



**Optimizing drug prescribing and dispensing in subjects at risk for drug errors due to renal impairment: a survey on improving drug safety in primary health care by low eGFR alerts.**



Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2012-002068
Article Type:	Research
Date Submitted by the Author:	09-Sep-2012
Complete List of Authors:	Joosten, Hanneke; University of Groningen, University Medical Center Groningen, Internal Medicine Drion, Iefke; Isala Clinics, Diabetes Centre Boogerd, Kees; Pharmacy de Fenix, van der Pijl, Emiel; Pharmacy de Fenix, Slingerland, Robbert; Isala Clinics, Clinical Chemistry Slaets, Joris; University of Groningen, University Medical Center Groningen, Internal Medicine Jansen, Tiele; GP Practice de Fenix, Schwantje, Olof; GP practice Assendorp, Gans, Rob; University of Groningen, University Medical Center Groningen, Internal Medicine Bilo, Henk; Isala Clinics, Diabetes Centre; University of Groningen, University Medical Center Groningen,, Internal Medicine
<b>Primary Subject Heading</b>:	General practice / Family practice
Secondary Subject Heading:	Epidemiology, Health services research, Pharmacology and therapeutics
Keywords:	CLINICAL PHARMACOLOGY, Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Risk management < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Nephrology < INTERNAL MEDICINE, PRIMARY CARE

SCHOLARONE™  
Manuscripts

**Optimizing drug prescribing and dispensing**  
**in subjects at risk for drug errors due to renal impairment:**  
*improving drug safety in primary health care by low eGFR alerts.*

Hanneke Joosten, Iefke Drion, Kees J. Boogerd, Emiel V. van der Pijl, Robbert J. Slingerland, Joris P.J. Slaets, Tiele J. Jansen, Olof Schwantje, Reinold O.B Gans, Henk J.G. Bilo

University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Hanzeplein 1, PO Box 30.001, 9700 RB Groningen, The Netherlands, *consultant in internal medicine*, Isala Clinics, Diabetes Centre, Dokter van Heesweg 2, 8025 AB Zwolle, The Netherlands, *research fellow*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Department of Clinical Chemistry and Laboratory Medicine, Isala Clinics, Zwolle, The Netherlands, *clinical chemist*, University of Groningen, University Medical Centre Groningen, University Center for Geriatric Medicine, Groningen, The Netherlands, *professor in geriatric medicine*, GP Practice de Fenix, Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *general practitioner*, GP Practice Assendorp, Assendorperdijk 70, 8012 EH Zwolle, The Netherlands, *general practitioner*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands, *professor in internal medicine*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands and Isala Clinics, Diabetes Center and Department of Internal Medicine, Zwolle, The Netherlands, *professor in internal medicine*.

*Correspondence to:*

Hanneke Joosten, [j.m.h.joosten@umcg.nl](mailto:j.m.h.joosten@umcg.nl)  
Phone: 0031(0)50 361 2350  
Fax: 0031(0)50 361 90 69

*Wordcount:* 3143 words

*Keywords:* Adverse Drug Events, Pharmacists, Primary Care, Health care quality improvement, Alerts

## Authorship, disclosures and funding

**Hanneke Joosten**; authorship included collection and analysis of the data and drafting the manuscript. Dr. Joosten reports no disclosures.

**Iefke Drion**; authorship included collection of the data and revising the manuscript. Iefke Drion reports no disclosures.

**Kees J. Boogerd**; authorship included the design and conceptualisation of the study, interpretation of the data and revising the manuscript. Kees J. Boogerd reports no disclosures.

**Emiel V. van der Pijl**; authorship included the conceptualisation of the study, interpretation of the data and revising the manuscript. Emiel V. van der Pijl reports no disclosures.

**Robbert J. Slingerland**; authorship included the design of the study and revising the manuscript. Robbert J. Slingerland reports no disclosures.

**Joris P.J. Slaets**; authorship included revising the manuscript. Dr. Slaets reports no disclosures.

**Tiele J. Jansen**; authorship included the design and conceptualisation of the study and revising the manuscript. Tiele J. Jansen reports no disclosures.

**Olof Schwantje**; authorship included the design and conceptualisation of the study and revising the manuscript. Olof Schwantje reports no disclosures.

**Reinold O.B. Gans**; authorship included revising the manuscript. Reinold O.B. Gans reports no disclosures.

**Henk J.G. Bilo**; authorship included the design and conceptualisation of the study, interpretation of the data and revising the manuscript. Dr. Bilo reports no disclosures.

All authors included fulfill the criteria of authorship, as detailed above.

All authors had full access to all of the data (including statistical reports and tables) in the study and can take responsibility for the integrity of the data and accuracy of the data analysis.

**Funding sources:** none.

**Data Sharing Statement:** There is no additional data available.

**Article summary**

**Objectives-** (defined as an estimated glomerular filtration (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>)

**Article focus**

- To evaluate the number of subjects with at risk for drug errors due to renal impairment (eGFR $\leq 40$  ml/min/m<sup>2</sup>) in a primary care setting.
- To assess the risk of medication errors in subjects with renal impairment.
- To evaluate the effectiveness of automatic eGFR $\leq 40$ -alerts and drug reviews involving community pharmacists.

**Key messages**

- Extending renal laboratory data towards pharmacists in primary care revealed a considerable number of subjects at increased risk for ADEs due to renal impairment
- The introduction of eGFR alerts resulted in valuable drug adjustment proposals of community pharmacists towards prescribing physicians, with good acceptance rate
- Implementation of this simple protocol could identify many pADEs and thus substantially reduce the risks of unnecessary iatrogenic damage in subjects with impaired renal function

**Strenghts/limitations**

- Implementation in clinical practice possible in various health care settings
- Increased collaboration with community pharmacists improved health care safety and awareness on drug errors related to renal function impairment in primary care
- Extending the availability of laboratory renal data which were not shared formerly is relatively easy to achieve with low costs
- Effect of eGFR alerts on the incidence of adverse drug events could not be not measured
- Study design does not allow determining individual health care effects, nor overall cost-benefits of this health care safety strategy

## Abstract

**Objectives-** To assess the risk of medication errors in subjects with renal impairment (defined as an estimated glomerular filtration (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of automatic eGFR $\leq 40$ -alerts relayed to community pharmacists.

**Design-** Clinical survey.

**Setting-** The city of Zwolle, The Netherlands with a primary care setting including 22 community pharmacists and 65 general practitioners.

**Participants-** All adults who underwent creatinine measurement triggering an eGFR $\leq 40$ -alert.

**Primary and secondary outcome measures-** Total number of subjects with an eGFR $\leq 40$ -alert within a year and amount of medication errors related to renal impairment. Type and number of proposed drug adjustments by the community pharmacist and subsequent physician's acceptance rate. Classification of all medication errors on the potential to cause an ADE and the occurrence of ADEs one year after the introduction of eGFR $\leq 40$ -alerts.

**Results-** Creatinine measurements were performed in 25929 adults and in 5.3% (n=1369) of these subjects an eGFR  $\leq 40$ -alert was identified. This group had a median [IQR] age of 78 [69,84] years and in 73% polypharmacy ( $\geq 5$  drugs) was present. In 15% (n=211) of these subjects a medication error was detected. The proportion of errors increased with age. Pharmacists proposed 342 drug adjustments; mainly concerning diuretics (22%) and antibiotics (21%). Physicians' acceptance rate was 66%. Of all medication errors 88% was regarded as potential ADE, mainly classified as significant or serious. At follow-up, ADE risk (n=40) appeared highest when proposed adjustments in drug regimen were not implemented (38% versus 6%).

**Conclusions-** The introduction of automatic eGFR-alerts identified a considerable amount of subjects at risk for ADEs due to renal impairment. Nationwide implementation of this simple protocol could identify many potential ADEs and thus substantially reduce the risks of unnecessary iatrogenic damage in subjects with impaired renal function.

**Introduction**

Safe medication management is an important health-care topic. Medication errors are a significant source of iatrogenic patient harm.<sup>1-7</sup> Adverse drug events (ADEs) are injuries secondary to medication errors (Figure 1). Various factors are associated with ADEs, including patient characteristics, lack of medication monitoring and prescription errors.<sup>4-6,8</sup> Studies on medication-related hospital admissions estimated that 21-91% of admissions were potentially preventable.<sup>1,6,9,10</sup> Important patient determinants for ADEs are increasing age, female gender, polypharmacy, noncompliance and co-morbidities like cognitive dysfunction or renal impairment.<sup>1-4,7,8,10</sup>

Renal impairment is a well-known risk factor for ADEs, but often remains unrecognized for prescribing physicians and pharmacists.<sup>11-14</sup> Even in high-risk patients like diabetics and elderly health care workers are not always alert.<sup>15-17</sup> Various studies reported considerable dosing difficulties and subsequent medication errors in patients with renal impairment.<sup>10,12,17-19</sup> Therefore, intensified collaboration between health care workers (like general practitioners, pharmacists, nephrologists and other hospital-based physicians) is recommended with exchange of relevant patient information (medical history and co-morbidities) and more effective use of routinely collected data from electronic patient records (e.g. like laboratory results related to renal function).<sup>2,6,20-23</sup>

In this 1-year observational study, we aimed to evaluate the number of subjects at risk for medication errors due to renal impairment (defined as an estimated glomerular filtration rate (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of automatic eGFR  $\leq 40$ -alerts towards community pharmacists in a shared pharmaceutical care model. In addition, we classified all medication errors on the potential to cause an ADE and evaluated after 1 year the number of ADEs in those with a medication error.

## Materials and methods

### Setting

This study was conducted in a city in the Netherlands (Zwolle) with over 89.000 adult inhabitants.<sup>24</sup> All primary care pharmacies (n=11) and general practitioners' (GP) practices (n=24) in the city agreed to participate. Their characteristics are shown in Table 1. Dutch patients are generally registered at one single pharmacy and GP practice, which promotes continuity of care and reliable information regarding individuals' drug use. Secondary care in this region is delivered by the Isala Clinics, a 1000+ bed teaching-hospital in Zwolle. In this city all standard laboratory investigations requested from both primary and secondary care are performed in one laboratory, using a single electronic system for data handling.

### Design and case-finding

This prospective observational study was conducted between February 1<sup>st</sup> 2009 and January 31<sup>st</sup> 2010. During this period, all consecutive adult inhabitants of Zwolle in whom a serum creatinine was measured and had an eGFR at or below the cut-off point of 40 ml/min/1.73 m<sup>2</sup> were identified, irrespective of the reason for laboratory testing. This threshold was based on guidelines advising dosage adjustment in renal impairment.<sup>25,26</sup> Each week the laboratory automatically reported on patients with an eGFR  $\leq$  40 ml/min/1.73 m<sup>2</sup> to the pharmacists.

### Study protocol

A predefined protocol was followed after the pharmacist received a report on an eGFR  $\leq$  40 ml/min/1.73 m<sup>2</sup> (Figure 2). First, the patients' pharmacist checked the actual drug regimen for current medication errors related to renal impairment. Numbers and types of errors were registered. Medication errors were based on Dutch Pharmacists guidelines including 'the National Formulary on drug prescribing in renal impairment' and the 'National Shared Care Guidelines on Chronic Kidney Disease (CKD)'.<sup>25,26</sup> Second, the pharmacist alerted the prescribing physician (GP or clinician) on the low eGFR and, if appropriate, an adjusted drug regimen was proposed. Pharmacists contacted prescribing physicians by telephone or (if unreachable) by email. Finally, an alert warning for a low eGFR (eGFR $\leq$ 40-alert) was activated in the patient's pharmacy record. This eGFR $\leq$ 40-alert then appeared after that with every new prescription. After this first laboratory notification, follow-up eGFR results were also reported to the pharmacists. When an eGFR

recovered well beyond the cut-off value during follow-up (specified as an eGFR >50 ml/min/1.73m<sup>2</sup>), the eGFR≤40-alert was removed from the pharmacy record.

The study was approved by the local medical ethics committee and conducted in accordance with the guidelines of the Declaration of Helsinki. All pharmacists and GPs informed their patients about the study through flyers, issued both at the pharmacies and at the GP practices. The patient-folder and website of the Isala Clinics also contained information about the stepwise eGFR≤40-alert protocol, sharing of laboratory data and medication monitoring. Because the protocol was designed to improve medication safety, the study had an opt-out policy. Thus, subjects who expressed not to participate in this pharmacovigilance study were excluded from the weekly reporting. It should also be emphasized that the final decision about (not) changing the drug regimen after an alert (and informing the patient) was considered to be the responsibility of the prescribing physician.

**Definitions and calculations**

Serum creatinine was measured with an enzymatic essay (Modular, Roche, Mannheim, Germany) and eGFR was calculated with the enzymatic MDRD formula.<sup>27</sup> Drug inclusion was restricted to drugs prescribed by health care professionals, excluding topical or over the counter (OTC) medicines. Actual drug use was assessed by documenting all current prescriptions according to the Anatomical Therapeutic Chemical (ATC) classification system<sup>28</sup> at the moment of the first eGFR≤40-alert. Polypharmacy was defined as chronic (>1 year) use of ≥5 drugs.

**Data collection**

For all identified subjects with an eGFR ≤40-alert, demographics and information on drug use were collected. All proposed drug adjustments were recorded including the patient's medical record number, pharmacist, type and daily dose of drugs, prescribing physician (GP or clinician). Also the physician's response to the proposed adjustment was recorded. Finally, the pharmacists' time spent on every eGFR≤40-alert was documented.

**Classification and tracking of (potential) adverse drug events**

To evaluate the impact of eGFR≤40-alerts two pharmacists (EP and KB) independently evaluated all medication errors on the potential to cause an ADE (defined as a potential ADE (pADE)) using a methodology developed for classification of medication errors and (p)ADEs.<sup>29</sup> Figure 1 clarifies the



relationship between these terms. They judged and classified the theoretical severity of the medication error, yielding a score of 0-4 (0=drug error without significant harm, 1=potentially significant, 2=potentially serious, 3=potentially life threatening, 4=potentially fatal) (Table 2). To reach consensus, all discrepant ratings were discussed with two nephrologists (HB and HJ). Examples of pADE classification are listed in Table 2. The best assessment of the number of ADEs proved to be from documentation on ADEs in the hospital records.<sup>30</sup> Therefore, one year after the end of the study, we checked hospital-records of all subjects in whom a medication error was detected in order to track whether ADEs had occurred.

### Data analysis

The main outcome measures were the incidence of eGFR $\leq$ 40-alerts, the number and type of medication errors and the amount and type of drug adjustments proposals. Secondary outcome measures were the time required for pharmacists to process the eGFR $<$ 40-alerts, the adherence of physicians towards the proposed drug adjustments, risk factors for medication errors and the severity of medication errors. In addition, after one year follow-up, we checked the incidence of ADEs in subjects in whom a medication error was detected. Statistical analysis was performed with SPSS version 16.0 (SPSS Inc., Chicago, IL, USA.) Data are presented as mean and standard deviation (SD) when normally distributed. Otherwise, median and interquartile range [IQR] were used. For normally distributed data, differences in baseline characteristics were evaluated with the independent samples *t*-test. For nonparametric data Mann-Whitney *U* test was used. Differences in distribution were calculated by chi-square tests.

**Results**

**Incidence of eGFR<40-alert and characteristics of the study population**

During the study period 46781 creatinine measurements were performed in 25929 adult inhabitants of Zwolle. In 5.3% (n=1369) of these subjects an eGFR  $\leq$ 40-alert was identified. One patient indicated no willingness to participate for privacy reasons, leaving 1368 subjects for analysis (Figure 2). Characteristics are summarized in Table 3. Overall, 56% was female, median age was 78 [69,84] years (age distribution is shown in Figure 3) and median eGFR was 34 [27,38] ml/min/1.73m<sup>2</sup>. Overall, polypharmacy was present in 73% (n=993) with a mean number of drugs per patient of 7 (range 0-21). An overview of the actual medication use in the study population (which reflects comorbidities) according to the ATC classification is given in *appendix 1*.

**Number and type of medication errors**

Overall, 342 medication errors were detected in 211 patients with an eGFR  $\leq$ 40-alert (15% of the study population) (Figure 2). The proportion of errors increased with higher age (Figure 3). Figure 4 summarizes the drug groups associated with medication errors. Most errors concerned diuretics (22%) and antibiotics (21%), followed by anti-gout medication (15%). The majority of these drugs (77%) were prescribed by GPs.

