease	5 (29 %)	51 (31 %)	0.90
Prior myocardial infarction	3 (18 %)	15 (9 %)	0.31
Prior coronary revascularization	2 (12 %)	12 (7 %)	0.51



Hypertension	10 (59 %)	81 (49 %)	0.45
Diabetes mellitus	6 (35 %)	26 (16 %)	0.04
Atrial fibrillation	6 (35 %)	33 (20 %)	0.14
Renal failure	2 (12 %)	8 (5 %)	0.23
Dementia	9 (53 %)	64 (39 %)	0.26
Prior TIA or stroke	2 (12 %)	28 (17 %)	0.58
Preoperative ASA score	2.5 ± 0.6	2.3 ± 0.8	0.20
Revised cardiac risk index	0.8 ± 1.0	0.7 ± 0.9	0.83
Perioperative troponin T elevation	11 (65 %)	55 (33 %)	0.01

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score.

Patients with high and intermediate NT-proBNP had significantly higher 30-day mortality compared to patients with low NT-proBNP (15 % vs. 11 % vs. 2 %,  $P = 0.04$ ), as shown in figure 1. The patients who died during the first 30 days had a median [IQR] proBNP level of 2700 [10435] ng/l compared to 1230 [2736] ng/l in patients who survived the first 30 days ( $P = 0.002$ ). Out of the patients with no TnT elevation, 5 % died during the first 30 days; no patients with low proBNP vs. 6 (10 %) of the patients with intermediate or high NT-proBNP ( $P = 0.02$ ). Of the 66 patients with a perioperative TnT elevation 11 (17 %) died during the first 30 days, with no difference in mortalities regarding the NT-proBNP levels in these patients.

Intermediate/high vs. low NT-proBNP levels (HR 8.30, 95%CI 1.10-62.57,  $P = 0.04$ ) remained the only independent predictor of short-term mortality in a Cox regression model including age, renal impairment, TnT elevation, NT-proBNP levels, ASA and Lee scores.

Complete follow-up data up to 1000 days was available in all 182 patients. Median [IQR] follow-up time was 3.12 [0.28] years. The overall mortality at 1000 days was 48 % (Table 3).

**Table 3.** Comparison of the patients who died within 1000 days of hospital admission and patients who survived.

Variable	Died during 1000 days	Alive after 1000 days	<i>P</i> -value
	n = 88	n = 94	
NT-ProBNP level	2295 [4403]	913 [1679]	<0.001

1				
2				
3	Men	32 (36 %)	27 (29 %)	0.27
4	Age (years)	84.1 ± 9.7	78.5 ± 11.6	<0.001
5				
6	History of any cardiovascular disease	71 (81 %)	59 (63 %)	0.008
7				
8	History of heart failure	18 (20 %)	8 (9 %)	0.02
9				
10	Coronary artery disease	34 (39 %)	22 (23 %)	0.03
11				
12	Prior myocardial infarction	11 (13 %)	8 (9 %)	0.38
13				
14	Prior coronary revascularization	9 (10 %)	5 (5 %)	0.21
15				
16	Hypertension	48 (55 %)	43 (46 %)	0.24
17				
18	Diabetes mellitus	18 (20 %)	14 (15 %)	0.33
19				
20	Atrial fibrillation	27 (31 %)	12 (13 %)	0.003
21				
22	Renal failure	7 (8 %)	3 (3 %)	0.16
23				
24	Dementia	45 (51 %)	28 (30 %)	0.003
25				
26	Prior TIA or stroke	17 (19 %)	13 (14 %)	0.32
27				
28	Preoperative ASA score	2.6 ± 0.7	2.0 ± 0.8	<0.001
29				
30	Revised cardiac risk index	0.9 ± 1.0	0.6 ± 0.9	0.02
31				
32	Troponin T elevation	40 (45 %)	26 (28 %)	0.01

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score.

The mortality remained constantly higher in patients with high and intermediate NT-proBNP compared to patients with low NT-proBNP (69 % vs. 49 % vs. 27 %,  $P < 0.001$ ), as shown in figure 2. Intermediate/high NT-proBNP levels (HR 3.23, 95%CI 1.80-5.80,  $P < 0.001$ ), the presence of dementia (HR 2.28, 95%CI 1.45-3.56,  $P < 0.001$ ) and higher preoperative ASA class (HR 2.44, 95%CI 1.59-3.74,  $P < 0.001$ ) remained independent predictors of long-term mortality in a Cox regression model including NT-proBNP levels, TnT elevation, age, renal impairment, the presence of dementia, atrial fibrillation and coronary artery disease, preoperative ASA and Lee's scores. Intermediate/high NT-proBNP (HR 2.78, 95%CI 1.42-5.46,  $P = 0.003$ ) and preoperative ASA class (HR 2.91, 95%CI 1.70-4.98,  $P < 0.001$ ) were the independent predictors of

1  
2  
3 1000-day mortality in patients with no perioperative TnT elevation, but in patients with a perioperative TnT  
4 elevation NT-proBNP did not carry significant predictive value.  
5  
6  
7  
8  
9

## 10 **DISCUSSION**

11  
12 The present study showed that high NT-proBNP levels are common in hip fracture patients, and that there is  
13 a significant graded association between increasing NT-proBNP level and short- and long-term mortality.  
14 Furthermore, measurement of this natriuretic peptide provided useful independent prognostic information on  
15 top of currently used risk scores and troponin levels. While perioperative NT-proBNP level was the only  
16 independent predictor of short-term mortality, perioperative NT-proBNP level, preoperative ASA class and  
17 the presence of dementia were independent predictors of long-term mortality. Of note, none of the clinical  
18 characteristics of the patients or currently used risk scores provided useful information on the short-term  
19 mortality in these fragile acute patients.  
20  
21  
22  
23  
24  
25  
26  
27  
28

29 When patients with a perioperative TnT elevation were analysed separately, the short-term  
30 mortality was not affected by the perioperative NT-proBNP level, but the long-term mortality was higher if  
31 the patient also had high NT-proBNP level. However, high NT-proBNP level did not remain a significant  
32 predictor of long-term mortality in this relatively small patient group with a poor overall prognosis.  
33  
34  
35  
36  
37

38 In elective non-cardiac surgery, a low NT-proBNP level of 201 ng/l has been shown to have a  
39 high sensitivity and specificity to predict perioperative cardiovascular complications,(9) while in emergency  
40 orthopaedic surgery patients a preoperative NT-proBNP level of  $\geq 741 - 842$  ng/l was the best cut-off level in  
41 evaluating the risk of in-hospital and long-term cardiac complications.(7, 20) In line with these observations  
42 the best cut-off level for the prediction of short-term mortality was low also in this old patient group with  
43 frequent co-morbidities, and most of the difference in short-term mortality was observed already between the  
44 low and intermediate NT-proBNP groups. The increase in long-term mortality between the NT-proBNP  
45 groups was, however, more stable and not unexpectedly dementia and poor ASA group were the other  
46 independent predictors of long-term mortality.  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 Unexpectedly, some patients with no prior history of cardiovascular diseases or renal failure  
4 had a high NT-proBNP level supporting the view that major trauma and surgery, or heavy use of intravenous  
5 fluids in the perioperative period may cause stress on the heart and lead to elevated NT-proBNP levels. High  
6  
7 co-incidence of TnT elevation also suggests that a perioperative myocardial injury is a major cause of  
8  
9 elevated NT-proBNP levels in this patient group.  
10  
11

12  
13 To our knowledge there has only been one earlier study assessing NT-proBNP levels in hip  
14 fracture patients. In this study of only 69 frail hip fracture patients with a high ASA class preoperative NT-  
15 proBNP level exceeding 3984 ng/l was an independent predictor of perioperative cardiac complications, but  
16  
17 did not find an association between increased NT-proBNP and mortality at 3 months follow-up.(8) On the  
18  
19 contrary to these findings, our study showed that high NT-proBNP is an independent predictor of both short-  
20  
21 and long-term mortalities, and that there is 5-fold increase in short-term mortality already at NT-proBNP  
22  
23 level exceeding 805 ng/l.  
24  
25

