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## Comparative effectiveness of inhaled corticosteroid for pediatric asthma: protocol for a Bayesian network meta-analysis

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6 **Comparative effectiveness of inhaled corticosteroid for pediatric asthma: protocol**  
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9 **for a Bayesian network meta-analysis**  
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For peer review only

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## ABSTRACT

**Introduction:** Inhaled corticosteroid (ICS) is the mainstream maintenance therapy for pediatric asthma. Several forms of ICS are available, but the relative effectiveness among ICSs has not been well investigated in published randomized controlled trials. The paucity of direct comparisons among ICS may have resulted in insufficient estimation in former systematic reviews/meta-analyses. To supplement the information on comparative effectiveness of ICS for pediatric asthma, we plan to conduct a network meta-analysis (NMA) that will enable summary of direct and indirect evidence.

**Methods and analysis:** We will retrieve randomized controlled trials that examine the effectiveness of ICS for pediatric asthma from the Cochrane Central Register of Controlled Trials. After one author scans the title and abstract for eligible studies, two authors will independently review study data and assess the study quality. Studies of children with chronic asthma or recurrent wheezing episodes will be included if they use ICS for  $\geq 4$  weeks. We will not define the primary outcome in this study because no single outcome measure actually represents control of asthma. We will assess multiple asthma outcomes to determine a more complete understanding of asthma control by ICS,

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6 including pulmonary function, rescue use of medications, and urgent health service use.  
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10 Extracted data will be synthesized in the Bayesian framework using the random effects  
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12 model.  
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16 **Ethics and dissemination:** The results will be disseminated through peer-reviewed  
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18 publications and conference presentations.  
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22 **Protocol Registration:** UMIN000016724  
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### 25 26 27 28 **Strengths and limitations of this study** 29

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- 32 • This study will be the first meta-analysis that examines comparative effectiveness  
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34 of inhaled corticosteroids for pediatric asthma  
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  - 37 • The result of this study will aid clinical decision making for practitioners and will  
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39 be the basis of future cost effective analysis.  
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  - 43 • The potential limitation of our study is that it only includes published trials, which  
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45 may be affected by some bias (eg, publication bias)  
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## INTRODUCTION

Morbidity of pediatric asthma is substantial worldwide. The prevalence of childhood asthma differs among countries(1), and up to 20–25% of children have prescriptions for anti-asthma medications in some industrialized countries(2, 3). Data from the USA represent an example of the asthma-related burden in children. These data show that, in 1 year, asthma causes exacerbations in 57% of pediatric patients, 12.8 million missed school days, 198,000 hospitalizations (the third cause of all childhood hospitalizations), and 185 deaths(1, 4).

Asthma is characterized by chronic inflammation of the airway(5) and thus, for control of airway inflammation, regular maintenance therapy is required in most patients(6, 7). Inhaled corticosteroid (ICS) is the mainstream of asthma treatment in adults and children. ICS use achieves asthma control and this therapy leads to fewer exacerbations, emergency department visits, and hospitalization(8). ICS also improves other outcome measures of asthma, such as pulmonary function(9) and quality of life (QOL) of patients(10).

There are several forms of ICSs for pediatric patients. Fluticasone propionate

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6 (FP), hydrofluoroalkane-134a beclomethasone (HFA-BDP), budesonide (BUD), and  
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10 ciclesonide (CIC) are commonly prescribed ICSs for pediatric asthma(11). The relative  
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12 effectiveness of these agents is estimated by their potency in vitro(12). Based on in vitro  
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14 observations, the effectiveness of different ICSs is often assumed to be similar (i.e., 1:1  
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16 ratio in equivalent dose) in vivo. However, ICSs have different properties(8); FP has a  
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18 potent affinity for steroid receptors with a long half-life(13), HFA-BDP is composed of  
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20 small particles and can be delivered to small airways(14), and BUD suspension is easy  
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22 to use in children who are not cooperative with inhalation therapy(15). Because of  
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24 differences in formulations and delivery systems, the effectiveness of ICS can differ  
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26 clinically(16). A medical database study from the USA reported that asthma control  
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28 might be better in patients with HFA-BDP than in those with FP(17). However, few  
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30 studies have compared different types of ICS directly(18). One systematic review  
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32 concluded that there was little evidence of comparative effectiveness of ciclesonide  
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34 (CIC) with other ICSs among adult patients(19). This review was restricted to small,  
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36 phase 2 studies of low power. The authors found only five randomized, controlled trials  
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38 (RCTs) with a total of 84 patients(19).  
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6 One strategy to improve the statistical power of small studies is to conduct a  
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9 meta-analysis(20). However, as mentioned above, studies comparing different classes of  
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12 ICS are limited. The majority of clinical trials compared ICSs with other classes of  
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15 drugs (e.g., antileukotrienes or ICS/long-acting beta-agonist [LABA] combination) or  
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18 placebo. The paucity of trials of a direct comparison makes it difficult to perform a  
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21 conventional meta-analysis (hereafter, we use the term “pairwise meta-analysis”).  
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25 Recently, a novel meta-analytic technique called a network meta-analysis (NMA) was  
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28 developed, and this enables results of trials to be combined in a direct and indirect  
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31 manner(21-23).  
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35 In this context, we plan to conduct an NMA to address the following open  
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38 question: Are there any differences in effectiveness among ICSs for pediatric asthma?  
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## METHODS AND ANALYSIS

### Goal of the study

We aimed to evaluate the comparative effectiveness of different ICSs for pediatric asthma. For this purpose, we will use the NMA approach to synthesize two types of clinical trials together: trials comparing different ICSs directly and trials comparing ICSs with other classes of intervention (e.g., antileukotrienes or placebo).

### Agreement with PRISMA-P 2015

For developing this protocol, we referred to the preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement(24), a guide for standard reporting of systematic review protocols. However, our protocol does not always adhere to PRISMA-P items. For example, PRISMA-P specifically encourages registration of the protocol to PROSPERO(25) because this site was the only option at the timing of preparation of PRISMA-P (item 2). Instead of PROSPERO, we have registered this protocol at UMIN(26), which launched registration of systematic reviews on January 29, 2013 (available in English as well as Japanese). Additionally, although

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6 PRISMA-P recommends deciding on the primary outcome (item 13), we did not specify  
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10 a single primary outcome in the present study (discussed below). Overall, this protocol  
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12 follows the PRISMA-P statement, but differs to a reasonable extent.  
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### 15 16 17 18 19 **Inclusion criteria: participants, interventions, comparisons, and outcomes**