**Proposed drug adjustments and physicians' adherence**

Figure 5 gives an overview of the frequency and types of proposed drug adjustments. The most common advices were 'change drug dosage' (55%), followed by 'stop drug' (24%). In 31% (n=105) the proposal concerned a new prescription. Physicians complied with the proposal in 66% (n=226). In 28% (n=96) the pharmacists' advice was rejected and the medication regimen remained unchanged. The main reasons for rejection were already increased alertness with intensive monitoring by the prescribing physician (often being an internist or nephrologist deliberately prescribing the concerning drug) and an insufficient response to lower dosages in the past. In some cases recovery of acute renal impairment was expected or underestimation of renal function (both generally checked with a 24-hour creatinine clearance) was presumed. Notably, in 22 out of the 96 cases drug regimen was changed a short time later due to further decrease of eGFR or an ADE. Thus, from the latter it seems plausible that with the eGFR <40-alert the

physician's awareness on ADE risks was triggered. Data on rejection or agreement lacked in 6% (n=20) of the proposals.

### **Potential risk factors for medication errors in patients with eGFR<40 alerts**

Compared to the subjects without medication errors (n=1157), subjects with drug adjustments proposals (n=211) were more often female (59% versus 41%,  $p=0.04$ ) and had a lower eGFR (median 34 [28,38] versus 29 [2,34] ml/min/1.73m<sup>2</sup>,  $p<0.001$ , respectively). Notably, the latter had higher rates of polypharmacy (70 versus 89%,  $p<0.001$ , mean number of drugs 6.6 (3.8) versus 8.2 (3.5),  $p<0.001$ ).

### **Effectiveness: potential ADEs and occurrence of ADEs after follow up**

Overall, 88% (n=299) of the medication errors were regarded as relevant pADEs (score>0). These were mainly judged to be either significant or serious. An overview of the number and potential severity of pADEs in the study population is given in Figure 2.

Overall, 40 ADEs were tracked within one year in the group of subjects with a medication error, including two life-threatening ADEs (bradycardia due to digoxin intoxication and acute kidney injury with lactic acidosis associated with persistent metformin use). The number and severity of ADEs are shown in Figure 2. Importantly, the ADE risk was higher in subjects whose drug regimen remained unchanged (n=60) as compared to subjects whose drug regimen was adjusted as proposed by the pharmacist (n=139); 38% versus 6%, respectively (Table 4).

### **Effectiveness: workload and time investment of pharmacists**

After receiving an eGFR≤40-alert, the pharmacist needed on average 11 minutes (range 5-13 minutes) to check an individual's drug regimen on medication errors. When taking into account the time needed for consultation of the prescribing physician, pharmacists required on average 20 minutes processing one eGFR≤40-alert triggering a drug adjustment. All pharmacists judged the time investment as feasible in daily practice, mainly because on average every pharmacy received only one alert per week.

Overall, 904 eGFR≤40-alerts were activated in the records of the participating pharmacies after one year, as 16% (n=214) of the population died during the study period and in 250 subjects the most recent eGFR was at least twice >50 ml/min/1.73m<sup>2</sup>. Thus, on average, every primary care

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

pharmacy had 82 patients with an activated eGFR $\leq$ 40-alert. If we translate this to a standard Dutch GP practice ( $\pm$ 2300 patients), simple laboratory data sharing identified approximately 23 patients per practice who need drug adjustment(s) or extra alertness in medication management.

For peer review only

## Discussion

The main findings of this study were that an eGFR  $\leq 40$ -alert was identified in 5.3% of the adult population of a Dutch city in whom a creatinine measurement was performed and that in these subjects 342 medication errors (mainly involving antibiotics and diuretics) were detected one year after the introduction of an automatic eGFR $\leq 40$ -alert system. The majority of medication errors was regarded as a relevant pADE, necessitating drug adjustment according to pharmacists' protocols. Physicians complied with 66% of these proposals. ADE risk seems to increase with age, polypharmacy and when proposed drug adjustments are rejected initially. Overall, automatically generated low eGFR-alerts in primary care seemed effective, easy to implement and, importantly, improves pharmacists' and physicians' awareness on drug safety.

## Comparison with other studies

Despite the fact that primary care settings account for the majority of drug prescribing and dispensing, most studies on (p)ADEs are hospital-based.<sup>3,9,10</sup> We aimed to study the incidence of (p)ADEs in a shared pharmaceutical care model with a central role for community pharmacists. Three primary health care studies on this topic reported lower pharmacist drug proposal rates (0.7-1.9%) than the reported 15% in our study.<sup>31-33</sup> These studies were performed in a general population, while we selected a high-risk population of subjects with renal impairment. In line with our results, primary and ambulatory care studies evaluating pharmacists' drug proposals in vulnerable subgroups like elderly or subjects with cardiovascular risk factors also reported higher rates.<sup>12,17,34,35</sup> One recent study, also concerning subjects with renal impairment, identified drug-related problems due to inappropriate prescriptions in 20% of the patients.<sup>18</sup>

Patients with renal impairment are especially vulnerable to medication errors.<sup>12,13,18</sup> Various strategies to improve drug safety in these patients have been studied, like educational wards rounds, immediate clinician-pharmacist feedback or dose adjustment according to renal function at hospital discharge.<sup>12,18,36-41</sup> But despite the fact that the majority of drug prescriptions take place in primary health care, most strategies so far were tailored to hospital settings and therefore not suitable for primary care settings. Others have demonstrated the effectiveness of 'computerized physician order entry' and 'clinical decision support' in reducing medication errors in renal impairment.<sup>38-40</sup> However, computerized drug prescribing alerts do not always guarantee a

reduction of prescribing errors,<sup>42</sup> partly because such alerts are often overridden or ignored by prescribing physicians.<sup>40,43-45</sup> This phenomenon is also reflected in our data, as in 28% drug proposals were rejected by the prescribing physicians.

A central role for community pharmacists in improving medication safety in primary care has been recognized. Many pharmacists are gradually extending their role as an integral part of the medical team around the patient, thus taking an important position in a shared care environment.<sup>21,46,47</sup> This is not only induced by legislative issues,<sup>21,25</sup> but also recommended in different guidelines and studies to counteract problems associated with multiple (drug) prescribers.<sup>20,26,32,47</sup> This is also important in view of our ageing population in which complex drug therapy will only increase, polypharmacy is common and renal impairment omnipresent.<sup>48,49</sup> A recent review showed notable differences in ADE prevalence by age groups increasing from 5% for adults up to 16% for elderly.<sup>7</sup> Thus, in case of complex drug prescriptions (like in renal impairment) close collaboration of community pharmacists and physicians seems essential to prevent ADEs and our method could be a simple initiative for this.

Our strategy included three steps to reduce medication errors in patients with renal impairment. First, automatic laboratory alerts were generated, second these laboratory alerts were linked to pharmacy data to judge the need for drug adjustments and third pharmacists discussed drug proposals with physicians. Several studies investigated the impact of any the above steps. The introduction of automatically recorded laboratory alerts on renal impairment directly towards prescribing physicians had varied impact.<sup>40,50,51</sup> Authors suggested that passive alerts were not directive enough for physicians. Data on extending alerts towards community pharmacists are however limited. Others showed that drug dosage could benefit from the linkage of pharmacy data with laboratory renal data.<sup>12,41,52</sup> We aimed to optimize medication safety in renal impairment by combining these above steps and tailored our strategy to primary care.

**Implications for clinical practice**

The estimated prevalence of both moderate (30-59 ml/min/1.73m<sup>2</sup>) and severe (15-29 ml/min/1.73m<sup>2</sup>) renal insufficiency in the adult American and Dutch population is 4.5% and 5.3% respectively.<sup>26,53</sup> Thus, the number of subjects potentially susceptible to renal drug errors is substantial. If we compile our pADE-rate towards nationwide figures (based on 12,500,000 adults in the Netherlands), our type of data sharing could intercept more than 40,000 potential ADEs

related to renal impairment each year. This would undoubtedly increase health care safety with already available data and decrease costs of ADE related morbidities. Drug safety management might be further improved by extending patient data exchange towards other important parameters, like drug allergies, platelet count, electrolyte concentrations, INR, liver enzymes and plasma drug levels.

### Strengths and weaknesses of the study

Some limitations of this study have to be noted. First, our study design does not allow determining individual health care effects, nor overall cost-benefits. However, participating GPs and pharmacists indicated that the protocol improved their awareness on drug errors related to renal function impairment. Second, data on the incidence of ADEs before start of the study project were not available in our region; therefore a possible change in ADEs incidence as a result of our interventions cannot be determined. Besides, the incidence of ADEs is likely underestimated due to underreporting, missed recognition and lack of recording in daily clinical practice.

Our study also has several strengths. First, our intervention is easily implementable in various health care settings. We simply extended the availability of laboratory renal data which were not shared formerly. Second, physicians valued the pharmacists' involvement in improving health care delivery. Acceptance of recommendations was fairly good (67%), as compared to previous studies (24-82%)<sup>17,32,34,38,50</sup> and our prescription ratio between GPs and hospital-based physicians (77:23%) reflects the normal distribution of prescriptions in the Netherlands (82:18%).<sup>54</sup> Third, time investment was acceptable and costs were low. Finally, we chose for a safe, but also feasible threshold for kidney function alerts. Some guidelines advice a higher cut-off point for dose adjustments (creatinine clearance 50-60 ml/min),<sup>11,55</sup> but this was expected to result in an amount of alerts exceeding an acceptable workload. Moreover, as the MDRD tends to underestimate true GFR and we presumably already included subjects with true GFR >40ml/min.<sup>56</sup>

### Conclusions and policy implications

In conclusion, the introduction of automatic renal function alerts towards pharmacists in primary care revealed that a considerable number of subjects is at risk for ADEs due to renal impairment. Extending the availability of renal laboratory data towards community pharmacists resulted in a considerable amount of drug adjustment proposals to prescribing physicians. In our opinion nationwide implementation of this simple protocol could identify many pADEs and thus

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

substantially reduce the risks of unnecessary iatrogenic damage in subjects with impaired renal function.

For peer review only



## Acknowledgments

We would like to thank all community pharmacists, the dispensary employees and all general practitioners in Zwolle for their participation in this study. We would also like to acknowledge Anton Huisman (laboratory staff) and Ellen Witteveen (medical student) who both took care of data collection and Marcel Ariës (neurologist) for his careful comments on the manuscript.

For peer review only

**Table 1 | Characteristics of participating pharmacists and GPs, and their practices**

	Characteristics	Pharmacists	GPs
<b>Participants</b>			
	Number (%)	22 (100)	65 (100)
	Sex, n (%)		
	male	9 (40)	42 (65)
	female	13 (60)	23 (35)
	Years in practice, n (%)		
	0-10	10 (45)	25 (39)
	11-20	9 (41)	15 (23)
	21-30	0 (0)	21 (32)
	>30	3 (14)	4 (6)
	Position in practice, n (%)		
	(joint) owner	6 (27)	45 (70)
	employee	16 (73)	20 (30)
<b>Practice</b>			
	Number (%)	11 (100)	24 (100)
	Practice type, n (%)		
	independent	9 (80)	-
	chain	2 (20)	-
	Overall number of patients, n	114.033	117.147
	Practice size, median [IQR]	10.000 [7.000, 14.000]	3426 [2691, 6586]
	Prescription system, n (%)		
	computer-based	11 (100)	24 (100)

IQR=Interquartile Range; GP=general practitioner

**Table 2 | Categories of potential adverse drug events (pADEs) according to severity**

Score	Potential severity	Examples
<b>0</b>	<i>Drug error without potential harm</i>	<ul style="list-style-type: none"> <li>• Not applicable</li> </ul>
<b>1</b>	<i>Significant</i>	<ul style="list-style-type: none"> <li>• Gastro-intestinal complaints</li> <li>• Therapeutically ineffective dose according to eGFR</li> <li>• Mild neurological effects (e.g. motoric dysfunction)</li> <li>• Hepatic dysfunction</li> <li>• Any significant event identified by patient which does not require change in therapy</li> </ul>
<b>2</b>	<i>Serious</i>	<ul style="list-style-type: none"> <li>• Hypoglycemia</li> <li>• Nephrotoxicity or increased risk nephrolithiasis</li> <li>• Electrolyte disturbances (e.g. hyperpotassiemia)</li> <li>• Altered mental status due to sedation</li> <li>• Myopathy or rhabdomyolysis</li> <li>• Gastrointestinal bleed</li> </ul>
<b>3</b>	<i>Life threatening</i>	<ul style="list-style-type: none"> <li>• Lactic acidosis</li> <li>• Cardiac arrhythmia</li> <li>• Decline in mental status with risk of falling</li> <li>• Respiratory failure requiring intubation (e.g. bronchospasms)</li> </ul>
<b>4</b>	<i>Fatal</i>	<ul style="list-style-type: none"> <li>• Death</li> </ul>

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Table 3 | Characteristics of the study population**

Variable	
Number of subjects, n (%)	1368 (100)
Demographics	
Age (years), median [IQR]	78 [69,84]
Male, n (%)	601 (44)
Diabetes, n (%)	346 (25)
Renal variables	
eGFR (ml/min/1.73m <sup>2</sup> ), median [IQR]	34 [27,38]
Serum creatinine (µmol/ml), median [IQR]	152 [128,186]
Actual drug regimen	
Number of drugs, median [IQR]	7 [4,9]
Polypharmacy, n (%)	993 (73)

*IQR=interquartile range; eGFR=estimated glomerular filtration rate*

**Table 4 | Adverse drug event (ADE) risk in subjects with a medication error.**

Comparison of ADE risk in subjects whose drug regimen was adjusted as proposed by the pharmacist versus subjects in whom drug regimen remained unchanged.

Subjects with medication error	Potential ADE (n=211)	ADE (n=34)	ADE Risk
<b>Drug regimen adjusted</b> (number of subjects)	139	9	With intervention: <b>6%</b>
<b>Drug regimen unchanged</b> (number of subjects)	60	23	Without intervention: <b>38%</b>
<b>Unknown</b> (number of subjects)	12	2	-

REFERENCES

1. Leendertse AJ, Egberts AC, Stoker LJet al; HARM Study Group. Frequency of and risk factors for preventable medication-related hospital admissions in the Netherlands. *Arch Intern Med* 2008;168:1890-6.

2. Patel P, Zed PJ. Drug-related visits to the emergency department: how big is the problem? *Pharmacotherapy* 2002;22:915-23.

3. Beijer HJ, de Blaey CJ. Hospitalisations caused by adverse drug reactions (ADR): a meta-analysis of observational studies. *Pharm World Sci* 2002;24:46-54.

4. Gandhi TK, Weingart SN, Borus J, et al. Adverse drug events in ambulatory care. *N Engl J Med* 2003;348:1556-64.

5. Gurwitz JH, Field TS, Harrold LR, et al. Incidence and preventability of adverse drug events among older persons in the ambulatory setting. *JAMA* 2003;289:1107-16.

6. Thomsen LA, Winterstein AG, S ndergaard B, et al. Systematic review of the incidence and characteristics of preventable adverse drug events in ambulatory care. *Ann Pharmacother* 2007;41:1411-26.

7. Tach  SV, S nnichsen A, Ashcroft DM. Prevalence of adverse drug events in ambulatory care: a systematic review. *Ann Pharmacother* 2011;45:977-89.

8. Guthrie B, McCowan C, Davey P, et al. High risk prescribing in primary care patients particularly vulnerable to adverse drug events: cross sectional population database analysis in Scottish general practice. *BMJ* 2011;342:d3514.

9. Bates DW, Cullen DJ, Laird N, et al; for the ADE Prevention Study Group. Incidence of adverse drug events and potential adverse drug events: implications for prevention. *JAMA* 1995;247:29-34.

10. Hug BL, Witkowski DJ, Sox CM, et al. Occurrence of adverse, often preventable, events in community hospitals involving nephrotoxic drugs or those excreted by the kidney. *Kidney Int* 2009;76:1192-8.

11. Munar MY, Singh H. Drug dosing adjustments in patients with chronic kidney disease. *Am Fam Physician* 2007;75:1487-96.

12. Yap C, Dunham D, Thompson J, et al. Medication dosing errors for patients with renal insufficiency in ambulatory care. *Jt Comm J Qual Patient Saf* 2005;31:514-21.

13. Minutolo R, De Nicola L, Mazzaglia G, et al. Detection and awareness of moderate to advanced CKD by primary care practitioners: a cross-sectional study from Italy. *Am J Kidney Dis* 2008;52:444-53.

14. Fink JC, Brown J, Hsu VD, et al. CKD as an underrecognized threat to patient safety. *Am J Kidney Dis* 2009;53:681-8.

15. Stevens LA, Fares G, Fleming J, et al. Low rates of testing and diagnostic codes usage in a commercial clinical laboratory: evidence for lack of physician awareness of chronic kidney disease. *J Am Soc Nephrol* 2005;16:2439-48.

