

# BMJ Open

## Effectiveness of trauma-focused psychological therapies compared to usual postnatal care for treating post-traumatic stress symptoms in women following traumatic birth: A systematic review protocol

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013697
Article Type:	Protocol
Date Submitted by the Author:	31-Jul-2016
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<b>Primary Subject Heading</b>:	Evidence based practice
Secondary Subject Heading:	Mental health, Obstetrics and gynaecology
Keywords:	MENTAL HEALTH, Maternal medicine < OBSTETRICS, PUBLIC HEALTH

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3 **Effectiveness of trauma-focused psychological therapies compared to usual postnatal**  
4 **care for treating post-traumatic stress symptoms in women following traumatic birth: A**  
5 **systematic review protocol**  
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3 **Effectiveness of trauma-focused psychological therapies compared to usual postnatal**  
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5 **systematic review protocol**  
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12 **Abstract**  
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14 **Introduction:** Maternal mental health has been largely neglected in the literature. Women,  
15 however, may be vulnerable to developing post-traumatic stress symptoms or post-traumatic  
16 stress disorder (PTSD), following traumatic birth. In turn, this may affect their capacity for  
17 child rearing, ability to form a secure bond with their baby and impact on the wider family.  
18 Trauma-focused psychological therapies (TFPT) are widely regarded as effective and  
19 acceptable interventions for PTSD in general and clinical populations. Relatively little is  
20 known about the effectiveness of TFPT for women post-partum who have post-traumatic  
21 stress symptoms.  
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32 **Methods and analysis:** We will conduct a review to assess the effectiveness of TFPT,  
33 compared with usual postpartum care, as a treatment for post-traumatic stress symptoms or  
34 PTSD for women following traumatic birth. Using a priori search criteria, we will search for  
35 randomised controlled trials in four databases, including Cochrane Central Register of  
36 Controlled Trials (CENTRAL), MEDLINE, PsycINFO and OpenGrey. We will use search  
37 terms that relate to the population, TFPT and comparators. Screening of search results, and  
38 data extraction, will be undertaken by two reviewers, independently. Risk of bias will be  
39 assessed in RCTs which meet the review criteria. Data will be potentially analysed using the  
40 following methods, as appropriate: narrative synthesis; meta-analysis; subgroup analysis; and  
41 meta-regression.  
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3 **Dissemination and ethics:** As this work comprises a synthesis of existing studies, ethical  
4 approvals are not required. Results will be disseminated at conferences and publications.  
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10 **Strengths and limitations of this study**

- 11 • With comprehensive literature search and synthesis, this systematic review will  
12 provide the best evidence available regarding the effectiveness of trauma-focused  
13 psychological therapies for women who have suffered a traumatic birth experience.  
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- 15 • The systematic review protocol was developed and published prior to conducting the  
16 review to avoid reporting bias.  
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- 18 • There may be some issues related to data (incorrect reporting, missing or insufficient  
19 data), but we will contact the original researchers to ask for the clarification, if  
20 necessary.  
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## INTRODUCTION

Maternal mental health remains relatively underexplored despite the potential long-term impact and consequences for women, their babies, and the wider family network<sup>1-4</sup>. A recent confidential enquiry into maternal deaths and morbidity in the UK and Ireland<sup>5</sup> reported that mental health problems remain one of the leading causes of maternal death: from 2009 to 2013, 23% of deaths in women postpartum (ranging from six weeks to one year after pregnancy) were attributed to either suicide or accidental death (e.g. following substance misuse). The most common maternal mental health problem diagnosed during the postnatal period is depression.<sup>6</sup> It has been suggested, however, that the term ‘postnatal depression’ is overused in clinical practice as a label for any mental illness occurring postnatally.<sup>7</sup>

It is increasingly recognised that a traumatic birth can result in post-traumatic stress symptoms (i.e. symptoms that fall below the diagnostic threshold), or post-traumatic stress disorder (PTSD).<sup>8-9</sup> PTSD is a severe and debilitating mental disorder that an individual may develop in response to experiencing or witnessing a highly traumatic event (APA, 2013).<sup>10</sup> For some women, giving birth can be a frightening, anxiety-provoking, and traumatic experience. Perceptions of childbirth as traumatic arise when a woman believes that there is a serious or significant threat to her own life or the life of her baby.<sup>11-12</sup> PTSD symptoms that may occur in women after a traumatic birth include intrusive thoughts and images about the traumatic event (e.g. seeing severe blood loss, being rushed to hospital); avoidance of stimuli associated with the traumatic event (e.g., avoiding attending hospital appointments or sharing birth experiences with others; avoiding the baby who is a reminder of the trauma); blunting of affect (e.g., low mood); negative thoughts and beliefs about the self, others, or the world (e.g., ‘I am going to die’, ‘I am not a good mother’); dissociative states, and emotional

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3 dysregulation. PTSD symptoms can typically impede aspects of daily functioning, including  
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5 social relationships and employment.  
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10 It is estimated that the proportion of women who suffer post-traumatic stress symptoms  
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12 following 'normal' childbirth is about 3% to 6% at around six weeks postpartum, decreasing  
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14 to about 1.5% at six months postpartum.<sup>13</sup> Prevalence rates appear to be higher for at-risk  
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16 groups (e.g. women who have experienced obstetric complications, emergency caesarean  
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18 sections, premature births, or stillbirths), and are estimated to be up to 44% within two years  
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20 postpartum.<sup>14</sup> However, prevalence estimates vary widely, perhaps due to differences in study  
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22 designs, sampling frames, sample sizes, diagnostic criteria employed and measurement  
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24 instruments.<sup>14-16</sup> It is anticipated that the number of women who experience traumatic births  
25  
26 is likely to rise, due to increasingly complex medical needs of women who become pregnant  
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28 when older or obese.<sup>17-20</sup> There is, therefore, an urgent need to consider how best to support  
29  
30 women who suffer from post-traumatic stress symptoms during the postnatal period.  
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### 36 **Description of the intervention**

37  
38 Systematic reviews have consistently concluded that trauma-focused psychological therapies  
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40 (TFPTs) are effective treatments for PTSD in general population groups. These include  
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42 different modes of exposure therapy such as narrative exposure therapy (NET), trauma-  
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44 focused cognitive behavioural therapy (TFCBT), and eye-movement desensitisation and  
45  
46 reprocessing (EMDR).<sup>21-23</sup> All TFPTs share some core treatment principles, in particular, an  
47  
48 emphasis on supporting patients to make sense of and process memories of trauma, and  
49  
50 cognitions and attributions of traumatic events.<sup>24-26</sup> EMDR<sup>27</sup> and CBT, in particular, are  
51  
52 recommended by NICE guidance on PTSD for children and adults who have experienced a  
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54 single traumatic event.<sup>23</sup>  
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### How the intervention might work

Exposure therapy typically involves asking the individual to relive the trauma, either in their imagination or by writing (in NET) a trauma narrative to create a detailed account of the event. The individual is then asked repeatedly to revisit or read the narrative in order to become habituated to the post-traumatic stress symptoms that are generated.<sup>24 28</sup> TFCBT involves helping individuals to make sense of their experiences, identify ways or patterns of thinking that are negative, recognise thoughts and beliefs about the self, others or the world that are associated with the traumatic event, and finally, note behavioural or coping responses which may be helpful in the short term, yet perpetuate anxiety in the longer term. Individuals are encouraged to develop new ways of thinking about and appraising traumatic events (Ehlers, 2005). EMDR involves supporting individuals to identify and then focus on a traumatic image (e.g., finding oneself with heavy bleeding), an associated thought (e.g., ‘My baby and I are going to die’), the emotion (e.g., extreme fear), and physical sensations, while receiving bilateral stimulation, most commonly in the form of eye movements.<sup>27</sup>

