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## Do patterns of mental health care predict treatment failure in young people with schizophrenia? Real-world evidence from Italy

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Manuscripts

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4 1 **Do patterns of mental health care predict treatment failure in young people**  
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23 failure  
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## Abstract

**Background** Little is known about practice predicting community-based care effectiveness of patients affected by schizophrenic disorders. We assessed predictors of treatment failure in a large sample of young people affected by schizophrenia.

**Methods.** The cohort of 556 patients aged 18-35 years who had firstly diagnosed for schizophrenia during 2005–2009 in a Mental Health Service (MHS) of Italian Lombardy Region was identified. Intensity of mental health care received during the first year after index visit (exposure) was measured by means of regularity of MHS attendance and the length of time covered with antipsychotic drug therapy. Patients were followed from index visit until 2012 for identifying hospital admission for mental disorder (outcome). Proportional hazards model was fitted to estimate hazard ratio (HR), and 95% confidence intervals (CI), for the exposure-outcome association, after adjusting for several covariates. A set of sensitivity analyses was performed in order to account for sources of systematic uncertainty.

**Results.** During follow-up, 144 cohort members experienced the outcome. Compared with patients on low coverage with antipsychotic drugs ( $\leq 4$  months), those on intermediate (5-8 months), and high ( $\geq 9$  months) coverage had HRs (95% CI) of 0.94 (0.64 to 1.40), and 0.69 (0.48 to 0.98), respectively. There was no evidence that regular attendance with MHS affected the outcome.

**Conclusions.** Patients in the early phase of schizophrenia and their familiars should be cautioned about the possible consequences of antipsychotic poor adherence. Physicians and decision makers should strengthen their engagement for improving mental health care.

## ARTICLE SUMMARY

### Article focus

- Little is known about the practice and patient characteristics that predict effectiveness of community-based care patients affected by schizophrenic disorders.
- The focus of this study is to assess predictors of treatment failure in a large and unselected sample of young people affected by schizophrenia.

### Key Message

- Our data on care patterns provided to patients suffering schizophrenic disorders in the real world setting, show poor adherence with antipsychotic drug therapy, as well as with regular attendance of mental health service. In addition, our study showed that a good coverage with antipsychotic therapy already in the first year after the diagnosis of schizophrenia reduces the long-term risk of hospital admission for mental disorders.

### Strengths

- The investigation is based on data from a large unselected population, which was made possible since in Italy a cost-free healthcare system involves practically all citizens.
- Our data reflect routine clinical practice and are not affected by selective participation and recall bias.
- Patients were identified from the point of the initial visit with the mental health service in which diagnosis of schizophrenia was made, and the complete sequence of public or accredited healthcare facilities, including mental health care and other services, was known.
- A number of sensitivity analyses confirmed the robustness of our findings.

## Limitations

- Information about private mental health outpatient facilities are not available from our healthcare utilization databases system.
- Evaluation of antipsychotic drug coverage was based on pharmacy-dispensing information. This method assumes that the proportion of days covered by a prescription corresponds to the proportion of days of medication use.
- Although the large sample size, our study was not sufficiently powered to investigate the effect of relatively rare exposures.

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## Introduction

The fifth edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) defines schizophrenia as a syndrome characterized by long duration and high relapse rate, with abnormalities in one or more of following five domains: delusions, hallucinations, disorganized thinking (speech) grossly disorganized or abnormal motor behaviour, including catatonia, and negative symptoms, and sometimes a few mood problems [1]. The onset of symptoms typically occurs in adolescence and young adulthood, with a worldwide estimate of its lifetime prevalence and incidence of 1.4–4.6 and 0.16–0.42 per 1,000 persons annually, respectively [2,3]. A recent systematic review indicated that patients diagnosed with this disorder have shorter lifespan than the average general population. They furthermore have increased risk of suicide and physical impairment (e.g., limited exercise, poor diet, and obesity), and reduced access to medical treatment and healthcare services [4].

Antipsychotic medications have been recommended as the mainstream of medical treatment for nearly all patients with schizophrenia, to provide them with a safe and therapeutic environment and effective symptom control [5]. In the last decades, different approaches to psychosocial interventions (e.g., psychotherapy, family therapy, psychoeducation and cognitive-behavioural therapy) have shown to be able to bear positive patient outcomes admitted that they are used as an adjunct to antipsychotics [6-13]. Although their comparative efficacy has been increasingly evaluated in various clinical trials [7,9,11,12], it should be emphasized that patients enrolled in these trials were likely quite motivated as they were consenting to intense monitoring in a structured research program and likely adhered, or were controlled for adherence, with clinical recommendations. Unfortunately, however, how these intervention programs perform in psychiatrically ill patients treated in real-world, naturalistic setting, is little known.

1 Mental health services play a central role in the treatment of people with schizophrenic disorders,  
2 as they act both as direct providers of care and as supporters of primary care practitioners [14].  
3 According with the Italian model of mental health services, as well as with that of most high  
4 income countries, a complex network of different types of community mental health teams, and a  
5 range of treatment, rehabilitation, employment and residential care facilities, currently operate in  
6 in the community [15]. To date, however, little is known about the practice and patient  
7 characteristics that predict effectiveness of community-based care patients affected by  
8 schizophrenic disorders. This paper is designed to address this need. Our purpose is to assess  
9 predictors of treatment failure in a large and unselected sample of young people affected by  
10 schizophrenia.

## 11 12 13 **Methods**

### 14 15 **Healthcare utilization databases of Lombardy**

16 Data used for this study were retrieved from the healthcare utilization databases of Lombardy, a  
17 region that accounts for about 16% (more than 9 million inhabitants) of the whole Italian  
18 population. In Italy, the population is covered by the National Health Service (NHS) and in  
19 Lombardy this has been associated since 1997 with an automated system of databases to collect a  
20 variety of information, including: (1) an archive of residents who receive NHS assistance  
21 (beneficiaries of NHS practically coincide with the whole resident population), reporting  
22 demographic and administrative data; (2) a database on diagnosis at discharge from public or  
23 private hospitals of Italy; (3) a database on outpatient drug prescriptions reimbursed by the NHS  
24 and delivered by pharmacies of Lombardy; (4) a database on drug prescriptions administered

1 directly in the outpatient setting and day hospital, and reimbursed by the NHS (the so called file  
2 F); and (5) a database of outpatient services, including visits and diagnostic tests respectively  
3 performed in specialist ambulatories and laboratories accredited by the NHS. Beside these  
4 healthcare utilization databases, that are common to all the Regions of Italy, since 1999  
5 Lombardy Region activated a specific system concerning psychiatric care provided by the  
6 regional Departments of Mental Health accredited by the NHS. The system provides  
7 demographic information and the ICD-10 diagnoses of all patients in contact with Mental Health  
8 outpatient Services (MHS) and records all treatments provided to them (outpatient and home  
9 visits, day treatment attendance, and residential facilities). For each patient we linked the above  
10 databases via a single identification code. In order to preserve privacy, each identification code  
11 was automatically converted to an anonymous code. The inverse process was prevented by  
12 deletion of the conversion table.

13 Through this record linkage process we were able to mark out the complete care pathway of all  
14 the citizen of Lombardy beneficiaries of NHS, practically of all residents in the Region, through  
15 a long period of observation. This offers the opportunity to design investigations including very  
16 large unselected populations, and to generate real-world evidence on several fields of healthcare,  
17 including mental health [16,17].

### 18 **Cohort selection and follow-up**

19 The target population consisted of all beneficiaries of the NHS resident in Lombardy aged 18-35  
20 years. According to the 2011 Italian Census, this population amounted to 1,893,313 individuals.  
21 Of these, we identified patients who during the period January 1<sup>st</sup>, 2005 to December 31<sup>st</sup>, 2009  
22 had at least a contact with a MHS accredited by the NHS and received in that occasion diagnosis  
23 of schizophrenia spectrum disorder (ICD-10 codes 2X.XX). The date of first visit during the  
24 considered period was recorded as index visit.



1 With the aim of favoring the inclusion of only newly treated individuals, patients who within two  
2 years prior to the index visit experienced at least a hospital admission for mental disorder (ICD-9  
3 290-319), had at least a contact with a MHS, or even just received at least a prescription of an  
4 antipsychotic agent were excluded. Patients who during the first year after index visit did not  
5 receive antipsychotic medicaments were also excluded, based on the assumption that in these  
6 patients continuous drug treatment might have not been indicated. Finally, patients who did not  
7 reach at least 1 year of follow-up were excluded, to ensure at least one year of potential exposure  
8 to the care of interest. The remaining patients represented the study cohort.

9 Each member of the cohort accumulated person-years of follow-up from the index visit until the  
10 earliest among the dates of outcome onset (i.e., hospital admission for mental disorder) or  
11 censoring (i.e., death from any cause, emigration, or December 31<sup>st</sup>, 2012).

### 12 **Characterizing cohort members**

13 For each cohort member data included selected tracts detected at index visit such as gender, age,  
14 social tracts, and physical comorbidities. The latter, was measured by means of the Charlson  
15 comorbidity index score [18] which was calculated via the diagnostic information available from  
16 inpatient charts in the two years prior and one year after the index visit; patients was categorized  
17 has having or no having chronic comorbidities. Finally, co-treatments with antidepressive and  
18 mood stabilizers during the first year after index visit were considered.

### 19 **Measuring mental health care**

20 All contacts with MHS experienced by the cohort members during the first year after index visit  
21 were identified. Attendance was considered regular if the time-span between two consecutive  
22 visits was 60 days or shorter, or discontinuing otherwise. Patients were classified into two mutual  
23 exclusive categories of those who had regular attendance with the MHS (i.e., if they did not  
24 experience any episode of discontinuation through the first year after the index visit), or

1 discontinuers otherwise.

2 Patients were furthermore classified according if they received at least three individual, familiar  
3 or group sessions of psychotherapy, family psychoeducational activities or other supports (e.g.,  
4 social, expressive, practical-manual, and physical activities, support to independent living and  
5 support to employment).

6 Data were also regarded in relation to the professionals who supplied care, distinguishing  
7 between patients who were seen only by mental health staff (psychiatrists and psychologists) or  
8 also by other professionals (educators, social workers, etc...).

9 Coverage with antipsychotic drug therapy was measured by means of the cumulative number of  
10 days during which the medication was available. Patients were accordingly categorized as having  
11 at least 4 months, from 5 months to 8 months, and more than 9 months of drug coverage.

## 12 **Data analysis**

13 The Kaplan-Meier estimator of the cumulative proportion of patients experiencing the outcome  
14 was built for the entire cohort, as well as according to selected subgroups. The log-rank test was  
15 used to test between group differences. The Cox proportional hazard regression model was fitted  
16 to estimate the hazard ratio (HR), and its 95% confidence interval (CI), for the association  
17 between the above listed covariates and time of outcome onset. Trends in HRs were tested, when  
18 feasible, according to the statistical significance of the regression coefficient of the recoded  
19 variables obtained by scoring the corresponding categories.

