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Caffeine consumption during pregnancy and ADHD at the age of six years: A birth cohort study

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Complete List of Authors:	Del-Ponte, Bianca; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Santos, Ina; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Tovo-Rodrigues, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Anselmi, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Matijasevich, Alicia; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology; University of São Paulo, Department of Preventive Medicine
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Manuscripts

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3 **Caffeine consumption during pregnancy and ADHD at the age of six years:**

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5 **A birth cohort study**

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7 Bianca Del-Ponte^a PhD, Iná S. Santos^a PhD, Luciana Tovo-Rodrigues^a PhD,

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9 Luciana Anselmi^a PhD, Alicia Matijasevich^{a,b} PhD

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13
14 **Affiliations:** ^a Postgraduate Program in Epidemiology, Federal University of Pelotas,
15 Pelotas, Brazil; and ^b Department of Preventive Medicine, School of Medicine,
16 University of São Paulo, São Paulo, Brazil.
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21 **Address correspondence to:** Del-Ponte B., Postgraduate Program in Epidemiology,
22 Federal University of Pelotas, Pelotas, Brazil. Rua Marechal Deodoro, 1160, 3º piso;
23 Pelotas, RS, Brazil. E-mail address: bianca.delponte@gmail.com
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27 **Keywords:** Caffeine, pregnancy, ADHD and hyperactivity.
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ABSTRACT

Objective: Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as the child's neurobehavior, are still scarce and their results are inconsistent. The objective of the present study was to evaluate the association between maternal consumption of caffeine during pregnancy and attention deficit hyperactivity disorder (ADHD) at the age of six years.

Methodology: All children born in the city of Pelotas, Brazil, during the year 2004, were selected for a cohort study. The mothers were interviewed at birth to obtain information on coffee and yerba mate consumption during pregnancy, among other matters. At the age of six years, presence of ADHD was evaluated using the Development and Well-Being Assessment (DAWBA) questionnaire, applied to the mothers. The prevalence of heavy caffeine consumption (≥ 300 mg/day) and ADHD were calculated, with 95% confidence intervals (95%CI). The association between caffeine consumption and ADHD was tested by means of logistic regression.

Results: 3507 children were included in the analyses. The prevalence of ADHD was 2.6% (2.1-3.2%): 3.4% (2.9-3.9%) among boys and 1.8% (1.4-2.2%) among girls. The prevalence of heavy caffeine consumption during the entire pregnancy and the first, second and third trimesters was 15.4% (11.0-19.8%), 19.2% (13.5-24.9%), 17.9% (12.6-23.2%) and 16.4% (11.6-21.2%), respectively. Heavy caffeine consumption in the entire pregnancy was not associated with ADHD in the crude (OR: 0.66; CI95%: 0.34 – 1.28) or adjusted analysis (OR: 0.59; CI95%: 0.30 – 1.16).

Conclusion: The present study did not show any association between maternal caffeine consumption during pregnancy and ADHD at the age of six years.

ARTICLE SUMMARY

Strengths

This was a longitudinal study ensuring that the temporal relationship between exposures and outcomes can be ascertained. Detailed information on caffeine consumption from coffee and yerba mate during the three trimesters of pregnancy was available. The outcome was evaluated by means of a validated instrument. A great number of potential confounding factors were adjusted for. There were a low percentage of losses and refusals during the follow-up of the study.

Limitations

The outcome was ascertained by means of tests applied only to the mother. In addition, the amount of coffee and yerba mate consumed during pregnancy was obtained retrospectively, being subject to recall bias. Also, although caffeine consumption during pregnancy was assessed from the two main sources (coffee and mate) there are other caffeine sources (foods like chocolate, chocolate drink and cola-drinks as well as medicines) that were not measured.

FINDINGS TO DATE

- Prevalence of heavy caffeine consumption (≥ 300 mg/day) during the entire pregnancy was high (15.4%; 95% Confidence interval: 11.0-19.8%).
- The prevalence of ADHD was 2.6% (95% CI: 2.1-3.2%); higher among boys (3.4%; 95%CI: 2.9-3.9%) than among girls (1.8%; 95%CI: 1.4-2.2%).
- The present cohort study, involving around 4000 children did not show any association between maternal caffeine consumption during pregnancy and ADHD.

INTRODUÇÃO

Attention deficit hyperactivity disorder (ADHD) is a neurobiological disorder that affects around 6% of school-age children around the world.(1) It is the most prevalent mental disorder during childhood and the main reason why mental health services are sought for children and adolescents.(2) It is characterized by persistent symptoms of inattention, impulsivity and hyperactivity, which become present before the age of 12 years and are abnormal for the developmental stage.(3)

ADHD is a multi factorial disease with complex etiology and a large genetic component (heritability estimated as 76%).(4) Epidemiological studies have shown higher prevalence among boys and among children belonging to families with worse socioeconomic conditions.(5-13) Maternal caffeine consumption (17) as well as other nutritional factors during pregnancy, such as intake of folic acid,(14) iron(15) and omega-3(16) have been investigated as determinants of ADHD. In animals, intrauterine exposure to caffeine was associated with increased motor activity, thus suggesting a possible effect on attention deficit and hyperactivity on children born to mothers with high consumption of caffeine-rich foods and beverages during pregnancy.(17, 18) Moreover, exposure of rats to caffeine, during the prenatal period, resulted in gene expression alterations relating to formation of synapses, thereby showing some of the potential molecular effects of caffeine during fetal cerebral development.(19)

Caffeine is commonly consumed throughout the world, including by pregnant women, who present daily consumption prevalence ranging from 75% to 93%.(20) Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as child neuro-behavior, are still scarce and their results are inconsistent. Among five articles identified in a systematic review of the literature (21) only one found that the higher maternal caffeine intake during pregnancy would increase the risk of ADHD.(22)

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3 So, the objective of the present study was to evaluate the association between maternal
4 caffeine consumption during pregnancy and ADHD at the age of six years, among
5 children belonging to a birth cohort. The hypothesis is the ADHD is associated with
6 heavy consumption (≥ 300 mg / day) of caffeine.
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11 12 13 14 **METODOLOGIA**

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16 In 2004, a birth cohort study began in the city of Pelotas, Brazil. The original
17 cohort population consisted of the 4231 newborns at the five hospitals in the city, who
18 were the children of mothers living in the urban zone of Pelotas, corresponding to
19 99.2% of the births in that year. After delivery mothers were interviewed by trained
20 interviewers, using standardized questionnaires, regarding their socioeconomic,
21 demographic and reproductive characteristics, use of health services, prenatal attention
22 and pregnancy complications (perinatal study). Further methodological details of the
23 study can be found in other publications.(23-25)
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34 So far, the cohort participants were followed-up at the ages of 3, 12, 24 and 48
35 months, and at 6 and 10 years. The mothers were interviewed regarding their children's
36 growth, development, type of food and morbidity, and also answered questions about
37 their own health.(24) Differently from the visits at 3, 12, 24, and 48 months that took
38 place at the child's place, at the age of 6 and 10 years data-gathering was undertaken at
39 a clinic that had been set up especially to attend to this research. Besides interviews, the
40 children underwent a comprehensive health evaluation, which included psychological,
41 psychiatric, anthropometric and body composition evaluations.(25)
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52 The presence of ADHD was evaluated by means of the Development and Well-
53 Being Assessment (DAWBA), an instrument employed for psychiatric diagnosis among
54 children and teenagers aged from 5 to 17 years, and that uses diagnostic classifications
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3 from the Diagnostic and Statistical Manual of Mental Disorders 4th edition (DSM-IV)
4 and the International Classification of Diseases (ICD-10).(26) DAWBA was applied to
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7 the mother during the six-year follow-up, by trained interviewers (psychologists). The
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10 DAWBA combines highly structured questions based on DSM-IV diagnostic criteria
11 and ICD-10 with qualitative descriptions of all areas of difficulty. The generating
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14 program is a computer algorithm which provides a probability of a child to have any
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17 psychiatric disorder based on answers to structured questions. In the presence of
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20 positive symptoms in any area, additional questions (qualitative assessment) are made
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23 to assess the impact (loss) of these problems in the child's life. These questions concern
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26 specific areas covering distress and interference with family life, learning, friendship
27 and leisure activities resulting in symptoms. Subsequently, a clinical evaluator
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30 combines the quantitative results with the qualitative data and then, based on the two
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33 information makes a judgment in regard to the presence or absence of the disorder. The
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36 clinic trial in this case was made by a child psychiatrist (rater), supervised by another
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39 child psychiatrist, who translated and validated the DAWBA for the Brazilian
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42 population. To make the different psychiatric diagnosis from DAWBA evaluations, the
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45 rater needs to judge whether symptoms are present or not and the loss (impact) that they
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48 cause. DAWBA diagnoses are supplied dichotomously as “yes” or “no”, strictly
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51 respecting the diagnostic criteria defined by ICD-10 and DSM-IV diagnostic
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54 classifications. For this study the DSM-IV classification was employed. The DAWBA
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57 allows the identification of children currently under treatment for ADHD, such children
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60 were classified as positive for ADHD. The DAWBA questionnaire was translated and
validated in Brazil by Bacy Fleitlich-Bilyk.(26)

The exposure of interest, i.e. caffeine consumption during pregnancy, was
evaluated retrospectively, at the perinatal assessment by means of a series of questions

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3 regarding consumption of the foods that are the main sources of caffeine at this region
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5 of the country: coffee and yerba mate (a typical hot beverage consumed in southern
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7 Brazil and neighboring countries, which is prepared from the leaves of the herb *Ilex*
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9 *paraguariensis*). For each source of caffeine, the daily frequency of consumption was
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11 obtained, separately for each trimester of pregnancy. Information regarding the type of
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13 coffee (filtered or instant), preparation, concentration (strong, medium or weak) and
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15 quantity consumed per day was gathered, taking into consideration the size of the
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17 recipient (180 ml cup; 50 ml small cup; 200 ml glass and 190 ml mug). The estimated
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19 caffeine content from coffee and yerba mate was obtained from coffee samples
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21 collected from the homes of mothers who participated in a previous study conducted in
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23 the city of Pelotas,(27) and that were analyzed by chromatography. From these
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25 analyses, it was possible to infer the average caffeine content in mg per ml of coffee,
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27 according to the concentration at which it was consumed: strong coffee, 0.25 mg/ml;
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29 medium coffee, 0.20 mg/ml; and weak coffee, 0.11 mg/ml. For yerba mate, the analyses
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31 showed an average concentration of 17 mg of caffeine per 100 ml of the liquid. These
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33 results were used to estimate the caffeine intake of the entire sample. For instant coffee,
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35 the items investigated were the size of the spoon used to serve coffee (full coffee spoon,
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37 2.6 g; level coffee spoon, 2.3 g; full small coffee spoon, 2.5 g; level small coffee spoon,
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39 1.5 g; full dessert spoon, 7.5 g; and level dessert spoon, 7.0 g) and the number of spoons
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41 per portion. The spoon sizes were obtained from home measurements. Photographs of
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43 spoons were used during interviews to avoid classification errors. For instant coffee, the
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45 information used came from the manufacturer: an average of 3 mg of caffeine per gram
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47 of powdered coffee. For each mother, the average daily caffeine intake was calculated
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49 per trimester and during the entire pregnancy. Mothers who consumed ≥ 300 mg/day of
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51 caffeine were considered heavy consumers (the exposed group).
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Potential confounding factors in the association between maternal caffeine consumption during pregnancy and ADHD were gathered at the perinatal study and considered in the adjusted analysis: National Economic Index (acronym IEN in Portuguese) presented in quintiles (in which mothers at Q1 were the poorest and at Q5 were the wealthiest); mother's and father's education levels, evaluated as years of study; maternal age, evaluated as complete years at the delivery; mother living with or without partner; mother smoking during pregnancy (at least one cigarette/day in at least one trimester of the pregnancy); father smoking during pregnancy (in at least one trimester of the pregnancy); alcohol consumption by the mother during pregnancy (yes or no); antenatal care (yes or no); number of antenatal care consultations; mood symptoms during pregnancy (through the question "During pregnancy, did you feel depressed or nervous?"); maternal nutritional state before pregnancy, evaluated according to the body mass index (BMI) and categorized as underweight ($<18.5\text{kg/m}^2$), normal weight ($18.5\text{-}24.9\text{kg/m}^2$), overweight ($25\text{-}29.9\text{kg/m}^2$) or obese ($\geq 30\text{ kg/m}^2$); the child gestational age at birth; type of delivery (normal or cesarean); and low birth weight ($<2500\text{ g}$) (yes or no).

Only children from single pregnancies were included in the analysis. The prevalence of ADHD and respective 95% confidence interval (95% CI) was calculated for the entire cohort and separately by sex (based on the current literature that consistently reports higher prevalence rates among boys). (5-13) Prevalence (95% CI) of heavy caffeine consumption in each trimester and during the entire pregnancy was also calculated. The association between maternal heavy caffeine consumption and ADHD was evaluated by means of the chi-square test. The strength of the association between caffeine consumption and ADHD was ascertained for the entire cohort and after stratification by sex, by means of logistic regression (crude and adjusted for

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3 confounding factors). With the aim of evaluating the presence of dose-response effects,
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5 daily caffeine consumption was grouped in three categories: <100, 100-299 and \geq 300
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7 mg/day. In addition, analyses were performed with daily caffeine intake as a continuous
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9 variable.

