Positive imagery cognitive bias modification (CBM) and internet-based cognitive behavioural therapy (iCBT) versus control CBM and iCBT for depression: study protocol for a parallel-group randomised controlled trial

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ABSTRACT

Introduction: The current randomised controlled trial will evaluate the efficacy of an internet-delivered positive imagery cognitive bias modification (CBM) intervention for depression when compared with an active control condition and help establish the additive benefit of positive imagery CBM when delivered in combination with internet cognitive behavioural therapy for depression.

Methods and analysis: Patients meeting diagnostic criteria for a current major depressive episode will be recruited through the research arm of a not-for-profit clinical and research unit in Australia. The minimum sample size for each group (α set at 0.05, power at 0.80) was identified as 29, but at least 10% more will be recruited to hedge against expected attrition. We will measure the impact of CBM on primary measures of depressive symptoms (Beck Depression Inventory—second edition (BDI-II), Patient Health Questionnaire (PHQ9)) and interpretive bias (ambiguous scenarios test-depression), and on a secondary measure of psychological distress (Kessler-10 (K10)) following the 1-week CBM intervention. Secondary outcome measures of psychological distress (K10), as well as disability (WHO disability assessment schedule-II), repetitive negative thinking (repetitive thinking questionnaire), and anxiety (state trait anxiety inventory-trait version) will be evaluated following completion of the 11-week combined intervention, in addition to the BDI-II and PHQ9. Intent-to-treat marginal and mixed effect models using restricted maximum likelihood estimation will be used to evaluate the primary hypotheses. Clinically significant change will be defined as high-end state functioning (a BDI-II score <14) combined with a total score reduction greater than the reliable change index score. Maintenance of gains will be assessed at 3-month follow-up.

Ethics and dissemination: The current trial protocol has been approved by the Human Research Ethics Committee of St Vincent’s Hospital and the University of New South Wales, Sydney.

ARTICLE SUMMARY

Strengths and limitations of this study
- Clinical controlled trial with diagnostic interviews.
- Limited follow-up (3 months).
- Use of an active comparator control condition.

Trial registration: Australian New Zealand Clinical Trials Registry: ACTRN12613001397774 and Clinicaltrials.gov: NCT01787513. This trial protocol is written in compliance with the Standard Protocol Items: recommendations for Interventional Trials (SPIRIT) guidelines.

BACKGROUND AND RATIONALE

Depression is a global health problem, estimated as the leading cause of burden of all diseases in middle and high-income countries, and to become the leading cause worldwide by 2030.1 The limitations in efficacy and accessibility of current treatments for depression have led to an increasing recognition of the need for treatment innovation, such as development of novel, easier to access and more cost-effective psychological therapies.2 Cognitive science provides one promising avenue for such work. Cognitive models propose that biases in the processing of emotional information contribute to the onset, maintenance and recurrence of depression.3 These biases have traditionally been targeted through psychological therapies such as cognitive behaviour therapy (CBT), which has established efficacy for depression.4 However, research has begun to demonstrate more recently that simple computerised procedures, known as cognitive bias...
modification (CBM) may be used to selectively target biases and lead to significant reductions in symptoms related to depressed mood and depression.6–9

In the CBM paradigm most commonly used for depression (an imagery focused form of interpretation bias training), participants listen to descriptions of everyday situations that start ambiguous as to their potential outcome, but which are consistently resolved positively. While listening to the descriptions, participants are required to imagine themselves in the situation described, through their own eyes (in a ‘field perspective’), as if actively involved in the situation. By repeatedly constraining participants to imagine positive (rather than negative) outcomes for the hundreds of ambiguous situations encountered in the training, this imagery CBM aims to train a bias to automatically imagine positive resolutions for novel ambiguous situations encountered in daily life. Depressed individuals may struggle to imagine positive future events10–11 and tend to interpret ambiguous information negatively rather than positively—a negative interpretation bias,12 and thus the repeated practice of generating positive mental imagery in the context of ambiguity may be particularly helpful in reducing symptoms of depression through targeting these particular processes.13

The preliminary findings investigating imagery CBM in depression suggest that it may have therapeutic benefits when delivered as a standalone intervention.6,7 Alternatively, as experts in the field of CBM research14 have noted that since CBM procedures lend themselves to being delivered remotely, CBM procedures could potentially be combined with existing evidence-based internet programmes such as internet cognitive behavioural therapy (iCBT) to produce optimal therapeutic outcomes. However, combining two interventions targeting similar cognitive processes into a single treatment package may pose unique difficulties as they could potentially interact in a way that cancels out their individual therapeutic benefits.15 Whether it is possible to successfully combine the imagery CBM with iCBT into an efficacious treatment for depression is therefore an important question to evaluate.