## 20 **Sensitivity analyses**

21 Because of the arbitrariness of taking a 60-day time-span to distinguish regular and discontinuing  
22 attendance, and to account for at least three psychotherapy sessions to identify patients treated,  
23 data were reanalysed according to different criteria, such as 30- and 90-day time-span and one or  
24 two sessions of psychotherapy.

1 The robustness of our findings with regard to potential bias introduced by unmeasured  
2 confounders was investigated by using the rule-out approach described by *Schneeweiss* [19]. Let  
3 *E* the exposure of interest (e.g., the regular attendance to the MHS contrasted to discontinuation),  
4 *O* the outcome (i.e., hospital admission for mental disorder), and *C* a hypothetical unmeasured  
5 confounder (e.g., severity of schizophrenia at diagnosis). In applying the rule-out method, we  
6 allowed *C* (i) to be present in the study population with a prevalence 50% (e.g., one half of  
7 included patients had symptoms of severe schizophrenia at index visit); (ii) to be associated with  
8 *O* with risk ratio varying from 1 to 10 (i.e. severe schizophrenics may experience the outcome up  
9 to 10-fold more than patients with less severe symptoms); and (iii) to be associated with *E* with  
10 odds ratio varying from 1 to 10 (i.e. severe schizophrenics may regularly attend the service up to  
11 10-fold more than others). In its original formulation, rule-out approach aims to detect the  
12 extension of confounding required to fully account for the observed exposure-outcome  
13 association, so to drag the observed point estimate towards that expected under the null  
14 hypothesis. In our application, we extended the use of the rule-out approach at the situations in  
15 which the observed association did not reach statistical significance and the interest is to detect  
16 the extension of confounding required to make statistically significant the exposure-outcome  
17 association. With this aim, we conducted the analysis for the value of the observed higher 95%  
18 confidence limit to determine the constellations in which the 95% confidence interval would not  
19 cross the expected value under the null hypothesis.

## Results

The distribution of the exclusion criteria is shown in Flow chart (**Figure 1**). The 556 who met inclusion criteria accumulated 2,619 person-years of observation, with an average per patient follow up of about 4.7 years. During this period, 144 patients belonging to the cohort members experienced the outcome.

**Table 1** provides some characteristics of the included cohort members. At the index visit, almost one half of the patients had aged 30-35 years and 68% of them were men. The most patients had poor education, were never married and lived in family, while almost sixty percent of them were unemployed. Only two patients showed at least of sign of chronic comorbidity. More than one half and one of seven patients were respectively co-treated with antidepressive and mood stabilizers agents. Among the considered covariates, evidence of increased outcome risk issued for patients with poor education, currently unemployed, and who did not receive antidepressive agents.

As far as mental health care provided to cohort members during the first year after index visit, **Table 2** shows that, although only one in five patients had a good coverage with antipsychotic drug therapy, significant reduction of the outcome risk was observed for these patients. The inverse coverage-outcome relationship is depicted in **Figure 2**. In particular the cumulative proportion of patients experiencing the outcome decreased from 34% to 32% and 23% for patients with low, intermediate and high drug coverage, respectively.

**Table 2** shows that the most patients received interventions delivered by professionals other than psychiatrics and psychologists, 12% of them received at least three sessions of psychotherapy, and one quarter of patients had regular MHS attendance without any episode of discontinuation

1 during the first year after index visit. There was no evidence that neither type of treatment or of  
2 caregivers, nor regular attendance with MHS affected the outcome risk.

3 As expected, the proportion of regularly attending patients, as well that of adequately treated  
4 patients decreases as less permissive criteria was adopted. **Figure 3** shows that regulars  
5 accounted for only 5% by allowing 30 days between two consecutive visits, while 42% of  
6 patients had “regular” contacts at least every 90 days. Among the 124 patients who received a  
7 first psychotherapy session, only 66 (53%) reached at least three sessions. It should be noticed,  
8 however, the lack of evidence of a protective effect of care provided by territorial services even  
9 by varying the classification criteria.

10 The results of the residual confounding analysis obtained by means of the rule-out approach are  
11 presented in **Figure 4**. If we assume that patients exposed to the unmeasured confounder have a  
12 4-fold increased outcome risk than those unexposed (i.e., severe schizophrenics experience the  
13 outcome 4-fold more than others), then patients exposed to the confounder would increase their  
14 exposure of 5.3-fold or more (i.e. severe schizophrenics would regularly attend the service with a  
15 odds more than 5-fold higher than others) to drag the effect of regular attendance to be  
16 significant protective on the outcome risk. On the other hand, if we assume that patients exposed  
17 to the confounder have even higher outcome risk (e.g., severe schizophrenics experience the  
18 outcome 10-fold more than others), then a lower discrepancy of the exposure of interest is  
19 required for admit protection (i.e., severe schizophrenics would regularly attend the service more  
20 than 3-fold higher than others).

## Discussion

Our population-based cohort study shows that mental health care provided to young schizophrenic patients is frequently abandoned and/or is not adequately followed since the first year after diagnosis. Consistently with an impressive amount of literature [20], this was observed with regard to antipsychotic drug therapy, since only one in five patients had a good coverage with antipsychotic drug therapy. Furthermore, only one patient in twenty had monthly attendance of territorial MHS, and 58% of schizophrenics had attended the service for less than once every three months.

An important finding of our study, is that in the real-world setting the longer coverage with antipsychotic drug therapy during the first year after diagnosis of schizophrenia, the greater was the reduction in the risk of long-term hospital admission for mental disorder. Thus, our findings suggest that treatment with antipsychotics may favourably affect the risk of hospitalization, a conclusion that expands to a large unselected population the conclusions of several observational studies [21-24] and a recent systematic review of randomized clinical trials [25]. The poor compliance with drug therapy in everyday clinical practice, together with the observed strength of the association between drug coverage and outcome, give a 21% attributable fraction, that is more than one fifth of outcome currently occurring among schizophrenics who received antipsychotic drug therapy at baseline may be prevented if all those patients had good coverage with therapy. Hence, among the investigated factors, poor coverage with antipsychotic drugs appears the more important predictor.

Another important finding of our study, is that, unexpectedly, regular attendance of territorial MHS did not offer evidence of exerting a protective effect on the risk of hospitalization.

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4 1 Consistently, patients who received psychotherapy, psychoeducational activities, or social  
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6 2 supports, as well as multidisciplinary care, did not show evidence of outcome risk reduction. At  
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8 3 first sight, this findings seem inconsistent with the current state of psychosocial treatments in the  
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10 4 care of schizophrenia [11]. On closer inspection, however, our study suggests that the gap  
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12 5 between what is known from clinical efficacy research and the systematic community translation  
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14 6 of mental health care programs is still dramatically wide.

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17 7 Other findings of our study deserve to be mentioned. First, among the considered social tracts,  
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19 8 low level of education and unemployment were independent predictors of long-term risk of  
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21 9 hospital admission for mental disorders, possibly due to the treatment delay, and then to the  
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23 10 greater severity of illness at presentation, among people with low socio-economic status [26].

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26 11 Second, we observed that almost one half of the included patients co-utilized antidepressants and  
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28 12 that these patients were at lower risk of long-term mental disorders hospitalization. This finding  
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30 13 confirms recent evidence that antidepressants along with antipsychotics are more effective in  
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32 14 treating the negative symptoms of schizophrenia than antipsychotics alone [27].

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35 15 The present study is unique in several respects. One, the investigation is based on data from a  
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37 16 large unselected population, which was made possible since in Italy a cost-free healthcare system  
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39 17 involves practically all citizens. Two, our data reflect routine clinical practice and are not  
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41 18 affected by selective participation and recall bias. Three, patients were identified from the point  
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43 19 of the initial visit with the mental health service in which diagnosis of schizophrenia was made,  
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45 20 and the complete sequence of public or accredited healthcare facilities, including mental health  
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47 21 care and other services, was known. Four, accurate data are guaranteed from healthcare  
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49 22 utilization databases of Lombardy, as documented by several quality checks [28-30]. Finally, a  
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51 23 number of sensitivity analyses confirmed the robustness of our findings.  
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4 1 Our study may be limited by some issues. One, information about private mental health  
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8 3 involves the following systematic errors. 1) we selectively included patients who had at least a  
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10 4 visit with a public structure; 2) we cannot exclude that some of the included patients already had  
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12 5 visits with private structures, so that, despite our best efforts, some prevalent cases were  
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14 6 selectively included; 3) the pathway of care which we were able to trace lacks for a part of  
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16 7 clinical supplies. It should be emphasized, however, that the access to private facilities does not  
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18 8 affect our ability to search out drug dispensations. In fact, according with Italian health system  
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20 9 organization, free-of-charge drug prescriptions are however ensured (and then recorded in  
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22 10 healthcare utilization database) even when they have been prescribed by a private physician.  
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24 11 This may explain because, among the investigated health care, only drug therapy showed  
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26 12 evidence of effectiveness.  
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28 13 Two, evaluation of antipsychotic drug coverage was based on pharmacy-dispensing information.  
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30 14 This method assumes that the proportion of days covered by a prescription corresponds to the  
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32 15 proportion of days of medication use. However, data on dispensing history have shown to be  
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34 16 consistent with other adherence measures, drug serum levels, and clinical drug effects [31].  
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36 17 Nevertheless, the use of medication dispensing as a measure of coverage remains a source of  
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38 18 uncertainty of our estimates.  
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40 19 Three, whether the observed findings are due to our inability to fully account for regular  
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42 20 treatment to those patients at higher risk of clinical failure, is a relevant question in interpreting  
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44 21 our findings. For example, it is likely that patients with severe schizophrenia at baseline are  
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46 22 submitted to greater psychiatric attention than those with less severe symptoms, that is more  
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48 23 regular visits, greater care for therapeutic plan and longer drug coverage. Our study addressed  
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50 24 confounding by means of the following shrewdness. First, conventional adjustments for a  
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1 number of available demographic, therapeutic and clinical characteristics, such as age, gender,  
2 social features, and co-treatments, most of them may be considered proxies of disease severity,  
3 were performed. Second, we attempted to include patients at their first clinical manifestation of  
4 the disease. This was made by excluding patients aged 35 years or more who already received  
5 diagnosis of schizophrenia, had contacts with a department mental health, experienced  
6 hospitalizations for mental disorder, or even received antipsychotic drug dispensations. The  
7 exclusion of prevalent cases, as well as of prevalent drug users, is crucial for healthcare research,  
8 since the alternative consists in selectively including those patients who survived their disease  
9 status [32]. Third we excluded schizophrenics who did not receive antipsychotic drug  
10 dispensations during the first year after the index visit. The latter exclusion criteria, leading to  
11 research plans that we called “only user design” [33], have been described as reducing the  
12 potential for confounding by indication [34]. We suspect that, due to the nature of the  
13 precautions taken, confounding could have biased the effect of regular service attendance, rather  
14 than that of antipsychotic medicaments. Our sensitivity analysis accounting for unmeasured  
15 confounding, however, showed that, considering severity of schizophrenia as the unmeasured  
16 factor, even assuming very high prevalence of severe schizophrenia at presentation (50%) and  
17 that severe schizophrenics risk the outcome onset even 10-fold more than others, a strong  
18 discrepancy in regularity of service attendance between severe and less severe schizophrenics  
19 would need for making statistically significant the association of interest.

