



Spatial inequalities in life expectancy within post-industrial regions of Europe

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Spatial inequalities in life expectancy within post-industrial regions of Europe

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2,780 words

Abstract

Objectives To compare spatial inequalities in life expectancy in West Central Scotland (WCS) with nine other post-industrial European regions.

Design A cross-sectional observational study.

Setting West Central Scotland and nine other post-industrial regions across Europe.

Participants Data for WCS and nine other, comparably deindustrialised, European regions were analysed. Male and female life expectancies (LE) at birth were obtained or calculated for the mid-2000s for 160 districts within selected regions. Districts were stratified into two groups: small (populations of between 141,000 and 185,000 people) and large (populations between 224,000 and 352,000). The range and inter-quartile range (IQR) in LE were used to describe within-region disparities.

Results In small districts, the male LE range was widest in WCS and Merseyside, while the IQR was widest in WCS and Northern Ireland. For women, the LE range was widest in WCS, though the IQR was widest in Northern Ireland and Merseyside. In large districts, the range and IQR in life expectancy was widest in WCS and Wallonia for both sexes.

Conclusions Sub-regional spatial inequalities in life expectancy in WCS are wide compared to other post-industrial mainland European regions, especially for men. Future research could explore the contribution of economic, social and political factors in reducing these inequalities.

Strengths and limitations of this study

- This is an extensive, international comparison of contemporary, within-region disparities in life expectancy. It compares 100 small districts and 60 large districts across 10 European regions.
- Ecological bias was mitigated by selecting regions with a similar history of deindustrialisation and comparing districts with similar-sized populations.
- While the approach taken here partly addressed the scale issue associated with the ‘Modifiable Area Unit Problem’, it was unable to resolve the zoning issue.
- The study was unable to say whether more heterogeneous populations or higher levels of social segregation were driving these differences.
- The analyses are restricted to one period during the mid-to-late 2000s.
- The approach was restricted to describing spatial differences in life expectancy – we cannot draw any conclusions on within-region inequalities by socio-economic status, rurality or ethnicity.

INTRODUCTION

Reducing inequalities in health has been identified as a priority by governments across Europe^{1,2}. While inequalities in health are often described using individual characteristics (e.g. socio-economic class), there is also considerable interest in spatial disparities in health^{3,4}. All countries exhibit sub-national variation in mortality and life expectancy^{5,6,7}. The pattern is observed for countries as diverse as France⁸, Sweden⁹, Australia¹⁰ and Poland¹¹. Almost universally, the geographical gap in these health outcomes is wider for men than women¹². There are some observed differences in within-country dispersion in life expectancy, with the spatial gap more pronounced for some nations (e.g. USA¹³, UK¹⁴) than others (e.g. Germany¹⁵, Poland¹¹). Differences are also observed in whether spatial inequality in mortality has been narrowing, static or increasing over time^{16,12}, though findings are dependent on the size of geographies selected for analysis¹⁷.

Deindustrialisation has been proposed as a mechanism to partly explain these spatial inequalities. Across Europe, there is a clear overlap between former coalmining and industrial areas and districts and regions with the poorest health^{18,6}. A recent study in England found that areas with persistently low or deteriorating employment rates (relative to the national average), often located in ex-industrial regions, had the highest rates of mortality and physical morbidity, even after adjusting for migration and individual characteristics of residents¹⁹. A number of mechanisms (e.g. greater poverty, loss of purpose and status and higher levels of substance misuse) provide plausible links between economic dislocation and health outcomes^{20,21}.

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3 Making spatial comparisons of health within and between geographies is subject to a number
4 of difficulties. Comparing geographies that have been ‘clustered’ according to some shared
5 characteristics (such as a similar economic and social history) can partly adjust for this and
6 produce more meaningful results²². Geographical comparisons are more valid when the
7 spatial units being compared are of a similar population size and where there is less social
8 diversity within them, since the differences between areas will depend on the degree to which
9 the geographical units of analysis are internally diverse or homogeneous. Units of analysis
10 with larger population sizes or more heterogeneity in their composition are less likely to
11 display differences between areas because of the averaging effect of this greater internal
12 diversity^{23,17}. Failing to take this into account may result in misleading comparisons.
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29 The present study approaches this issue from a Scottish perspective. Scotland’s position as
30 the ‘sick man’ of Europe – characterised by a slower rate of improvement in life expectancy
31 compared to other West European nations since the 1950s, and a consequent relative
32 deterioration in its international position – has been discussed elsewhere^{24,25}. Furthermore,
33 the within-region spatial gap in mortality was greater in Scotland than any other region of
34 Britain²⁶. A similar ‘faltering’ in the pace of improvement in mortality and life expectancy
35 has also been noted for West Central Scotland (WCS), the region of Scotland most affected
36 by deindustrialisation in recent decades, relative to other post-industrial regions²⁷. Post-
37 industrial regions are extremely important in epidemiological terms as they tend to exhibit the
38 highest rates of mortality in their parent countries^{28,29}. A more recent study also suggested
39 that WCS was more spatially divided in terms of mortality than other, comparable European
40 post-industrial regions, though the authors did not pursue this question in depth²⁸. This paper
41 explores this question in a systematic way, to investigate whether spatial disparities in
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3 mortality within WCS are large compared to other European regions, taking both industrial
4 heritage and differences in population sizes of sub-regions into account.
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10 METHODS

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17 This study was informed by the authors' involvement in a larger project which aimed to
18 contribute to an understanding of the poor health observed in one post-industrial region, West
19 Central Scotland, in the context of other comparable European regions. West Central
20 Scotland (WCS) is a region of 2.1 million people, centred on the City of Glasgow. Nine other
21 regions, highlighted in other recent epidemiological analysis^{27,29}, were selected for
22 comparison with WCS. The regions were chosen through consultation with experts on
23 European history on the basis of their shared historic economic dependence on industries
24 such as coal, steel, shipbuilding and textiles, alongside analysis of their subsequent loss of
25 industrial employment over the last 30-40 years²⁷.
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41 Table 1 presents summary information on the list of regions selected. Selecting a range of
42 regions from across East and West Europe allowed contrasts to be made between WCS and
43 European areas with different social and political context. The inclusion of UK regions
44 meant the WCS could be compared with areas subject to the same set of socio-economic
45 policies over the last 30-40 years.
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Table 1

Region name	Nation state	Number of districts	Mean population size of districts	Principal historical industries	Total industrial employment loss ^a
West Central Scotland	UK	15 ^b (7) ^c	141,268 ^b (302,084) ^c	Shipbuilding and support industries (iron, coal, engineering)	-62% (1971-2005)
Northern Ireland	UK	12	147,900	Shipbuilding, textiles, manufacturing	-20% (1971-2005)
Merseyside	UK	9	149,532	Shipping, docks, manufacturing (e.g. cement), engineering	-63% (1971-2005)
Swansea & S. Wales Coalfields	UK	7	160,486	Coal	-51% (1971-2005)
Nord-Pas-de-Calais	UK	25	160,746	Coal, textiles, steel	-43% (1970-2005)
Wallonia	Belgium	11	309,542	Mining, metal working, textiles	-39% (1970-2005)
The Ruhr	Germany	15	351,912	Coal, iron, steel	-54% (1970-2005)
Saxony	Germany	19	224,934	Steel, construction, engineering, textiles	-47% (1991-2005)
Northern Moravia	Czech Republic	11 ^d	185,099	Coal, steel	-19% (1993-2005)
Silesia ^e	Poland	29	159,858	Coal, steel, automobiles, zinc	-55% (1980-2005)

a Percentage decrease in the number of industrial jobs in each region over the time period shown in parenthesis. For Silesia, change is shown for the Katowice sub-region.

b Community Health Partnerships.

c Nomenclature of Units for Territorial Statistics (NUTS) 3.

d Jeseník district included in small district comparisons only.

e Known as Slaskie region in Poland.

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3 Male and female life expectancies at birth were obtained from relevant statistical agencies (or
4 where appropriate calculated) for the mid-2000s, for 160 districts within the 10 selected
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6 regions. Ideally, the years of the data collected would be of identical time frame and size. It
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8 was not possible or practical to do so here, because of variation between countries in terms of
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10 availability of the required small area statistics data. All life tables were constructed in the
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12 same way, using all deaths within each district and the resident population of each district.
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14 The sources of the life expectancy data for each region are given in Table 2 (web-only table).
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23 In order to reduce the risk of bias due to differing sub-regional population sizes (the scale
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25 problem), we stratified the regions into two. Five regions (Swansea & S. Wales Coalfields, N.
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27 Ireland, Nord-Pas-de-Calais, Silesia and Merseyside) had sub-regional (or district)
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29 populations of between 141,000 and 185,000 people. These areas were compared to
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31 similarly-sized geographies in WCS Community Health Partnership areas (CHPs).¹ Three
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33 regions (the Ruhr, Saxony and Wallonia) had life expectancy data calculated across 45 ‘large’
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35 districts of population size ranging from 224,000 and 352,000: these were compared with
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37 similarly-sized WCS Nomenclature of Units for Territorial Statistics (NUTS) 3 areas. Data
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39 for Northern Moravia and WCS were available for both strata. For four regions (Northern
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41 Ireland, Wallonia, Silesia and Nord-Pas-de-Calais), it was necessary to create pseudo-districts
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43 to ensure a more even distribution of population across districts. This process took into
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45 account contiguous boundaries and where possible the character of districts. Life expectancy
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47 at birth was then calculated for these new areas using the Chiang method (II)³⁰, using
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49 population and mortality data obtained from the relevant national statistical agencies.
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¹ There were 15 CHP areas in WCS prior to April 2010, when the five Glasgow CHPs were merged into three.

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3 Within regions, we then ranked the sub-regional (district) populations by their life expectancy
4 separately for men and women and separately for the large and small sub-regional
5 populations. We then created line graphs for each strata of regions to show the size and
6 distribution of sub-regional populations and their corresponding life expectancies. Taking
7 each region separately, we then calculated the range in life expectancy and inter-quartile
8 ranges, accounting for the population sizes in each sub-regional district, to describe the
9 within-regional disparities.
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RESULTS

Regions with small district data (populations between 141,000 and 185,000)

The districts with the highest male life expectancies (>77 years at birth) were in the rural districts in Northern Ireland, plus the more affluent WCS districts of East Renfrewshire and East Dunbartonshire. The lowest male life expectancies (<70 years at birth) were in Silesia and in areas of WCS (North and East Glasgow). The districts with the highest levels of female life expectancy (>82.5 years at birth) were all located in Nord-Pas-de Calais whilst the lowest (<78 years at birth) were in WCS (all five Glasgow districts), Merseyside (City & North Liverpool) and parts of the Silesia region (Ruda Slaska & Swietochlowice and Chorzow & Siemianowice Slaskie).