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11 A conceptual framework previously built by the authors describing the
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13 postulated hierarchical relationships between exposures (Figure 1) was used to drive the
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15 inclusion of potential confounders to the analytical model. Maternal mental health
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17 during pregnancy was the first variable included in the model, followed by father years
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19 of school and maternal socio-demographic characteristics (IEN, years of school, age and
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21 marital status). Subsequently the behavioral variables were added (maternal smoking
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23 and alcoholic beverage intake during pregnancy, paternal smoking during pregnancy,
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25 and number of antenatal care consultations). Only variables associated with the outcome
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27 at p-values \geq 0.20 were kept at the final model.
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32 The Pelotas 2004 Birth Cohort Study was approved by the Research Ethics
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34 Committee of the Medical School of the Federal University of Pelotas that is affiliate to
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36 the Brazilian National Commission for Research Ethics. Mothers signed an informed
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38 consent form at each follow-up, after being informed of the study objectives.
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42 **RESULTADOS**

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45 The present study only used data from the perinatal evaluation (N=4231) and the
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47 follow-up at the age of six years (N=3721). A total of 3507 mother and children had full
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49 information on caffeine intake and mental health and were entered at the current
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51 analysis.
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54 The prevalence of ADHD in the population studied was 2.6% (95% CI: 2.1-
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56 3.2%); 3.4% (95% CI: 2.9-3.9%) among boys and 1.8% (95% CI: 1.4-2.2%) among
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3 girls. The prevalence of heavy caffeine consumption during the entire pregnancy and in
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5 the first, second and third trimesters was 15.4% (95% CI: 11.0-19.8%), 19.2% (95% CI:
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7 13.5-24.9%), 17.9% (95% CI: 12.6-23.2%) and 16.4% (95% CI: 11.6-21.2%),
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9 respectively.

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11 Heavy-caffeine consumer mothers were more likely to present economic and
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13 behavioral exposures than the remaining mothers: they were poorer (28,5% versus
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15 18,6% belonged to Q1 of the IEN; $p = <0,001$), had less years of formal education
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17 (28,0% versus 13,1% for mothers with education 0 to 4 years; $p = <0,001$), smoked
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19 (55,7% versus 22,4%; $p = <0,001$) and consumed alcoholic beverages (5,0 versus 2,9;
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21 $p = <0,001$) in pregnancy, attended a few number of antenatal care consultations (25,1%
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23 versus 16,1%; $p = <0,001$), and presented mood symptoms during pregnancy (24,7%
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25 versus 21,1; $p = 0,035$).

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29 In Table 1, the prevalence of ADHD is presented according to family and child
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31 variables, for the total cohort and after stratification by sex. The IEN was inversely
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33 associated with ADHD: the higher the economic level of the family, the lower the
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35 prevalence of ADHD. The prevalence of ADHD was higher among the children whose
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37 mothers lived without a partner and presented mood symptoms during pregnancy. The
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39 prevalence of ADHD among the children of mothers who were heavy consumers of
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41 caffeine did not differ from what was observed among the children of mothers who
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43 consumed less than 300 mg/day during the entire pregnancy or in each trimester.
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47 Figure 2 shows the prevalence of ADHD in relation to caffeine consumption in
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49 three categories (< 100 , 100-299 and ≥ 300 mg/day) per trimester and at the entire
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51 pregnancy. There was no association between daily maternal consumption of caffeine
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53 and prevalence of ADHD.
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3 The crude and adjusted analyses of the association between heavy caffeine
4 consumption per trimester and during the entire pregnancy and ADHD are presented in
5 Table 2. There was no association between caffeine consumption and ADHD, both in
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The crude and adjusted analyses of the association between heavy caffeine consumption per trimester and during the entire pregnancy and ADHD are presented in Table 2. There was no association between caffeine consumption and ADHD, both in the crude and in the adjusted analysis, during the three pregnancy trimesters and at the entire pregnancy. All the 95% CI of the estimated odds ratios included the unit, thus showing that there was no association. The same result was shown in the analysis stratified according to sex.

The crude and adjusted analyses of caffeine divided into three categories (<100, 100-299 and ≥ 300 mg/day) (Table 3) and as a continuous variable (data not shown) also found no association.

DISCUSSÃO

The present study did not show any association between caffeine consumption during pregnancy and ADHD. Contrary to the hypothesis of this study, the crude and adjusted analyses indicated that caffeine had no effect over the occurrence of ADHD. A recent review of the literature showed that there is a scarcity of studies evaluating the effect of caffeine consumption during pregnancy over the occurrence of ADHD, and concluded that the available evidence does not make it possible to confirm or deny the risk that this exposure might present with regard to development of this morbidity during childhood.(21) The five studies investigating the effect of maternal caffeine consumption over the occurrence of ADHD(21) differed in relation to the tools used to measure the outcome: only one evaluated ADHD by means of a diagnostic instrument and this did not find any association.(28) The remaining articles used screening tests: Conners' Continuous Performance Test II (CPT-II),(29) the Child Behavior Checklist (CBCL)(8, 22) and SDQ(30) and only one found an association(22) indicating that

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3 caffeine consumption during pregnancy would increase the risk of ADHD. The
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5 difference between the instrument used for assessing the presence of ADHD generates
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7 issues that go beyond the lack of comparability. Screening instruments are more
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9 sensitive and less specific, and have a high capacity to recognize true positives, but they
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11 fail to discard false positives, thereby wrongly identifying healthy individuals as ill. For
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13 instance, in an analysis of data from another cohort conducted in Pelotas (the Pelotas
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15 1993 Birth Cohort Study), to estimate the prevalence of psychiatric diseases among
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17 children aged 11 years, Anselmi et al(31) compared the results from DAWBA with
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19 those from the Strengths and Difficulties Questionnaire (SDQ), which is a screening
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21 instrument. They found that as a screening instrument for ADHD, SDQ presented weak
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23 performance, with a positive predictive value (PPV) of 48.2% and a negative predictive
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25 value (NPV) of 90.2%. Similar results have been found in other Brazilian studies.(26,
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There is a high inter-individual variability in the physiological response to caffeine consumption that may in part be due to genetic characteristics. The genes involved in caffeine metabolism, such as cytochrome P450 1A2 (CYP1A2), and in caffeine responses in the central nervous system, such as the adenosine 2A receptor (ADORA2A), have been the main targets of genetic studies in this area.(33-38) Polymorphisms in genes in these pathways have been correlated with the habit of consuming coffee and have been shown to be important to modulate the response to caffeine consumption among adults, such as symptoms of anxiety, cognitive performance and insomnia.(33-38) On the other hand, little is known about the molecular response mechanisms to caffeine in the central nervous system while it is still developing; or about the way in which gene polymorphisms along these pathways might module the response to caffeine. Future studies adding genetic factors to caffeine

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3 consumption during pregnancy could contribute towards better understanding the
4 potential role that caffeine may play in the development of ADHD and other psychiatric
5 disorders.
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10 The present study presents some strengths and limitations. Among the strengths
11 is the fact that this was a longitudinal study with data from a birth cohort of about 4,000
12 children, which facilitates the generalization of data. The longitudinal analysis is
13 characterized by following up individuals over a period of time, which ensures that the
14 temporal relationship between exposures and outcomes can be ascertained. Hence,
15 among all the observational study designs this is the ideal for investigating the topic in
16 question. Furthermore, detailed information on caffeine consumption from coffee and
17 yerba mate during the three trimesters of pregnancy was available. The outcome was
18 evaluated by means of an instrument that had been adapted and validated for the
19 Brazilian population, which made it possible to confirm the diagnoses of ADHD.(26)
20 Moreover, there was the possibility of controlling the analysis for a great number of
21 potential confounding factors. Also noteworthy is the low percentage of losses and
22 refusals during the follow-up of the study (90.2% from birth to 6 years of age).
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38 Amongst the limitations, the diagnostic tests, including DAWBA, generally
39 evaluate two contexts in the child's life: Home and school. The present study evaluated
40 only the home context, because at the age of six years most of the children had not yet
41 entered to school and the remainder were just joining school life, thus making it too
42 early to expect teachers to be able to make an evaluation of their behavior. In addition,
43 the amount of coffee and yerba mate consumed during pregnancy was obtained
44 retrospectively, being subject to recall bias. Also, although caffeine consumption during
45 pregnancy was assessed from the two main sources (coffee and mate) there are other
46 caffeine sources (foods like chocolate, chocolate drink and cola-drinks as well as
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3 medicines) that were not measured. However, daily consumption and caffeine intake
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5 from other food are low at this population (0,19 mg/ml in black tea; 0,10 mg/ml in soft
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7 drinks; and 0,67 mg/g in chocolate bars).(27)
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10 11 **CONCLUSÃO**

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14 There is no evidence from the present study to support any deleterious effect of
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16 caffeine consumption during pregnancy over the occurrence of ADHD in the offspring.
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CONTRIBUTORS STATEMENT

Ms Silva BDP and Dr Santos IS participated in the design of the manuscript, carried out the initial analyses, drafted the initial manuscript, and approved the final manuscript as submitted.

Drs Matijasevich A and Santos IS designed the data collection instruments, and coordinated and supervised data collection, critically reviewed the manuscript, and approved the final manuscript as submitted.

Drs Tovo-Rodrigues L and Anselmi L participated in the drafting, critically reviewed the manuscript, and approved the final manuscript as submitted.

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CONFLICT OF INTEREST

The authors have no potential conflicts of interest to disclose.

DATA SHARING STATEMENT

Extra data is available by emailing bianca.delponte@gmail.com

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Table 1. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of six years, according to the characteristics of the family and child.

Variables	N (%)	Boys		Girls		Total	
		ADHD %	P	ADHD %	p	ADHD %	P
IEN	3472		0.10*		0.07*		0.03*
Q1	709 (20.4)	3.7		2.2		3.0	
Q2	695 (20.0)	4.5		2.1		3.3	
Q3	689 (19.8)	4.3		1.8		3.1	
Q4	693 (20.0)	3.1		1.3		2.3	
Q5	686 (19.8)	1.9		0.6		1.3	
Maternal education level in years	3506		0.50*		0.14*		0.19*
0-4	524 (15.0)	2.6		2.0		2.3	
5-8	1461 (41.7)	4.4		1.9		3.2	
9-11	1154 (32.9)	3.3		1.6		2.5	
12 or over	367 (10.5)	2.0		0.0		1.1	
Paternal education level in years	2753		0.30*		0.07*		0.07*
0-4	485 (17.6)	3.5		3.2		3.3	
5-8	976 (35.5)	3.2		1.7		2.5	
9-11	989 (35.9)	3.2		1.9		2.6	
12 or over	303 (11.0)	1.2		0.0		0.7	
Maternal age	3513		0.29*		0.05*		0.05*
<20	675 (19.2)	3.4		2.5		3.0	
20-35	2458 (70.0)	3.8		1.7		2.9	
>35	379 (10.8)	1.1		0		0.5	
Conjugal situation	3513		0.02		0.38		0.02
With partner	2957 (84.2)	3.1		1.5		2.3	
Without partner	556 (15.8)	5.7		2.3		4.1	
Mother smoking during pregnancy	3513		0.59		0.33		0.34
No	2559 (72.8)	3.4		1.5		2.5	
Yes	954 (27.2)	3.9		2.2		3.0	

*Linear trend test

Cont. Table 1. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of six years, according to the characteristics of the family and child.

Variables	N (%)	Boys		Girls		Total	
		ADHD %	P	ADHD %	P	ADHD %	P
Father smoking during pregnancy	3513		0.29		0.88		0.35
No	2446 (69.6)	3.2		1.6		2.5	
Yes	1067 (30.4)	4.2		1.7		3.0	
Alcohol consumption by the mother during pregnancy	3513		0.20		0.24		0.08
No	3396 (96.7)	3.4		1.6		2.5	
Yes	117 (3.3)	6.5		3.6		5.1	
Prenatal care	3513		0.89		0.58		0.90
No	43 (1.2)	4.0		0.0		2.3	
Yes	3470 (98.8)	3.5		1.7		2.6	
Number of prenatal consultations	3327		0.09		0.06		0.02
≥6	2776 (83.4)	3.2		1.4		2.3	
< 6	551 (16.6)	5.2		3.0		4.2	
Maternal mood symptoms during pregnancy	3511		0.20		0.06		0.01
No	2647 (75.4)	3.1		1.3		2.2	
Yes, not treated	746 (21.3)	4.9		3.1		4.0	
Yes, treated	118 (3.4)	4.2		2.1		3.4	
Pre-pregnancy BMI	2080		0.95*		0.07*		0.31*
Underweight	69 (3.3)	0.0		0.0		0.0	
Normal weight	1187 (57.1)	4.4		1.4		3.0	
Overweight	567 (27.3)	3.3		1.9		2.7	
Obese	257 (12.36)	4.3		3.6		3.9	
Gestational age	3490		0.92		0.56		0.88
≥ 37 weeks	3124 (89.5)	3.4		1.6		2.6	
< 37 weeks	366 (10.5)	3.5		2.1		2.7	

*Linear trend test

Continuation

Variables	N (%)	Boys ADHD %	P	Girls ADHD %	p	Total ADHD %	P
Type of delivery	3513		0.50		0.23		0.26
Normal	1577 (44.9)	3.2		1.2		2.3	
Cesarean	1936 (55.1)	3.8		2.0		2.9	
Low birth weight	3512		0.78		0.33		0.64
No	3237 (92.2)	3.5		1.8		2.7	
Yes	275 (7.8)	3.9		0.7		2.2	
Heavy caffeine consumption during the first trimester of pregnancy	3507		0.62		0.52		0.45
No	2829 (80.7)	3.6		1.7		2.7	
Yes	678 (19.3)	3.1		1.3		2.2	
Heavy caffeine consumption during the second trimester of pregnancy	3505		0.40		2.95		0.20
No	2869 (81.9)	3.7		1.82		2.8	
Yes	636 (18.1)	2.4		0.98		1.9	
Heavy caffeine consumption during the third trimester of pregnancy	3506		0.37		0.76		0.36
No	2926 (83.5)	3.7		1.7		2.7	
Yes	580 (16.5)	2.6		1.5		2.1	
Heavy caffeine consumption during the entire pregnancy	3503		0.32		0.48		0.22
No	2961 (84.5)	3.7		1.8		2.8	
Yes	542 (15.5)	2.5		1.2		1.9	

*Linear trend test

Table 2. Association between heavy caffeine consumption (≥ 300 mg/day) during the entire pregnancy and per trimester and presence of attention deficit hyperactivity disorder (ADHD) at the age of six years.

