The diagnostic accuracy of chest ultrasound for CT-detected radiographic consolidation in hospitalised adults with acute respiratory failure: a systematic review

Mark Hew, John P Corcoran, Elinor K Harriss, Najib M Rahman, Susan Mallett

ABSTRACT

Objectives: (1) Summarise chest ultrasound accuracy to diagnose radiological consolidation, referenced to chest CT in patients with acute respiratory failure (ARF). (2) Directly compared ultrasound with chest X-ray.

Setting: Hospitalised patients.

Participants: Studies were eligible if adult participants in respiratory failure underwent chest ultrasound to diagnose consolidation referenced to CT.

Exclusion: (1) not primary study, (2) not respiratory failure, (3) not chest ultrasound, (4) not consolidation, (5) translation unobtainable, (6) unable to extract data, (7) unable to obtain paper. 4 studies comprising 224 participants met inclusion.

Outcome measures: As planned, paired forest plots display 95% CIs of sensitivity and specificity for ultrasound and chest X-ray. Sensitivity and specificity from each study are plotted in receiver operator characteristics space. Meta-analysis was planned if studies were sufficiently homogeneous and numerous (≥4). Although this numerical requirement was met, meta-analysis was prevented by heterogeneous units of analysis between studies.

Results: All studies were in intensive care, with either a high risk of selection bias or high applicability concerns. Studies had unclear or high risk of bias related to use of ultrasound. Only 1 study clearly performed ultrasound within 24 h of respiratory failure diagnosis. Ultrasound sensitivity ranged from 0.91 (95% CI 0.81 to 0.97) to 1.00 (95% CI 0.95 to 1.00). Specificity ranged from 0.78 (95% CI 0.52 to 0.94) to 1.00 (0.99 to 1.00). In two studies, chest X-ray had lower sensitivity than ultrasound, but there were insufficient patients to compare specificity.

Conclusions: Four small studies suggest ultrasound is highly sensitive and specific for consolidation in ARF, but high risk of bias and concerns about applicability in all studies may have inflated diagnostic accuracy. Further robustly designed studies are needed to define the role of ultrasound in this setting.

Trial registration number: http://www.crd.york.ac.uk/PROSPERO/ (CRD42013006472).

Strengths and limitations of this study

- Comparison of sonographic consolidation with a reference of radiological consolidation.
- Restricted to studies with reliable gold standard of chest CT.
- Examination of the influence of units of analysis on diagnostic accuracy reporting.
- Small number of eligible studies.
- Meta-analysis prevented by heterogeneous units of analysis.

INTRODUCTION

Acute respiratory failure (ARF) is common and deadly. Published incidence rates suggest approximately 50,000 patients each year in the UK at the severest end of the ARF spectrum may require ventilatory support. A quarter of these have underlying pneumonia, and face mortality rates as high as 50%.

Mortality escalates further when the cause of ARF is misdiagnosed, which occurs in one in five patients due, in part, to imaging limitations. Patients are difficult to position for chest X-ray, resulting in suboptimal imaging which may miss consolidation. Unlike CT, ultrasound can be brought to the bedside. Narrative reviews, consensus guidelines and systematic reviews all advocate the use of ultrasound to diagnose pneumonia, but crucially, most studies of ultrasound accuracy have not examined patients in ARF settings. In those that do, the reference...
standard is often the final clinical diagnosis, risking incorporation bias if ultrasound itself forms part of that standard.

Further confusion arises when ultrasound accuracy studies use ‘pneumonia’ as the target condition. The commonest pneumonia infiltrate on imaging is the shadowing termed ‘consolidation’. (Less frequently, pneumonia may cause other imaging findings apart from consolidation, and consolidation may occasionally be caused by conditions other than pneumonia). While ultrasound can diagnose consolidation (an imaging finding), only clinicians diagnose pneumonia (a clinical diagnosis) by expertly blending imaging findings with available clinical information.12 However, such clinical incorporation bias distorts estimates of ultrasound accuracy. Instead, the most appropriate target condition for the imaging finding of sonographic consolidation is another imaging finding, in this case, radiographic consolidation on chest CT.