Within regions, the *range* in male life expectancy was widest for WCS (8.6 years) and Merseyside (5.9 years) and narrowest in Swansea & the South Wales Coalfields (1.6 years) and Northern Moravia (2.7 years). The *inter-quartile range* in life expectancy for men was widest in WCS and Northern Ireland (2.7 years and 2.6 years respectively) followed by Silesia (2.2 years) and was much less pronounced in the other regions. For women, WCS had the widest *range* in life expectancy (6.5 years) and Northern Moravia the narrowest (1.6 years). The range of life expectancies observed for Merseyside districts was also high (5.9). The *inter-quartile range* in female life expectancy was highest in Northern Ireland (2.0 years) and Merseyside (1.9 years) and lowest in Northern Moravia (Figure 1).

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3 [Figure 1 about here]
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9 *Regions with large district data (populations between 224,000 and 352,000)*
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15 The highest male life expectancies were found in Saxony, Wallonia and the Ruhr, whilst the
16 lowest were observed in WCS (Glasgow), Wallonia (Mons) and in Northern Moravia. For
17 women, districts with the highest life expectancy were located in Wallonia and Saxony, while
18 the districts with the lowest life expectancy were found within WCS and Northern Moravia.
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28 Within regions, the *range* in male life expectancy across 'large' districts was widest for WCS
29 (5.3 years) followed by Wallonia (4.8 years), with the Ruhr Valley, Saxony and Northern
30 Moravia less polarised. The *inter-quartile range* in life expectancy was much wider in WCS
31 (3.9 years) than in all other regions. For women, the pattern was similar, with the widest
32 range in life expectancy observed for WCS (3.5 years) and Wallonia (2.5 years), with much
33 less disparity evident in the German and Czech regions (Figure 2).
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DISCUSSION

Similarly deindustrialised regions in Europe, which share similar economic, social and health problems^{27,29}, display different patterns in spatial inequalities in life expectancy. In particular, two UK regions (WCS and Merseyside) have much larger intra-regional differences in life expectancy for both men and women than the other regions, with WCS having the largest differences. In contrast, there are relatively narrow spatial inequalities in life expectancy in Northern Moravia, the Ruhr and Swansea and South Wales Coalfields.

The present study has four important strengths. First, it provides an original comparison of contemporary, international, within-region disparities in life expectancy. Second, its geographical coverage is extensive: more than 100 small districts and 60 large districts, spanning 10 regions across both Western and Eastern Europe. Third, it uses a straightforward metric of health outcomes (life expectancy at birth) that is readily understood. Finally, by attempting to ensure the areas are of a similar size and have a common experience of industrial development and subsequent deindustrialisation, the potential bias arising from comparisons of differently sized populations and the heterogeneity within regions is reduced.

The study also has a number of limitations. A key challenge in any study of this kind is the ‘Modifiable Area Unit Problem’ (MAUP). As discussed by Openshaw (1984)³¹, the spatial units that can be used to describe individual level data are usually highly modifiable and their boundaries are often decided on an arbitrary basis. There are a large number of different spatial units that could be used to describe the same data, often producing quite different conclusions. There are two components of the MAUP. First, there is a scale problem, with

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3 different results being produced depending on the number of spatial units used in analysis
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5 (e.g. for Census Tracts, districts, regions). Second, there is a grouping or zoning problem,
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7 reflecting different choices about how very small areas are joined together to create areas of a
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9 similar size. In this study, the scale problem has been partly addressed by making
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11 comparisons of sub-regional inequalities at two different geographical levels. The similar
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13 findings (of greater spatial inequalities in WCS) for both scales can give more confidence that
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15 the approach adopted is reasonable. However, the zoning problem remains difficult to
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17 resolve without access to individual-level data coded to geographic areas, which are currently
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19 not available.
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27 Data restrictions mean we were unable to explore systematically the degree of social
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29 segregation or migration within each region. Spatial inequalities observed could simply
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31 reflect greater population heterogeneity between districts within each region. However,
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33 evidence comparing WCS with the Ruhr and Nord-Pas-de-Calais does not support this
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35 hypothesis^{32,33}. Nor can we say how spatial inequalities in LE changed within these regions
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37 over time, since the analysis is also confined to a single time period. Lack of individual-
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39 level data and common markers of socio-economic status meant this study was also confined
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41 to a focus on spatial differences in life expectancy. If data had been available, analysis by
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43 inequalities by socio-economic status or other characteristics (e.g. rurality, ethnicity) may
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45 have led to different conclusions. For example, in Northern Moravia the gap in male life
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47 expectancy between districts was approximately 5 years³⁴ but the gap in life expectancy
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49 between the highest- and least-educated males has been enumerated at 16.5 years³⁵.
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3 The more pronounced spatial inequalities in life expectancy in three of the four UK regions,
4 especially WCS, are notable. What factors might help account for this? As reported
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6 elsewhere, despite relatively high levels of mean prosperity and lower unemployment, WCS
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8 and the other British regions have higher levels of relative poverty, income inequality and
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10 single person and lone parent households compared to post-industrial areas of mainland
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12 Europe²⁹. There is also a more mixed pattern on some other indicators (e.g. social capital,
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14 educational attainment)²⁹. It would be appropriate to consider the socio-political context to
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16 this. Others have contrasted the UK ‘path destructive’ road to deindustrialisation,
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18 characterised by the growth of a low wage service sector and reduced social protection, with
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20 alternative strategies pursued in mainland Europe^{21,36}. It has been argued that a more rapid
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22 adoption of neoliberal politics by local government in WCS alongside greater vulnerability to
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24 the deleterious impacts of associated economic policies might provide some basis for
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26 explaining the findings for WCS^{21,37}
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36 It may be that in other countries, ‘protective’ factors such as lower levels of income
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38 inequality (Northern Moravia)³⁸, higher levels of social capital (The Ruhr)³² or fewer lone
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40 parent or single person households (Nord Pas de Calais)³³, or a more managed
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42 deindustrialisation process which included active labour market policies and re-employment
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44 in new industrial sectors²¹, might have partly mitigated against the health-damaging effects of
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46 deindustrialisation, reducing the extent of spatial inequalities in health. However, as yet
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48 unexplained region-specific factors are also likely to play a role. Within the UK, Swansea
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50 and South Wales has relatively narrow spatial inequalities in health and WCS has some of the
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52 widest. In the former case, this may partly reflect the more homogenous social mix across
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54 ex-mining areas/villages, compared to more metropolitan areas.
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3 Difference in lifestyle factors (i.e. worse health behaviours in WCS) could also play a role.
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5 This argument is more plausible for alcohol, since levels of consumption and alcohol-related
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7 harm are high in WCS for both genders compared to the other regions²⁹. For smoking and
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9 diet, matters are less clear. Female smoking rates are higher in West Central Scotland
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11 compared to most regions but male smoking rates are similar across all regions²⁹. Dietary
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13 indicators suggest WCS compares poorly with Nord-Pas-de-Calais but is very similar to
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15 Merseyside and Northern Ireland²⁸. That said, any explanation based on health behaviours
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17 alone would be insufficient, as the underlying causes of these health behaviours would
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19 remain unexplained.
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27 CONCLUSIONS

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29 Sub-regional spatial inequalities in life expectancy in West Central Scotland are wide
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31 compared to other post-industrial European regions, even after accounting for differences in
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33 the population size of the sub-regional districts. These spatial inequalities are particularly
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35 profound for men. By contrast, within-region spatial inequalities in life expectancy were
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37 relatively low in the German and Czech regions. These data generally show similar patterns
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39 to that for inequalities by individual educational attainment for the parent countries³⁹. Outside
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41 the UK, wider determinants of health (such as income distribution, positive social capital and
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43 family networks) may have acted to protect health in post-industrial regions. Future research
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45 could explore the contribution of these wider determinants of health to reducing spatial
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47 inequalities in mortality, especially in West Central Scotland.
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All underlying data used remains © to the relevant agencies.

Please note that the mortality and population data for Saxony are © Statistisches Landesamt des Freistaates Sachsen, Kamenz, 2007.

CONFLICTS OF INTEREST

None declared. Gerry McCartney is a member of the Scottish Socialist Party.

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GM and DW conceived the idea for the paper and designed the study. All authors were involved in the acquisition of data, its analysis and interpretation. All authors contributed to the drafting and revising of the paper.

