BMJ Open Pain sensitisation and the risk of poor outcome following physiotherapy for patients with moderate to severe knee osteoarthritis: protocol for a prospective cohort study

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ABSTRACT
Introduction: Pain is the dominant symptom of knee osteoarthritis (OA), and recent evidence suggests factors outside of local joint pathology, such as pain sensitisation, can contribute significantly to the pain experience. It is unknown how pain sensitisation influences outcomes from commonly employed interventions such as physiotherapy. The aims of this study are, first, to provide a comprehensive description of the somatosensory characteristics of people with pain associated with knee OA. Second, we will investigate if indicators of pain sensitisation in patients with knee osteoarthritis are predictive of non-response to physiotherapy.

Methods and analysis: This is a multicentre prospective cohort study with 140 participants. Eligible patients with moderate to severe symptomatic knee osteoarthritis will be identified at outpatient orthopaedic and rheumatology clinics. A baseline assessment will provide a comprehensive description of the somatosensory characteristics of each participant by means of clinical examination, quantitative sensory testing, and validated questionnaires measuring pain and functional capacity. Participants will then undergo physiotherapy treatment. The primary outcome will be non-response to physiotherapy on completion of the physiotherapy treatment programme as defined by the Osteoarthritis Research Society International treatment responder criteria. A principal component analysis will identify measures related to pain sensitisation to include in the predictive model. Regression analyses will explore the relationship between responder status and pain sensitisation while accounting for confounders.

Ethics and dissemination: This study has been approved by St James’ Hospital/AMNCH Research Ethics Committee and by the St Vinny’s Healthcare Group Ethics and Medical Research Committee. The results will be presented at international conferences and published in a peer review journal.

Trial registration number: NCT02310945.

Strengths and limitations of this study
To the best of our knowledge, this is the first study prospectively examining the effects of pain sensitisation on physiotherapy outcomes.

Strengths of this proposed study include the relatively large sample for the comprehensive assessment procedure involved; the use of a broad range of validated measures to study pain processing; and the gathering of our own reference QST data from healthy volunteers.

This study will focus on tests to identify features of pain sensitivity on the basis of their utility and practicality in the clinical setting. However, these criteria necessitate the exclusion of certain tests, such as thermal pain thresholds, that are difficult to carry out reliably in the clinical setting in a limited time frame.

As this is a clinically based observational study, there is likely to be variation in the duration and type of physiotherapy interventions. The findings of this research may identify clinical and psychophysiological variables predictive of a poor response to physiotherapy that might usefully inform subsequent studies aimed at targeting such variables in an attempt to optimise patients’ outcomes.

INTRODUCTION
Knee osteoarthritis (OA) is a prevalent joint disease that causes a huge burden of pain, disability and loss of productivity worldwide.1–3 With ageing populations and increasing obesity, the prevalence of OA is rising, thus, its timely and effective management is a priority within healthcare.3,4

The pathophysiology of knee OA pain is complex. Altered processing of nociceptive inputs at peripheral, spinal and higher brain centres may help explain discrepancies between pain severity, and the degree of structural and pathological abnormalities
Central sensitisation is described as an increased responsiveness of nociceptive neurons in the central nervous system to their normal or subthreshold afferent input. It can have widespread effects such as general pain hypersensitivity, while other regional manifestations of central sensitisation include spread of pain sensitivity to normal tissue, an exaggerated response to a noxious stimulus and pain after the end of a stimulus. Furthermore, peripheral proinflammatory mediators and neuropeptides in knee OA can sensitise nociceptors in the affected knee, lowering their threshold for activation. This increased responsiveness of nociceptive neurons is referred to as peripheral sensitisation.

Both peripheral and central sensitisation, clinically referred to as pain sensitisation, can contribute to painful knee OA. Pain sensitisation may be useful as a clinical construct to alert clinicians to patients with a potentially upregulated nociceptive state, and is proposed to contribute to an enhanced, persistent and more widespread pain response. Recent studies in knee OA have found the presence of increased pain sensitivity at remote sites, enhanced temporal summation (TS) and hypersensitivity to various stimuli, to be associated with reports of more severe symptoms. However, these cross-sectional studies linking features of sensitisation to greater levels of pain and disability do not explore if pain sensitivity has any prognostic implications.