### Importance of the review

Although trauma-focused psychological therapies (TFPT) are both effective and acceptable as treatments for PTSD in general and clinical populations, postpartum women are typically excluded from research studies, so the clinical utility of these interventions is yet to be established.<sup>2</sup> There is currently no systematic review that synthesises evidence regarding the effectiveness of TFPT for women who have suffered a traumatic birth. A Cochrane review of psychosocial and psychological interventions (e.g. CBT) for postnatal depression does exist,<sup>29 30</sup> but PTSD and trauma symptoms are not included as outcomes of interest. It is quite possible that PTSD following childbirth differs from PTSD that occurs in other contexts.<sup>31</sup>

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3 Unlike typical stressors that contribute to PTSD, such as abuse, assault, torture, or war,  
4 childbirth is by and large deemed to be a positive event, while also concurrently seeming  
5 traumatic for some women. The implication is that women's needs may be misunderstood.<sup>32</sup>  
6  
7 Behaviours indicative of PTSD, such as social withdrawal and avoidance, may be  
8 misattributed to needing to care for a baby, when in fact this is as a consequence of PTSD. It  
9 is also evident that for some women caring for a baby continues to be a reminder of traumatic  
10 experiences, which may in turn mediate the propensity for developing strong bonds and  
11 secure attachments between mother and child. Overall, it is likely to be clinically important to  
12 take account of the postnatal context when planning and delivering TFPT.  
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## 25 **OBJECTIVES**

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27 The primary objective of this systematic review is to assess the effectiveness of TFPT,  
28 compared with usual postpartum care for PTSD or post-traumatic stress symptoms in women  
29 following traumatic birth.  
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36 Secondary objectives are to examine the effectiveness of these psychological interventions  
37 for common co-morbid symptoms including depression, anxiety or distress, as well as any  
38 adverse effects including an increase in PTSD symptoms or death.  
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## 45 **METHOD AND ANALYSIS**

### 46 **Inclusion/exclusion criteria**

#### 47 *Population*

48 Women experiencing post-traumatic stress symptoms and/or the impact of these, who meet  
49 PTSD diagnostic threshold, or who have sub-threshold symptoms. Diagnostic assessment  
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could be made according to self-report, such as via a questionnaire (e.g. Impact of Events Scale [IES]<sup>33</sup>), or via a clinician administered assessment (e.g. Structured Clinical Interview for DSM-IV [SCID]<sup>34 35</sup>); Clinician-administered PTSD scale [CAPS]<sup>36</sup>). There is no restriction on age, nationality or birth mode.

### Intervention

Trauma-focused psychological therapies (TFPT) added to usual (standard) postnatal care to reduce symptoms of PTSD. Psychological interventions that will be included in this review are as follows:

1. Exposure therapy: Any individual therapy which involves guiding the individual to relive and process the trauma memory through creating a narrative using formats such as writing or audio-recording. During therapy, the patient will revisit the narrative repeatedly in order to habituate or develop tolerance of trauma symptoms.
2. *Trauma-focused cognitive behavioural therapy (TFCBT)*: Any psychological therapy that predominantly employs trauma-focused cognitive, behavioural or cognitive-behavioural techniques, and that aim to support individuals to identify unhelpful thoughts or thinking styles, and behaviours, and develop new ways of thinking about or coping with trauma. Examples of therapies within this category are cognitive therapy,<sup>37</sup> cognitive processing therapy<sup>38</sup> and prolonged exposure.<sup>39</sup>
3. EMDR: A structured protocol-driven trauma-focused therapy, which relies on an adaptive information process model of PTSD.<sup>40</sup> EMDR comprises eight elements, including recall

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3 of images, thoughts, emotions and bodily sensations associated with traumatic events,  
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5 while receiving bilateral stimulation.  
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10 4. Any other psychological intervention that does not fit the above categories, but clearly  
11 describes the theoretical underpinning and is intended to target trauma symptoms and  
12 related distress in postpartum females.  
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### 16 17 18 Comparators 19

- 20  
21 1. Standard postnatal care (which denotes the usual postnatal care provided within the first  
22 six weeks post-birth in settings which do not routinely offer TFPT).  
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24 2. Standard postnatal care, plus any non-specific supportive counselling or ‘attention  
25 control’ (e.g. befriending) provided by primary care/postnatal follow-up.  
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### 32 Types of outcome measures 33

- 34 1. Primary outcome  
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36 Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in PTSD or  
37 trauma symptoms as measured by validated scales e.g. IES,<sup>33</sup> CAPS.<sup>36</sup>  
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- 42 2. Secondary outcomes  
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- 44 • Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in  
45 depressive symptoms as measured by validated scales, e.g. Edinburgh Postnatal  
46 Depression Scale (EPDS),<sup>41</sup> Beck Depression Inventory (BDI),<sup>42</sup> State of Anxiety and  
47 Depression (SAD)<sup>43</sup>  
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- Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in anxiety symptoms as measured by validated scales, e.g. Beck Anxiety Inventory (BAI: Beck et al. 1988), Hospital Anxiety and Depression Scale<sup>44</sup>
- Well-being or quality of life, e.g. Short Form-36 (SF-36)<sup>45</sup>
- Adverse events or effects, e.g. increased PTSD or trauma symptom severity, death.

### Types of studies

We will include all randomised controlled trials (RCTs), cluster RCTs, crossover trials that compare TFPT for PTSD symptoms in women following birth with usual postpartum care. Study populations which comprise non-postpartum individuals will be included if the subset of data specific to the women are published or obtainable from the paper/trialists. There will be no restriction based on the study sample size, language, study setting or publication status

### **Data sources and search strategy**

We will carry out systematic searches in the Cochrane Central Register of Controlled Trials (CENTRAL), MEDLINE, PsycINFO, and OpenGrey using a search strategy developed in consultation with an information specialist at the academic institution where the first reviewer (MF) is based (Table 1). To maximise search sensitivity, we will use both index terms (e.g. Medical Subject Heading: MeSH) and free-text terms referring to population (e.g. ‘pregnancy’, ‘postnatal’) and interventions (e.g. ‘Cognitive Therapy’, ‘Eye Movement Desensitization Reprocessing’) without terms referring to outcomes. The terms for study design will be added if necessary to increase search specificity. No restrictions on date, language, or publication status will be applied to the searches. The electronic searches will be supplemented by a hand search of the reference lists of all included studies. The citations we

retrieve from the searches will be imported into the reference management software package EndNote X7.