In the first trial to evaluate this proposal we16 randomised patients diagnosed with a major depressive episode to an 11-week intervention (CBM+iCBT) or a wait-list control (WLC). Intent-to-treat (ITT) analyses demonstrated significant reductions in primary measures of depressive symptoms and distress (Beck Depression Inventory—second edition (BDI-II), Patient Health Questionnaire (PHQ-9), Kessler-10 (K10)), corresponding to large effect sizes (Cohen’s d=1.85–2.40) and in secondary measures of disability, anxiety and repetitive negative thinking (WHO disability assessment schedule-II (WHODAS-II), state trait anxiety inventory-trait version (STAI-T), repetitive thinking questionnaire (RTQ); Cohen’s d=1.33–2.00). Treatment superiority over the WLC was also evident on all outcome measures (Hedges’ g=0.74–0.98). Sixty-five per cent of patients who completed the combined intervention evidenced clinically significant change (reaching high end-state functioning). Further, analyses demonstrated that the change on the imagery-based interpretation bias test (ambiguous scenarios test-depression (AST-D)) at least partially mediated the relationship between intervention condition and the reduction in depression symptoms following imagery CBM.

Study objectives (rationale and choice of comparators)

Findings from this first trial provide encouraging results of the integration of internet-based technologies into an efficacious and acceptable form of treatment delivery for major depression. However, this trial was limited in that the comparison group was a WLC. In the absence of an active control group, the design of the first trial does not allow us to solely attribute clinical change to the intervention. Effects could be attributed to placebo response or contact with the research team and clinician during the CBM phase, for example. A more rigorous test would be a comparison between the CBM training paradigm and an active control intervention (ie, exposed to identical intervention procedures minus one or more of the active treatment ingredients). Thus, there are clearly numerous possible control conditions which may control for a wide variety of aspects in the intervention from interpretation bias, to imagery, to repeated task effects, ‘placebo’ of taking part in an academic trial and so forth. For example Lang et al.17 investigated a CBM only intervention (without an iCBT component) where individuals diagnosed with major depressive disorder were assigned to one of two groups: a positive imagery CBM or control CBM condition. In the positive imagery CBM condition, all the ambiguous training stimuli were resolved positively. In the control CBM condition, participants received an identical schedule of CBM sessions with the same number of training stimuli. However, in this control condition half of the stimuli were resolved positively and half were resolved negatively (ie, a control for the interpretation bias aspect of the procedure). Individuals receiving the positive imagery CBM demonstrated significant improvements from pretreatment to post-treatment in depressive symptoms, cognitive bias and intrusive symptoms, compared with the active control condition. While providing further support for the clinical potential of imagery CBM in depression, this study also demonstrated that the effects of the programme were not simply due to non-specific effects such as repeatedly engaging in a task or distraction. Further, the study suggested that it was the consistently positive resolution of the imagined scenarios (for positive interpretation bias acquisition), rather than generation of imagery per se, that accounted for the effects of the intervention. To investigate the additive benefit of positive imagery CBM when delivered prior to iCBT, compared with iCBT alone, using an active control for the CBM component of the intervention would similarly allow us to demonstrate that any additional effects of the positive imagery CBM were not simply due to non-specific factors, or any potential ‘active’ components shared with the control condition.
The current SPIRIT-compliant protocol outlines the methodology of a randomised controlled trial (RCT) to establish the efficacy of our internet-delivered positive imagery CBM intervention for depression when compared with an active control condition (CBM control, see below). To establish the additive benefit of positive imagery CBM when delivered in combination with iCBT all patients will complete iCBT (the Sadness Program) following the 1-week CBM intervention (table 1).