#### 20 21 22 *Participants*

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25 Studies of children with chronic or persistent asthma will be included. We will accept  
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28 the definitions for “children” as used by the investigators in the original studies. We will  
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31 include studies exclusively comprising pediatric patients and those involving adult and  
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34 pediatric patients if data of pediatric age groups are separately presented and can be  
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42 This meta-analysis will also include studies of “children with recurrent  
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45 wheeze” or “preschool wheezer”. Currently, the diagnosis of asthma in young children  
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48 is challenging because there are no universally accepted diagnostic criteria(27). Only a  
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51 subset of young children with recurrent wheezing episodes later develops  
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54 physician-diagnosed asthma(28, 29). In addition, there is a wide range of differential  
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6 diagnosis in recurrent wheeze that mimics pediatric asthma, such as cystic fibrosis,  
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10 congenital malformation of the airways, and foreign body aspiration(30). Therefore,  
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13 children with recurrent wheeze may or may not have asthma. The likelihood of asthma  
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16 in such patients depends on the presence/absence of risk factors (e.g., family  
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19 history)(31). Despite these problematic issues, we have decided to enroll children with  
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22 recurrent wheeze for the following three reasons. First, recurrent wheezing is a major  
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25 risk factor of asthma; as shown in studies of the Asthma Predictive Index, the  
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28 combination of wheezing episodes  $\geq$ three episodes/year and other criteria is strongly  
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31 associated with the risk of asthma (up to 77% chance of active asthma)(29). Second, in  
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34 addition to symptoms and risk factors, the therapeutic response is often important for  
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37 diagnosis of pediatric asthma(31,32) and empirical evidence indicates that children with  
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40 recurrent wheeze may benefit from regular ICS use(30). Finally, previous systematic  
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43 reviews/meta-analyses did not often distinguish children with asthma from those with  
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46 recurrent wheeze(33). Because of these reasons, we consider that children with asthma  
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49 and those with recurrent wheeze share similar (although not identical) clinical  
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52 characteristics and responses to ICS therapy. In trials of children with recurrent wheeze,  
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6 we will carefully review (1) whether confirmation of wheezing episode relies on a  
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10 physician's diagnosis or a patient's self-report (2), whether the risk factors of asthma  
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12 (e.g., atopic status or family history) are described, and (3) whether differential  
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14 diagnoses of wheeze are investigated. If these issues are insufficiently examined or  
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16 documented, the authors will discuss whether such reports will be eligible for inclusion  
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22 into the meta-analysis.  
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### 28 *Interventions*

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32 We will include RCTs to examine the effectiveness of ICS in asthmatic children for  $\geq 4$   
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34 weeks. We will only include studies using ICS without co-interventions because the  
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36 effectiveness of ICS is difficult to assess separately in trials with co-intervention (e.g.,  
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38 ICS/LABA combination therapy). We will limit studies evaluating the effectiveness of  
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40 ICS in current use (i.e., studies of ICSs that are no longer used, such as  
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42 HFA-chlorofluorocarbon, will be excluded)  
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### 54 *Comparisons*

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6 This study will include clinical trials comparing one ICS with other active or inactive  
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9 intervention(s), such as other types of ICSs, other classes of drugs (e.g.,  
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12 antileukotrienes) or placebo. The comparator should also be a single intervention  
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15 because of the reason mentioned above.  
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### 20 21 22 *Outcomes* 23

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25 In meta-analyses, researchers often declare the primary endpoint of the study(34, 35).  
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28 However, this practice is difficult in asthma studies(36). There are several domains in  
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31 asthma control, such as a pulmonary function test or symptoms (e.g., exacerbation), and  
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34 according to expert opinion, no single primary endpoint is recommended for assessment  
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37 of responses to asthma(37). Therefore, our planned study will not define a single  
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40 primary endpoint, and instead, will examine different endpoints to determine a more  
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43 complete understanding of asthma control by ICS(37).  
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48 Study outcomes should be clinically relevant, and ideally, they should be  
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51 patient-centered(38). Additionally, outcomes should be used in a sufficiently large  
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54 number of trials to be pooled in the analysis. Summarizing a large sample size would  
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6 lead to more precise and confident estimation, and in NMA, combining small sample  
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9 size studies could result in biased estimates(39). From these perspectives, we will not  
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12 include studies that exclusively examined biomarkers, QOL, or severity scores for the  
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15 following reasons. First, how these outcomes correlate with the clinical benefit has yet  
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18 to be established, and the magnitude of benefit of these outcomes is difficult to interpret  
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21 for patients and even for health-care professionals(37). Second, a previous systematic  
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24 review identified a few studies that examined these outcomes in pediatric patients(40).  
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27 Finally, for QOL and severity scores, different formulations are available and they are  
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30 not interchangeable with each other(41).  
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### 38 **Exclusion criteria**

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41 We will exclude the following literature: abstracts only (e.g., conference paper), studies  
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44 that are not on asthma (e.g., viral bronchiolitis), studies examining the dose–response  
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47 relationship of ICS (because of technical difficulties in incorporating data into the  
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50 meta-analysis), safety assessment studies of ICS, and short-term or intermittent use of  
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55 ICS.  
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## Literature search

The primary literature search will rely on the Cochrane Central Register of Controlled Trials (CENTRAL), which is a bibliographic database of RCTs retrieved from MEDLINE, EMBASE, and records through manual searching. We will use medical subject headings and text words related to “child”, “asthma”, and “ICS” for the literature search(40). To ensure literature saturation, we will scan the reference lists of included studies or relevant reviews that are identified through the search

## Selection of studies and extraction of data

One of the authors (MT) will scan the title and abstract of all the literature that is retrieved by the initial search and select eligible articles for review of the full text. The other two authors (HK and KT) will independently review full-text articles to assess eligibility and select citations to be meta-analyzed. They will also extract data independently using a prestandardized data abstraction form. Any disagreements will be resolved by discussion among all of the authors.

## Quality assessment

We will assess the quality and risk of bias of eligible studies, including the method of randomization, treatment allocation concealment, blinding the outcome assessor, and dropouts. The checklist prepared for RCTs will be used(42, 43). We will also rely on the Grading of Recommendations Assessment, Development and Evaluation approach for quality assessment in cumulative estimates.

## Statistical methods

Fig. 1a illustrates the scheme of the proposed pairwise meta-analysis. A pairwise meta-analysis can compare head-to-head trials (Fig. 1a, A vs B and A vs C), but cannot compare indirect arms (Fig. 1a, B vs C). In contrast, NMA can compare indirect arms (Fig. 1a, B vs C). Based on a “consistency assumption”, the indirect effectB-C represents the difference between effectA-B and effectA-C (in this case, intervention A is referred to as a common comparator)(23, 44). Moreover, when there are head-to-head trials between B and C (Fig. 1b), NMA can combine the direct effectB-C and indirect



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6 effectB-C (i.e., effectA-B – effectA-C)(45). In this way, NMA combines all available  
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10 evidence of direct and indirect comparisons. There is an additional strength in NMA. A  
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13 pairwise meta-analysis can compare only two interventions at a time(23). In a situation  
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16 in Fig. 1b, comparison of “A vs B vs C” is not feasible, even when direct comparisons  
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19 exist. In contrast, NMA can compare  $\geq$ three interventions and determine which  
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22 treatment works best. Further, NMA can compare more complex network loops (Fig. 2).  
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25 Fig. 2 shows that comparative effectiveness among the ICSs’ X, Y and Z can be  
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28 estimated by combining direct evidence (effectB-C) and indirect effects using drug A  
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31 and placebo as a common comparator. Based on these strengths in NMA, we will  
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34 evaluate comparative effectiveness of ICS by pooling the results from head-to-head  
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37 trials of ICS and from indirect comparisons among different ICSs using placebo or other  
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40 classes of medications (e.g., antileukotriene drugs) as a common comparator.  
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45 Statistical analyses will be conducted in a Bayesian hierarchical framework  
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48 using a random effects model(46). We will use the gemtc package in R statistical  
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51 software (47, 48). This package employs a method developed by Lu and Ades(22). This  
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54 package also allows us to check for homogeneity and consistency, which are important  
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6 assumptions in NMA that combined studies should be similar in clinical and statistical  
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9 context (often referred to as transitivity assumption(23)). If large heterogeneity is  
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12 detected, subgroup analyses will be conducted. As an example of this situation, when  
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15 the dosage of ICS varies considerably among studies, we will stratify studies of “low”,  
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18 “medium” and “high” dose(18), and combine the results within each strata. The  
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21 statistical analyses will be performed by one author (MT) on the basis of previous  
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26 expertise(49).  
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### 32 **Role of the funding source**