20 Finally, although the large sample size, our study was not sufficiently powered to investigate the  
21 effect of relatively rare exposures. For example, if we accept a permissive definition of regularity  
22 (e.g., by requiring at least one contact every three months) then our study is able to generate  
23 significant evidence admitted that discontinuers experience the outcome at least 1.8-fold more  
24 than regulars (with an error of the first type of 5% and a power of 80%). On the other hand, we

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1 do not expect protection from such a permissive criterion. As regularity definition becomes less  
2 permissive, however, the observed number of regulars tends to decrease. For example, our study  
3 is able to generate significant evidence of outcome protection from monthly attendance admitted  
4 that discontinuers experience the outcome at least 5-fold more than regulars. This means that our  
5 study has limited chance of highlighting the impact of the proper use of the service, for the  
6 simple reason that proper use rarely occurs. Paradoxically, this source of weakness is due to the  
7 key message of our study, i.e., the limited use of territorial service.

8 In conclusion, our data on care patterns provided to patients suffering schizophrenic disorders in  
9 the real world setting, show poor adherence with antipsychotic drug therapy, as well as with  
10 regular attendance of mental health service. In addition, our study showed that a good coverage  
11 with antipsychotic therapy already in the first year after the diagnosis of schizophrenia reduces  
12 the long-term risk of hospital admission for mental disorders. Real world psychosocial  
13 treatments, as those provided at community level by mental health services in Lombardy in the  
14 last decade, should be strengthened to be effective. The development in Lombardy in the last  
15 four years of more than twenty projects for the early treatment of psychosis is moving in this  
16 direction. It is thus important that mental health professionals and decision makers strengthen  
17 their engagement toward improving mental health care in the clinical practice.

## Contributorship Statement

AL and GC generated the initial study idea. GC wrote the protocol, and drafted the manuscript. DS and GC prepared the dataset and performed the data analysis and the sensitivity analysis. LM abstracted the data and authorized their utilization. EM assisted in interpreting the results under clinical prospective. All authors interpreted the results.

## Competing interests

There are no competing interests

## Funding

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## Data sharing

No additional data available

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**Table 1.** Selected tracts of the 556 included patients with diagnosis of schizophrenia and their relationship with the long-term risk of hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

	N (%)	Rough HR <sup>#</sup> (95% CI)	Adjusted HR <sup>#</sup> (95% CI)
<b>DEMOGRAPHICS*</b>			
Male gender	80 (68%)	1.05 (0.74 to 1.49)	1.04 (0.72 to 1.49)
Age (years)			
18-23	144 (26%)	1.00 (reference)	1.00 (reference)
24-29	160 (29%)	0.72 (0.46 to 1.11)	0.75 (0.46 to 1.17)
30-35	252 (45%)	0.83 (0.56 to 1.22)	0.89 (0.60 to 1.33)
p <sub>trend</sub> ‡		0.269	0.343
<b>SOCIAL TRACTS*</b>			
Education (years)			
≤ 8	323 (58%)	1.00 (reference)	1.00 (reference)
9-14	195 (35%)	0.72 (0.05 to 1.03)	0.80 (0.55 to 1.16)
> 14	38 (7%)	0.49 (0.22 to 1.12)	0.51 (0.26 to 0.99)
p <sub>trend</sub> §		0.020	0.046
Marital status			
Married	94 (17%)	1.00 (reference)	1.00 (reference)
Never married	462 (83%)	0.95 (0.62 to 1.46)	0.88 (0.55 to 1.42)
Living arrangements			
Alone	34 (6%)	1.00 (reference)	1.00 (reference)
Family	513 (92%)	1.04 (0.51 to 2.12)	0.70 (0.49 to 1.00)
Community	9 (2%)		
Employment			
Currently unemployed	324 (58%)	1.00 (reference)	1.00 (reference)
Currently employed	232 (42%)	0.65 (0.46 to 0.91)	0.74 (0.55 to 0.99)
<b>CO-TREATMENTS AND COMORBIDITIES</b>			
Physical comorbidities †			
None	554 (99%)	-	-
One or more	2 (1%)	-	-
Co-treatments ‡			
Antidepressive	274 (49%)	0.67 (0.48 to 0.93)	0.66 (0.47 to 0.93)
Mood stabilizers	84 (15%)	1.41 (0.93 to 2.14)	1.51 (0.98 to 2.33)

\* At baseline (index visit)

† According to diagnostic information available from inpatient charts in the two years prior and one year after the index visit

‡ During the first year after index visit

§ p-value for the trend in the risk of outcome as the category of the corresponding variable increases



# Hazard ratio (and 95% confidence interval) for the risk of hospital admission for mental disorders, according to Cox proportional hazard model. Estimates were rough and mutually adjusted for covariates listed in Tables 1 and 2

**Table 2.** Mental health care provided to the 556 included patients with diagnosis of schizophrenia and its relationship with the long-term risk of hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

	N (%)	Rough HR <sup>#</sup> (95% CI) <sup>6</sup>	Adjusted HR <sup>#</sup> (95% CI) <sup>6</sup>
<b>Coverage with antipsychotic drug therapy *</b>			
1-4 months	303 (55%)	1.00 (reference)	1.00 (reference)
5-8 months	135 (24%)	0.99 (0.67 to 1.45)	0.94 (0.64 to 1.40)
9-12 months	118 (21%)	0.71 (0.51 to 0.99)	0.69 (0.48 to 0.98)
p <sub>trend</sub> §		0.052	0.043
<b>Attendance of mental health service †, ‡</b>			
Discontinue	417 (75%)	1.00 (reference)	1.00 (reference)
Regular	139 (25%)	1.07 (0.74 to 1.55)	0.98 (0.63 to 1.51)
<b>Treatments †</b>			
Patient psychotherapy	124 (22%)	1.08 (0.73 to 1.59)	1.02 (0.66 to 1.56)
Family psychoeducation	319 (57%)	1.18 (0.84 to 1.65)	1.08 (0.76 to 1.55)
Other supports	103 (18%)	1.42 (0.97 to 2.10)	1.35 (0.87 to 2.10)
<b>Caregivers †</b>			
Only mental health professionals	44 (8%)	1.00 (reference)	1.00 (reference)
Also other professionals	512 (92%)	1.41 (0.74 to 2.69)	1.27 (0.65 to 2.47)

\* Months with antipsychotic drugs available during the first year after index visit

† During the first year after index visit

‡ Attendance was considered regular if the time-span between two consecutive visits was 60 days or shorter, or discontinue otherwise

§ p-value for the trend in the risk of outcome as the category of the corresponding variable increases

# Hazard ratio (and 95% confidence interval) for the risk of hospital admission for mental disorders, according to Cox proportional hazard model. Estimates were rough and mutually adjusted for covariates listed in Tables 1 and 2

## Legends of Figures

**Figure 1.** Flow-chart of inclusion and exclusion criteria. Lombardy Region, Italy, 2005-2012

**Figure 2.** Cumulative proportion of patients experiencing hospitalization for mental disorders, according with their coverage with antipsychotic drug therapy during the first year since index visit. Lombardy Region, Italy, 2005-2012

**Figure 3.** Influence of criteria for identifying patients on regular attendance of the mental health service and on psychotherapy on the hazard ratio for hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

Footnote: Criteria concern the time-span within which two consecutive visits for allowing regular attendance (in box A patients with regular attendance are contrasted with those who experience at least a discontinuing episode) and the cumulative number of psychotherapy sessions (in box B patients with at least a given number of sessions is contrasted with those who experience fewer sessions). Hazard ratio estimated according to Cox proportional hazard model. Estimates are adjusted for covariates listed in Tables 1 and 2

**Figure 4.** Modelled influence of a hypothetical confounder on the hazard ratio for hospital admission for mental disorders unaccounted for in the adjustments already performed in the main analysis. Lombardy Region, Italy, 2005-2012

Footnote: The graph indicates what combinations of confounder – outcome and confounder – exposure would be required to make significantly protective the observed association between regular attendance of MHS and hospitalization for mental disorders. For an explanation see the “Sensitivity analysis”, subsection of the “Methods” section