**Table 1 Searching strategy (Medline)**

Population	AND	Intervention	AND	Study design <sup>i)</sup>
exp Pregnancy/ <i>OR</i>		exp Cognitive Therapy/ <i>OR</i>		exp Clinical Trial/ <i>OR</i>
exp Pregnancy Outcome/ <i>OR</i>		CBT.mp. <i>OR</i>		exp Clinical Trial, Phase I/ <i>OR</i>
exp Delivery, Obstetric/ <i>OR</i>		exp Eye Movement Desensitization Reprocessing/ <i>OR</i>		exp Clinical Trial, Phase II/ <i>OR</i>
exp Parturition/ <i>OR</i>		EMDR <i>OR</i>		exp Clinical Trial, Phase III/ <i>OR</i>
birth.mp <i>OR</i>		exp Behavior Therapy/ <i>OR</i>		exp Clinical Trial, Phase IV/ <i>OR</i>
childbirth.mp <i>OR</i>		Behaviour* therapy.mp <i>OR</i>		exp Controlled Clinical Trial/ <i>OR</i>
exp Postnatal Care/ <i>OR</i>		exp Psychotherapy/ <i>OR</i>		exp Randomized Controlled Trial/ <i>OR</i>
postnatal.mp. <i>OR</i>		psychological.mp <i>OR</i>		exp Random Allocation/ <i>OR</i>
exp Postpartum Period/ <i>OR</i>		exp Psychological Techniques/ <i>OR</i>		randomised.mp <i>OR</i>
postpartum.mp <i>OR</i>		exp Psychology, Experimental/ <i>OR</i>		trial.mp <i>OR</i>
exp Maternal Health Services/ <i>OR</i>		Trauma focused.mp		RCT.mp
exp Infant, Newborn/ <i>OR</i>				
exp Cesarean Section/ <i>OR</i>				
caesarean <i>OR</i>				
exp Stillbirth/ <i>OR</i>				
exp Intensive Care, Neonatal/ <i>OR</i>				
exp Intensive Care Units, Neonatal/ <i>OR</i>				

i) Search will be performed initially with sets of terms referring to population and interventions The terms referring to study design may be added if necessary, to increase search specificity.

## Data collection and analysis

### Selection of studies

Two reviewers will independently screen titles and abstracts of all potential studies identified through the search strategy, and they will code them as 'retrieve' (eligible or potentially

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3 eligible/unclear) or 'do not retrieve.' The two reviewers will then independently read the full  
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5 text of the studies retrieved to determine whether trials meet the inclusion criteria or to record  
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7 reasons for excluding the ineligible studies. The third author will undertake a random check  
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9 of 10% of results at each stage. Any disagreements will be resolved through discussion or, if  
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11 required, through consultation with other review authors. The process of the study selection  
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13 will be outlined in a Preferred Reporting Items for Systematic Reviews and Meta-Analyses  
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15 (PRISMA) flow diagram and 'characteristics of excluded studies' table.  
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### 20 21 Data extraction and management

22  
23 Two review authors will independently extract data using a data extraction form designed for  
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25 this review which include details about study eligibility; sample frame and size; diagnosis  
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27 and diagnostic criteria used; nature, timing, and duration of intervention; number and  
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29 frequency of sessions; professional background of trial therapists; outcomes (primary and  
30  
31 secondary measures); and statistical analyses, duration of follow-up, and attrition. Attempts  
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33 will be made to obtain missing and/or unpublished details, by contacting study authors. This  
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35 process will involve contacting trialists for independent datasets of postnatal women, if they  
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37 are included in trials that also include other trauma victims.  
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### 43 Risk of bias assessment

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45 Two review authors will independently assess the risk of bias of all included studies, using  
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47 the approach recommended in the *Cochrane Handbook for Systematic Review of*  
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49 *Interventions*.<sup>46</sup> The Cochrane's risk-of-bias tool addresses six specific domains: (1) sequence  
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51 allocation for randomization; (2) allocation concealment; (3) blinding of personnel and  
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53 assessors; (4) incomplete outcome data; (5) selective reporting; and (6) any other notable  
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55 risks of bias. For each item, one of the following three judgements will be made: 'low risk' of  
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3 bias (plausible bias – unlikely to seriously alter the results), ‘high risk’ of bias (plausible bias  
4 that seriously weakens confidence in the results), or ‘unclear risk’ of bias (plausible bias that  
5 raises some doubt about the results) when insufficient information was reported to permit  
6 judgment. The process for reaching judgments will be described in the risk-of-bias tables to  
7 ensure transparency.  
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### 13 Summary Assessments of Risk of Bias

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16 The overall quality of the evidence for each outcome will be assessed using the Grading of  
17 Recommendations Assessment, Development and Evaluation (GRADE) approach.<sup>46 47</sup> The  
18 overall quality of evidence for each outcome will be assigned to one of four levels – high,  
19 moderate, low, or very low – according to factors including within-study risk of bias  
20 (methodological quality), directness of evidence, heterogeneity, precision of effect estimates  
21 and risk of publication bias.<sup>46 47</sup>  
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### 34 Measures of treatment effect

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36 For dichotomous outcomes, such as the presence of PTSD, depression or anxiety, the Mantel-  
37 Haenszel method for computing the pooled risk ratio (RR) with 95% confidence intervals  
38 (CI) will be used. For continuous data, the standardised mean difference (SMD) and 95% CI  
39 will be calculated, where different scales have been used. The weighted mean difference  
40 (WMD) and 95% CI will be calculated where all outcomes were measured using the same  
41 scale in the same way.  
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### 52 Multiplicity and unit of analysis issues

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54 If a study reports data for more than one outcome or time-point, analyses will be conducted  
55 separately for each outcome/time point (short, medium, long term), or select single  
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3 outcomes/time point (e.g. at the end of the trial), following discussion with content experts.  
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5 For trials with multiple arms of treatment in a study, the appropriateness of combining data to  
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7 create a single pair-wise comparison will be considered if therapies are sufficiently similar.  
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9 Alternatively, data from the arms of the trial which fit closest to the review objectives will be  
10  
11 used. Where studies have adopted a cross-over design, only outcome data from the first  
12  
13 randomisation period will be included. If cluster-randomised trials are identified, sample  
14  
15 sizes will be adjusted using an estimate of the intra-cluster correlation co-efficient (ICC) from  
16  
17 the trial or from a study of a similar population, based on statistical advice.  
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### 23 Dealing with missing data

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25 Dealing with missing data may include imputing outcomes for the missing participants to  
26  
27 facilitate an intention-to-treat (ITT) analysis.<sup>46</sup> This can involve a sensitivity analysis by  
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29 imputing outcomes for the missing participants with the most optimistic and the most  
30  
31 pessimistic scenarios and then comparing the results of these two analyses. The sensitivity  
32  
33 analysis may also be conducted to facilitate comparisons of the ITT with imputations from  
34  
35 ‘available case analysis’ (i.e. analyse data with participants whose outcomes are known).<sup>46</sup> If  
36  
37 these analyses yield similar results in the same direction of the effects of the treatment  
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39 (indicating participants with missing outcomes are safely excluded), the results of available  
40  
41 case analysis will be used for meta-analysis. The impact of including these studies in the  
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43 overall assessment of treatment effect (summary effect) will be further assessed with  
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45 additional sensitivity analysis comparing the results of meta-analyses with and without trials  
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47 which are rated as high risk bias due to missing data (see Sensitivity analysis).  
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### Assessment of reporting biases

When sufficient studies are available (n=10 or more), we will construct funnel plots and scrutinised them for signs of asymmetry<sup>46</sup>.