16. Hu KT, Matayoshi A, Stevenson FT. Calculation of the estimated creatinine clearance in avoiding drug dosing errors in the older patient. *Am J Med Sci* 2001;322:133-6.

17. Patel HR, Prunchnicki MC, Hall LE. Assessment for chronic kidney disease service in high-risk patients at community health clinics. *Ann Pharmacother* 2005;39:22-7.

18. Desrochers JF, Lemieux JP, Morin-B langer C, et al. Development and validation of the PAIR (Pharmacotherapy Assessment In chronic Renal disease) criteria to assess medication safety and use issues in patients with CKD. *Am J Kidney Dis* 2011;58:527-35.

19. Franke L, Avery AJ, Groom L, et al. is there a role for computerized decision support for drug dosing in general practice? A questionnaire survey. *J Clin Pharm Ther* 2000;25:373-7.

20. Kuo GM, Buckley TE, Fitzsimmons DS, et al: Collaborative drug therapy management services and reimbursement in a family medicine clinic. *Am J Health-Syst Pharm* 2004;61:343-54.

21. Chen YF, Neil KE, Avery AJ, et al. Prescribing errors and other problems reported by community pharmacists. *Ther Clin Risk Manag* 2005;1:333-42.

22. Molokhia M, Curcin V, Majeed A. Improving pharmacovigilance. Use of routinely collected data. *BMJ* 2010 May 4;340:c2403.
23. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;163:893-900.
24. Centraal Bureau voor de Statistiek (CBS). [www.cbs.nl](http://www.cbs.nl). Entry date 01-12-2011.
25. Koninklijke Nederlandse Maatschappij ter bevordering der Pharmacie (KNMP). Verminderde nierfunctie; doseringsadviezen voor geneesmiddelen. Geneesmiddel Informatie Centrum. Editie 2009. Den Haag. ISBN 978-90-812445-4-1.
26. De Grauw WJC, Kaasjager HAH, Bilo HJG, et al. Landelijke transmurale afspraak (LTA) chronische nierschade. *Huisarts Wet* 2009;52:586-97.
27. Levey AS, Coresh J, Greene T, et al: Chronic Kidney Disease Epidemiology Collaboration. Expressing the Modification of Diet in Renal Disease Study equation for estimating glomerular filtration rate with standardized serum creatinine values. *Clin Chem* 2007;53:766-72.
28. Anatomical Therapeutic Chemical (ATC) classification system. [www.whocc.no/atc](http://www.whocc.no/atc). Entry date 01-02-2009.
29. Morimoto T, Gandhi TK, Seger AC, et al. Adverse drug events and medication errors; detection and classification methods. *Qual Saf Health Care* 2004; 13:306-14.
30. Egbring M, Far E, Knuth A, et al. Performance of different data sources in identifying adverse drug events in hospitalized patients. *Eur J Clin Pharmacol* 2011;67:909-18.
31. Rupp MT, DeYoung M, Schondelmeyer SW. Prescribing problems and pharmacist interventions in community practice. *Med Care* 1992;30:926-40.
32. Hawksworth GM, Corlett AJ, Wright DJ, et al. Clinical pharmacy interventions by community pharmacists during the dispensing process. *Br J Clin Pharmacol* 1999;47:695-700.
33. Benrimoj SI, Langford JH, Berry G, et al. Clinical intervention rates in community pharmacy; a randomized trial of the effect of education and a professional allowance. *Int J Pharm Pract* 2003;11;71-80.
34. Monane M, Matthias DM, Nagle BA, et al. Improving prescribing patterns for the elderly through an online drug utilization review intervention: a system linking the physician, pharmacist, and computer. *JAMA* 1998;280:1249-52.
35. Zermansky AG, Petty DR, Raynor DK, et al. Randomised controlled trial of clinical medication review by a pharmacist of elderly patients receiving repeat prescriptions in general practice. *BMJ* 2001;323:1340-3.
36. Nash IS, Rojas M, Hebert P, et al. Reducing excessive medication administration in hospitalized adults with renal dysfunction. *Am J Med Qual* 2005;20:64-9.
37. Falconnier AD, Haefeli WE, Schoenenberger RA, et al. Drug dosage in patients with renal failure optimized by immediate concurrent feedback. *J Gen Intern Med* 2001;16:369-75.
38. Goldberg DE, Baardsgaard G, Johnson MT, et al. Computer-based program for identifying medication orders requiring dosage modification based on renal function. *Am J Hosp Pharm* 1991;48:1965-9.
39. Chertow GM, Lee J, Kuperman GJ, Burdick E, et al. Guided medication dosing for inpatients with renal insufficiency. *JAMA* 2001;286:2839-44.
40. McCoy AB, Waitman LR, Gadd Cs, et al. A computerized provider order entry intervention for medication safety during acute kidney injury; a quality improvement report. *Am J Kidney Dis* 2010;56:832-41.
41. van Dijk EA, Drabbe NR, Kruijtbosch M, et al. Drug dosage adjustments according to renal function at hospital discharge. *Ann Pharmacother* 2006;40:1254-60.
42. Gandhi TK, Weingart SN, Seger AC, et al. Outpatient prescribing errors and the impact of computerized prescribing. *J Gen Intern Med* 2005;20:837-41.
43. Isaac T, Weissman JS, Davis RB, et al. Overrides of medication alerts in ambulatory care. *Arch Intern Med* 2009;169:305-11.
44. Weingart SN, Toth M, Sands DZ, et al. Physicians' decisions to override computerized drug alerts in primary care. *Arch Intern Med* 2003;163:2625-31.

45. Galanter WL, Moja J, Lambert BL. Using computerized provider order entry and clinical decision support to improve prescribing in patients with decreased GFR. *AJKD* 2010;56:809-12.

46. Warholak TL, Rupp MT. Analysis of community chain pharmacists' interventions on electronic prescriptions. *J Am Pharm Assoc* 2003;49:59-64.

47. Bell JS, Rosen A, Aslani P, et al. Developing the role of pharmacists as members of community mental health teams: perspectives of pharmacists and mental health professionals. *Res Social Adm Pharm* 2007;3:392-409.

48. Olvaei AJ, Bennett WM. Drug dosing in the elderly patients with chronic kidney disease. *Clin Geriatr Med* 2009;25:459-27.

49. Wetzels JF, Kiemeneij LA, Swinkels DW, et al. Age-and gender-specific reference values of estimated GFR in Caucasians: the Nijmegen Biomedical Study. *Kidney Int* 2007;72:632-7.

50. Tegeder I, Levy M, Muth-Selbach U, et al. Retrospective analysis of the frequency and recognition of adverse drug reactions by means of automatically recorded laboratory signals. *Br J Clin Pharmacol* 1999;47:557-64.

51. Sellier E, Colombet I, Sabatier B, et al. Effect of alerts for drug dosage adjustment in inpatients with renal insufficiency. *J Am Med Inform Assoc* 2009;16:203-10.

52. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;28;893-900.

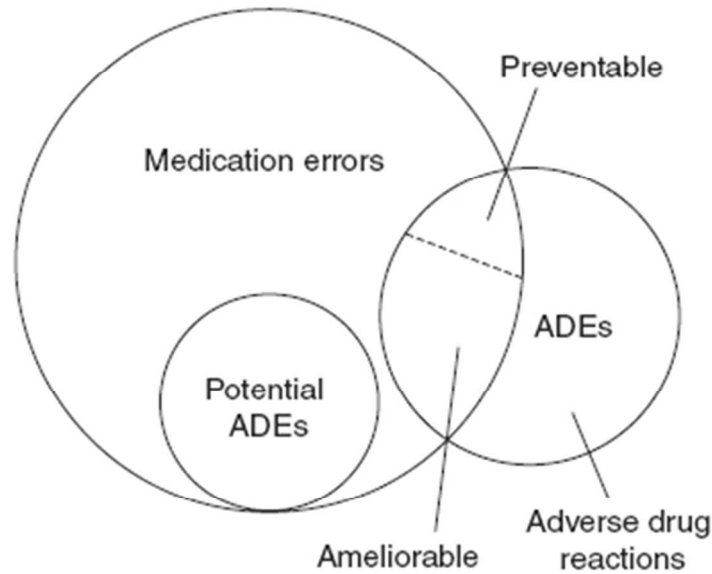
53. Coresh J, Astor BC, Greene T, et al. Prevalence of chronic kidney disease and decreased kidney function in the adult US population: Third National Health and Nutrition Examination Survey. *Am J Kidney Dis* 2003;41:1-12.

54. Pharmaceutisch Weekblad 2008;38:13. <http://www.pw.nl/archief/2008/2008-38/2008pw38p13.pdf/view>.

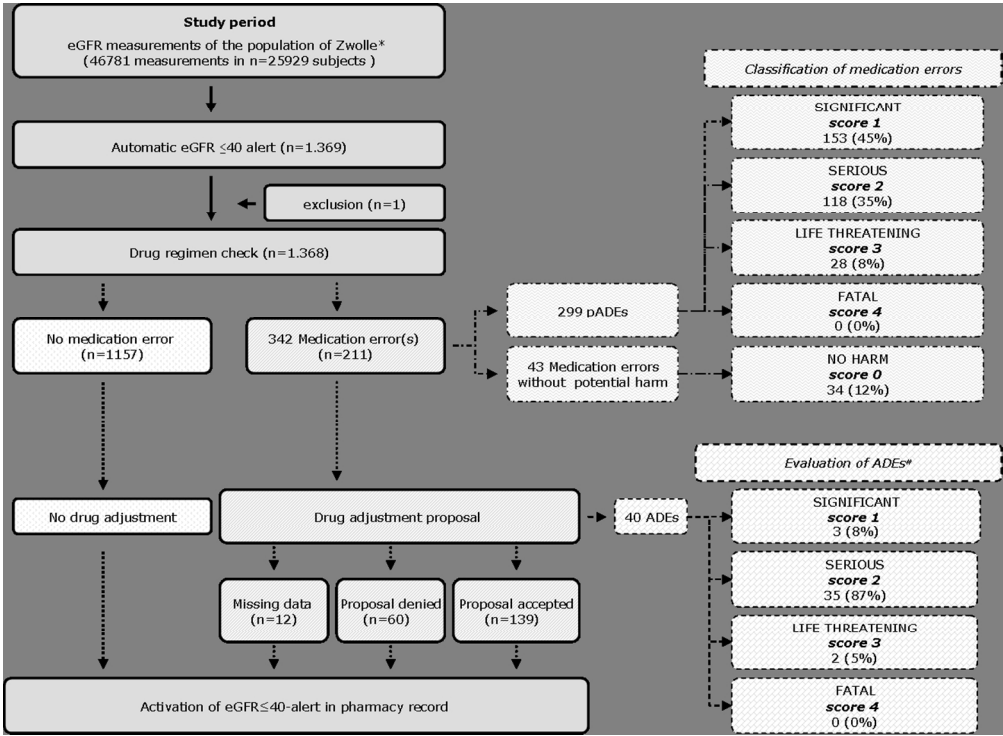
55. Hanlon JT, Aspinall SL, Semla TP, et al. Consensus guidelines for oral dosing of primarily renally cleared medications in older adults. *J Am Geriatr Soc* 2009;57:335-40.

56. Stevens LA, Coresh J, Greene T, et al. Assessing kidney function--measured and estimated glomerular filtration rate. *N Engl J Med* 2006;354:2473-83.

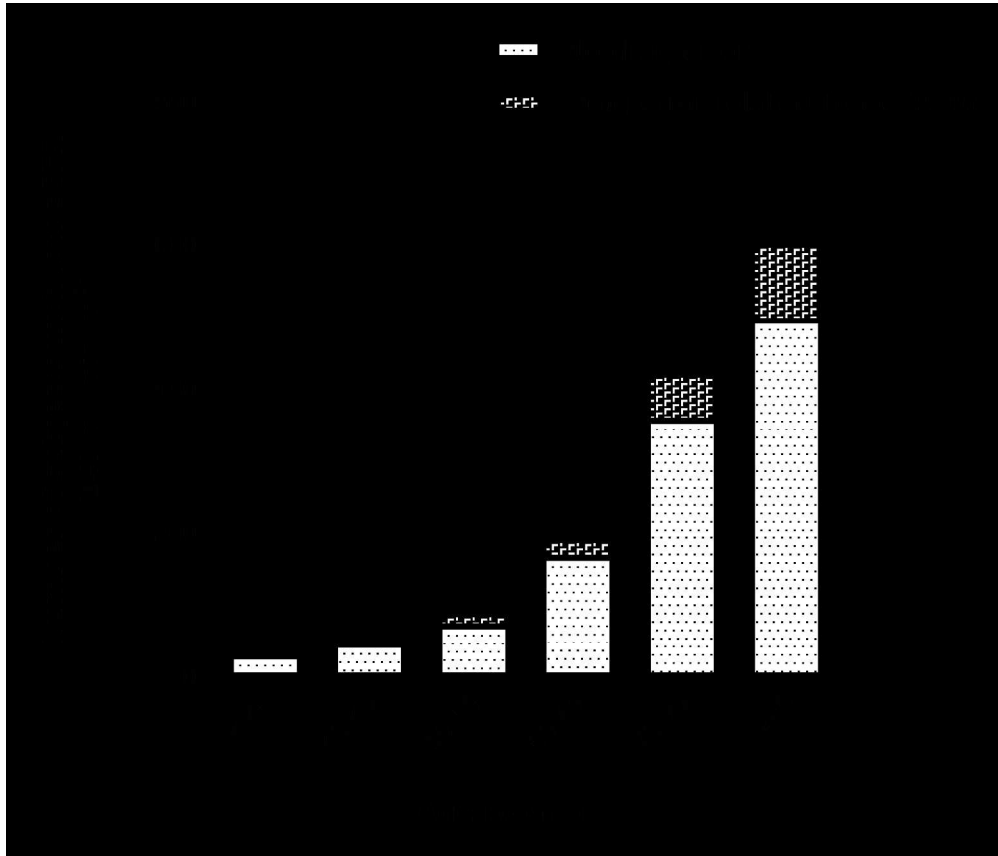




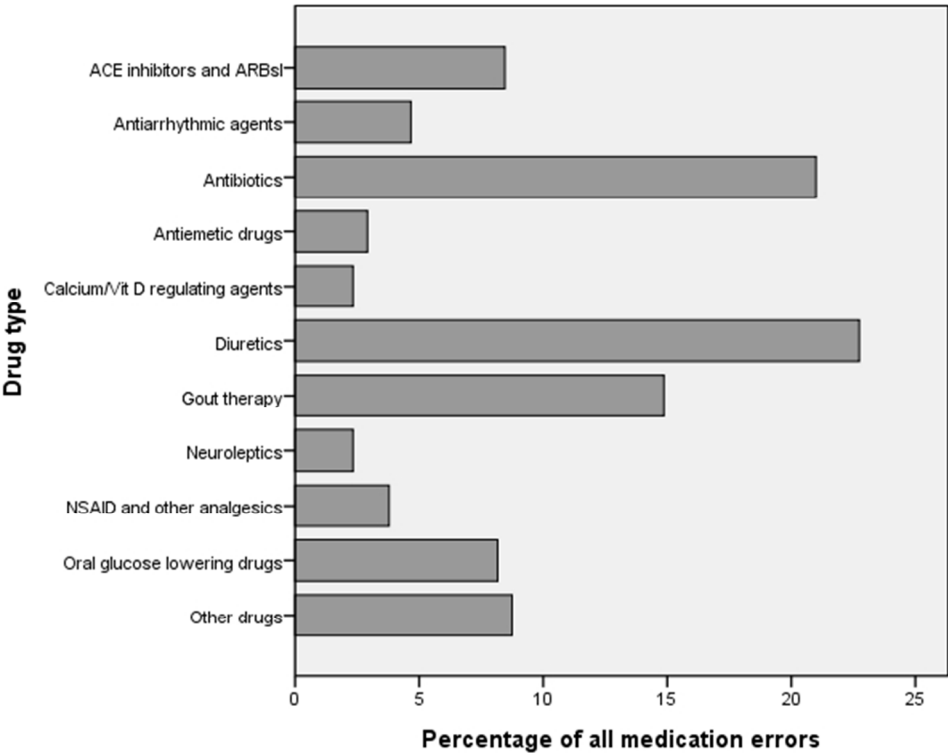
Relationship between adverse drug events (ADEs), potential ADEs (pADEs) and medication errors.<sup>29</sup>