	Total				Boys				Girls			
	Crude analysis (N= 3507)		Adjusted analysis* (N= 3282)		Crude analysis (N= 1827)		Adjusted analysis* (N= 1707)		Crude analysis (N= 1680)		Adjusted analysis* (N= 1575)	
	OR (95% CI)	P	OR (95% CI)	P	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	P	OR (95% CI)	p
Caffeine consumption												
1 st trimester												
No	1	0.46	1	0.18	1	0.62	1	0.49	1	0.52	1	0.35
Yes	0.80 (0.46-1.41)		0.74 (0.42-1.30)		0.84 (0.44-1.64)		0.79 (0.41-1.54)		0.70(0.24-2.05)		0.59(0.20-1,76)	
2 nd trimester												
No	1	0.20	1		1	0.41	1	0.32	1	0.30	1	0.20
Yes	0.67 (0.36-1.24)		0.61 (0.33-1.14)	0.12	0.74 (0.36-1.51)		0.69 (0.34-1.43)		0.53 (0.16-1.77)		0.45 (0.13-1.52)	
3 rd trimester												
No	1	0.36	1	0.19	1	0.37	1	0.30	1	0.76	1	0.52
Yes	0.75 (0.41-1.39)		0.69 (0.37-1.27)		0.71 (0.33-1.50)		0.67 (0.31-1,43)		0.76 (0.29-2.45)		0.69 (0.24-2.07)	
Entire pregnancy												
No	1	0.22	1	0.13	1	0.32	1	0.25	1	0.48	1	0.31
Yes	0.66 (0.34-1.28)		0.59 (0.30-1.16)		0.67 (0.30-1.48)		0.63 (0.28-1,39)		0.65 (0.19-2.16)		0.53 (0.15-1,79)	

OR, odds ratio; IC, confidence interval

* Adjusted for IEN and maternal marital status, smoking and mood symptoms during pregnancy

Table 3. Association between caffeine consumption during the entire pregnancy and per trimester and the presence of attention deficit hyperactivity disorder (ADHD) at the age of six years.

	Total				Boys				Girls			
	Crude analysis N= 3507		Adjusted analysis* N= 3282		Crude analysis N= 1827		Adjusted analysis* N= 1707		Crude analysis N= 1680		Adjusted analysis* N= 1575	
	OR (95% CI)	P	OR (95% CI)	p	OR (95% CI)	p	OR (95% CI)	P	OR (95% CI)	P	OR (95% CI)	P
Caffeine consumption												
1 st trimester		0,71		0,69		0,89		0,30		0,75		0,37
<100mg/day	1		1		1		1		1		1	
100-299 mg/day	0.91 (0.54-1.54)		0.90 (0.53-1.53)		0.99 (0.52-1.88)		0.99 (0.52-1.88)		0.84 (0.33-2.14)		0.80 (0.31-2.09)	
≥300 mg day	0.79 (0.45-1.41)		0.71 (0.40-1.28)		0.85 (0.43-1.68)		0.79 (0.40-1.58)		0.67 (0.23-2.00)		0.56 (0.18-1.70)	
2 nd trimester		0,30		0,09		0,67		0,76		0,39		0,18
<100mg/day	1		1		1		1		1		1	
100-299 mg/day	0.81 (0.47-1.39)		0.78 (0.46-1.37)		0.92 (0.48-1.76)		0.90 (0.47-1.75)		0.68 (0.25-1.82)		0.63 (0.23-1.73)	
≥300 mg day	0.64 (0.34-1.19)		0.58 (0.31-1.09)		0.72 (0.35-1.50)		0.67 (0.32-1.41)		0.49 (0.14-1.64)		0.39 (0.11-1.37)	
3 rd trimester		0,52		0,18		0,62		0,48		0,89		0,55
<100mg/day	1		1		1		1		1		1	
100-299 mg/day	0.83 (0.47-1.47)		0.80 (0.45-1.43)		0.89 (0.45-1.79)		0.87 (0.43-1.75)		0.83 (0.31-2.25)		0.78 (0.28-2.29)	
≥300 mg day	0.73 (0.39-1.35)		0.65 (0.35-1.23)		0.69 (0.33-1.49)		0.65 (0.30-1.41)		0.81 (0.27-2.40)		0.66 (0.21-2.00)	
Entire pregnancy		0,21		0,37		0,31		0,48		0,61		0,58
<100mg/day	1		1		1		1		1		1	
100-299 mg/day	1.13 (0.70-1.84)		1.11 (0.68-1.82)		1.26 (0.71-2.26)		1.24 (0.69-2.23)		0.98 (0.41-2.36)		0.93 (0.38-2.29)	
≥300 mg/day	0.03 (0.31-1.20)		0.54 (0.27-1.08)		0.65 (0.29-1.46)		0.60 (0.26-1.37)		0.56 (0.16-1.92)		0.45 (0.13-1.57)	

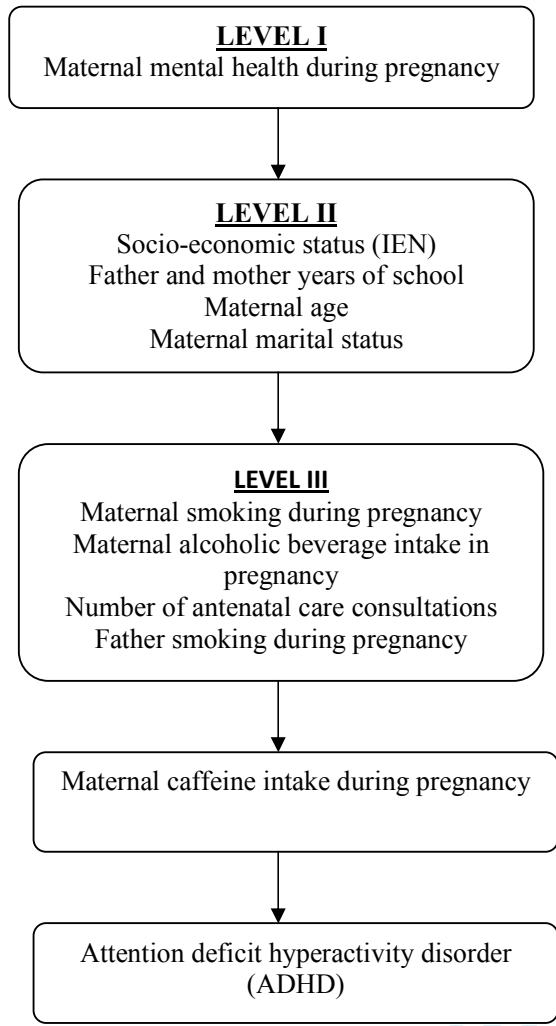
OR, odds ratio; IC, confidence interval

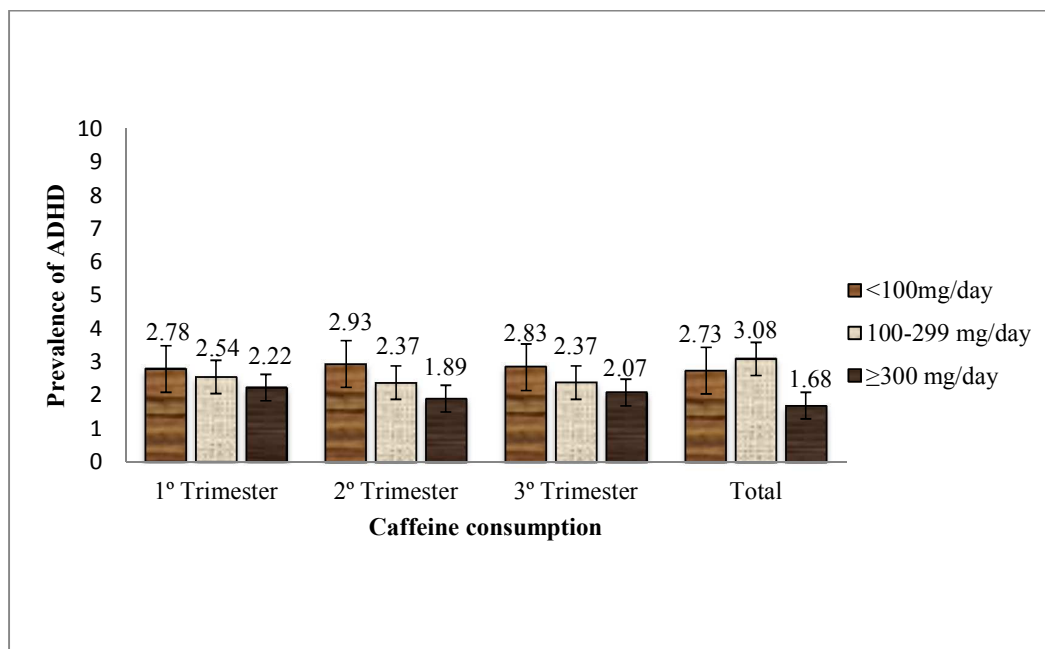
* Adjusted for IEN and maternal marital status, smoking and mood symptoms during pregnancy

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5 Figure 1. Conceptual framework for the association between maternal caffeine
6 consumption during pregnancy and offspring ADHD at the age of six years.
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11 Figure 2. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of six
12 years, according to maternal caffeine consumption (mg/day) in each trimester and
13 during the entire pregnancy.
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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Page in article
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6, 7 and 8
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9
		(b) Describe any methods used to examine subgroups and interactions	9
		(c) Explain how missing data were addressed	
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	

Continued on next page

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Results			Page in article
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9
		(b) Give reasons for non-participation at each stage	9
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	9
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	11
		(b) Report category boundaries when continuous variables were categorized	11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	11
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11 and 12
Generalisability	21	Discuss the generalisability (external validity) of the study results	13
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	15

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Caffeine consumption during pregnancy and ADHD at the age of eleven years: A birth cohort study

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Complete List of Authors:	Del-Ponte, Bianca; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Santos, Ina; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Tovo-Rodrigues, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Anselmi, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Munhoz, Tiago; Universidade Federal de Pelotas, Postgraduate Programme in Epidemiology Matijasevich, Alicia; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology; University of São Paulo, Department of Preventive Medicine
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Manuscripts

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3 **Caffeine consumption during pregnancy and ADHD at the age of eleven years:**

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5 **A birth cohort study**

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9 Bianca Del-Ponte^a PhD, Iná S. Santos^a PhD, Luciana Tovo-Rodrigues^a PhD,

10
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12 Luciana Anselmi^a PhD, Tiago N. Munhoz^a MSc, Alicia Matijasevich^{a,b} PhD

13
14
15
16 **Affiliations:** ^a Postgraduate Program in Epidemiology, Federal University of Pelotas,
17 Pelotas, Brazil; and ^b Department of Preventive Medicine, School of Medicine,
18 University of São Paulo, São Paulo, Brazil.

19
20
21
22 **Address correspondence to:** Del-Ponte B., Postgraduate Program in Epidemiology,
23 Federal University of Pelotas, Pelotas, Brazil. Rua Marechal Deodoro, 1160, 3° piso;
24 Pelotas, RS, Brazil. E-mail address: bianca.delponte@gmail.com

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30 **Keywords:** Caffeine, pregnancy, ADHD and hyperactivity.

ABSTRACT

Objective: Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as the child's neurobehavior, are still scarce and their results are inconsistent. The objective of the present study was to evaluate the association between maternal consumption of caffeine during pregnancy and attention deficit hyperactivity disorder (ADHD) at the age of eleven years.

Methodology: All children born in the city of Pelotas, Brazil, during the year 2004, were selected for a cohort study. The mothers were interviewed at birth to obtain information on coffee and yerba mate consumption during pregnancy, among other matters. At the age of eleven years, presence of ADHD was evaluated using the Development and Well-Being Assessment (DAWBA) questionnaire, applied to the mothers. The prevalence of ADHD were calculated, with 95% confidence intervals (95%CI). The association between caffeine consumption and ADHD was tested by means of logistic regression.

Results: 3485 children were included in the analyses. The prevalence of ADHD was 4,1% (95% CI: 3,4-4,7%); 5,8% (95% CI: 4,7-6,9) among boys and 2,3% (95% CI: 1,5-3,0%) among girls. The prevalence of caffeine consumption during the entire pregnancy and in the first, second and third trimesters was 7,7% (6,9-8,5%), 11,3% (10,3-12,2%), 13,5% (12,5-14,6%) and 17,0% (15,8-18,1%), respectively. The caffeine consumption during the entire pregnancy and the first, second and third trimesters not associated with ADHD in the crude or adjusted analysis.