Figure 1

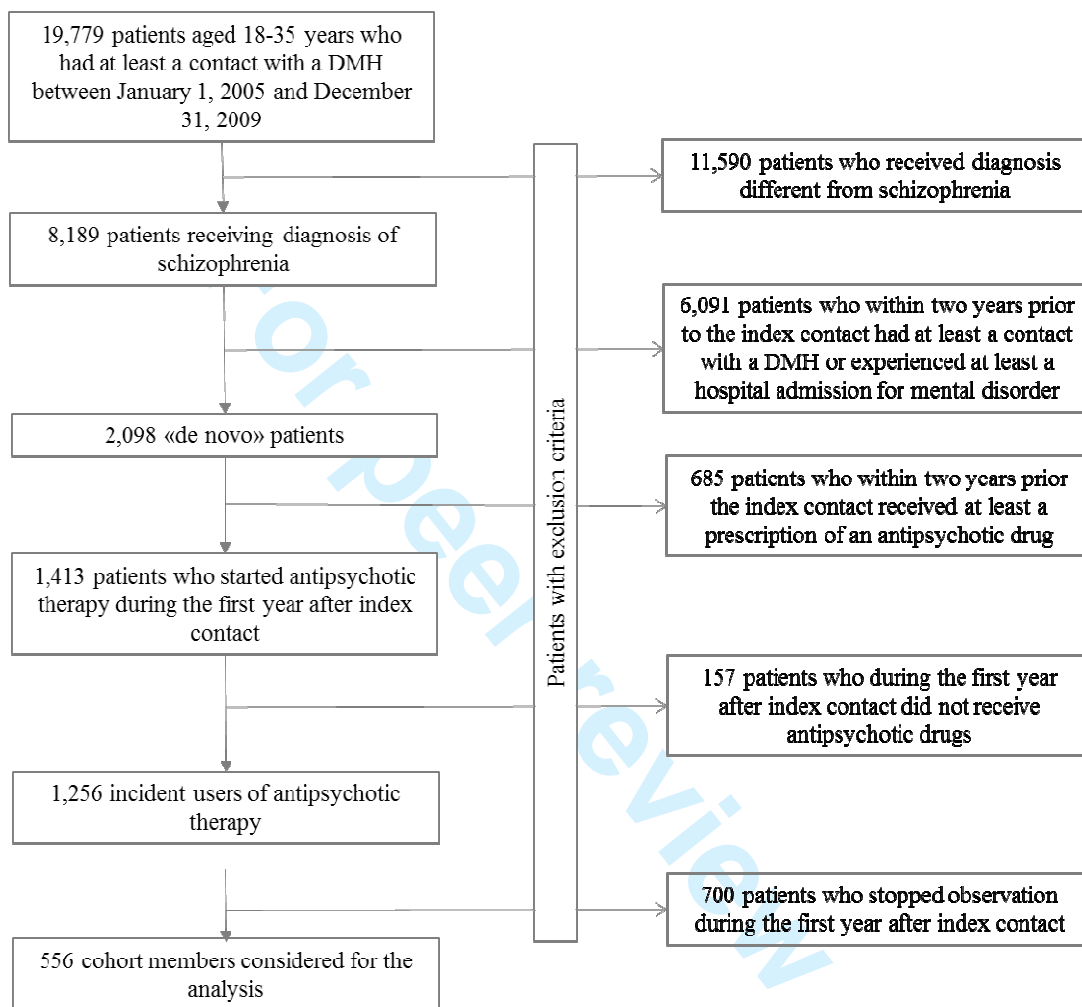


Figure 2

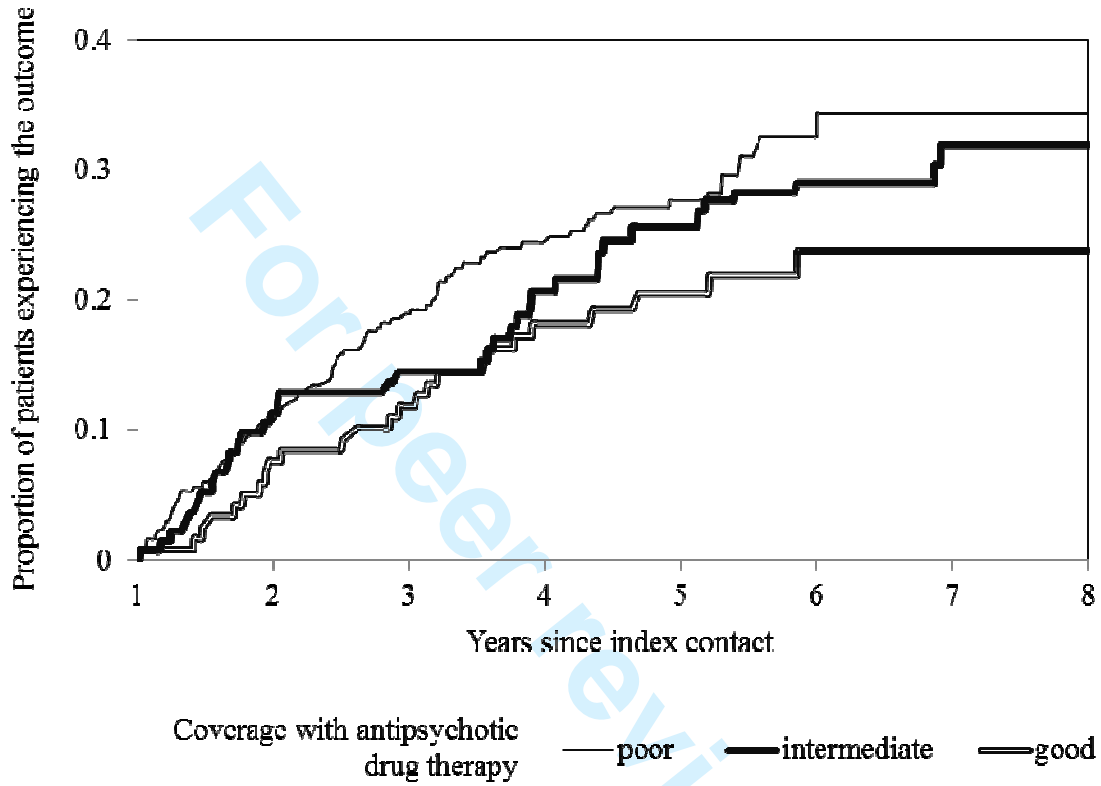
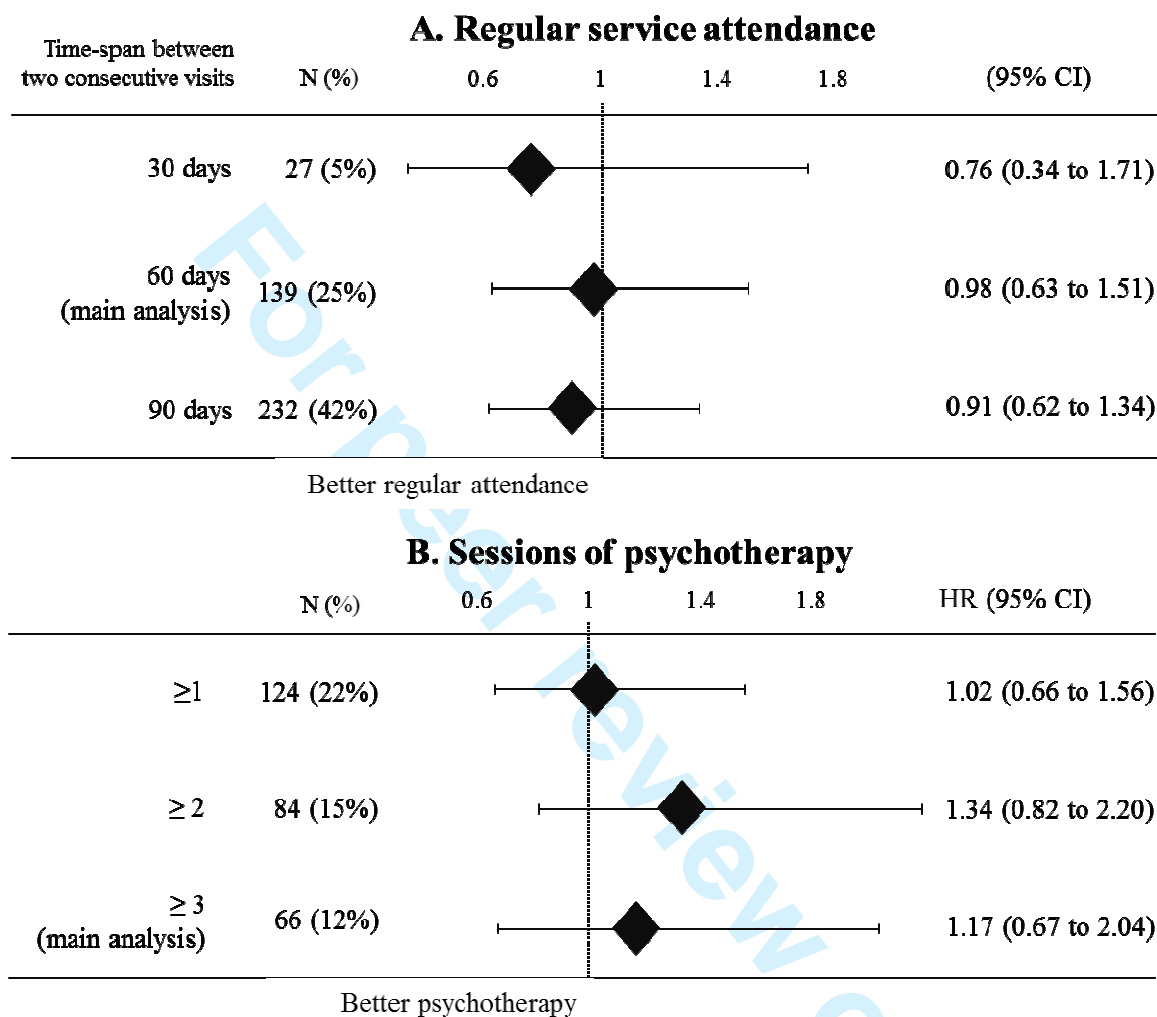
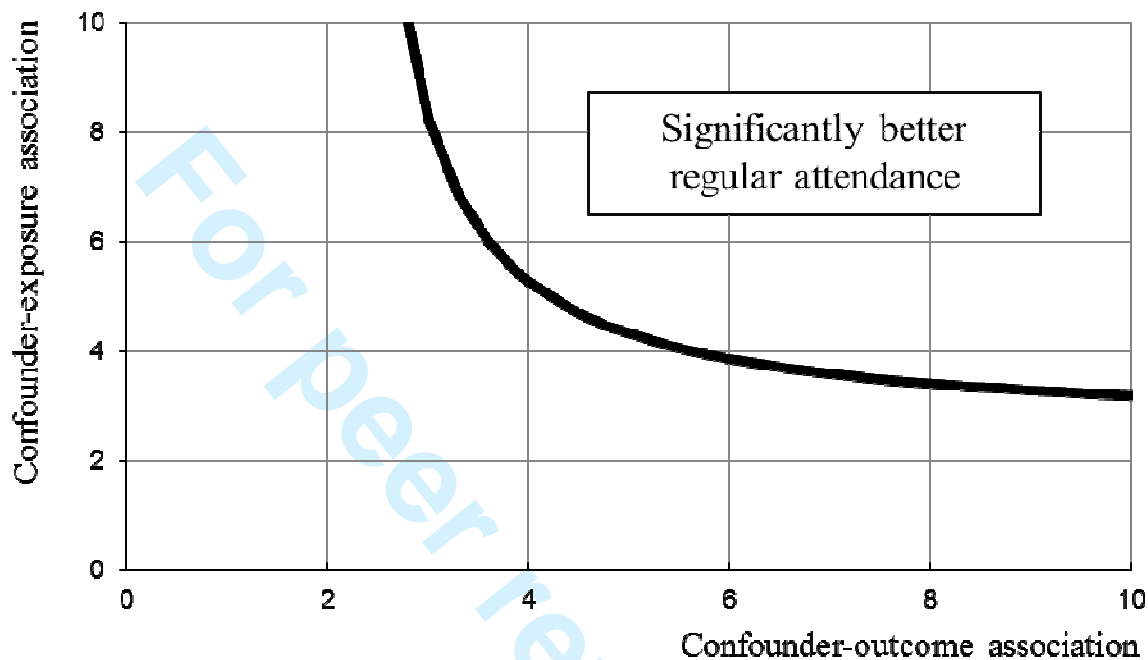


Figure 3



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Figure 4



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## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (Page 2, line 6) (b) Provide in the abstract an informative and balanced summary of what was done and what was found (Page 2, lines 3-22)
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported (Page 5, line 3-23)
Objectives	3	State specific objectives, including any prespecified hypotheses (Page 5, lines 23-24; Pages 6, lines 1-10)
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper (Page 7, lines 19-24; Page 8 lines 1-24; Page 9, lines 1-11)
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection (Page 7, lines 19-24; Page 8 lines 1-24; Page 9, lines 1-11)
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up (Page 7, lines 19-24; Page 8 lines 1-24; Page 9) (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed (NA)
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable (Page 8, lines 12-24; Page 9, lines 1-11)
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group (Page 8, line 12-24; Page 9, lines 1-11)
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at (Figure 1)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (Page 9, lines 21-24; Page 10, lines 1-19) (b) Describe any methods used to examine subgroups and interactions (Page 9, lines 15-19) (c) Explain how missing data were addressed (NA) (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed (Page 8, lines 9-11) (e) Describe any sensitivity analyses (Page 9, lines 21-24; Page 10, lines 1-19)

**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (Figure 1) (b) Give reasons for non-participation at each stage (Figure 1) (c) Consider use of a flow diagram (Figure 1)
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (Table 1) (b) Indicate number of participants with missing data for each variable of interest (NA) (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) (Page 11, lines 4-7)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time (Page 11, lines 4-7)
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (Table 1, Table 2) (b) Report category boundaries when continuous variables were categorized (Table 1, Table 2)
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses (Figure 2, Figure 4)

**Discussion**

Key results	18	Summarise key results with reference to study objectives (Page 13, lines 4-24; Page 14, lines 1-14)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias (Page 15, lines 1-24; Page 16, lines 1-24; Page 17, lines 1-7)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence (Page 13, lines 4-24; Page 14, lines 1-23; Page 15, lines 1-24; Page 16, lines 1-24; Page 17, lines 1-17)
Generalisability	21	Discuss the generalisability (external validity) of the study results (Page 17, lines 8-17)

**Other information**

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based (Page 18, line 2)
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.