There is also some evidence to suggest that increased pain sensitivity negatively affects treatment outcomes. In painful musculoskeletal conditions such as shoulder impingement and lateral epicondylalgia, widespread pressure pain sensitivity and thermal hyperalgesia, have been linked with a poorer prognosis. Surgical outcomes for knee osteoarthritis may also be affected, with the presence of increased pain sensitivity prior to total knee replacement associated with more persistent pain after surgery.

Although joint replacement is considered an effective treatment for end-stage knee OA, the majority of patients are managed conservatively. Physiotherapy is the widely recommended conservative treatment approach for knee OA. Existing studies of prognosis in knee OA have focused on demographic and psychological variables. While it has been suggested that central pain processing may contribute significantly to the clinical pain experience in some people with knee OA, no longitudinal studies have explored the potentially negative prognostic impact of pain sensitisation on outcomes in response to physiotherapy. In whiplash-associated disorders, the presence of sensory hypersensitivity and cold hyperalgesia has been shown to reduce the likelihood of a positive response to physiotherapy treatment. Thus, it is conceivable, but currently unproven, that patients with knee OA with evidence of pain sensitisation have poorer outcomes following physiotherapy.

One obstacle to investigating the implications of pain sensitisation is reliably identifying it in the clinical setting. Owing to the complexity of pain mechanisms, it is inadvisable to rely on any single test to reflect peripheral and central pain mechanisms. A multivariate assessment using a multimodal stimuli approach has been advocated, and will be adopted in this study. The association between key features of pain sensitisation and clinical characteristics in knee OA have been previously investigated. Our study will be the first to prospectively explore the effect of key features of pain sensitisation on physiotherapy outcomes in knee OA.

This study will investigate the extent to which pain sensitisation predicts non-response to physiotherapy in patients with knee OA. Identifying clinical and psychophysical features of pain sensitisation in knee OA predictive of a poor response to physiotherapy might help inform the management of such patients. It may encourage clinicians to consider additional or alternative interventions aimed at reducing such pain sensitisation, and optimise outcomes.

**Study aims**

The main aims of the study are, first, to provide a comprehensive description of the somatosensory characteristics of people with pain associated with knee OA (by means of quantitative sensory testing (QST) and validated questionnaires measuring pain, functional capacity and quality of life) and second, to investigate if the presence of pain sensitisation at baseline is predictive of non-response to physiotherapy treatment as defined by treatment responder criteria.

We hypothesise that the presence of pain sensitisation will predict a non-response to physiotherapy treatment compared to patients without evidence of pain sensitisation.

**METHODS AND ANALYSIS**

**Study design**

A multicentre observational cohort study with assessments at baseline, post-treatment and at 6 months will be conducted. Following the baseline assessment for features of pain sensitisation, all participants will receive usual physiotherapy care. The relationship between pain sensitisation and outcomes in terms of pain and disability will be explored through regression analysis.

**Setting**

The study will be undertaken in the physiotherapy outpatient departments of three large publicly funded university teaching hospitals in Dublin, Ireland.

**Participants**

Patients with symptomatic knee OA referred for physiotherapy treatment by a hospital consultant or clinical specialist physiotherapist will be eligible for inclusion. Full details of inclusion/exclusion criteria are summarised in **table 1**.

At the time of recruitment, knee pain must be the participant’s primary musculoskeletal problem for which they are seeking treatment, and physiotherapy must be
the main treatment being undertaken over the study period. Participants recruited at physiotherapy-led musculoskeletal assessment clinics will be screened for eligibility by the clinical specialist physiotherapist. The principal investigator will screen patients on the physiotherapy waiting list over the telephone.

**Healthy controls**

Healthy participants are defined as people with no current pain or chronic pain problems in the past year. Forty age-matched and gender-matched controls will be recruited. Controls aged 50–65 years will be recruited mainly from the staff and student population of University College Dublin, while pain-free controls aged 65–80+ years will be recruited from the general population. Recruitment will be purposive in order to fill the quotas in terms of age and gender. The controls will provide reference data for QST results.

**Investigator**

The principal investigator (HOL), collecting all baseline and follow-up data, will be a senior physiotherapist with 12 years clinical experience. The same investigator will carry out all tests and is trained in using QST.