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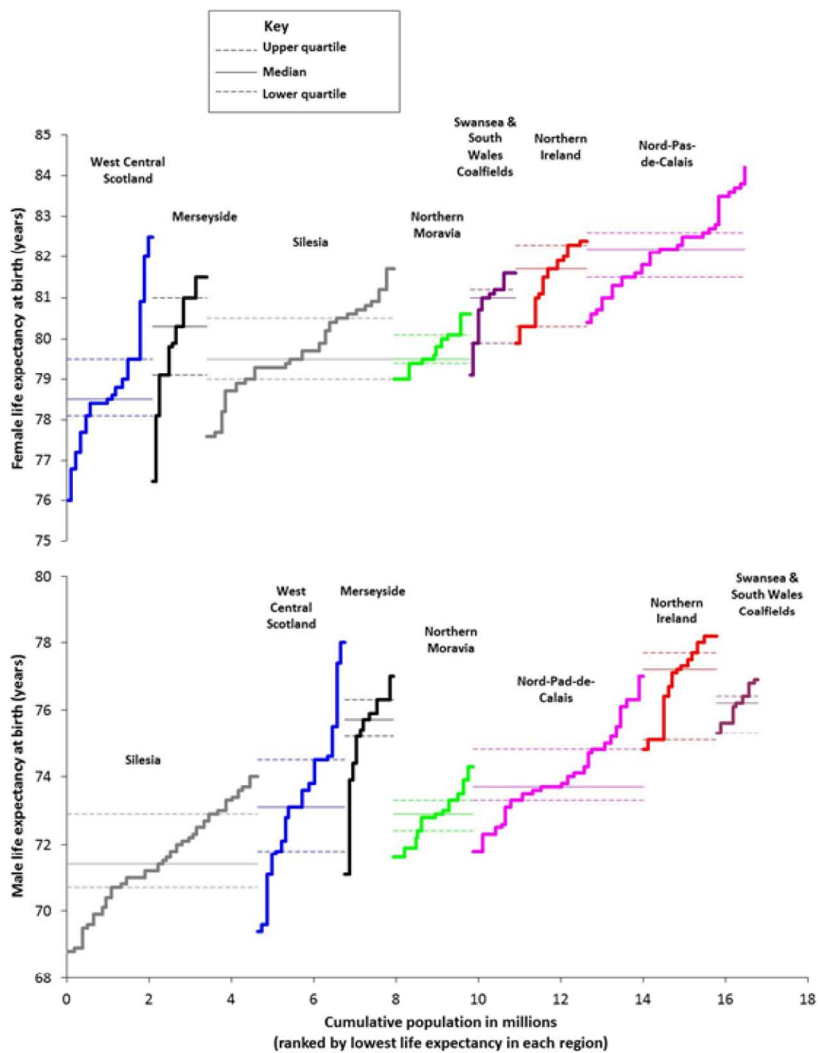
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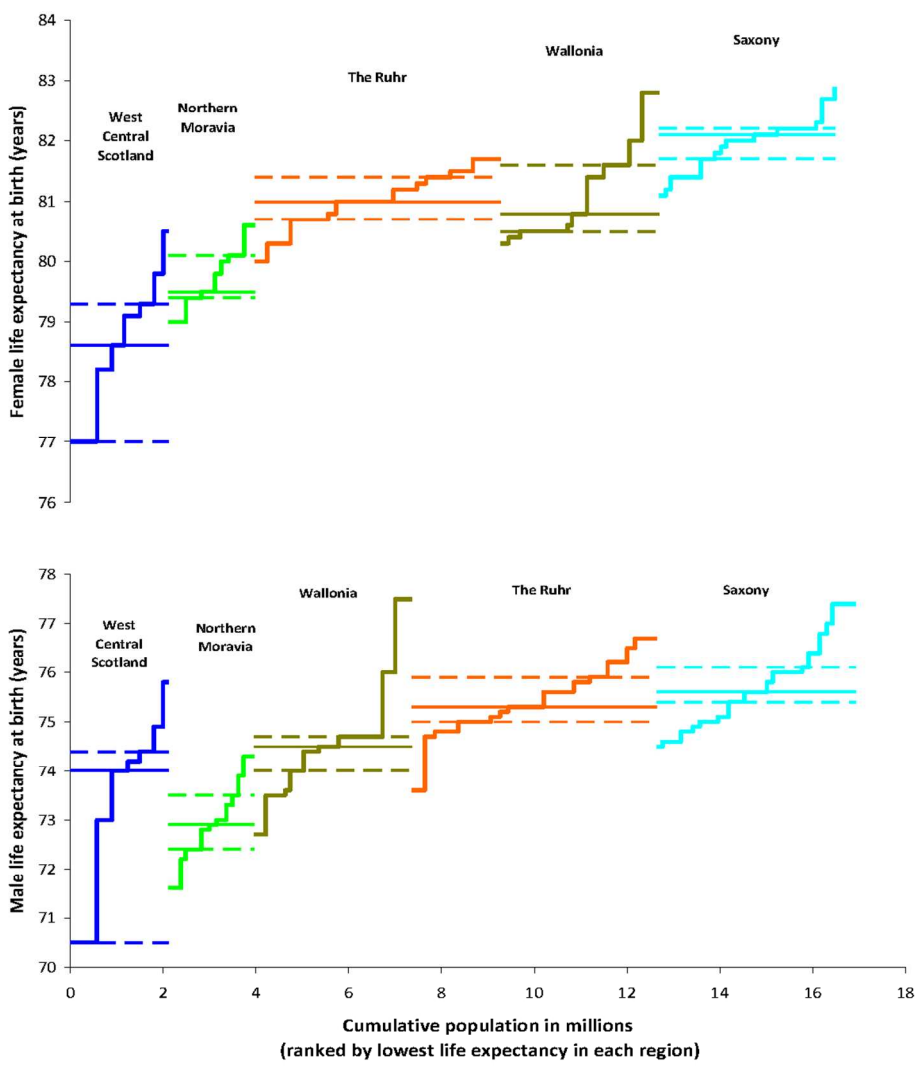
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Figure 1 – Sub-regional disparities in female and male life expectancy within European industrialised regions (including regions with sub-regional data for populations of 185,000 people or less)



157x217mm (200 x 200 DPI)

Figure 2 – Sub-regional disparities in female and male life expectancy within European industrialised regions (including regions with sub-regional data for populations of 224,000 people or more)



152x192mm (200 x 200 DPI)

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STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported
Objectives	3	State specific objectives, including any prespecified hypotheses
Methods		
Study design	4	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses

Discussion

Key results	18	Summarise key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability	21	Discuss the generalisability (external validity) of the study results

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Spatial inequalities in life expectancy within post-industrial regions of Europe: a cross-sectional observational study

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Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Public health, Sociology
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3 **Spatial inequalities in life expectancy within post-industrial regions of**
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5 **Europe: a cross-sectional observational study**
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12 **Martin Taulbut¹, David Walsh², Gerry McCartney³, Sophie Parcell⁴, Anja**
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57 **3,500 words**
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Abstract

Objectives To compare spatial inequalities in life expectancy in West Central Scotland (WCS) with nine other post-industrial European regions.

Design A cross-sectional observational study.

Setting West Central Scotland and nine other post-industrial regions across Europe.

Participants Data for WCS and nine other, comparably deindustrialised, European regions were analysed. Male and female life expectancies (LE) at birth were obtained or calculated for the mid-2000s for 160 districts within selected regions. Districts were stratified into two groups: small (populations of between 141,000 and 185,000 people) and large (populations between 224,000 and 352,000). The range and inter-quartile range (IQR) in LE were used to describe within-region disparities.

Results In small districts, the male LE range was widest in WCS and Merseyside, while the IQR was widest in WCS and Northern Ireland. For women, the LE range was widest in WCS, though the IQR was widest in Northern Ireland and Merseyside. In large districts, the range and IQR in life expectancy was widest in WCS and Wallonia for both sexes.

Conclusions Sub-regional spatial inequalities in life expectancy in WCS are wide compared to other post-industrial mainland European regions, especially for men. Future research could explore the contribution of economic, social and political factors in reducing these inequalities.

Strengths and limitations of this study

- This is an extensive, international comparison of contemporary, within-region disparities in life expectancy. It compares 100 small districts and 60 large districts across 10 European regions.
- Ecological bias was mitigated by selecting regions with a similar history of deindustrialisation and comparing districts with similar-sized populations.
- While the approach taken here partly addressed the scale issue associated with the ‘Modifiable Area Unit Problem’, it was unable to resolve the zoning issue.
- The study was unable to say whether more heterogeneous populations or higher levels of social segregation were driving these differences, though the limited evidence we have does not support this view.
- The analyses are restricted to one period during the mid-to-late 2000s.
- The approach was restricted to describing spatial differences in life expectancy – we cannot draw any conclusions on within-region inequalities by socio-economic status, rurality or ethnicity.

INTRODUCTION

Reducing inequalities in health has been identified as a priority by governments across Europe^{1,2}. While inequalities in health are often described using individual characteristics (e.g. socio-economic class), there is also considerable interest in spatial disparities in health^{3,4}, despite a lack of research found by Tyner et al. (2014)⁵. All countries exhibit sub-national variation in mortality and life expectancy^{6,7,8}. The pattern is observed for countries as diverse as France⁹, Sweden¹⁰, Australia¹¹ and Poland¹². Almost universally, the geographical gap in these health outcomes is wider for men than women¹³. There are some observed differences in within-country dispersion in life expectancy, with the spatial gap more pronounced for some nations (e.g. USA¹⁴, UK¹⁵) than others (e.g. Germany¹⁶, Poland¹²). Regional inequalities in mortality between English regions, for instance, have been found to be severe and persistent over a forty-year period¹⁷. Differences are also observed in whether spatial inequality in mortality has been narrowing, static or increasing over time^{18,13}. Although findings are dependent on the size of geographies selected for analysis¹⁹, there is evidence that inequalities both between and within English regions have increased over time^{17,20}.

Deindustrialisation has been proposed as a mechanism to partly explain these spatial inequalities. Across Europe, there is a clear overlap between former coalmining and industrial areas and districts and regions with the poorest health^{21,7}. Riva et al. (2012) found that areas in England with persistently low or deteriorating employment rates (relative to the national average), often located in ex-industrial regions, had the highest rates of mortality and physical morbidity, even after adjusting for migration and individual characteristics of residents²². A number of mechanisms (e.g. greater poverty, loss of purpose and status and

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3 higher levels of substance misuse) provide plausible links between economic dislocation and
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5 health outcomes^{23, 24}.
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11 Making spatial comparisons of health within and between geographies is subject to a number
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13 of difficulties. Comparing geographies that have been ‘clustered’ according to some shared
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15 characteristics (such as a similar economic and social history) can partly adjust for this and
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17 produce more meaningful results²⁵. Geographical comparisons are more valid when the
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19 spatial units being compared are of a similar population size and where there is less social
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21 diversity within them, since the differences between areas will depend on the degree to which
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23 the geographical units of analysis are internally diverse or homogeneous. Units of analysis
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25 with larger population sizes or more heterogeneity in their composition are less likely to
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27 display differences between areas because of the averaging effect of this greater internal
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29 diversity^{26,19}. Failing to take this into account may result in misleading comparisons.
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38 The present study approaches this issue from a Scottish perspective. Scotland’s position as
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40 the ‘sick man’ of Europe – characterised by a slower rate of improvement in life expectancy
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42 compared to other West European nations since the 1950s, and a consequent relative
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44 deterioration in its international position – has been discussed elsewhere^{27,28}. Furthermore,
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46 the within-region spatial gap in mortality was greater in Scotland than any other region of
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48 Britain²⁹. A similar ‘faltering’ in the pace of improvement in mortality and life expectancy
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50 has also been noted for West Central Scotland (WCS), the region of Scotland most affected
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52 by deindustrialisation in recent decades, relative to other post-industrial regions³⁰. Post-
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54 industrial regions are extremely important in epidemiological terms as they tend to exhibit the
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56 highest rates of mortality in their parent countries^{31,32}. A more recent study also suggested
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3 that WCS was more spatially divided in terms of mortality than other, comparable European
4 post-industrial regions, though the authors did not pursue this question in depth³¹. This paper
5 explores this question in a systematic way, to investigate whether spatial disparities in
6 mortality within WCS are large compared to other European regions, taking both industrial
7 heritage and differences in population sizes of sub-regions into account.
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13 14 15 16 17 **METHODS** 18 19

