Rationale and design of the Pan African Pulmonary hypertension Cohort (PAPUCO) study: implementing a contemporary registry on pulmonary hypertension in Africa

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ABSTRACT

Introduction: Pulmonary hypertension (PH) is a devastating, progressive disease with increasingly debilitating symptoms and usually shortened overall life expectancy due to a narrowing of the pulmonary vasculature and consecutive right heart failure. Little is known about PH in Africa, but limited reports suggest that PH is more prevalent in Africa compared with developed countries due to the high prevalence of risk factors in the region.

Methods and analysis: A multinational multicentre registry-type cohort study was established and tailored to resource-constraint settings to describe disease presentation, disease severity and aetiologies of PH, comorbidities, diagnostic and therapeutic management, and the natural course of PH in Africa. PH will be diagnosed by specialist cardiologists using echocardiography (right ventricular systolic pressure >55 mm Hg, absence of pulmonary stenosis and acute right heart failure), usually accompanied by shortness of breath, fatigue, peripheral oedema and other cardiovascular symptoms, ECG and chest X-ray changes in keeping with PH as per guidelines (European Society of Cardiology and European Respiratory Society (ESC/ERS) guidelines). Additional investigations such as a CT scan, a ventilation/perfusion scan or right heart catheterisation will be performed at the discretion of the treating physician. Functional tests include a 6 min walk test and the Karnofsky Performance Score. The WHO classification system for PH will be applied to describe the different aetiologies of PH. Several substudies have been implemented within the registry to investigate specific types of PH and their outcome at up to 24 months. Data will be analysed by an independent institution following a data analyse plan.

Ethics and dissemination: All local ethics committees of the participating centres approved the protocol. The data will be disseminated through peer-reviewed journals at national and international conferences and public events at local care providers.

Strengths and limitations of this study

- The Pan African Pulmonary hypertension Cohort (PAPUCO) study is the first multinational registry on pulmonary hypertension in Africa.
- PAPUCO centres have different levels of medical infrastructure and not all patients underwent the same rigorous workup.

INTRODUCTION

Pulmonary hypertension (PH) is a devastating, progressive disease with increasingly debilitating symptoms and usually shortened overall life expectancy due to a narrowing of the pulmonary vasculature and consecutive right heart failure (RHF).1 The epidemiology of PH in Africa and the distribution of its multitude of aetiologies have not yet been described, but limited reports suggest that the incidence of PH in Africa is higher than that reported from developed countries, owing to the pattern of diseases prevalent in the region.2–5 Many known risk factors for PH are hyperendemic in this part of the world, including HIV/AIDS, rheumatic heart disease (RHD), hereditary haemoglobinopathies, schistosomiasis and other parasitic infections, and chronic hepatitis B and C infection. On the other hand, a high prevalence of tuberculosis (TB), poorly treated asthma, high levels of pollution in urban areas and exposure to mining may subsequently lead to various forms of pulmonary disease, PH and often to RHF with premature death. Also, a lack of specialist paediatric services to diagnose and manage congenital heart disease (CHD) potentially also leads to PH.6 Furthermore, the high
prevalence of RHD and endomyocardial fibrosis, the latter possibly also due to the high burden of parasitic infections, may contribute to secondary PH. \(^6\)–\(^8\) However, Africa is in epidemiological transition with increased prevalence of non-communicable forms of heart disease such as hypertensive and ischaemic heart diseases. Left heart disease is the most common cause of heart failure in Africa and most likely a major contributor to PH and consecutive RHF. \(^1\)–\(^9\) Thus, within the registry, we expect the entire spectrum of aetiologies of PH. In this manuscript, we aim to provide an overview of PH in Africa and the epidemiology of risk factors prevalent in the region, and answer the question why Africa needs a registry for PH just as much as most other parts of the world and how we implemented it in the setting of scarce resources.