**Recruitment procedure**

A consecutive sample of patients with knee OA with moderate/severe knee pain will be recruited. Between October 2014 and September 2015, potentially eligible participants will be identified at musculoskeletal assessment clinics and from physiotherapy outpatient waiting lists. A feasible recruitment rate is estimated at 12 patients per month with recruitment continuing until the specified numbers are achieved. Potential participants will be screened over the telephone and asked to choose a categorical pain descriptor (mild/moderate/severe). Patients who rate their symptoms as mild (average symptoms over the past week) will be excluded. Those who meet the other inclusion criteria will be asked to attend for an assessment prior to starting physiotherapy treatment. Written informed consent will be obtained before enrolment in the study. Figure 1 represents the flow of participants through the study.

**Physiotherapy management**

Physiotherapy treatment will be in line with current clinical guidelines for the management of knee OA. Treatment will typically involve between four and six physiotherapy appointments. In some cases, treatment may take the format of a small group exercise intervention. A workshop led by the principal investigator will be held at each recruitment site prior to the start of the study where physiotherapists will receive an update on clinical guidelines and current best evidence on management of knee OA. This will standardise treatment to some degree, but intervention will be individualised at the discretion of the treating physiotherapist and in consultation with the patient.

**Assessment**

**Baseline assessment**

A schematic view of the outcome measures recorded at baseline and follow-up is presented in table 2. Each assessment will take approximately 1 h to complete. Some questionnaires will be posted and completed in advance by participants.

**Follow-up assessment**

The primary end point will be at completion of physiotherapy treatment, this time point is estimated to be, on average, at 3 months. Physiotherapy administration staff will alert the principal investigator when a

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**Table 1** Study inclusion and exclusion criteria

<table>
<thead>
<tr>
<th>Inclusion criteria</th>
<th>Exclusion criteria</th>
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<tbody>
<tr>
<td><strong>Diagnosis</strong></td>
<td>Lumbar or cervical radiculopathy, systematic inflammatory disease, positive screen for diabetic neuropathy, neuropathic pain</td>
</tr>
<tr>
<td>Knee osteoarthritis based on American College of Rheumatology clinical criteria and confirmed by radiographic findings</td>
<td>Pathology</td>
</tr>
<tr>
<td>Age over 50 years</td>
<td>Past medical history</td>
</tr>
<tr>
<td>Pain duration for at least 6 months</td>
<td>Previous surgery or disease of the peripheral or central nervous system, sensory loss secondary to chemotherapy or radiotherapy, fibromyalgia, chronic fatigue syndrome</td>
</tr>
<tr>
<td>Average pain over past week rated as moderate or severe by the patient</td>
<td>Cognitive ability</td>
</tr>
<tr>
<td>Willing to abstain from simple analgesics, NSAIDs, weak opioids or medications that combine these, for 24 h prior to testing</td>
<td>Cognitive or psychiatric disorder interfering with ability to fully consent or cooperate with assessment</td>
</tr>
<tr>
<td>Willing and able to give full consent</td>
<td>Other treatment</td>
</tr>
<tr>
<td>Injection or physiotherapy treatment for knee joint within previous 3 months</td>
<td>Medication</td>
</tr>
<tr>
<td>Taking antidepressant or anticonvulsant medication, strong opioids</td>
<td><strong>Exclusion criteria</strong></td>
</tr>
<tr>
<td><strong>Pathology</strong></td>
<td>Lumbar or cervical radiculopathy, systematic inflammatory disease, positive screen for diabetic neuropathy, neuropathic pain</td>
</tr>
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<td>Pathology</td>
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<td>Medication</td>
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<tr>
<td>Taking antidepressant or anticonvulsant medication, strong opioids</td>
<td><strong>Medication</strong></td>
</tr>
<tr>
<td>NSAID, Non-steroidal anti-inflammatory drugs.</td>
<td><strong>Acknowledgements</strong></td>
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</tbody>
</table>

The participant is discharged. Thereupon pain, disability and global rating of change will be assessed by means of a postal questionnaire. Information will also be recorded on use of co-interventions or any change in medication for knee pain. This follow-up questionnaire will be administered 1 week following discharge from physiotherapy.

Six months after enrolment into the study, participants will complete a postal questionnaire assessing pain and function. They will exit the study at this point.