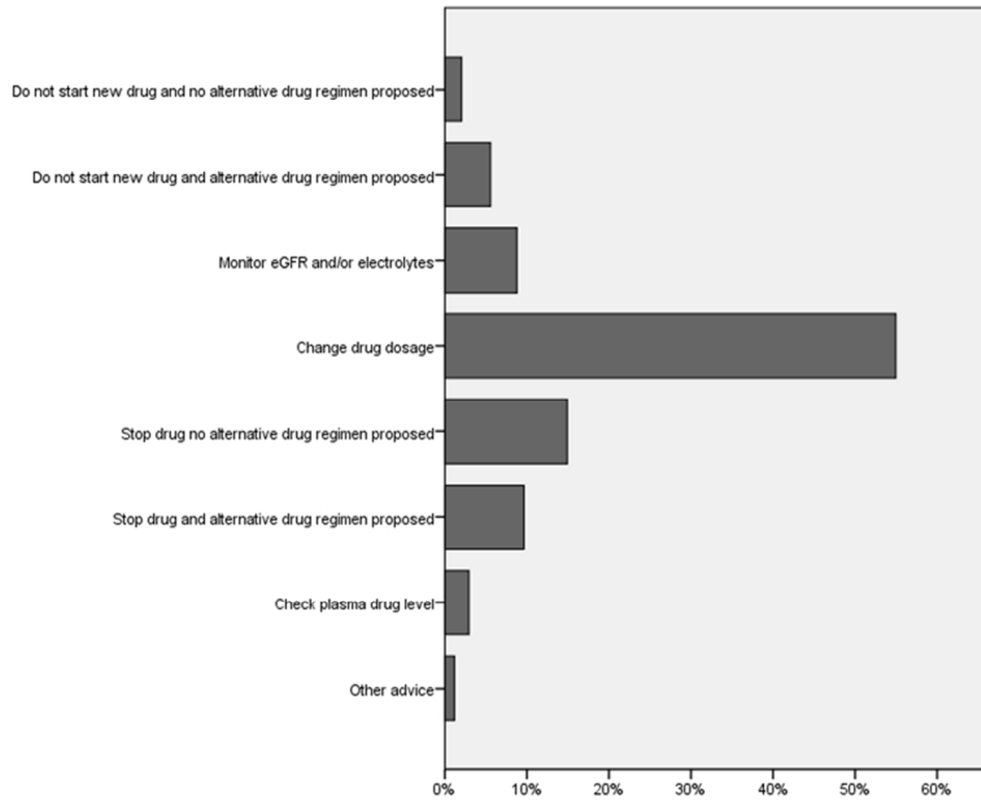
Flow chart summarizing study method and selection of study population



Age distribution of study population and risk of medication error per age category



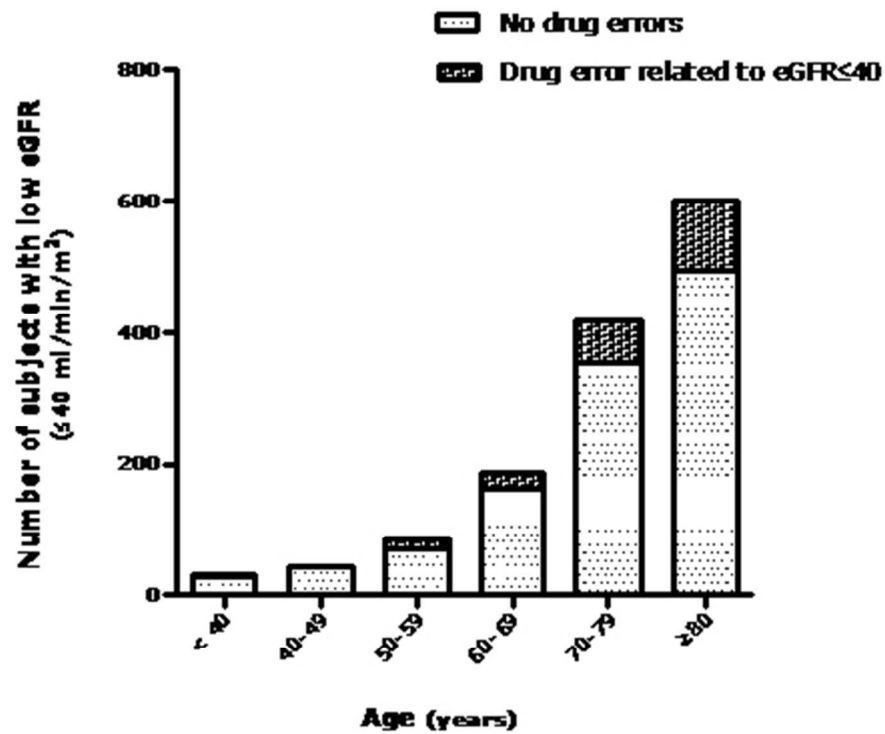
Drug groups associated with medication errors related to renal impairment



Type and frequency of drug adjustment proposals by community pharmacists  
192x120mm (102 x 131 DPI)

Appendix 1 | Overview of drug use according to the ATC classification in the study

ATC CLASSIFICATION		Number (%)
<b>A</b>	<b>ALIMENTARY TRACT AND METABOLISM</b>	<b>1764 (19.1)</b>
<b>A01</b>	STOMATOLOGICAL PREPARATIONS	4
<b>A02</b>	DRUGS FOR ACID RELATED DISORDERS	556
<b>A03</b>	DRUGS FOR FUNCTIONAL GASTROINTESTINAL DISORDERS	27
<b>A04</b>	ANTIEMETICS AND ANTINAUSEANTS	15
<b>A05</b>	BILE AND LIVER THERAPY	7
<b>A06</b>	LAXATIVES	194
<b>A07</b>	ANTIDIARRHEALS, ANTIINFLAMMATORY/ANTIINFECTIVE	30
<b>A09</b>	DIGESTIVES, INCL. ENZYMES	6
<b>A10</b>	DRUGS USED IN DIABETES	558
<b>A11</b>	VITAMINS	179
<b>A12</b>	MINERAL SUPPLEMENTS	185
<b>A15</b>	APPETITE STIMULANTS	1
<b>A16</b>	OTHER ALIMENTARY TRACT AND METABOLISM PRODUCTS	2
<b>B</b>	<b>BLOOD AND BLOOD FORMING ORGANS</b>	<b>1107 (11.9)</b>
<b>B01</b>	ANTITHROMBOTIC AGENTS	902
<b>B02</b>	ANTIHEMORRHAGICS	2
<b>B03</b>	ANTIANEMIC PREPARATIONS	203
<b>C</b>	<b>CARDIOVASCULAR SYSTEM</b>	<b>4064 (43.8)</b>
<b>C01</b>	CARDIAC THERAPY	400
<b>C02</b>	ANTIHYPERTENSIVES	28
<b>C03</b>	DIURETICS	1145
<b>C04</b>	PERIPHERAL VASODILATORS	1
<b>C07</b>	BETA BLOCKING AGENTS	767
<b>C08</b>	CALCIUM CHANNEL BLOCKERS	316
<b>C09</b>	AGENTS ACTING ON THE RENIN-ANGIOTENSIN SYSTEM	830
<b>C10</b>	LIPID MODIFYING AGENTS	577
<b>D</b>	<b>DERMATOLOGICALS</b>	<b>3 (0.03)</b>
<b>G</b>	<b>GENITO URINARY SYSTEM AND SEX HORMONES</b>	<b>147 (1.6)</b>
<b>H</b>	<b>SYSTEMIC HORMONAL PREPARATIONS</b>	<b>254 (2.8)</b>
<b>J</b>	<b>ANTIINFECTIVES FOR SYSTEMIC USE</b>	<b>165 (1.9)</b>
<b>L</b>	<b>ANTINEOPLASTIC AND IMMUNOMODULATING AGENTS</b>	<b>100 (1.0)</b>
<b>M</b>	<b>MUSCULO-SKELETAL SYSTEM</b>	<b>312 (3.4)</b>
<b>N</b>	<b>NERVOUS SYSTEM</b>	<b>846 (9.2)</b>
<b>P</b>	<b>ANTIPARASITIC PRODUCTS. INSECTICIDES.REPELLENTS</b>	<b>6 (0.06)</b>
<b>R</b>	<b>RESPIRATORY SYSTEM</b>	<b>417 (4.6)</b>
<b>S</b>	<b>SENSORY ORGANS</b>	<b>6 (0.06)</b>
<b>V</b>	<b>VARIOUS</b>	<b>36 (0.5)</b>
<b>OVERALL</b>		<b>9227 (100)</b>





**Optimizing drug prescribing and dispensing in subjects at risk for drug errors due to renal impairment: a survey on improving drug safety in primary health care by low eGFR alerts.**



Journal:	<i>BMJ Open</i>
Manuscript ID:	bmjopen-2012-002068.R1
Article Type:	Research
Date Submitted by the Author:	09-Dec-2012
Complete List of Authors:	Joosten, Hanneke; University of Groningen, University Medical Center Groningen, Internal Medicine Drion, Iefke; Isala Clinics, Diabetes Centre Boogerd, Kees; Pharmacy de Fenix, van der Pijl, Emiel; Pharmacy de Fenix, Slingerland, Robbert; Isala Clinics, Clinical Chemistry Slaets, Joris; University of Groningen, University Medical Center Groningen, Internal Medicine Jansen, Tiele; GP Practice de Fenix, Schwantje, Olof; GP practice Assendorp, Gans, Rob; University of Groningen, University Medical Center Groningen, Internal Medicine Bilo, Henk; Isala Clinics, Diabetes Centre; University of Groningen, University Medical Center Groningen,, Internal Medicine
<b>Primary Subject Heading</b>:	General practice / Family practice
Secondary Subject Heading:	Epidemiology, Health services research, Pharmacology and therapeutics
Keywords:	CLINICAL PHARMACOLOGY, Health & safety < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Risk management < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Nephrology < INTERNAL MEDICINE, PRIMARY CARE

SCHOLARONE™  
Manuscripts



**Optimizing drug prescribing and dispensing**  
**in subjects at risk for drug errors due to renal impairment:**  
*improving drug safety in primary health care by low eGFR alerts.*

Hanneke Joosten, Iefke Drion, Kees J. Boogerd, Emiel V. van der Pijl, Robbert J. Slingerland, Joris P.J. Slaets, Tiele J. Jansen, Olof Schwantje, Reinold O.B Gans, Henk J.G. Bilo

University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Hanzeplein 1, PO Box 30.001, 9700 RB Groningen, The Netherlands, *consultant in internal medicine*, Isala Clinics, Diabetes Centre, Dokter van Heesweg 2, 8025 AB Zwolle, The Netherlands, *research fellow*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Department of Clinical Chemistry and Laboratory Medicine, Isala Clinics, Zwolle, The Netherlands, *clinical chemist*, University of Groningen, University Medical Centre Groningen, University Center for Geriatric Medicine, Groningen, The Netherlands, *professor in geriatric medicine*, GP Practice de Fenix, Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *general practitioner*, GP Practice Assendorp, Assendorperdijk 70, 8012 EH Zwolle, The Netherlands, *general practitioner*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands, *professor in internal medicine*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands and Isala Clinics, Diabetes Center and Department of Internal Medicine, Zwolle, The Netherlands, *professor in internal medicine*.

*Correspondence to:*

Hanneke Joosten, [j.m.h.joosten@umcg.nl](mailto:j.m.h.joosten@umcg.nl)  
Phone: 0031(0)50 361 2350  
Fax: 0031(0)50 361 90 69

*Keywords: Adverse Drug Events, Pharmacists, Primary Care, Health care quality improvement, Alerts*

## Authorship, disclosures and funding

**Hanneke Joosten**; authorship included in structuring and analysis of the data and drafting the manuscript. No disclosures.

**Iefke Drion**; authorship included collection of the data and revising the manuscript  
No disclosures.

**Kees J. Boogerd**; authorship included the design and conceptualisation of the study,  
interpretation of the data and revising the manuscript. No disclosures.

**Emiel V. van der Pijl**; authorship included the conceptualisation of the study, interpretation of the  
data and revising the manuscript. No disclosures.

**Robbert J. Slingerland**; authorship included the design of the study and revising the manuscript.  
No disclosures.

**Joris P.J. Slaets**; authorship included revising the manuscript. Dr. Slaets reports no disclosures.

**Tiele J. Jansen**; authorship included the design and conceptualisation of the study and revising  
the manuscript. No disclosures.

**Olof Schwantje**; authorship included the design and conceptualisation of the study and revising  
the manuscript. No disclosures.

**Reinold O.B. Gans**; authorship included revising the manuscript. No disclosures.

**Henk J.G. Bilo**; authorship included included the design and conceptualisation of the study,  
interpretation of the data and revising the manuscript.No disclosures.

All authors included fulfill the criteria of authorship, as detailed above.

All authors had full access to all of the data (including statistical reports and tables) in  
the study and can take responsibility for the integrity of the data and accuracy of the  
data analysis.

**Funding sources:** none.

**Article focus**

- To evaluate the number of subjects with at risk for medication errors due to renal impairment (defined as  $eGFR \leq 40$  ml/min/m<sup>2</sup>) in a primary care setting.
- To assess the risk of medication errors in subjects with renal impairment.
- To evaluate the effectiveness of generating automatic  $eGFR \leq 40$ -alerts and medication reviews involving community pharmacists.

**Key messages**

- Providing renal laboratory data to pharmacists in a primary care setting revealed that there were a considerable number of subjects at increased risk for adverse drug events (ADEs) due to renal impairment
- The issuance of  $eGFR$  alerts allowed community pharmacists to provide valuable medication adjustment recommendations to the prescribing physicians, with good acceptance rate
- The implementation of this simple protocol could identify many potential ADEs (pADEs), thereby substantially reducing the risks of unnecessary iatrogenic damage in subjects with impaired renal function

**Strengths/limitations**

- Implementation of this protocol in clinical practice is possible in various health care settings
- Increased collaboration with community pharmacists improved health care safety and awareness on medication errors related to renal function impairment in primary care
- Extending the availability of laboratory renal data which were not formerly shared is relatively straightforward with minimal expense
- Effect of  $eGFR$  alerts on the incidence of ADEs could not be not measured
- Study design does not allow determining individual health care effects, nor an overall cost-benefit analysis of this health care safety strategy

## Abstract

**Objectives-** To assess the risk of medication errors in subjects with renal impairment (defined as an estimated glomerular filtration (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of automatic eGFR $\leq 40$ -alerts relayed to community pharmacists.

**Design-** Clinical survey.

**Setting-** The city of Zwolle, The Netherlands, in a primary care setting including 22 community pharmacists and 65 general practitioners.

**Participants-** All adults who underwent ambulatory creatinine measurements which triggered an eGFR $\leq 40$ -alert.

**Primary and secondary outcome measures-** The total number of ambulatory subjects with an eGFR $\leq 40$ -alert during the study period of one year and the number of medication errors related to renal impairment. The type and number of proposed drug adjustments recommended by the community pharmacist and acceptance rate by the prescribing physicians. Classification of all medication errors on their potential to cause an adverse drug event (ADE) and the actual occurrence of ADEs (limited to those identified through hospital record review) one year after the introduction of the alerts.

**Results-** Creatinine measurements were performed in 25929 adults. An eGFR  $\leq 40$ -alert was indicated for 5.3% (n=1369). This group had a median [IQR] age of 78 [69,84] years, and in 73% polypharmacy ( $\geq 5$  drugs) was present. In 15% (n=211) of these subjects, a medication error was detected. The proportion of errors increased with age. Pharmacists recommended 342 medication adjustments; mainly concerning diuretics (22%) and antibiotics (21%). The physicians' acceptance rate was 66%. Of all the medication errors, 88% were regarded as potential ADEs, with most classified as significant or serious. At follow-up, the ADE risk (n=40) appeared highest when the proposed medication adjustments were not implemented (38% versus 6%).

**Conclusions-** The introduction of automatic eGFR-alerts identified a considerable number of subjects who are at risk for ADEs due to renal impairment in an ambulatory setting. The nationwide implementation of this simple protocol could identify many potential ADEs thereby substantially reducing iatrogenic complications in subjects with impaired renal function.