**Preliminary evidence of a significant burden of PH and its risk factors in Africa**

In recent years, there has been an increasing awareness of the clinical significance of PH and cor pulmonale in Africa. This applies equally to the recognition of the importance of PH and RHF as a primary diagnosis and as a poor prognostic marker for those primarily affected by left-sided heart failure. Data on the precursors and risk factors of those conditions are limited to a few reports. The largest study on PH/RHF in Africa has been conducted within the Heart of Soweto Study in South Africa; 2505 patients presented with de novo heart failure between 2006 and 2008.\(^8\) Of those, 697 (28%) were diagnosed with PH/RHF. PH/RHF was the primary diagnosis in 50% of those patients. The majority presented with dyspnoea and a mean right ventricular systolic pressure (RVSP) above 50 mm Hg. Left heart disease (31%), chronic lung disease due to chronic obstructive pulmonary disease and TB (26%), and pulmonary arterial hypertension (PAH; 20%) due to HIV (HIV-PAH), CHD or idiopathic PAH were the most common causes of PH. Sani \(et\ al\)\(^9\) described the prevalence of PH in patients with RHD at a tertiary centre in Nigeria. Of 1312 Echocardiography (ECHO) studies, 10% had evidence of RHD; secondary PH was present in 80% of patients with RHD. The same centre reported on 53 patients with PH on ECHO among 80 patients admitted with heart failure, with hypertensive heart disease (25%), peripartum cardiomyopathy (25%), dilated cardiomyopathy (17%) and RHD (13%) being the most common causes of PH.\(^11\) A study from Uganda showed a prevalence of PH in patients with newly diagnosed RHD (n=309) of 33%.\(^12\) A smaller survey from Lagos, Nigeria, investigated the prevalence of cardiovascular disease in an HIV-positive cohort and found 1 patient of 100 patients to have HIV-PAH.\(^13\) An ECHO study on 102 HIV patients presenting with cardiac symptoms in Tanzania revealed PH in 13%.\(^14\) PH with an RVSP>30 mm Hg was present in 4% of long-term survivors in a Zimbabwe cohort of vertically acquired HIV infection.\(^15\) Haemolytic anaemia is a known risk factor for PH; a screening study of patients with sickle-cell disease (SCD) in Nigeria showed a PH prevalence of 25%\(^16\); a study from Egypt indicates that patients with β-thalassaemia are at risk of PH.\(^17\) Another study from Egypt found a PH prevalence of 9% in patients seropositive for schistosomal antibodies. Ahmed \(et\ al\)\(^18\) from Sudan described 14 consecutive cases of PH with previously treated pulmonary TB and concluded that PH can even occur after resolution of TB, most likely due to persistent lung destruction. These data suggest that left heart disease, chronic lung disease, RHD, HIV, schistosomiasis and SCD might be the most common underlying diseases to cause PH within the spectrum of aetiologies of PH that we will find within the Pan African Pulmonary hypertension Cohort (PAPUCO).

**RATIONALE AND OBJECTIVES**

Worldwide, PH has received a great increase in awareness at the beginning of the 21st century, but less than 1% of the publications are from Africa (figure 1). It is expected that PH is more prevalent in Africa compared with developed countries, but little information is available about African patients with PH. Exposure to risk factors, human genetic variation, different socioeconomic backgrounds, lifestyles, comorbidities, nutrition and disparities in access to health services makes the African population unique but heterogeneous at the same time. Therefore, most research data and clinical guidelines from high-income countries cannot be translated into the African context. It is within the context described above that PAPUCO, a Pan Africa registry-type cohort study, was established (figure 2).

Scientific and clinical research has been fundamental in improving human health.\(^19\) In fact, research has been demonstrated to be an excellent vehicle to implement new technologies and to facilitate training for its use, as well as to develop new systems and establish the services around it—jointly leading to technical improvement, sharpening of skills and capacity development.\(^20\)–\(^21\) Besides the main objectives of the PAPUCO registry to define and understand PH in Africa (box 1), this multinational and multicentre research project therefore aims to also develop sustainable clinical and research capacity across the African continent as well as raising awareness for PH and its risk factors.