To address these key issues, we undertook a systematic review to summarise the accuracy of chest ultrasound to diagnose radiological consolidation, referenced to chest CT, in the specific setting of hospitalised patients with ARF. We also directly compared ultrasound with chest X-ray, the commonest screening test for consolidation in ARF. We excluded paediatric studies because children have a different range of aetiologies for ARF.13

**METHODS**

The protocol was registered at (http://www.crd.york.ac.uk/PROSPERO/) (review registration number CRD42013006742) and attached as a supplement; key points are summarised here. The review is reported according to PRISMA guidelines (Preferred Reporting Items for systematic reviews and Meta-Analyses14).

**Inclusion criteria**

**Studies:** cohort, cohort with nested one-gate case-control studies (participants with and without consolidation), randomised controlled trials (ultrasound vs chest X-ray).

**Timing:** 24 h or less between ARF diagnosis and ultrasound scanning. If insufficient such studies were found, studies where ultrasound was performed more than 24 h after diagnosis of ARF would be included.

**Participants:** adults (aged 18 years or older) admitted to any hospital setting with ARF. Studies were excluded if patients were discharged home directly from the emergency department within 24 h without ward admission. ARF defined as: (1) arterial partial pressure of oxygen (PaO2) <60 mm of mercury, without supplemental oxygen, or (2) arterial oxygen saturation of <90% on pulse oximetry, without supplemental oxygen, or (3) supplemental oxygen required to prevent (1) or (2), or (4) author diagnosis of ARF.

**Index:** B-mode ultrasound examining lungs and pleura.

**Comparator:** studies comparing chest X-ray with chest ultrasound. Studies evaluating only chest X-ray excluded.

**Target condition:** radiological consolidation. Studies referencing chest ultrasound to a clinical diagnosis of pneumonia excluded.

**Reference standard:** chest CT, defined as helical CT to examine the thorax. Studies included if some patients received CT, but only when data could be analysed in the CT subgroup.

**Exclusion criteria**

The following hierarchy was employed: (1) not a primary study; (2) not respiratory failure; (3) not chest ultrasound; (4) not consolidation; (5) translation unobtainable; (6) unable to extract data to populate 2×2 contingency tables; (7) unable to obtain paper through both Bodleian (University of Oxford) and British Libraries.

**Search**

A healthcare librarian assisted with strategy development. Several iterations were trialled using two reference studies. The full search was run on 22 October 2013, in Ovid MEDLINE(R) In-Process & Other Non-Indexed Citations, and Ovid MEDLINE(R) 1946 to Present, Ovid Embase 1974 to 2013 October 21, and Web of Knowledge Science Citation Index Expanded (see online supplementary appendix 1). An update search was run on 6 August 2014 prior to publication. Filters were not used and no language or date restrictions applied. Only published studies were included. Reference lists, citation searches, citing alerts in electronic journals, and the ‘related articles’ feature in PubMed were also used.

**Study selection**

Titles and abstracts were screened according to inclusion and exclusion by two reviewers independently of each other, and results pooled. Full texts were assessed for inclusion independently by two reviewers. Differences were resolved by discussion and, if necessary, referral to a third reviewer.

**Data collection**

Prespecified data extraction forms (see online supplementary appendix 2) were developed,15 trialled in one study and modified. Data items included participants, index, comparator, reference, flow and diagnostic performance. Data were independently extracted by two reviewers. Differences were resolved by discussion and, if necessary, referral to a third reviewer.

