Understanding clinicians’ decisions to offer intravenous thrombolytic treatment to patients with acute ischaemic stroke: a protocol for a discrete choice experiment

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ABSTRACT

Background: Intravenous thrombolysis is an effective emergency treatment for acute ischaemic stroke for patients meeting specific criteria. Approximately 12% of eligible patients in England, Wales and Northern Ireland received thrombolysis in the first quarter of 2013, yet as many as 15% are eligible to receive treatment. Suboptimal use of thrombolysis may have been largely attributable to structural factors; however, with the widespread implementation of 24/7 hyper acute stroke services, continuing variation is likely to reflect differences in clinical decision-making, in particular the influence of ambiguous areas within the guidelines, licensing criteria and research evidence. Clinicians’ perceptions about thrombolysis may now exert a greater influence on treatment rates than structural/service factors. This research seeks to elucidate factors influencing thrombolysis decision-making by using patient vignettes to identify (1) patient-related and clinician-related factors that may help to explain variation in treatment and (2) associated trade-offs in decision-making based on the interplay of critical factors.

Methods/analysis: A discrete choice experiment (DCE) will be conducted to better understand how clinicians make decisions about whether or not to offer thrombolysis to patients with acute ischaemic stroke. To inform the design, exploratory work will be undertaken to ensure that (1) all potentially influential factors are considered for inclusion; and (2) to gain insights into the ‘grey areas’ of patient factors. A fractional factorial design will be used to combine levels of patient factors in vignettes, which will be presented to clinicians to allow estimation of the variable effects on decisions to offer thrombolysis.

Ethics and dissemination: Ethical approval for this study was obtained from the Newcastle University Research Ethics Committee. The results will be disseminated in peer review publications and at national conferences. Findings will be translated into continuing professional development activities and will support implementation of a computerised decision aid for thrombolysis (COMPASS) in acute stroke care.

INTRODUCTION

Stroke is a leading cause of death and disability; there are approximately 152 000 strokes in the UK every year and between 80% and 85% of these are ischaemic strokes.1 Intravenous thrombolysis with recombinant tissue plasminogen activator, administered within a maximal time window of 4.5 since onset of symptoms, is the only effective emergency medical treatment available for acute ischaemic stroke,2 3 and has been recommended by the National Institute for Health and Care Excellence (NICE) since 2007.4 Despite the considerable evidence for its efficacy in well-defined patient
groups, the Sentinel Stroke National Audit Programme (SSNAP) estimated that although approximately 14.3% of patients were eligible to receive thrombolysis between January and June 2013, only 11.8% received it.\(^5\)\(^6\) Furthermore, data from the Stroke Improvement National Audit Programme in 2012 (SINAP) reported wide variation in thrombolytic treatment rates, even between neighbouring services.\(^7\)

Structural factors, such as urgent access to brain CT scanning, initially accounted for much of the variation in thrombolytic treatment rates between centres in the UK; however, with the widespread implementation of 24/7 hyper acute stroke services, continuing variation may reflect differences in clinical decision-making, which is influenced by ambiguous or ‘grey’ areas within the guidelines, licensing criteria and individual-level interpretation of available research evidence for the efficacy of thrombolysis in the treatment of acute stroke.\(^8\) As a result, clinicians’ decision-making about thrombolysis is likely to be a greater influence on thrombolysis activity and variation in treatment rates than structural service variations.\(^9\) Factors that might explain variation in clinical decision-making about thrombolysis include the perceived balance between the probability of benefit of treatment and the risk of harm due to intracranial haemorrhage.\(^10\) However, it remains unclear as to what patient and/or clinician-related factors influence the clinical decision to offer thrombolysis to patients, and especially their relative contributions to observed variation in treatment rates. We aim to identify the factors that contribute to variation in, and influence, clinicians’ decision-making about intravenous thrombolysis for patients with acute ischaemic stroke and also to investigate the trade-offs made between different patient-related factors and levels.