The prevalence of PH in Africa varies geographically, according to the underlying risk factors and diseases, and the diagnosis of PH is most likely often missed, not only during the early stages due to the subtle nature of presentation of PH, but also at a more advanced stage of the disease, due to a lack of awareness by primary care doctors and low index of suspicion, limited access to ECHO services and tertiary care. However, it is crucial to diagnose PH in Africa early and reliably to ensure better care for these patients (box 2).
METHODS AND ANALYSIS
Study design and setting
The PAPUCO research group was established in 2011 with the aim of implementing a registry-type cohort study on PH in Africa. The registry aims to recruit consecutive patients (1) with newly diagnosed PH-based clinical and ECHO criteria, (2) who are able or likely to return for a 6-month follow-up, (3) who are at least 18 years old (except for those in paediatric centres in Mozambique and Nigeria) and (4) who consented in writing to participate in the registry. Centre eligibility includes (1) availability of ECHO and training in assessing right heart function, (2) experience in diagnosing PH according to the WHO classification, (3) experience in clinical management of patients with RHF and (4) resources to review patients at 6-month follow-up. Participating centres will be invited to join the registry at African cardiac meetings and conferences.

Definition of PH, diagnostic algorithm and data points
As shown in box 3, PH is defined as documented elevated RVSP>35 mm Hg on transthoracic ECHO in the absence of pulmonary stenosis and acute RHF, usually accompanied by shortness of breath, fatigue, peripheral oedema and other cardiovascular symptoms, and possibly ECG and chest X-ray (CXR) changes in keeping with PH as per the European Society of Cardiology and European Respiratory Society (ESC/ERS) guidelines on PH.22 23 Once definitive assessment and treatment has been applied, the following specific data will be documented for each individual: (A) all major cardiovascular diagnoses according to the International Classification of Diseases (ICD) 10 coding, and (B) up to five non-cardiovascular diagnoses according to ICD 10 coding.24 Figure 3 shows the diagnostic algorithm to diagnose PH in resource-constraint settings without access to right heart catheterisation that has been developed following the ESC/ERS guidelines for the diagnosis of PH.22 Key information collected will include information on demographic profile, socioeconomic background, medical history, comorbidities, cardiac risk factors and environmental exposures. The clinical aspects of the assessment include symptom scoring, a full clinical examination, physical and clinical status; functional tests include the WHO functional class (WHO FC), a 6 min walk test (6MWT) and the Karnofsky Performance Score. Technical procedures include ECHO, CXR and a 12-lead ECG. Further investigations are at the discretion of the treating physician and typically include lung function tests, ventilation/perfusion lung scans, high resolution CT and CT pulmonary angiography, and right heart catheterisation, if available (figure 3). Data on heart failure treatment and co-medication, hospitalisation and death, and 6-month outcome will also be collected.

Echocardiography
Echocardiography in adults
ECHO modalities applied included M-mode, two-dimensional (2D) and Doppler studies. ECHO will be
performed with 3–5 MHz sector transducer. Complete 2D ECHO examination will be performed according to the recommendations of the American Society of ECHO (ASE). M-mode ECHO will be derived from 2D images. The M-mode cursor on the 2D scan will move to specific areas of the heart to obtain measurements according to the recommendation of the committee on M-mode standardisation of the ASE. Doppler indices of left ventricular (LV) diastolic filling will be obtained. A complete Doppler study will be carried out according to the recommendations of the ASE. From the M-mode measurements, indices of LV function will be derived. These include shortening fraction, ejection fraction (EF), and LV mass, cardiac output and relative wall thickness. ECHO examinations include (A) valvular assessment, (B) left atrial size, (C) LV size and function, (D) a semiquantitative estimate of the severity of valvular regurgitation, (E) size and function of the right atrium and the right ventricle (RV; figure 4A) and (F) evidence of PH. Doppler will be used to examine the valves on suspicion of any valvular lesion. ECHO findings associated with PH include a dilated pulmonary artery and dilation and hypertrophy of the RV, diastolic flattening of the interventricular septum and Doppler evidence of PH. Doppler ECHO estimates the pulmonary artery systolic pressure (PASP). By measuring the maximum