Conclusion: The present study did not show any association between maternal caffeine consumption during pregnancy and ADHD at the age of eleven years.

ARTICLE SUMMARY

Strengths

This was a longitudinal study ensuring that the temporal relationship between exposure and outcome can be ascertained. Detailed information on caffeine consumption from coffee and yerba mate during the three trimesters of pregnancy was available. The outcome was evaluated by means of a validated instrument. Information on a number of potential confounding factors was gathered and their role over the association between maternal caffeine intake and ADHD was formally tested. There were a low percentage of losses and refusals during the follow-up of the study.

Limitations

The outcome was ascertained by means of a test applied only to the mother. In addition, the reported amount of coffee and yerba mate consumed during pregnancy may be subject to recall bias. Also, although caffeine consumption during pregnancy was assessed from the two main sources (coffee and mate) there are other caffeine sources (foods like chocolate, chocolate drink and cola-drinks as well as medicines) that were not measured.

FINDINGS TO DATE

- The prevalence caffeine consumption during the entire pregnancy was high (7,7%; 6,9-8,5%).
- The prevalence of ADHD was 4,1% (3,4-4,7%); higher among boys (5,8%; 4,7-6,9%) than among girls (2,3%; 1,5-3,0%).
- The present cohort study, involving around 3500 children did not show any association between maternal caffeine consumption during pregnancy and ADHD.

INTRODUÇÃO

Attention deficit hyperactivity disorder (ADHD) is a neurobiological disorder that affects around 6% of school-age children around the world.(1) It is the most prevalent mental disorder during childhood and the main reason why mental health services are sought for children and adolescents.(2) It is characterized by persistent symptoms of inattention, impulsivity and hyperactivity, which become present before the age of 12 years and are abnormal for the developmental stage.(3)

ADHD is a multi factorial disease with complex etiology and a large genetic component (heritability estimated as 76%).(4) Epidemiological studies have shown higher prevalence among boys and among children belonging to families with worse socioeconomic conditions.(5-13) Maternal caffeine consumption (14) as well as other nutritional factors during pregnancy, such as intake of folic acid,(15) iron(16) and omega-3(17) have been investigated as determinants of ADHD. In animals, intrauterine exposure to caffeine was associated with increased motor activity, thus suggesting a possible effect on attention deficit and hyperactivity on children born to mothers with high consumption of caffeine-rich foods and beverages during pregnancy.(18, 19) Moreover, exposure of rats to caffeine, during the prenatal period, resulted in gene expression alterations relating to formation of synapses, thereby showing some of the potential molecular effects of caffeine during fetal cerebral development.(20)

Caffeine is commonly consumed throughout the world, including by pregnant women, who present daily consumption prevalence ranging from 75% to 93%.(21) Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as child neuro-behavior, are still scarce and their results are inconsistent. Among five articles identified in a systematic review of the literature (22) only one found that the higher maternal caffeine intake during pregnancy would increase the risk of ADHD.(14)

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3 The objective of the present study was to evaluate the association between maternal
4 caffeine consumption during pregnancy and ADHD at the age of eleven years, among
5 children belonging to a birth cohort. The hypothesis of the study was that maternal
6 caffeine consumption during pregnancy was associated with ADHD at the age of eleven
7 years.
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13 14 15 16 **METODOLOGIA** 17

18 In 2004, a birth cohort study was begun in the city of Pelotas, Brazil. The
19 original cohort population consisted of the 4231 newborns at the five hospitals in the
20 city, who were the children of mothers living in the urban zone of Pelotas,
21 corresponding to 99.2% of the births in that year. After delivery (perinatal study)
22 mothers were interviewed by trained interviewers, using standardized questionnaires,
23 regarding their socioeconomic, demographic and reproductive characteristics, use of
24 health services, prenatal attention and pregnancy complications. Further methodological
25 details of the study can be found in other publications.(23-25)
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36 So far, the cohort participants were followed-up at the ages of 3, 12, 24 and 48
37 months, and at 6 and 11 years. The mothers were interviewed regarding their children's
38 growth, development, type of food, and morbidity, and also answered questions about
39 their own health.(24) Differently from the visits at 3, 12, 24, and 48 months that took
40 place at the child's place, at the age of 6 and 11 years data-gathering was undertaken at
41 a clinic that had been set up especially to attend to this research. Besides interviews, the
42 children underwent a comprehensive health evaluation, which included psychological,
43 psychiatric, anthropometric and body composition evaluations.(25)
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54 The presence of ADHD was evaluated by means of the Development and Well-
55 Being Assessment (DAWBA), an instrument employed for psychiatric diagnosis among
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3 children and teenagers aged from 5 to 17 years, and that uses diagnostic classifications
4 from the Diagnostic and Statistical Manual of Mental Disorders 5th edition (DSM-V)
5
6 and the International Classification of Diseases (ICD-10).(26) DAWBA was reported by
7
8 mothers during the eleven -year follow-up, by trained interviewers (psychologists). The
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10 DAWBA combines highly structured questions based on DSM-V diagnostic criteria and
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12 ICD-10 with qualitative descriptions of all areas of difficulty. The generating program is
13
14 a computer algorithm which provides a probability of a child to have any psychiatric
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16 disorder based on answers to structured questions. In the presence of positive symptoms
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18 in any area, additional questions (qualitative assessment) are made to assess the impact
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20 (loss) of these problems in the child's life. These questions concern specific areas
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22 covering distress and interference with family life, learning, friendship and leisure
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24 activities resulting in symptoms. Subsequently, a clinical evaluator, based on the
25
26 collected information, combines the quantitative results with the qualitative data and
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28 makes a judgment in regard to the presence or absence of the disorder. The clinic trial in
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30 this case was made by a child psychiatrist (*rater*), supervised by another child
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32 psychiatrist, who translated and validated the DAWBA for the Brazilian population. To
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34 make the different psychiatric diagnosis from DAWBA evaluations, the *rater* needs to
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36 judge whether symptoms are present or not and the loss (impact) that they cause.
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38 DAWBA diagnoses are supplied dichotomously as “yes” or “no”, strictly respecting the
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40 diagnostic criteria defined by IDC-10 and DSM-V diagnostic classifications. For this
41
42 study the DSM-V classification was employed. The DAWBA allows the identification
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44 of children currently under treatment for ADHD, such children were classified as
45
46 positive for ADHD. The DAWBA questionnaire was translated and validated in Brazil
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48 by Bacy Fleitlich-Bilyk.(26)
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3 The exposure of interest, daily caffeine consumption during pregnancy was
4 evaluated at the perinatal study by means of a series of questions regarding consumption
5 of the foods that are the main sources of caffeine at this region of the country: coffee
6 and yerba mate (a typical hot beverage consumed in southern Brazil and neighboring
7 countries, which is prepared from the leaves of the herb *Ilex paraguariensis*). For each
8 source of caffeine, the daily frequency of consumption was obtained, separately for each
9 trimester of pregnancy. Information regarding the type of coffee (filtered or instant),
10 preparation, concentration (strong, medium or weak) and quantity consumed per day
11 was gathered, taking into consideration the size of the recipient (180 ml cup; 50 ml
12 small cup; 200 ml glass and 190 ml mug). The estimated caffeine content from coffee
13 and yerba mate was obtained from coffee samples collected from the homes of mothers
14 who participated in a previous study conducted in the city of Pelotas,(27) and that were
15 analyzed by chromatography. From these analyses, it was possible to infer the average
16 caffeine content in mg per ml of coffee, according to the concentration at which it was
17 consumed: strong coffee, 0.25 mg/ml; medium coffee, 0.20 mg/ml; and weak coffee,
18 0.11 mg/ml. For yerba mate, the analyses showed an average concentration of 17 mg of
19 caffeine per 100 ml of the liquid. These results were used to estimate the caffeine intake
20 of the entire sample. For instant coffee, the items investigated were the size of the spoon
21 used to serve coffee (full coffee spoon, 2.6 g; level coffee spoon, 2.3 g; full small coffee
22 spoon, 2.5 g; level small coffee spoon, 1.5 g; full dessert spoon, 7.5 g; and level dessert
23 spoon, 7.0 g) and the number of spoons per portion. The spoon sizes were obtained
24 from home measurements. Photographs of spoons were used during interviews to avoid
25 classification errors. For instant coffee, the information used came from the
26 manufacturer: an average of 3 mg of caffeine per gram of powdered coffee. For each
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3 mother, the average daily caffeine intake was calculated per trimester and during the
4
5 entire pregnancy.
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8 Potential confounding factors in the association between maternal caffeine
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10 consumption during pregnancy and ADHD were gathered at the perinatal study and
11
12 considered in the adjusted analysis: National Economic Index (acronym IEN in
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14 Portuguese) presented in quintiles (in which mothers at Q1 were the poorest and at Q5
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16 were the wealthiest); mother's and father's education levels, evaluated as years of study;
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18 maternal age, evaluated as complete years at the delivery; mother living with or without
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20 partner; number of cigarettes/day smoked by the mother during pregnancy; number of
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22 cigarettes/day smoked by the father in the mother's presence during pregnancy; alcohol
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24 consumption by the mother during pregnancy (yes or no); number of antenatal care
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26 consultations; mood symptoms during pregnancy (through the question "During
27
28 pregnancy, did you feel depressed or nervous?"); maternal nutritional state before
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30 pregnancy, evaluated according to the body mass index (BMI) and categorized as
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32 underweight ($<18.5\text{kg/m}^2$), normal weight ($18.5\text{-}24.9\text{kg/m}^2$), overweight (25-
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34 29.9kg/m^2) or obese ($\geq 30\text{ kg/m}^2$); the child gestational age at birth; birth weight; and
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36 sex of the child.
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41 The twins were not included in the analyses (N=84). The prevalence of ADHD
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43 and respective 95% confidence interval (95% CI) was calculated for the entire cohort
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45 and separately by sex (based on the current literature that consistently reports higher
46
47 prevalence rates among boys).(5-13) The association between maternal caffeine
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49 consumption grouped in three categories <100 , $100\text{-}299$ and ≥ 300 mg/day and ADHD
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51 was evaluated by means of the chi-square test. The strength of the association between
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53 caffeine consumption grouped in three categories and ADHD was ascertained for the
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55 entire cohort and after stratification by sex, by means of logistic regression (crude and
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3 adjusted for confounding factors). In addition, analyses were performed with daily
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5 caffeine intake as a continuous variable.
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8 A conceptual framework previously built by the authors describing the
9
10 postulated hierarchical relationships between exposures (Figure 1) was used to drive the
11
12 inclusion of potential confounders to the analytical model. Maternal mental health
13
14 during pregnancy was the first variable included in the model, followed by father years
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16 of school and maternal socio-demographic characteristics (IEN, years of school, age and
17
18 marital status). Subsequently the behavioral variables were added (maternal smoking
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20 and alcoholic beverage intake during pregnancy, paternal smoking during pregnancy,
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22 and number of antenatal care consultations). Only variables associated with the outcome
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24 at p-values ≤ 0.20 were kept at the final model.
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28 Loss to follow-up rates according to some of the child parents characteristics
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30 were not homogeneously distributed, the effect of missing outcome data was analysed
31
32 as a sensitivity analysis, estimated by multiple imputation (mi Stata command) by the
33
34 Bayesian paradigm from the frequentist (randomization-based) perspective. Least
35
36 squares regression and 20 multiple datasets for the missing values were used.
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39 The Pelotas 2004 Birth Cohort Study was approved by the Research Ethics
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41 Committee of the Medical School of the Federal University of Pelotas that is affiliate to
42
43 the Brazilian National Commission for Research Ethics. Mothers signed an informed
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45 consent form at each follow-up, after being informed of the study objectives.
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48 49 **RESULTADOS**