**Introduction**

Safe medication management is an important health-care topic, as medication errors are a significant source of iatrogenic injury to patients.<sup>1-7</sup> Injuries resulting from such errors are known as adverse drug events (ADEs). Various factors are associated with ADEs, including patient characteristics, lack of medication monitoring, and prescription errors.<sup>4-6,8</sup> Studies on medication related hospital admissions estimate that 21-91% of admissions were potentially preventable.<sup>1,6,9,10</sup> Important patient determinants for ADEs are increasing age, female gender, polypharmacy, noncompliance and co-morbidities such as cognitive dysfunction or renal impairment.<sup>1-4,7,8,10</sup>

Renal impairment is a well-known risk factor for ADEs, but often remains unrecognized by physicians and pharmacists.<sup>11-14</sup> Even in high-risk patients such as elderly and those with diabetics, health care workers are not always sufficiently alert.<sup>15-17</sup> Various studies reported considerable dosing difficulties and subsequent medication errors in patients with renal impairment.<sup>10,12,17-19</sup> Therefore, intensified collaboration between health care workers (such as general practitioners (GPs), pharmacists, and nephrologists) is recommended with exchange of relevant patient information (medical history and co-morbidities) and more effective use of routinely collected data from electronic patient records such as laboratory results relating to renal function).<sup>2,6,20-23</sup>

In this 1-year observational study, we aimed to evaluate the number of subjects at risk for medication errors due to renal impairment (defined as an estimated glomerular filtration rate (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of providing automatically generated eGFR  $\leq 40$ -alerts towards community pharmacists in a shared pharmaceutical care model. In addition, we classified all medication errors for their potential to cause ADEs and evaluated the actual number of ADEs in those with a medication error after a period of one year.

## Materials and methods

### Setting

This study was conducted in Zwolle, which is a city in the north of the Netherlands with a population of more than 89.000 adults.<sup>24</sup> All of the primary care pharmacies (n=11) and the general practices (n=24) participated in this study. Their characteristics are shown in Table 1. Dutch patients are generally registered at one single pharmacy and GP practice, which promotes continuity of care and reliable information regarding each individuals' medication use. Secondary care in this region is delivered by the Isala Clinics, a 1000+ bed teaching-hospital in Zwolle. All standard laboratory investigations requested in both primary and secondary care are performed in one laboratory, which uses a single electronic system for data handling.

### Design and case-finding

This prospective observational study was conducted between February 1<sup>st</sup> 2009 and January 31<sup>st</sup> 2010. During this period, all consecutive adults in whom a serum creatinine was measured in the ambulatory setting who had an eGFR at or below the cut-off point of 40 ml/min/1.73 m<sup>2</sup> were identified, irrespective of the reason for laboratory testing. This threshold was based on guidelines advising dosage adjustment in renal impairment<sup>25,26</sup> and also chosen from a practical point of view. A higher cut-off-point of 50-60 ml/min/1.73m<sup>2</sup> was expected to exceed an acceptable workload, and the generation of many alarms induces the risk of ignoring and overriding alerts. Each week the laboratory automatically generated a report for any ambulatory patients with an eGFR  $\leq$ 40 ml/min/1.73 m<sup>2</sup> for the pharmacists.

### Study protocol

A predefined protocol was followed after the pharmacist received a report on an eGFR  $\leq$ 40 ml/min/1.73 m<sup>2</sup> (Figure 1). First, the patients' pharmacist checked the actual medication regimen for current errors related to renal impairment. Numbers and types of errors were registered. Medication errors were based on Dutch Pharmacists guidelines including 'the National Formulary on drug prescribing in renal impairment' and the 'National Shared Care Guidelines on Chronic Kidney Disease (CKD)'.<sup>25,26</sup> Second, the pharmacist alerted the prescribing physician (GP or clinician) on the low eGFR and, if appropriate, an adjusted medication regimen was recommended. Pharmacists

contacted prescribing physicians by telephone or (if unreachable) by email. Finally, an alert warning for a low eGFR (eGFR $\leq$ 40-alert) was activated in the patient's pharmacy record. This eGFR $\leq$ 40-alert then appeared with every future new prescription. After this first laboratory notification, follow-up eGFR results were also reported to the pharmacists. When an eGFR recovered well beyond the cut-off value during follow-up (specified as an eGFR >50 ml/min/1.73m<sup>2</sup>), the eGFR $\leq$ 40-alert was removed from the pharmacy record.

The study was approved by the local medical ethics committee and conducted in accordance with the guidelines of the Declaration of Helsinki. All pharmacists and GPs informed their patients about the study through flyers, issued both at the pharmacies and at the GP practices. The patient folder and the Isala Clinics website also contained information about the stepwise eGFR $\leq$ 40-alert protocol, the sharing of laboratory data, and medication monitoring. The study had an opt-out policy, therefore, subjects who did not wish to participate in this pharmacovigilance study were excluded from the weekly reporting. It should be emphasized that the final decision about making any medication changes after an alert (and informing the patient) was considered to be the responsibility of the prescribing physician.

**Definitions and calculations**

Serum creatinine was measured with an enzymatic essay (Modular, Roche, Mannheim, Germany) and eGFR was calculated with the enzymatic MDRD formula.<sup>27</sup> to the only medications included were those prescribed by health care professionals, and topical or over the counter (OTC) products were excluded. Actual medication use was assessed by documenting all current prescriptions according to the Anatomical Therapeutic Chemical (ATC) classification system<sup>28</sup> at the moment of the first eGFR $\leq$ 40-alert. Polypharmacy was defined as chronic (>1 year) use of  $\geq$ 5 drugs.

**Data collection**

For all identified subjects with an eGFR  $\leq$ 40-alert, demographics and medication information were collected. Any medication adjustment recommendations were recorded, which included the patient's medical record number, the pharmacist, the type and daily dose of the medication, and the prescribing physician (GP or clinician). The physician's response to the pharmacist's recommendation was also recorded. Finally, the amount of time the pharmacists spent on every eGFR $\leq$ 40-alert was documented.

### Classification and tracking of (potential) adverse drug events

To evaluate the impact of eGFR $\leq$ 40-alerts two pharmacists (EP and KB) independently evaluated all medication errors on the potential to cause an ADE (defined as a potential ADE (pADE)). They received a database that was anonymized by an investigator not involved in the eGFR-alert processing (HJ). A methodology developed for classification of medication errors and (p)ADEs.<sup>29</sup> They judged and classified the theoretical severity of the medication error, yielding a score of 0-4 (0=drug error without significant harm, 1=potentially significant, 2=potentially serious, 3=potentially life threatening, 4=potentially fatal) (Table 2). To reach a consensus, all discrepant ratings were discussed with both pharmacists and two nephrologists (HB and HJ). Examples of pADE classifications are listed in Table 2. The best assessment of the number of ADEs proved to be from the documentation on ADEs in the hospital records.<sup>30</sup> Therefore, one year after the end of the study, the hospital records of all subjects in whom a medication error was detected, were reviewed. This review was performed by two nephrologists (HJ and HB) who independently checked the occurrence of ADEs. ADEs were based on admission and discharge diagnosis in the patients' medical records. The relationship of the ADE with the 'suspected' agent was double checked by evaluating whether the medication regimen at admission in the hospital record matched with the pharmacy record at the date of admission. After review of the hospital records HJ and HB discussed their findings for reaching consensus.

### Data analysis

The main outcome measures were the incidence of eGFR $\leq$ 40-alerts, the number and types of medication errors, and the number and types of medication adjustment proposals. Secondary outcome measures were the time required for pharmacists to process the eGFR $\leq$ 40-alerts, the adherence of physicians to the proposed adjustments, risk factors for medication errors, and the severity of medication errors. In addition, after one year of follow-up, we checked the incidence of ADEs in subjects in whom a medication error was detected. Statistical analysis was performed with SPSS version 16.0 (SPSS Inc., Chicago, IL, USA.) Data are presented as mean and standard deviation (SD) when normally distributed. Otherwise, median and interquartile range [IQR] were used. For normally distributed data, the differences in baseline characteristics were evaluated with the independent samples *t*-test. For nonparametric data Mann-Whitney *U* test was used.

Differences in distribution were calculated using the chi-square tests.



**Results**

**Incidence of eGFR<40-alert and characteristics of the study population**

During the study period 46781 creatinine measurements were performed in 25,929 subjects. In 5.3% (n=1369) of cases, an eGFR  $\leq$ 40-alert was indicated. One patient indicated no willingness to participate for privacy reasons, leaving 1368 subjects for analysis (Figure 1). Their characteristics are summarized in Table 3. Overall, 56% was female, the median age was 78 [69,84] years (distribution is shown in Figure 2) and the median eGFR was 34 [27,38] ml/min/1.73m<sup>2</sup>. Overall, polypharmacy was present in 73% (n=993) with a mean number of medications per patient of 7 (range 0-21). An overview of the actual medication use in the study population (which reflects comorbidities) according to the ATC classification is given in *Appendix A*.

**Number and type of medication errors**

Overall, 342 medication errors were detected in 211 patients with an eGFR  $\leq$ 40-alert (15% of the study population) (Figure 1). The proportion of errors increased with increasing age (Figure 2). The types of medication most commonly associated with errors were diuretics (22%), antibiotics (21%), and anti-gout medications (15%) (Figure 3). The majority of these medications (77%) were prescribed by GPs. An overview of the type of medication errors that were identified by the pharmacists is given in figure 4.

**Physicians' compliance with medication adjustment recommendations**

Figure 5 gives an overview of the frequency and types of medication adjustment recommendations. The most common recommendations were 'change dosage' (55%), followed by 'stop medication' (24%). In 31% (n=105) the proposal concerned a new prescription. Physicians complied with the recommendation in 66% (n=226) of cases. In 28% (n=96) of cases, the pharmacists' advice was rejected and the medication regimen remained unchanged. The main reasons for rejection included already increased alertness with intensive monitoring by the prescribing physician (often being an internist or nephrologist) and an inadequate response to lower dosages in the past. The majority of rejected recommendations included diuretics and renin-angiotensin-aldosterone system (RAAS) blockers like ACE inhibitors and ARB drugs. In some cases, the recovery of renal function was expected or underestimation of renal function was presumed, both of which

were generally checked with a 24-hour creatinine clearance. Overall, acutely reduced eGFR did not account for an important subset of the eGFR<40 alerts towards the community pharmacists (n=3). Notably, in 22 of the 96 cases, the medication was soon changed anyway, due to a further decrease in the eGFR or the occurrence of an ADE. Therefore, from the latter it seems plausible that with the eGFR ≤40-alert the physician's awareness of the risk for an ADE was triggered. Data on rejection or agreement lacked in 6% (n=20) of cases.

### **Potential risk factors for medication errors in patients with eGFR≤40 alerts**

Compared to the subjects without medication errors (n=1157), subjects for whom medication adjustments were recommended (n=211) were more often female (59% versus 41%,  $p=0.04$ ) and had a lower eGFR (median 34 [28,38] versus 29 [2,34] ml/min/1.73m<sup>2</sup>,  $p<0.001$ , respectively). Notably, the latter had higher rates of polypharmacy (70 versus 89%,  $p<0.001$ , mean number of medications 6.6 (3.8) versus 8.2 (3.5),  $p<0.001$ ).

### **Effectiveness: potential ADEs and occurrence of ADEs after follow up**

Overall, 88% (n=299) of the medication errors were regarded as relevant pADEs (score>0). These were mainly judged to be either significant or serious. An overview of the number and potential severity of pADEs in the study population is given in Figure 1.

Overall, 40 ADEs were identified in hospital records within one year after the study period in the group of subjects with medication errors, including two life-threatening ADEs (bradycardia due to digoxin intoxication and acute kidney injury with lactic acidosis associated with persistent metformin use). The number and severity of ADEs are shown in Figure 1. Importantly, the ADE risk was higher in subjects whose medication regimen remained unchanged (n=60) as compared to subjects whose medication regimen was adjusted as recommended by the pharmacist (n=139); 38% versus 6%, respectively.

### **Effectiveness: workload and time investment of the pharmacists**

After receiving an eGFR≤40-alert, the pharmacist needed an average of 11 minutes (range 5-13 minutes) to check an individual's medication regimen for errors. When taking into account the time needed for consultation with the prescribing physician, pharmacists required an average of 20 minutes to process one eGFR≤40-alert triggering a medication adjustment. All pharmacists judged the time investment as feasible, particularly considering the fact that each pharmacy received an

average of only one alert per week. Retrospectively we evaluated the feasibility of different thresholds for kidney function alerts by calculating the number of low eGFR-alerts that would have been generated during the study period using different cut-offs for renal impairment (<30, <50 and <60 ml/min/1.73m<sup>2</sup>, respectively, see Appendix B).

Overall, 904 eGFR≤40-alerts were activated in the records of the participating pharmacies at the end of the study period, as 16% (n=214) of the population died and in 250 subjects, the most recent eGFR was at least twice >50 ml/min/1.73m<sup>2</sup>. Therefore, on average, every primary care pharmacy had 82 patients with an activated eGFR≤40-alert. If we translate this to a standard Dutch GP practice (±2300 patients), simple laboratory data sharing identified approximately 23 patients per practice who need drug adjustment(s) or extra alertness in medication management.

## Discussion

The main findings of this study were that an eGFR  $\leq 40$ -alert was indicated in 5.3% of the adult population of a Dutch city in whom a creatinine measurement was performed in an ambulatory setting and that in these subjects 342 medication errors (mainly involving antibiotics and diuretics) were detected during the year following the introduction of an automatic eGFR $\leq 40$ -alert system. The majority of the medication errors was regarded as relevant pADEs, necessitating medication adjustments as recommended by pharmacists. Physicians complied in 66% of cases. ADE risk increases with age, polypharmacy, and in instances when the proposed medication adjustments were initially rejected. Overall, automatically generated low eGFR-alerts in primary care seemed effective, easy to implement, and, importantly, improve both the pharmacists' and the physicians' awareness of medication safety.

## Comparison with other studies

Despite the fact that medications are usually both prescribed and dispensed in the primary care setting, most studies on (p)ADEs have been hospital-based.<sup>3,9,10</sup> We aimed to study the incidence of (p)ADEs in a shared pharmaceutical care model with a central role for community pharmacists. Three primary health care studies on this topic reported lower pharmacist drug proposal rates (0.7-1.9%) than the 15% we found.<sup>31-33</sup> These studies were performed in a general population, while we selected a high-risk population of subjects with renal impairment. In line with our results, primary and ambulatory care studies evaluating pharmacists' drug proposals in vulnerable subgroups like the elderly or subjects with cardiovascular risk factors also reported higher rates.<sup>12,17,34,35</sup> Two recent studies, also concerning subjects with renal impairment, identified problems related to inappropriate prescribing in over 20% of patients.<sup>18,36</sup>

Patients with renal impairment are especially vulnerable to medication errors.<sup>12,13,18</sup> Various strategies to improve drug safety in these patients have been studied, such as educational wards rounds, immediate clinician-pharmacist feedback, or dose adjustment according to renal function at hospital discharge.<sup>12,18,37-42</sup> However, despite the fact that most prescribing takes place in the primary health care setting, the majority of the strategies implemented so far have been tailored to hospital settings and are therefore not suitable for primary care. Others have demonstrated the effectiveness of 'computerized physician order entry' and 'clinical decision support' in reducing

medication errors in case of renal impairment.<sup>39-41</sup> However, computerized drug prescribing alerts do not always guarantee a reduction of prescribing errors,<sup>43</sup> partly because such alerts are often overridden or ignored by prescribing physicians.<sup>41,44-46</sup> This phenomenon is also reflected in our data, as in 28% of cases pharmacist recommendations were rejected by the prescribing physician. A central role for community pharmacists in improving medication safety in primary care has been recognized. Many pharmacists are gradually extending their role as integral members of the medical team around the patient, thereby taking an important position in a shared care environment.<sup>21,47,48</sup> This has not only been induced by legislative issues,<sup>21,25</sup> but also recommended in various guidelines and studies to counteract problems associated with multiple medication prescribers.<sup>20,26,32,48</sup> This is important in view of our ageing population in which complex drug therapy will only increase, polypharmacy is common and renal impairment widespread.<sup>49,50</sup> A recent review showed notable differences in ADE prevalence rates by age groups increasing from 5% for adults up to 16% for the elderly.<sup>7</sup> Therefore, in complex cases (as with renal impairment) the close collaboration between community pharmacists and physicians is essential to prevent ADEs.

The alert method we have investigated here could be a simple solution to address this.

Our strategy included three steps to reduce medication errors in patients with renal impairment. First, automatic laboratory alerts were generated, second these alerts were linked to pharmacy data to judge the need for drug adjustments, and third, pharmacists discussed recommended changes with physicians. Several studies investigated the impact of the above steps. The introduction of automatically generated laboratory alerts had varied effects on the prescribing physician.<sup>41,51,52</sup> Authors suggested that such passive alerts did not have enough of an impact. There is limited data on the effect of extending the alerts so that the community pharmacist was also involved.. Other studies showed that when the pharmacy data were linked with the laboratory renal data, the medication dosage could be beneficially adjusted..<sup>12,42,53</sup> We aimed to optimize medication safety in cases of renal impairment by combining the aforementioned steps and tailored our strategy for application in the primary care setting. .