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35 This study is funded by the Japanese Society of Pediatric Allergy and Clinical  
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38 Immunology. There is no role of the funding source in the study design, data collection  
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41 and analysis, interpretation of results, or manuscript preparation and submission.  
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## DISCUSSION

This protocol paper presents the hypothesis, rationale, and methodology of our planned study.

The relative potency of different ICSs has been the subject of considerable dispute and debate(12). Comparative dosing charts among ICSs have been proposed, (e.g., by an expert panel) (50) and they rely on comparative efficacy trials in vitro. Few studies have assessed relative therapeutic indices among ICSs(18, 51) and whether there are clinical differences among ICSs remains uncertain. To challenge this open question, we plan to conduct NMA, a newly developed meta-analytic technique.

NMA (also known as a multiple treatment comparison meta-analysis or mixed treatment meta-analysis) has gained popularity in recent years (23, 44), in light of comparative effectiveness research (CER). By definition, CER refers to studies that compare the benefits and harms of different interventions(52). The objectives of CER include helping physicians use existing treatments and treatment strategies more effectively(53).

CER also aims to determine which interventions and strategies are most effective, safest, or least costly when multiple options are available(53). CER is an emerging research

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6 area that is crucial for helping clinical decision making. However, within the current  
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10 framework of medicine, limited data are available among different interventions.  
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13 Comparative efficacy data are often lacking at preapproval and postapproval of  
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16 medications (53 - 55). To bridge the gap between the needs and the lack of CER studies,  
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19 new clinical trials or systematic reviews/meta-analyses, specifically NMA, are the  
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22 priorities for future research(56). Our planned study to determine the comparative  
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25 effectiveness of ICS for pediatric asthma is in line with the current effort for CER.  
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29 Relevance and credibility are two essential components in NMA(57). The expected  
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32 results of our study will be relevant in that they will be applicable to clinical settings of  
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35 interest to asthmatic patients or health-care providers. We hope that the results in this  
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38 NMA study will be credible, providing valid answers to the research question of “Are  
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41 there any differences in effectiveness among ICSs for pediatric asthma?”  
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## ETHICS AND DISSEMINATION

No ethical approval is required because this study will include published clinical trials with no personal data of patients.

The results of this study will be submitted to a peer-reviewed journal for publication and will also be presented at future conferences.

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## Contributors

All authors made substantial contributions to the conception and design of the study.

MT prepared the first draft of the article. HK, KT, and TI critically revised the manuscript for important intellectual content. All of the authors provided final approval of the version to be published.

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## Competing interests

None.

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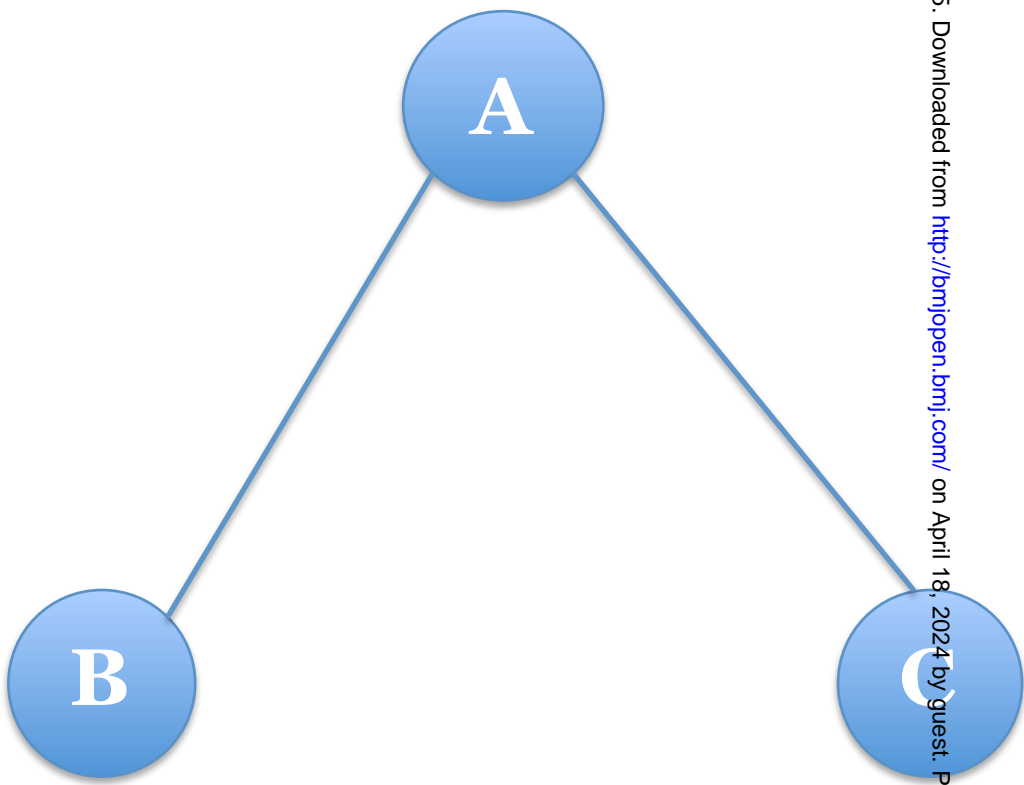