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24 This study was informed by the authors' involvement in a larger project which aimed to
25 contribute to an understanding of the poor health observed in one post-industrial region, West
26 Central Scotland, in the context of other comparable European regions. West Central
27 Scotland (WCS) is a region of 2.1 million people, centred on the City of Glasgow. Nine other
28 regions, highlighted in other recent epidemiological analysis^{30,32}, were selected for
29 comparison with WCS. The regions were chosen through consultation with experts on
30 European history on the basis of their shared historic economic dependence on industries
31 such as coal, steel, shipbuilding and textiles, alongside analysis of their subsequent loss of
32 industrial employment over the last 30-40 years³⁰.
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48 Table 1 presents summary information on the list of regions selected. Selecting a range of
49 regions from across East and West Europe allowed contrasts to be made between WCS and
50 European areas with different social and political context. The inclusion of UK regions
51 meant the WCS could be compared with areas subject to the same set of socio-economic
52 policies over the last 30-40 years.
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Table 1

Region name	Nation state	Number of districts	Mean population size of districts	Principal historical industries	Total industrial employment loss ^a
West Central Scotland	UK	15 ^b (7) ^c	141,268 ^b (302,084) ^c	Shipbuilding and support industries (iron, coal, engineering)	-62% (1971-2005)
Northern Ireland	UK	12	147,900	Shipbuilding, textiles, manufacturing	-20% (1971-2005)
Merseyside	UK	9	149,532	Shipping, docks, manufacturing (e.g. cement), engineering	-63% (1971-2005)
Swansea & S. Wales Coalfields	UK	7	160,486	Coal	-51% (1971-2005)
Nord-Pas-de-Calais	France	25	160,746	Coal, textiles, steel	-43% (1970-2005)
Wallonia	Belgium	11	309,542	Mining, metal working, textiles	-39% (1970-2005)
The Ruhr	Germany	15	351,912	Coal, iron, steel	-54% (1970-2005)
Saxony	Germany	19	224,934	Steel, construction, engineering, textiles	-47% (1991-2005)
Northern Moravia	Czech Republic	11 ^d	185,099	Coal, steel	-19% (1993-2005)
Silesia ^e	Poland	29	159,858	Coal, steel, automobiles, zinc	-55% (1980-2005)

a Percentage decrease in the number of industrial jobs in each region over the time period shown in parenthesis. For Silesia, change is shown for the Katowice sub-region.

b Community Health Partnerships.

c Nomenclature of Units for Territorial Statistics (NUTS) 3.

d Jeseník district included in small district comparisons only.

e Known as Slaskie region in Poland.

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3 Male and female life expectancies at birth were obtained from relevant statistical agencies (or
4 where appropriate calculated) for the mid-2000s, for 160 districts within the 10 selected
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7 regions. Ideally, the years of the data collected would be of identical time frame and size. It
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9 was not possible or practical to do so here, because of variation between countries in terms of
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11 availability of the required small area statistics data. All life tables were constructed in the
12
13 same way, using all deaths within each district and the resident population of each district.
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15 The sources of the life expectancy data for each region are given in Table 2 (web-only table).
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23 In order to reduce the risk of bias due to differing sub-regional population sizes (the scale
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25 problem), we stratified the regions into two. Five regions (Swansea & S. Wales Coalfields, N.
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27 Ireland, Nord-Pas-de-Calais, Silesia and Merseyside) had sub-regional (or district)
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29 populations of between 141,000 and 185,000 people. These areas were compared to
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31 similarly-sized geographies in WCS Community Health Partnership areas (CHPs).¹ Three
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33 regions (the Ruhr, Saxony and Wallonia) had life expectancy data calculated across 45 ‘large’
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35 districts of population size ranging from 224,000 and 352,000: these were compared with
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37 similarly-sized WCS Nomenclature of Units for Territorial Statistics (NUTS) 3 areas. Data
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39 for Northern Moravia and WCS were available for both strata. For four regions (Northern
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41 Ireland, Wallonia, Silesia and Nord-Pas-de-Calais), it was necessary to create pseudo-districts
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43 to ensure a more even distribution of population across districts. This process took into
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45 account contiguous boundaries and where possible the character of districts. Life expectancy
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47 at birth was then calculated for these new areas using the Chiang method (II)³³, using
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49 population and mortality data obtained from the relevant national statistical agencies.
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¹ There were 15 CHP areas in WCS prior to April 2010, when the five Glasgow CHPs were merged into three.

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3 Within regions, we then ranked the sub-regional (district) populations by their life expectancy
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5 separately for men and women and separately for the large and small sub-regional
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7 populations. We then created line graphs for each strata of regions to show the size and
8
9 distribution of sub-regional populations and their corresponding life expectancies. Taking
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11 each region separately, we then calculated the range in life expectancy and inter-quartile
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13 ranges, accounting for the population sizes in each sub-regional district, to describe the
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15 within-regional disparities.
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RESULTS

Regions with small district data (populations between 141,000 and 185,000)

The districts with the highest male life expectancies (>77 years at birth) were in the rural districts in Northern Ireland, plus the more affluent WCS districts of East Renfrewshire and East Dunbartonshire. The lowest male life expectancies (<70 years at birth) were in Silesia and in areas of WCS (North and East Glasgow). The districts with the highest levels of female life expectancy (>82.5 years at birth) were all located in Nord-Pas-de Calais whilst the lowest (<78 years at birth) were in WCS (all five Glasgow districts), Merseyside (City & North Liverpool) and parts of the Silesia region (Ruda Slaska & Swietochlowice and Chorzow & Siemianowice Slaskie).

Within regions, the *range* in male life expectancy was widest for WCS (8.6 years) and Merseyside (5.9 years) and narrowest in Swansea & the South Wales Coalfields (1.6 years) and Northern Moravia (2.7 years). The *inter-quartile range* in life expectancy for men was widest in WCS and Northern Ireland (2.7 years and 2.6 years respectively) followed by Silesia (2.2 years) and was much less pronounced in the other regions. For women, WCS had the widest *range* in life expectancy (6.5 years) and Northern Moravia the narrowest (1.6 years). The range of life expectancies observed for Merseyside districts was also high (5.9). The *inter-quartile range* in female life expectancy was highest in Northern Ireland (2.0 years) and Merseyside (1.9 years) and lowest in Northern Moravia (Figure 1).

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9 *Regions with large district data (populations between 224,000 and 352,000)*
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15 The highest male life expectancies were found in Saxony, Wallonia and the Ruhr, whilst the
16 lowest were observed in WCS (Glasgow), Wallonia (Mons) and in Northern Moravia. For
17 women, districts with the highest life expectancy were located in Wallonia and Saxony, while
18 the districts with the lowest life expectancy were found within WCS and Northern Moravia.
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28 Within regions, the *range* in male life expectancy across 'large' districts was widest for WCS
29 (5.3 years) followed by Wallonia (4.8 years), with the Ruhr Valley, Saxony and Northern
30 Moravia less polarised. The *inter-quartile range* in life expectancy was much wider in WCS
31 (3.9 years) than in all other regions. For women, the pattern was similar, with the widest
32 range in life expectancy observed for WCS (3.5 years) and Wallonia (2.5 years), with much
33 less disparity evident in the German and Czech regions (Figure 2).
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DISCUSSION

Similarly deindustrialised regions in Europe, which share similar economic, social and health problems^{30,32}, display different patterns in spatial inequalities in life expectancy. In particular, two UK regions (WCS and Merseyside) have much larger intra-regional differences in life expectancy for both men and women than the other regions, with WCS having the largest differences. In contrast, there are relatively narrow spatial inequalities in life expectancy in Northern Moravia, the Ruhr and Swansea and South Wales Coalfields.

The present study has four important strengths. First, it provides an original comparison of contemporary, international, within-region disparities in life expectancy. Second, its geographical coverage is extensive: more than 100 small districts and 60 large districts, spanning 10 regions across both Western and Eastern Europe. Third, it uses a straightforward metric of health outcomes (life expectancy at birth) that is readily understood. Finally, by attempting to ensure the areas are of a similar size and have a common experience of industrial development and subsequent deindustrialisation, the potential bias arising from comparisons of differently sized populations and the heterogeneity within regions is reduced.

The study also has a number of limitations. A key challenge in any study of this kind is the 'Modifiable Area Unit Problem' (MAUP). As discussed by Openshaw (1984)³⁴, the spatial units that can be used to describe individual level data are usually highly modifiable and their boundaries are often decided on an arbitrary basis. There are a large number of different spatial units that could be used to describe the same data, often producing quite different conclusions. There are two components of the MAUP. First, there is a scale problem, with