**Assessment procedures and minimising bias**

Studies support the reliability of QST measures where protocols are standardised, and both the tester and participant are carefully instructed. Standardised assessment procedures will be followed in this study. At each location, testing will be undertaken in the same temperature controlled room by the same investigator, using a single set of testing devices. Each session will begin by familiarising participants with standardised test procedures. Physical testing will be performed by the investigator prior to in-depth subjective assessment or without knowledge of questionnaire scores. Test order is important, and a predetermined sequence will be used beginning with non-noxious stimuli. Conditioned pain modulation (CPM) can induce a residual effect and will be the final test. With bilateral symptoms, the more painful knee will be selected; if both are equally symptomatic, the right knee will be tested. Where shoulder pain is present unilaterally the opposite forearm will be used for testing.

**Primary outcome variable**

The main outcome is response to physiotherapy treatment, and this will be determined using a set of responder criteria by the Outcome Measures in Rheumatology—Osteoarthritis Research Society International (OMERACT-OARSI). Non-response to physiotherapy will be the designated categorical dependent variable on which the subsequent regression analyses will be based.

The responder criteria will be applied to the relevant data gathered at post-treatment follow-up, and are summarised in figure 2. For application of these criteria, pain and function will be measured with the subscales of the Western Ontario and McMasters University Score Osteoarthritis Index (WOMAC LK 3.0). Validity and reliability of these subscales are well established, including for postal surveys. Global rating of change will be measured with a seven-point Likert scale. This scale captures relevant change by asking the patient about any improvement or deterioration that has occurred with physiotherapy treatment. Two points on the scale represents a 20% improvement.

**Secondary outcome variables**

**Pain assessment**

The following valid and reliable measures of pain will be recorded: Pain Intensity Numerical Rating Scale (Pain Intensity Numerical Rating Scale).
NRS) will measure participant’s average pain intensity over the previous 7 days.\textsuperscript{37, 38} The Knee Pain Map will be used to record more detailed information about the location and quality of knee pain.\textsuperscript{39} Widespread pain is defined for this study according to the American College of Rheumatology classification criteria using pain drawings marked by participants on a body manikin.\textsuperscript{40} Widespread pain is associated with more severe knee pain and functional decline.\textsuperscript{41, 42}

### Table 2: Outcome measures and collection points

<table>
<thead>
<tr>
<th>Domain</th>
<th>Variable</th>
<th>Instrument for data collection</th>
<th>Collection points</th>
</tr>
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<tbody>
<tr>
<td>Demographics</td>
<td>Age, gender, educational attainment, employment status, marital status</td>
<td>Baseline assessment questionnaire</td>
<td>Baseline</td>
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<tr>
<td>Pain</td>
<td>Self-reported pain</td>
<td>WOMAC pain subscale</td>
<td>Baseline, post-treatment*, 6 months</td>
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<td></td>
<td>Pain intensity</td>
<td>Numerical rating scale</td>
<td>Baseline, 6 months</td>
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<tr>
<td></td>
<td>Location and quality</td>
<td>Knee Pain Map</td>
<td>Baseline</td>
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<td></td>
<td>Neuropathic pain symptoms</td>
<td>Modified PainDETECT</td>
<td>Baseline</td>
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<td></td>
<td>Characteristics of osteoarthritic pain</td>
<td>Intermittent and Constant Osteoarthritis Pain Instrument</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Widespread pain</td>
<td>Body chart</td>
<td>Baseline</td>
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<tr>
<td></td>
<td></td>
<td>Manual tender point count</td>
<td></td>
</tr>
<tr>
<td>Function</td>
<td>Self reported function</td>
<td>WOMAC function subscale</td>
<td>Baseline, post-treatment, 6 months</td>
</tr>
<tr>
<td>Quality of life</td>
<td>Health-related quality of life</td>
<td>EQ-5D 5L</td>
<td>Baseline</td>
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<tr>
<td>Central Sensitisation</td>
<td>Non-musculoskeletal central sensitisation symptoms</td>
<td>Central Sensitization Inventory</td>
<td>Baseline</td>
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<tr>
<td>Symptoms</td>
<td>Light touch</td>
<td>Von Frey filaments</td>
<td>Baseline</td>
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<tr>
<td>Quantitative sensory testing</td>
<td>Vibration</td>
<td>Graded tuning fork</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Pain pressure thresholds</td>
<td>Pressure algometry</td>
<td>Baseline</td>
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<td></td>
<td>Dynamic alldynia</td>
<td>Brush stroke</td>
<td>Baseline</td>
</tr>
<tr>
<td></td>
<td>static alldynia</td>
<td>Von Frey Filaments</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Thermal hyperalgesia</td>
<td>Thermo-rollers</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Temporal summation</td>
<td>Repetitive mechanical stimuli with weighted pinprick</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Conditioned pain modulation</td>
<td>Cold pressor test (conditioning stimulus) and PPTs (test stimulus)</td>
<td>Baseline</td>
</tr>
<tr>
<td>Confounding Variables</td>
<td>Obesity</td>
<td>Ratio of waist circumference to height</td>
<td>Baseline</td>
</tr>
<tr>
<td>Management-related variables</td>
<td>Depressive symptoms</td>
<td>Centre for Epidemiologic Studies Depression Scale</td>
<td>Baseline</td>
</tr>
<tr>
<td></td>
<td>Comorbidities</td>
<td>Self Administered Comorbidity Questionnaire</td>
<td>Baseline</td>
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<tr>
<td></td>
<td>Treatment adherence</td>
<td>Sports Injury Rehabilitation Adherence Scale</td>
<td>During treatment</td>
</tr>
<tr>
<td></td>
<td>Treatment type and duration, adverse effects</td>
<td>Patient attendance ratio</td>
<td>During treatment</td>
</tr>
<tr>
<td></td>
<td>Medication use and co-interventions</td>
<td>Home exercise compliance assessment</td>
<td>During treatment</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Therapist record sheet</td>
<td>During treatment</td>
</tr>
<tr>
<td>Treatment outcome</td>
<td>Response to treatment</td>
<td>OARSI responder criteria; WOMAC pain and function subscales, global rating of change</td>
<td>Post-treatment, 6 months</td>
</tr>
</tbody>
</table>