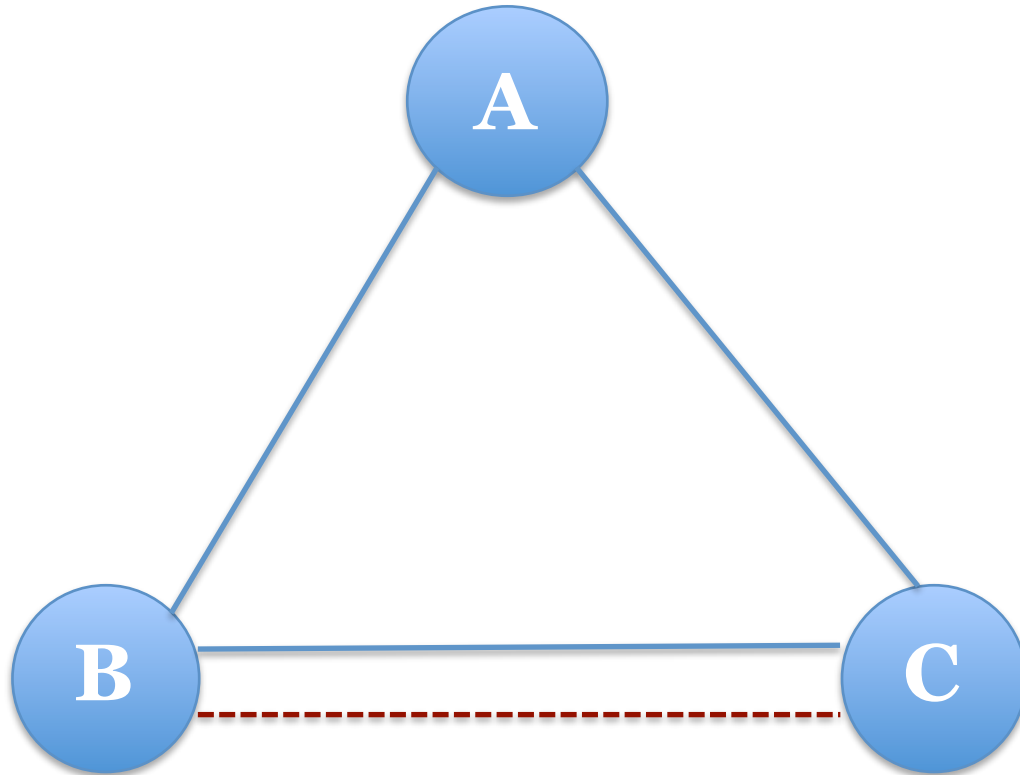
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6 Figure 1a: Scheme for pairwise meta-analysis. In this example, a comparison of “B vs.  
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13 Figure 1b: Scheme for pairwise and network (indirect) meta-analysis. An indirect  
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16 comparison of “B vs. C” can be estimated from knowledge of “A vs. B” and “A vs. C”  
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22 Figure 2: Scheme for a complex network in network meta-analysis.

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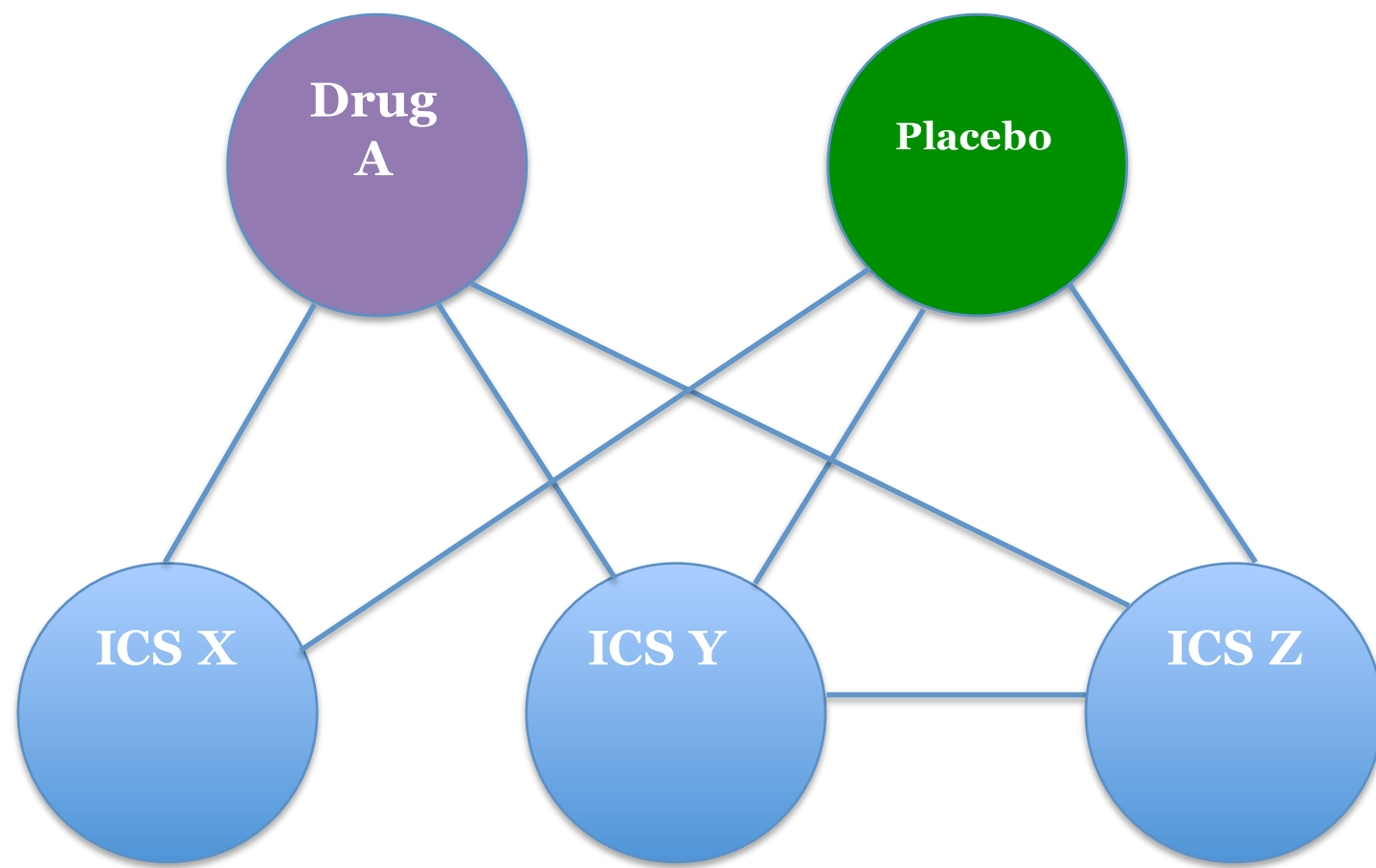


Direct Evidence  
Indirect Evidence



Combine both Evidence in NMA (if any)

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— Direct Evidence

# BMJ Open

## Comparative effectiveness of inhaled corticosteroid for pediatric asthma: protocol for a systematic review and Bayesian network meta-analysis

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Manuscript ID	bmjopen-2015-008501.R1
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<b>Primary Subject Heading</b>:	Paediatrics
Secondary Subject Heading:	Immunology (including allergy), Paediatrics
Keywords:	Paediatric thoracic medicine < PAEDIATRICS, Asthma < THORACIC MEDICINE, Allergy < THORACIC MEDICINE

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Manuscripts

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6 **Comparative effectiveness of inhaled corticosteroid for pediatric asthma: protocol**  
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9 **for a systematic review and Bayesian network meta-analysis**  
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For peer review only

**ABSTRACT**

**Introduction:** Inhaled corticosteroid (ICS) is the mainstream maintenance therapy for pediatric asthma. Several forms of ICS are available, but the relative effectiveness among ICSs has not been well investigated in published, randomized, controlled trials. The paucity of direct comparisons among ICS may have resulted in insufficient estimation in former systematic reviews/meta-analyses. To supplement the information on comparative effectiveness of ICS for pediatric asthma, we plan to conduct a network meta-analysis that will enable summary of direct and indirect evidence.