**Trial design**
The trial is a randomised controlled superiority trial with two parallel arms using a 1:1 allocation ratio.

**Hypotheses**
On the basis of previous findings we predict that patients randomised to positive imagery CBM will evidence significant reductions on primary measures of depressive symptoms (BDI-II, PHQ9) and interpretive bias (AST-D), and on a secondary measure of psychological distress (K10) following the 1-week CBM intervention. We predict superiority (as indexed by hedges g between-group comparisons) of positive imagery CBM over CBM Control on these measures. Further, we predict that patients randomised to the combined intervention group (CBM+iCBT) will evidence significant reductions in the measures of depression (BDI-II, PHQ9) and psychological distress (K10), as well as disability (WHODAS), repetitive negative thinking (RTQ) and anxiety (STAI) following completion of the combined programme. We further predict that benefits will be maintained at 3-month follow-up. We will also investigate the potential impact of baseline variables on treatment adherence, ratings of acceptability and therapeutic outcomes.

**METHODS**

**Study setting**
The Clinical Research Unit for Anxiety and Depression (CRUfAD) is a not-for-profit joint initiative of St Vincent’s Hospital and the University of New South Wales.
Wales, School of Psychiatry in Sydney, Australia. CRUfAD specialises in the development, evaluation and dissemination of evidence-based CBT programmes through the internet. The mode of internet recruitment and delivery enables potential participants from all Australian states to be eligible to apply for enrolment in the current trial.

Participants and recruitment
Power calculations were informed by calculation of effect size data from Lang et al providing a between-group effect corresponding to Hedges’ g of 0.66. The minimum sample size for each group (α set at 0.05, power at 0.80) was identified as 29, but at least 10% more will be recruited to hedge against expected attrition. Participants will be recruited through the research arm of a not-for-profit clinical and research unit affiliated with St Vincent’s Hospital and the University of New South Wales, Australia. Applicants first complete online screening questionnaires about symptoms and demographic details (see figure 1: participant flowchart). Inclusion criteria are as follows: meet diagnostic criteria for Major Depressive Disorder; internet and printer access; Australian resident; fluent in written and spoken English. Exclusion criteria are as follows: non-resident of Australia; less than 18 or older than 65 years of age; currently receiving CBT for depression; severe depression and (PHQ9>23) or suicidal ideation (PHQ9 item 9=3); drug or alcohol dependence; psychotic disorder or taking atypical antipsychotics or benzodiazepines; if taking medication for anxiety or depression, has been taking the same dose for less than 1 month or intended to change the dose during the course of the programme. Excluded applicants receive information on alternative services and are encouraged to discuss their symptoms with their physician. Applicants who pass the screening phase are telephoned for a diagnostic interview using the Mini International Neuropsychiatric Interview V.5.0.0 (MINI) to determine whether they meet the diagnostic criteria for a major depressive episode. All interviews will be conducted by a registered psychologist trained in the administration of the diagnostic interview. Applicants who satisfy all inclusion criteria will be informed of the study design and will complete an electronic informed consent prior to being enrolled in the trial. All participants are informed in writing that they have the right to withdraw from the study at any time without jeopardising their relationship with St Vincent’s Hospital or the University of New South Wales.

Randomisation
Eligible participants accepted into the programme will be randomised based on an allocation sequence generated by an independent person not involved in the study through a true randomisation process (http://www.random.org). Numbers corresponding to treatment group (1 or 2) will be placed in sealed opaque envelopes with the sequential order number written on each envelope to ensure participants are allocated according to the predetermined sequence. Participants remain blind to treatment group allocation. A member of the research team will open the envelope after all screening procedures have occurred and after the diagnostic interview has been conducted.