The variation between expert clinicians in clinical decision-making about thrombolysis is reflected in an international Delphi study, which sought to establish consensus on the relative contraindications for offering intravenous thrombolysis in acute ischaemic stroke.\(^8\) Although agreement was reached on 12 of the 18 patient factors, there was a failure to reach consensus on factors such as age, stroke onset time to treatment, recent medical procedures, spontaneous improvement rate and blood pressure treatment.\(^8\) Licensing criteria currently restrict treatment to patients aged 80 years or below, but most clinicians now treat patients over 80 years on the basis of registry analyses of patients treated in routine practice, recent randomised trial evidence from the Third International Stroke Trial (IST-3)\(^3\) and increased recognition of the distinction between chronological and physiological age. The European Cooperative Acute Stroke Study III (ECASS III)\(^11\) trial supported extension of the time window for treatment from 3 to 4.5 h and the European license time window was extended.\(^12\) According to the Safe Implementation of Thrombolysis in Stroke-Monitoring (SITS-MOST) study, it is common for patients outside the licensing criteria to be treated,\(^13\) suggesting that different clinicians are satisfied with different levels of evidence and/or or vary in their decision-making process regarding patient eligibility given the same evidence.

Physician-related and patient-related medical and non-medical factors can also impact on medical decision-making.\(^14\)\(^-\)\(^16\) Influences on the consideration of thrombolysis have been postulated, and include factors such as concern about adverse effects, especially symptomatic intracranial haemorrhage, and uncertainty about effectiveness.\(^9\)\(^17\) As others have observed, “managing uncertainty is central to clinical practice and requires the linking of experience and evidence: this places specialists at an advantage” (ref. 18, p.139). It is important, therefore, to understand how clinician-centred factors such as level of expertise, age, seniority, practice setting and attitude to risk can influence the decision to offer thrombolysis.\(^19\)

The situation is further complicated by the absence of data on outcomes as a function of individual patient characteristics, lack of quality decision support tools\(^20\) and lack of consensus on relative contraindications.\(^8\) Additional patient factors, such as prior cognitive function, prior functional ability and comorbidities are also likely to influence decision-making.\(^14\) Nevertheless, little is known about the relative importance of these medical and non-medical factors and indeed whether or not other patient-related medical and non-medical factors, including clinician-related factors, are at play. Furthermore, some of these factors are likely to reflect clinical criteria, whereas others are interpretations of criteria and evidence which is amenable to education/behaviour change or better presentation of evidence for under and overtreatment.

**Aims**

The study aims to identify the factors that contribute to variation in, and influence, clinicians’ decision-making regarding the use of thrombolysis for treatment of patients with acute ischaemic stroke. Specifically, the objectives of the project are:

1. To determine which patient factors influence clinical decision-making about the offer of thrombolysis;
2. To identify and quantify the trade-offs between patient factors/levels that clinicians make regarding the decision to offer thrombolysis and variation in this decision;
3. To determine which clinician-related factors influence clinical decision-making about the offer of thrombolysis (eg, clinician experience, clinical specialty and attitude to risk);
4. To influence clinicians’ behaviour by translating learning into continuing professional development (CPD) activity, national clinical guidelines, supporting implementation of an existing thrombolysis decision support tool and informing clinical audit and evaluation programmes (SSNAP).

**METHODS AND ANALYSIS**

To examine the factors that influence clinicians’ decision-making when considering thrombolysis, it is
important to understand their thought processes when they are making these decisions in a way that reflects decision-making in practice. An online discrete choice experiment (DCE) utilising hypothetical patient vignettes will be conducted to better understand how clinicians make decisions about whether or not to offer thrombolysis, and to capture the underpinning trade-offs made in decision-making. To inform the final design of the patient vignettes and clinician-related factors for inclusion in the DCE, exploratory work will be undertaken to ensure, in so far as is possible, that all potentially relevant factors are identified for inclusion in the study. This exploratory work will adopt a mixed methods approach to facilitate optimal design of the DCE. Figure 1 presents an overview of the processes involved.

Rationale for a DCE to examine clinical decision-making about thrombolysis

The DCE approach offers a means to examine the nuances of decision-making, by providing insights into the (sometimes implicit) trade-offs made between different factors and levels, which are not easily captured through other more traditional research methods. Based on the tenets of random utility theory, a DCE is designed to elicit participants’ preferences and the relative importance of different factors/levels within a decision-making model. DCEs assume that there is more than one factor influencing decision-making and that all choices involve trade-offs. Ryan et al (ref. 23, p.15) observe that, since “researchers cannot get inside the heads of these individuals (clinicians) and observe all factors affecting preferences,” a DCE offers a means to explore and quantify the implicit trade-offs made between multiple competing factors within a decision-making scenario. This method can be used to systematically assess preferences within any given decision-making scenario and thereby can be used to access the underpinning cognitions of clinicians which lead to a decision about the offer of thrombolysis. DCEs are widely used in the health sector, including decision-making in areas such as clinical recommendation of contraceptive type and investigating participant preferences for preventative asthma medication. While DCEs have been undertaken in the field of stroke medicine (eg, to explore patient preferences for early rehabilitation management), none have examined the factors that influence clinicians’ decisions to offer thrombolysis to acute ischaemic stroke patients.