Figure 2 The Pan African Pulmonary hypertension Cohort (PAPUCO) map displays countries currently participating in the PAPUCO registry (number of centres per country in brackets).
The right to know.
Prognostic implications.
Access to tertiary care: heart failure management, anticoagulation, home oxygen, chronic obstructive pulmonary disease management, access to PH-specific drugs (sildenafil).
Access to cardiothoracic surgery: valve repair/replacement, correction of congenital heart disease, heart transplantation.
Access to social and disability grants.
To raise awareness of PH and its risk factors.

Accuracy of echocardiography in the diagnosis of PH
Diagnosing PH is challenging when relying on bedside tests. RHC is the gold standard to diagnose and confirm PH, but performing RHC on all patients with dyspnoea would bear excessive risks and be impractical in any cost-constrained environment. Also, RHC is only available at very few centres in Africa. A viable device to diagnose PH in Africa needs to be widely available, accurate, safe and
cost-effective. ECHO has become increasingly available in Africa and reliably allows the measurements to describe functional and morphological features of PH and to indirectly measure the pressures in the pulmonary artery; hence, to diagnose PH. Nonetheless, the exact measurements of the mean and systolic pulmonary artery are not possible, the PASP remains an estimate, and the use of ECHO to diagnose PH questionable. A number of possible explanations for this ‘inaccuracy’ merit attention: (1) conditions for a reproducible calculation of PASP/RVSP include the presence of sufficient tricuspid regurgitation (TR) to produce a Doppler envelope and appropriate gain adjustments. An ‘undergained’ spectral signal will tend to result in underestimated PASP, whereas an ‘overgained’ spectral signal might overestimate the measurements; (2) careful adjustment of the transducer position and the use of colour flow Doppler are critical in order to reduce the Doppler angle and to obtain the maximal regurgitant flow velocity and severe TR will cause a laminar flow which invalidates the Bernoulli equation; (3) volume status and systemic blood pressure are other factors that potentially influence the measurement of PASP and (4) the highest value of RAP in the ASE guidelines is 15 mm Hg, but RAP measured by RHC can exceed 15 mm Hg. In spite of all these shortcomings, several studies have been published in the literature demonstrating the good sensitivity and specificity of ECHO versus RHC. In the REVEAL registry, there was a good correlation in PASP between ECHO and RHC at baseline, even if repeated ECHO measurements alone were not sufficient to monitor changes in PASP or progression of PH. In the first systematic review and meta-analysis addressing the diagnostic accuracy of ECHO in PH by Janda et al, the authors concluded that the correlation of PASP by ECHO compared with PASP by RHC was good with a summary correlation coefficient of 0.70 (95% CI 0.67 to 0.73). Also, the authors showed that the diagnostic accuracy of ECHO in PH was also

Figure 3 Diagnostic algorithm to diagnose pulmonary hypertension in resource-constraint settings. PH, pulmonary hypertension; TB, tuberculosis; PCP, pneumocystis pneumonia; COPD, chronic obstructive pulmonary disease; LHD, left heart disease; ECHO, echocardiography; US, ultrasound; LFT, liver function tests; HRCT, high-resolution CT; CTEPH, chronic thromboembolic pulmonary hypertension; CTPA, CT pulmonary angiography; V/Q, ventilation/perfusion lung scan.
acceptable with a summary sensitivity and specificity of 83% (95% CI 73% to 90%) and 72% (95% CI 53% to 85%), respectively. Additionally, Damy et al. demonstrated in their recent work that PASP when measured in ‘good ECHO hands’ is a strong predictor of mortality. Last but not the least, easily obtainable ECHO parameters like the E/A ratio, DCT and LA size can reliably distinguish between PH due to lung disease and PH due to left heart disease, allowing for the rapid triage of patients with a need for RHC. In summary, ECHO is far beyond a good modality to confirm both the presence and aetiology of PH in the majority of suspected cases when performed and interpreted by the requisite expertise under incorporation of all information obtained during a detailed ECHO assessment. ECHO will always provide incremental information in PH that cannot be obtained using RHC, whether in developed or developing countries.