Interventions
CBM programme (both positive imagery and control conditions)
There are seven sessions of the imagery-focused CBM programme completed daily over the course of 1 week. Each session is approximately 15–20 min in duration. Participants are required to imagine themselves in the training scenarios, which is not only important for the acquisition of the interpretive bias, but may also remediate the deficit of being unable to imagine a positive future that characterises depression. Prior to session 1 participants complete baseline measures and read an online introduction to imagery generation and practice generating mental images. Session 1 includes 32 training scenarios grouped into four blocks of eight paragraphs. Each subsequent training session includes 64 training scenarios, grouped into eight blocks of eight paragraphs as in. There are a total of 416 different positive training paragraphs, half recorded in a male voice and half in a female voice. Each scenario was digitally recorded and is 10–13 s in audio length. Scenarios are presented stereophonically through headphones or through the participant’s computer speakers. Presentation of each paragraph is followed by a 2 s pause (to imagine the outcome) and an audio ‘beep’ to prompt participants to open their eyes. The paragraphs were designed such that they start out ambiguous as to their potential outcome, which only becomes clear towards the end of the statement. Participants are instructed ‘imagine the scenarios as if you are actively involved, seeing them through your own eyes’. To focus participants on generating imagery, after each training paragraph, they rate the vividness of their imagery (‘How vividly could you imagine the situation that was described?’) on a five-point scale ranging from ‘not at all’ to ‘very’.

Positive imagery CBM
In this version (the intervention condition) all scenarios have a positive resolution. For example: ‘You ask a friend to look over some work you have completed. They come back with some comments, which are all very positive’ (positive resolution in italics), thus a specific learning contingency is established between the ambiguous start of the scenario and the imagined positive resolution.

Control CBM
In this version (the control condition) 50% of the scenarios have a positive resolution and 50% have a negative resolution (e.g., ‘They come back with some comments, which are all critical’), thus no contingency is established
between the start of the scenario and the valence of the imagined final resolution.\(^7\)

**iCBT—The Sadness Program**

The Sadness Program has been evaluated in four previous trials\(^{20-23}\) and a quality assurance effectiveness study conducted in primary care.\(^{24}\) Briefly, the programme consists of six online lessons representing best practice CBT as well as regular homework assignments and access to supplementary resources. Each lesson was designed using a cartoon narrative and included: psychoeducation, behavioural activation, cognitive restructuring, graded exposure, problem solving, assertiveness skills and relapse prevention. Patient queries throughout the programme are primarily addressed by email contact from the clinician or the research support officer. If clinically indicated, or if patients’ K-10 and/or PHQ-9 scores deteriorate, the clinician would make telephone contact. Adherence to the CBM programme is monitored through the computer software that records all data. If a participant misses a session of the CBM programme, a member of the research team will send an email reminder through the Virtual Clinic system or make telephone contact to remind the participant to login to

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**Figure 1** Study flow chart.
complete the session. Adherence is similarly monitored throughout the iCBT programme. Once enrolled, a participant can elect to discontinue at any time. The section for revocation of consent should be forwarded to Professor Gavin Andrews, head of CRUFA D. A participant may be withdrawn from the trial for the following reasons: lack of computer and internet access; change in medication prescribed to treat depression; change in medication status of exclusion medications; suicidality or clinical risk; failure to complete baseline questionnaires; failure to start the CBM programme; failure to complete a minimum of four CBM sessions; failure to complete post CBM programme questionnaires. Lack of adherence throughout the iCBT programme is not a specified reason for participant withdrawal, although a participant may withdraw voluntarily. All reasons for withdrawal status will be documented.

**Primary outcome measures**

**Beck Depression Inventory—second edition**

The BDI-II is a 21-item self-report inventory that measures symptoms of depression. The BDI possesses high internal consistency, with α coefficients of 0.86 and 0.81 for psychiatric and non-psychiatric populations, respectively.

**Patient Health Questionnaire**

The PHQ-9 is a self-report questionnaire corresponding to the DSM-IV diagnostic criteria for major depressive disorders. Each item is rated in frequency on a four-point (0=not at all, 5=nearly every day) scale. Total scores range from 0 to 27 with higher scores reflecting higher levels of psychopathology. A PHQ-9 score of ≥10 is used as a clinical cut-off for probable DSM-IV diagnosis of MDD. The PHQ-9 demonstrates good psychometric properties and has been used extensively to measure treatment outcomes during internet CBT interventions targeting depression and anxiety.

**Kessler-10 psychological distress scale**

The K10 consists of 10 items ranked on a five point scale designed to measure non-specific psychological distress. For the current study, the time-frame was modified to assess psychological distress in the past 2 weeks rather than in the past 30 days. The K10 possesses strong psychometric properties.