### Data synthesis

Random effects meta-analyses will be performed which will produce the average effect size of the intervention across studies, allowing for differences in the treatment effect from study to study. Random effects meta-analyses is a conservative option and more appropriate for this study than a fixed-effect model (which assumes that there is one true effect) because the population and setting are likely to be slightly different, therefore the effects are likely to be slightly different. However, if there are only few studies (2-4 studies), it may be inadequate to accurately estimate of the width of the distribution of intervention effects.<sup>46 48</sup> In this case a fixed-effect analysis will be performed. Then, the results obtained from these two methods random effects and fixed-effect models will be compared to seek potential bias and heterogeneity. Analyses will be conducted by a statistician (ESWN) using a statistical software, STATA 14.

### Heterogeneity

Heterogeneity will be assessed within each comparison. When clinical heterogeneity (ie. variation in study settings and delivery mode of the intervention) is discovered, we will conduct subgroup analyses, whereas when methodological heterogeneity (ie. variation in study designs and risk of bias) is discovered, we will perform sensitivity-analyses. If comparable studies are not available with great clinical heterogeneity, extracted data will be synthesised into a narrative summary.



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3 Where meta-analyses are performed, tests of statistical heterogeneity will be carried out using  
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5  $I^2$  and  $\text{Chi}^2$  statistics,<sup>46</sup> as well as visual inspection of the forest plots. If heterogeneity is  
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7 identified (e.g. the  $I^2$  is greater than 30%, and the  $p$  value is less than 0.10 in the  $\text{Chi}^2$  test for  
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9 heterogeneity or different direction of the effects), pre-specified subgroup analysis and meta-  
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11 regression analyses will be conducted to identify important determinants of heterogeneity  
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13 when sufficient data are available.  
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### 16 17 18 Subgroup analysis and meta-regression 19

20 If possible, subgroup analyses will be undertaken as follow:

- 21 1. Study setting (high-income versus middle income versus low income countries)
- 22 2. Delivery mode of the intervention which shares the same theoretical modality (e.g.  
23 face-to-face versus web-based TFCBT)
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### 32 Meta-regression analysis: 33

- 34 1. Intervention frequency (e.g. numbers of session)
- 35 2. Methodological heterogeneity of trial (e.g. ways of dealing with missing data, whether  
36 effect estimates from 'per-protocol' analyses differ compared with 'ITT' analyses)
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### 43 Sensitivity analysis 44

45 Sensitivity analyses will be conducted to assess the effects of quality of trial methodology by  
46  
47 comparing the results of meta-analyses with and without trials that are judged to have a high  
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49 risk of bias for one or more of the domains of random sequence generation, allocation  
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51 concealment, blinding of outcome assessment or incomplete outcome.  
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3 A sensitivity analysis will also be conducted to examine potential bias caused by missing data,  
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5 by comparing results from different methods of dealing with missing data (e.g. available case  
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7 analysis, ITT analysis using imputation of outcomes, assuming that all missing participants  
8  
9 had positive outcome or that all missing participant had negative outcomes). Results of  
10  
11 sensitivity will be reported in a Summary of Findings table.  
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13

### 14 15 16 **Ethics and dissemination**

17  
18 Ethical approval is not required to conduct systematic reviews. The protocol will be  
19  
20 registered in PROSPERO. The findings of the review will be presented at relevant national  
21  
22 and international conferences, and submitted to a peer-reviewed journal.  
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### 26 27 **DISCUSSION**

28  
29 There is a lack of acknowledgement that women postpartum may be at risk of developing  
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31 symptoms of trauma or PTSD. This means that their mental health needs likely remain  
32  
33 undetected and unmet and, importantly, symptoms may impact on childcare and rearing.  
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37 Women are not routinely included in studies investigating the effectiveness of psychological  
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39 interventions for PTSD, and therefore we know little about whether these interventions are  
40  
41 effective and acceptable to this population. We believe that this systematic review will be a  
42  
43 valuable contribution to improving women's mental health and well-being following  
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45 childbirth.  
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## AUTHORS' CONTRIBUTIONS

- MF: Proposing and designing the review, protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, writing to authors of papers for additional information, analysis and interpretation of data, and writing the review.
- DS: Design of the review and protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, interpretation of data, and writing the review.
- DB: Design of the review and protocol development. Will contribute to: quality appraisal of papers, interpretation of data, and writing the review.
- ESWN: Design of the review and protocol development. Will contribute to: checking accuracy of data extraction and conducting statistical analysis and reviewing the final report.
- JS: Proposing and designing the review, protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, analysis and interpretation of data, and writing the review.

## FUNDING STATEMENT

This work was supported by the Japan Society for the Promotion of Science (JSPS), Grants-in-Aid for Scientific Research (KAKENHI). Grant Number: 16K15923. The funder has played no role in developing the systematic review protocol and will not have any role during the review.

## COMPETING INTERESTS STATEMENT

The authors declare that they have no competing interests.



**PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol\***

Section and topic	Item No	Checklist item	Reported on page #
<b>ADMINISTRATIVE INFORMATION</b>			
Title:			p. 1
Identification	1a	Identify the report as a protocol of a systematic review	
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	We will register the protocol in PROSPERO
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	Contact: p. 1 Email: submission system
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	Contribution: p. 21
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	N/A
Support:			p. 21
Sources	5a	Indicate sources of financial or other support for the review	
Sponsor	5b	Provide name for the review funder and/or sponsor	
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	
<b>INTRODUCTION</b>			
Rationale	6	Describe the rationale for the review in the context of what is already known	p. 4-7
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	p. 7
<b>METHODS</b>			
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	Inclusion/exclusion criteria: p. 7-10
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	p. 10 'Data sources and search strategy'

Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	p. 10-11 'Data sources and search strategy'
Study records:			
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	p. 10-11 (11a). To manage record, we will use the reference management software package EndNote X7 as described in 'Data sources and search strategy'.
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	p. 11 (11b) 'Selection of study'
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	p. 11 (11c, 12) 'Data extraction and management'
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	p.9 'Types of outcome measures'
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	p. 12 'Risk of bias assessment'
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	p. 15-16 (15a, 15b)
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as $I^2$ , Kendall's $\tau$ )	'Data synthesis' and 'Heterogeneity'
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	p. 16 (15c) 'Subgroup analysis and meta-regression' and 'Sensitivity analysis'
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	p. 15 (15d). Under the section of 'Heterogeneity', we described that "If comparable studies are not available with great clinical heterogeneity, extracted data will be synthesised into a narrative summary."
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	p. 13 'Summary Assessments of Risk of Bias' p. 14 'Assessment of reporting biases'
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	p. 13. We will use the GRADE approach as described in 'Summary Assessments of Risk of Bias'.