**Implications for clinical practice**

The estimated prevalence of both moderate (30-59 ml/min/1.73m<sup>2</sup>) and severe (15-29 ml/min/1.73m<sup>2</sup>) renal insufficiency in the adult American and Dutch population is 4.5% and 5.3%

respectively.<sup>26,54</sup> Therefore, the number of subjects potentially susceptible to related medication errors is substantial. If we compile our pADE-rate towards nationwide figures (based on 12,500,000 adults in the Netherlands), our type of data sharing could intercept more than 40,000 potential ADEs related to renal impairment each year. This would undoubtedly increase health care safety with already available data and (hopefully) decrease the costs of ADE related morbidities. Drug safety management might be further improved by extending patient data exchange towards other important parameters, such as medication allergies, platelet counts, electrolyte concentrations, INR, liver enzymes, and plasma drug levels.

### Strengths and weaknesses of the study

Some limitations of this study have to be noted. First, our study design does not allow determining individual health care effects, nor overall cost-benefits. This would necessitate a more complex study design as was for example used in the population-based randomized controlled renal drug alert effectiveness trial of Bhardwaja et al, or a 'before and after' design.<sup>36</sup> However, participating GPs and pharmacists indicated that the protocol improved their awareness of medication errors related to renal function impairment. Second, data on the incidence of ADEs before start of the study project were not available in our region; therefore a possible change in ADE incidence as a result of our interventions cannot be determined. Besides, the incidence of ADEs is likely underestimated due to underreporting, missed recognition, and lack of recording in daily clinical practice. Our study also has several strengths. First, our intervention can be easily implemented in various health care settings. We simply extended the availability of laboratory renal data which were not shared formerly. Second, physicians valued the pharmacists' involvement in improving health care delivery. The acceptance percentage of the pharmacists' was fairly good (67%), as compared to previous studies (24-82%)<sup>17,32,34,37,51</sup> and our prescription ratio between GPs and hospital-based physicians (77:23%) reflects the normal distribution of prescriptions in the Netherlands (82:18%).<sup>55</sup> However, to improve the overall efficiency of the eGFR-alerts, also variables influencing physicians' (non) adherence towards pharmacists' recommendations (like type and duration of medication use) should be further studied. Third, the time investment was acceptable and costs were low. Finally, we chose for a safe, but also feasible threshold for renal function alerts. However, as thresholds for dosage adjustment vary between different guidelines, a higher cut-off of  $\leq 50$  or  $60 \text{ ml/min/1.73m}^2$ , or drug specific thresholds could be discussed.<sup>25,26,36,56</sup> Besides, as the Cockcroft-Gault (CG) formula is often used in pharmacokinetic studies and for drug

dosing recommendations, the implications of the use of renal function estimates like the MDRD equations for drug dosing, is under debate. Several studies have compared drug dosing recommendations based on the CG with those based on the MDRD.<sup>57-59</sup> In summary, the accuracy of the MDRD seems comparable to the CG.<sup>57-59</sup> Based on these studies, in our opinion, the MDRD is a reasonable alternative to the CG for drug dosing. This is of importance especially since there is an increasing trend of clinical laboratories reporting the MDRD along with serum creatinine, which is also recommended by national and international organizations.<sup>26,60</sup> Some guidelines advise a higher cut-off point for dose adjustments (creatinine clearance 50-60 ml/min),<sup>11,61</sup> but this was expected to result in an amount of alerts exceeding an acceptable workload. Moreover, as the MDRD tends to underestimate true GFR, we presumably already included subjects with true GFR >40ml/min.<sup>62</sup>

**Conclusions and policy implications**

The introduction of automatic renal function alerts in the ambulatory care setting, with the involvement of both GPs and community pharmacists, revealed that a considerable part of the population is at risk for ADEs due to impaired renal function.. Extending the availability of renal laboratory data to community pharmacists resulted in their presenting the prescribing physicians with a considerable number of medication adjustment recommendations. We feel that nationwide implementation of this simple protocol could potentially identify many pADEs and substantially reduce the risks of iatrogenic damage in persons with decreased renal function.

## Acknowledgments

We would like to thank the community pharmacists, the dispensary employees, and the general practitioners in Zwolle for their participation in this study. We would also like to acknowledge Anton Huisman (laboratory staff) and Ellen Witteveen (medical student) who both took care of data collection and Marcel Ariës (neurologist) for his careful comments on the manuscript.

For peer review only



**Table 1 | Characteristics of participating pharmacists and GPs, and their practices**

	Characteristics	Pharmacists	GPs
<b>Participants</b>			
	Number (%)	22 (100)	65 (100)
	Sex, n (%)		
	male	9 (40)	42 (65)
	female	13 (60)	23 (35)
	Years in practice, n (%)		
	0-10	10 (45)	25 (39)
	11-20	9 (41)	15 (23)
	21-30	0 (0)	21 (32)
	>30	3 (14)	4 (6)
	Position in practice, n (%)		
	(joint) owner	6 (27)	45 (70)
	employee	16 (73)	20 (30)
<b>Practice</b>			
	Number (%)	11 (100)	24 (100)
	Practice type, n (%)		
	independent	9 (80)	-
	chain	2 (20)	-
	Overall number of patients, n	114.033	117.147
	Practice size, median [IQR]	10.000 [7.000, 14.000]	3426 [2691, 6586]
	Prescription system, n (%)		
	computer-based	11 (100)	24 (100)

IQR=Interquartile Range; GP=general practitioner

**Table 2 | Categories of potential adverse drug events (pADEs) according to severity**

Score	Potential severity	Examples
<b>0</b>	<i>Drug error without potential harm</i>	<ul style="list-style-type: none"> <li>• Not applicable</li> </ul>
<b>1</b>	<i>Significant</i>	<ul style="list-style-type: none"> <li>• Gastro-intestinal complaints</li> <li>• Therapeutically ineffective dose according to eGFR</li> <li>• Mild neurological effects (e.g. motoric dysfunction)</li> <li>• Hepatic dysfunction</li> <li>• Any significant event identified by patient which does not require change in therapy</li> </ul>
<b>2</b>	<i>Serious</i>	<ul style="list-style-type: none"> <li>• Hypoglycemia</li> <li>• Nephrotoxicity or increased risk nephrolithiasis</li> <li>• Electrolyte disturbances (e.g. hyperpotassiemia)</li> <li>• Altered mental status due to sedation</li> <li>• Myopathy or rhabdomyolysis</li> <li>• Gastrointestinal bleed</li> </ul>
<b>3</b>	<i>Life threatening</i>	<ul style="list-style-type: none"> <li>• Lactic acidosis</li> <li>• Cardiac arrhythmia</li> <li>• Decline in mental status with risk of falling</li> <li>• Respiratory failure requiring intubation (e.g. bronchospasms)</li> </ul>
<b>4</b>	<i>Fatal</i>	<ul style="list-style-type: none"> <li>• Death</li> </ul>

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Table 3 | Characteristics of the study population**

Variable	
Number of subjects, n (%)	1368 (100)
Demographics	
Age (years), median [IQR]	78 [69,84]
Male, n (%)	601 (44)
Diabetes, n (%)	346 (25)
Renal variables	
eGFR (ml/min/1.73m <sup>2</sup> ), median [IQR]	34 [27,38]
Serum creatinine (µmol/ml), median [IQR]	152 [128,186]
Actual drug regimen	
Number of drugs, median [IQR]	7 [4,9]
Polypharmacy, n (%)	993 (73)

*IQR=interquartile range; eGFR=estimated glomerular filtration rate*

## REFERENCES

1. Leendertse AJ, Egberts AC, Stoker LJ et al; HARM Study Group. Frequency of and risk factors for preventable medication-related hospital admissions in the Netherlands. *Arch Intern Med* 2008;168:1890-6.
2. Patel P, Zed PJ. Drug-related visits to the emergency department: how big is the problem? *Pharmacotherapy* 2002;22:915-23.
3. Beijer HJ, de Blaey CJ. Hospitalisations caused by adverse drug reactions (ADR): a meta-analysis of observational studies. *Pharm World Sci* 2002;24:46-54.
4. Gandhi TK, Weingart SN, Borus J, et al. Adverse drug events in ambulatory care. *N Engl J Med* 2003;348:1556-64.
5. Gurwitz JH, Field TS, Harrold LR, et al. Incidence and preventability of adverse drug events among older persons in the ambulatory setting. *JAMA* 2003;289:1107-16.
6. Thomsen LA, Winterstein AG, Søndergaard B, et al. Systematic review of the incidence and characteristics of preventable adverse drug events in ambulatory care. *Ann Pharmacother* 2007;41:1411-26.
7. Taché SV, Sönnichsen A, Ashcroft DM. Prevalence of adverse drug events in ambulatory care: a systematic review. *Ann Pharmacother* 2011;45:977-89.
8. Guthrie B, McCowan C, Davey P, et al. High risk prescribing in primary care patients particularly vulnerable to adverse drug events: cross sectional population database analysis in Scottish general practice. *BMJ* 2011;342:d3514.
9. Bates DW, Cullen DJ, Laird N, et al; for the ADE Prevention Study Group. Incidence of adverse drug events and potential adverse drug events: implications for prevention. *JAMA* 1995;274:29-34.
10. Hug BL, Witkowski DJ, Sox CM, et al. Occurrence of adverse, often preventable, events in community hospitals involving nephrotoxic drugs or those excreted by the kidney. *Kidney Int* 2009;76:1192-8.
11. Munar MY, Singh H. Drug dosing adjustments in patients with chronic kidney disease. *Am Fam Physician* 2007;75:1487-96.
12. Yap C, Dunham D, Thompson J, et al. Medication dosing errors for patients with renal insufficiency in ambulatory care. *Jt Comm J Qual Patient Saf* 2005;31:514-21.
13. Minutolo R, De Nicola L, Mazzaglia G, et al. Detection and awareness of moderate to advanced CKD by primary care practitioners: a cross-sectional study from Italy. *Am J Kidney Dis* 2008;52:444-53.
14. Fink JC, Brown J, Hsu VD, et al. CKD as an underrecognized threat to patient safety. *Am J Kidney Dis* 2009;53:681-8.
15. Stevens LA, Fares G, Fleming J, et al. Low rates of testing and diagnostic codes usage in a commercial clinical laboratory: evidence for lack of physician awareness of chronic kidney disease. *J Am Soc Nephrol* 2005;16:2439-48.
16. Hu KT, Matayoshi A, Stevenson FT. Calculation of the estimated creatinine clearance in avoiding drug dosing errors in the older patient. *Am J Med Sci* 2001;322:133-6.
17. Patel HR, Prunchnicki MC, Hall LE. Assessment for chronic kidney disease service in high-risk patients at community health clinics. *Ann Pharmacother* 2005;39:22-7.
18. Desrochers JF, Lemieux JP, Morin-Bélanger C, et al. Development and validation of the PAIR (Pharmacotherapy Assessment In chronic Renal disease) criteria to assess medication safety and use issues in patients with CKD. *Am J Kidney Dis* 2011;58:527-35.
19. Franke L, Avery AJ, Groom L, et al. Is there a role for computerized decision support for drug dosing in general practice? A questionnaire survey. *J Clin Pharm Ther* 2000;25:373-7.
20. Kuo GM, Buckley TE, Fitzsimmons DS, et al. Collaborative drug therapy management services and reimbursement in a family medicine clinic. *Am J Health-Syst Pharm* 2004;61:343-54.
21. Chen YF, Neil KE, Avery AJ, et al. Prescribing errors and other problems reported by community pharmacists. *Ther Clin Risk Manag* 2005;1:333-42.

22. Molokhia M, Curcin V, Majeed A. Improving pharmacovigilance. Use of routinely collected data. *BMJ* 2010 May 4;340:c2403.

23. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;163:893-900.

24. Centraal Bureau voor de Statistiek (CBS). [www.cbs.nl](http://www.cbs.nl). Entry date 01-12-2011.

25. Koninklijke Nederlandse Maatschappij ter bevordering der Pharmacie (KNMP). Verminderde nierfunctie; doseringsadviezen voor geneesmiddelen. Geneesmiddel Informatie Centrum. Editie 2009. Den Haag. ISBN 978-90-812445-4-1.

26. De Grauw WJC, Kaasjager HAH, Bilo HJG, et al. Landelijke transmurale afspraak (LTA) chronische nierschade. *Huisarts Wet* 2009;52:586-97.

27. Levey AS, Coresh J, Greene T, et al: Chronic Kidney Disease Epidemiology Collaboration. Expressing the Modification of Diet in Renal Disease Study equation for estimating glomerular filtration rate with standardized serum creatinine values. *Clin Chem* 2007;53:766-72.

28. Anatomical Therapeutic Chemical (ATC) classification system. [www.whocc.no/atc](http://www.whocc.no/atc). Entry date 01-02-2009.

29. Morimoto T, Gandhi TK, Seger AC, et al. Adverse drug events and medication errors; detection and classification methods. *Qual Saf Health Care* 2004; 13;306-14.

30. Egbring M, Far E, Knuth A, et al. Performance of different data sources in identifying adverse drug events in hospitalized patients. *Eur J Clin Pharmacol* 2011;67:909-18.

31. Rupp MT, DeYoung M, Schondelmeyer SW. Prescribing problems and pharmacist interventions in community practice. *Med Care* 1992;30:926-40.

32. Hawksworth GM, Corlett AJ, Wright DJ, et al. Clinical pharmacy interventions by community pharmacists during the dispensing process. *Br J Clin Pharmacol* 1999;47:695-700.

33. Benrimoj SI, Langford JH, Berry G, et al. Clinical intervention rates in community pharmacy; a randomized trial of the effect of education and a professional allowance. *Int J Pharm Pract* 2003;11;71-80.

34. Monane M, Matthias DM, Nagle BA, et al. Improving prescribing patterns for the elderly through an online drug utilization review intervention: a system linking the physician, pharmacist, and computer. *JAMA* 1998;280:1249-52.

35. Zermansky AG, Petty DR, Raynor DK, et al. Randomised controlled trial of clinical medication review by a pharmacist of elderly patients receiving repeat prescriptions in general practice. *BMJ* 2001;323:1340-3.

36. Bhardwaja B, Caroll NM, Raebel MA, et al. Improving Prescribing Safety in Patients with Renal Insufficiency in the Ambulatory Setting: The Drug Renal Alert Pharmacy (DRAP) Program. *Pharmacother* 2011;31:346-56.

37. Nash IS, Rojas M, Hebert P, et al. Reducing excessive medication administration in hospitalized adults with renal dysfunction. *Am J Med Qual* 2005;20:64-9.

38. Falconnier AD, Haefeli WE, Schoenenberger RA, et al. Drug dosage in patients with renal failure optimized by immediate concurrent feedback. *J Gen Intern Med* 2001;16:369-75.

39. Goldberg DE, Baardsgaard G, Johnson MT, et al. Computer-based program for identifying medication orders requiring dosage modification based on renal function. *Am J Hosp Pharm* 1991;48:1965-9.

40. Chertow GM, Lee J, Kuperman GJ, Burdick E, et al. Guided medication dosing for inpatients with renal insufficiency. *JAMA* 2001;286:2839-44.

41. McCoy AB, Waitman LR, Gadd Cs, et al. A computerized provider order entry intervention for medication safety during acute kidney injury; a quality improvement report. *Am J Kidney Dis* 2010;56:832-41.

42. van Dijk EA, Drabbe NR, Kruijtbosch M, et al. Drug dosage adjustments according to renal function at hospital discharge. *Ann Pharmacother* 2006;40:1254-60.

43. Gandhi TK, Weingart SN, Seger AC, et al. Outpatient prescribing errors and the impact of computerized prescribing. *J Gen Intern Med* 2005;20:837-41.

44. Isaac T, Weissman JS, Davis RB, et al. Overrides of medication alerts in ambulatory care. *Arch Intern Med* 2009;169:305-11.