# BMJ Open

## Do patterns of mental health care predict treatment failure in young people with schizophrenia? Evidence from an Italian population-based cohort study

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<b>Primary Subject Heading</b>:	Mental health
Secondary Subject Heading:	Public health, Epidemiology
Keywords:	Epidemiology < TROPICAL MEDICINE, MENTAL HEALTH, Public health < INFECTIOUS DISEASES

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Manuscripts

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4 **Do patterns of mental health care predict treatment failure in young people**  
5 **with schizophrenia? Evidence from an Italian population-based cohort study**  
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13 Giovanni CORRAO<sup>1</sup>, Davide SORANNA<sup>1</sup>, Luca MERLINO<sup>2</sup>, Emiliano MONZANI<sup>3</sup>, Caterina  
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## Abstract

**Background and objective.** Little is known about practice predicting community-based care effectiveness of patients affected by schizophrenic disorders. We assessed predictors of treatment failure in a large sample of young people affected by schizophrenia.

**Methods.** The cohort of 556 patients aged 18-35 years who had firstly diagnosed for schizophrenia during 2005–2009 in a Mental Health Service (MHS) of Italian Lombardy Region was identified. Intensity of mental health care received during the first year after index visit (exposure) was measured by means of regularity of MHS attendance and the length of time covered with antipsychotic drug therapy. Patients were followed from index visit until 2012 for identifying hospital admission for mental disorder (outcome). Proportional hazards model was fitted to estimate hazard ratio (HR), and 95% confidence intervals (CI), for the exposure-outcome association, after adjusting for several covariates. A set of sensitivity analyses was performed in order to account for sources of systematic uncertainty.

**Results.** During follow-up, 144 cohort members experienced the outcome. Compared with patients on low coverage with antipsychotic drugs ( $\leq 4$  months), those on intermediate (5-8 months), and high ( $\geq 9$  months) coverage had HRs (95% CI) of 0.94 (0.64 to 1.40), and 0.69 (0.48 to 0.98), respectively. There was no evidence that regular attendance with MHS affected the outcome.

**Conclusions.** Patients in the early phase of schizophrenia and their familiars should be cautioned about the possible consequences of antipsychotic poor adherence. Physicians and decision makers should strengthen their engagement for improving mental health care.

**Key words.** Antipsychotic drugs; Database; Real-world evidence; Schizophrenia; Treatment failure

## ARTICLE SUMMARY

### Article focus

- Little is known about the practice and patient characteristics that predict effectiveness of community-based care patients affected by schizophrenic disorders.
- The focus of this study is to assess predictors of treatment failure in a large and unselected sample of young people affected by schizophrenia.

### Key Message

- Our data on care patterns provided to patients suffering schizophrenic disorders in the real world setting, show poor adherence with antipsychotic drug therapy, as well as with regular attendance of mental health service. In addition, our study showed that a good coverage with antipsychotic therapy already in the first year after the diagnosis of schizophrenia reduces the long-term risk of hospital admission for mental disorders.

### Strengths

- The investigation is based on data from a large unselected population, which was made possible since in Italy a cost-free healthcare system involves practically all citizens.
- Our data reflect routine clinical practice and are not affected by selective participation and recall bias.
- Patients were identified from the point of the initial visit with the mental health service in which diagnosis of schizophrenia was made, and the complete sequence of public or accredited healthcare facilities, including mental health care and other services, was known.
- A number of sensitivity analyses confirmed the robustness of our findings.

## Limitations

- Information about private mental health outpatient facilities are not available from our healthcare utilization databases system.
- Evaluation of antipsychotic drug coverage was based on pharmacy-dispensing information. This method assumes that the proportion of days covered by a prescription corresponds to the proportion of days of medication use.
- Although the large sample size, our study was not sufficiently powered to investigate the effect of relatively rare exposures.

## Introduction

The fifth edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) defines schizophrenia as a syndrome characterized by long duration and high relapse rate, with abnormalities in one or more of following five domains: delusions, hallucinations, disorganized thinking (speech) grossly disorganized or abnormal motor behaviour, including catatonia, and negative symptoms, and sometimes a few mood problems [1]. The onset of symptoms typically occurs in adolescence and young adulthood, with a worldwide estimate of its lifetime prevalence and incidence of 1.4–4.6 and 0.16–0.42 per 1,000 persons annually, respectively [2,3]. A recent systematic review indicated that patients diagnosed with this disorder have shorter lifespan than the average general population. They furthermore have increased risk of suicide and physical impairment (e.g., limited exercise, poor diet, and obesity), and reduced access to medical treatment and healthcare services [4].

Antipsychotic medications have been recommended as the mainstream of medical treatment for nearly all patients with schizophrenia, to provide them with a safe and therapeutic environment and effective symptom control [5]. In the last decades, different approaches to psychosocial interventions (e.g., psychotherapy, family therapy, psychoeducation and cognitive-behavioural therapy) have shown to be able to bear positive patient outcomes admitted that they are used as an adjunct to antipsychotics [6-13]. Although their comparative efficacy has been increasingly evaluated in various clinical trials [7,9,11,12], it should be emphasized that patients enrolled in these trials were likely quite motivated as they were consenting to intense monitoring in a structured research program and likely adhered, or were controlled for adherence, with clinical recommendations. Unfortunately, however, how these intervention programs perform in psychiatrically ill patients treated in real-world, naturalistic setting, is little known.

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4 Mental health services play a central role in the treatment of people with schizophrenic disorders,  
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6 as they act both as direct providers of care and as supporters of primary care practitioners [14].  
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8 According with the Italian model of mental health services, as well as with that of most high  
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10 income countries, a complex network of different types of community mental health teams, and a  
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12 range of treatment, rehabilitation, employment and residential care facilities, currently operate in  
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14 in the community [15]. To date, however, little is known about the practice and patient  
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16 characteristics that predict effectiveness of community-based care patients affected by  
17  
18 schizophrenic disorders. This paper is designed to address this need. Our purpose is to assess  
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20 predictors of treatment failure in a large and unselected sample of young people affected by  
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22 schizophrenia.  
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## 31 **Methods**

### 32 **Departments of Mental Health in Lombardy**

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34 Lombardy, the largest and the most affluent Region in Italy with, in 2009, a population of about  
35  
36 9,700,000, lies in the northernmost part of the country. In Lombardy, public Department of  
37  
38 Mental Health (DMH) provides mental health care through a network of community services,  
39  
40 ranging from acute emergency treatment to long-term rehabilitation; it therefore includes one or  
41  
42 more of all the following facilities: Community Mental Health Centers (CMHC), General  
43  
44 Hospital Psychiatric Wards (GHPW), Day Care Centers (DCC), Community Residential  
45  
46 Facilities (RF). The public DMH is the administrative, financial and organizational entity that is  
47  
48 fully responsible for the network of community mental health facilities in the catchment area,  
49  
50 including GHPWs and public RFs. It has full governance of all the available facilities in the  
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4 Department, and authorizes and controls the admission of DMH patients to private, licensed  
5 facilities, like private Residential Facilities [16].  
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7

### 8 **Healthcare utilization databases of Lombardy**

9  
10 In Italy, the population is covered by the National Health Service (NHS) and in Lombardy its  
11 management has been associated since 1997 with an automated system of databases to collect a  
12 variety of information concerning services provided to beneficiaries of NHS. Information  
13 includes data on patients attending public DMH, e.g., demographics, ICD-10 diagnoses,  
14 treatments such as outpatient and home visits, day treatment attendance, and residential facilities.  
15  
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17  
18 Beside the specific system for monitoring the use of mental health services, other automated  
19 systems for monitoring healthcare utilization include databases on diagnosis at discharge from  
20 public or private hospitals, outpatient drug prescriptions reimbursed by the NHS, drug  
21 prescriptions administered directly in the outpatient setting and day hospital, outpatient services,  
22 such visits and diagnostic tests respectively performed in specialist ambulatories and laboratories  
23 accredited by the NHS. For each patient we linked the above databases via a single identification  
24 code. In order to preserve privacy, each identification code was automatically converted to an  
25 anonymous code. The inverse process was prevented by deletion of the conversion table.  
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31 Through this record linkage process we were able to mark out the complete care pathway of all  
32 the citizen of Lombardy beneficiaries of NHS, practically of all residents in the Region, through  
33 a long period of observation. This offers the opportunity to design investigations including very  
34 large unselected populations, and to generate real-world evidence on several fields of healthcare,  
35 including mental health [17,18].  
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### 40 **Cohort selection and follow-up**

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42 The target population consisted of all beneficiaries of the NHS resident in Lombardy aged 18-35  
43 years. According to the 2011 Italian Census, this population amounted to 1,893,313 individuals.  
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4 Of these, we identified patients who during the period January 1<sup>st</sup>, 2005 to December 31<sup>st</sup>, 2009  
5  
6 had at least a contact with a MHS accredited by the NHS and received in that occasion diagnosis  
7  
8 of schizophrenia spectrum disorder (ICD-10 codes 2X.XX). The date of first visit during the  
9  
10 considered period was recorded as index visit.  
11

12  
13 With the aim of favoring the inclusion of only newly treated individuals, patients who within two  
14  
15 years prior to the index visit experienced at least a hospital admission for mental disorder (ICD-9  
16  
17 290-319), had at least a contact with a MHS, or even just received at least a prescription of an  
18  
19 antipsychotic agent were excluded. Patients who during the first year after index visit did not  
20  
21 received antipsychotic medicaments were also excluded, based on the assumption that in these  
22  
23 patients continuous drug treatment might have not been indicated. Finally, patients who did not  
24  
25 reach at least 1 year of follow-up were excluded, to ensure at least one year of potential exposure  
26  
27 to the care of interest. The remaining patients represented the study cohort.  
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31 Each member of the cohort accumulated person-years of follow-up from the index visit until the  
32  
33 earliest among the dates of outcome onset (i.e., hospital admission for mental disorder) or  
34  
35 censoring (i.e., death from any cause, emigration, or December 31<sup>st</sup>, 2012).  
36

### 37 **Characterizing cohort members**

38  
39 For each cohort member data included selected tracts detected at index visit such as gender, age,  
40  
41 social tracts, and physical comorbidities. The latter, was measured by means of the Charlson  
42  
43 comorbidity index score [19] which was calculated via the diagnostic information available from  
44  
45 inpatient charts in the two years prior and one year after the index visit; patients was categorized  
46  
47 has having or no having chronic comorbidities. Finally, co-treatments with antidepressive and  
48  
49 mood stabilizers during the first year after index visit were considered.  
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### 52 **Measuring mental health care**

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54 All contacts with MHS experienced by the cohort members during the first year after index visit  
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4 were identified. Attendance was considered regular if the time-span between two consecutive  
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6 visits was 60 days or shorter, or discontinuing otherwise. Patients were classified into two mutual  
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8 exclusive categories of those who had regular attendance with the MHS (i.e., if they did not  
9  
10 experience any episode of discontinuation through the first year after the index visit), or  
11  
12 discontinuers otherwise.