The PHQ9, BDI-II and K10 will be administered at baseline, following the 1-week CBM intervention, following the combined intervention and at 3-month follow-up.

**Ambiguous scenarios test-depression**

The AST-D is a measure of mental imagery-based interpretation bias comprised of 12 ambiguous scenarios rated in terms of their emotional valence (1=extremely unpleasant to 9=extremely pleasant). Scores are averaged to form a total score. Participants are asked to imagine each of the scenarios and imagine the event happening to them prior to making their ratings of valence (eg, ‘You buy a new outfit for a party. Other people’s reactions show how you look.’). There are two versions of the AST-D to be presented in counterbalanced order. The AST-D will be collected at baseline, following the 1-week CBM intervention, and at 3-month follow-up.

**Secondary outcome measures**

**WHO disability assessment schedule II**

The WHODAS-II contains 12 items designed to measure disability and activity limitation in the past 30 days in a variety of domains: (1) understanding and communicating, (2) self-care, (3) mobility, (4) interpersonal relationships, (5) work and household roles and (6) community and civic roles. Each of these domains loads significantly onto one underlying latent factor of global disability. Scores range from 0 to 60, with higher scores indicating greater disability. The WHODAS-II demonstrates strong psychometric properties.

**State trait anxiety inventory-trait version**

The STAI-T will be used to index trait anxiety and consists of 20 anxiety-relevant items on which participants rate how they ‘generally feel’ on a 4-point scale. Scores range from 20 to 80 with higher scores indicative of greater anxiety. The STAI-T is widely used in CBM research and has satisfactory reliability and validity.

**Repetitive thinking questionnaire-10**

The RTQ is a 10-item content-independent self-report measure of preservative thinking. Respondents rate the degree to which each item is true (1=Not at all true—5=Very true) in reference to their experience following a recently occurring distressing event. The RTQ demonstrates good psychometric properties in non-clinical and clinical samples.

**Comorbid diagnostic status**

Comorbid Generalised Anxiety Disorder (GAD) and Social Phobia (SP) status will be indexed by the MINI GAD and SP modules conducted at baseline and 3-month follow-up.

**Treatment expectancy and outcomes questionnaire**

At baseline participants will complete two treatment expectancy questions (adapted from Ref. 37 (1) At this point, how logical does the program offered to you seem? (0=’Not at all logical’—4= ‘Very logical’) and (2) At this point, how useful do you think this treatment will be in...
scores indicate good psychometric properties (Cronbach’s α = 0.86–0.89; Jarrett et al43). The CPQ will be administered at baseline and following completion of the combined intervention. The CPQ will be included as a potential moderator based on evidence that clinical perfectionism may impede the successful treatment of depression.41

Skills of cognitive therapy-patient version

The skills of cognitive therapy-patient version (SoCT-P) is an eight-item self-report measure designed to assess patients’ understanding and use of basic cognitive therapy skills.42 Ratings of patients’ skill usage are made on five-point scale (1=never—5=always or when needed). Higher scores reflect greater patient skill in applying cognitive therapy principles and coping strategies. The SoCT-P demonstrates good psychometric properties (Cronbach’s α = 0.86–0.89; Jarrett et al43). The SoCT-P will be administered following completion of the combined intervention. The SoCT-P will be included as a potential moderator of treatment response during iCBT.

Data collection and management

Data for the primary and secondary outcome questionnaires are collected via the Virtual Clinic system software and Key Survey software licensed by UNSW. All information collected by the Key Survey and CBM software is coded with either a participant identification number or an email address to facilitate data-to-patient matching. Clinical information, including diagnostic status using the MINI, is collected by interview via telephone and stored in written format in a secure location at CRUfAD. Any identifiable information that is collected remains confidential, except as required by law. Only members of the site (CRUfAD) research team will have access to participant information and data.

To promote participant retention, participants are reminded that data collection is an important aspect of research that enables the research team to track their progress and to evaluate the programme. Participants are offered one entry into a draw for a gift card valued at $A100 in exchange for the completion of the 3-month follow-up questionnaires. All data will be extracted from the CBM and iCBT software servers in the form of either an SPSS output file or an Excel-compatible file to be transferred to SPSS by a member of the research team. All data will be stored on a secure Virtual Clinic server.