**\* It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ*. 2015 Jan 2;349(jan02 1):g7647.

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# BMJ Open

## Effectiveness of trauma-focused psychological therapies compared to usual postnatal care for treating post-traumatic stress symptoms in women following traumatic birth: A systematic review protocol

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-013697.R1
Article Type:	Protocol
Date Submitted by the Author:	04-Oct-2016
Complete List of Authors:	Furuta, Marie; Kyoto University, Graduate School of Medicine Spain, Debbie; Kings College London, Institute of Psychiatry, Psychology and Neuroscience Bick, Debra; Kings College London, Florence Nightingale School of Nursing and Midwifery Ng, Edmund; London School of Hygiene & Tropical Medicine Sin, Jacqueline; Kings College London, Institute of Psychiatry, Psychology and Neuroscience; St George's, University of London, Population Health Research Institute
<b>Primary Subject Heading</b>:	Evidence based practice
Secondary Subject Heading:	Mental health, Obstetrics and gynaecology
Keywords:	MENTAL HEALTH, Maternal medicine < OBSTETRICS, PUBLIC HEALTH

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3 **Effectiveness of trauma-focused psychological therapies compared to usual postnatal**  
4 **care for treating post-traumatic stress symptoms in women following traumatic birth: A**  
5 **systematic review protocol**  
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3 **Effectiveness of trauma-focused psychological therapies compared to usual postnatal**  
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12 **Abstract**  
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14 **Introduction:** Maternal mental health has been largely neglected in the literature. Women,  
15 however, may be vulnerable to developing post-traumatic stress symptoms or post-traumatic  
16 stress disorder (PTSD), following traumatic birth. In turn, this may affect their capacity for  
17 child rearing and ability to form a secure bond with their baby, and impact on the wider  
18 family. Trauma-focused psychological therapies (TFPT) are widely regarded as effective and  
19 acceptable interventions for PTSD in general and clinical populations. Relatively little is  
20 known about the effectiveness of TFPT for women post-partum who have post-traumatic  
21 stress symptoms.  
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32 **Methods and analysis:** We will conduct a review to assess the effectiveness of TFPT,  
33 compared with usual postpartum care, as a treatment for post-traumatic stress symptoms or  
34 PTSD for women following traumatic birth. Using a priori search criteria, we will search for  
35 randomised controlled trials (RCT) in four databases: Cochrane Central Register of  
36 Controlled Trials (CENTRAL), MEDLINE, PsycINFO and OpenGrey. We will use search  
37 terms that relate to the population, TFPT and comparators. Screening of search results and  
38 data extraction will be undertaken by two reviewers, independently. Risk of bias will be  
39 assessed in RCTs which meet the review criteria. Data will be analysed using the following  
40 methods, as appropriate: narrative synthesis; meta-analysis; subgroup analysis; and meta-  
41 regression.  
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3 **Dissemination and ethics:** As this work comprises a synthesis of existing studies, ethical  
4 approvals are not required. Results will be disseminated at conferences and in publications.  
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10 **Strengths and limitations of this study**

- 11 • Using a comprehensive literature search and synthesis, this systematic review will  
12 provide the best evidence available regarding the effectiveness of trauma-focused  
13 psychological therapies for women who have suffered a traumatic birth experience.  
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- 16 • The systematic review protocol was developed and published prior to conducting the  
17 review to avoid reporting bias.  
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- 20 • There may be some issues related to data (incorrect reporting, missing or insufficient  
21 data), but we will contact the original researchers to ask for the clarification, if  
22 necessary.  
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## INTRODUCTION

Maternal mental health remains relatively underexplored despite the potential long-term impact and consequences for women, their babies, and the wider family network<sup>1-4</sup>. A recent confidential enquiry into maternal deaths and morbidity in the UK and Ireland<sup>5</sup> reported that mental health problems remain one of the leading causes of maternal death: from 2009 to 2013, 23% of deaths in women postpartum (ranging from six weeks to one year after pregnancy) were attributed to either suicide or accidental death (e.g. following substance misuse). The most common maternal mental health problem diagnosed during the postnatal period is depression.<sup>6</sup> It has been suggested, however, that the term 'postnatal depression' is overused in clinical practice as a label for any mental illness occurring postnatally.<sup>7</sup>

It is increasingly recognised that a traumatic birth can result in post-traumatic stress symptoms (i.e. symptoms that fall below the diagnostic threshold), or post-traumatic stress disorder (PTSD).<sup>8-9</sup> PTSD is a severe and debilitating mental health disorder that an individual may develop in response to experiencing or witnessing a highly traumatic event (APA, 2013).<sup>10</sup> For some women, giving birth can be a frightening, anxiety-provoking, and traumatic experience. Perceptions of childbirth as traumatic arise when a woman believes that there is a serious or significant threat to her own life (eg. anticipated or unexpected obstetric complications, emergency caesarean section) or the life of her baby (eg. premature labour, stillbirth).<sup>11-12</sup> PTSD symptoms that may occur in women after a traumatic birth include intrusive thoughts and images about the traumatic event (e.g. seeing severe blood loss, being rushed to hospital); avoidance of stimuli associated with the traumatic event (e.g., avoiding attending hospital appointments or sharing birth experiences with others; avoiding the baby who is a reminder of the trauma); blunting of affect (e.g., low mood); negative thoughts and

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3 beliefs about the self, others, or the world (e.g., 'I am going to die', 'I am not a good  
4 mother'); dissociative states, and emotional dysregulation. PTSD symptoms can typically  
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6 impede aspects of daily functioning, including social relationships and ability to find and  
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8 sustain employment.  
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14 It is estimated that the proportion of women who suffer post-traumatic stress symptoms  
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16 following 'normal' childbirth is about 3% to 6% at around six weeks postpartum, decreasing  
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18 to about 1.5% at six months postpartum.<sup>13</sup> Prevalence rates appear to be higher for at-risk  
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20 groups (e.g. women who have experienced obstetric complications, emergency caesarean  
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22 sections, premature births, or stillbirths), and are estimated to be up to 44% within two years  
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24 postpartum.<sup>14</sup> However, prevalence estimates vary widely, perhaps due to differences in study  
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26 designs, sampling frames, sample sizes, diagnostic criteria employed and measurement  
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28 instruments.<sup>14-16</sup> It is anticipated that the number of women who experience traumatic births  
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30 is likely to rise, due to increasingly complex medical needs of women who become pregnant  
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32 when older or obese.<sup>17-20</sup> There is, therefore, an urgent need to consider how best to support  
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34 women who suffer from post-traumatic stress symptoms during the postnatal period.  
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### 40 **Description of the intervention**

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42 Systematic reviews have consistently concluded that trauma-focused psychological therapies  
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44 (TFPT) are effective treatments for PTSD in general population groups. These include  
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46 different modes of exposure therapy such as narrative exposure therapy (NET), trauma-  
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48 focused cognitive behavioural therapy (TFCBT), and eye-movement desensitisation and  
49  
50 reprocessing (EMDR).<sup>21-23</sup> All TFPT share some core treatment principles, in particular, an  
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52 emphasis on supporting patients to make sense of and process memories of trauma, and  
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54 cognitions and attributions relating to traumatic events.<sup>24-26</sup> EMDR<sup>27</sup> and CBT, in particular,  
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3 are recommended by NICE guidance on PTSD for children and adults who have experienced  
4 a single traumatic event.<sup>23</sup>  
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### 9 10 **How the intervention might work**

11 Exposure therapy typically involves asking the individual to relive the trauma, either in their  
12 imagination or by writing (in NET) a trauma narrative to create a detailed account of the  
13 event. The individual is then asked repeatedly to revisit or read the narrative in order to  
14 become habituated to the post-traumatic stress symptoms that are generated.<sup>24 28</sup> TFCBT  
15 involves helping individuals to make sense of their experiences, identify ways or patterns of  
16 thinking that are negative, recognise thoughts and beliefs about the self, others or the world  
17 that are associated with the traumatic event, and finally, note behavioural or coping responses  
18 which may be helpful in the short term, yet perpetuate anxiety in the longer term. Individuals  
19 are encouraged to develop new ways of thinking about and appraising traumatic events  
20 (Ehlers, 2005). EMDR involves supporting individuals to identify and then focus on a  
21 traumatic image (e.g., finding oneself with heavy bleeding), an associated thought (e.g., ‘My  
22 baby and I are going to die’), the emotion (e.g., extreme fear), and physical sensations, while  
23 receiving bilateral stimulation, most commonly in the form of eye movements.<sup>27</sup>  
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### 43 **Importance of the review**