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14 Patients were furthermore classified according if they received at least three individual, familiar  
15  
16 or group sessions of psychotherapy, family psychoeducational activities or other supports (e.g.,  
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18 social, expressive, practical-manual, and physical activities, support to independent living and  
19  
20 support to employment).  
21

22  
23 Data were also regarded in relation to the professionals who supplied care, distinguishing  
24  
25 between patients who were seen only by mental health staff (psychiatrists and psychologists) or  
26  
27 also by other professionals (educators, social workers, etc...).

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29 Coverage with antipsychotic drug therapy was measured by means of the cumulative number of  
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31 days during which the medication was available. Patients were accordingly categorized as having  
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33 at least 4 months, from 5 months to 8 months, and more than 9 months of drug coverage.  
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### 36 37 **Data analysis**

38  
39 The Kaplan-Meier estimator of the cumulative proportion of patients experiencing the outcome  
40  
41 was built for the entire cohort, as well as according to selected subgroups. The log-rank test was  
42  
43 used to test between group differences. The Cox proportional hazard regression model was fitted  
44  
45 to estimate the hazard ratio (HR), and its 95% confidence interval (CI), for the association  
46  
47 between the above listed covariates and time of outcome onset. Trends in HRs were tested, when  
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49 feasible, according to the statistical significance of the regression coefficient of the recoded  
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51 variables obtained by scoring the corresponding categories.  
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### 54 55 **Sensitivity analyses**

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4 Because of the arbitrariness of taking a 60-day time-span to distinguish regular and discontinuing  
5 attendance, and to account for at least three psychotherapy sessions to identify patients treated,  
6 data were reanalysed according to different criteria, such as 30- and 90-day time-span and one or  
7 two sessions of psychotherapy.  
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11 The robustness of our findings with regard to potential bias introduced by unmeasured  
12 confounders was investigated by using the rule-out approach described by *Schneeweiss* [20]. Let  
13  $E$  the exposure of interest (e.g., the regular attendance to the MHS contrasted to discontinuation),  
14  $O$  the outcome (i.e., hospital admission for mental disorder), and  $C$  a hypothetical unmeasured  
15 confounder (e.g., severity of schizophrenia at diagnosis). In applying the rule-out method, we  
16 allowed  $C$  (i) to be present in the study population with a prevalence 50% (e.g., one half of  
17 included patients had symptoms of severe schizophrenia at index visit); (ii) to be associated with  
18  $O$  with risk ratio varying from 1 to 10 (i.e. severe schizophrenics may experience the outcome up  
19 to 10-fold more than patients with less severe symptoms); and (iii) to be associated with  $E$  with  
20 odds ratio varying from 1 to 10 (i.e. severe schizophrenics may regularly attend the service up to  
21 10-fold more than others). In its original formulation, rule-out approach aims to detect the  
22 extension of confounding required to fully account for the observed exposure-outcome  
23 association, so to drag the observed point estimate towards that expected under the null  
24 hypothesis. In our application, we extended the use of the rule-out approach at the situations in  
25 which the observed association did not reach statistical significance and the interest is to detect  
26 the extension of confounding required to make statistically significant the exposure-outcome  
27 association. With this aim, we conducted the analysis for the value of the observed higher 95%  
28 confidence limit to determine the constellations in which the 95% confidence interval would not  
29 cross the expected value under the null hypothesis.  
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## Results

The distribution of the exclusion criteria is shown in **Figure 1**. The 556 who met inclusion criteria accumulated 2,619 person-years of observation, with an average per patient follow up of about 4.7 years. During this period, 144 patients belonging to the cohort members experienced the outcome.

**Table 1** provides some characteristics of the included cohort members. At the index visit, almost one half of the patients had aged 30-35 years and 68% of them were men. The most patients had poor education, were never married and lived in family, while almost sixty percent of them were unemployed. Only two patients showed at least of sign of chronic comorbidity. More than one half and one of seven patients were respectively co-treated with antidepressive and mood stabilizers agents. Among the considered covariates, evidence of increased outcome risk issued for patients with poor education, currently unemployed, and who did not receive antidepressive agents.

As far as mental health care provided to cohort members during the first year after index visit, **Table 2** shows that, although only one in five patients had a good coverage with antipsychotic drug therapy, significant reduction of the outcome risk was observed for these patients. The inverse coverage-outcome relationship is depicted in **Figure 2**. In particular the cumulative proportion of patients experiencing the outcome decreased from 34% to 32% and 23% for patients with low, intermediate and high drug coverage, respectively.

**Table 2** shows that the most patients received interventions delivered by professionals other than psychiatrics and psychologists, 12% of them received at least three sessions of psychotherapy, and one quarter of patients had regular MHS attendance without any episode of discontinuation

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4 during the first year after index visit. There was no evidence that neither type of treatment or of  
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6 caregivers, nor regular attendance with MHS affected the outcome risk.  
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9 As expected, the proportion of regularly attending patients, as well that of adequately treated  
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11 patients decreases as less permissive criteria was adopted. **Figure 3** shows that regulars  
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13 accounted for only 5% by allowing 30 days between two consecutive visits, while 42% of  
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15 patients had “regular” contacts at least every 90 days. Among the 124 patients who received a  
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17 first psychotherapy session, only 66 (53%) reached at least three sessions. It should be noticed,  
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19 however, the lack of evidence of a protective effect of care provided by territorial services even  
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21 by varying the classification criteria.  
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24 The results of the residual confounding analysis obtained by means of the rule-out approach are  
25  
26 presented in **Figure 4**. If we assume that patients exposed to the unmeasured confounder have a  
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28 4-fold increased outcome risk than those unexposed (i.e., severe schizophrenics experience the  
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30 outcome 4-fold more than others), then patients exposed to the confounder would increase their  
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32 exposure of 5.3-fold or more (i.e. severe schizophrenics would regularly attend the service with a  
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34 odds more than 5-fold higher than others) to drag the effect of regular attendance to be  
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36 significant protective on the outcome risk. On the other hand, if we assume that patients exposed  
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38 to the confounder have even higher outcome risk (e.g., severe schizophrenics experience the  
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40 outcome 10-fold more than others), then a lower discrepancy of the exposure of interest is  
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42 required for admit protection (i.e., severe schizophrenics would regularly attend the service more  
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44 than 3-fold higher than others).  
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## Discussion

Our population-based cohort study shows that mental health care provided to young schizophrenic patients is frequently abandoned and/or is not adequately followed since the first year after diagnosis. Consistently with an impressive amount of literature [21], this was observed with regard to antipsychotic drug therapy, since only one in five patients had a good coverage with antipsychotic drug therapy. Furthermore, only one patient in twenty had monthly attendance of territorial MHS, and 58% of schizophrenics had attended the service for less than once every three months.

An important finding of our study, is that in the real-world setting the longer coverage with antipsychotic drug therapy during the first year after diagnosis of schizophrenia, the greater was the reduction in the risk of long-term hospital admission for mental disorder. Thus, our findings suggest that treatment with antipsychotics may favourably affect the risk of hospitalization, a conclusion that expands to a large unselected population the conclusions of several observational studies [22-25] and a recent systematic review of randomized clinical trials [26]. The poor compliance with drug therapy in everyday clinical practice, together with the observed strength of the association between drug coverage and outcome, give a 21% attributable fraction, that is more than one fifth of outcome currently occurring among schizophrenics who received antipsychotic drug therapy at baseline may be prevented if all those patients had good coverage with therapy. Hence, among the investigated factors, poor coverage with antipsychotic drugs appears the more important predictor.

Another important finding of our study, is that, unexpectedly, regular attendance of territorial MHS for receiving psychotherapy, psychoeducational activities, social supports and multidisciplinary care, did not show evidence of exerting a protective effect on the risk of

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4 hospitalization in our setting. Although it is difficult to draw consistent conclusions from the  
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6 existing literature on the efficacy of psychological interventions in the therapy of schizophrenic  
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8 patients, there is however sound evidence for the efficacy of specific psychological approaches,  
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10 i.e., social skills trainings, cognitive remediation, psychoeducational interventions with families  
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12 and relatives, and cognitive behavioral therapy of psychotic symptoms [11]. This suggested their  
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14 implementation into routine care according to several national guidelines [27]. However, the  
15  
16 main open question remains the generalizability of RCT findings to routine care. Our study  
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18 suggests that the gap between what is known from clinical efficacy research and the  
19  
20 systematic community translation of mental health care programs is still dramatically wide,  
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22 at least in the investigated setting.  
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26 Other findings of our study deserve to be mentioned. First, we observed that almost one half of  
27  
28 the included patients had aged 30-35 years, i.e., they was much older than expected for a group  
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30 experiencing a first episode of psychosis. This reveals that accessibility public mental health  
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32 services should be improved for intercepting early onset of schizophrenic disorders. Second,  
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34 among the considered social tracts, low level of education and unemployment were independent  
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36 predictors of long-term risk of hospital admission for mental disorders, possibly due to the  
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38 treatment delay, and then to the greater severity of illness at presentation, among people with low  
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40 socio-economic status [28]. Finally, we observed that almost one half of the included patients co-  
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42 utilized antidepressants and that these patients were at lower risk of long-term mental disorders  
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44 hospitalization. This finding confirms recent evidence that antidepressants along with  
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46 antipsychotics are more effective in treating the negative symptoms of schizophrenia than  
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48 antipsychotics alone [29].  
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52 The present study is unique in several respects. One, the investigation is based on data from a  
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54 large unselected population, which was made possible since in Italy a cost-free healthcare system  
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4 involves practically all citizens. Two, our data reflect routine clinical practice and are not  
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6 affected by selective participation and recall bias. Three, patients were identified from the point  
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8 of the initial visit with the mental health service in which diagnosis of schizophrenia was made,  
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10 and the complete sequence of public or accredited healthcare facilities, including mental health  
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12 care and other services, was known. Four, accurate data are guaranteed from healthcare  
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14 utilization databases of Lombardy, as documented by several quality checks [30-32]. Finally, a  
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16 number of sensitivity analyses confirmed the robustness of our findings.  
17