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51 The present study used data from the perinatal study that included 4231
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53 newborns and the follow-up at the age of eleven years (mean age of 10.9; standard
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55 deviation 0.3 years) that included 3566 children (follow-up rate of 86.6%). A total of
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3 3485 mothers and children (82.4% of the original cohort) had full information on
4 caffeine intake and mental health and were entered at the current analyses.
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7 Table 1 shows the loss to follow-up rate at 11 years according to maternal
8 caffeine intake in the entire pregnancy, IEN, paternal education level, mother living
9 with or without a partner, and maternal mood symptoms during pregnancy. There were
10 no differences in losses to follow-up by level of caffeine intake throughout pregnancy.
11 Losses were higher for children from families in the extremes of IEN (18.9% among the
12 poorest and 15.8% among the richest), with highly educated fathers (20.8%). Greater
13 proportion of losses was also seen among children of mothers that lived with a partner
14 and presented mood symptoms during pregnancy (Table 1).
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25 The prevalence of ADHD was 4,1% (3,4-4,7%): 5,8% (4,7-6,9) among boys and
26 2,3% (1,5-3,0%) among girls. Table 2 shows the sample distribution and the prevalence
27 of ADHD according to family and child variables. The ADHD was inversely associated
28 with IEN: the higher the economic level of the family, the lower the prevalence of
29 ADHD; maternal education level in years; paternal education level in years; number of
30 prenatal consultations. The ADHD was directly associated with number of cigarettes
31 smoked per day by the mother during pregnancy. The prevalence of ADHD was higher
32 among children whose mothers lived without a partner, consumed alcohol during
33 pregnancy and in boys.
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45 Table 3 shows the prevalence and intensity of caffeine intake during pregnancy
46 and the prevalence of ADHD among children of mothers who consumed between 100-
47 299 mg/day or 300 or more mg/day of caffeine, compared to those from mothers who
48 consumed less than 100 mg/day, taken as the reference group. The prevalence of
49 caffeine consumption during the entire pregnancy and in the first, second and third
50 trimesters was 7,7% (6,9-8,5%), 11,3% (10,3-12,2%), 13,5% (12,5-14,6%) and 17,0%
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3 (15,8-18,1%), respectively. Most of the mothers consumed <100 mg/day of caffeine in
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5 the entire and in each trimester of pregnancy, whereas nearly one in every five mothers
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7 consumed ≥ 300 mg/day in every trimester and throughout pregnancy. Caffeine
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9 consumers were more likely to present economic and behavioral exposures than the
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11 remaining mothers, smoked and consumed alcoholic beverages in pregnancy, attended a
12
13 few number of antenatal care consultations, and presented mood symptoms during
14
15 pregnancy (data not shown). There was no difference in ADHD prevalence according to
16
17 the mean amount of maternal daily caffeine consumption (Table 2).
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21 The results of crude and adjusted analyses of the association between caffeine
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23 intake in three categories (<100, 100-299 and ≥ 300 mg/day) per trimester and during
24
25 the entire pregnancy and ADHD are presented in Table 4. There was no association
26
27 between caffeine consumption and ADHD, both in the crude and in the adjusted
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29 analysis, during the three pregnancy trimesters and at the entire pregnancy. All the 95%
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31 CI of the estimated odds ratios included the unit, thus showing that there was no
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33 association. The same result was shown in the analysis stratified by sex. Analyses with
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35 caffeine as a continuous variable also found no association (data not shown).
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38 The multiple imputation data for the primary outcome produced imputed
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40 estimates that were similar to the available data. This similarity showed that all analyses
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42 were not affected by missing data or differential rates of follow-up.
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46 47 **DISCUSSÃO**

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49 The present study found a prevalence of TDAH of 4,1% (95% CI: 3,4-4,7%):
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51 5,8% (95% CI: 4,7-6,9) among boys and 2,3% (95% CI: 1,5-3,0%) among girls. This
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53 finding is consistent with results from other studies that employed DAWBA as the
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55 evaluation tool and the DSM-IV as the diagnostic criterion in Brazil.(5, 28, 29) The
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3 prevalence of ADHD in school children in Brazil ranges from 0.9% (30) to 26.8% (31).
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5 The former was a population-based study carried out with 5-10 year-old children using
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7 clinical criteria (DSM-IV) obtained from two environments (home and school) and
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9 taking into account the impact of the symptoms at the child familiar and social
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11 relationships.(30) The later investigated a sample of 6-15 year-old school children
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13 employing clinical assessment (DSM-IV), but considering only the report by the
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15 teachers and without considering the impact of the symptoms. The variability between
16
17 the estimates may be due to different factors, from type of sample, evaluation tools,
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19 diagnostic criteria, and mainly the source of information (parents, children, adolescents
20
21 or teachers).(32) Prevalence of ADHD is generally higher in school samples than in
22
23 population-based samples.(31).
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27 This study did not show any association between caffeine consumption during
28
29 pregnancy and ADHD. Contrary to the hypothesis of this study, the crude and adjusted
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31 analyses indicated that caffeine had no effect over the occurrence of ADHD. A recent
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33 review of the literature showed that there is a scarcity of studies evaluating the effect of
34
35 caffeine consumption during pregnancy over the occurrence of ADHD, and concluded
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37 that the available evidence does not make it possible to confirm or deny the risk that this
38
39 exposure might present with regard to development of this morbidity during
40
41 childhood.(22) The five studies investigating the effect of maternal caffeine
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43 consumption over the occurrence of ADHD(22) differed in relation to the tools used to
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45 measure the outcome: The only one that evaluated the presence of ADHD by means of a
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47 diagnostic instrument did not find any association.(33) The remaining articles used
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49 screening tests: Conners' Continuous Performance Test II (CPT-II),(34) the Child
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51 Behavior Checklist (CBCL)(8, 14) and SDQ(35) and only one found an association(14)
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53 indicating that caffeine consumption during pregnancy would increase the risk of
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3 ADHD. The difference between the instrument used for assessing the presence of
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5 ADHD generates issues that go beyond the lack of comparability. Screening
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7 instruments are more sensitive and less specific, and have a high capacity to recognize
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9 true positives, but they fail to discard false positives, thereby wrongly identifying
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11 healthy individuals as ill. For instance, in an analysis of data from another cohort
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13 conducted in Pelotas (the Pelotas 1993 Birth Cohort Study), to estimate the prevalence
14
15 of psychiatric diseases among children aged 11 years, Anselmi et al(29) compared the
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17 results from DAWBA with those from the Strengths and Difficulties Questionnaire
18
19 (SDQ), which is a screening instrument. They found that as a screening instrument for
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21 ADHD, SDQ presented weak performance, with a positive predictive value (PPV) of
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23 48.2% and a negative predictive value (NPV) of 90.2%. Similar results have been found
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25 in other Brazilian studies.(26, 30)
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30 There is a high inter-individual variability in the physiological response to
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32 caffeine consumption that may in part be due to genetic characteristics. The genes
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34 involved in caffeine metabolism, such as cytochrome P450 1A2 (CYP1A2), and in
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36 caffeine responses in the central nervous system, such as the adenosine 2A receptor
37
38 (ADORA2A), have been the main targets of genetic studies in this area.(36-41)
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40 Polymorphisms in genes in these pathways have been correlated with the habit of
41
42 consuming coffee and have been shown to be important to modulate the response to
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44 caffeine consumption among adults, such as symptoms of anxiety, cognitive
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46 performance and insomnia.(36-41) On the other hand, little is known about the
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48 molecular response mechanisms to caffeine in the central nervous system while it is still
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50 developing; or about the way in which gene polymorphisms along these pathways might
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52 module the response to caffeine. Future studies adding genetic factors to caffeine
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54 consumption during pregnancy could contribute towards better understanding the
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3 potential role that caffeine may play in the development of ADHD and other psychiatric
4 disorders.
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7 The present study presents some strengths and limitations. Among the strengths
8 is the fact that this was a longitudinal study with data from a birth cohort of about 4,000
9 children, which facilitates the generalization of data. The longitudinal analysis is
10 characterized by following up individuals over a period of time, which ensures that the
11 temporal relationship between exposures and outcomes can be ascertained. Hence,
12 among all the observational study designs this is the ideal for investigating the topic in
13 question. Furthermore, detailed information on caffeine consumption from coffee and
14 yerba mate during the three trimesters of pregnancy was available. The outcome was
15 evaluated by means of an instrument that had been adapted and validated for the
16 Brazilian population, which made it possible to confirm the diagnoses of ADHD.(26)
17 Moreover, there was the possibility of controlling the analysis for a number of potential
18 confounding factors. Also noteworthy is the low percentage of losses and refusals
19 during the follow-up of the study (13.4% from birth to eleven years of age). Post-hoc
20 analyses indicated that the study had a power of 82% to detect as statistically significant
21 odds ratios ≥ 1.5 , setting alfa at 0.05 two-tailed.
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40 Some limitations of the study need to be taken in consideration. The lack of
41 information on the presence of ADHD in the mothers is among the limitations. Perhaps
42 mothers with some degree of ADHD may not consider excessive activity in her child as
43 unusual. In addition, the amount of coffee and yerba mate consumed during pregnancy
44 may have been subject to recall bias. Also, although caffeine consumption during
45 pregnancy was assessed from the two main sources (coffee and mate) there are other
46 caffeine sources (foods like chocolate, chocolate drink and cola-drinks as well as
47 medicines) that were not measured. However, daily consumption from other sources is
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3 low at this population representing less than 10% of all caffeine consumed by pregnant
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5 women.(27)
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9 10 **CONCLUSION**

11 There is no evidence from the present study to support any deleterious effect of
12 caffeine consumption during pregnancy over the occurrence of ADHD in the offspring.
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15 16 17 **CONTRIBUTORS STATEMENT**

18 Ms Silva BDP and Dr Santos IS participated in the design of the manuscript, carried out
19 the initial analyses, drafted the initial manuscript, and approved the final manuscript as
20 submitted.
21
22

23 Drs Matijasevich A and Santos IS designed the data collection instruments, and
24 coordinated and supervised data collection, critically reviewed the manuscript, and
25 approved the final manuscript as submitted.
26
27

28 Drs Tovo-Rodrigues L and Anselmi L Munhoz TN participated in the drafting, critically
29 reviewed the manuscript, and approved the final manuscript as submitted.
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34 35 36 37 **FUNDING SURCE**

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39 conducted by Postgraduate Program in Epidemiology at Universidade Federal de
40 Pelotas, with the collaboration of the Brazilian Public Health Association (ABRASCO).
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4
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10 CONFLICT OF INTEREST

11
12 The authors have no potential conflicts of interest to disclose.
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14

15 DATA SHARING STATEMENT

16
17 Extra data is available by emailing bianca.delponte@gmail.com
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19

20 REFERÊNCIAS

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Table 1. Socio-demographic characteristics of mothers and children enrolled in the 2004 Pelotas Birth Cohort, and loss to follow-up rate at 11 year. Pelotas 2004 Birth Cohort Study.

Variables	Perinatal study	Loss to follow-up	p*
	N (%)	rate	
Caffeine intake in the entire pregnancy			0.338
<100 mg/day	1534	17.1	
100-299 mg/day	902	14.4	
≥300 mg day	698	16.6	
IEN			<0.001
Q1	641	18.9	
Q 2	659	13.4	
Q 3	623	10.0	
Q 4	640	8.8	
Q 5	639	15.8	
Paternal education level			0.021
1-4	568	17.1	
5-8	1133	16.2	
9-11	1159	14.1	
12 or more	375	20.8	
Maternal conjugal situation			0.001
With partner	3468	20.5	
Without partner	679	15.3	
Maternal mood symptoms in pregnancy			<0.001
No	3107	14.9	
Yes, treated	898	20.5	
Yes, not treated	140	15.7	

Table 2. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years, according to characteristics of the family and the child.

Variables	11-year follow-up		
	N (%)	ADHD %	P
IEN	2780		0.003**
Q1	521 (18.7)	5.0	
Q2	574 (20.7)	5.6	
Q3	562 (20.2)	3.0	
Q4	584 (21.0)	4.1	
Q5	539 (19.4)	1.9	
Maternal education level in years	3452		0.003**
0-4	512 (14.8)	6.8	
5-8	1429 (41.4)	4.1	
9-11	1175 (34.1)	3.2	
12 or over	336 (9.7)	3.3	
Paternal education level in years	2717		0.004**
0-4	471 (17.3)	5.5	
5-8	952 (35.0)	4.5	
9-11	996 (36.7)	3.2	
12 or over	298 (11.0)	2.0	
Maternal age	3483		0.291**
<20	660 (18.9)	4.9	
20-35	2441 (70.1)	3.9	
>35	382 (11.0)	3.7	
Maternal marital status			0.001*
With partner	2943 (84.5)	3.6	
Without partner	542 (15.6)	7.0	
N° cigarette smoked /day by the mother	3485		0.006**
0	2618 (75.1)	3.7	
1-9	520 (14.9)	4.4	
10 or more	347 (10.0)	6.9	
Maternal passive smoke (n° cigarette/day smoked by the father)	2917		0.381**
0	2458 (84.3)	3.7	
1-9	262 (9.0)	3.1	
10 or more	197 (6.7)	5.6	
Alcohol consumption by the mother during pregnancy	3485		0.025*
No	3372 (96.8)	3.9	
Yes	113 (3.2)	8.8	
Number of antenatal care consultations	3340		0.006**
<3	120 (3.6)	5.8	
3-5	452 (13.5)	6.4	
≥ 6	2768 (82.9)	3.5	
Maternal mood symptoms during pregnancy	3483		0.090*
No	2647 (76.0)	3.7	
Yes, not treated	718 (20.6)	5.4	
Yes, treated	118 (3.4)	5.1	
Maternal pre-pregnancy BMI	2054		0.315**
Underweight	68 (3.3)	5.9	
Normal weight	1165 (56.7)	3.6	
Overweight	566 (27.6)	4.2	
Obese	255 (12.4)	5.5	

BMI: body mass index

* Fischer Exact Test

** Test for linear trend

Cont. Table 2. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years, according to characteristics of the family and the child.

Variables	Follow-up 11 years		
	N (%)	ADHD %	P
IG (weeks)	3464		0.264**
< 33	39 (1.1)	7.7	
34-36	325 (9.4)	4.6	
≥ 37	3100 (89.5)	4.0	
Birth weight (g)	3484		0.956**
<2500	283 (8.1)	4.2	
2500-2999	883 (25.4)	4.2	
3000-3499	1395 (40.0)	3.9	
≥ 3500	923 (26.5)	4.3	
Sex	3485		<0.001*
Male	1803 (51.7)	5.8	
Female	1644 (48.2)	2.3	

* Fischer Exact Test

** Test for linear trend

Table 3. Prevalence of ADHD at eleven years of age according to maternal caffeine intake in each trimester and at the entire pregnancy stratified by sex.