\*Post-treatment assessment will be at approximately 3 months.

Pain and quality-of-life questionnaires

**Modified painDETECT-questionnaire (mPD-Q)**

This questionnaire will record any neuropathic component to participants’ symptoms. It has been previously used for the screening of neuropathic pain-like symptoms in knee OA in an elderly cohort.\textsuperscript{43} Participants with more neuropathic pain-like symptoms (scores >12/38) are more likely to have signs of central sensitisation.\textsuperscript{44}
Intermittent and constant osteoarthritis pain instrument (ICOAP)

This validated questionnaire assesses various facets of both intermittent and constant pain for the knee, including effects on sleep and quality of life, degree of frustration and worry associated with the pain. Two predictability items will be administered to capture unpredictable spontaneous pain, thought to have the greatest impact on participant well-being.

Central Sensitization Inventory (CSI)

This self-report inventory has preliminary validity and reliability and assesses for symptoms not related to the musculoskeletal system but common to central sensitisation syndromes. Good sensitivity (81%) and specificity (79%) values were found with a cut-off score of 40 (of 100) to identify patients with symptoms of central sensitisation.

EuroQoL 5Q-5D-5L

The EQ-5D is a frequently used generic quality-of-life instrument, designed by the EuroQoL group. A modified form has been developed with an enlarged number of possible answers to avoid a ceiling effect. The EQ-5D has acceptable reliability and validity when used in patients with knee OA.

Quantitative sensory testing (QST)

QST is a psychophysiological measure of perception in response to external stimuli of controlled intensity. This QST protocol will make reference to the well-established German Neuropathic Pain Consortium (DFNS) protocol, and will utilise clinical QST methods recommended by the International Association for the Study of Pain. This current study’s assessment protocol aims to be more accessible using tools that are relatively inexpensive and adaptable to the clinical setting. Test sites used will be as follows:

- Site 1: on the medial or lateral knee joint line, depending on where the patient indicates their greatest pain (3 cm medial to medial edge of patella or corresponding site laterally);
- Site 2: over ipsilateral tibialis anterior muscle (5 cm distal to the tibial tuberosity);
- Site 3: on the contralateral forearm (5 cm distal to the lateral epicondyle of the humerus on the volar aspect).

Somatosensory abnormalities over the area of Site 2 tibialis anterior are thought to provide evidence of spreading sensitisation from the symptomatic knee. Changes at Site 3 could indicate more widespread sensitisation at a generalised level in the central nervous system, although this cannot be concluded definitively as other explanations for abnormal QST results outside the knee are also possible, for example, patients with knee OA frequently have multisite pain.