**Quality assessment**

QUADAS2 (the Revised Tool for Quality Assessment of Diagnostic Accuracy Studies)16 was tailored for this review (see online supplementary appendix 3). Rating guidelines were developed, piloted in one included study, and applied to remaining studies by both reviewers independently. Differences were resolved by discussion and, if necessary, referral to a third reviewer.
Analysis plan
Most studies were expected to analyse patients, although we anticipated beforehand that studies might report results for each lung. We did not anticipate reporting by lung region. We considered analysis of any units other than patients as biased, since one lung (or lung region) of a patient is not independent of the other.

Paired forest plots were used to display 95% CIs of sensitivity and specificity. Sensitivity and specificity from each study were plotted in receiver operator characteristics (ROC) space. Meta-analysis was planned if studies were sufficiently homogeneous and numerous (≥4). Although this numerical requirement was met, meta-analysis was prevented by heterogeneous units of analysis between studies. Details of the planned meta-analysis are available at the registered protocol. Where ultrasound was compared with chest X-ray, sensitivity and specificity for both tests were plotted in ROC space using Revman 5. Tests of unpaired proportions for large samples were used to compare tests within the same study, as sufficient data were unavailable for paired comparison.

RESULTS
Study selection
Figure 1 shows the PRISMA flowchart. Totals from both (original and update) searches are combined. Four studies met inclusion criteria. The 2×2 contingency tables could be extracted from all included studies.

We were concerned regarding possible duplication or overlap of cohorts, because two included papers had the same first author and year of publication. However, we found key differences between the studies which rendered this unlikely (table 1).

Study characteristics
Table 2 summarises settings and patient characteristics of studies. All four studies were intensive care cohorts, but each reported different severity measures making comparison of ARF severity difficult.

Table 3 summarises ultrasound methods and units of analyses. Only one study met our criterion for preferred studies, with ultrasound undertaken within 24 h of intensive care unit (ICU) admission (and thus probably of ARF diagnosis). In the other studies, timing of ultrasound in relation to ARF diagnosis was not stated. Scanning protocols were similar across all studies; each lung was divided into six regions; anterior, lateral and posterior; with upper and lower divisions. Three studies employed microconvex probes, the fourth used both linear and convex probes. Probe frequency ranged between 3.5 and 10 MHz.
**Table 1** Differences in the two studies by Lichtenstein

<table>
<thead>
<tr>
<th>Author country</th>
<th>Study type/pattern</th>
<th>Demographics</th>
<th>Setting</th>
<th>Inclusion</th>
<th>Illness severity</th>
<th>Mechanical ventilation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lichtenstein et al 2004</td>
<td>France</td>
<td>Cohort, likely 1993–1997</td>
<td>n=32, Age 58 +/-15 (SD), M:F Not stated</td>
<td>Surgical ICU</td>
<td>ARDS, (pneumonia 18, pulmonary contusion 4, aspiration pneumonia 4, fat embolism 1, septic shock 3, cardiopulmonary bypass 2)</td>
<td>Lung injury severity score 2.6 ±0.8 (SD), (ie, severe), ARDS severity score of 11±6 (SD), Mortality 42%</td>
</tr>
<tr>
<td>Lichtenstein et al 2004</td>
<td>France</td>
<td>Cohort, period not stated</td>
<td>n=60, Age 53 (range 20–84), M:F 37:23</td>
<td>Medical ICU</td>
<td>Patients with critical illness requiring chest CT</td>
<td>Not stated</td>
</tr>
<tr>
<td>Xiouchaki et al 2011</td>
<td>Greece</td>
<td>Cohort, period not stated</td>
<td>n=42, Age 57.1 ±21.5 (SD), M:F 34:8</td>
<td>Mixed ICU</td>
<td>Patients with critical illness requiring chest CT (sepsis/multiorgan failure 18, trauma 11, Airways disease 7, pulmonary oedema 2, post-operative respiratory failure 2)</td>
<td>APACHE2 16.5 ±6.5 (SD)</td>
</tr>
</tbody>
</table>

ARDS, acute respiratory distress syndrome; ICU, intensive care unit; M, male; n, number.
### Table 3: Included studies: ultrasound technique, signs of consolidation and units of analysis