Data cleaning process and statistical analysis
All study data will be collected on electronic case report forms and stored on a dedicated secure central database. Cases will be reviewed by at least two investigators (FT, AD, KS, AOM) for completeness and data validated. Query reports will be sent to the sites and resolved by the site investigators. If consensus on the WHO classification of PH cannot be reached, a third investigator’s opinion will be requested. Data will then be verified and transferred to SPSS Statistics V.17.0 for all analyses by an independent team at Preventative Health, Baker IDI Heart and Diabetes Institute, Melbourne, Australia. Normally distributed continuous data will be presented as mean ±SD and non-Gaussian distributed variables as median +IQR. Categorical data will be presented as percentages with 95% CI where appropriate. For patient group comparisons, we will use χ² analysis with calculation of ORs and 95% CI (where appropriate) for discrete variables and Student t test and analysis of variance for normally distributed continuous variables. Multiple logistic regression analyses (entry model) will be performed on age, sex and baseline risk factors to derive adjusted ORs for the risk of presenting with PH/RHF overall, chronic lung disease and PH WHO Group 1. Significance will be accepted at the two-sided level of 0.05. ECG analysis will be subject to blinded coding according to the published Minnesota criteria to determine any pathological
abnormalities.43 We aim to adhere to the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for this type of study wherever possible.44

**PAPUCO substudies**

Several substudies have been established within the PAPUCO registry. The ECHO substudy aims to describe in detail the ECHO characteristics of RV function in PH. The HIV-PAH substudy aims to describe the phenotype of HIV-PAH in Africa. The CHD and RHD substudies aim to describe the contribution of CHD and RHD to PH in Africa. In addition, serum will be collected at selected centres for studies on the biomarker profile. The HIV.INFLAME substudy aims to examine the role of inflammatory markers and oxidative stress on the development of HIV-PAH. We hypothesise that HIV-positive patients with PH have a proinflammatory state and raised markers of oxidative stress compared with healthy HIV-positive controls and that increased markers of inflammation and oxidative stress and decreased antioxidant capacity are predictors of outcome and indicators of disease severity.

**Ethics and dissemination**

All PAPUCO centres require ethical approval from their local ethics committee review board. Written informed consent must be obtained from every patient participating in the registry.

Study results will be disseminated in peer-reviewed journals. The first publication will include baseline and 6-month follow-up data from all centres. Substudy publications on the HIV cohort with a 24-month follow-up and the cohort of patients with PH due to left heart disease will be published after recruitment and follow-up has been completed. Laboratory-based research will be published after the work is completed.

### The online data collection platform

A tailor-made database has been developed by **integrafrica research** and **development** to fulfil the study requirements. Open-source technology was used to develop the web-based system that allows investigators to collect, store, analyse, report on and export clinical research data. The interface is simple and user-friendly and leads the user through the data entry process. It anonymises personal patient data: data are stored as electronic case report forms on a secure, encrypted and backed-up server. It provides hierarchical permissions and validation at the point of data entry. Multimedia data formats, that is, scans of ECGs, CXRs and ECHO images, can be uploaded on the platform, allowing the storage of complete clinical records and data together. Tools for education, training and communication are installed within the web-portal. Frequently Asked Questions serve as a guide on how to use the platform. After secure login, documents such as paper case report forms, informed consent forms, study information sheets and patient education sheets on PH are available for download. All data can be exported in various formats for further analysis. The platform has been developed to cater for mobile internet connectivity available in most parts of Africa and a unique research platform far beyond a simple web-based database.