26  
27 This study has some limitations that should be considered. The study population of 182  
28 patients, although bigger than in earlier similar studies, is relatively small. Secondly, the idea was to obtain  
29  
30 NT-proBNP samples preoperatively in all patients, but due to weekends and public holidays, preoperative  
31  
32 tests were obtained in 64 % of the patients only. Since this was a blind evaluation it is not possible to assess  
33  
34 how pharmacological treatments may have affected the outcome. The strengths of this study are the  
35  
36 prospective nature of the registry, inclusion of all consecutive hip fracture patients and complete follow-up  
37  
38 data of all 182 patients.  
39  
40

41  
42 In conclusion, elevated perioperative NT-proBNP level is common in surgically treated hip  
43 fracture patients and an independent predictor of short- and long-term mortality superior to the commonly  
44  
45 used clinical risk scores, and an efficient tool in detecting the patients in greater risk of death. Measurement  
46  
47 of NT-proBNP and TnT in hip fracture patients could lead to the detection of patients at high risk of early  
48  
49 and later death after the operation. The prognosis of high risk patients might be improved with appropriate  
50  
51 cardiac care especially in those patients with no previous cardiovascular history or medications.  
52  
53

#### 54 55 56 57 **AUTHOR CONTRIBUTION** 58 59 60

1  
2  
3  
4  
5 All authors participated in designing this study. MS recruited the patients. NS operated on the patients. PN  
6 collected the data. PN and TK analyzed the data and PN, TK, MS and KEA interpreted the data. PN wrote  
7 the first draft and all other authors reviewed it and provided further contributions and suggestions. All  
8 authors read and approved the final version.  
9  
10  
11  
12

### 13 14 15 **COMPETING INTERESTS**

16  
17  
18 All authors have completed the ICMJE uniform disclosure form at [www.icmje.org/coi\\_disclosure.pdf](http://www.icmje.org/coi_disclosure.pdf) and  
19 declare: authors had financial support from the Finnish Foundation for Cardiovascular Research for the  
20 submitted work; no financial relationships with any organisations that might have an interest in the submitted  
21 work in the previous three years; no other relationships or activities that could appear to have influenced the  
22 submitted work.  
23  
24  
25  
26  
27

### 28 29 **FUNDING**

30  
31 This study was supported by grants from the Finnish Foundation for Cardiovascular Research, Helsinki,  
32 Finland.  
33  
34  
35

### 36 37 38 **DATA SHARING**

39  
40  
41  
42 Data is available upon request to the corresponding author.  
43  
44

### 45 46 **REFERENCES**

- 47  
48 1. Hietala P, Strandberg M, Strandberg N, et al. Perioperative myocardial infarctions are  
49 common and often unrecognized in patients undergoing hip fracture surgery. *J Trauma Acute Care Surg.*  
50 2013;74(4):1087-91.  
51  
52  
53 2. Cullen MW, Gullerud RE, Larson DR, et al. Impact of heart failure on hip fracture outcomes:  
54 a population-based study. *J Hosp Med.* 2011;6(9):507-12.  
55  
56  
57  
58  
59  
60

- 1  
2  
3 3. Smeets SJ, Poeze M, Verbruggen JP. Preoperative cardiac evaluation of geriatric patients with  
4 hip fracture. *Injury*. 2012;43(12):2146-51.
- 5  
6  
7 4. Ogawa Y, Nakao K, Mukoyama M, et al. Natriuretic peptides as cardiac hormones in  
8 normotensive and spontaneously hypertensive rats. The ventricle is a major site of synthesis and secretion of  
9 brain natriuretic peptide. *Circ Res*. 1991;69(2):491-500.
- 10  
11  
12 5. Yasue H, Yoshimura M, Sumida H, et al. Localization and mechanism of secretion of B-type  
13 natriuretic peptide in comparison with those of A-type natriuretic peptide in normal subjects and patients  
14 with heart failure. *Circulation*. 1994;90(1):195-203.
- 15  
16  
17 6. Hunt PJ, Richards AM, Nicholls MG, et al. Immunoreactive amino-terminal pro-brain  
18 natriuretic peptide (NT-PROBNP): a new marker of cardiac impairment. *Clin Endocrinol (Oxf)*.  
19 1997;47(3):287-96.
- 20  
21  
22 7. Chong CP, Ryan JE, van Gaal WJ, et al. Usefulness of N-terminal pro-brain natriuretic  
23 peptide to predict postoperative cardiac complications and long-term mortality after emergency lower limb  
24 orthopedic surgery. *Am J Cardiol*. 2010;106(6):865-72.
- 25  
26  
27 8. Oscarsson A, Fredrikson M, Sörliden M, et al. N-terminal fragment of pro-B-type natriuretic  
28 peptide is a predictor of cardiac events in high-risk patients undergoing acute hip fracture surgery. *Br J*  
29 *Anaesth*. 2009;103(2):206-12.
- 30  
31  
32 9. Yun KH, Jeong MH, Oh SK, et al. Preoperative plasma N-terminal pro-brain natriuretic  
33 peptide concentration and perioperative cardiovascular risk in elderly patients. *Circ J*. 2008;72(2):195-9.
- 34  
35  
36 10. Oscarsson A, Fredrikson M, Sörliden M, et al. Predictors of cardiac events in high-risk  
37 patients undergoing emergency surgery. *Acta Anaesthesiol Scand*. 2009;53(8):986-94.
- 38  
39  
40 11. Schutt RC, Cevik C, Phy MP. Plasma N-terminal prohormone brain natriuretic peptide as a  
41 marker for postoperative cardiac events in high-risk patients undergoing noncardiac surgery. *Am J Cardiol*.  
42 2009;104(1):137-40.
- 43  
44  
45 12. Dernellis J, Panaretou M. Assessment of cardiac risk before non-cardiac surgery: brain  
46 natriuretic peptide in 1590 patients. *Heart*. 2006;92(11):1645-50.
- 47  
48  
49 13. Villacorta Junior H, Castro IS, Godinho M, et al. B-type natriuretic peptide is predictive of  
50 postoperative events in orthopedic surgery. *Arq Bras Cardiol*. 2010;95(6):743-8.
- 51  
52  
53  
54  
55  
56  
57  
58  
59  
60

- 1  
2  
3 14. Yeh HM, Lau HP, Lin JM, et al. Preoperative plasma N-terminal pro-brain natriuretic peptide  
4 as a marker of cardiac risk in patients undergoing elective non-cardiac surgery. *Br J Surg*. 2005;92(8):1041-  
5  
6  
7 5.  
8  
9 15. Hietala P, Strandberg M, Kiviniemi T, et al. Usefulness of troponin T to predict short-term  
10 and long-term mortality in patients after hip fracture. *Am J Cardiol*. 2014;114(2):193-7.  
11  
12 16. Airaksinen KE, Korkeila P, Lund J, et al. Safety of pacemaker and implantable cardioverter-  
13 defibrillator implantation during uninterrupted warfarin treatment - The FinPAC study. *Int J Cardiol*.  
14 2013;168(4):3679-82.  
15  
16  
17 17. Airaksinen KE, Grönberg T, Nuotio I, et al. Thromboembolic complications after  
18 cardioversion of acute atrial fibrillation - The FinCV study. *J Am Coll Cardiol* 2013;62(13):1187-92.  
19  
20  
21 18. Kiviniemi T, Puurunen M, Schlitt A, et al. Performance of bleeding risk-prediction scores in  
22 patients with atrial fibrillation undergoing percutaneous coronary intervention. *Am J Cardiol*.  
23 2014;113(12):1995-2001.  
24  
25  
26 19. Lee TH, Marcantonio ER, Mangione CM, et al. Derivation and prospective validation of a  
27 simple index for prediction of cardiac risk of major noncardiac surgery. *Circulation*. 1999;100(10):1043-9.  
28  
29  
30 20. Chong CP, Lim WK, Velkoska E, et al. N-terminal pro-brain natriuretic peptide and  
31 angiotensin-converting enzyme-2 levels and their association with postoperative cardiac complications after  
32 emergency orthopedic surgery. *Am J Cardiol*. 2012;109(9):1365-73.  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