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3 different results being produced depending on the number of spatial units used in analysis
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5 (e.g. for Census Tracts, districts, regions). Second, there is a grouping or zoning problem,
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7 reflecting different choices about how very small areas are joined together to create areas of a
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9 similar size. In this study, the scale problem has been partly addressed by making
10
11 comparisons of sub-regional inequalities at two different geographical levels. The similar
12
13 findings (of greater spatial inequalities in WCS) for both scales can give more confidence that
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15 the approach adopted is reasonable. However, the zoning problem remains difficult to
16
17 resolve without access to individual-level data coded to geographic areas, which are currently
18
19 not available. It is important to note that the findings may not apply beyond the selection of
20
21 post-industrial regions shown here. For example, Hoffman et (2012), who analysed
22
23 neighbourhood-level differences in mortality for 15 large European large cities, found that
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25 inequalities were wider for women than for men, and no evidence that within-area
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27 inequalities varied between cities³⁵.
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36 The methods used to compare spatial inequalities (interquartile range) could also be criticised
37
38 as not ideal. Other studies³⁶ have used the slope index of inequality (SII) and relative index
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40 of inequality (RII) to estimate spatial inequalities in mortality³⁷. This would undoubtedly
41
42 allow for more robust analyses. However, to allow these indices to be constructed would
43
44 require robust, internationally comparable measures for ranking all the districts by socio-
45
46 economic status. Data limitations make this a difficult task. Europe-wide indicators of
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48 material and income deprivation are unavailable for small-area geographies. A prototype
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50 European Socio-economic Classification³⁸ has been developed but comparable small area
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52 data (from national Censuses) for all areas are not yet available. Limited measures of
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54 housing tenure and car ownership are available, though these may also reflect different
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56 cultural patterns between countries rather than deprivation *per se* (for example, the different
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3 role that renting plays in the German housing market³⁹). Some studies have also questioned
4 whether car ownership is a good indicator of deprivation^{40,41}. Measures of unemployment
5 might also be challenged as not fully comparable either, due to the large-scale diversion of
6 working-age adults into economic inactivity (e.g. disability benefits) during the 1990s across
7 many European countries⁴². Exploring options to overcome these methodological challenges
8 might be a useful avenue for future research.
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20 Data restrictions mean we were unable to explore systematically the degree of social
21 segregation or migration within each region. Spatial inequalities observed could simply
22 reflect greater population heterogeneity between districts within each region. However,
23 evidence comparing WCS with the Ruhr and Nord-Pas-de-Calais does not support this
24 hypothesis^{43,44}. Nor can we say how spatial inequalities in LE changed within these regions
25 over time, since the analysis is also confined to a single time period. Lack of individual-
26 level data and common markers of socio-economic status meant this study was also confined
27 to a focus on spatial differences in life expectancy. If data had been available, analysis by
28 inequalities by socio-economic status or other characteristics (e.g. rurality, ethnicity) may
29 have led to different conclusions. For example, in Northern Moravia the gap in male life
30 expectancy between districts was approximately 5 years⁴⁵ but the gap in life expectancy
31 between the highest- and least-educated males has been enumerated at 16.5 years⁴⁶.
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50 The more pronounced spatial inequalities in life expectancy in three of the four UK regions,
51 especially WCS, are notable. What factors might help account for this? As reported
52 elsewhere, despite relatively high levels of mean prosperity and lower unemployment, WCS
53 and the other British regions have higher levels of relative poverty, income inequality and
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3 single person and lone parent households compared to post-industrial areas of mainland
4 Europe³². There is also a more mixed pattern on some other indicators (e.g. social capital,
5 educational attainment)³². It would be appropriate to consider the socio-political context to
6 this. Others have contrasted the UK ‘path destructive’ road to deindustrialisation,
7 characterised by the growth of a low wage service sector and reduced social protection, with
8 alternative strategies pursued in mainland Europe^{24,47}. It has been argued that a more rapid
9 adoption of neoliberal politics by local government in WCS alongside greater vulnerability to
10 the deleterious impacts of associated economic policies might provide some basis for
11 explaining the findings for WCS^{24,48}.
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27 There may be differences between regions in the homogeneity of the populations, and the
28 degree to which there is social segregation. It is possible that the greater disparities observed
29 in WCS could be due to greater social segregation rather than larger socioeconomic
30 inequalities (although the likelihood of this is reduced by the same finding being observed at
31 two different sizes of sub-regional districts). The limited analyses available (comparing
32 spatial segregation in Nord-Pas-de-Calais and Merseyside with WCS) suggests this cannot
33 provide a wholly adequate explanation for the results shown here³¹. Nor is it clear that
34 stronger within-region migration (from the unhealthiest to the healthiest districts) in WCS can
35 explain these differences. One comparative study of WCS and the Ruhr (1995-2008)
36 suggests this pattern took place in both regions and, if anything, seemed to be slightly
37 stronger in the Ruhr than WCS⁴³. This view is supported by Popham et al. (2010), who
38 argued that selective out-migration is not the only or most important reason for the wide
39 levels of health inequality seen in the region⁴⁹.
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3 Differences in overall population change might provide a partial explanation. Recent long-run
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5 analysis of commune -level data for France by Ghosn et al (2012) found that population
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7 growth was associated with decreases in relative mortality⁵⁰. Between 1982 and 2005, while
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9 most of the regions included in our study saw little change in their population, WCS saw a
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11 marked decline; while Saxony saw an even larger loss of its population over a shorter time
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13 frame³⁰. This might explain why inequalities in life expectancy were wider in the Scottish
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15 region, but the much narrower inequalities in Saxony suggest that this may not be the whole
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17 story.
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22 It may be that in other countries, 'protective' factors such as lower levels of income
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24 inequality (Northern Moravia)⁵¹, higher levels of social capital (The Ruhr)⁴³ or fewer lone
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26 parent or single person households (Nord Pas de Calais)⁴⁴, or a more managed
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28 deindustrialisation process which included active labour market policies and re-employment
29
30 in new industrial sectors²⁴, might have partly mitigated against the health-damaging effects of
31
32 deindustrialisation, reducing the extent of spatial inequalities in health. However, as yet
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34 unexplained region-specific factors are also likely to play a role. Within the UK, Swansea
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36 and South Wales has relatively narrow spatial inequalities in health and WCS has some of the
37
38 widest. In the former case, this may partly reflect the more homogenous social mix across
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40 ex-mining areas/villages, compared to more metropolitan areas.
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51 Difference in lifestyle factors (i.e. worse health behaviours in WCS) could also play a role.
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53 This argument is more plausible for alcohol, since levels of consumption and alcohol-related
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55 harm are high in WCS for both genders compared to the other regions³². For smoking and
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57 diet, matters are less clear. Female smoking rates are higher in West Central Scotland
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3 compared to most regions but male smoking rates are similar across all regions³². Dietary
4 indicators suggest WCS compares poorly with Nord-Pas-de-Calais but is very similar to
5 Merseyside and Northern Ireland³¹. That said, any explanation based on health behaviours
6 alone would be insufficient, as the underlying causes of these health behaviours would
7 remain unexplained.
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18 Finally, environmental factors, such as air pollution and climate, have also been proposed as
19 possible explanations for health inequalities. Could these factors explain the results?
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21 Richardson et al. (2013) found that while exposure to particulate air pollution (PM10), and
22 risk of some causes of mortality, was higher in low-income European regions, but their
23 mapping also revealed the concentration of the worst areas of pollution in East European
24 regions (including Silesia and Northern Moravia)⁵². Although vitamin D deficiency (linked
25 to lower levels of sunlight) may be higher in West Central Scotland than some other regions,
26 the detrimental impacts on health are likely to be observed among older people⁵³.
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35 Decomposition of the excess mortality observed in WCS compared to European regions show
36 it to be greatest among the working-age population, especially young males and middle-aged
37 females³⁰. It therefore seems less plausible that the observed difference in spatial inequalities
38 can be attributed to environmental factors.
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45 CONCLUSIONS

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48 Sub-regional spatial inequalities in life expectancy in West Central Scotland are wide
49 compared to other post-industrial European regions, even after accounting for differences in
50 the population size of the sub-regional districts. These spatial inequalities are particularly
51 profound for men. By contrast, within-region spatial inequalities in life expectancy were
52 relatively low in the German and Czech regions. These data generally show similar patterns
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3 to that for inequalities by individual educational attainment for the parent countries⁵⁴. Outside
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5 the UK, wider determinants of health (such as income distribution, positive social capital and
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7 family networks) may have acted to protect health in post-industrial regions. Future research
8
9 could explore the contribution of these wider determinants of health to reducing spatial
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11 inequalities in mortality, especially in West Central Scotland.
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- Czech Statistical Office.
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All underlying data used remains © to the relevant agencies.

Please note that the mortality and population data for Saxony are © Statistisches Landesamt des Freistaates Sachsen, Kamenz, 2007.

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CONTRIBUTORSHIP STATEMENT

GM and DW conceived the idea for the paper and designed the study. All authors were involved in the acquisition of data, its analysis and interpretation. All authors contributed to the drafting and revising of the paper.

CONFLICTS OF INTEREST

None declared. Gerry McCartney is a member of the Scottish Socialist Party.

DATA SHARING STATEMENT: The data used to create Figures 1 and 2 are available on request from the corresponding author

Figure Legends

Figure 1: Inter-quartile range of life expectancy for small districts within seven post-industrial European regions, by gender, mid-to-late 2000s.

Figure 2: Inter-quartile range of life expectancy for large districts within five post-industrial European regions, by gender, mid-to-late 2000s.

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7 **Spatial inequalities in life expectancy within post-industrial regions of**

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9 **Europe: a cross-sectional observational study**

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53 **3,500 words**

Abstract

Objectives To compare spatial inequalities in life expectancy in West Central Scotland (WCS) with nine other post-industrial European regions.

Design A cross-sectional observational study.

Setting West Central Scotland and nine other post-industrial regions across Europe.

Participants Data for WCS and nine other, comparably deindustrialised, European regions were analysed. Male and female life expectancies (LE) at birth were obtained or calculated for the mid-2000s for 160 districts within selected regions. Districts were stratified into two groups: small (populations of between 141,000 and 185,000 people) and large (populations between 224,000 and 352,000). The range and inter-quartile range (IQR) in LE were used to describe within-region disparities.

Results In small districts, the male LE range was widest in WCS and Merseyside, while the IQR was widest in WCS and Northern Ireland. For women, the LE range was widest in WCS, though the IQR was widest in Northern Ireland and Merseyside. In large districts, the range and IQR in life expectancy was widest in WCS and Wallonia for both sexes.

Conclusions Sub-regional spatial inequalities in life expectancy in WCS are wide compared to other post-industrial mainland European regions, especially for men. Future research could explore the contribution of economic, social and political factors in reducing these inequalities.

Strengths and limitations of this study

- This is an extensive, international comparison of contemporary, within-region disparities in life expectancy. It compares 100 small districts and 60 large districts across 10 European regions.
- Ecological bias was mitigated by selecting regions with a similar history of deindustrialisation and comparing districts with similar-sized populations.
- While the approach taken here partly addressed the scale issue associated with the 'Modifiable Area Unit Problem', it was unable to resolve the zoning issue.
- The study was unable to say whether more heterogeneous populations or higher levels of social segregation were driving these differences, though the limited evidence we have does not support this view.
- The analyses are restricted to one period during the mid-to-late 2000s.
- The approach was restricted to describing spatial differences in life expectancy – we cannot draw any conclusions on within-region inequalities by socio-economic status, rurality or ethnicity.