Light touch

Mechanical detection thresholds will be tested using a set of von Frey filaments (Opti-hair2-Set, Marstock, Germany). Monofilaments beginning with the smallest diameter will be applied to the skin. Light touch threshold (g/mm²) will be recorded as the last filament (g/mm²) that can be perceived. Test-retest reliability of this method for knee OA has been established.

Mechanical allodynia

Dynamic mechanical allodynia will be assessed by lightly stroking the knee and forearm with a brush stroke three times (Senselab Brush No 5, Somedic). Static allodynia will be assessed using von Frey filaments. The presence of mechanical allodynia will be recorded if this non-noxious stimulation evokes a sensation of pain.

Thermal hyperalgesia

Thermal rollers with predetermined temperatures of 25°C (cold) and 40°C (warm) (Senselab Rolltemp Somedic) will be used to detect thermal hyperalgesia at the forearm and knee. Participants will be asked to report if the thermal sensation is perceived as painful when the rollers are passed lightly over the skin.

Vibration

Vibration detection threshold will be measured with a graded tuning fork (Rydel-Seiffer, 64 Hz) placed over three bony prominences (ulnar styloid, patella and medial malleolus). Vibration detection threshold is determined as a mean disappearance threshold of the vibrations on an 8/8 scale with the stimulus repeated three times.

Pressure pain thresholds (PPTs)

Pressure will be applied using an electronic digital algometer with a probe size of 1 cm² (SomedicAB,
Sweden), and an application rate of 30 kPa/s. The participant will be instructed to press an automatic cut-off button when the first sensation of pressure pain is perceived. A cut-off point is set at 1000 kPa. PPTs will be measured three times at the three test sites; the first measurement will be excluded and the mean of the last two measurements will be used for analysis. Test-retest reliability using this technique has been demonstrated in patients with knee OA.\(^{55}\)

**Mechanical temporal summation**

The participant will assign a pain rating to a single stimulus by a weighted pinprick (MRC Systems 256 mN) and estimate an overall pain rating for a series of 10 pinprick stimuli of the same intensity (1/s applied within an area of 1 cm\(^2\)).\(^{29}\) This procedure will be applied twice on a marked area at the forearm and knee site. To get a value for TS, the mean pain rating of the two pinprick trains will be calculated minus the mean pain rating of the two single stimuli.\(^{56,56}\)

**Conditioned pain modulation**

The cold pressor test is recommended for assessment of CPM in the clinical setting.\(^{57,58}\) The test stimulus will be PPTs, while the conditioning stimulus will be cold immersion. PPTs will be recorded as outlined above. With the participant seated comfortably, the opposite arm to that used for PPT testing will be immersed in a bath of icy water (4°C monitored by thermometer) up to the elbow for 60 s. Participants unable to tolerate the water bath will rate their pain before withdrawing the arm (aim for at least 5 on NRS).\(^{31,57}\) Retesting of PPTs on the contralateral forearm will take place immediately after immersion. The PPT values after the cold pressor test will be divided by PPTs recorded before the test. A value of ≤ 1 will be taken to reflect no CPM effect.

**Manual tender point examination**

Tender points are typically identified according to the American College of Rheumatology criteria for fibromyalgia.\(^{59}\) Points can be reliably identified by application of pressure with the thumb pad of the tester’s dominant hand to 18 designated sites for 4 s until 4 kg (450 kPa) of pressure is achieved. Those points where pressure causes pain are summed to give a tender point total. Detecting tender points with digital palpation has good intra-rater reliability, and is considered a useful clinical measure for deep tissue hyperalgesia.\(^{60}\)

**Patient adherence**

Patient adherence to treatment is thought to be an important determinant of clinical outcome in knee OA.\(^{17,61}\) The physiotherapist will calculate an attendance ratio for each patient.\(^{62,63}\) Additionally, the Sports Injury Rehabilitation Adherence Scale (SIRAS) will be used to measure physiotherapists’ perceptions of their patient’s rehabilitation adherence at each clinic appointment. In addition to its proven psychometric properties, the SIRAS has been shown to be a reliable scale for use in clinical physiotherapy.\(^{64}\) The Home Exercise Compliance Assessment is a widely used self-report method of assessment to measure adherence. At each physiotherapy appointment, participants will record the extent to which they adhered to home exercises and physical activity advice since their previous clinic appointment.\(^{65}\)