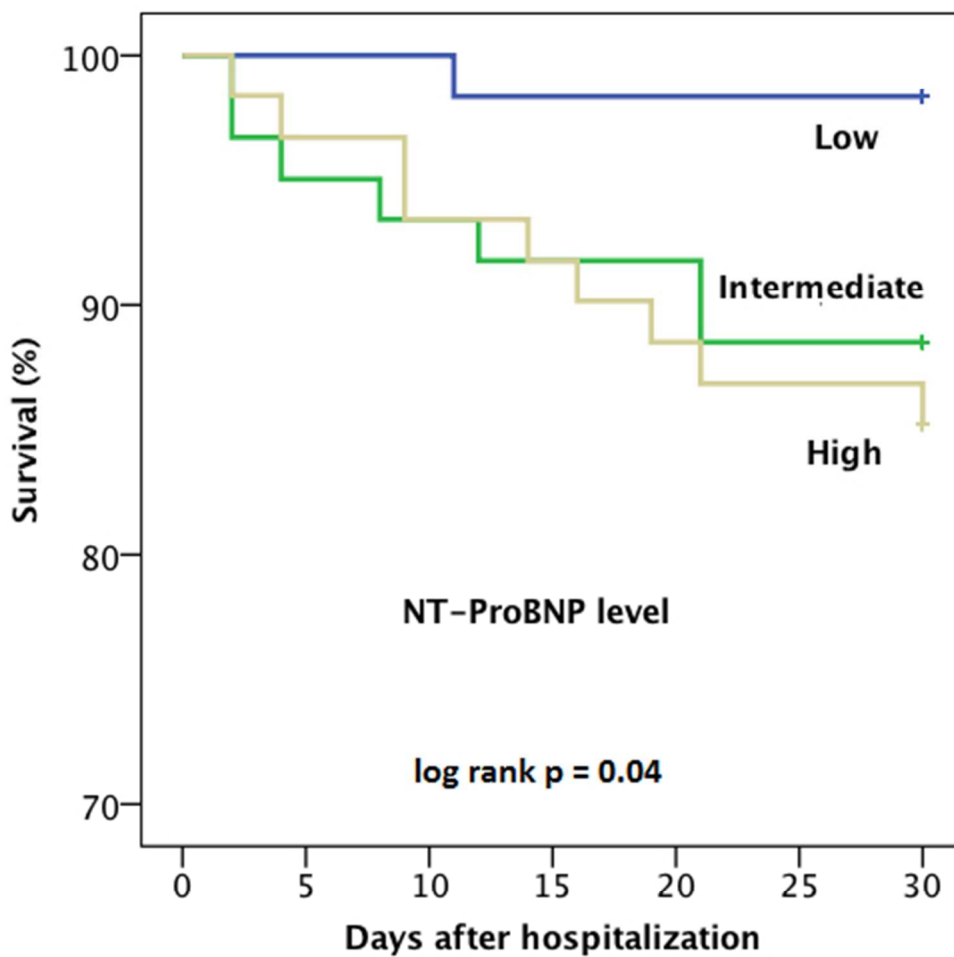
## LEGENDS

**Figure 1.** Kaplan-Meier estimates for survival in 30 days follow-up in patients with low, intermediate and high NT-proBNP level during index hospitalization.

**Figure 2.** Kaplan-Meier estimates for survival in 1000 days follow-up in patients with low, intermediate and high NT-proBNP level during the index hospitalization.

For peer review only



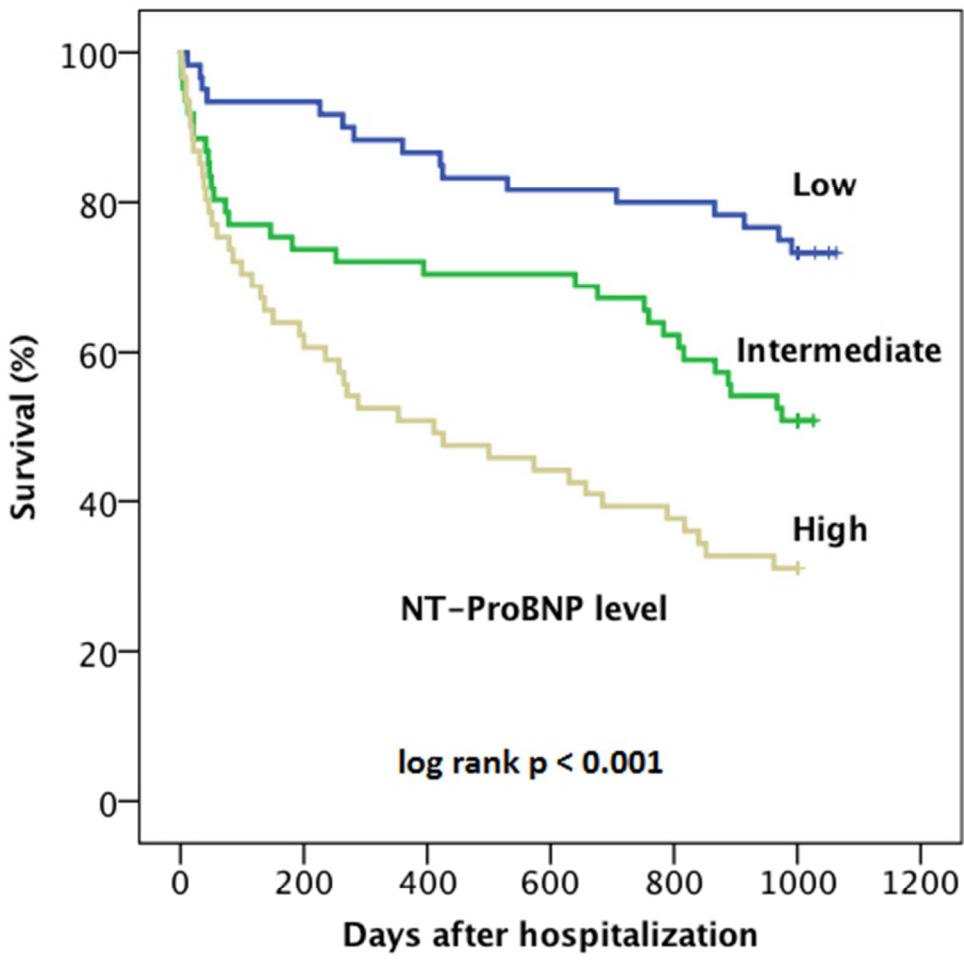


132x132mm (96 x 96 DPI)



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



106x106mm (120 x 120 DPI)



STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4
		(b) For matched studies, give matching criteria and number of exposed and unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	4-5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5
		(b) Describe any methods used to examine subgroups and interactions	4-5
		(c) Explain how missing data were addressed	4
		(d) If applicable, explain how loss to follow-up was addressed	
		(e) Describe any sensitivity analyses	
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4-5
		(b) Give reasons for non-participation at each stage	4
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	4-5
		(b) Indicate number of participants with missing data for each variable of interest	4-7
		(c) Summarise follow-up time (eg, average and total amount)	8
Outcome data	15*	Report numbers of outcome events or summary measures over time	8-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-9
		(b) Report category boundaries when continuous variables were categorized	8-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6-9
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	10-11
<b>Limitations</b>			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11
Generalisability	21	Discuss the generalisability (external validity) of the study results	10-11
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	12

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Predicting the outcome of hip fracture patients by using N-terminal fragment of pro-B-type natriuretic peptide