INTRODUCTION

Reducing inequalities in health has been identified as a priority by governments across Europe^{1,2}. While inequalities in health are often described using individual characteristics (e.g. socio-economic class), there is also considerable interest in spatial disparities in health^{3,4}, despite a lack of research found by Tyner et al. (2014)⁵. All countries exhibit sub-national variation in mortality and life expectancy^{5,6,7,8}. The pattern is observed for countries as diverse as France⁹, Sweden⁹Sweden¹⁰, Australia¹⁰-Australia¹¹ and Poland¹¹Poland¹². Almost universally, the geographical gap in these health outcomes is wider for men than women¹³. There are some observed differences in within-country dispersion in life expectancy, with the spatial gap more pronounced for some nations (e.g. USA¹⁴, UK¹⁵) than others (e.g. Germany¹⁶, Poland¹²). Regional inequalities in mortality between English regions, for instance, have been found to be severe and persistent over a forty-year period¹⁷. Differences are also observed in whether spatial inequality in mortality has been narrowing, static or increasing over time^{18,132}. Although findings are dependent on the size of geographies selected for analysis¹⁹, there is evidence that inequalities both between and within English regions have increased over time^{17,20}.

Deindustrialisation has been proposed as a mechanism to partly explain these spatial inequalities. Across Europe, there is a clear overlap between former coalmining and industrial areas and districts and regions with the poorest health¹⁸health^{21,76}. A recent study in England-Riva et al. (2012) found that areas in England with persistently low or deteriorating employment rates (relative to the national average), often located in ex-

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7 industrial regions, had the highest rates of mortality and physical morbidity, even after
8 adjusting for migration and individual characteristics of residents²²¹⁹. A number of
9 mechanisms (e.g. greater poverty, loss of purpose and status and higher levels of substance
10 misuse) provide plausible links between economic dislocation and health outcomes^{230, 241}.
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17 Making spatial comparisons of health within and between geographies is subject to a number
18 of difficulties. Comparing geographies that have been ‘clustered’ according to some shared
19 characteristics (such as a similar economic and social history) can partly adjust for this and
20 produce more meaningful results²⁵². Geographical comparisons are more valid when the
21 spatial units being compared are of a similar population size and where there is less social
22 diversity within them, since the differences between areas will depend on the degree to which
23 the geographical units of analysis are internally diverse or homogeneous. Units of analysis
24 with larger population sizes or more heterogeneity in their composition are less likely to
25 display differences between areas because of the averaging effect of this greater internal
26 diversity^{263, 197}. Failing to take this into account may result in misleading comparisons.
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40 The present study approaches this issue from a Scottish perspective. Scotland’s position as
41 the ‘sick man’ of Europe – characterised by a slower rate of improvement in life expectancy
42 compared to other West European nations since the 1950s, and a consequent relative
43 deterioration in its international position – has been discussed elsewhere^{274, 285}. Furthermore,
44 the within-region spatial gap in mortality was greater in Scotland than any other region of
45 Britain²⁹⁶. A similar ‘faltering’ in the pace of improvement in mortality and life expectancy
46 has also been noted for West Central Scotland (WCS), the region of Scotland most affected
47 by deindustrialisation in recent decades, relative to other post-industrial regions³⁰²⁷. Post-
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7 industrial regions are extremely important in epidemiological terms as they tend to exhibit the
8 highest rates of mortality in their parent countries^{28,29,31,32}. A more recent study also
9 suggested that WCS was more spatially divided in terms of mortality than other, comparable
10 European post-industrial regions, though the authors did not pursue this question in
11 depth²⁸ depth³¹. This paper explores this question in a systematic way, to investigate whether
12 spatial disparities in mortality within WCS are large compared to other European regions,
13 taking both industrial heritage and differences in population sizes of sub-regions into account.
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23 METHODS

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29 This study was informed by the authors' involvement in a larger project which aimed to
30 contribute to an understanding of the poor health observed in one post-industrial region, West
31 Central Scotland, in the context of other comparable European regions. West Central
32 Scotland (WCS) is a region of 2.1 million people, centred on the City of Glasgow. Nine other
33 regions, highlighted in other recent epidemiological analysis²⁷ analysis^{30,32,29}, were selected
34 for comparison with WCS. The regions were chosen through consultation with experts on
35 European history on the basis of their shared historic economic dependence on industries
36 such as coal, steel, shipbuilding and textiles, alongside analysis of their subsequent loss of
37 industrial employment over the last 30-40 years²⁷ years³⁰.
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49 Table 1 presents summary information on the list of regions selected. Selecting a range of
50 regions from across East and West Europe allowed contrasts to be made between WCS and
51 European areas with different social and political context. The inclusion of UK regions
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meant the WCS could be compared with areas subject to the same set of socio-economic policies over the last 30-40 years.

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Table 1

Region name	Nation state	Number of districts	Mean population size of districts	Principal historical industries	Total industrial employment loss ^a
West Central Scotland	UK	15 ^b (7) ^c	141,268 ^b (302,084) ^c	Shipbuilding and support industries (iron, coal, engineering)	-62% (1971-2005)
Northern Ireland	UK	12	147,900	Shipbuilding, textiles, manufacturing	-20% (1971-2005)
Merseyside	UK	9	149,532	Shipping, docks, manufacturing (e.g. cement), engineering	-63% (1971-2005)
Swansea & S. Wales Coalfields	UK	7	160,486	Coal	-51% (1971-2005)
Nord-Pas-de-Calais	UK France	25	160,746	Coal, textiles, steel	-43% (1970-2005)
Wallonia	Belgium	11	309,542	Mining, metal working, textiles	-39% (1970-2005)
The Ruhr	Germany	15	351,912	Coal, iron, steel	-54% (1970-2005)
Saxony	Germany	19	224,934	Steel, construction, engineering, textiles	-47% (1991-2005)
Northern Moravia	Czech Republic	11 ^d	185,099	Coal, steel	-19% (1993-2005)
Silesia ^e	Poland	29	159,858	Coal, steel, automobiles, zinc	-55% (1980-2005)

a Percentage decrease in the number of industrial jobs in each region over the time period shown in parenthesis. For Silesia, change is shown for the Katowice sub-region.

b Community Health Partnerships.

c Nomenclature of Units for Territorial Statistics (NUTS) 3.

d Jeseník district included in small district comparisons only.

e Known as Slaskie region in Poland.

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7 Male and female life expectancies at birth were obtained from relevant statistical agencies (or
8 where appropriate calculated) for the mid-2000s, for 160 districts within the 10 selected
9 regions. Ideally, the years of the data collected would be of identical time frame and size. It
10 was not possible or practical to do so here, because of variation between countries in terms of
11 availability of the required small area statistics data. All life tables were constructed in the
12 same way, using all deaths within each district and the resident population of each district.
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14 The sources of the life expectancy data for each region are given in Table 2 (web-only table).
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24 In order to reduce the risk of bias due to differing sub-regional population sizes (the scale
25 problem), we stratified the regions into two. Five regions (Swansea & S. Wales Coalfields, N.
26 Ireland, Nord-Pas-de-Calais, Silesia and Merseyside) had sub-regional (or district)
27 populations of between 141,000 and 185,000 people. These areas were compared to
28 similarly-sized geographies in WCS Community Health Partnership areas (CHPs).¹ Three
29 regions (the Ruhr, Saxony and Wallonia) had life expectancy data calculated across 45 'large'
30 districts of population size ranging from 224,000 and 352,000: these were compared with
31 similarly-sized WCS Nomenclature of Units for Territorial Statistics (NUTS) 3 areas. Data
32 for Northern Moravia and WCS were available for both strata. For four regions (Northern
33 Ireland, Wallonia, Silesia and Nord-Pas-de-Calais), it was necessary to create pseudo-districts
34 to ensure a more even distribution of population across districts. This process took into
35 account contiguous boundaries and where possible the character of districts. Life expectancy
36 at birth was then calculated for these new areas using the Chiang method (II)³³⁰, using
37 population and mortality data obtained from the relevant national statistical agencies.
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55 ¹ There were 15 CHP areas in WCS prior to April 2010, when the five Glasgow CHPs were merged into three.
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7 Within regions, we then ranked the sub-regional (district) populations by their life expectancy
8 separately for men and women and separately for the large and small sub-regional
9 populations. We then created line graphs for each strata of regions to show the size and
10 distribution of sub-regional populations and their corresponding life expectancies. Taking
11 each region separately, we then calculated the range in life expectancy and inter-quartile
12 ranges, accounting for the population sizes in each sub-regional district, to describe the
13 within-regional disparities.
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RESULTS

Regions with small district data (populations between 141,000 and 185,000)

The districts with the highest male life expectancies (>77 years at birth) were in the rural districts in Northern Ireland, plus the more affluent WCS districts of East Renfrewshire and East Dunbartonshire. The lowest male life expectancies (<70 years at birth) were in Silesia and in areas of WCS (North and East Glasgow). The districts with the highest levels of female life expectancy (>82.5 years at birth) were all located in Nord-Pas-de Calais whilst the lowest (<78 years at birth) were in WCS (all five Glasgow districts), Merseyside (City & North Liverpool) and parts of the Silesia region (Ruda Slaska & Swietochlowice and Chorzow & Siemianowice Slaskie).

Within regions, the *range* in male life expectancy was widest for WCS (8.6 years) and Merseyside (5.9 years) and narrowest in Swansea & the South Wales Coalfields (1.6 years) and Northern Moravia (2.7 years). The *inter-quartile range* in life expectancy for men was widest in WCS and Northern Ireland (2.7 years and 2.6 years respectively) followed by Silesia (2.2 years) and was much less pronounced in the other regions. For women, WCS had the widest *range* in life expectancy (6.5 years) and Northern Moravia the narrowest (1.6 years). The range of life expectancies observed for Merseyside districts was also high (5.9). The *inter-quartile range* in female life expectancy was highest in Northern Ireland (2.0 years) and Merseyside (1.9 years) and lowest in Northern Moravia (Figure 1).

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11 *Regions with large district data (populations between 224,000 and 352,000)*
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17 The highest male life expectancies were found in Saxony, Wallonia and the Ruhr, whilst the
18 lowest were observed in WCS (Glasgow), Wallonia (Mons) and in Northern Moravia. For
19 women, districts with the highest life expectancy were located in Wallonia and Saxony, while
20 the districts with the lowest life expectancy were found within WCS and Northern Moravia.
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28 Within regions, the *range* in male life expectancy across 'large' districts was widest for WCS
29 (5.3 years) followed by Wallonia (4.8 years), with the Ruhr Valley, Saxony and Northern
30 Moravia less polarised. The *inter-quartile range* in life expectancy was much wider in WCS
31 (3.9 years) than in all other regions. For women, the pattern was similar, with the widest
32 range in life expectancy observed for WCS (3.5 years) and Wallonia (2.5 years), with much
33 less disparity evident in the German and Czech regions (Figure 2).
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DISCUSSION

Similarly deindustrialised regions in Europe, which share similar economic, social and health problems²⁷ problems^{30,32,29}, display different patterns in spatial inequalities in life expectancy.