**Methods and analysis:** We will retrieve randomized, controlled trials that examined the effectiveness of ICS for pediatric asthma from the PubMed and Cochrane Central Register of Controlled Trials. After one author scans the title and abstract for eligible studies, two authors will independently review study data and assess the quality of the study. Studies of children ( $\leq 18$  years old) with chronic asthma or recurrent wheezing episodes will be included if they used ICS for  $\geq 4$  weeks. We will define *a priori* core outcomes and supplemental outcomes of pediatric asthma, including exacerbation, healthcare use, and pulmonary function. Studies reporting a minimum of one core



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6 outcome will be entered into the systematic review. After the systematic review is  
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12 framework using the random effects model.  
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16 **Ethics and dissemination:** The results will be disseminated through peer-reviewed  
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22 **Protocol Registration:** UMIN (000016724) and PROSPERO. (CRD42015025889)  
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#### 28 **Strengths and limitations of this study**

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32 • This study will be the first meta-analysis to examine comparative effectiveness of  
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34 inhaled corticosteroids for pediatric asthma  
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38 • The results of this study will aid clinical decision making for practitioners and will  
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40 provide the basis of future cost-effective analysis  
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44 • A potential limitation of our study is that it only includes published trials, which  
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46 may be affected by some bias (e.g., publication bias)  
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## INTRODUCTION

Morbidity of pediatric asthma is substantial worldwide. The prevalence of childhood asthma differs among countries(1), and up to 20–25% of children have prescriptions for anti-asthma medications in some industrialized countries(2, 3). Data from the USA represent an example of the asthma-related burden in children. These data show that, in 1 year, asthma causes exacerbations in 57% of pediatric patients, 12.8 million missed school days, 198,000 hospitalizations (the third cause of all childhood hospitalizations), and 185 deaths(1, 4).

Asthma is characterized by chronic inflammation of the airways(5). Therefore, for control of airway inflammation, regular maintenance therapy is required in most patients(6, 7). Inhaled corticosteroid (ICS) is the mainstream of asthma treatment in adults and children. ICS use achieves asthma control and this therapy leads to fewer exacerbations, emergency department visits, and hospitalizations(8). ICS also improves other outcome measures of asthma, such as pulmonary function(9) and quality of life (QOL) of patients(10).

There are several forms of ICSs for pediatric patients. Fluticasone propionate

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6 (FP), hydrofluoroalkane-134a beclomethasone (HFA-BDP), budesonide (BUD), and  
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10 ciclesonide are commonly prescribed ICSs for pediatric asthma(11). The relative  
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12 effectiveness of these agents is estimated by their potency *in vitro*(12). Based on *in vitro*  
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14 observations, the effectiveness of different ICSs is often assumed to be similar (i.e., 1:1  
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16 ratio in equivalent dose) to that *in vivo*. However, ICSs have different properties(8). FP  
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18 has a potent affinity for steroid receptors with a long half-life(13), HFA-BDP is  
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20 composed of small particles and can be delivered to small airways(14), and BUD  
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22 suspension is easy to use in children who are not cooperative with inhalation  
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24 therapy(15). Because of differences in formulations and delivery systems, the  
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26 effectiveness of ICS can differ clinically(16). A medical database study from the USA  
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28 reported that asthma control might be better in patients with HFA-BDP than in those  
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30 with FP(17). However, few studies have compared different types of ICS directly(18).  
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32 One systematic review concluded that there was little evidence of comparative  
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34 effectiveness of ciclesonide with other ICSs among adult patients(19). This review was  
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36 restricted to small, phase 2 studies of low power. The authors found only five  
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38 randomized, controlled trials (RCTs) with a total of 84 patients(19).  
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6 One strategy to improve the statistical power of small studies is to conduct a  
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9 meta-analysis(20). However, as mentioned above, studies comparing different classes of  
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12 ICS are limited. The majority of clinical trials compared ICSs with other classes of  
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14  
15 drugs (e.g., antileukotrienes or ICS/long-acting beta-agonist [LABA] combination) or  
16  
17  
18 placebo. The paucity of trials of a direct comparison makes it difficult to perform a  
19  
20  
21 conventional meta-analysis (hereafter, we use the term “pairwise meta-analysis”).  
22  
23  
24  
25 Recently, a novel meta-analytic technique called a network meta-analysis (NMA) was  
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27  
28 developed, and this enables results of trials to be combined in a direct and indirect  
29  
30  
31 manner(21-23).  
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35 In this context, we plan to conduct an NMA to address the following open  
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38 question: Are there any differences in effectiveness among ICSs for pediatric asthma?  
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## METHODS AND ANALYSIS

### Goal of the study

We aimed to evaluate the comparative effectiveness of different ICSs for pediatric asthma. For this purpose, we will use the NMA approach to synthesize two types of clinical trials together: trials comparing different ICSs directly and trials comparing ICSs with other classes of intervention (e.g., antileukotrienes or placebo).

### PRISMA-P 2015/PRISMA Extension Statement

For developing this protocol, we referred to the preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement(24), which is a guide for standard reporting of systematic review protocols. Corresponding to the PRISMA-P statement, we have registered this protocol at PROSPERO(25) and UMIN(26).

Although we overall adhere to PRISMA-P statement, the method to deal with publication bias (item 16) is not specified in this protocol. This is because identification of publication bias is more complex in NMA owing to limited numbers of studies for each pairwise comparison, heterogeneity, and other limitations(27), and there are no

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6 formal techniques to detect or assess the extent of publication bias. NMA is, however, a  
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9 rapidly evolving research area and, if standard approaches will be established at the  
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11  
12 time of our final report, we are ready to use those skills.  
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15 We also referred to the PRISMA extension statement that incorporates  
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17 reporting of NMA(27), and this protocol was partially developed with the help of the  
18  
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20 PRISMA extension statement.  
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#### 24 25 26 27 28 **Inclusion criteria: participants, interventions, comparisons, and outcomes**