<table>
<thead>
<tr>
<th>Study</th>
<th>Ultrasound timing</th>
<th>Sonographer</th>
<th>Probe/scanner</th>
<th>Scan position</th>
<th>Ultrasound signs of consolidation</th>
<th>Unit of analysis</th>
<th>Consolidation prevalence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lichtenstein et al 2004</td>
<td>Within 24 h of ICU admission (approximated to ARF diagnosis)</td>
<td>1 intensivist (of 2), experience not quantified</td>
<td>Micro-convex 5 MHz, Portable (Hitachi 405)</td>
<td>Supine</td>
<td>Tissue-like pattern, no change in dimensions with respiration. Air bronchograms not mandatory</td>
<td>Lung region (12/patient)</td>
<td>31% of lung regions</td>
</tr>
<tr>
<td>Lichtenstein et al 2004</td>
<td>Unstated</td>
<td>2 intensivists (κ coefficient 0.89), experience not quantified</td>
<td>Micro-convex 5 MHz, Portable (Hitachi 405)</td>
<td>Supine</td>
<td>Tissue-like pattern, arising from the pleural line, irregular deep border (regular if lobar), no change in dimensions with respiration. Air bronchograms not used</td>
<td>Lung (2/patient)</td>
<td>56% of lungs</td>
</tr>
<tr>
<td>Xirouchaki et al 2011</td>
<td>Unstated</td>
<td>1 intensivist, 4 years’ experience</td>
<td>Micro-convex 5–9 MHz, Portable (Hitachi 8500)</td>
<td>Supine and lateral</td>
<td>Tissue-like pattern±power Doppler. Irregular deep border not used</td>
<td>Lung (2/patient) and Lung region (12/patient)</td>
<td>24% of lungs, but 79% of lung regions</td>
</tr>
<tr>
<td>Refaat, Abdurrahman 2013</td>
<td>Unstated</td>
<td>1 radiologist, &gt;7 years’ experience</td>
<td>Linear 7.5–10 MHz and convex 3.5 MHz, Portable Shenzhen mindray DP-1100 Plus)</td>
<td>Supine and lateral Erect when possible</td>
<td>Hypoechoic pattern, non-homogenous echo-texture, irregular shape, serrated margin, air and fluid bronchograms</td>
<td>Patient</td>
<td>18% of patients</td>
</tr>
</tbody>
</table>
Flow and timing: CT was performed within 6 h after ultrasound. Risk of bias was low.

Lichtenstein et al 2004.19
Selection: The risk of selection bias was high because participants were recruited on the clinical need for CT.19 Most patients were likely in a state of ARF based on their need for intubation and specific diagnoses; however, ARF was not specifically stated, so applicability concerns were unclear.

Index Test: The risk of bias was unclear as it was not stated whether sonographers were blinded to clinical information, (although blinded to CT). Applicability concerns were low.

Reference Test: CT interpretation was blinded to ultrasound results, giving a low risk of bias. Applicability concerns were low.

Flow and timing: CT was performed within 6 h after ultrasound. The risk of bias was low.

Xirouchaki et al, 2011.20
Selection: Risk of selection bias was high as participants were recruited on their clinical need for CT.20 Again, most patients were likely in a state of ARF based on their need for intubation and specific diagnoses; however, ARF was not specifically stated, so applicability concerns were unclear.

Index Test: Risk of bias was unclear as it was not stated whether sonographers were blinded to clinical information (although blinded to CT). Applicability concerns were low.

Reference Test: CT was interpreted blind to both clinical information and ultrasound results, giving a low risk of bias. Applicability concerns were low.

Flow and timing: CT was performed no longer than 6 h after ultrasound. Risk of bias was low.

Refaat, Abdurrahman 2013.21
Selection: Recruitment was consecutive and exclusions were reasonable, giving a low risk of selection bias.21 The aetiological diagnoses in this patient group were restricted to a subgroup of respiratory failure aetiologies, causing high applicability concerns.