Clinicians involved in thrombolysis decision-making will be presented with hypothetical patient vignettes which vary in terms of their characteristics (patient factors, eg, age) and the magnitude of these characteristics (levels, eg, patient age 75 or 85 years) and asked to state whether they would offer thrombolysis. The relative importance of different patient factors (and levels) to the decision can then be quantified, and the estimated choice model then used to predict the probability of offering thrombolysis given certain characteristics of the patient. This will enable insight into the patient factors and levels which influence the decision to offer thrombolysis. An example of a draft patient vignette is provided in figure 2. The DCE will also examine observed variation in decision-making by clinician factors such as age, experience, clinical background and attitude to risk (using a six-item version of the Jackson Personality Inventory Risk-taking subscale).

Exploratory work to establish factors and levels that potentially influence clinical decision-making about thrombolysis

In-depth exploratory work, usually involving qualitative methods and literature review, is an important first stage in any DCE to establish the factors (characteristics of the decision-making model) and levels (magnitude of those characteristics) for inclusion. This research will draw on previously completed work by the project team for the Developing and Assessing Services for Hyperacute (DASH) Stroke NIHR-funded programme grant for applied research. This research explored optimal organisation of prehospital and acute stroke care and aimed to improve outcomes for stroke patients by building on the evidence base for future health service interventions. The programme examined barriers to acute stroke patient admission, the views of clinicians, the public, stroke patients and their relatives/carers about the risks and benefits of thrombolysis and how to engage patients and their families in decision-making. In the current study, we will re-examine qualitative interview data on the views and experiences of stroke clinicians about thrombolysis decision-making, as well as ethnographic data collected through participant observation and data from the usability testing of a COMPuterised decision Aid for Stroke thrombolysIs (COMPASS) to ensure that potentially relevant patient and clinician factors in thrombolysis decision-making are considered. In addition, semistructured one-on-one interviews will be conducted with up to 10 additional stroke clinicians who were not involved with the DASH study but are based in centres outside the North East of England. Interviews will be audiorecorded and transcribed verbatim. The sampling strategy will be purposive, to include clinicians from the local cardiovascular network and beyond, and will seek to include clinicians from hospitals in the upper and lower quartiles of thrombolysis rates, based on figures from national audit reports.

Participants will be asked to reflect on their clinical experience where thrombolysis was considered; in particular, they will be asked to talk through the typical decision-making process and then asked to recall grey, borderline and/or difficult cases, including trade-offs made and perceived influential factors on their decision. Additional prompts will include: influential factors beyond guidelines and licensing criteria; experiences of adverse events; communicating risks and benefits of...
thrombolysis; attitude to risk; colleagues’ views and experiences; and approaches and norms within their practice setting. Anonymised transcripts will be imported into QSR International’s NVivo 9 to facilitate the coding and analysis of data. The resulting data set will be coded thematically and analysed using a framework approach to establish the range of factors (and levels) that could be included in the DCE. In short, these interviews will be used to identify any additional patient-related and clinician-related factors that might influence decision-making that are not accounted for in the existing qualitative data set from the DASH research programme or in the published literature.

The exploratory phase will enable the generation of a comprehensive list of patient-related and clinician-related factors that potentially influence decision-making about whether or not to offer thrombolysis to patients with acute ischaemic stroke. This list will then be used to inform the design of a structured prioritisation exercise (SPE). The SPE will include patient-related factors (and levels or magnitudes of these factors) that will assist us in selecting the most influential factors on clinical decision-making, and also facilitate the elucidation of where ‘grey’ areas (ie, areas of uncertainty) exist in decision-making about thrombolysis. Questions within the SPE will be designed to assist in determining (and

![Figure 1](image1.png)

**Figure 1** Overview of the discrete choice experiment development process.

![Figure 2](image2.png)

**Figure 2** Example of a draft vignette.

A frail 81 year old woman is admitted to hospital with an ischaemic stroke. She has no prior history of stroke and has been living independently. Clinical assessment of stroke severity indicated an NIHSS score of 18. On examination, her systolic blood pressure was 165 mmHg and further investigations revealed blood glucose levels of 16.6 mmol/L and an INR of 1.7. It is 1.5 hours since the onset of her stroke symptoms.