44 Although TFPT are both effective and acceptable as treatments for PTSD in general and  
45 clinical populations, postpartum women are typically excluded from research studies, so the  
46 clinical utility of these interventions is yet to be established.<sup>2</sup> There is currently no systematic  
47 review that synthesises evidence regarding the effectiveness of TFPT for women who have  
48 suffered a traumatic birth. A Cochrane review of psychosocial and psychological  
49 interventions (e.g. CBT) for postnatal depression does exist,<sup>29 30</sup> but PTSD and trauma  
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3 symptoms are not included as outcomes of interest. It is quite possible that PTSD following  
4 childbirth differs from PTSD that occurs in other contexts.<sup>31</sup> Unlike typical stressors that  
5 contribute to PTSD, such as abuse, assault, torture, or war, childbirth is by and large deemed  
6 to be a positive event, while also concurrently seeming traumatic for some women. The  
7  
8 implication is that women's needs may be misunderstood.<sup>32</sup> Behaviours indicative of PTSD,  
9  
10 such as social withdrawal and avoidance, may be misattributed to needing to care for a baby,  
11  
12 when in fact this is as a consequence of PTSD. It is also evident that for some women caring  
13  
14 for a baby continues to be a reminder of traumatic experiences, which may in turn mediate  
15  
16 the propensity for developing strong bonds and secure attachments between mother and child.  
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18 Overall, it is likely to be clinically important to take account of the postnatal context when  
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20 planning and delivering TFPT.  
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## 30 **OBJECTIVES**

31  
32 The primary objective of this systematic review is to assess the effectiveness of TFPT,  
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34 compared with usual postpartum care for PTSD or post-traumatic stress symptoms in women  
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36 following traumatic birth.  
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42 Secondary objectives are to examine the effectiveness of these psychological interventions  
43  
44 for common co-morbid symptoms including depression, anxiety or distress, as well as any  
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46 adverse effects including an increase in PTSD symptoms or death.  
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## METHOD AND ANALYSIS

### Inclusion/exclusion criteria

#### *Population*

Women experiencing post-traumatic stress symptoms and/or the impact of these following traumatic birth, who meet PTSD diagnostic threshold, or who have sub-threshold symptoms. Diagnostic assessment could be made according to self-report, such as via a questionnaire (e.g. PTSD Symptom Scale – Self Report version [PSS-SR]<sup>33</sup>), or via a clinician administered assessment (e.g. Structured Clinical Interview for DSM-IV [SCID]<sup>34 35</sup>); Clinician-administered PTSD scale [CAPS]<sup>36</sup>). There is no restriction on age, nationality or birth mode.

#### *Intervention*

Trauma-focused psychological therapies (TFPT) added to usual (standard) postnatal care to reduce symptoms of PTSD. Psychological interventions that will be included in this review are as follows:

1. Exposure therapy: Any individual therapy which involves guiding the individual to relive and process the trauma memory through creating a narrative using formats such as writing or audio-recording. During therapy, the patient will revisit the narrative repeatedly in order to habituate or develop tolerance of trauma symptoms.
2. *Trauma-focused cognitive behavioural therapy (TFCBT)*: Any psychological therapy that predominantly employs trauma-focused cognitive, behavioural or cognitive-behavioural techniques, and that aim to support individuals to identify unhelpful thoughts or thinking styles, and behaviours, and develop new ways of thinking about or coping with trauma.

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3 Examples of therapies within this category are cognitive therapy,<sup>37</sup> cognitive processing  
4 therapy<sup>38</sup> and prolonged exposure.<sup>39</sup>  
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- 9  
10 3. EMDR: A structured protocol-driven trauma-focused therapy, which relies on an adaptive  
11 information process model of PTSD.<sup>40</sup> EMDR comprises eight elements, including recall  
12 of images, thoughts, emotions and bodily sensations associated with traumatic events,  
13 while receiving bilateral stimulation.  
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21 4. Any other psychological intervention that does not fit the above categories, but clearly  
22 describes the theoretical underpinning and is intended to target trauma symptoms and  
23 related distress in postpartum females.  
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### 29 Comparators

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31  
32 1. Standard postnatal care (which denotes the usual postnatal care provided within the first  
33 six weeks post-birth in settings which do not routinely offer TFPT).  
34  
35  
36 2. Standard postnatal care, plus any non-specific supportive counselling or ‘attention  
37 control’ (e.g. befriending) provided by primary care/postnatal follow-up.  
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### 42 Types of outcome measures

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45 1. Primary outcome

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47 Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in PTSD or  
48 trauma symptoms as measured by validated scales e.g. PSS-SR<sup>33</sup>, CAPS<sup>36</sup>, Impact of Events  
49 Scale (IES).<sup>41</sup> Scores of continuous outcome measures reported, such as PSS-SR and CAPS,  
50 will be converted to indicate recovery or not from PTSD according to well-established cut-off  
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3 scores (e.g. cut-off scores for the PSS-SR, the CAPS and the IES are 14, 40 and 19) or as  
4  
5 described by the study authors.  
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## 8 9 10 2. Secondary outcomes

- 11 • Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in  
12 depressive symptoms as measured by validated scales, e.g. Edinburgh Postnatal  
13 Depression Scale (EPDS),<sup>42</sup> Beck Depression Inventory (BDI),<sup>43</sup> State of Anxiety and  
14 Depression (SAD)<sup>44</sup>
- 15 • Recovery (dichotomous outcomes) from, or reduction (continuous outcomes) in anxiety  
16 symptoms as measured by validated scales, e.g. Beck Anxiety Inventory (BAI: Beck et al.  
17 1988), Hospital Anxiety and Depression Scale<sup>45</sup>
- 18 • Well-being or quality of life, e.g. Short Form-36 (SF-36)<sup>46</sup>
- 19 • Adverse events or effects, e.g. increased PTSD or trauma symptom severity, death.  
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### 34 Timing of outcome measurements

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36 Timing of outcome measurements will be grouped into three periods of time:

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38 Short term: up to six months post intervention;

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40 Medium term: between six and 12 months post intervention

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42 Long term: over 12 months post intervention.  
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### 47 Types of studies

48  
49 We will include all randomised controlled trials (RCTs), cluster RCTs, quasi-randomised  
50 trials, (such as trials which allocate study participants according to day of the week), and  
51 RCTs that comprise a crossover methodology that compare TFPT for PTSD symptoms in  
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3 women following traumatic birth with usual postpartum care. Study populations which  
4  
5 comprise non-postpartum individuals will be included if the subset of data specific to the  
6  
7 women are published or obtainable from the paper/trialists. There will be no restriction based  
8  
9 on the study sample size, language, study setting or publication status.  
10  
11