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2015-009416.R1
Article Type:	Research
Date Submitted by the Author:	16-Oct-2015
Complete List of Authors:	Nordling, Pauliina; Turku University Hospital and University of Turku, Heart Center Kiviniemi, Tuomas; Turku University Hospital and University of Turku, Heart Center Strandberg, Marjatta; Turku University Hospital and University of Turku, Heart Center Strandberg, Niko; Turku University Hospital, Department of Orthopedic Surgery Airaksinen, Juhani; Turku University Hospital and University of Turku, Heart Center
<b>Primary Subject Heading</b>:	Cardiovascular medicine
Secondary Subject Heading:	Geriatric medicine
Keywords:	Adult cardiology < CARDIOLOGY, Heart failure < CARDIOLOGY, Cardiology < INTERNAL MEDICINE, Hip < ORTHOPAEDIC & TRAUMA SURGERY

SCHOLARONE™  
Manuscripts

only

1  
2  
3 **Predicting the outcome of hip fracture patients by using N-terminal fragment of**  
4  
5 **pro-B-type natriuretic peptide**  
6  
7  
8  
9

10 Pauliina Nordling, MD<sup>a</sup>, hmphie@utu.fi

11 Tuomas Kiviniemi, MD, PhD<sup>a</sup>, tuoski@utu.fi

12 Marjatta Strandberg, MD, PhD<sup>a</sup>, marjatta.strandberg@tyks.fi

13 Niko Strandberg, MD<sup>b</sup>, niko.strandberg@tyks.fi

14 K.E. Juhani Airaksinen, MD, PhD<sup>a</sup>, juhani.airaksinen@tyks.fi

15  
16  
17  
18  
19  
20  
21  
22  
23 a Heart Center, Turku University Hospital and Department of Clinical Medicine, University of Turku, Turku,  
24  
25 Finland.

26  
27 b Department of Orthopedic Surgery, Turku University Hospital, Turku, Finland.

28  
29  
30  
31 Correspondence: K.E. Juhani Airaksinen, Heart Center, Turku University Hospital, Hämeentie 11 PL 52,  
32  
33 FIN-20521 Turku, Finland. Tel: +358 2 3131005, fax: +358 2 3138651, e-mail: juhani.airaksinen@tyks.fi  
34  
35  
36  
37  
38  
39

40 **Key words:** NT-proBNP, troponin T, hip fracture, prognosis, mortality.

41 **Word count:** 2516  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**ABSTRACT**

**Objective:** To examine the prognostic value of perioperative N-terminal fragment of pro-brain natriuretic peptide (NT-proBNP) in hip fracture patients.

**Design:** Blinded prospective cohort study.

**Setting:** Single centre trial at Turku University Hospital in Finland.

**Participants:** Inclusion criterion was admittance to the study hospital due to hip fracture during the trial period of October 2009 - May 2010. Exclusion criteria were the patient's refusal and inadequate laboratory tests. The final study population consisted of 182 patients.

**Primary and secondary outcome measures:** NT-proBNP was assessed once during the perioperative period and later if clinically indicated, and troponin T (TnT) and ECG recordings repeatedly. The short- (30-day) and long-term (1000-days) mortalities were studied.

**Results:** Median [IQR] follow-up time was 3.1 [0.3] years. The median [IQR] NT-proBNP level was 1260 [2298] ng/l in preoperative and 1600 [3971] ng/l in postoperative samples (P=0.001). TnT was elevated in 66 (36 %) patients, and was significantly more common in patients with higher NT-proBNP. Patients with high (>2370 ng/L) and intermediate (806 – 2370 ng/L) NT-proBNP level had significantly higher short-term mortality compared to patients with low (<806 ng/L) NT-proBNP level (15 vs. 11 vs. 2 %, P=0.04), and the long-term mortality remained higher in these patients (69 % vs. 49 % vs. 27 %, P<0.001). Intermediate or high NT-proBNP level (HR 7.8, 95%CI 1.03-59.14, P<0.05) was the only independent predictor of short-term mortality, while intermediate or high NT-proBNP level (HR 2.27, 95%CI 1.30-3.96, P=0.004), the presence of dementia (HR 1.74, 95%CI 1.13-2.66, P=0.01) and higher preoperative ASA classification (HR 1.59, 95%CI 1.06-2.38, P=0.02) were independent predictors of long-term mortality.

**Conclusions:** Elevated perioperative NT-proBNP level is common in hip fracture patients and it is an independent predictor of short- and long-term mortality superior to the commonly used clinical risk scores.

**Trial registration:** www.ClinicalTrials.gov, identifier NCT01015105.

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- To the best of our knowledge, there is no prior data on the combined effect of TnT and NT-proBNP on top of clinical preoperative risk evaluation in hip fracture patients.

-All consecutive patients admitted to hospital due to an acute hip fracture during the trial period were initially included in the study and the only exclusion criteria were the patient's refusal and inadequate laboratory testing.

-Complete follow-up data was available of all the study patients

-The blinded setting of the trial prevents acquiring information on the effect of possible pharmacological treatment on the outcome

## INTRODUCTION

History of cardiovascular diseases and heart failure is common amongst hip fracture patients.(1, 2) However, clinical preoperative cardiac risk assessment of hip fracture patients is often complicated and inaccurate and can lead to delays in surgery.(3) This has led to search for alternative ways to identify patients at high risk for complications. Brain natriuretic peptide (BNP) is a vasoactive hormone secreted mainly by the ventricular myocytes in response to cardiac wall tension,(4, 5) and the level of the N-terminal fragment of its prohormone (NT-proBNP) correlates with the extent of ventricular dysfunction.(6) Increased preoperative BNP and NT-proBNP levels have been shown to predict cardiovascular complications in non-cardiac surgery.(7-12) An earlier small study on orthopaedic patients has found preoperative BNP elevation to be superior to American Society of Anesthesiologists' (ASA) physical status classification in independently predicting postoperative cardiac complications.(13) Increased preoperative NT-proBNP has also been shown to independently predict short-term cardiovascular complications and cardiac death in non-cardiac surgery,(9, 14) and in older patients high perioperative NT-proBNP has also predicted long-term mortality.(7) However, to our knowledge only one small study has assessed the role of NT-proBNP in the prediction of peri- and early postoperative cardiac complications in high-risk hip fracture patients.(8) We recently showed that troponin T (TnT) is a strong independent predictor of short- and long-term mortality in hip fracture patients,(15) but there is no data on the combined effect of TnT and NT-proBNP on top of



1  
2  
3 clinical preoperative risk evaluation in hip fracture patients. The purpose of this study was to evaluate  
4 whether NT-ProBNP together with TnT provides useful additive prognostic information on the short- and  
5 long-term outcome of unselected hip fracture patients.  
6  
7  
8  
9

## 10 11 12 **METHODS**

13  
14  
15 The study ([www.ClinicalTrials.gov](http://www.ClinicalTrials.gov), identifier NCT01015105) is part of a wider protocol in progress to  
16 assess thrombotic and bleeding complications of invasive procedures in Western Finland.(16-18) All  
17 consecutive hip fracture patients referred to Turku University hospital during a period of 6 months (from  
18 October 19<sup>th</sup> 2009 to May 19<sup>th</sup> 2010) were asked consent to be included in this study. One patient declined.  
19 This resulted in 200 consecutive hip fracture patients. NT-proBNP measurements were missing in 18 patients  
20 and these were excluded and the final study population consisted of 182 hip fracture patients. An  
21 anaesthesiologist clinically evaluated the patients preoperatively, and assigned each patient an ASA physical  
22 status class. A lumbar epidural catheter was placed for pain control, and the patients received a mixture of a  
23 local anaesthetic and opiate from the admission to the second postoperative morning. A chest x-ray study and  
24 basic blood chemistry tests were performed on admission and later according to the clinical need. The  
25 patients were operated under spinal anaesthesia with isobaric bupivacaine. Significant postoperative blood  
26 loss was substituted with red blood cell transfusions. Hypotension (blood pressure <100/60) was treated with  
27 rapid fluid challenge, vasopressors and atropine as appropriate. Patient's cardiac medications (excluding  
28 diuretics) were continued throughout the hospital period. Blinded NT-proBNP measurements were  
29 performed once during the hospitalization. Blinded TnT measurements and ECG recordings were performed  
30 on admission, before operation and on 1<sup>st</sup> and 2<sup>nd</sup> postoperative days. Physicians were unaware of these  
31 results but additional tests were performed when clinically indicated.  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49