### Recruitment process

Twelve centres have received ethics approval and are currently recruiting actively; an additional six countries are showing interest in joining the registry (**figure 2**). All

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**Table 1** Medical infrastructure at PAPUCO centres—diagnostic equipment and medical services

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CM01, Douala General Hospital, Douala, Cameroon; CM02, Cardiac Centre, Shison Hospital, Kumbo, Cameroon; CM03, Douala Cardiovascular Centre, Douala, Cameroon; CS, cardiac surgery; CTPA, CT pulmonary angiography; CXR, chest X-ray; ECHO, echocardiography; HRCT, high-resolution CT; Lab, laboratory facility for haematology, chemistry, and microbiology; LFT, lung function tests; MZ01, Instituto Nacional de Saúde, Maputo, Mozambique; MZ02, Division of Cardiology, Maputo Central Hospital, Maputo, Mozambique; NG01, Department of Medicine, Federal Medical Centre, Abeokuta, Nigeria; NG02, Department of Medicine, Bayero University and Aminu Kano Teaching Hospital, Kano, Nigeria; NG03, Department of Medicine, College of Medicine, Lagos, Nigeria; NG04, Department of Medicine, University of Uyo Teaching Hospital, Uyo, Nigeria; NG05, Federal Medical Centre, Umunhua, Nigeria; RHC, right heart catheterisation; SA01, Division of Cardiology, Department of Medicine, Groote Schuur Hospital, University of Cape Town, Cape Town, South Africa; SA02, Infectious Diseases Referral Clinic, GF Joelte Hospital, Mannenberg, South Africa and Rapid Assessment Unit, Khayelitsha District Hospital, Khayelitsha, South Africa; V/Q, ventilation/perfusion lung scan; X, equipment/service available at the centre and free-of-charge for the patients; X 1, patients have to cover the costs for the service (out of pocket payments).
centres are government-run public healthcare institutions with different medical infrastructure profiles, and all but one are cardiac centres within tertiary care hospitals (table 1); one centre is an infectious diseases referral clinic in South Africa. A total of 254 patients have been recruited until December 2013 (figure 5).

LIMITATIONS
The PAPUCO registry is an example of a functioning registry in low-resource settings. Limited resources should therefore not be seen as a limitation, but rather as a development opportunity. PAPUCO centres have different levels of medical infrastructure but not all patients will undergo the same rigorous workup in all centres, especially when compared with developed countries. Therefore, the clinical skills of the treating physician are often more important (and valuable!) than an additional diagnostic test in order to pin down the aetiology of PH in a patient. Moreover, patients often have to pay out of their pocket for diagnostic tests, and therefore accessibility to tests is limited due to the financial means of the patient. Although we aim for consecutive patient enrolment at each centre, we understand that this is often not feasible due to the high patient volume and workload of doctors.

SUMMARY
PAPUCO is a contemporary registry on PH in Africa using high international standards to diagnose PH. It will provide new insight on PH in Africa and ultimately improve diagnosis and care of patients. PAPUCO is already a showcase for registry activities in Africa and a vehicle to capacity generation and sustainable development in the healthcare sector. It interconnects health centres far beyond PH.

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Contributors
KS, AOM and FT were responsible for the initial idea, literature review, and study design and planning. All authors have contributed not only to the set-up of the PAPUCO registry, but also to various aspects of the study design with input relating to their specific expertise in the field. FT, AD, AOM, LB, MUS, KMK, OSO, AM, PU, ASI, AD and KS have developed the study protocol. FT and KS wrote the study protocol. FT developed the database. FT, AD, AOM and KS cleaned the database. LB trained the doctors in echocardiography of the heart and developed the Echo protocol. AD trained doctors in Cameroon. OSO trained doctors in Nigeria. SS developed the statistical analysis plan. FT, AD, AOM, MUS, KMK, OSO, IM, AM, PU, KT, RB, AD and KS were substantially involved in data acquisition. All authors read and approved the final manuscript.

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Competing interests None.

Ethics approval All centres received ethics approval from their local ethical committees.

Provenance and peer review Not commissioned; externally peer reviewed.

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