45. Weingart SN, Toth M, Sands DZ, et al. Physicians' decisions to override computerized drug alerts in primary care. *Arch Intern Med* 2003;163:2625-31.
46. Galanter WL, Moja J, Lambert BL. Using computerized provider order entry and clinical decision support to improve prescribing in patients with decreased GFR. *AJKD* 2010;56:809-12.
47. Warholak TL, Rupp MT. Analysis of community chain pharmacists' interventions on electronic prescriptions. *J Am Pharm Assoc* 2003;49:59-64.
48. Bell JS, Rosen A, Aslani P, et al. Developing the role of pharmacists as members of community mental health teams: perspectives of pharmacists and mental health professionals. *Res Social Adm Pharm* 2007;3:392-409.
49. Olvaei AJ, Bennett WM. Drug dosing in the elderly patients with chronic kidney disease. *Clin Geriatr Med* 2009;25:459-27.
50. Wetzels JF, Kiemeny LA, Swinkels DW, et al. Age-and gender-specific reference values of estimated GFR in Caucasians: the Nijmegen Biomedical Study. *Kidney Int* 2007;72:632-7.
51. Tegeder I, Levy M, Muth-Selbach U, et al. Retrospective analysis of the frequency and recognition of adverse drug reactions by means of automatically recorded laboratory signals. *Br J Clin Pharmacol* 1999;47:557-64.
52. Sellier E, Colombet I, Sabatier B, et al. Effect of alerts for drug dosage adjustment in inpatients with renal insufficiency. *J Am Med Inform Assoc* 2009;16:203-10.
53. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;28:893-900.
54. Coresh J, Astor BC, Greene T, et al. Prevalence of chronic kidney disease and decreased kidney function in the adult US population: Third National Health and Nutrition Examination Survey. *Am J Kidney Dis* 2003;41:1-12.
55. Pharmaceutisch Weekblad 2008;38:13. <http://www.pw.nl/archief/2008/2008-38/2008pw38p13.pdf/view>.
56. Long CL, Raebel MA, Price DW, et al. Compliance with Dosing Guidelines in Patients with Chronic Kidney Disease. *Ann Pharmacother*. 2004;38:853-58.
57. Stevens LA, Nolin TD, Richardson MM, et al. Comparison of drug dosing recommendations based on measured GFR and kidney function estimating equations. *Am J Kidney Dis* 2009;54:33-42.
58. de Lemos ML, Hsieh T, Hamata L, et al. Evaluation of predictive formulae for glomerular filtration rate for carboplatin dosing in gynaecological malignancies. *Gynecol Oncol* 2006;103:1063-69.
59. Stevens LA and Levey AS. Use of the MDRD study equation to estimate kidney function for drug dosing. *Clin Pharmacol Ther* 2009;86:465-67
60. National Kidney Foundation. K/DOQI clinical practice guidelines for CKD evaluation, classification, and stratification. *Am J Kidney Dis* 2002;39:S1-266.
61. Hanlon JT, Aspinall SL, Semla TP, et al. Consensus guidelines for oral dosing of primarily renally cleared medications in older adults. *J Am Geriatr Soc* 2009;57:335-40.
62. Stevens LA, Coresh J, Greene T, et al. Assessing kidney function--measured and estimated glomerular filtration rate. *N Engl J Med* 2006;354:2473-83.

**Optimizing drug prescribing and dispensing**  
**in subjects at risk for drug errors due to renal impairment:**  
*improving drug safety in primary health care by low eGFR alerts.*

Hanneke Joosten, Iefke Drion, Kees J. Boogerd, Emiel V. van der Pijl, Robbert J. Slingerland, Joris P.J. Slaets, Tiele J. Jansen, Olof Schwantje, Reinold O.B Gans, Henk J.G. Bilo

University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Hanzeplein 1, PO Box 30.001, 9700 RB Groningen, The Netherlands, *consultant in internal medicine*, Isala Clinics, Diabetes Centre, Dokter van Heesweg 2, 8025 AB Zwolle, The Netherlands, *research fellow*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Pharmacy De Fenix (previously Boogerd Kluin), Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *pharmacist*, Department of Clinical Chemistry and Laboratory Medicine, Isala Clinics, Zwolle, The Netherlands, *clinical chemist*, University of Groningen, University Medical Centre Groningen, University Center for Geriatric Medicine, Groningen, The Netherlands, *professor in geriatric medicine*, GP Practice de Fenix, Lijnbaan 12a, 8011 AR Zwolle, The Netherlands, *general practitioner*, GP Practice Assendorp, Assendorperdijk 70, 8012 EH Zwolle, The Netherlands, *general practitioner*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands, *professor in internal medicine*, University of Groningen, University Medical Centre Groningen, Department of Internal Medicine, Groningen, The Netherlands and Isala Clinics, Diabetes Center and Department of Internal Medicine, Zwolle, The Netherlands, *professor in internal medicine*.

*Correspondence to:*  
Hanneke Joosten, j.m.h.joosten@umcg.nl  
Phone: 0031(0)50 361 2350  
Fax: 0031(0)50 361 90 69

*Keywords:* Adverse Drug Events, Pharmacists, Primary Care, Health care quality improvement, Alerts

## Authorship, disclosures and funding

**Hanneke Joosten**; authorship included in structuring and analysis of the data and drafting the manuscript. No disclosures.

**Iefke Drion**; authorship included collection of the data and revising the manuscript  
No disclosures.

**Kees J. Boogerd**; authorship included the design and conceptualisation of the study,  
interpretation of the data and revising the manuscript. No disclosures.

**Emiel V. van der Pijl**; authorship included the conceptualisation of the study, interpretation of the  
data and revising the manuscript. No disclosures.

**Robbert J. Slingerland**; authorship included the design of the study and revising the manuscript.  
No disclosures.

**Joris P.J. Slaets**; authorship included revising the manuscript. Dr. Slaets reports no disclosures.

**Tiele J. Jansen**; authorship included the design and conceptualisation of the study and revising  
the manuscript. No disclosures.

**Olof Schwantje**; authorship included the design and conceptualisation of the study and revising  
the manuscript. No disclosures.

**Reinold O.B. Gans**; authorship included revising the manuscript. No disclosures.

**Henk J.G. Bilo**; authorship included included the design and conceptualisation of the study,  
interpretation of the data and revising the manuscript. No disclosures.

All authors included fulfill the criteria of authorship, as detailed above.

All authors had full access to all of the data (including statistical reports and tables) in  
the study and can take responsibility for the integrity of the data and accuracy of the  
data analysis.

**Funding sources:** none.



Article focus

- To evaluate the number of subjects with at risk for medication errors due to renal impairment (defined as  $eGFR \leq 40$  ml/min/m<sup>2</sup>) in a primary care setting.
- To assess the risk of medication errors in subjects with renal impairment.
- To evaluate the effectiveness of generating automatic  $eGFR \leq 40$ -alerts and drug-medication reviews involving community pharmacists.

Key messages

- Providing Extending renal laboratory data towards pharmacists in a primary care setting revealed that there were a considerable number of subjects at increased risk for adverse drug events (ADEs) due to renal impairment
- The introduction-issuance of  $eGFR$  alerts resulted in valuable drug adjustment proposals of allowed community pharmacists to provide valuable medication adjustment recommendations to wards the prescribing physicians, with good acceptance rate
- The imImplementation of this simple protocol could identify many potential ADEs (pADEs), thereby and thus substantially reducing the risks of unnecessary iatrogenic damage in subjects with impaired renal function

Strenghts/limitations

- Implementation of this protocol in clinical practice is possible in various health care settings
- Increased collaboration with community pharmacists improved health care safety and awareness on drug-medication errors related to renal function impairment in primary care
- Extending the availability of laboratory renal data which were not formerly shared formerly is relatively easy-straightforward with minimal expenseto achieve with low costs
- Effect of  $eGFR$  alerts on the incidence of adverse drug events ADEs could not be not measured
- Study design does not allow determining individual health care effects, nor an overall cost-benefits analysis of this health care safety strategy

## Abstract

**Objectives-** To assess the risk of medication errors in subjects with renal impairment (defined as an estimated glomerular filtration (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of automatic eGFR $\leq 40$ -alerts relayed to community pharmacists.

**Design-** Clinical survey.

**Setting-** The city of Zwolle, The Netherlands, ~~with in~~ a primary care setting including 22 community pharmacists and 65 general practitioners.

**Participants-** All adults who underwent ambulatory creatinine measurements which trigger ~~redring~~ an eGFR $\leq 40$ -alert.

**Primary and secondary outcome measures-** ~~The~~ total number of ambulatory subjects with an eGFR $\leq 40$ -alert ~~within during the study period of onea~~ year and ~~amount the number~~ of medication errors related to renal impairment. ~~The~~ type and number of proposed drug adjustments recommended by the community pharmacist and ~~subsequent physician's~~ acceptance rate by the prescribing physicians. Classification of all medication errors on their potential to cause an adverse drug event (ADE) and the actual occurrence of ADEs ~~(limited to those identified through hospital record review)~~ one year after the introduction of eGFR $\leq 40$ ~~the alerts-alerts were measured~~.

**Results-** Creatinine measurements were performed in 25929 adults. ~~An eGFR  $\leq 40$ -alert was indicated for and in~~ 5.3% (n=1369) ~~of these subjects an eGFR  $\leq 40$ -alert was identified~~. This group had a median [IQR] age of 78 [69,84] years, and in 73% polypharmacy ( $\geq 5$  drugs) was present. In 15% (n=211) of these subjects, a medication error was detected. The proportion of errors increased with age. Pharmacists ~~proposed~~ recommended 342 ~~drug-medication~~ adjustments; mainly concerning diuretics (22%) and antibiotics (21%). ~~The~~ Physicians' acceptance rate was 66%. Of all the medication errors, 88% ~~was were~~ regarded as potential ADEs, ~~mainly with most~~ classified as significant or serious. At follow-up, the ADE risk (n=40) appeared highest when the proposed medication adjustments ~~adjustments in drug regimen~~ were not implemented (38% versus 6%).

**Conclusions-** The introduction of automatic eGFR-alerts identified a considerable ~~amount-number~~ of subjects ~~who are~~ at risk for ADEs due to renal impairment ~~in an ambulatory setting~~. ~~The N~~ nationwide implementation of this simple protocol could identify many potential ADEs ~~and thus thereby~~ substantially reducing the risks of ~~unnecessary~~ iatrogenic ~~complicationsdamage~~ in subjects with impaired renal function.

Formatted: Font: 8 pt

Introduction

Safe medication management is an important health-care topic, as medication errors are a significant source of iatrogenic ~~injury to patients~~ ~~harm~~.<sup>1-7</sup> ~~Injuries resulting from such errors are known as~~ Adverse drug events (ADEs) ~~are injuries secondary to medication errors (Figure 1).~~ Various factors are associated with ADEs, including patient characteristics, lack of medication monitoring, and prescription errors.<sup>4-6,8</sup> Studies on medication-related hospital admissions ~~estimated~~ ~~estimate~~ that 21-91% of admissions were potentially preventable.<sup>1,6,9,10</sup> Important patient determinants for ADEs are increasing age, female gender, polypharmacy, noncompliance and co-morbidities ~~like such as~~ cognitive dysfunction or renal impairment.<sup>1-4,7,8,10</sup>

Renal impairment is a well-known risk factor for ADEs, but often remains unrecognized ~~for prescribing by~~ physicians and pharmacists.<sup>11-14</sup> Even in high-risk patients ~~like such as elderly and those with diabetics~~ ~~diabetics, and elderly~~ health care workers are not always ~~sufficiently~~ alert.<sup>15-17</sup>

Various studies reported considerable dosing difficulties and subsequent medication errors in patients with renal impairment.<sup>10,12,17-19</sup> Therefore, intensified collaboration between health care workers (~~like such as~~ general practitioners (GPs), pharmacists, ~~and~~ nephrologists ~~and other hospital-based physicians~~) is recommended with exchange of relevant patient information (medical history and co-morbidities) and more effective use of routinely collected data from electronic patient records (~~e.g. such as~~ ~~like~~ laboratory results ~~related~~ ~~relating~~ to renal function).<sup>2,6,20-23</sup>

In this 1-year observational study, we aimed to evaluate the number of subjects at risk for medication errors due to renal impairment (defined as an estimated glomerular filtration rate (eGFR)  $\leq 40$  ml/min/1.73 m<sup>2</sup>) and the effectiveness of ~~providing~~ ~~automatic~~ ~~ally generated~~ eGFR  $\leq 40$ -alerts towards community pharmacists in a shared pharmaceutical care model. In addition, we classified all medication errors ~~on the~~ ~~for their~~ potential to cause ~~an~~ ADEs and evaluated ~~the actual number of ADEs~~ in those with a medication error after ~~a period of~~ ~~1 one~~ year.

## Materials and methods

### Setting

This study was conducted in Zwolle, which is a city in the north of the Netherlands (~~Zwolle~~) with a population of more than over 89.000 adults ~~inhabitants~~.<sup>24</sup> All of the primary care pharmacies (n=11) and the general ~~practitioners' (GP)~~ practices (n=24) in the city agreed to participated d in this study. Their characteristics are shown in Table 1. Dutch patients are generally registered at one single ~~community~~ pharmacy and GP practice, which promotes continuity of care and reliable information regarding each individuals' drug-medication use. Secondary care in this region is delivered by the Isala Clinics, a 1000+ bed teaching-hospital in Zwolle. ~~In this city at~~ All standard laboratory investigations requested ~~from in~~ both primary and secondary care are performed in one laboratory, which uses using a single electronic system for data handling.

### Design and case-finding

This prospective observational study was conducted between February 1<sup>st</sup> 2009 and January 31<sup>st</sup> 2010. During this period, all consecutive adults inhabitants of Zwolle in whom a serum creatinine was measured in the ambulatory setting and who had an eGFR at or below the cut-off point of 40 ml/min/1.73 m<sup>2</sup> were identified, irrespective of the reason for laboratory testing. This threshold was based on guidelines advising dosage adjustment in renal impairment.<sup>25,26</sup> and also chosen from a practical point of view. A higher cut-off-point of 50-60 ml/min/1.73m<sup>2</sup> was expected to exceed an acceptable workload, and the generation of many alarms induces the risk of ignoring and overriding alerts. Each week the laboratory automatically reported generated a report for many ambulatory patients with an eGFR  $\leq 40$  ml/min/1.73 m<sup>2</sup> ~~to for~~ the pharmacists.

### Study protocol

A predefined protocol was followed after the pharmacist received a report on an eGFR  $\leq 40$  ml/min/1.73 m<sup>2</sup> (Figure 21). First, the patients' pharmacist checked the actual drug-medication regimen for current ~~medication~~ errors related to renal impairment. Numbers and types of errors were registered. Medication errors were based on Dutch Pharmacists guidelines including 'the National Formulary on drug prescribing in renal impairment' and the 'National Shared Care

Guidelines on Chronic Kidney Disease (CKD)<sup>25,26</sup> Second, the pharmacist alerted the prescribing physician (GP or clinician) on the low eGFR and, if appropriate, an adjusted ~~drug-medication~~ regimen was ~~proposed~~recommended. Pharmacists contacted prescribing physicians by telephone or (if unreachable) by email. Finally, an alert warning for a low eGFR (eGFR≤40-alert) was activated in the patient's pharmacy record. This eGFR≤40-alert then appeared ~~after that~~ with every future new prescription. After this first laboratory notification, follow-up eGFR results were also reported to the pharmacists. When an eGFR recovered well beyond the cut-off value during follow-up (specified as an eGFR >50 ml/min/1.73m<sup>2</sup>), the eGFR≤40-alert was removed from the pharmacy record.

The study was approved by the local medical ethics committee and conducted in accordance with the guidelines of the Declaration of Helsinki. All pharmacists and GPs informed their patients about the study through flyers, issued both at the pharmacies and at the GP practices. The patient-~~folder~~ and ~~website of the Isala Clinics~~ website ~~also~~ contained information about the stepwise eGFR≤40-alert protocol, the sharing of laboratory data, and medication monitoring. ~~Because the study had an opt-out policy, therefore, protocol was designed to improve medication safety, the study had an opt-out policy. Thus,~~ subjects who did not wish ~~expressed not~~ to participate in this pharmacovigilance study were excluded from the weekly reporting. It should ~~also~~ be emphasized that the final decision about making any medication changes (not) changing the drug regimen after an alert (and informing the patient) was considered to be the responsibility of the prescribing physician.

**Definitions and calculations**

Serum creatinine was measured with an enzymatic assay (Modular, Roche, Mannheim, Germany) and eGFR was calculated with the enzymatic MDRD formula.<sup>27</sup> ~~Drug inclusion was restricted to the only medications included were those drugs~~ prescribed by health care professionals, ~~excluding and~~ topical or over the counter (OTC) ~~medicines products were excluded~~. Actual ~~drug-medication~~ use was assessed by documenting all current prescriptions according to the Anatomical Therapeutic Chemical (ATC) classification system<sup>28</sup> at the moment of the first eGFR≤40-alert. Polypharmacy was defined as chronic (>1 year) use of ≥5 drugs.

**Data collection**

For all identified subjects with an eGFR  $\leq 40$ -alert, demographics and medication information ~~on drug-use~~ were collected. ~~All Any proposed drug-medication~~ adjustment recommendations were recorded, ~~which included including~~ the patient's medical record number, the pharmacist, the type and daily dose of ~~drug~~the medication, and the prescribing physician (GP or clinician). ~~Also t~~The physician's response to the ~~proposed adjustment~~pharmacist's recommendation was also recorded. Finally, the amount of time the pharmacists' ~~time~~ spent on every eGFR $\leq 40$ -alert was documented.