In particular, two UK regions (WCS and Merseyside) have much larger intra-regional differences in life expectancy for both men and women than the other regions, with WCS having the largest differences. In contrast, there are relatively narrow spatial inequalities in life expectancy in Northern Moravia, the Ruhr and Swansea and South Wales Coalfields.

The present study has four important strengths. First, it provides an original comparison of contemporary, international, within-region disparities in life expectancy. Second, its geographical coverage is extensive: more than 100 small districts and 60 large districts, spanning 10 regions across both Western and Eastern Europe. Third, it uses a straightforward metric of health outcomes (life expectancy at birth) that is readily understood. Finally, by attempting to ensure the areas are of a similar size and have a common experience of industrial development and subsequent deindustrialisation, the potential bias arising from comparisons of differently sized populations and the heterogeneity within regions is reduced.

The study also has a number of limitations. A key challenge in any study of this kind is the 'Modifiable Area Unit Problem' (MAUP). As discussed by Openshaw (1984)³⁴, the spatial units that can be used to describe individual level data are usually highly modifiable and their boundaries are often decided on an arbitrary basis. There are a large number of different spatial units that could be used to describe the same data, often producing quite different conclusions. There are two components of the MAUP. First, there is a scale problem, with

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7 different results being produced depending on the number of spatial units used in analysis
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9 (e.g. for Census Tracts, districts, regions). Second, there is a grouping or zoning problem,
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11 reflecting different choices about how very small areas are joined together to create areas of a
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13 similar size. In this study, the scale problem has been partly addressed by making
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15 comparisons of sub-regional inequalities at two different geographical levels. The similar
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17 findings (of greater spatial inequalities in WCS) for both scales can give more confidence that
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19 the approach adopted is reasonable. However, the zoning problem remains difficult to
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21 resolve without access to individual-level data coded to geographic areas, which are currently
22
23 not available. It is important to note that the findings may not apply beyond the selection of
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25 post-industrial regions shown here. For example, Hoffman et (2012), who analysed
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27 neighbourhood-level differences in mortality for 15 large European large cities, found that
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29 inequalities were wider for women than for men, and no evidence that within-area
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31 inequalities varied between cities³⁵.

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35 The methods used to compare spatial inequalities (interquartile range) could also be criticised
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37 as not ideal. Other studies³⁶ have used the slope index of inequality (SII) and relative index
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39 of inequality (RII) to estimate spatial inequalities in mortality³⁷. This would undoubtedly
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41 allow for more robust analyses. However, to allow these indices to be constructed would
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43 require robust, internationally comparable measures for ranking all the districts by socio-
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45 economic status. Data limitations make this a difficult task. Europe-wide indicators of
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47 material and income deprivation are unavailable for small-area geographies. A prototype
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49 European Socio-economic Classification³⁸ has been developed but comparable small area
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51 data (from national Censuses) for all areas are not yet available. Limited measures of
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53 housing tenure and car ownership are available, though these may also reflect different
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55 cultural patterns between countries rather than deprivation *per se* (for example, the different
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role that renting plays in the German housing market³⁹). Some studies have also questioned whether car ownership is a good indicator of deprivation^{40,41}. Measures of unemployment might also be challenged as not fully comparable either, due to the large-scale diversion of working-age adults into economic inactivity (e.g. disability benefits) during the 1990s across many European countries⁴². Exploring options to overcome these methodological challenges might be a useful avenue for future research.

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Data restrictions mean we were unable to explore systematically the degree of social segregation or migration within each region. Spatial inequalities observed could simply reflect greater population heterogeneity between districts within each region. However, evidence comparing WCS with the Ruhr and Nord-Pas-de-Calais does not support this hypothesis^{32,33,43,44}. Nor can we say how spatial inequalities in LE changed within these regions over time, since the analysis is also confined to a single time period. Lack of individual-level data and common markers of socio-economic status meant this study was also confined to a focus on spatial differences in life expectancy. If data had been available, analysis by inequalities by socio-economic status or other characteristics (e.g. rurality, ethnicity) may have led to different conclusions. For example, in Northern Moravia the gap in male life expectancy between districts was approximately 5 years³⁴ years⁴⁵ but the gap in life expectancy between the highest- and least-educated males has been enumerated at 16.5 years³⁵ years⁴⁶.

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7 The more pronounced spatial inequalities in life expectancy in three of the four UK regions,
8 especially WCS, are notable. What factors might help account for this? As reported
9 elsewhere, despite relatively high levels of mean prosperity and lower unemployment, WCS
10 and the other British regions have higher levels of relative poverty, income inequality and
11 single person and lone parent households compared to post-industrial areas of mainland
12 ~~Europe~~²⁹ ~~Europe~~³². There is also a more mixed pattern on some other indicators (e.g. social
13 capital, educational attainment)^{29,32}. It would be appropriate to consider the socio-political
14 context to this. Others have contrasted the UK 'path destructive' road to deindustrialisation,
15 characterised by the growth of a low wage service sector and reduced social protection, with
16 alternative strategies pursued in mainland Europe^{24,36,47}. It has been argued that a more rapid
17 adoption of neoliberal politics by local government in WCS alongside greater vulnerability to
18 the deleterious impacts of associated economic policies might provide some basis for
19 explaining the findings for WCS^{24, 48 37}

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38 There may be differences between regions in the homogeneity of the populations, and the
39 degree to which there is social segregation. It is possible that the greater disparities observed
40 in WCS could be due to greater social segregation rather than larger socioeconomic
41 inequalities (although the likelihood of this is reduced by the same finding being observed at
42 two different sizes of sub-regional districts). The limited analyses available (comparing
43 spatial segregation in Nord-Pas-de-Calais and Merseyside with WCS) suggests this cannot
44 provide a wholly adequate explanation for the results shown here³¹. Nor is it clear that
45 stronger within-region migration (from the unhealthiest to the healthiest districts) in WCS can
46 explain these differences. One comparative study of WCS and the Ruhr (1995-2008)

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7 suggests this pattern took place in both regions and, if anything, seemed to be slightly
8 stronger in the Ruhr than WCS⁴³. This view is supported by Popham et al. (2010), who
9 argued that selective out-migration is not the only or most important reason for the wide
10 levels of health inequality seen in the region⁴⁹.

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18 Differences in overall population change might provide a partial explanation. Recent long-run
19 analysis of commune -level data for France by Ghosn et al (2012) found that population
20 growth was associated with decreases in relative mortality⁵⁰. Between 1982 and 2005, while
21 most of the regions included in our study saw little change in their population, WCS saw a
22 marked decline; while Saxony saw an even larger loss of its population over a shorter time
23 frame³⁰. This might explain why inequalities in life expectancy were wider in the Scottish
24 region, but the much narrower inequalities in Saxony suggest that this may not be the whole
25 story.

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37 It may be that in other countries, 'protective' factors such as lower levels of income
38 inequality (Northern Moravia)⁵¹³⁸, higher levels of social capital (The Ruhr)^{32 43} or fewer lone
39 parent or single person households (Nord Pas de Calais)³³⁴⁴, or a more managed
40 deindustrialisation process which included active labour market policies and re-employment
41 in new industrial sectors²⁴⁴, might have partly mitigated against the health-damaging effects
42 of deindustrialisation, reducing the extent of spatial inequalities in health. However, as yet
43 unexplained region-specific factors are also likely to play a role. Within the UK, Swansea
44 and South Wales has relatively narrow spatial inequalities in health and WCS has some of the
45 widest. In the former case, this may partly reflect the more homogenous social mix across
46 ex-mining areas/villages, compared to more metropolitan areas.

Difference in lifestyle factors (i.e. worse health behaviours in WCS) could also play a role.

This argument is more plausible for alcohol, since levels of consumption and alcohol-related

harm are high in WCS for both genders compared to the other regions^{32,29}. For smoking and

diet, matters are less clear. Female smoking rates are higher in West Central Scotland

compared to most regions but male smoking rates are similar across all regions²⁹ regions³².

Dietary indicators suggest WCS compares poorly with Nord-Pas-de-Calais but is very similar

to Merseyside and Northern Ireland²⁸ Ireland³¹. That said, any explanation based on health

behaviours alone would be insufficient, as the underlying causes of these health behaviours

would remain unexplained.

Finally, environmental factors, such as air pollution and climate, have also been proposed as possible explanations for health inequalities. Could these factors explain the results?

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Richardson et al. (2013) found that while exposure to particulate air pollution (PM10), and risk of some causes of mortality, was higher in low-income European regions, but their

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mapping also revealed the concentration of the worst areas of pollution in East European

regions (including Silesia and Northern Moravia)⁵². Although vitamin D deficiency (linked

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to lower levels of sunlight) may be higher in West Central Scotland than some other regions,

the detrimental impacts on health are likely to be observed among older people⁵³.

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Decomposition of the excess mortality observed in WCS compared to European regions show

it to be greatest among the working-age population, especially young males and middle-aged

females³⁰. It therefore seems less plausible that the observed difference in spatial inequalities

can be attributed to environmental factors.

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CONCLUSIONS

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7 Sub-regional spatial inequalities in life expectancy in West Central Scotland are wide
8 compared to other post-industrial European regions, even after accounting for differences in
9 the population size of the sub-regional districts. These spatial inequalities are particularly
10 profound for men. By contrast, within-region spatial inequalities in life expectancy were
11 relatively low in the German and Czech regions. These data generally show similar patterns
12 to that for inequalities by individual educational attainment for the parent countries⁵⁴³⁹.
13
14 Outside the UK, wider determinants of health (such as income distribution, positive social
15 capital and family networks) may have acted to protect health in post-industrial regions.
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17 Future research could explore the contribution of these wider determinants of health to
18 reducing spatial inequalities in mortality, especially in West Central Scotland.
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- 52 • Czech Statistical Office.
- 53 • Central Statistical Office of Poland – Local Data Bank.
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8 All underlying data used remains © to the relevant agencies.
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12 Please note that the mortality and population data for Saxony are © Statistisches Landesamt
13 des Freistaates Sachsen, Kamenz, 2007.
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16
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18 useful comments on an earlier draft of this paper.
19

20 21 22 23 24 25 **CONFLICTS OF INTEREST**

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28 None declared. Gerry McCartney is a member of the Scottish Socialist Party.
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Figure Legends

Figure 1: Inter-quartile range of life expectancy for small districts within seven post-industrial European regions, by gender, mid-to-late 2000s.