50 NT-ProBNP and TnT levels were determined by electrochemiluminescence immunoassay  
51 (ECLIA) on Modular E170 automatic analyzer (Roche Diagnostics GmbH, Mannheim, Germany), which  
52 detects a NT-proBNP level of  $\geq 50$  ng/l. The patients were divided into tertiles according to the measured  
53 NT-proBNP level. When multiple NT-proBNP measurements were available, the highest of them was  
54  
55  
56  
57  
58  
59  
60

considered. The recommended diagnostic threshold of 0.03 µg/l was used to evaluate TnT elevation. Data on medical history, medication and cardiac risks were collected from the electronic medical records. These data were also used to evaluate the Revised Cardiac Risk Index value (RCRI, the Lee's score) for each patient.<sup>(19)</sup> The patients were followed until April 2013. The Ethics Committee of the Hospital District of Southwest Finland reviewed and approved the study protocol, all study patients gave their informed consent and the principles of the Helsinki declaration were followed.

Normality was tested using Kolmogorov-Smirnov and Shapiro-Wilk tests. Skewed variables presented as median and interquartile range [IQR], and categorical variables as percentage. ANOVA, Mann-Whitney U-test and Chi-square test were used for comparison of variables as appropriate. Survival analysis was performed using Kaplan-Meier's method and Cox proportional hazards method. A Cox regression analysis with backward selection was performed to analyse the independent predictors of short- and long-term mortality. A *p*-value < 0.05 was considered statistically significant. All computations were carried out with SPSS software (V22, SPSS Inc., Chicago, Illinois, USA).

## RESULTS

Baseline characteristics are presented in Table 1. Median [IQR] age of the patients was 84 [11] years. A history of heart failure was known in 26 (14 %) patients and coronary artery disease in 56 (31 %). Surgery was performed on the day of admission in 34 (19 %) patients, 1 day after admission in 109 (60 %), 2 days after admission in 24 (13 %) and 3-5 days after admission in 12 (7 %) patients. One patient died before the operation.

**Table 1.** Baseline clinical characteristics of the study population

Variable	All patients n = 182	NT-proBNP level			<i>P</i> -value
		Low n = 60	Intermediate n = 61	High n = 61	
NT-proBNP level	1415 [2932]	441 [342]	1390 [860]	5170 [6045]	
Men	59 (32 %)	20 (33 %)	18 (30 %)	21 (34 %)	0.83

Age (years)	81.2 ± 11.0	74.7 ± 12.8	83.0 ± 9.2	85.8 ± 7.4	<0.001
History of any cardiovascular disease	130 (71 %)	36 (60 %)	43 (70 %)	51 (83 %)	0.02
History of heart failure	26 (14 %)	3 (5 %)	5 (8 %)	18 (30 %)	<0.001
Coronary artery disease	56 (31 %)	12 (20 %)	19 (31 %)	25 (41 %)	0.04
Prior myocardial infarction	19 (10 %)	3 (5 %)	6 (10 %)	10 (16 %)	0.12
Prior coronary revascularization	14 (8 %)	2 (3 %)	4 (7 %)	8 (13 %)	0.12
Hypertension	91 (50 %)	22 (37 %)	31 (51 %)	38 (62 %)	0.02
Diabetes mellitus	32 (18 %)	10 (17 %)	9 (15 %)	13 (21 %)	0.62
Atrial fibrillation	39 (21 %)	3 (5 %)	10 (16 %)	26 (43 %)	<0.001
Renal failure	10 (6 %)	0 (0 %)	1 (2 %)	9 (15 %)	<0.001
Dementia	73 (40 %)	16 (27 %)	32 (53 %)	25 (41 %)	0.02
Prior TIA or stroke	30 (17 %)	11 (18 %)	11 (18 %)	8 (13 %)	0.68
Preoperative ASA class	3.28 ± 0.57	3.10 ± 0.63	3.27 ± 0.52	3.48 ± 0.50	0.001
Revised cardiac risk index	0.72 ± 0.91	0.53 ± 0.83	0.64 ± 0.86	0.98 ± 0.99	0.017
Preoperative haemoglobin	113 ± 17	116 ± 14	111 ± 16	113 ± 19	0.32
Received red blood cell units	1.51 ± 1.53	1.68 ± 1.70	1.66 ± 1.54	1.18 ± 1.30	0.125
Perioperative TnT elevation	66 (36 %)	7 (12 %)	18 (30 %)	41 (67 %)	<0.001
<i>Cardiovascular medication at hospital admission</i>					
Aspirin	68 (37 %)	20 (33 %)	22 (36 %)	26 (43 %)	0.55
Low molecular weight heparin	2 (1 %)	0 (0 %)	0 (0 %)	2 (3 %)	0.14
Warfarin	28 (15 %)	3 (5 %)	11 (18 %)	14 (23 %)	0.018
Beta-blocker	70 (38 %)	14 (23 %)	25 (41 %)	31 (51 %)	0.007
ACE inhibitor or ARB	48 (26 %)	9 (15 %)	20 (33 %)	19 (31 %)	0.05
Calcium channel blocker	29 (16 %)	7 (12 %)	10 (16 %)	12 (20 %)	0.48
Diuretic	61 (34 %)	13 (22 %)	17 (28 %)	31 (51 %)	0.002
Digoxin	14 (8 %)	4 (7 %)	3 (5 %)	7 (11 %)	0.38
Statin	46 (25 %)	14 (23 %)	17 (28 %)	15 (25 %)	0.84

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischaemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score; ACE, angiotensin converting enzyme; ARB, angiotensin receptor blocker.

1  
2  
3 NT-ProBNP was measured during hospitalization in all 182 patients, preoperatively in 117  
4 (64 %) and postoperatively in 86 (47 %) patients; in 96 patients preoperative only, in 21 both pre- and  
5 postoperatively and in 65 postoperatively only. NT-ProBNP levels ranged from 50 to 72100 ng/l, with a  
6 median [IQR] of 1415 [2932] ng/l. The median [IQR] NT-proBNP level was 1260 [2298] ng/l in  
7 preoperative samples and 1600 [3971] ng/l in postoperative samples ( $P = 0.001$ ). Those 21 patients who had  
8 both pre- and postoperative NT-proBNP measurements had a median preoperative proBNP level of 2220  
9 [2964] ng/l and postoperative proBNP level of 3370 [5520] ng/l ( $P = 0.001$ ). Comparison of the NT-ProBNP  
10 tertiles is presented in Table 1. There was no significant gender difference in NT-proBNP levels. Increasing  
11 age, history of hypertension, coronary artery disease, atrial fibrillation, heart failure, renal failure and  
12 dementia were significantly associated with higher NT-proBNP levels. However, high NT-proBNP levels  
13 were detected even in patients with no prior cardiac morbidity, and 10 (16 %) patients in the highest NT-  
14 proBNP tertile had no history of cardiovascular diseases. Multivariate logistic regression showed that age,  
15 renal failure and atrial fibrillation were the independent predictors of higher NT-proBNP. Chest x-ray  
16 showed signs of congestive heart failure already on admission to hospital in 1 (2 %) patient with low NT-  
17 proBNP (<806 ng/L), in 2 (3 %) patients with intermediate NT-proBNP (806 – 2370 ng/L) and in 5 (8 %)   
18 patients with high NT-proBNP (>2370 ng/L). Median [IQR] duration of hospitalization was 6.0 [4.0] days  
19 and there was no difference in the duration between patients with low vs. intermediate vs. high NT-proBNP.  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36