##### 29 30 31 *Participants*

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34 Studies of children ( $\leq 18$  years old) with mild to moderate chronic or persistent asthma  
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37 will be included. We will include studies exclusively comprising pediatric patients and  
38  
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40 those involving adult and pediatric patients if data of pediatric age groups are accessible  
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43 and can be extracted.  
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48 This meta-analysis will also include studies of “children with recurrent  
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51 wheeze” or “preschool wheezers”. Currently, the diagnosis of asthma in young children  
52  
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54 is challenging because there are no universally accepted diagnostic criteria(28). Only a  
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6 subset of young children with recurrent wheezing episodes later develops  
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9 physician-diagnosed asthma(29, 30). In addition, there is a wide range of differential  
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12 diagnoses in recurrent wheeze that mimics pediatric asthma, such as cystic fibrosis,  
13  
14  
15 congenital malformation of the airways, and foreign body aspiration(31). Therefore,  
16  
17  
18 children with recurrent wheeze may or may not have asthma. The likelihood of asthma  
19  
20  
21 in such patients depends on the presence/absence of risk factors (e.g., family  
22  
23  
24 history)(32). Despite these problematic issues, we have decided to enroll children with  
25  
26  
27 recurrent wheeze for the following three reasons. First, recurrent wheezing is a major  
28  
29  
30 risk factor of asthma. As shown in studies of the Asthma Predictive Index, the  
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32  
33 combination of wheezing episodes ( $\geq$ three episodes/year) and other criteria is strongly  
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35  
36 associated with the risk of asthma (up to 77% chance of active asthma)(30). Second, in  
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39 addition to symptoms and risk factors, the therapeutic response is often important for  
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42 diagnosis of pediatric asthma(32, 33) and empirical evidence indicates that children  
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45 with recurrent wheeze may benefit from regular ICS use(31). Finally, previous  
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48 systematic reviews/meta-analyses did not often distinguish children with asthma from  
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51 those with recurrent wheeze(34). Because of these reasons, we consider that children  
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6 with asthma and those with recurrent wheeze share similar (although not identical)  
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9 clinical characteristics and responses to ICS therapy. We will include only data of  
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12 physician-diagnosed wheezing ( $\geq 3$  times, separately) to ensure the consistency of  
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14  
15 patients' symptoms. In trials of children with recurrent wheeze, to ensure transversely  
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18 assumption, we will carefully review (1) whether the risk factors of asthma (e.g., atopic  
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20  
21 status or family history) are described, and (2) whether differential diagnoses of wheeze  
22  
23  
24 are investigated. If these issues are insufficiently examined or documented, the authors  
25  
26  
27  
28 will discuss whether such reports will be eligible for inclusion into the meta-analysis.  
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### 35 *Interventions*

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38 We will include RCTs to examine the effectiveness of ICS in asthmatic children for  $\geq 4$   
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40  
41 weeks. We will only include studies using ICS without co-interventions because the  
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44 effectiveness of ICS is difficult to assess separately in trials with co-intervention (e.g.,  
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47 ICS/LABA combination therapy). We will limit studies evaluating the effectiveness of  
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50 ICS in current use (i.e., studies of ICSs that are no longer used, such as  
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53 HFA-chlorofluorocarbon, will be excluded). Therefore, this study will include the  
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6 beclomethasone dipropionate HFA-metered dose inhaler (MDI), BUD (dry powder  
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9 inhaler [DPI] and nebulers), ciclesonide (HFA-MDI), flunisolide (HFA-MDI), FP  
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11  
12 (HFA-MDI and DPI), and mometasone furoate (MDI and DPI).  
13  
14

### 15 16 17 18 19 *Comparisons*

20  
21  
22 This study will include clinical trials comparing one ICS with other active or inactive  
23  
24 intervention(s), such as other types of ICSs, other classes of drugs (e.g.,  
25  
26 antileukotrienes), or placebo. The comparator should also be a single intervention  
27  
28  
29 because of the reason mentioned above.  
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### 38 39 *Outcomes*

40  
41 In meta-analyses, researchers often declare the primary endpoint of the study(35).  
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43  
44 However, this practice is difficult in asthma studies(36). There are several domains in  
45  
46 asthma control, such as a pulmonary function test or symptoms (e.g., exacerbation), and  
47  
48 according to expert opinion, no single primary endpoint is recommended for assessment  
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51 of responses to asthma(37). Therefore, our planned study will not define a single  
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primary endpoint, and instead, will examine different endpoints to determine a more complete understanding of asthma control by ICS (Table 1) (36).

For peer review only

**Table 1: Core and Supplemental Outcomes relevant to Pediatric Asthma**

	<b>Core Outcomes</b>	<b>Supplemental Outcomes</b>
<b><i>Exacerbations</i></b>	<ol style="list-style-type: none"> <li>1. Systemic corticosteroids for asthma</li> <li>2. Asthma-specific hospital admissions</li> <li>3. Asthma-specific ED visits (separate UC visits when these can be differentiated)</li> <li>4. Asthma-specific ICU admissions/ intubations</li> <li>5. Death (all cause and asthma related)</li> </ol>	(None defined for regular maintenance therapy)
<b><i>Healthcare utilization</i></b>	<ol style="list-style-type: none"> <li>1. Asthma-specific hospital admissions</li> <li>2. Asthma-specific ED visits</li> <li>3. Asthma-specific outpatient visits</li> <li>4. Asthma-specific detailed medication use (name, dose, and duration)</li> <li>5. Resource use related to the intervention</li> </ol>	<ol style="list-style-type: none"> <li>1. Categorization of asthma-specific outpatient visits: <ul style="list-style-type: none"> <li>• Primary care I. Scheduled II. Unscheduled</li> <li>• Specialty care I. Scheduled II. Unscheduled</li> </ul> </li> <li>2. Respiratory healthcare use</li> <li>3. Asthma school absences</li> <li>4. Asthma work presenteeism and absenteeism (WPAI instrument)</li> </ol>

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Core Outcomes		Supplemental Outcomes
<b><i>Pulmonary physiology</i></b>	Spirometry (without bronchodilator)	<ol style="list-style-type: none"> <li>1. PEF monitoring</li> <li>2. Airway responsiveness</li> <li>3. Lung volumes</li> <li>4. Spirometry (prebronchodilator and postbronchodilator)</li> <li>5. Gas exchange: arterial blood gases and pulse oximetry</li> </ol>

ED: emergency department, UC unscheduled, WPAI: Work Productivity and Activity Impairment , PEF: peak flow

Based on Reference #49

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6 Study outcomes should be clinically relevant, and ideally, they should be  
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9 patient-centered(38). Additionally, outcomes should be used in a sufficiently large  
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12 number of trials to be pooled in the analysis. Summarizing a large sample size would  
13  
14  
15 lead to more precise and confident estimation, and in NMA, combining small sample  
16  
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18 size studies could result in biased estimates(39). From these perspectives, we will not  
19  
20  
21 include studies that exclusively examined biomarkers, QOL, or severity scores for the  
22  
23  
24 following reasons. First, how these outcomes correlate with the clinical benefit has yet  
25  
26  
27 to be established, and the magnitude of benefit of these outcomes is difficult to interpret  
28  
29  
30 for patients and even for healthcare professionals(37). Second, a previous systematic  
31  
32  
33 review identified a few studies that examined these outcomes in pediatric patients(40).  
34  
35  
36 Finally, for QOL and severity scores, different formulations are available and they are  
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39 not interchangeable with each other(41).  
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#### 48 **Exclusion criteria**

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51 We will exclude the following literature: abstracts only (e.g., conference paper), studies  
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54 that are not on asthma (e.g., viral bronchiolitis), studies examining the dose–response  
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6 relationship of ICS (because of technical difficulties in incorporating data into the  
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9 meta-analysis), safety assessment studies of ICS, and short-term or intermittent use of  
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11  
12 ICS.  
13

### 14 15 16 17 18 19 **Literature search**

20  
21  
22 The primary literature search will rely on PubMed and the Cochrane Central Register of  
23  
24  
25 Controlled Trials (CENTRAL). We will enroll all RCTs, including those of cross-over  
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27  
28 or quasi-randomized design, that are published in full-text articles in the English  
29  
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31 language. We will use medical subject headings and text words related to “child”,  
32  
33  
34 “asthma”, and “ICS” for the literature search(40). To ensure literature saturation, we  
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37 will scan the reference lists of included studies or relevant reviews that are identified  
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40 through the search  
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### 48 **Selection of studies and extraction of data**