Figure 2: Inter-quartile range of life expectancy for large districts within five post-industrial European regions, by gender, mid-to-late 2000s.

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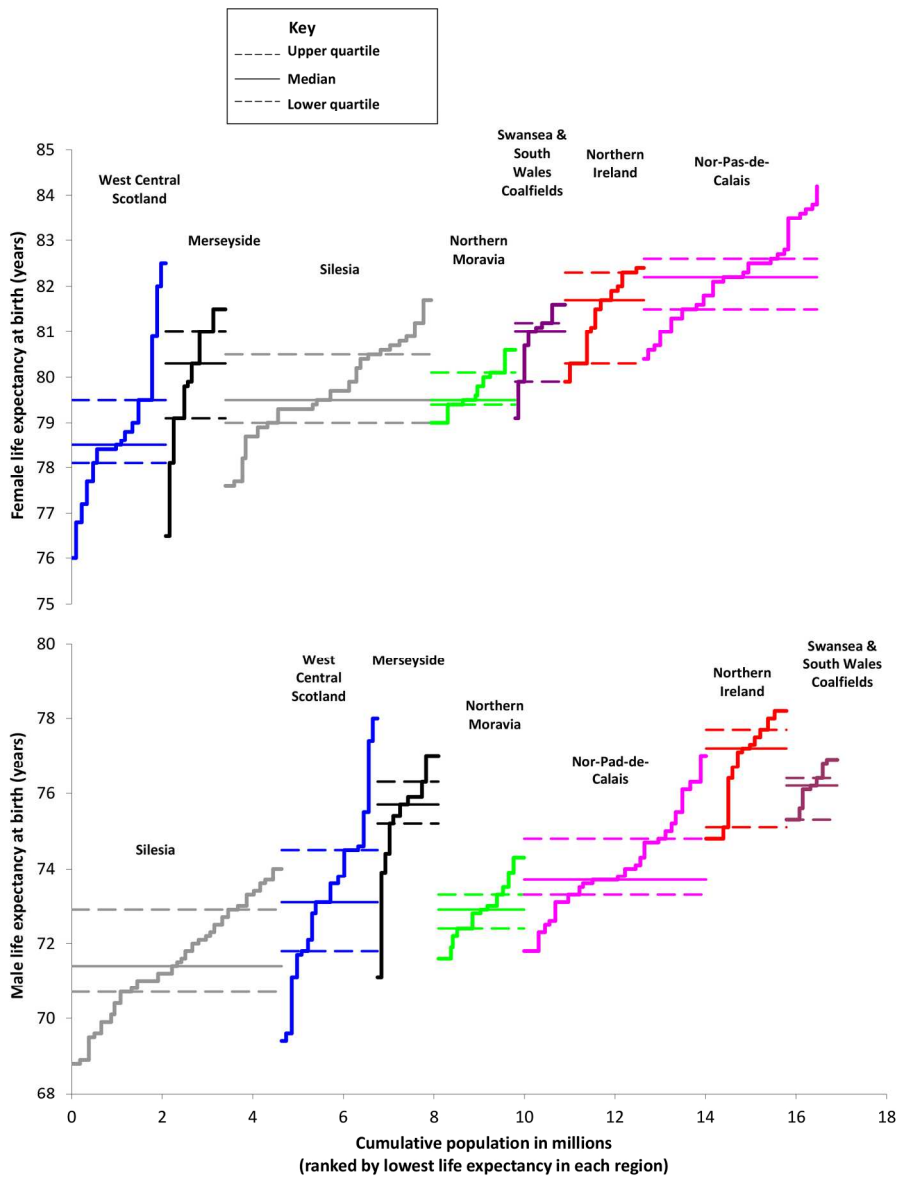


Figure 1: Inter-quartile range of life expectancy for small districts within seven post-industrial European regions, by gender, mid-to-late 2000s.
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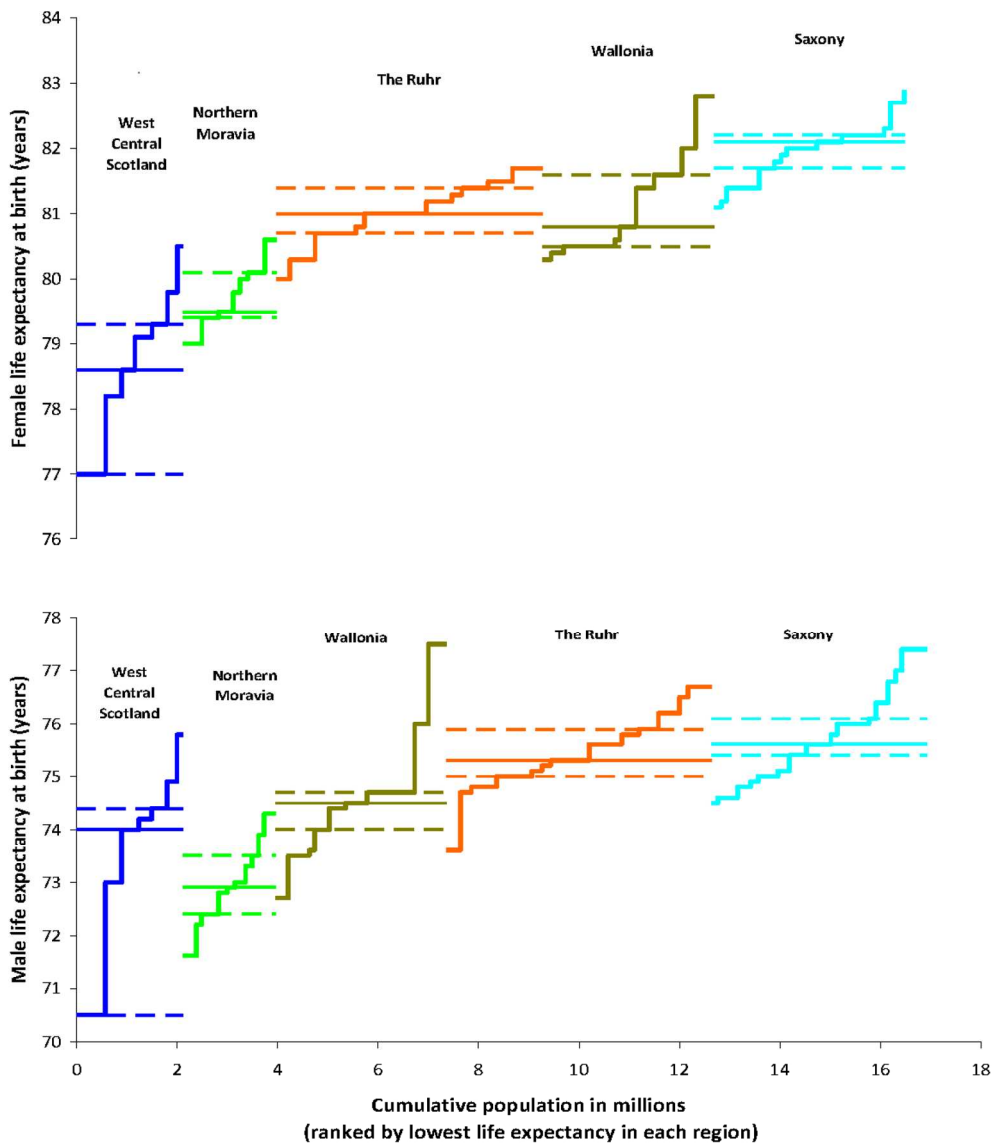


Figure 2: Inter-quartile range of life expectancy for large districts within five post-industrial European regions, by gender, mid-to-late 2000s.
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Web-only Appendix: Table 2: Sources for the life expectancy data

Region name	Downloaded or calculated?	Original source
West Central Scotland	Published data	National Records for Scotland (NRS), formerly General Register Office for Scotland.
Northern Ireland	Directly calculated by GCPH/NHS Health Scotland	Northern Ireland Statistics and Research Agency.
Merseyside	Published data	Office for National Statistics and Public Health Intelligence Team, Information & Intelligence Services, Liverpool Primary Care Trust - Liverpool Neighbourhood Management Areas Health Profiles 2010.
Swansea & South Wales Coalfields	Directly calculated by authors for Central Valleys; Published data for others	Office for National Statistics; Office for National Statistics (Vital Statistics).
Nord-Pas-de-Calais	Directly calculated by GCPH/NHS Health Scotland	INSEE and Centre d'épidémiologie sur les causes médicales de décès (CepiDc) – Data provided by Observatoire Régional de la Santé (ORS), NPdC.
Wallonia	Directly calculated by GCPH/NHS Health Scotland	SPMA (https://www.wiv-isp.be/epidemie/spma) Public Health and Surveillance Scientific Institute of Public Health, Brussels, Belgium. https://www.wiv-isp.be/epidemie/spma/
The Ruhr	Published data	NRW Institute of Health and Work (LIGA.NRW). http://www.lzg.gc.nrw.de © Landesamt für Datenverarbeitung und Statistik NRW Statistik der Sterbefälle lög: Sterbetafel, Eigene Berechnung.
Saxony	Directly calculated by GCPH/NHS Health Scotland	Data provided by Statistisches Landesamt des Freistaates Sachsen. © Statistisches Landesamt des Freistaates Sachsen, Kamenz, 2007.
Northern Moravia	Published data	Czech Statistical Office. http://www.czso.cz/eng/redakce.nsf/i/life_tables © Czech Statistical Office, 2012.
Silesia	Directly calculated by GCPH/NHS Health Scotland	Central Statistical Office of Poland – Local Data Bank. http://www.stat.gov.pl/bdlen/app/strona.html?p_name=indeks © 1995-2012 CSO.

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported
Objectives	3	State specific objectives, including any prespecified hypotheses
Methods		
Study design	4	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants (b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	9	Describe any efforts to address potential sources of bias
Study size	10	Explain how the study size was arrived at
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses

Continued on next page

Results

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time <i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure <i>Cross-sectional study</i> —Report numbers of outcome events or summary measures
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses

Discussion

Key results	18	Summarise key results with reference to study objectives
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
Generalisability	21	Discuss the generalisability (external validity) of the study results

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.