Index Test: The risk of bias was high, as the sonographer had access to clinical information. There were low applicability concerns.

Reference Test: CT was interpreted blind to ultrasound results, giving a low risk of bias. Applicability concerns were low.

Flow and timing: CT was performed within 24 h of ultrasound; risk of bias was low.
Analysis

Ultrasound

Sensitivity for diagnosing CT-detected consolidation ranged from 0.91 (95% CI 0.81 to 0.97) to 1.00 (95% CI 0.95 to 1.00, Figure 4). Specificity ranged from 0.78 (95% CI 0.52 to 0.94) to 1.00 (0.99 to 1.00).

Ultrasound compared against chest X-ray

Two studies, both of which only included ventilated patients (Figures 4–6) evaluated both ultrasound and chest X-ray in the same patient populations, the best study design to compare tests. In both studies, the sensitivity of ultrasound was significantly greater than that of chest X-ray; 0.24 higher (95% CI 0.15 to 0.34, p<0.0001; Figures 4 and 6) in the first study and 0.62 (95% CI 0.50 to 0.74, p<0.0001) in the second (Figures 4 and 6). When compared using 12 lung regions per patient, specificity was higher for ultrasound (0.049, 95% CI 0.023 to 0.075, p=0.0003) in the first study, but lower in the second (−0.049, 95% CI −0.23 to −0.075, p=0.0003). Specificity compared at two lung regions per patient lacked sufficient power to detect a difference (Figure 4).

Figure 4 Sensitivity and specificity of ultrasound and chest X-ray for included studies. Note different units of analyses which preclude pooling of studies: lung regions (12 per patient) in Lichtenstein et al 2004; lungs (2 per patient) in Lichtenstein et al 2004 and Xirouchaki et al 2011, and individual patients in Refaat, Abdurrahman 2013.

Figure 5 Sensitivity and specificity of ultrasound and chest X-ray for consolidation.

Impact of unit of analysis

Three different units of analyses were reported across four studies (table 3). Only one study used the patient as a unit of analysis. A second study used only the lung (two per patient), and a third used only lung regions (12 per patient).

The fourth study reported its results using the lung as the unit of analysis, but provided additional data using lung regions in an electronic supplement. This provided the opportunity to study the impact of different units of analysis on test characteristics within the same data set (figure 7).

Importantly, for both ultrasound and chest X-ray, changing the unit of analysis from lung to lung region reduced sensitivity but enhanced specificity, and gave more precise estimates of accuracy (narrower CIs). It also inflated the prevalence of consolidation.

Reported sources of ultrasound and chest X-ray error

In one study, five of six false negative ultrasounds were in patients with posteriorly placed consolidation. This study evaluated patients only in the supine position, which may hinder the detection of posterior consolidation.

In another study, all four false positive ultrasounds detected only small areas of consolidation. This study only used a tissue-like pattern to diagnose consolidation which may have reduced specificity.

None of the studies proposed reasons for false positive or false negative chest X-ray results in their discussion.

Synthesis of results

Meta-analysis was not performed due to heterogeneous units of analysis across studies.

Additional analyses

Heterogeneity could not be explored due to the small number of studies, apart from comparisons between different units of analysis.

DISCUSSION

Summary of evidence

In four small studies, the reported sensitivity and specificity of ultrasound for CT-diagnosed consolidation was high among hospitalised patients with ARF. Ultrasound sensitivity was greater than for chest X-ray in two studies, directly comparing both methods in the same patient populations. However, paired comparisons in individual patients which are the best evidence for comparing tests were not available.