Participants are informed in writing that the research team will plan to publish the results of the trial in peer-reviewed scientific publications and presentations. Participants are informed that in any publication or presentation, information will be provided in such a way to maintain anonymity. All members of the research team who provide intellectual input to the trial design, execution or write-up will be acknowledged as an author on any publication. Participants will be sent (through email) a written summary of the results in lay terms following completion of the trial study phase.

Statistical methods

Significance testing of group differences regarding demographic data and pretreatment measurements will be conducted using analysis of variance and χ² where the variables consist of nominal data. ITT mixed models using restricted maximum likelihood (REML) estimation will be used to account for missing data due to participants drop-outs without assuming that the last measurement is stable (the last observation carried forward post only designs).44 The assumption that data is Missing at Random (MAR) will be evaluated using binary logistic regression to predict drop-outs (0=no drop-out, 1=drop-out) and by comparing these two groups on baseline measures. Significant effects will be followed up with pair wise contrasts comparing pretreatment to post-treatment scores. Complete-case analyses of the primary hypotheses using data from participants who complete all seven sessions of the CBM programme will also be conducted. The effect of potential treatment moderators will be evaluated by including baseline variables of interest as a covariate and interaction term in separate mixed models analyses. Analyses will be performed in SPSS V.21. Effect sizes will be calculated between groups (Hedges’ g) and within groups (Cohen’s d, adjusting for the repeated measures correlation) using the pooled SD and adjusted for sample size. Consistent with previous research,45 clinically significant change will be defined as high-end state functioning (a BDI-II score <14) combined with a total score reduction greater than the reliable change index score (RCI) of 7.16.46 Owing to the high rate of
comorbid anxiety disorders, clinically significant change will also be calculated for the STAI-T based on an RCI of 7.86 in combination with a final score below the recommended cut-score of 45.7. Estimates of indirect effects will be generated using bootstrapping analysis and PROCESS.58

Monitoring
The clinical trials manager of CRUfAD and a member of the research team will oversee data collection and monitoring. An interim analysis will only be conducted to check that the planned number of participants have been retained in the trial following enrolment. Any adverse event will be reported to the head of CRUfAD and to the Human Research Ethics Committee (HREC) of St Vincent’s Hospital, the responsible body for initiating a clinical trial audit.

DISCUSSION
The current RCT will provide a test of the effectiveness of a combined imagery CBM and iCBT intervention in the treatment of current major depression. It will also attempt to replicate the initial successful application of imagery CBM delivered through the internet without face-to-face contact, and establish whether this is superior to a closely matched control version when delivered in this manner.

The choice of control condition is a challenge and raises questions. For example, whether to control for bias, imagery, activity such as engaging in repeated practice of a computer task, or some other aspect. The main aim of the current study was to investigate the efficacy of the combined imagery CBM and iCBT intervention, and the choice of control CBM is a pragmatic choice based on a previous study7 and experimental work,10 albeit in shorter time frames. However, we note that both the positive imagery and this control condition involve generating mental images. It may be that this mental imagery practice in itself (regardless of imagery valence) could enhance the subsequent effects of iCBT, for example, by facilitating access to alternative meanings, or facilitating the kind (of imagery-based) future simulation involved in planning,50 for example, activity scheduling. If this is the case and both conditions improve then it may be interesting to dismantle. Conversely, differential outcomes following the combined intervention would suggest that it is the consistently positive resolution of the imagined scenarios that leads to enhanced outcomes, either by additive or interactive effects of combining imagery CBM and iCBT. Results will therefore inform further clinical trials of the combined intervention and basic research investigating the range of potential treatment mechanisms.

Trial status
This article was submitted on 16 September 2013. To date 96 participants have met eligibility requirements and been randomised to treatment condition. The first round of applications opened on 12 February 2013 and the first participant was enrolled on 13 February 2013. Data collection aims to be completed in March 2014.

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Ethics approval Human Research Ethics Committee (HREC) of St. Vincent’s Hospital and the University of New South Wales.

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