Caffeine consumption	Total			Boys			Girls		
	N (%)	ADHD	P*	N (%)	ADHD	P*	N (%)	ADHD	P*
1 st trimester	3485		0.249	1803		0.267	1682		0.563
<100 mg/day	2072 (59.5)	3.8		1097 (60.8)	5.4		975 (58.0)	2.1	
100-299 mg/day	746 (21.4)	4.3		360 (20.0)	6.1		386 (23.0)	3.0	
≥300 mg day	667 (19.1)	4.8		346 (19.2)	6.9		321 (19.0)	2.5	
2 nd trimester	3483		0.393	1803		0.500	1680		0.463
<100 mg/day	2151 (61.8)	3.9		1141 (63.3)	5.6		1010 (60.1)	2.0	
100-299 mg/day	710 (20.4)	4.2		345 (19.1)	5.8		365 (21.7)	2.7	
≥300 mg day	622 (17.8)	4.7		317 (18.6)	6.6		305 (18.2)	2.6	
3 rd trimester	3484		0.151	1803		0.368	1681		0.141
<100 mg/day	2289 (65.7)	3.9		1216 (67.4)	5.7		1073 (63.8)	1.9	
100-299 mg/day	628 (18.0)	3.8		295 (16.4)	5.1		333 (19.8)	2.7	
≥300 mg day	567 (16.3)	5.3		292 (16.2)	7.2		275 (16.4)	3.3	
Entire pregnancy	3481		0.40	1803		0.475	1678		0.350
<100 mg/day	2124 (61.0)	3.8		1131 (62.7)	5.5		993 (59.2)	1.9	
100-299 mg/day	773 (22.2)	4.5		379 (21.0)	6.3		394 (23.5)	2.8	
≥300 mg day	584 (16.8)	4.6		293 (16.3)	6.5		291 (17.3)	2.8	

*Test for linear trend

Table 4. Association of maternal caffeine consumption in each trimester and during the entire pregnancy with the presence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years.

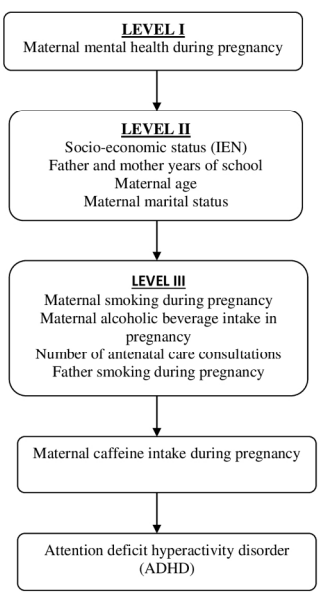
Caffeine consumption	Total		Boys		Girls	
	Crude analysis N= 3481 OR (95% CI)	Adjusted analysis* N= 2491 OR (95% CI)	Crude analysis N= 1803 OR (95% CI)	Adjusted analysis* N= 1274 OR (95% CI)	Crude analysis N= 1682 OR (95% CI)	Adjusted analysis* N= 1217 OR (95% CI)
1 st trimester (N=3485)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.13 (0.74-1.72)	1.04 (0.62-1.73)	1.15 (0.69-1.89)	1.06 (0.57-1.98)	1.27 (0.59-2.74)	1.13 (0.44-2.90)
≥300 mg day	1.27 (0.84-1.94)	0.93 (0.55-1.60)	1.31 (0.80-2.14)	1.06 (0.57-1.96)	1.22 (0.53-2.80)	0.68 (0.21-2.17)
2 nd trimester (N= 3483)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.9 (0.71-1.66)	1.03 (0.61-1.74)	1.04 (0.62-1.74)	1.03 (0.55-1.94)	1.39 (0.65-3.01)	1.24 (0.48-3.22)
≥300 mg day	1.20 (0.78-1.85)	0.95 (0.55-1.63)	1.19 (0.72-1.99)	1.09 (0.58-2.03)	1.33 (0.58-3.06)	0.75 (0.24-2.38)
3 rd trimester (N=3484)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	0.98 (0.62-1.56)	0.96 (0.55-1.68)	0.89 (0.50-1.58)	0.82 (0.40-1.68)	1.46 (0.66-3.24)	1.68(0.64-4.40)
≥300 mg day	1.38 (0.90-2.11)	1.05 (0.61-1.81)	1.28 (0.78-2.14)	1.07 (0.57-2.02)	1.78 (0.80-3.95)	1.22 (0.41-3.60)
Entire pregnancy (n=3481)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.19 (0.79-1.79)	1.12 (0.68-1.84)	1.16 (0.72-1.90)	1.05 (0.57-1.92)	1.47 (0.69-3.12)	1.46 (0.58-3.68)
≥300 mg day	1.22 (0.78-1.91)	0.90 (0.51-1.59)	1.20 (0.70-2.03)	1.01 (0.52-1.95)	1.45 (0.69-3.35)	0.82 (0.25-2.65)

*Analysis adjusted for maternal mental health during pregnancy, IEN, paternal education level and maternal conjugal situation.

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3 Figure 1. Conceptual framework for the association between maternal caffeine consumption during
4 pregnancy and offspring ADHD at the age of eleven years.
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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Page in article
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6, 7 and 8
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9
		(b) Describe any methods used to examine subgroups and interactions	9
		(c) Explain how missing data were addressed	
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	

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Results			Page in article
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9
		(b) Give reasons for non-participation at each stage	9
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	9
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	11
		(b) Report category boundaries when continuous variables were categorized	11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	11
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	13
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	11 and 12
Generalisability	21	Discuss the generalisability (external validity) of the study results	13
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	15

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Caffeine consumption during pregnancy and ADHD at the age of eleven years: A birth cohort study

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Complete List of Authors:	Del-Ponte, Bianca; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Santos, Ina; Federal University of Pelotas (UFPel), Postgraduate Programme Epidemiology Tovo-Rodrigues, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Anselmi, Luciana; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology Munhoz, Tiago; Universidade Federal de Pelotas, Postgraduate Programme in Epidemiology Matijasevich, Alicia; Federal University of Pelotas (UFPel), Postgraduate Programme in Epidemiology; University of São Paulo, Department of Preventive Medicine
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Manuscripts

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3 **Caffeine consumption during pregnancy and ADHD at the age of eleven years:**

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5 **A birth cohort study**

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9 Bianca Del-Ponte^a PhD, Iná S. Santos^a PhD, Luciana Tovo-Rodrigues^a PhD,

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12 Luciana Anselmi^a PhD, Tiago N. Munhoz^a MSc, Alicia Matijasevich^{a,b} PhD

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14
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16 **Affiliations:** ^a Postgraduate Program in Epidemiology, Federal University of Pelotas,
17 Pelotas, Brazil; and ^b Department of Preventive Medicine, School of Medicine,
18 University of São Paulo, São Paulo, Brazil.

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22 **Address correspondence to:** Del-Ponte B., Postgraduate Program in Epidemiology,
23 Federal University of Pelotas, Pelotas, Brazil. Rua Marechal Deodoro, 1160, 3º piso;
24 Pelotas, RS, Brazil. E-mail address: bianca.delponte@gmail.com

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28 **Keywords:** Caffeine, pregnancy, ADHD and hyperactivity.

ABSTRACT

Objective: Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as the child's neuro-behaviour, are still scarce and their results are inconsistent. The objective of the present study was to evaluate the association between maternal consumption of caffeine during pregnancy and attention deficit hyperactivity disorder (ADHD) at the age of eleven years.

Methodology: All children born in the city of Pelotas, Brazil, during the year 2004, were selected for a cohort study. The mothers were interviewed at birth to obtain information on coffee and yerba mate consumption during pregnancy, among other matters. At the age of eleven years, presence of ADHD was evaluated using the Development and Well-Being Assessment (DAWBA) questionnaire, applied to the mothers. The prevalence of ADHD was calculated, with 95% confidence intervals (95%CI). The association between caffeine consumption and ADHD was tested by means of logistic regression.

Results: 3485 children were included in the analyses. The prevalence of ADHD was 4,1% (95% CI: 3,4-4,7%); 5,8% (95% CI: 4,7-6,9) among boys and 2,3% (95% CI: 1,5-3,0%) among girls. The prevalence of caffeine consumption during the entire pregnancy and in the first, second and third trimesters was 88,7% (87,7-89,7%), 86,5% (85,4-87,5%), 83,0% (81,8-84,2%) and 92,3% (91,4-93,1%), respectively. The caffeine consumption during the entire pregnancy and the first, second and third trimesters not associated with ADHD in the crude or adjusted analysis.

Conclusion: The present study did not show any association between maternal caffeine consumption during pregnancy and ADHD at the age of eleven years.

ARTICLE SUMMARY

Strengths

- This was a longitudinal study.
- Detailed information on caffeine consumption from coffee and yerba mate during the three trimesters of pregnancy was available.
- The outcome was evaluated by means of a validated instrument.
- Information on a number of potential confounding factors was gathered and formally tested.
- There were a low percentage of losses and refusals during the follow-up of the study.

Limitations

- The outcome was ascertained by means of a test applied only to the mother.
- The reported amount of coffee and yerba mate consumed during pregnancy may be subject to recall bias.
- Only two sources of caffeine (coffee and yerba mate) were assessed.

INTRODUCTION

Attention deficit hyperactivity disorder (ADHD) is a neurobiological disorder that affects around 6% of school-age children around the world.(1) It is the most prevalent mental disorder during childhood and the main reason why mental health services are sought for children and adolescents.(2) It is characterized by persistent symptoms of inattention, impulsivity and hyperactivity, which become present before the age of 12 years and are abnormal for the developmental stage.(3)

ADHD is a multi factorial disease with complex aetiology and a large genetic component (heritability estimated as 76%).(4) Epidemiological studies have shown higher prevalence among boys and among children belonging to families with worse socioeconomic conditions.(5-13) Maternal caffeine consumption (14) as well as other nutritional factors during pregnancy, such as intake of folic acid,(15) iron(16) and omega-3(17) have been investigated as determinants of ADHD. In animals, intrauterine exposure to caffeine was associated with increased motor activity, thus suggesting a possible effect on attention deficit and hyperactivity on children born to mothers with high consumption of caffeine-rich foods and beverages during pregnancy.(18, 19) Moreover, exposure of rats to caffeine, during the prenatal period, resulted in gene expression alterations relating to formation of synapses, thereby showing some of the potential molecular effects of caffeine during foetal cerebral development.(20)

Caffeine is commonly consumed throughout the world, including by pregnant women, who present daily consumption prevalence ranging from 75% to 93%.(21) Studies evaluating caffeine intake during pregnancy and long-term outcomes, such as child neuro-behaviour, are still scarce and their results are inconsistent. Among five articles identified in a systematic review of the literature (22) only one found that the higher maternal caffeine intake during pregnancy would increase the risk of ADHD.(14)

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3 The objective of the present study was to evaluate the association between maternal
4 caffeine consumption during pregnancy and ADHD at the age of eleven years, among
5 children belonging to a birth cohort. The hypothesis of the study was that maternal
6 caffeine consumption during pregnancy was associated with ADHD at the age of eleven
7 years.
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13 14 15 16 **METHODOLOGY** 17