**Confounding variables**

Potentially confounding sociodemographic parameters, including age, gender, marital status, employment status and educational level, will be recorded on a standardised form.\(^{20,65,66}\) Obesity will be measured by recording participants’ waist circumference to height ratio.\(^{67}\)

Other factors known to predict poor outcome in knee OA or influence pain and disability will be accounted for, including multiple comorbidities and depressive symptoms.\(^{18}\) The Centre for Epidemiologic Studies Depression Scale is a valid and reliable measure of depression in community-dwelling elderly, and a score >16 is considered indicative of depressive symptoms.\(^{68}\) For each of these variables, the method of assessment is detailed in table 2.

The presence of widespread pain is another potential confounder;\(^{41}\) however, it could also be a mediator between pain sensitisation and QST measures such as lowered PPTs, remotely. For this reason, the regression model will not be adjusted for this variable.

Radiographic severity of knee OA is poorly correlated with self-reported pain and pain sensitisation.\(^{5,6}\) For these reasons, X-ray results will not be included in the analysis.\(^{69}\)

**Data analysis plan**

In order to describe the somatosensory characteristics, z-scores will be calculated for individual patients with OA. The control group will provide reference data for QST results and enable calculation of a standardised z-score using the following formula: \(z=(\text{value participant} - \text{mean controls})/\text{SD controls}^{29}\) Calculating the z-score for each QST modality and body site facilitates the comparison of QST results with healthy control subjects independent of the unit of measure. For data analysis where cut-off points are required, z-scores outside the 10th and 90th centile (or 1.28 SDs of the mean) will be classified as abnormal.

To address the first aim, descriptive statistics will be calculated for all outcome measures at baseline, including for all continuous variables, means, SDs, or medians with ranges of scores; and for categorical variables, frequencies and percentages. In summarising descriptive QST data, z-score calculations and cut-off points will determine the prevalence of somatosensory abnormalities in pressure pain thresholds, mechanical detection threshold and vibration threshold. Somatosensory abnormalities such as allodynia, or cold hyperalgesia, will be classified as either present or absent.

Initial analyses for the second aim will be exploratory to compare symptom profiles between people who...
respond to treatment and treatment non-responders. These will be categorised by the OMERACT-OARSI responder criteria as described previously. Categorical variables will be analysed using χ² tests. Multivariate analysis of variance will be used to compare continuous normally distributed variables between responders and non-responders, and, from this, variables associated with responder status will be identified. The Mann-Whitney test will be used for comparison of variables that are not normally distributed. In cases where data are missing, multiple imputations will be applied. A sensitivity analysis will be carried out to assess if this changes the results.

A principal component analysis (PCA) will be used to determine which variables relating to sensitisation (light touch, vibration, allodynia, cold hyperalgesia, PPT arm, PPT knee, PPT tibia, TS arm, TS knee, CPM) to include in the predictive model. PCA may facilitate data reduction, as some variables related to pain sensitisation may be highly correlated while some sensory modalities may represent distinct individual dimensions of pain perception. Prior to conducting PCA, the suitability of the data for this type of analysis will need to be assessed. Components with an eigenvalue >1.0 from PCA will be subsequently entered into the regression model investigating predictive factors for non-response to physiotherapy.

A logistic regression model will be developed to predict non-response to physiotherapy treatment with ‘treatment non-responder’ (yes/no) as the dependent variable. Variables will be chosen for inclusion in the first model iteration if they are found to be associated with non-responder status in univariate analysis with a threshold of p<0.05, or if their inclusion is supported by previous literature. The model will be adjusted for predetermined variables such as age, gender, socioeconomic status, depressive symptoms, treatment adherence and comorbidities.

Results of the PCA will be entered into the regression model. It is anticipated that the regression model will accommodate a maximum of seven variables with statistical significance accepted if p<0.05. The best fitting and most parsimonious model will be selected as the final iteration, and cross-validation of the predictive model will be performed. A secondary subanalysis will explore the ability of some individual QST modalities to predict non-response to physiotherapy if entered into the regression model as single variables.

Data will be analysed using SPSS V.20 (SPSS, Chicago, Illinois, USA) and R V.3.0.2.