37 TnT was elevated in 7 (12 %) patients with low NT-proBNP, in 18 (30 %) patients with  
38 intermediate NT-proBNP and in 41 (67 %) patients with high NT-proBNP ( $P < 0.001$ ).  
39 Cardiac symptoms were infrequent in all NT-proBNP groups during hospitalization. Shortness of breath was  
40 experienced by 10 (17 %) patients with low, 10 (16 %) patients with intermediate, and 19 (31 %) patients  
41 with high NT-proBNP ( $P = 0.08$ ) and chest pain by 2 (3 %) vs. 2 (3 %) vs. 3 (5 %) ( $P = 0.87$ ). Disorientation  
42 was observed in 23 (38 %) vs. 36 (59 %) vs. 46 (75 %) of the patients in low, intermediate and high NT-  
43 proBNP groups, respectively ( $P < 0.001$ ).  
44  
45  
46  
47  
48  
49  
50  
51

52 At 30 days follow-up, 17 (9 %) patients had died (Table 2).  
53  
54  
55

56 **Table 2.** Comparison of the patients who died within 30 days of hospital admission and patients who survived.  
57  
58  
59  
60

Variable	Died during 30 days n = 17	Alive after 30 days n = 165	P-value
NT-ProBNP level	2700 [10435]	1230 [2736]	0.01
Men	9 (53 %)	50 (30 %)	0.058
Age (years)	84.7 ± 6.3	80.8 ± 11.4	0.17
History of any cardiovascular disease	15 (88 %)	115 (70 %)	0.11
History of heart failure	2 (12 %)	24 (15 %)	0.76
Coronary artery disease	5 (29 %)	51 (31 %)	0.90
Prior myocardial infarction	3 (18 %)	15 (9 %)	0.31
Prior coronary revascularization	2 (12 %)	12 (7 %)	0.51
Hypertension	10 (59 %)	81 (49 %)	0.45
Diabetes mellitus	6 (35 %)	26 (16 %)	0.04
Atrial fibrillation	6 (35 %)	33 (20 %)	0.14
Renal failure	2 (12 %)	8 (5 %)	0.23
Dementia	9 (53 %)	64 (39 %)	0.26
Prior TIA or stroke	2 (12 %)	28 (17 %)	0.58
Preoperative ASA score	3.4 ± 0.5	3.3 ± 0.6	0.25
Revised cardiac risk index	0.8 ± 1.0	0.7 ± 0.9	0.83
Perioperative troponin T elevation	11 (65 %)	55 (33 %)	0.01

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score.

Patients with high and intermediate NT-proBNP had significantly higher 30-day mortality compared to patients with low NT-proBNP (15 % vs. 11 % vs. 2 %,  $P = 0.04$ ), as shown in figure 1. The patients who died during the first 30 days had a median [IQR] proBNP level of 2700 [10435] ng/l compared to 1230 [2736] ng/l in patients who survived the first 30 days ( $P = 0.002$ ). Out of the patients with no TnT elevation, 5 % died during the first 30 days; no patients with low proBNP vs. 6 (10 %) of the patients with intermediate or high NT-proBNP ( $P = 0.02$ ). Of the 66 patients with a perioperative TnT elevation 11 (17 %) died during the first 30 days, with no difference in mortalities regarding the NT-proBNP levels in these patients.

Intermediate/high vs. low NT-proBNP levels (HR 7.8, 95%CI 1.03-59.14,  $P < 0.05$ ) remained the only independent predictor of short-term mortality in a Cox regression model including age, renal impairment, TnT elevation, NT-proBNP levels, ASA and Lee scores.

Complete follow-up data up to 1000 days was available in all 182 patients. Median [IQR] follow-up time was 3.12 [0.28] years. The overall mortality at 1000 days was 48 % (Table 3).

**Table 3.** Comparison of the patients who died within 1000 days of hospital admission and patients who survived.

Variable	Died during 1000 days n = 88	Alive after 1000 days n = 94	P-value
NT-ProBNP level	2295 [4403]	913 [1679]	<0.001
Men	32 (36 %)	27 (29 %)	0.27
Age (years)	84.1 ± 9.7	78.5 ± 11.6	<0.001
History of any cardiovascular disease	71 (81 %)	59 (63 %)	0.008
History of heart failure	18 (20 %)	8 (9 %)	0.02
Coronary artery disease	34 (39 %)	22 (23 %)	0.03
Prior myocardial infarction	11 (13 %)	8 (9 %)	0.38
Prior coronary revascularization	9 (10 %)	5 (5 %)	0.21
Hypertension	48 (55 %)	43 (46 %)	0.24
Diabetes mellitus	18 (20 %)	14 (15 %)	0.33
Atrial fibrillation	27 (31 %)	12 (13 %)	0.003
Renal failure	7 (8 %)	3 (3 %)	0.16
Dementia	45 (51 %)	28 (30 %)	0.003
Prior TIA or stroke	17 (19 %)	13 (14 %)	0.32
Preoperative ASA score	3.4 ± 0.5	3.2 ± 0.6	0.003
Revised cardiac risk index	0.9 ± 1.0	0.6 ± 0.9	0.02
Troponin T elevation	40 (45 %)	26 (28 %)	0.01

Data are presented as median [IQR], count (%) or mean ± standard deviation. NT-proBNP, N-terminal fragment of pro-B-type natriuretic peptide; TIA, transient ischemic attack; ASA class, American Society of Anesthesiologists' physical status classification; Revised cardiac risk index, Lee's score.

1  
2  
3 The mortality remained constantly higher in patients with high and intermediate NT-proBNP compared to  
4 patients with low NT-proBNP (69 % vs. 49 % vs. 27 %,  $P < 0.001$ ), as shown in figure 2. Intermediate/high  
5 NT-proBNP levels (HR 2.27, 95%CI 1.30-3.96,  $P = 0.004$ ), the presence of dementia (HR 1.74, 95%CI 1.13-  
6 2.66,  $P=0.01$ ) and higher preoperative ASA class (HR 1.59, 95%CI 1.06-2.38,  $P = 0.02$ ) remained  
7 independent predictors of long-term mortality in a Cox regression model including NT-proBNP levels, TnT  
8 elevation, age, renal impairment, the presence of dementia, atrial fibrillation and coronary artery disease,  
9 preoperative ASA and Lee's scores. In patients with no perioperative TnT elevation, intermediate/high NT-  
10 proBNP (HR 3.17; 95% CI 1.64-6.10,  $p=0.001$ ) was the only independent predictor of 1000-day mortality,  
11 while in patients with a perioperative TnT elevation NT-proBNP did not carry a significant predictive value.  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24

## 25 DISCUSSION

26  
27 The present study showed that high NT-proBNP levels are common in hip fracture patients, and that there is  
28 a significant graded association between increasing NT-proBNP level and short- and long-term mortality.  
29 Furthermore, measurement of this natriuretic peptide provided useful independent prognostic information on  
30 top of currently used risk scores and troponin levels. While perioperative NT-proBNP level was the only  
31 independent predictor of short-term mortality, perioperative NT-proBNP level, preoperative ASA class and  
32 the presence of dementia were independent predictors of long-term mortality. Of note, none of the clinical  
33 characteristics of the patients or currently used risk scores provided useful information on the short-term  
34 mortality in these fragile acute patients.  
35  
36  
37  
38  
39  
40  
41  
42  
43

44 When patients with a perioperative TnT elevation were analysed separately, the short-term  
45 mortality was not affected by the perioperative NT-proBNP level, but the long-term mortality was higher if  
46 the patient also had high NT-proBNP level. However, high NT-proBNP level did not remain a significant  
47 predictor of long-term mortality in this relatively small patient group with a poor overall prognosis.  
48  
49  
50  
51

52 In elective non-cardiac surgery, a low NT-proBNP level of 201 ng/l has been shown to have a  
53 high sensitivity and specificity to predict perioperative cardiovascular complications,(9) while in emergency  
54 orthopaedic surgery patients a preoperative NT-proBNP level of  $\geq 741 - 842$  ng/l was the best cut-off level in  
55  
56  
57  
58  
59  
60



1  
2  
3 evaluating the risk of in-hospital and long-term cardiac complications.(7, 20) In line with these observations  
4 the best cut-off level for the prediction of short-term mortality was low also in this old patient group with  
5 frequent co-morbidities, and most of the difference in short-term mortality was observed already between the  
6 low and intermediate NT-proBNP groups. The increase in long-term mortality between the NT-proBNP  
7 groups was, however, more stable and not unexpectedly dementia and poor ASA group were the other  
8 independent predictors of long-term mortality.  
9

10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Unexpectedly, some patients with no prior history of cardiovascular diseases or renal failure had a high NT-proBNP level supporting the view that major trauma and surgery, or heavy use of intravenous fluids in the perioperative period may cause stress on the heart and lead to elevated NT-proBNP levels. High co-incidence of TnT elevation also suggests that a perioperative myocardial injury is a major cause of elevated NT-proBNP levels in this patient group.