### 12 13 14 **Data sources and search strategy**

15  
16 We will carry out systematic searches in the Cochrane Central Register of Controlled Trials  
17  
18 (CENTRAL), MEDLINE, PsycINFO, and OpenGrey using a search strategy developed in  
19  
20 consultation with an information specialist at the academic institution where the first reviewer  
21  
22 (MF) is based (Table 1). To maximise search sensitivity, we will use both index terms (e.g.  
23  
24 Medical Subject Heading: MeSH) and free-text terms referring to population (e.g.  
25  
26 ‘pregnancy’, ‘postnatal’) and interventions (e.g. ‘Cognitive Therapy’, ‘Eye Movement  
27  
28 Desensitization Reprocessing’) without terms referring to outcomes. No restrictions on date,  
29  
30 language, or publication status will be applied to the searches. The electronic searches will  
31  
32 be supplemented by a hand search of the reference lists of all included studies. The citations  
33  
34 we retrieve from the searches will be imported into the reference management software  
35  
36 package EndNote X7.  
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**Table 1 Searching strategy (Medline)**

Population	AND	Intervention	AND	Study design <sup>d</sup>
exp Pregnancy/ OR		exp Cognitive Therapy/ OR		exp Clinical Trial/ OR
exp Pregnancy Outcome/ OR		CBT.mp. OR		exp Clinical Trial, Phase I/ OR
exp Delivery, Obstetric/ OR		exp Eye Movement Desensitization Reprocessing/ OR		exp Clinical Trial, Phase II/ OR
exp Parturition/ OR		EMDR OR		exp Clinical Trial, Phase III/ OR
birth.mp OR		exp Behavior Therapy/ OR		exp Clinical Trial, Phase IV/ OR
childbirth.mp OR		Behaviour* therapy.mp OR		exp Controlled Clinical Trial/ OR
exp Postnatal Care/ OR		exp Psychotherapy/ OR		exp Randomized Controlled Trial/ OR
postnatal.mp. OR		psychological.mp OR		exp Random Allocation/ OR
exp Postpartum Period/ OR		exp Psychological Techniques/ OR		randomised.mp OR
postpartum.mp OR		exp Psychology, Experimental/ OR		trial.mp OR
exp Maternal Health Services/ OR		Trauma focused.mp		RCT.mp
exp Infant, Newborn/ OR				
exp Cesarean Section/ OR				
caesarean OR				
exp Stillbirth/ OR				
exp Intensive Care, Neonatal/ OR				
exp Intensive Care Units, Neonatal/ OR				

i) Search will be performed initially with sets of terms referring to population and interventions The terms referring to study design may be added if necessary, to increase search specificity.

## Data collection and analysis

### Selection of studies

Two reviewers will independently screen titles and abstracts of all potential studies identified through the search strategy, and they will code them as 'retrieve' (eligible or potentially eligible/unclear) or 'do not retrieve.' The two reviewers will then independently read the full text of the studies retrieved to determine whether trials meet the inclusion criteria or to record reasons for excluding ineligible studies. A third author will undertake a random check of 10%

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2  
3 of results at each stage. Any disagreements will be resolved through discussion or, if required,  
4  
5 through consultation with other review authors. The process of the study selection will be  
6  
7 outlined in a Preferred Reporting Items for Systematic Reviews and Meta-Analyses  
8  
9 (PRISMA) flow diagram and ‘characteristics of excluded studies’ table.  
10  
11

#### 12 13 14 Data extraction and management

15  
16 Two review authors will independently extract data using a data extraction form designed for  
17  
18 this review which include details about study eligibility; sample frame and size; participant  
19  
20 characteristics; diagnosis and diagnostic criteria used; nature, timing, and duration of  
21  
22 intervention; number and frequency of sessions; professional background of trial therapists;  
23  
24 outcomes (primary and secondary measures); statistical analyses; duration of follow-up; and  
25  
26 attrition. Attempts will be made to obtain missing and/or unpublished details, by contacting  
27  
28 study authors. This process will involve contacting trialists for independent datasets of  
29  
30 postnatal women, if they are included in trials that also include other trauma victims.  
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#### 36 Risk of bias assessment

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38 Two review authors will independently assess the risk of bias of all included studies, using  
39  
40 the approach recommended in the *Cochrane Handbook for Systematic Review of*  
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42 *Interventions*.<sup>47</sup> The Cochrane’s risk-of-bias tool addresses six specific domains: (1) sequence  
43  
44 allocation for randomisation; (2) allocation concealment; (3) blinding of personnel and  
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46 assessors; (4) incomplete outcome data; (5) selective reporting; and (6) any other notable  
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48 risks of bias. For each item, one of the following three judgements will be made: ‘low risk’ of  
49  
50 bias (plausible bias – unlikely to seriously alter the results), ‘high risk’ of bias (plausible bias  
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52 that seriously weakens confidence in the results), or ‘unclear risk’ of bias (plausible bias that  
53  
54 raises some doubt about the results) when insufficient information was reported to permit  
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3 judgment. The process for reaching judgments will be described in the risk-of-bias tables to  
4  
5 ensure transparency.  
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### 8 9 10 Summary Assessments of Risk of Bias

11 The overall quality of the evidence for each outcome will be assessed using the Grading of  
12 Recommendations Assessment, Development and Evaluation (GRADE) approach.<sup>47 48</sup> The  
13 overall quality of evidence for each outcome will be assigned to one of four levels – high,  
14 moderate, low, or very low – according to factors including within-study risk of bias  
15 (methodological quality), directness of evidence, heterogeneity, precision of effect estimates  
16 and risk of publication bias.<sup>47 48</sup>  
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### 25 26 27 Measures of treatment effect

28 For dichotomous outcomes, such as the presence of PTSD, depression or anxiety, the Mantel-  
29 Haenszel method for computing the pooled risk ratio (RR) with 95% confidence intervals  
30 (CI) will be used. For continuous data, and where different scales have been used, the  
31 standardised mean difference (SMD) and 95% CI will be calculated to indicate the direction  
32 and consistency of effect. The weighted mean difference (WMD) and 95% CI will be  
33 calculated where all outcomes were measured using the same scale in the same way.  
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### 43 44 45 Multiplicity and unit of analysis issues

46 If a study reports data for more than one outcome or time-point, analyses will be conducted  
47 separately for each outcome/time point (short, medium, long term). For trials with multiple  
48 arms of treatment in a study, the appropriateness of combining data to create a single pair-  
49 wise comparison will be considered if therapies are sufficiently similar. Alternatively, data  
50 from the arms of the trial which fit closest to the review objectives will be used. Where  
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3 studies have adopted a cross-over design, only outcome data from the first randomisation  
4  
5 period will be included. If cluster-randomised trials are identified, sample sizes will be  
6  
7 adjusted using an estimate of the intra-cluster correlation co-efficient (ICC) from the trial or  
8  
9 from a study of a similar population, based on statistical advice.  
10

#### 11 12 13 14 Dealing with missing data

15  
16 Dealing with missing data may include imputing outcomes for the missing participants to  
17  
18 facilitate an intention-to-treat (ITT) analysis.<sup>47</sup> This may involve a sensitivity analysis by  
19  
20 imputing outcomes for the missing participants with the most optimistic and the most  
21  
22 pessimistic scenarios and then comparing the results of these two analyses. The sensitivity  
23  
24 analysis may also be conducted to facilitate comparisons of the ITT with imputations from  
25  
26 ‘available case analysis’ (i.e. analyse data with participants whose outcomes are known).<sup>47</sup> If  
27  
28 these analyses yield similar results in the same direction of the effects of the treatment  
29  
30 (indicating participants with missing outcomes are safely excluded), the results of available  
31  
32 case analysis will be used for meta-analysis. The impact of including these studies in the  
33  
34 overall assessment of treatment effect (summary effect) will be further assessed with  
35  
36 additional sensitivity analysis comparing the results of meta-analyses with and without trials  
37  
38 which are rated as high risk bias due to missing data (see Sensitivity analysis).  
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#### 45 Assessment of reporting biases

46  
47 When sufficient studies are available (n=10 or more), we will construct funnel plots and  
48  
49 scrutinised them for signs of asymmetry<sup>47</sup>.  
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### Data synthesis

Random effects meta-analyses will be performed which will produce the average effect size of the intervention across studies, allowing for differences in the treatment effect from study to study. Random effects meta-analyses is a conservative option and more appropriate for this study than a fixed-effect model (which assumes that there is one true effect) because the populations and settings are likely to be slightly different, therefore the effects are likely to be slightly different. However, if there are only few studies (2-4 studies), it may be inadequate to accurately estimate of the width of the distribution of intervention effects.<sup>47 49</sup> In this case a fixed-effect analysis will be performed. Then, the results obtained from these two methods random effects and fixed-effect models will be compared to seek potential bias and heterogeneity. Analyses will be conducted by a statistician (ESWN) using a statistical software, STATA 14.