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51 One of the authors (MT) will scan the title and abstract of all of the literature that is  
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54 retrieved by the initial search and select eligible articles for review of the full text. The  
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6 other two authors (HK and KT) will independently review full-text articles to assess  
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10 eligibility and select citations to be meta-analyzed. Studies that reported at least one  
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13 core outcome will be selected (shown in Table 1). The authors will also extract data  
14  
15  
16 independently using a prestandardized data abstraction form. Any disagreements will be  
17  
18  
19 resolved by discussion among all of the authors. The process of literature selection will  
20  
21  
22 be published (e.g., web-appendix style).  
23  
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### 28 **Quality assessment**

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31 We will assess the quality and risk of bias of eligible studies, including such as the  
32  
33  
34 method of randomization, treatment allocation concealment, blinding the outcome  
35  
36  
37 assessor, and dropouts. ~~The checklist prepared for RCTs~~ For this purpose, the Cochrane  
38  
39  
40 risk assessment tool will be used(42, 43). We will also rely on the Grading of  
41  
42  
43 Recommendations Assessment, Development and Evaluation approach for quality  
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48 assessment in cumulative estimates.  
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### 54 **Statistical methods**

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6 Figure 1a illustrates the scheme of the proposed pairwise meta-analysis. A pairwise  
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9  
10 meta-analysis can compare head-to-head trials (Fig. 1a, A vs B and A vs C), but cannot  
11  
12  
13 compare indirect arms (Fig. 1a, B vs C). In contrast, NMA can compare indirect arms  
14  
15  
16 (Fig. 1a, B vs C). Based on a “consistency assumption”, the indirect effect B-C  
17  
18  
19 represents the difference between effect A-B and effect A-C (in this case, intervention A  
20  
21  
22 is referred to as a common comparator)(23, 44). Moreover, when there are head-to-head  
23  
24  
25 trials between B and C (Fig. 1b), NMA can combine the direct effect B-C and indirect  
26  
27  
28 effect B-C (i.e., effect A-B – effect A-C)(45). In this way, NMA combines all available  
29  
30  
31 evidence of direct and indirect comparisons. There is an additional strength in NMA. A  
32  
33  
34 pairwise meta-analysis can compare only two interventions at a time(23). In the  
35  
36  
37 situation shown in Fig. 1b, comparison of “A vs B vs C” is not feasible, even when  
38  
39  
40 direct comparisons exist. In contrast, NMA can compare  $\geq$ three interventions and  
41  
42  
43 determine which treatment works best. Further, NMA can compare more complex  
44  
45  
46 network loops (Fig. 2). Fig. 2 shows that comparative effectiveness among the ICSs’ X,  
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48  
49 Y, and Z can be estimated by combining direct evidence (effect B-C) and indirect effects  
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52 using drug A and placebo as common comparators. Based on these strengths in NMA,  
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6 we will evaluate comparative effectiveness of ICS by pooling the results from  
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10 head-to-head trials of ICS and from indirect comparisons among different ICSs using  
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12  
13 placebo or other classes of medications (e.g., antileukotriene drugs) as a common  
14  
15  
16 comparator.  
17

18  
19 Statistical analyses will be conducted in a Bayesian hierarchical framework  
20  
21  
22 using a random effects model(46). We will use the gemtc package in R statistical  
23  
24  
25 software (47, 48). This package uses a method developed by Lu and Ades(22). This  
26  
27  
28 package also allows us to check for homogeneity and consistency, which are important  
29  
30  
31 assumptions in NMA that combined studies should be similar in clinical and statistical  
32  
33  
34 context (often referred to as transitivity assumption(23)). The statistical results will be  
35  
36  
37 presented in odds ratio (with credible interval) and probability ranking.  
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42 If we observe heterogeneity among studies, subgroup analyses will be  
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44  
45 conducted (see the subsection “Subgroup analysis” below). As an example of this  
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48 situation, when the dosage of ICS varies considerably among studies, we will stratify  
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51 studies of “low”, “medium” and “high” dose(18, 49), and combine the results within  
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53  
54 each strata. The statistical analyses will be performed by one author (MT) on the basis  
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6 of previous expertise(50).  
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10 The gemtc R package has a unique function to check local (in)consistency and  
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12 we will use this function for this purpose. We will use  $I^2$  statistics to check global  
13  
14 (in)consistency. This R package also prepares a function to generate network geometry,  
15  
16 a graphical presentation of the network of evidence, which is an essential item of NMA  
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22 reporting(27).  
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### 28 29 **Subgroup analysis**

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32 Heterogeneity is a potential concern in meta-analysis. If heterogeneity is detected, we  
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35 plan to conduct the following subgroup analyses and will report the results when  
36  
37  
38 necessary:  
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42 • Patients with chronic asthma vs recurrent wheezers  
43  
44  
45 • Age groups stratified in three categories (0–4, 5–11,  $\geq 12$  years)  
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47  
48 • Children-specific study vs “children and adult” study  
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51 • Dose stratification into low, medium, and high dose  
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**Role of the funding source**

This study is funded by the Japanese Society of Pediatric Allergy and Clinical Immunology. There is no role of the funding source in the study design, data collection and analysis, interpretation of results, or preparation and submission of the manuscript.

## DISCUSSION

This protocol paper presents the hypothesis, rationale, and methodology of our planned study.

The relative potency of different ICSs has been the subject of considerable dispute and debate(12). Comparative dosing charts among ICSs have been proposed, (e.g., by an expert panel) (49) and they rely on comparative efficacy trials *in vitro*. Few studies have assessed relative therapeutic indices among ICSs(18, 51) and whether there are clinical differences among ICSs remains uncertain. To challenge this open question, we plan to conduct NMA, a newly developed meta-analytic technique.

NMA (also known as a multiple treatment comparison meta-analysis or mixed treatment meta-analysis) has gained popularity in recent years (23, 44), in light of comparative effectiveness research (CER). By definition, CER refers to studies that compare the benefits and harms of different interventions(52). The objectives of CER include helping physicians use existing treatments and treatment strategies more effectively(53). CER also aims to determine which interventions and strategies are most effective, safest, or least costly when multiple options are available(53). CER is an emerging research

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6 area that is crucial for helping clinical decision making. However, within the current  
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9 framework of medicine, limited data are available among different interventions.

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12 Comparative efficacy data are often lacking at preapproval and postapproval of  
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15 medications (53 - 55). To bridge the gap between the needs and the lack of CER studies,  
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18 new clinical trials or systematic reviews/meta-analyses, specifically NMA, are the  
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20  
21 priorities for future research(56). Our planned study to determine the comparative  
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24 effectiveness of ICS for pediatric asthma is in line with the current effort for CER.  
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29 Relevance and credibility are two essential components in NMA(57). The expected  
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32 results of our study will be relevant in that they will be applicable to clinical settings of  
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34  
35 interest to asthmatic patients or healthcare providers. We hope that the results in this  
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37  
38 NMA study will be credible, providing valid answers to the research question of “Are  
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41 there any differences in effectiveness among ICSs for pediatric asthma?”  
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## ETHICS AND DISSEMINATION

No ethical approval is required because this study will include published clinical trials with no personal data of patients.