Sample size
The sample size is calculated based on the number of anticipated explanatory variables planned for inclusion in the logistic regression model. It is expected that a maximum of seven explanatory variables will be included and 10 cases, that is, non-responders, will be allowed for each explanatory variable. It is estimated that 60% of patients will be classified as non-responders to physiotherapy by the OMERACT-OARSI criteria. Recruiting 140 participants while allowing for a 15% loss to follow-up should ensure adequate numbers.

Dropouts
All participants will be followed up on discharge from physiotherapy. Patients who discontinued treatment will have the opportunity to provide follow-up data. Patients will be considered lost to follow-up if they do not complete the primary outcome measure post-treatment.

DISSEMINATION
Fully informed written consent will be obtained, and patients incapable of giving full consent will not be recruited.

The study findings will be presented at national and international conferences, and will be published in peer-reviewed journals.

DISCUSSION
To the best of our knowledge, this is the first study to examine the effects of pain sensitisation on clinical outcomes in response to physiotherapy in patients with knee OA. The research is exploratory in nature, and some limitations are outlined below. For the purposes of this clinical research, the term pain sensitisation will be utilised, however, the lack of a widely accepted definition and criteria for identifying pain sensitisation is acknowledged as a limitation.

It is recognised that some experimental pain modalities, such as enhanced TS and facilitatory CPM, are often interpreted as hallmarks of pain sensitisation, but these can also occur in healthy populations. It is important to acknowledge this natural variability in responses and the likelihood of these tests capturing false positives. To account for this, no individual QST modality will be used as a stand-alone measure of pain sensitisation, and QST results will be compared with normative data.

The QST protocols originally developed for assessing neuropathic pain are lengthy. However, if somatosensory testing is to be incorporated into routine clinical practice, it must be both time and cost efficient. This study will focus on tests to identify features of pain sensitivity based on their utility and practicality in the clinical setting. However, these criteria necessitate the exclusion of certain tests, such as thermal pain thresholds, that are difficult to carry out reliably in the clinical setting in a limited time frame.

The central concern of this study relates to alterations in pain processing, and any potential relationship with poorer prognosis in knee OA. A range of clinical, psychological and sociodemographic predictors of poor outcome have been identified. Incorporating all these variables is not feasible, and would make for an unacceptably long participant assessment and complex analytical model. Nonetheless, the most important predictor variables will be accounted for in the analysis.
This clinically based observational study does not aim to investigate the effects of specific physiotherapy treatments. It will observe people undergoing usual physiotherapy, and variation in the intervention is to be expected. Nonetheless, an attempt to standardise care to some degree will be made by using current evidence-based guidelines, and keeping a record of the physiotherapy intervention and adherence for each participant.

 Recruiting the participant sample from the secondary care setting will limit the ability to generalise the study findings to patient populations in primary care. The generalisability is further limited by only including patients with moderate or severe knee symptoms. This limitation was deemed necessary in order to include sufficient numbers of patients likely to have features of sensitisation, as we know pain sensitisation is related to pain intensity. Furthermore, optimising management in secondary care is a priority; this is where Irish patients usually access not just physiotherapy services but more invasive treatments such as joint injection or surgery.

 The analyses reported in this study will be exploratory and generate rather than confirm hypotheses about pain sensitisation and physiotherapy for knee OA. It is acknowledged that widespread pain hypersensitivity and somatosensory abnormalities can arise from a host of complex and interacting neurophysiological, psycholog-ical and immunological processes.

 Given its relatively short follow-up period, we cannot infer causality directly from our data with regard to pain sensitisation and physiotherapy outcomes. Nonetheless, it may point to a relationship worthy of further investigation in order to better understand pain mechanisms in knee OA, and optimise physiotherapy outcomes in the future.

 REFERENCES


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Competing interests None declared.

Ethics approval St James’ Hospital/AMNCH Research Ethics Committee (ref no 2013/11/Chair) and by the St Vincent’s Healthcare Group Ethics and Medical Research Committee (ref no H01/9414), and will be conducted in accordance with the Helsinki Declaration.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement It is the intention of the study group that once the study is completed and the research articles arising from the study have been published, any data that is fully anonymised and not sensitive would be made open access. However, because this intention was not declared in the original ethical applications, this action would be subject to approval by the relevant research ethics committees.

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