18 In 2004, a birth cohort study was begun in the city of Pelotas, Brazil. The
19 original cohort population consisted of the 4231 newborns at the five hospitals in the
20 city, who were the children of mothers living in the urban zone of Pelotas,
21 corresponding to 99.2% of the births in that year. The mothers were interviewed after
22 delivery (perinatal study) by trained interviewers, using standardized questionnaires,
23 regarding their socioeconomic, demographic and reproductive characteristics, use of
24 health services, prenatal attention and pregnancy complications. Further methodological
25 details of the study can be found in other publications.(23-25)
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36 So far, the cohort participants were followed-up at the ages of 3, 12, 24 and 48
37 months, and at 6 and 11 years. The mothers were interviewed regarding their children's
38 growth, development, type of food, and morbidity, and also answered questions about
39 their own health.(24) Differently from the visits at 3, 12, 24, and 48 months that took
40 place at the child's place, at the age of 6 and 11 years data-gathering was undertaken at
41 a clinic that had been set up especially to attend to this research. Besides interviews, the
42 children underwent a comprehensive health evaluation, which included psychological,
43 psychiatric, anthropometric and body composition evaluations.(25)
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54 The presence of ADHD was evaluated by means of the Development and Well-
55 Being Assessment (DAWBA), an instrument employed for psychiatric diagnosis among
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3 children and teenagers aged from 5 to 17 years, and that uses diagnostic classifications
4 from the Diagnostic and Statistical Manual of Mental Disorders 5th edition (DSM-V)
5
6 and the International Classification of Diseases (ICD-10).(26) DAWBA was reported by
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8 mothers during the eleven-year follow-up by trained interviewers (psychologists). The
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10 DAWBA combines highly structured questions based on DSM-V diagnostic criteria and
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12 ICD-10 with qualitative descriptions of all areas of difficulty. The generating program is
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14 a computer algorithm that provides a probability of a child to have any psychiatric
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16 disorder based on answers to structured questions. In the presence of positive symptoms
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18 in any area, additional questions (qualitative assessment) are made to assess the impact
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20 (loss) of these problems in the child's life. These questions concern specific areas
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22 covering distress and interference with family life, learning, friendship and leisure
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24 activities resulting in symptoms. Subsequently, a clinical evaluator, based on the
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26 collected information, combines the quantitative results with the qualitative data and
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28 makes a judgment in regard to the presence or absence of the disorder. The clinic trial in
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30 this case was made by a child psychiatrist (*rater*), supervised by another child
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32 psychiatrist, who translated and validated the DAWBA for the Brazilian population. To
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34 make the different psychiatric diagnosis from DAWBA evaluations, the *rater* needs to
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36 judge whether symptoms are present or not and the loss (impact) that they cause.
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38 DAWBA diagnoses are supplied dichotomously as “yes” or “no”, strictly respecting the
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40 diagnostic criteria defined by IDC-10 and DSM-V diagnostic classifications. For this
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42 study the DSM-V classification was employed. The DAWBA allows the identification
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44 of children currently under treatment for ADHD, such children were classified as
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46 positive for ADHD. The DAWBA questionnaire was translated and validated in Brazil
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48 by Bacy Fleitlich-Bilyk.(26)
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3 The exposure of interest, daily caffeine consumption during pregnancy was
4 evaluated at the perinatal study by means of a series of questions regarding consumption
5 of the foods that are the main sources of caffeine at this region of the country: coffee
6 and yerba mate (a typical hot beverage consumed in southern Brazil and neighbouring
7 countries, which is prepared from the leaves of the herb *Ilex paraguariensis*). For each
8 source of caffeine, the daily frequency of consumption was obtained, separately for each
9 trimester of pregnancy. Information regarding the type of coffee (filtered or instant),
10 preparation, concentration (strong, medium or weak) and quantity consumed per day
11 was gathered, taking into consideration the size of the recipient (180 ml cup; 50 ml
12 small cup; 200 ml glass and 190 ml mug). The estimated caffeine content from coffee
13 and yerba mate was obtained from coffee samples collected from the homes of mothers
14 who participated in a previous study conducted in the city of Pelotas,(27) and that were
15 analysed by chromatography. From these analyses, it was possible to infer the average
16 caffeine content in mg per ml of coffee, according to the concentration at which it was
17 consumed: strong coffee, 0.25 mg/ml; medium coffee, 0.20 mg/ml; and weak coffee,
18 0.11 mg/ml. For yerba mate, the analyses showed an average concentration of 17 mg of
19 caffeine per 100 ml of the liquid. These results were used to estimate the caffeine intake
20 of the entire sample. For instant coffee, the items investigated were the size of the spoon
21 used to serve coffee (full coffee spoon, 2.6 g; level coffee spoon, 2.3 g; full small coffee
22 spoon, 2.5 g; level small coffee spoon, 1.5 g; full dessert spoon, 7.5 g; and level dessert
23 spoon, 7.0 g) and the number of spoons per portion. The spoon sizes were obtained
24 from home measurements. Photographs of spoons were used during interviews to avoid
25 classification errors. For instant coffee, the information used came from the
26 manufacturer: an average of 3 mg of caffeine per gram of powdered coffee. For each
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3 mother, the average daily caffeine intake was calculated per trimester and during the
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5 entire pregnancy.
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8 Potential confounding factors in the association between maternal caffeine
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10 consumption during pregnancy and ADHD were gathered at the perinatal study and
11
12 considered in the adjusted analysis: National Economic Index (acronym IEN in
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14 Portuguese) presented in quintiles (in which mothers at Q1 were the poorest and at Q5
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16 were the wealthiest); mother's and father's education levels, evaluated as years of study;
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18 maternal age, evaluated as complete years at the delivery; mother living with or without
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20 partner; number of cigarettes/day smoked by the mother during pregnancy; number of
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22 cigarettes/day smoked by the father in the mother's presence during pregnancy; alcohol
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24 consumption by the mother during pregnancy (yes or no); number of antenatal care
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26 consultations; mood symptoms during pregnancy (through the question "During
27
28 pregnancy, did you feel depressed or nervous?"); maternal nutritional state before
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30 pregnancy, evaluated according to the body mass index (BMI) and categorized as
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32 underweight ($<18.5\text{kg/m}^2$), normal weight ($18.5\text{-}24.9\text{kg/m}^2$), overweight (25-
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34 29.9kg/m^2) or obese ($\geq 30\text{ kg/m}^2$); the child gestational age at birth; birth weight; and
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36 sex of the child.
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41 The twins were not included in the analyses (N=84). The prevalence of ADHD
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43 and respective 95% confidence interval (95% CI) was calculated for the entire cohort
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45 and separately by sex (based on the current literature that consistently reports higher
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47 prevalence rates among boys).(5-13) The association between maternal caffeine
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49 consumption grouped in three categories <100 , $100\text{-}299$ and ≥ 300 mg/day and ADHD
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51 was evaluated by means of the chi-square test. The strength of the association between
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53 caffeine consumption grouped in three categories and ADHD was ascertained for the
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55 entire cohort and after stratification by sex, by means of logistic regression (crude and
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3 adjusted for confounding factors). In addition, analyses were performed with daily
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5 caffeine intake as a continuous variable.
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8 A conceptual framework previously built by the authors describing the
9
10 postulated hierarchical relationships between exposures (Figure 1) was used to drive the
11
12 inclusion of potential confounders to the analytical model. Maternal mental health
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14 during pregnancy was the first variable included in the model, followed by father years
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16 of school and maternal socio-demographic characteristics (IEN, years of school, age and
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18 marital status). Subsequently the behavioural variables were added (maternal smoking
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20 and alcoholic beverage intake during pregnancy, paternal smoking during pregnancy,
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22 and number of antenatal care consultations). Only variables associated with the outcome
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24 at p-values ≤ 0.20 were kept at the final model.
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28 Loss to follow-up rates according to some of the child parents characteristics
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30 were not homogeneously distributed, the effect of missing outcome data was analysed
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32 as a sensitivity analysis, estimated by multiple imputation (mi Stata command) by the
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34 Bayesian paradigm from the frequentist (randomization-based) perspective. Least
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36 squares regression and 20 multiple datasets for the missing values were used.
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39 The Pelotas 2004 Birth Cohort Study was approved by the Research Ethics
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41 Committee of the Medical School of the Federal University of Pelotas that is affiliate to
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43 the Brazilian National Commission for Research Ethics. Mothers signed an informed
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45 consent form at each follow-up, after being informed of the study objectives.
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48 49 **RESULTS**

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51 The present study used data from the perinatal study that included 4231
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53 newborns and the follow-up at the age of eleven years (mean age of 10.9; standard
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55 deviation 0.3 years) that included 3566 children (follow-up rate of 86.6%). A total of
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3 3485 mothers and children (82.4% of the original cohort) had full information on
4 caffeine intake and on ADHD and were entered at the current analyses.
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7 Table 1 shows the loss to follow-up rate at 11 years according to maternal
8 caffeine intake in the entire pregnancy, IEN, paternal education level, mother living
9 with or without a partner, and maternal mood symptoms during pregnancy. There were
10 no differences in losses to follow-up by level of caffeine intake throughout pregnancy.
11 Losses were higher for children from families in the extremes of IEN (18.9% among the
12 poorest and 15.8% among the richest), with highly educated fathers (20.8%). Greater
13 proportion of losses was also seen among children of mothers that lived with a partner
14 and presented mood symptoms during pregnancy (Table 1).
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17 The prevalence of ADHD was 4,1% (3,4-4,7%): 5,8% (4,7-6,9) among boys and
18 2,3% (1,5-3,0%) among girls. Table 2 shows the sample distribution and the prevalence
19 of ADHD according to family and child variables. The ADHD was more frequent
20 among children from families of lower socio-economic status (first quintile of IEN),
21 from less educated mothers (0-4 years of formal education), living without a partner,
22 who had attended to less than six antenatal care consultations, who smoked more than
23 10 cigarettes a day and consumed alcoholic beverages during pregnancy. ADHD was
24 also more frequent in children from less educated fathers (0-4 years of schooling) and
25 among boys.
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28 Table 3 shows the prevalence and intensity of caffeine intake during pregnancy
29 and the prevalence of ADHD among children of mothers who consumed between 100-
30 299 mg/day or 300 or more mg/day of caffeine, compared to those from mothers who
31 consumed less than 100 mg/day, taken as the reference group. The prevalence of
32 caffeine consumption during the entire pregnancy and in the first, second and third
33 trimesters was 88,7% (87,7-89,7%), 86,5% (85,4-87,5%), 83,0% (81,8-84,2%) and
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3 92,3% (91,4-93,1%), respectively. Most of the mothers consumed <100 mg/day of
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5 caffeine in the entire and in each trimester of pregnancy, whereas nearly one in every
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7 five mothers consumed ≥ 300 mg/day in every trimester and throughout pregnancy.
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9 Heavy caffeine consumers were more likely to belong to families from low socio-
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11 economic stratus and to present behavioural exposures (smoking and consumption of
12
13 alcoholic beverages in pregnancy) than the remaining mothers. Caffeine consumer
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15 mothers attended a few number of antenatal care consultations and presented mood
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17 symptoms during pregnancy more frequently than non-consumers (data not shown).
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19 There was no difference in ADHD prevalence according to the mean amount of
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21 maternal daily caffeine consumption (Table 2).
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25 The results of crude and adjusted analyses of the association between caffeine
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27 intake in three categories (<100, 100-299 and ≥ 300 mg/day) per trimester and during
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29 the entire pregnancy and ADHD are presented in Table 4. There was no association
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31 between caffeine consumption and ADHD, both in the crude and in the adjusted
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33 analysis, during the three pregnancy trimesters and at the entire pregnancy. All the 95%
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35 CI of the estimated odds ratios included the unit, thus showing that there was no
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37 association. The same result was shown in the analysis stratified by sex. Analyses with
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39 caffeine as a continuous variable also found no association (data not shown).
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43 The multiple imputation data for the primary outcome produced imputed
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45 estimates that were similar to the available data. This similarity showed that all analyses
46
47 were not affected by missing data or differential rates of follow-up.
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50 DISCUSSION

51 The present study found a prevalence of ADHD of 4,1% (95% CI: 3,4-4,7%):
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53 5,8% (95% CI: 4,7-6,9) among boys and 2,3% (95% CI: 1,5-3,0%) among girls. This
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55 finding is consistent with results from other studies that employed DAWBA as the
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3 evaluation tool and the DSM-IV as the diagnostic criterion in Brazil.(5, 28, 29) The
4 prevalence of ADHD in school children in Brazil ranges from 0.9% (30) to 26.8% (31).
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6 The former was a population-based study carried out with 5-10 year-old children using
7 clinical criteria (DSM-IV) obtained from two environments (home and school) and
8 taking into account the impact of the symptoms at the child familiar and social
9 relationships.(30) The later investigated a sample of 6-15 year-old school children
10 employing clinical assessment (DSM-IV), but considering only the report by the
11 teachers and without considering the impact of the symptoms. The variability between
12 the estimates may be due to different factors, from type of sample, evaluation tools,
13 diagnostic criteria, and mainly the source of information (parents, children, adolescents
14 or teachers).(32) Prevalence of ADHD is generally higher in school samples than in
15 population-based samples.(31).
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30 This study did not show any association between caffeine consumption during
31 pregnancy and ADHD. Contrary to the hypothesis of this study, the crude and adjusted
32 analyses indicated that caffeine had no effect over the occurrence of ADHD. A recent
33 review of the literature showed that there is a scarcity of studies evaluating the effect of
34 caffeine consumption during pregnancy over the occurrence of ADHD, and concluded
35 that the available evidence does not make it possible to confirm or deny the risk that this
36 exposure might present with regard to development of this morbidity during
37 childhood.(22) The five studies investigating the effect of maternal caffeine
38 consumption over the occurrence of ADHD(22) differed in relation to the tools used to
39 measure the outcome: The only one that evaluated the presence of ADHD by means of a
40 diagnostic instrument did not find any association.(33) The remaining articles used
41 screening tests: Conners' Continuous Performance Test II (CPT-II),(34) the Child
42 Behavior Checklist (CBCL)(8, 14) and SDQ(35) and only one found an association(14)
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3 indicating that caffeine consumption during pregnancy would increase the risk of
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5 ADHD. The difference between the instruments used for assessing the presence of
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7 ADHD generates issues that go beyond the lack of comparability. Screening
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9 instruments are more sensitive and less specific, and have a high capacity to recognize
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11 true positives, but they fail to discard false positives, thereby wrongly identifying
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13 healthy individuals as ill. For instance, in an analysis of data from another cohort
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15 conducted in Pelotas (the Pelotas 1993 Birth Cohort Study), to estimate the prevalence
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17 of psychiatric diseases among children aged 11 years, Anselmi et al(29) compared the
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19 results from DAWBA with those from the Strengths and Difficulties Questionnaire
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21 (SDQ), which is a screening instrument. They found that as a screening instrument for
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23 ADHD, SDQ presented weak performance, with a positive predictive value (PPV) of
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25 48.2% and a negative predictive value (NPV) of 90.2%. Similar results have been found
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27 in other Brazilian studies.(26, 30)
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32 There is a high inter-individual variability in the physiological response to
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34 caffeine consumption that may in part be due to genetic characteristics. The genes
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36 involved in caffeine metabolism, such as cytochrome P450 1A2 (CYP1A2), and in
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38 caffeine responses in the central nervous system, such as the adenosine 2A receptor
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40 (ADORA2A), have been the main targets of genetic studies in this area.(36-41)
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42 Polymorphisms in genes in these pathways have been correlated with the habit of
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44 consuming coffee and have been shown to be important to modulate the response to
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46 caffeine consumption among adults, such as symptoms of anxiety, cognitive
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48 performance and insomnia.(36-41) On the other hand, little is known about the
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50 molecular response mechanisms to caffeine in the central nervous system while it is still
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52 developing; or about the way in which gene polymorphisms along these pathways might
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54 module the response to caffeine. Future studies adding genetic factors to caffeine
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3 consumption during pregnancy could contribute towards better understanding the
4 potential role that caffeine may play in the development of ADHD and other psychiatric
5 disorders.
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10 The present study presents some strengths and limitations. Among the strengths
11 is the fact that this was a longitudinal study with data from a birth cohort of about 4,000
12 children, which facilitates the generalization of data. The longitudinal analysis is
13 characterized by following up individuals over a period of time, which ensures that the
14 temporal relationship between exposures and outcomes can be ascertained. Hence,
15 among all the observational study designs this is the ideal for investigating the topic in
16 question. Furthermore, detailed information on caffeine consumption from coffee and
17 yerba mate during the three trimesters of pregnancy was available. The outcome was
18 evaluated by means of an instrument that had been adapted and validated for the
19 Brazilian population, which made it possible to confirm the diagnoses of ADHD.(26)
20 Moreover, there was the possibility of controlling the analysis for a number of potential
21 confounding factors. Also noteworthy is the low percentage of losses and refusals
22 during the follow-up of the study (13.4% from birth to eleven years of age). Post-hoc
23 analyses indicated that the study had a power of 82% to detect as statistically significant
24 odds ratios ≥ 1.5 , setting alpha at 0.05 two-tailed.
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43 Some limitations of the study need to be taken in consideration. The lack of
44 information on the presence of ADHD in the mothers is among the limitations. Perhaps
45 mothers with some degree of ADHD may not consider excessive activity in her child as
46 unusual. In addition, the amount of coffee and yerba mate consumed during pregnancy
47 may have been subject to recall bias. Also, although caffeine consumption during
48 pregnancy was assessed from the two main sources (coffee and mate) there are other
49 caffeine sources (foods like chocolate, chocolate drink and cola-drinks as well as
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3 medicines) that were not measured. However, daily consumption from other sources is
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5 low at this population representing less than 10% of all caffeine consumed by pregnant
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7 women.(27) The findings of this study can be generalized to other settings with socio-
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9 economic characteristics similar to that of Pelotas and where women largely consume
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11 caffeine during pregnancy.
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13 14 **CONCLUSION**