The results of this study will be submitted to a peer-reviewed journal for publication and will also be presented at future conferences.

**Authors' contributions**

All authors made substantial contributions to the conception and design of the study.

MT prepared the first draft of the article. HK, KT, and TI critically revised the manuscript for important intellectual content. All of the authors provided final approval of the version to be published.

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**Competing interests**

No, there are no competing interests.

**Data sharing**

Additional data is available by emailing the corresponding author.

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### Figure legends

Figure 1a: Scheme for pairwise meta-analysis. In this example, comparison of “B vs. C” is impractical.

Figure 1b: Scheme for pairwise and network (indirect) meta-analysis. An indirect comparison of “B vs. C” can be estimated from knowledge of “A vs. B” and “A vs. C” trials.

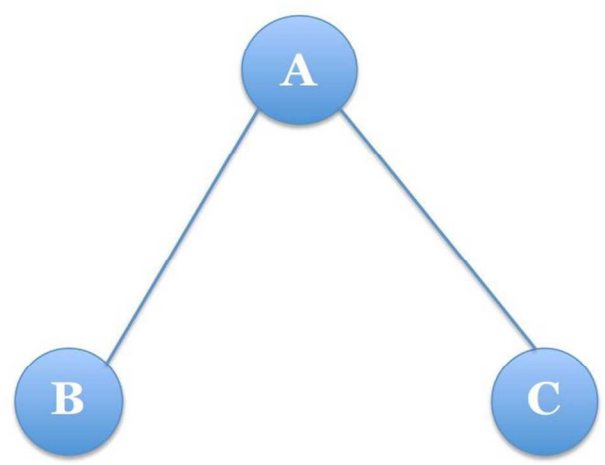
Figure 2: Scheme for a complex network in network meta-analysis.

NMA: network meta-analysis.

### Amendments

Important protocol amendments will be published through the registered sites of this protocol.

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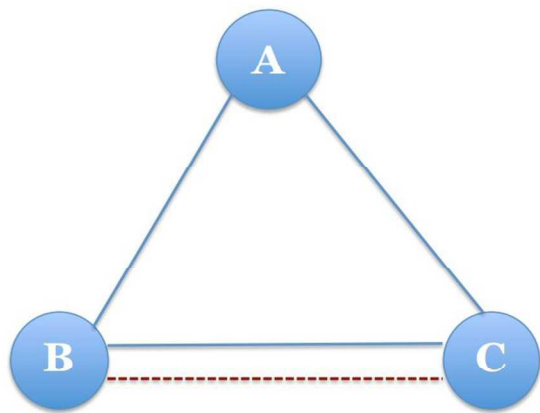


— Direct Evidence

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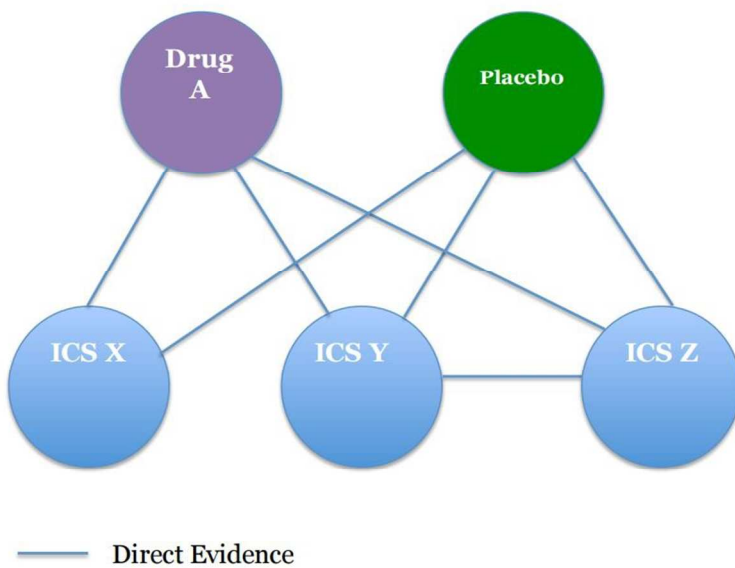
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Direct Evidence  
 Indirect Evidence
 } Combine both Evidence in NMA (if any)

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## Supplementary File – search strategy

#1 asthma:ti,ab,kw

#2 (antiasthma OR anti-asthma):ti,ab,kw

#3 wheez\*:ti,ab,kw

#4 (bronch?spas\* OR bronchoconstric\* OR bronchismus OR bronchiospas\*):ti,ab,kw

#5 cough:ti,ab,kw

#6 (#1 OR #2 OR #3 OR #4 OR #5)

#7 (child\*):ti,ab,kw

#8 (paediatric\* OR pediatric):ti,ab,kw

#9 (infan\*):ti,ab,kw #10 (young\*):ti,ab,kw

#11 (toddler\*):ti,ab,kw

#12 bab\*:ti,ab,kw

#13 (preschool or pre-school):ti,ab,kw

#14 (teenage\*):ti,ab,kw #15 (adolesce\*):ti,ab,kw

#16 (#7 OR #8 OR #9 OR #10 OR #11 OR #12 OR #13 OR #14 OR #15)

#17 beclomethasone:ti,ab,kw

#18 fluticasone:ti,ab,kw

#19 budesonide:ti,ab,kw

#20 flunisolide:ti,ab,kw

#21 mometasone:ti,ab,kw

#22 ciclesonide:ti,ab,kw

#23 (corticosteroid\* OR \*corticoid\*):ti,ab,kw

#24 (inhaled \*steroid\*):ti,ab,kw

#25 (qvar or beclovent or flixotide or flovent or pulmicort or aerobid or asmanex or alvesco):ti,ab,kw

#26 (#17 OR #18 OR #19 OR #20 OR #21 OR #22 OR #23 OR #24 OR #27)

#27 (#6 AND #16 AND #26)

**PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol\***

Section and topic	Item No	Checklist item (# of relevant page/not applicable etc.)
<b>ADMINISTRATIVE INFORMATION</b>		
Title:		
Identification	1a	Identify the report as a protocol of a systematic review (p.1)
Update	1b	If the protocol is for an update of a previous systematic review, identify as such (NA)
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number (p.4)
Authors:		
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author (p.1)
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review (p.25)
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments (p.36)
Support:		
Sources	5a	Indicate sources of financial or other support for the review (p.21)
Sponsor	5b	Provide name for the review funder and/or sponsor (NA)
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol (NA)
<b>INTRODUCTION</b>		
Rationale	6	Describe the rationale for the review in the context of what is already known (p.6-8)
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) (p.8)
<b>METHODS</b>		
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review (p.10-15)
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage (p.16)
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated (attachment file)
Study records:		
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review (p.16-17)

Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) (p.16-17)
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators (p.16-17)
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications (p.14-15)
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale (Table 1)
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis (p.17)
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised (p.17-19)
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as $I^2$ , Kendall's $\tau$ ) (p.17-20)
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) (p.20)
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned (NA)
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) (p.10)
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE) (p.17)

**\* It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

*From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.*