### Heterogeneity

Heterogeneity will be assessed within each comparison. In the instance of clinical heterogeneity (e.g. variation in study settings, intervention modality), we will conduct subgroup analyses. Alternatively, if there is methodological heterogeneity (e.g. variation in study designs, outcome measures or risk of bias), we will perform sensitivity-analyses, where data are available. If there is significant heterogeneity between studies, extracted data will be synthesised into a narrative summary.

Where meta-analyses are performed, tests of statistical heterogeneity will be carried out using  $I^2$  and  $\text{Chi}^2$  statistics,<sup>47</sup> as well as visual inspection of the forest plots. If heterogeneity is identified (e.g. the  $I^2$  is greater than 30%, and the  $p$  value is less than 0.10 in the  $\text{Chi}^2$  test for heterogeneity or different direction of the effects), pre-specified subgroup analysis and meta-

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3 regression analyses will be conducted to identify important determinants of heterogeneity  
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5 when sufficient data are available.  
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9  
10 Subgroup analysis and meta-regression

11 If possible, subgroup analyses will be undertaken as follow:

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13  
14 1. Study setting (high-income versus middle income versus low income countries)  
15  
16 2. Delivery mode of the intervention which shares the same theoretical modality (e.g.  
17  
18 face-to-face versus web-based TFCBT)  
19  
20

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22  
23 Meta-regression analysis:

- 24  
25 1. Intervention frequency (e.g. number of sessions)  
26  
27 2. Methodological heterogeneity of trial (e.g. ways of dealing with missing data, whether  
28  
29 effect estimates from 'per-protocol' analyses differ compared with 'ITT' analyses)  
30  
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34 Sensitivity analysis

35  
36 Sensitivity analyses will be conducted to assess the effects of quality of trial methodology by  
37  
38 comparing the results of meta-analyses with and without trials that are judged to have a high  
39  
40 risk of bias for one or more of the domains of random sequence generation, allocation  
41  
42 concealment, blinding of outcome assessment or incomplete outcome.  
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47 A sensitivity analysis will also be conducted to examine potential bias caused by missing data,  
48  
49 by comparing results from different methods of dealing with missing data (e.g. available case  
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51 analysis, ITT analysis using imputation of outcomes, assuming that all missing participants  
52  
53 had positive outcome or that all missing participant had negative outcomes). Results of  
54  
55 sensitivity will be reported in a Summary of Findings table.  
56  
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### **Ethics and dissemination**

Ethical approval is not required to conduct systematic reviews. The protocol was registered in PROSPERO (CRD42016043897). The findings of the review will be presented at relevant national and international conferences, and submitted to a peer-reviewed journal.

### **DISCUSSION**

There is a lack of acknowledgement that women postpartum may be at risk of developing symptoms of trauma or PTSD. This means that their mental health needs likely remain undetected and unmet and, importantly, symptoms may impact on childcare and rearing.

Women are not routinely included in studies investigating the effectiveness of psychological interventions for PTSD, and therefore we know little about whether these interventions are effective and acceptable to this population. We believe that this systematic review will be a valuable contribution to improving women's mental health and well-being following childbirth.

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## AUTHORS' CONTRIBUTIONS

- MF: Proposing and designing the review, protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, writing to authors of papers for additional information, analysis and interpretation of data, and writing the review.
- DS: Design of the review and protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, interpretation of data, and writing the review.
- DB: Design of the review and protocol development. Will contribute to: quality appraisal of papers, interpretation of data, and writing the review.
- ESWN: Design of the review and protocol development. Will contribute to: checking accuracy of data extraction and conducting statistical analysis and reviewing the final report.
- JS: Proposing and designing the review, protocol development. Will contribute to: abstract screening, paper screening, data extraction, quality appraisal of papers, analysis and interpretation of data, and writing the review.

## FUNDING STATEMENT

This work was supported by the Japan Society for the Promotion of Science (JSPS), Grants-in-Aid for Scientific Research (KAKENHI) (Grant Number: 16K15923). The funder has played no role in developing the systematic review protocol and will not have any role during the review. DB is supported by the National Institute for Health Research (NIHR) Collaboration for Leadership in Applied Health Research and Care South London at King's College Hospital NHS Foundation Trust (CLAHRC-2013-10022). The views expressed are those of the authors and not necessarily those of the NHS, the NIHR, or the Department of Health.

## COMPETING INTERESTS STATEMENT

The authors declare that they have no competing interests.



**PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol\***

Section and topic	Item No	Checklist item	Reported on page #
<b>ADMINISTRATIVE INFORMATION</b>			
Title:			p. 1
Identification	1a	Identify the report as a protocol of a systematic review	
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number	The protocol was registered in PROSPERO (CRD42016043897) p.18
Authors:			
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	Contact: p. 1 Email: submission system
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	Contribution: p. 22
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	N/A
Support:			p. 22
Sources	5a	Indicate sources of financial or other support for the review	
Sponsor	5b	Provide name for the review funder and/or sponsor	
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	
<b>INTRODUCTION</b>			
Rationale	6	Describe the rationale for the review in the context of what is already known	p. 4-7
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	p. 7
<b>METHODS</b>			
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	Inclusion/exclusion criteria: p. 8-11
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	p. 11 'Data sources and search strategy'



Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	p. 11-12 'Data sources and search strategy'
Study records:			
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	p. 11 (11a). To manage record, we will use the reference management software package EndNote X7 as described in 'Data sources and search strategy'.
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	p. 12 (11b) 'Selection of study'
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	p. 13 (11c, 12) 'Data extraction and management'
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	p.9-10 'Types of outcome measures'
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	p. 13 'Risk of bias assessment'
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised	p. 16 (15a, 15b)
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as $I^2$ , Kendall's $\tau$ )	'Data synthesis' and 'Heterogeneity'
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	p. 17 (15c) 'Subgroup analysis and meta-regression' and 'Sensitivity analysis'
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	p. 16 (15d). Under the section of 'Heterogeneity', we described that "If comparable studies are not available with great clinical heterogeneity, extracted data will be synthesised into a narrative summary."
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	p. 14 'Summary Assessments of Risk of Bias' p. 15 'Assessment of reporting biases'
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	p. 14. We will use the GRADE approach as described in 'Summary Assessments of Risk of Bias'.

**\* It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

*From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.*