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19 Our study may be limited by some issues. One, information about private mental health  
20  
21 outpatient facilities are not available from our healthcare utilization databases system. This  
22  
23 involves the following systematic errors: 1) we selectively included patients who had at least a  
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25 visit with a public structure; 2) we cannot exclude that some of the included patients already had  
26  
27 visits with private structures, so that, despite our best efforts, some prevalent cases were  
28  
29 selectively included; 3) the pathway of care which we were able to trace lacks for a part of  
30  
31 clinical supplies. It should be emphasized, however, that the access to private facilities does not  
32  
33 affect our ability to search out drug dispensations. In fact, according with Italian health system  
34  
35 organization, free-of-charge drug prescriptions are however ensured (and then recorded in  
36  
37 healthcare utilization database) even when they have been prescribed by a private physician.  
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39 This may explain because, among the investigated health care, only drug therapy showed  
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41 evidence of effectiveness.  
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46 Two, evaluation of antipsychotic drug coverage was based on pharmacy-dispensing information.  
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48 This method assumes that the proportion of days covered by a prescription corresponds to the  
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50 proportion of days of medication use. Although data on dispensing history have shown to be  
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52 consistent with other adherence measures, drug serum levels, and clinical drug effects [33], it is  
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54 likely that in a number of patients the prescribed drugs are not consumed. This implies that the  
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4 use of medication dispensing as a measure of coverage remains a source of uncertainty of our  
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6 estimates. It should be mentioned, however, that this source of misclassification likely leads to  
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8 an underestimation of the strength of adherence-outcome association [34].  
9

10  
11 Three, whether the observed findings are due to our inability to fully account for regular  
12  
13 treatment to those patients at higher risk of clinical failure, is a relevant question in interpreting  
14  
15 our findings. For example, it is likely that patients with severe schizophrenia at baseline are  
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17 submitted to greater psychiatric attention than those with less severe symptoms, that is more  
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19 regular visits, greater care for therapeutic plan and longer drug coverage. Our study addressed  
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21 confounding by means of the following shrewdness. First, conventional adjustments for a  
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23 number of available demographic, therapeutic and clinical characteristics, such as age, gender,  
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25 social features, and co-treatments, most of them may be considered proxies of disease severity,  
26  
27 were performed. Second, we attempted to include patients at their first clinical manifestation of  
28  
29 the disease. This was made by excluding patients aged 35 years or more who already received  
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31 diagnosis of schizophrenia, had contacts with a department mental health, experienced  
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33 hospitalizations for mental disorder, or even received antipsychotic drug dispensations. The  
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35 exclusion of prevalent cases, as well as of prevalent drug users, is crucial for healthcare research,  
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37 since the alternative consists in selectively including those patients who survived their disease  
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39 status [35]. Third we excluded schizophrenics who did not receive antipsychotic drug  
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41 dispensations during the first year after the index visit. The latter exclusion criteria, leading to  
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43 research plans that we called “only user design” [36], have been described as reducing the  
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45 potential for confounding by indication [37]. We suspect that, due to the nature of the  
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47 precautions taken, confounding could have biased the effect of regular service attendance, rather  
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49 than that of antipsychotic medicaments. Our sensitivity analysis accounting for unmeasured  
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51 confounding, however, showed that, considering severity of schizophrenia as the unmeasured  
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4 factor, even assuming very high prevalence of severe schizophrenia at presentation (50%) and  
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6 that severe schizophrenics risk the outcome onset even 10-fold more than others, a strong  
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8 discrepancy in regularity of service attendance between severe and less severe schizophrenics  
9  
10 would need for making statistically significant the association of interest.

11  
12 Finally, although the large sample size, our study was not sufficiently powered to investigate the  
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14 effect of relatively rare exposures. For example, if we accept a permissive definition of regularity  
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16 (e.g., by requiring at least one contact every three months) then our study is able to generate  
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18 significant evidence admitted that discontinuers experience the outcome at least 1.8-fold more  
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20 than regulars (with an error of the first type of 5% and a power of 80%). On the other hand, we  
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22 do not expect protection from such a permissive criterion. As regularity definition becomes less  
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24 permissive, however, the observed number of regulars tends to decrease. For example, our study  
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26 is able to generate significant evidence of outcome protection from monthly attendance admitted  
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28 that discontinuers experience the outcome at least 5-fold more than regulars. This means that our  
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30 study has limited chance of highlighting the impact of the proper use of the service, for the  
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32 simple reason that proper use rarely occurs. Paradoxically, this source of weakness is due to the  
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34 key message of our study, i.e., the limited use of territorial service.

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39 In conclusion, our data on care patterns provided to patients suffering schizophrenic disorders in  
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41 the real world setting, show poor adherence with antipsychotic drug therapy, as well as with  
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43 regular attendance of mental health service. In addition, our study showed that a good coverage  
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45 with antipsychotic therapy already in the first year after the diagnosis of schizophrenia reduces  
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47 the long-term risk of hospital admission for mental disorders. Real world psychosocial  
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49 treatments, as those provided at community level by mental health services in Lombardy in the  
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51 last decade, should be strengthened to be effective. The development in Lombardy in the last  
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53 four years of more than twenty projects for the early treatment of psychosis is moving in this  
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4 direction. It is thus important that mental health professionals and decision makers strengthen  
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6 their engagement toward improving mental health care in the clinical practice.  
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### Contributorship Statement

10  
11 AL and GC generated the initial study idea. GC wrote the protocol, and drafted the  
12  
13 manuscript. DS and GC prepared the dataset and performed the data analysis and the  
14  
15 sensitivity analysis. LM abstracted the data and authorized their utilization. EM assisted in  
16  
17 interpreting the results under clinical prospective. All authors interpreted the results.  
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### Competing interests

25  
26  
27 There are no competing interests  
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31

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32  
33  
34 There are no funding for this study. All authors indicated no financial relationship.  
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### Data sharing

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41 No additional data available  
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**Table 1.** Selected tracts of the 556 included patients with diagnosis of schizophrenia and their relationship with the long-term risk of hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

	N (%)	Rough HR <sup>#</sup> (95% CI)	Adjusted HR <sup>#</sup> (95% CI)
<b>DEMOGRAPHICS*</b>			
Male gender	80 (68%)	1.05 (0.74 to 1.49)	1.04 (0.72 to 1.49)
Age (years)			
18-23	144 (26%)	1.00 (reference)	1.00 (reference)
24-29	160 (29%)	0.72 (0.46 to 1.11)	0.75 (0.46 to 1.17)
30-35	252 (45%)	0.83 (0.56 to 1.22)	0.89 (0.60 to 1.33)
p <sub>trend</sub> ‡		0.269	0.343
<b>SOCIAL TRACTS*</b>			
Education (years)			
≤ 8	323 (58%)	1.00 (reference)	1.00 (reference)
9-14	195 (35%)	0.72 (0.05 to 1.03)	0.80 (0.55 to 1.16)
> 14	38 (7%)	0.49 (0.22 to 1.12)	0.51 (0.26 to 0.99)
p <sub>trend</sub> §		0.020	0.046
Marital status			
Married	94 (17%)	1.00 (reference)	1.00 (reference)
Never married	462 (83%)	0.95 (0.62 to 1.46)	0.88 (0.55 to 1.42)
Living arrangements			
Alone	34 (6%)	1.00 (reference)	1.00 (reference)
Family	513 (92%)	1.04 (0.51 to 2.12)	0.70 (0.49 to 1.00)
Community	9 (2%)		
Employment			
Currently unemployed	324 (58%)	1.00 (reference)	1.00 (reference)
Currently employed	232 (42%)	0.65 (0.46 to 0.91)	0.74 (0.55 to 0.99)
<b>CO-TREATMENTS AND COMORBIDITIES</b>			
Physical comorbidities †			
None	554 (99%)	-	-
One or more	2 (1%)	-	-
Co-treatments ‡			
Antidepressive	274 (49%)	0.67 (0.48 to 0.93)	0.66 (0.47 to 0.93)
Mood stabilizers	84 (15%)	1.41 (0.93 to 2.14)	1.51 (0.98 to 2.33)

\* At baseline (index visit)

† According to diagnostic information available from inpatient charts in the two years prior and one year after the index visit

‡ During the first year after index visit

§ p-value for the trend in the risk of outcome as the category of the corresponding variable increases

# Hazard ratio (and 95% confidence interval) for the risk of hospital admission for mental disorders, according to Cox proportional hazard model. Estimates were rough and mutually adjusted for covariates listed in Tables 1 and 2



**Table 2.** Mental health care provided to the 556 included patients with diagnosis of schizophrenia and its relationship with the long-term risk of hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

	N (%)	Rough HR <sup>#</sup> (95% CI) <sup>6</sup>	Adjusted HR <sup>#</sup> (95% CI) <sup>6</sup>
Coverage with antipsychotic drug therapy *			
1-4 months	303 (55%)	1.00 (reference)	1.00 (reference)
5-8 months	135 (24%)	0.99 (0.67 to 1.45)	0.94 (0.64 to 1.40)
9-12 months	118 (21%)	0.71 (0.51 to 0.99)	0.69 (0.48 to 0.98)
p <sub>trend</sub> §		0.052	0.043
Attendance of mental health service †,‡			
Discontinue	417 (75%)	1.00 (reference)	1.00 (reference)
Regular	139 (25%)	1.07 (0.74 to 1.55)	0.98 (0.63 to 1.51)
Treatments †			
Patient psychotherapy	124 (22%)	1.08 (0.73 to 1.59)	1.02 (0.66 to 1.56)
Family psychoeducation	319 (57%)	1.18 (0.84 to 1.65)	1.08 (0.76 to 1.55)
Other supports	103 (18%)	1.42 (0.97 to 2.10)	1.35 (0.87 to 2.10)
Caregivers †			
Only mental health professionals	44 (8%)	1.00 (reference)	1.00 (reference)
Also other professionals	512 (92%)	1.41 (0.74 to 2.69)	1.27 (0.65 to 2.47)

\* Months with antipsychotic drugs available during the first year after index visit

† During the first year after index visit

‡ Attendance was considered regular if the time-span between two consecutive visits was 60 days or shorter, or discontinue otherwise

§ p-value for the trend in the risk of outcome as the category of the corresponding variable increases

# Hazard ratio (and 95% confidence interval) for the risk of hospital admission for mental disorders, according to Cox proportional hazard model. Estimates were rough and mutually adjusted for covariates listed in Tables 1 and 2

## Legends of Figures

**Figure 1.** Flow-chart of inclusion and exclusion criteria. Lombardy Region, Italy, 2005-2012

**Figure 2.** Cumulative proportion of patients experiencing hospitalization for mental disorders, according with their coverage with antipsychotic drug therapy during the first year since index visit. Lombardy Region, Italy, 2005-2012