This review identified four quality issues that impact the reported test accuracy of ultrasound in included studies. First, patient selection in every eligible study was either at high risk of bias, or had concerns about applicability to our systematic review. These concerns included recruitment of participants in ICU at the severest acuity.
of ARF (spectrum bias), restriction to limited ARF aetiologies, and non-consecutive recruitment. The sensitivity of ultrasound for consolidation may thus be markedly poorer in unselected populations with less severe ARF (and lower burdens of consolidation), or a wider range of ARF aetiologies.

Second, in no study were sonographers clearly blinded to clinical data. This is pertinent because sonographers (who in three studies were actually clinicians) could have integrated bedside clinical data with ultrasound evaluation, artificially inflating ultrasound sensitivity.

Third, only one study specified that ultrasound was performed within 24 h of ICU admission (and presumably, of ARF diagnosis). The more time elapses before ultrasound is performed, the more likely lung consolidation would progress to a detectable extent, but the less likely the test result would improve patient outcome. This would boost reported ultrasound sensitivity, but overstate its utility as an initial test.

Fourth, the two studies comparing ultrasound with chest X-ray were undertaken wholly among ventilated patients. This spectrum bias would augment ultrasound sensitivity since patients would be more likely to have extensive (and more easily detectable) consolidation. The necessarily supine chest X-rays would render films less sensitive for consolidation, again exaggerating the benefit of ultrasound.

The variable units of analyses employed across studies also introduce additional concerns. Different units of analyses had an evident effect on test accuracy. The use of lung regions for analysis (as opposed to lungs) diminished sensitivity, inflated specificity, and gave the misleading appearance of greater precision. Another drawback of different units of analyses across studies is that meta-analysis of results would be misleading because studies using lung regions would have undue numerical weight. For these reasons, we highly recommend future studies be conducted and reported always including patients as the unit of analysis. This is the most appropriate and relevant unit, particularly since individual patients are usually the unit of clinical management.

Additionally, we strongly recommend that future studies should compare different tests in the same patients and present results as 2×2 tables of paired results separately in disease-positive and disease-negative patients. This is important to understand whether false-positive and false-negative results occur in the same or different patients, and to design subsequent studies.

Compared with previous systematic reviews, the distinguishing features of our review were: (1) the emphasis on a single clinical presentation, that is, ARF; in this ‘high stakes’ patient group, the additional resources required to perform ultrasound are better justified than in less severe clinical presentations; (2) the requirement for a CT reference; providing greater confidence in estimates of diagnostic accuracy; (3) the focus on a single radiographic abnormality, that is, consolidation rather than the clinical diagnosis of pneumonia, removing the risk of bias of incorporating clinical information into the target condition, and (4) the preregistered systematic review protocol.

**Limitations**

This review is limited by the small number of studies meeting inclusion as of August 2014. Four studies were performed by three investigator groups, limiting generalisability to other clinical environments. Where more than one ultrasound sign was used to diagnose consolidation, test characteristics of individual signs for consolidation were not assessed. The small number of studies and different units of analyses prevented meta-analysis, exploration of clinical and methodological heterogeneity, and pooled comparisons between ultrasound and chest X-ray.

**Conclusion**

Based on a small body of evidence at high risk of selection bias and index test bias, ultrasound is both sensitive and specific for CT-detected consolidation in ARF. Heterogeneous units of analyses between studies limited comparisons between studies.

While ultrasound may have a role as an add-on test in ARF when the chest X-ray is negative for consolidation, this possibility is tempered by the narrow evidence base available associated with substantial risks of bias and applicability concerns. We conclude there is insufficient evidence to support the widespread introduction of ultrasound for consolidation.
of ultrasound to detect consolidation in hospitalised patients diagnosed with ARF.

Robustly designed studies are needed, controlling for the fundamental biases discussed above. They should aim to determine if an add-on, or replacement test strategy is truly beneficial, and identify clinical determinants of test accuracy. Ultrasound should be compared with current methods and also to emerging diagnostic alternatives using biomarkers and other novel imaging. The feasibility of implementing ultrasound should also be studied, coupled with clinical and cost-effectiveness modelling.

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