Would you offer thrombolysis to this patient?

- YES
- NO
operationalising) the relative importance of patient-related factors (and levels) for decision-making about thrombolysis, as well as providing insight into the nature of ‘grey’ areas that exist for each patient factor. The first phase of the SPE will be hosted online and will be circulated to up to 30 stroke clinicians responsible for thrombolysis decision-making. The results of the SPE will be examined using frequency analysis to inform discussions with an expert panel in the second phase (consisting of three stroke physicians, a trainee stroke physician, two stroke patient representatives, two health psychologists, two health economists and a specialist in epidemiology, public health and decision-making) to determine which patient-related factors and levels will be included in the patient vignettes. This panel will also deliberate on the most relevant clinical information to be presented in the patient vignettes to ensure that they are representative of a real-world patient assessment and provide sufficient information to allow clinicians to reach a decision about whether or not to offer thrombolysis to patients with acute ischaemic stroke.

**Experimental design of DCE**

A fractional factorial design will be used to combine patient-related factors and levels to construct hypothetical patient vignettes. The number of factors and levels will be identified from initial exploratory work. The narrative format of vignettes, rather than the traditional tabular lists of factors and levels, will be used to increase participant engagement by providing clinical face validity. After presentation of each vignette, participants will be asked whether they would offer thrombolytic treatment or not (see figure 2 for an example). To further enhance clinical validity, all scenarios will be discussed with stroke clinicians in the project management group to ensure that they are plausible and clinically appropriate and provide an adequate range and variety of cases. To further enhance external validity (and allow comparison of model predictions to actual cases), a subsection of vignettes will be based, as closely as possible, on real cases identified through SITS-UK (thrombolysed cases only), and a database of anonymised cases (treated and non-treated) collected as part of a local audit.

Vignettes will also include CT imaging scans/descriptions of CT scans to make the decision-making process realistic as possible and to mirror actual clinical situations. However, to remove subjectivity around the interpretation of CT imaging, a brief description of the accompanying CT scan findings will also be provided. Importantly, the experimental design will allow us to include a number of vignettes that show variation in patient factors that are likely to be most sensitive to differing decisions, that is, those difficult ‘grey zone’ cases. The vignettes will be reduced to a manageable number using experimental design techniques (fractional factorial design) and incorporated into an online survey. Within the survey, the case vignettes will be followed by a series of questions on clinician factors to be used in the estimation of choice models as covariates such as clinician age, grade/seniority, experience with thrombolysis and personality type/risk aversion (using the Jackson Personality Inventory Risk-taking subscale).

The DCE will be programmed into an online survey to enhance the ease and speed of survey dissemination and data collection. The survey will be pretested, with a convenience sample of up to eight clinicians, to establish the clinical validity of the vignettes, examine participants’ understanding and check how long the survey takes to complete. Pretesting will include a think-aloud approach, using a subset of vignettes, to facilitate adaptation of the vignettes and survey instrument. This will address practical issues, such as length of the survey to avoid response fatigue, optimal presentation of choice sets (vignettes) and views on how to make the online survey easier to use. The vignettes will be designed to mimic as closely as possible the decision of interest. This will be in the form of a binary response (decision to offer thrombolysis or not) as this reflects routine clinical practice whereby clinicians are faced with one patient at a time and must decide whether or not to offer thrombolysis. Clinicians tend to be familiar, and are likely to be more comfortable, with vignettes as they are regularly used in training and CPD. Consequently, participating clinicians may treat the DCE as a test and thus behave differently to how they would in the real world. As such, the information sheet preceding the DCE survey will clearly communicate that all responses will be confidential and individuals will not be judged on their responses against guidelines/licensing criteria for thrombolysis.

**Participant sampling and recruitment**

Optimal sample size requirements for DCEs depend on knowledge of the true choice probabilities, which are not known prior to undertaking the research. The final sample size required will also depend on the ultimate design of the DCE, that is, the number of patient factors included and the number of levels of each factor, including consideration of the response rate from the target population. Previous DCE studies have shown that robust choice models can be estimated from sample sizes between 50 and 100 respondents.