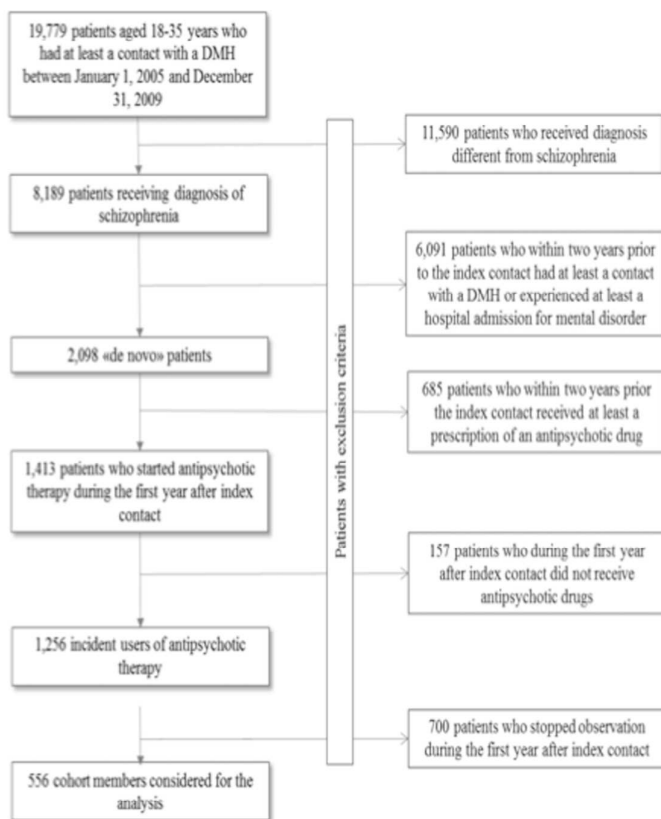
**Figure 3.** Influence of criteria for identifying patients on regular attendance of the mental health service and on psychotherapy on the hazard ratio for hospital admission for mental disorders. Lombardy Region, Italy, 2005-2012

Footnote: Criteria concern the time-span within which two consecutive visits for allowing regular attendance (in box A patients with regular attendance are contrasted with those who experience at least a discontinuing episode) and the cumulative number of psychotherapy sessions (in box B patients with at least a given number of sessions is contrasted with those who experience fewer sessions). Hazard ratio estimated according to Cox proportional hazard model. Estimates are adjusted for covariates listed in Tables 1 and 2

**Figure 4.** Modelled influence of a hypothetical confounder on the hazard ratio for hospital admission for mental disorders unaccounted for in the adjustments already performed in the main analysis. Lombardy Region, Italy, 2005-2012

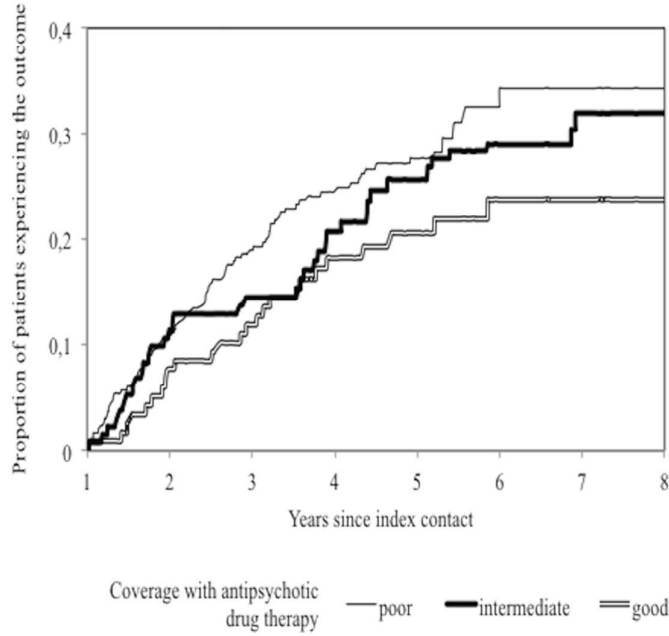
Footnote: The graph indicates what combinations of confounder – outcome and confounder – exposure would be required to make significantly protective the observed association between regular attendance of MHS and hospitalization for mental disorders. For an explanation see the “Sensitivity analysis”, subsection of the “Methods” section

Figure 1



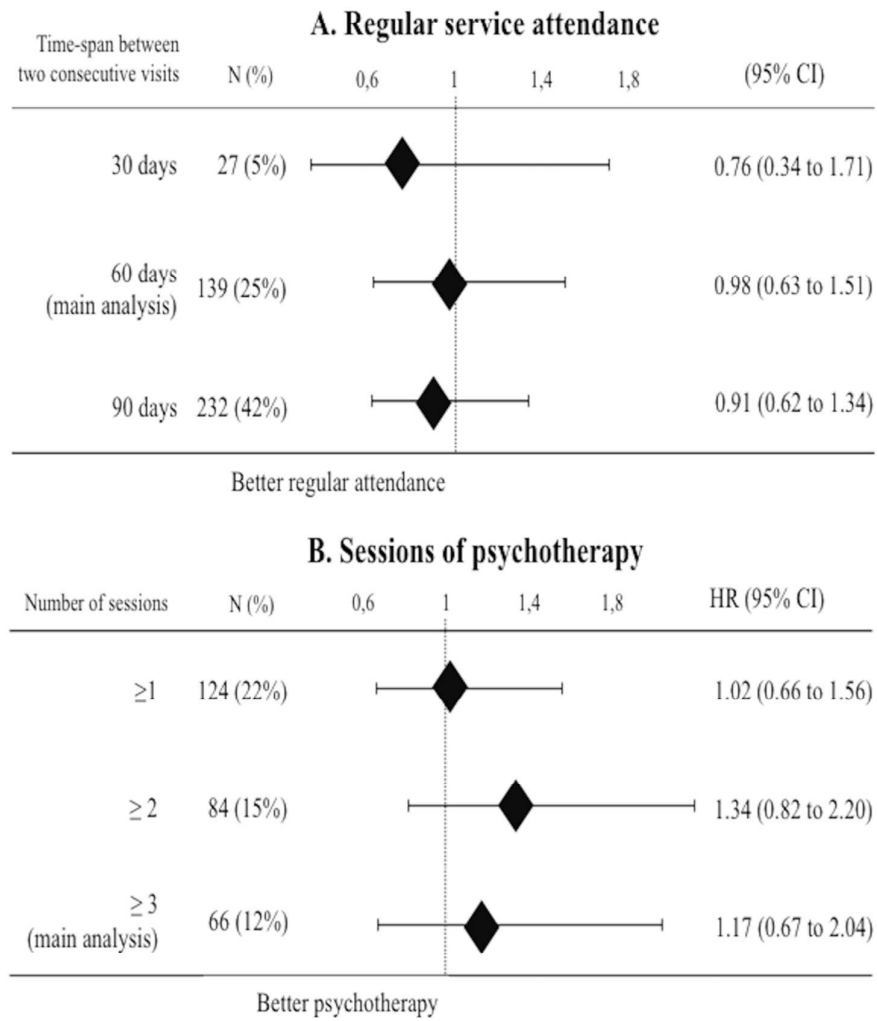
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Figure 2



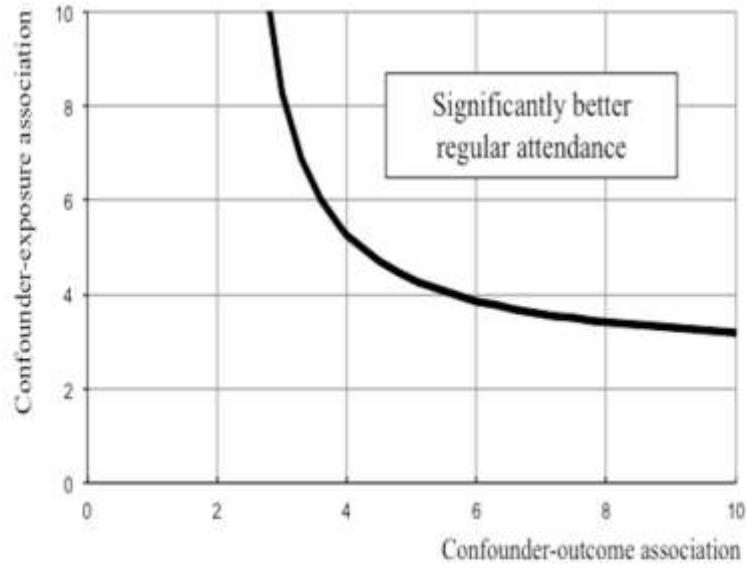
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Figure 3



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Figure 4



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## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (Page 2, line 6) (b) Provide in the abstract an informative and balanced summary of what was done and what was found (Page 2, lines 3-22)
<b>Introduction</b>		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported (Page 5, line 3-23)
Objectives	3	State specific objectives, including any prespecified hypotheses (Page 5, lines 23-24; Pages 6, lines 1-10)
<b>Methods</b>		
Study design	4	Present key elements of study design early in the paper (Page 7, lines 23-24; Page 8 lines 1-24; Page 9, lines 1-15)
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection (Page 7, lines 23-24; Page 8 lines 1-24; Page 9, lines 1-15)
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up (Page 7, lines 19-24; Page 8 lines 1-24; Page 9 lines 1-15) (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed (NA)
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable (Page 8, lines 17-24; Page 9, lines 1-15)
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group (Page 8, line 17-24; Page 9, lines 1-11)
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at (Figure 1)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (Page 9, lines 16-24; Page 10, lines 1-23) (b) Describe any methods used to examine subgroups and interactions (Page 9, lines 19-23) (c) Explain how missing data were addressed (NA) (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed (Page 8, lines 6-8) (e) Describe any sensitivity analyses (Page 10, lines 1-23)

**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (Figure 1) (b) Give reasons for non-participation at each stage (Figure 1) (c) Consider use of a flow diagram (Figure 1)
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (Table 1) (b) Indicate number of participants with missing data for each variable of interest (NA) (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount) (Page 11, lines 3-6)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time (Page 11, lines 4-7)
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (Table 1, Table 2) (b) Report category boundaries when continuous variables were categorized (Table 1, Table 2)
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses (Figure 2, Figure 4)

**Discussion**

Key results	18	Summarise key results with reference to study objectives (Page 13, lines 3-24; Page 14, lines 1-22)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias (Page 15, lines 8-24; Page 16, lines 1-24; Page 17, lines 1-24; Page 18 lines 1-2)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence (Page 13, lines 3-24; Page 14, lines 1-24; Page 15, lines 1-24; Page 16, lines 1-24; Page 17, lines 1-24; Page 18, lines 1-2)
Generalisability	21	Discuss the generalisability (external validity) of the study results (Page 17, lines 17-24; Page 18 lines 1-2)

**Other information**

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based (Page 18, line 14)
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.