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16 There is no evidence from the present study to support any deleterious effect of
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18 caffeine consumption during pregnancy over the occurrence of ADHD in the offspring.
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21 22 **CONTRIBUTORS STATEMENT**

23
24 Ms Silva BDP and Dr Santos IS participated in the design of the manuscript, carried out
25
26 the initial analyses, drafted the initial manuscript, and approved the final manuscript as
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28 submitted.
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32 Drs Matijasevich A and Santos IS designed the data collection instruments, and
33
34 coordinated and supervised data collection, critically reviewed the manuscript, and
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36 approved the final manuscript as submitted.
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39 Drs Tovo-Rodrigues L and Anselmi L Munhoz TN participated in the drafting, critically
40
41 reviewed the manuscript, and approved the final manuscript as submitted.
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49
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51
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The funding sources had no involvement in study design; in the collection, analysis and interpretation of data; in the writing of the report; in preparation of the article; nor in the decision to submit the article for publication.

CONFLICT OF INTEREST

The authors have no potential conflicts of interest to disclose.

DATA SHARING STATEMENT

Extra data is available by emailing bianca.delponte@gmail.com

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Table 1. Socio-demographic characteristics of mothers and children enrolled in the 2004 Pelotas Birth Cohort, and loss to follow-up rate at 11 year. Pelotas 2004 Birth Cohort Study.

Variables	Perinatal study	Loss to follow-up	p*
	N (%)	rate	
Caffeine intake in the entire pregnancy			0.338
<100 mg/day	1534	17.1	
100-299 mg/day	902	14.4	
≥300 mg/day	698	16.6	
IEN			<0.001
Q1	641	18.9	
Q 2	659	13.4	
Q 3	623	10.0	
Q 4	640	8.8	
Q 5	639	15.8	
Paternal education level			0.021
1-4	568	17.1	
5-8	1133	16.2	
9-11	1159	14.1	
12 or more	375	20.8	
Maternal conjugal situation			0.001
With partner	3468	20.5	
Without partner	679	15.3	
Maternal mood symptoms in pregnancy			<0.001
No	3107	14.9	
Yes, treated	898	20.5	
Yes, not treated	140	15.7	

Table 2. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years, according to characteristics of the family and the child.

Variables	11-year follow-up		
	N (%)	ADHD %	P
IEN	2780		0.003**
Q1	521 (18.7)	5.0	
Q2	574 (20.7)	5.6	
Q3	562 (20.2)	3.0	
Q4	584 (21.0)	4.1	
Q5	539 (19.4)	1.9	
Maternal education level in years	3452		0.003**
0-4	512 (14.8)	6.8	
5-8	1429 (41.4)	4.1	
9-11	1175 (34.1)	3.2	
12 or over	336 (9.7)	3.3	
Paternal education level in years	2717		0.004**
0-4	471 (17.3)	5.5	
5-8	952 (35.0)	4.5	
9-11	996 (36.7)	3.2	
12 or over	298 (11.0)	2.0	
Maternal age	3483		0.291**
<20	660 (18.9)	4.9	
20-35	2441 (70.1)	3.9	
>35	382 (11.0)	3.7	
Maternal marital status			0.001*
With partner	2943 (84.5)	3.6	
Without partner	542 (15.6)	7.0	
N° cigarette smoked /day by the mother	3485		0.006**
0	2618 (75.1)	3.7	
1-9	520 (14.9)	4.4	
10 or more	347 (10.0)	6.9	
Maternal passive smoke (n° cigarette/day smoked by the father)	2917		0.381**
0	2458 (84.3)	3.7	
1-9	262 (9.0)	3.1	
10 or more	197 (6.7)	5.6	
Alcohol consumption by the mother during pregnancy	3485		0.025*
No	3372 (96.8)	3.9	
Yes	113 (3.2)	8.8	
Number of antenatal care consultations	3340		0.006**
<3	120 (3.6)	5.8	
3-5	452 (13.5)	6.4	
≥ 6	2768 (82.9)	3.5	
Maternal mood symptoms during pregnancy	3483		0.090*
No	2647 (76.0)	3.7	
Yes, not treated	718 (20.6)	5.4	
Yes, treated	118 (3.4)	5.1	

BMI: body mass index

* Fischer Exact Test

** Test for linear trend

Cont. Table 2. Prevalence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years, according to characteristics of the family and the child.

Variables	Follow-up 11 years		
	N (%)	ADHD %	P
Maternal pre-pregnancy BMI	2054		0.315**
Underweight	68 (3.3)	5.9	
Normal weight	1165 (56.7)	3.6	
Overweight	566 (27.6)	4.2	
Obese	255 (12.4)	5.5	
IG (weeks)	3464		0.264**
< 33	39 (1.1)	7.7	
34-36	325 (9.4)	4.6	
≥ 37	3100 (89.5)	4.0	
Birth weight (g)	3484		0.956**
<2500	283 (8.1)	4.2	
2500-2999	883 (25.4)	4.2	
3000-3499	1395 (40.0)	3.9	
≥ 3500	923 (26.5)	4.3	
Sex	3485		<0.001*
Male	1803 (51.7)	5.8	
Female	1644 (48.2)	2.3	

* Fischer Exact Test

** Test for linear trend

Table 3. Prevalence of ADHD at eleven years of age according to maternal caffeine intake in each trimester and at the entire pregnancy, stratified by sex.

Caffeine consumption	Total			Boys			Girls		
	N (%)	ADHD	P*	N (%)	ADHD	P*	N (%)	ADHD	P*
1 st trimester	3485		0.249	1803		0.267	1682		0.563
<100 mg/day	2072 (59.5)	3.8		1097 (60.8)	5.4		975 (58.0)	2.1	
100-299 mg/day	746 (21.4)	4.3		360 (20.0)	6.1		386 (23.0)	3.0	
≥300 mg day	667 (19.1)	4.8		346 (19.2)	6.9		321 (19.0)	2.5	
2 nd trimester	3483		0.393	1803		0.500	1680		0.463
<100 mg/day	2151 (61.8)	3.9		1141 (63.3)	5.6		1010 (60.1)	2.0	
100-299 mg/day	710 (20.4)	4.2		345 (19.1)	5.8		365 (21.7)	2.7	
≥300 mg day	622 (17.8)	4.7		317 (18.6)	6.6		305 (18.2)	2.6	
3 rd trimester	3484		0.151	1803		0.368	1681		0.141
<100 mg/day	2289 (65.7)	3.9		1216 (67.4)	5.7		1073 (63.8)	1.9	
100-299 mg/day	628 (18.0)	3.8		295 (16.4)	5.1		333 (19.8)	2.7	
≥300 mg day	567 (16.3)	5.3		292 (16.2)	7.2		275 (16.4)	3.3	
Entire pregnancy	3481		0.40	1803		0.475	1678		0.350
<100 mg/day	2124 (61.0)	3.8		1131 (62.7)	5.5		993 (59.2)	1.9	
100-299 mg/day	773 (22.2)	4.5		379 (21.0)	6.3		394 (23.5)	2.8	
≥300 mg day	584 (16.8)	4.6		293 (16.3)	6.5		291 (17.3)	2.8	

*Test for linear trend

Table 4. Association of maternal caffeine consumption in each trimester and during the entire pregnancy with the presence of attention deficit hyperactivity disorder (ADHD) at the age of eleven years.

Caffeine consumption	Total	Boys		Girls		
	Crude analysis N= 3481 OR (95% CI)	Adjusted analysis* N= 2491 OR (95% CI)	Crude analysis N= 1803 OR (95% CI)	Adjusted analysis* N= 1274 OR (95% CI)	Crude analysis N= 1682 OR (95% CI)	Adjusted analysis* N= 1217 OR (95% CI)
1 st trimester (N=3485)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.13 (0.74-1.72)	1.04 (0.62-1.73)	1.15 (0.69-1.89)	1.06 (0.57-1.98)	1.27 (0.59-2.74)	1.13 (0.44-2.90)
≥300 mg day	1.27 (0.84-1.94)	0.93 (0.55-1.60)	1.31 (0.80-2.14)	1.06 (0.57-1.96)	1.22 (0.53-2.80)	0.68 (0.21-2.17)
2 nd trimester (N= 3483)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.9 (0.71-1.66)	1.03 (0.61-1.74)	1.04 (0.62-1.74)	1.03 (0.55-1.94)	1.39 (0.65-3.01)	1.24 (0.48-3.22)
≥300 mg day	1.20 (0.78-1.85)	0.95 (0.55-1.63)	1.19 (0.72-1.99)	1.09 (0.58-2.03)	1.33 (0.58-3.06)	0.75 (0.24-2.38)
3 rd trimester (N=3484)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	0.98 (0.62-1.56)	0.96 (0.55-1.68)	0.89 (0.50-1.58)	0.82 (0.40-1.68)	1.46 (0.66-3.24)	1.68(0.64-4.40)
≥300 mg day	1.38 (0.90-2.11)	1.05 (0.61-1.81)	1.28 (0.78-2.14)	1.07 (0.57-2.02)	1.78 (0.80-3.95)	1.22 (0.41-3.60)
Entire pregnancy (n=3481)						
<100 mg/day	1	1	1	1	1	1
100-299 mg/day	1.19 (0.79-1.79)	1.12 (0.68-1.84)	1.16 (0.72-1.90)	1.05 (0.57-1.92)	1.47 (0.69-3.12)	1.46 (0.58-3.68)
≥300 mg day	1.22 (0.78-1.91)	0.90 (0.51-1.59)	1.20 (0.70-2.03)	1.01 (0.52-1.95)	1.45 (0.69-3.35)	0.82 (0.25-2.65)

*Analysis adjusted for maternal mood symptoms during pregnancy, IEN, paternal education level and maternal conjugal situation.

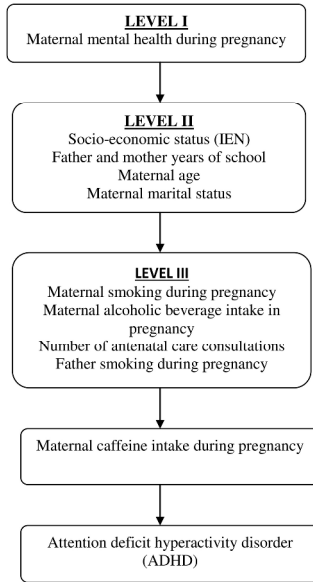
Figure 1. Conceptual framework for the association between maternal caffeine consumption during pregnancy and offspring ADHD at the age of eleven years.

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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Page in article
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5-8
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	5-8
Bias	9	Describe any efforts to address potential sources of bias	9
Study size	10	Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	8 and 9
		(b) Describe any methods used to examine subgroups and interactions	8
		(c) Explain how missing data were addressed	9 and 11
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	9
		(e) Describe any sensitivity analyses	

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Results		Page in article	
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9 and 10
		(b) Give reasons for non-participation at each stage	9 and 10
		(c) Consider use of a flow diagram	-
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10 and Table2
		(b) Indicate number of participants with missing data for each variable of interest	10 and Table2
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	10
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	11
		(b) Report category boundaries when continuous variables were categorized	10 and 11
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	11
Discussion			
Key results	18	Summarise key results with reference to study objectives	11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14 and 15
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	12 and 13
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	16

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.