To our knowledge there has only been one earlier study assessing NT-proBNP levels in hip fracture patients. In this study of only 69 frail hip fracture patients with a high ASA class preoperative NT-proBNP level exceeding 3984 ng/l was an independent predictor of perioperative cardiac complications, but did not find an association between increased NT-proBNP and mortality at 3 months follow-up.(8) On the contrary to these findings, our study showed that high NT-proBNP is an independent predictor of both short- and long-term mortalities, and that there is 5-fold increase in short-term mortality already at NT-proBNP level exceeding 805 ng/l.

This study has some limitations that should be considered. The study population of 182 patients, although bigger than in earlier similar studies, is relatively small. Secondly, the idea was to obtain NT-proBNP samples preoperatively in all patients, but due to weekends and public holidays, preoperative tests were obtained in 64 % of the patients only. Since this was a blind evaluation it is not possible to assess how pharmacological treatments may have affected the outcome. The strengths of this study are the prospective nature of the registry, inclusion of all consecutive hip fracture patients and complete follow-up data of all 182 patients.

In conclusion, elevated perioperative NT-proBNP level is common in surgically treated hip fracture patients and an independent predictor of short- and long-term mortality superior to the commonly used clinical risk scores, and an efficient tool in detecting the patients in greater risk of death. Measurement



1  
2  
3 of NT-proBNP and TnT in hip fracture patients could lead to the detection of patients at high risk of early  
4 and later death after the operation. The prognosis of high risk patients might be improved with appropriate  
5 cardiac care especially in those patients with no previous cardiovascular history or medications.  
6  
7  
8  
9

## 10 11 **AUTHOR CONTRIBUTION**

12  
13  
14  
15 All authors participated in designing this study. MS recruited the patients. NS operated on the patients. PN  
16 collected the data. PN and TK analyzed the data and PN, TK, MS and KEA interpreted the data. PN wrote  
17 the first draft and all other authors reviewed it and provided further contributions and suggestions. All  
18 authors read and approved the final version.  
19  
20  
21  
22  
23

## 24 25 **COMPETING INTERESTS**

26  
27  
28 All authors have completed the ICMJE uniform disclosure form at [www.icmje.org/coi\\_disclosure.pdf](http://www.icmje.org/coi_disclosure.pdf) and  
29 declare: authors had financial support from the Finnish Foundation for Cardiovascular Research for the  
30 submitted work; no financial relationships with any organisations that might have an interest in the submitted  
31 work in the previous three years; no other relationships or activities that could appear to have influenced the  
32 submitted work.  
33  
34  
35  
36  
37  
38

## 39 40 **FUNDING**

41  
42 This study was supported by grants from the Finnish Foundation for Cardiovascular Research, Helsinki,  
43 Finland.  
44  
45  
46  
47

## 48 49 **DATA SHARING**

50  
51 No additional data available  
52  
53  
54  
55  
56  
57  
58  
59  
60

## REFERENCES

1. Hietala P, Strandberg M, Strandberg N, et al. Perioperative myocardial infarctions are common and often unrecognized in patients undergoing hip fracture surgery. *J Trauma Acute Care Surg.* 2013;74(4):1087-91.
2. Cullen MW, Gullerud RE, Larson DR, et al. Impact of heart failure on hip fracture outcomes: a population-based study. *J Hosp Med.* 2011;6(9):507-12.
3. Smeets SJ, Poeze M, Verbruggen JP. Preoperative cardiac evaluation of geriatric patients with hip fracture. *Injury.* 2012;43(12):2146-51.
4. Ogawa Y, Nakao K, Mukoyama M, et al. Natriuretic peptides as cardiac hormones in normotensive and spontaneously hypertensive rats. The ventricle is a major site of synthesis and secretion of brain natriuretic peptide. *Circ Res.* 1991;69(2):491-500.
5. Yasue H, Yoshimura M, Sumida H, et al. Localization and mechanism of secretion of B-type natriuretic peptide in comparison with those of A-type natriuretic peptide in normal subjects and patients with heart failure. *Circulation.* 1994;90(1):195-203.
6. Hunt PJ, Richards AM, Nicholls MG, et al. Immunoreactive amino-terminal pro-brain natriuretic peptide (NT-PROBNP): a new marker of cardiac impairment. *Clin Endocrinol (Oxf).* 1997;47(3):287-96.
7. Chong CP, Ryan JE, van Gaal WJ, et al. Usefulness of N-terminal pro-brain natriuretic peptide to predict postoperative cardiac complications and long-term mortality after emergency lower limb orthopedic surgery. *Am J Cardiol.* 2010;106(6):865-72.
8. Oscarsson A, Fredrikson M, Sörliden M, et al. N-terminal fragment of pro-B-type natriuretic peptide is a predictor of cardiac events in high-risk patients undergoing acute hip fracture surgery. *Br J Anaesth.* 2009;103(2):206-12.
9. Yun KH, Jeong MH, Oh SK, et al. Preoperative plasma N-terminal pro-brain natriuretic peptide concentration and perioperative cardiovascular risk in elderly patients. *Circ J.* 2008;72(2):195-9.
10. Oscarsson A, Fredrikson M, Sörliden M, et al. Predictors of cardiac events in high-risk patients undergoing emergency surgery. *Acta Anaesthesiol Scand.* 2009;53(8):986-94.

11. Schutt RC, Cevik C, Phy MP. Plasma N-terminal prohormone brain natriuretic peptide as a marker for postoperative cardiac events in high-risk patients undergoing noncardiac surgery. *Am J Cardiol.* 2009;104(1):137-40.
12. Dernellis J, Panaretou M. Assessment of cardiac risk before non-cardiac surgery: brain natriuretic peptide in 1590 patients. *Heart.* 2006;92(11):1645-50.
13. Villacorta Junior H, Castro IS, Godinho M, et al. B-type natriuretic peptide is predictive of postoperative events in orthopedic surgery. *Arq Bras Cardiol.* 2010;95(6):743-8.
14. Yeh HM, Lau HP, Lin JM, et al. Preoperative plasma N-terminal pro-brain natriuretic peptide as a marker of cardiac risk in patients undergoing elective non-cardiac surgery. *Br J Surg.* 2005;92(8):1041-5.
15. Hietala P, Strandberg M, Kiviniemi T, et al. Usefulness of troponin T to predict short-term and long-term mortality in patients after hip fracture. *Am J Cardiol.* 2014;114(2):193-7.
16. Airaksinen KE, Korkeila P, Lund J, et al. Safety of pacemaker and implantable cardioverter-defibrillator implantation during uninterrupted warfarin treatment - The FinPAC study. *Int J Cardiol.* 2013;168(4):3679-82.
17. Airaksinen KE, Grönberg T, Nuotio I, et al. Thromboembolic complications after cardioversion of acute atrial fibrillation - The FinCV study. *J Am Coll Cardiol* 2013;62(13):1187-92.
18. Kiviniemi T, Puurunen M, Schlitt A, et al. Performance of bleeding risk-prediction scores in patients with atrial fibrillation undergoing percutaneous coronary intervention. *Am J Cardiol.* 2014;113(12):1995-2001.
19. Lee TH, Marcantonio ER, Mangione CM, et al. Derivation and prospective validation of a simple index for prediction of cardiac risk of major noncardiac surgery. *Circulation.* 1999;100(10):1043-9.
20. Chong CP, Lim WK, Velkoska E, et al. N-terminal pro-brain natriuretic peptide and angiotensin-converting enzyme-2 levels and their association with postoperative cardiac complications after emergency orthopedic surgery. *Am J Cardiol.* 2012;109(9):1365-73.