Clinicians will be recruited via the British Association of Stroke Physicians (BASP) with reminders issued at 2 and 4 months to maximise the response rate. An invitation to participate, together with an information sheet, will be distributed to members of BASP with a link to the online DCE survey. In some cases, emergency department physicians and neurologists may have independent responsibility for decision-making about thrombolysis. Therefore, to take account of the diversity in service configuration, an invitation to participate will also be circulated nationally via stroke research network regional leads and via the Society for Acute Medicine. Eligibility for participation in the survey will be defined as the potential participant having independent...
Physicians involved only in discussions about the offer of thrombolysis will be excluded. To ensure that these criteria are adhered to, a question about the respondent’s role in decision-making about thrombolysis will be included in the introductory screening questions.

Analytical plan
The data will be modelled in a random utility theory framework using limited dependent variable multiple logistic (hierarchical) regression modelling, in particular using binary logit models and extensions of such models. The decision to offer thrombolysis will be modelled as a function of the patient factors/levels described in the vignettes and the characteristics of the decision-makers (clinician factors). This will allow investigation of which patient factors (and levels) drive or inhibit the clinical decision to offer thrombolysis, as well as which characteristics of the decision-makers (eg, age, experience of offering thrombolysis, attitudes to risk) influence the clinical decision to offer thrombolysis (observed heterogeneity). Interaction of such clinician characteristics with patient factors will allow exploration of how the importance of patient factors/levels may vary by clinician characteristic (eg, specialty, level of expertise, degree of experience, etc). Unobserved heterogeneity will be explored using random coefficients and scale-adjusted latent class modelling. Using the preferred model (based on goodness of fit criteria) marginal rates of substitution will be calculated to quantify the rate at which clinician respondents are prepared to trade off one patient factor for another, which also allows investigation of the relative importance, or ranking, of the characteristics of patients to the decision to offer thrombolysis. Predicted probability analysis will not only investigate the probability of offering thrombolysis using clinically relevant values on the levels of factors but will also be used in the exploration of external validity.

ETHICS AND DISSEMINATION
Interview participants will be informed that any personal details will be removed from interview transcripts and will not be disclosed in any reports or publications. As the DCE will be conducted via an online survey, it will not be possible to collect signed consent forms from participants. Instead, the opening page of the online survey will clearly state that participation is voluntary and there is a right to withdraw participation at any stage, without reason. Participants will be advised that answers will be confidential. They will then be given a brief description of the study (with contact details of the lead researcher should they have any questions) and asked to indicate their consent to participate by ticking a box and continuing through the online survey.

The DCE will be used to establish: (1) which patient factors/levels described in the vignettes are taken account of by clinicians when deciding to offer thrombolysis; (2) which of these factors act as drivers to, or have a positive impact on, the decision to offer thrombolysis, and which act as inhibitors, or reduce the likelihood of offering thrombolysis and (3) how these influence the appropriate use of thrombolysis. The influence of characteristics of the clinical decision-makers on such decisions will also be explored.

These data will be used to increase appropriate use of thrombolysis for patient benefit by influencing clinicians’ knowledge, skills and behaviour via four routes.

- The findings will be incorporated into postgraduate training and continuing professional development activities relevant to thrombolysis in acute stroke care. This will be undertaken through the development, revision and sharing of training materials with the National Health Service (NHS) Improving Quality Programme, so that training for stroke physicians and teams can incorporate the study findings.

- Results will also be presented at the UK Stroke Forum and British Association of Stroke Physicians annual trainees’ event.

- The findings will also be used to support implementation of decision support by building on the outputs of the previous DASH work, which included the development of a computerised decision aid for thrombolysis—COMPASS. The results will enable the development of tutorial information to be embedded within COMPASS, with the aim of optimising its use as a clinical training aid. Information on factors known to drive or inhibit clinicians’ decisions to offer thrombolysis will be included within the tutorial to optimise use. Providing additional information and decision support to clinicians will lead to better support for risk communication, consent and decision-making about thrombolysis with patients/relatives.

- The study outputs will be linked to national clinical audit (SSNAP). Through this mechanism, it is possible that the study findings can be used to determine whether additional data items (eg, use of decision support tools such as COMPASS) should be routinely collected about thrombolysis at a national level, and they will also help in the interpretation of the findings of the national audit.

- Finally, the results will be disseminated as national conference presentations, peer reviewed papers and reports to the Stroke Research Network, the Stroke Association and the Intercolligate Stroke Working Party to be considered for inclusion in National Clinical Guidelines.

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