1  
2  
3 **LEGENDS**  
4

5 **Figure 1.** Kaplan-Meier estimates for survival in 30 days follow-up in patients with low, intermediate and  
6 high NT-proBNP level during index hospitalization.  
7  
8

9 **Figure 2.** Kaplan-Meier estimates for survival in 1000 days follow-up in patients with low, intermediate and  
10 high NT-proBNP level during the index hospitalization.  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

For peer review only

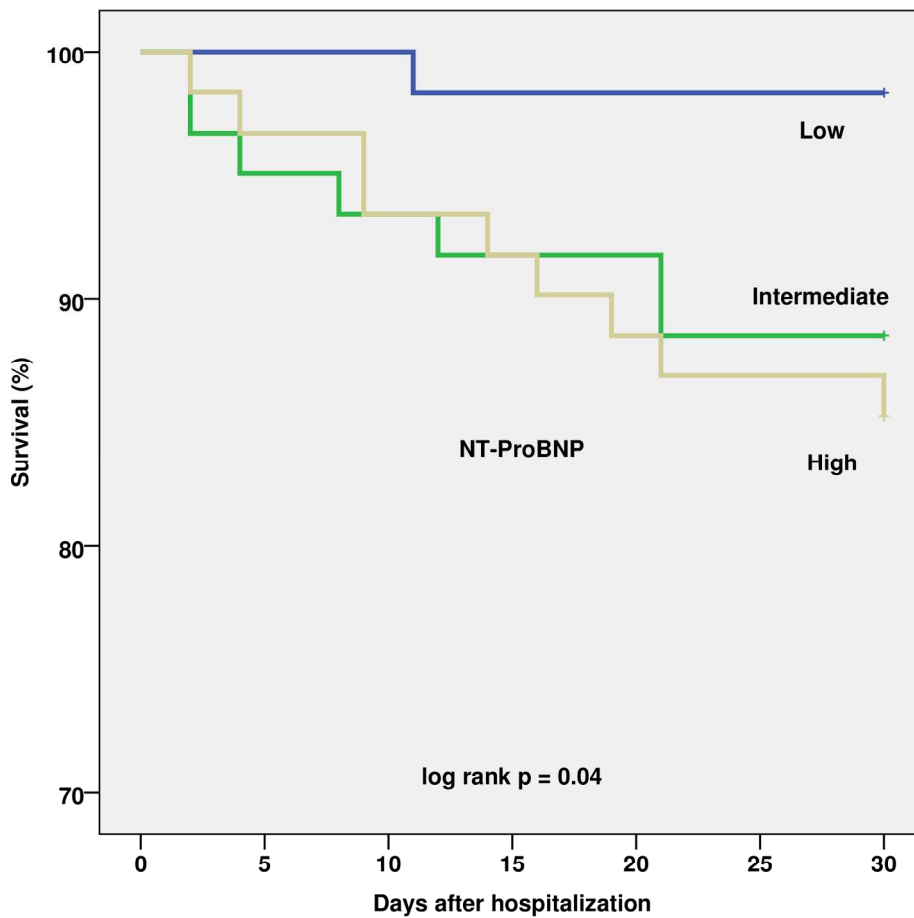


Figure 1. Kaplan-Meier estimates for survival in 30 days follow-up in patients with low, intermediate and high NT-proBNP level during index hospitalization.  
173x173mm (300 x 300 DPI)

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

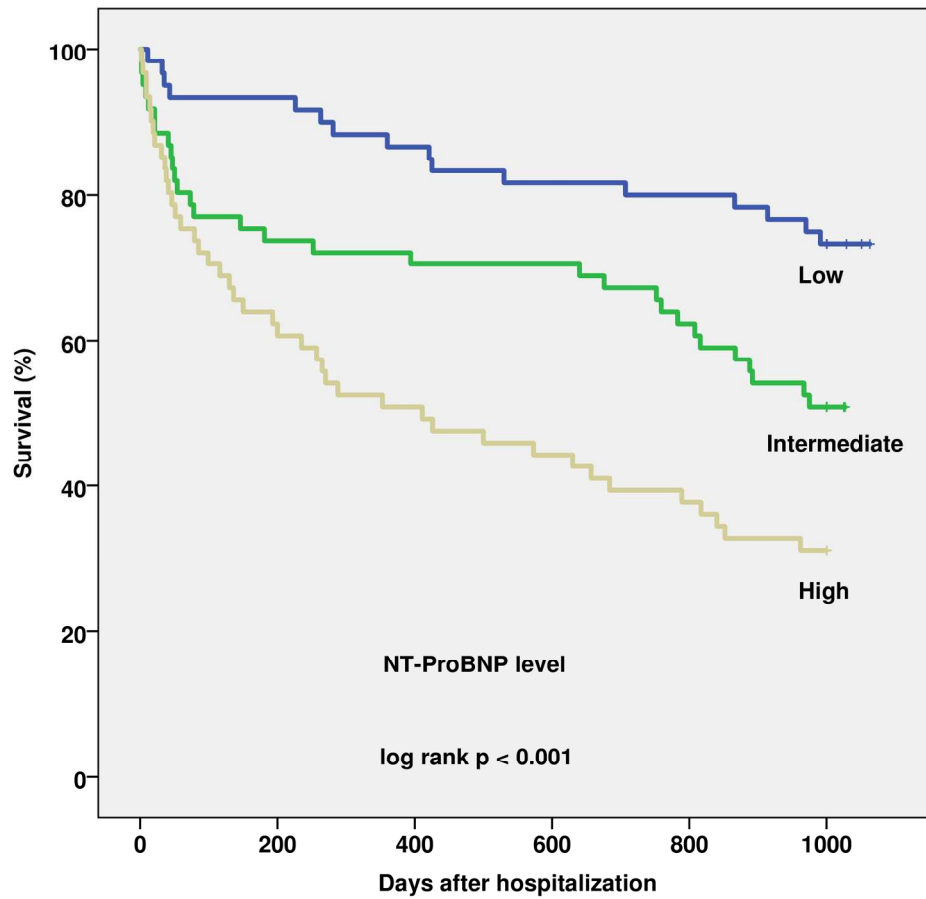


Figure 2. Kaplan-Meier estimates for survival in 1000 days follow-up in patients with low, intermediate and high NT-proBNP level during index hospitalization.  
173x173mm (300 x 300 DPI)

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4
		(b) For matched studies, give matching criteria and number of exposed and unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4-5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	4-5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5
		(b) Describe any methods used to examine subgroups and interactions	4-5
		(c) Explain how missing data were addressed	4
		(d) If applicable, explain how loss to follow-up was addressed	
		(e) Describe any sensitivity analyses	
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4-5
		(b) Give reasons for non-participation at each stage	4
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	4-5
		(b) Indicate number of participants with missing data for each variable of interest	4-7
		(c) Summarise follow-up time (eg, average and total amount)	8
Outcome data	15*	Report numbers of outcome events or summary measures over time	8-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	8-9
		(b) Report category boundaries when continuous variables were categorized	8-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6-9
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	10-11
<b>Limitations</b>			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11
Generalisability	21	Discuss the generalisability (external validity) of the study results	10-11
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	12

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).