### Classification and tracking of (potential) adverse drug events

To evaluate the impact of eGFR $\leq 40$ -alerts two pharmacists (EP and KB) independently evaluated all medication errors on the potential to cause an ADE (defined as a potential ADE (pADE)). They received a database that was anonymized by an investigator not involved in the eGFR-alert processing (HJ). ~~using a~~A methodology developed for classification of medication errors and (p)ADEs.<sup>29</sup> ~~Figure 1 clarifies the relationship between these terms.~~ They judged and classified the theoretical severity of the medication error, yielding a score of 0-4 (0=drug error without significant harm, 1=potentially significant, 2=potentially serious, 3=potentially life threatening, 4=potentially fatal) (Table 2). To reach a consensus, all discrepant ratings were discussed with both pharmacists and two nephrologists (HB and HJ). Examples of pADE classifications are listed in Table 2. The best assessment of the number of ADEs proved to be from the documentation on ADEs in the hospital records.<sup>30</sup> ~~Therefore, one year after the end of the study, the hospital records of all subjects in whom a medication error was detected, were reviewed. This review was performed by two nephrologists (HJ and HB) who independently checked the occurrence of ADEs. ADEs were based on admission and discharge diagnosis in the patients' medical records. The relationship of the ADE with the 'suspected' agent was double checked by evaluating whether the medication regimen at admission in the hospital record matched with the pharmacy record at the date of admission. After review of the hospital records HJ and HB discussed their findings for reaching consensus.~~ The best assessment of the number of ADEs proved to be from documentation on ADEs in the hospital records.<sup>30</sup> ~~Therefore, one year after the end of the study, we checked hospital records of all subjects in whom a medication error was detected in order to track whether ADEs had occurred.~~

**Formatted:** Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

**Formatted:** Font: 9 pt

### Data analysis

The main outcome measures were the incidence of eGFR<40-alerts, the number and types of medication errors, and the amount, number and types of drug-medication adjustments/adjustment proposals. Secondary outcome measures were the time required for pharmacists to process the eGFR<40-alerts, the adherence of physicians towards to the proposed drug-adjustments, risk factors for medication errors, and the severity of medication errors. In addition, after one year of follow-up, we checked the incidence of ADEs in subjects in whom a medication error was detected. Statistical analysis was performed with SPSS version 16.0 (SPSS Inc., Chicago, IL, USA.) Data are presented as mean and standard deviation (SD) when normally distributed. Otherwise, median and interquartile range [IQR] were used. For normally distributed data, the differences in baseline characteristics were evaluated with the independent samples *t*-test. For nonparametric data Mann-Whitney *U* test was used. Differences in distribution were calculated by using the chi-square tests.

## Results

### Incidence of eGFR<40-alert and characteristics of the study population

During the study period 46781 creatinine measurements were performed in 25,929 ~~adult~~ ~~inhabitants of Zwolle~~ subjects. In 5.3% (n=1369) of ~~these subjects~~ cases, an eGFR  $\leq$ 40-alert was ~~identified~~ indicated. One patient indicated no willingness to participate for privacy reasons, leaving 1368 subjects for analysis (Figure 21). ~~Their~~ Characteristics are summarized in Table 3. Overall, 56% was female, ~~the~~ median age was 78 [69,84] years (~~age~~-distribution is shown in Figure 32) and ~~the~~ median eGFR was 34 [27,38] ml/min/1.73m<sup>2</sup>. Overall, polypharmacy was present in 73% (n=993) with a mean number of ~~drugs~~-medications per patient of 7 (range 0-21). An overview of the actual medication use in the study population (which reflects comorbidities) according to the ATC classification is given in ~~a~~Appendix 4A.

### Number and type of medication errors

Overall, 342 medication errors were detected in 211 patients with an eGFR  $\leq$ 40-alert (15% of the study population) (Figure 21). The proportion of errors increased with ~~higher~~-increasing age (Figure 32). ~~The types of medication most commonly associated with errors were diuretics (22%), antibiotics (21%), and anti-gout medications (15%) (Figure 3).~~ Figure summarizes the drug-groups associated with medication errors. Most errors concerned diuretics (22%) and antibiotics (21%), followed by anti-gout medication (15%). The majority of these ~~drugs~~-medications (77%) were prescribed by GPs. ~~An overview of the type of medication errors that were identified by the pharmacists is given in figure 4.~~

### Physicians' compliance with medication adjustment recommendations

#### Proposed drug adjustments and physicians' adherence

Figure 5 gives an overview of the frequency and types of ~~medication adjustment~~ recommendations proposed drug adjustments. The most common ~~advices~~-recommendations were 'change ~~drug~~-dosage' (55%), followed by 'stop ~~drug~~-medication' (24%). In 31% (n=105) the proposal concerned a new prescription. Physicians complied with the ~~proposal~~-recommendation in 66% (n=226) of cases. In 28% (n=96) of cases, the pharmacists' advice was rejected and the medication regimen remained unchanged. The main reasons for rejection ~~were included~~ already increased alertness with intensive monitoring by the prescribing physician (often being an internist



or nephrologist ~~deliberately prescribing the concerning drug~~) and an ~~insufficient inadequate~~ response to lower dosages in the past. The majority of rejected recommendations included diuretics and renin-angiotensin-aldosterone system (RAAS) blockers like ACE inhibitors and ARB drugs. In some cases, ~~the~~ recovery of ~~renal function~~~~acute renal impairment~~ was expected or underestimation of renal function (~~both generally checked with a 24-hour creatinine clearance~~) was presumed, ~~both of which were generally checked with a 24-hour creatinine clearance.~~ Overall, ~~acutely reduced eGFR did not account for an important subset of the eGFR<40 alerts towards the community pharmacists (n=3).~~ Notably, in 22 ~~out~~ of the 96 cases, ~~the medication was soon changed anyway, drug regimen was changed a short time later~~ due to ~~a~~ further decrease ~~of in the~~ eGFR or ~~the occurrence of~~ an ADE. ~~Thus~~Therefore, from the latter it seems plausible that with the eGFR  $\leq 40$ -alert the physician's awareness ~~on of the risk for an ADE risks~~ was triggered. Data on rejection or agreement lacked in 6% (n=20) of ~~the proposals~~cases.

**Potential risk factors for medication errors in patients with eGFR $\leq 40$  alerts**

Compared to the subjects without medication errors (n=1157), subjects ~~with for whom~~ ~~medication~~~~drug~~ adjustments ~~proposals were recommended~~ (n=211) were more often female (59% versus 41%,  $p=0.04$ ) and had a lower eGFR (median 34 [28,38] versus 29 [2,34] ml/min/1.73m<sup>2</sup>,  $p<0.001$ , respectively). Notably, the latter had higher rates of polypharmacy (70 versus 89%,  $p<0.001$ , mean number of ~~drugs medications~~ 6.6 (3.8) versus 8.2 (3.5),  $p<0.001$ ).

**Effectiveness: potential ADEs and occurrence of ADEs after follow up**

Overall, 88% (n=299) of the medication errors were regarded as relevant pADEs (score $>0$ ). These were mainly judged to be either significant or serious. An overview of the number and potential severity of pADEs in the study population is given in Figure 21.

Overall, 40 ADEs ~~were identified in hospital records were tracked~~ within one year ~~after the study~~ ~~period~~ in the group of subjects with ~~a~~ medication errors, including two life-threatening ADEs (bradycardia due to digoxin intoxication and acute kidney injury with lactic acidosis associated with persistent metformin use). The number and severity of ADEs are shown in Figure 21. Importantly, the ADE risk was higher in subjects whose ~~drug medication~~ regimen remained unchanged (n=60)

as compared to subjects whose ~~drug-medication~~ regimen was adjusted as ~~proposed-recommended~~ by the pharmacist (n=139); 38% versus 6%, respectively ~~(Table 4)~~.

### Effectiveness: workload and time investment of ~~the~~ pharmacists

After receiving an eGFR $\leq$ 40-alert, the pharmacist needed ~~on-an~~ average ~~of~~ 11 minutes (range 5-13 minutes) to check an individual's ~~drug-medication~~ regimen ~~on-medicationfor~~ errors. When taking into account the time needed for consultation ~~of-with~~ the prescribing physician, pharmacists required ~~on-an~~ average ~~of~~ 20 minutes ~~processing-to process~~ one eGFR $\leq$ 40-alert triggering a ~~drug medication~~ adjustment. All pharmacists judged the time investment as feasible ~~in-daily practice~~, particularly considering the fact that each pharmacy received an average of only one alert per week ~~mainly because on average every pharmacy received only one alert per week~~.

~~Retrospectively we evaluated the feasibility of different thresholds for kidney function alerts by calculating the number of low eGFR-alerts that would have been generated during the study period using different cut-offs for renal impairment (<30, <50 and <60 ml/min/1.73m<sup>2</sup>, respectively, see Appendix B).~~

Overall, 904 eGFR $\leq$ 40-alerts were activated in the records of the participating pharmacies ~~after one year at the end of the study period~~, as 16% (n=214) of the population died ~~during the study period~~ and in 250 subjects, the most recent eGFR was at least twice  $>50$  ml/min/1.73m<sup>2</sup>. ~~Thus/Therefore,~~ on average, every primary care pharmacy had 82 patients with an activated eGFR $\leq$ 40-alert. If we translate this to a standard Dutch GP practice ( $\pm$ 2300 patients), simple laboratory data sharing identified approximately 23 patients per practice who need drug adjustment(s) or extra alertness in medication management.

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Discussion

The main findings of this study were that an eGFR  $\leq 40$ -alert was ~~identified~~ indicated in 5.3% of the adult population of a Dutch city in whom a creatinine measurement was performed in an ambulatory setting and that in these subjects 342 medication errors (mainly involving antibiotics and diuretics) were detected during the year following ~~one year after~~ the introduction of an automatic eGFR  $\leq 40$ -alert system. The majority of the medication errors was regarded as a relevant pADEs, necessitating drug-medication adjustments as recommended by pharmacists according to pharmacists' protocols. Physicians complied with in 66% of these proposals ~~cases~~. ADE risk seems to increase s with age, polypharmacy, and in instances when the proposed medication adjustments were initially rejected ~~and when proposed drug adjustments are rejected initially~~. Overall, automatically generated low eGFR-alerts in primary care seemed effective, easy to implement, and, importantly, improves both the pharmacists' and the physicians' awareness on drug of medication safety.

Comparison with other studies

Despite the fact that medications are usually both prescribed and dispensed in the primary care setting, most studies on (p)ADEs have been hospital-based ~~Despite the fact that primary care settings account for the majority of drug prescribing and dispensing, most studies on (p)ADEs are hospital-based~~.<sup>3,9,10</sup> We aimed to study the incidence of (p)ADEs in a shared pharmaceutical care model with a central role for community pharmacists. Three primary health care studies on this topic reported lower pharmacist drug proposal rates (0.7-1.9%) than the reported 15% in our study ~~we found~~.<sup>31-33</sup> These studies were performed in a general population, while we selected a high-risk population of subjects with renal impairment. In line with our results, primary and ambulatory care studies evaluating pharmacists' drug proposals in vulnerable subgroups like the elderly or subjects with cardiovascular risk factors also reported higher rates.<sup>12,17,34,35</sup> One Two recent studies, also concerning subjects with renal impairment, identified drug-related ~~problems due-related~~ to inappropriate prescriptions-prescribing in over 20% of the patients.<sup>18,36</sup>

Patients with renal impairment are especially vulnerable to medication errors.<sup>12,13,18</sup> Various strategies to improve drug safety in these patients have been studied, like such as educational wards rounds, immediate clinician-pharmacist feedback, or dose adjustment according to renal

function at hospital discharge.<sup>12,18,37-42</sup> ~~But However,~~ despite the fact that most prescribing takes place in the primary health care setting the majority of drug prescriptions take place in primary health care, the majority of the strategies implemented so far have been tailored to hospital settings and are therefore not suitable for primary care most strategies so far were tailored to hospital settings and therefore not suitable for primary care settings. Others have demonstrated the effectiveness of 'computerized physician order entry' and 'clinical decision support' in reducing medication errors in case of renal impairment.<sup>39-41</sup> However, computerized drug prescribing alerts do not always guarantee a reduction of prescribing errors,<sup>43</sup> partly because such alerts are often overridden or ignored by prescribing physicians.<sup>41,44-46</sup> This phenomenon is also reflected in our data, as in 28% of cases pharmacist recommendations drug proposals were rejected by the prescribing physicians.

A central role for community pharmacists in improving medication safety in primary care has been recognized. Many pharmacists are gradually extending their role as integral members an integral part of the medical team around the patient, thus thereby taking an important position in a shared care environment.<sup>21,47,48</sup> This hais not only been induced by legislative issues,<sup>21,25</sup> but also recommended in different various guidelines and studies to counteract problems associated with multiple medication(drug) prescribers.<sup>20,26,32,48</sup> This is also important in view of our ageing population in which complex drug therapy will only increase, polypharmacy is common and renal impairment widespreadomnipresent.<sup>49,50</sup> A recent review showed notable differences in ADE prevalence rates by age groups increasing from 5% for adults up to 16% for the elderly.<sup>7</sup> ThusTherefore, in case of complex drug prescriptionscases (like in as with renal impairment) the close collaboration of between community pharmacists and physicians seems is essential to prevent ADEs.

The alert method we have investigated here could be a simple solution to address this.  
and our method could be a simple initiative for this.

Our strategy included three steps to reduce medication errors in patients with renal impairment. First, automatic laboratory alerts were generated, second these laboratory alerts were linked to pharmacy data to judge the need for drug adjustments, and third, pharmacists discussed recommended changesdrug proposals with physicians. Several studies investigated the impact of any the above steps. The introduction of automatically recorded-generated laboratory alerts had varied effects on the prescribing physician.<sup>41,51,52</sup> Authors suggested that such passive alerts did

not have enough of an impact. There is limited data on the effect of extending the alerts so that the community pharmacist was also involved on renal impairment directly towards prescribing physicians had varied impact.<sup>40,50,51</sup> Authors suggested that passive alerts were not directive enough for physicians. Data on extending alerts towards community pharmacists are however limited. Other studies showed that when the pharmacy data were linked with the laboratory renal data, the medication dosage could be beneficially adjusted. Others showed that drug dosage could benefit from the linkage of pharmacy data with laboratory renal data.<sup>12,42,53</sup> We aimed to optimize medication safety in cases of renal impairment by combining the aforementioned steps and tailored our strategy for application in the primary care setting. in renal impairment by combining these above steps and tailored our strategy to primary care.

Formatted: Tab stops: 1.31", Left

**Implications for clinical practice**

The estimated prevalence of both moderate (30-59 ml/min/1.73m<sup>2</sup>) and severe (15-29 ml/min/1.73m<sup>2</sup>) renal insufficiency in the adult American and Dutch population is 4.5% and 5.3% respectively.<sup>26,54</sup> Thus/Therefore, the number of subjects potentially susceptible to related medication/renal drug errors is substantial. If we compile our pADE-rate towards nationwide figures (based on 12,500,000 adults in the Netherlands), our type of data sharing could intercept more than 40,000 potential ADEs related to renal impairment each year. This would undoubtedly increase health care safety with already available data and (hopefully) decrease the costs of ADE related morbidities. Drug safety management might be further improved by extending patient data exchange towards other important parameters, like such as drug-medication allergies, platelet counts, electrolyte concentrations, INR, liver enzymes, and plasma drug levels.

**Strenghts and weaknesses of the study**

Some limitations of this study have to be noted. First, our study design does not allow determining individual health care effects, nor overall cost-benefits. This would necessitate a more complex study design as was for example used in the population-based randomized controlled renal drug alert effectiveness trial of Bhardwaja et al, or a 'before and after' design.<sup>36</sup> However, participating GPs and pharmacists indicated that the protocol improved their awareness on-of drug-medication errors related to renal function impairment. Second, data on the incidence of ADEs before start of the study project were not available in our region; therefore a possible change in ADEs incidence as a result of our interventions cannot be determined. Besides, the incidence of ADEs is likely

underestimated due to underreporting, missed recognition, and lack of recording in daily clinical practice.

Our study also has several strengths. First, our intervention can be easily implemented in various health care settings. We simply extended the availability of laboratory renal data which were not shared formerly. Second, physicians valued the pharmacists' involvement in improving health care delivery. The acceptance percentage of the pharmacists' Acceptance-of recommendations was fairly good (67%), as compared to previous studies (24-82%)<sup>17,32,34,37,51</sup> and our prescription ratio between GPs and hospital-based physicians (77:23%) reflects the normal distribution of prescriptions in the Netherlands (82:18%).<sup>55</sup> However, to improve the overall efficiency of the eGFR-alerts, also variables influencing physicians' (non) adherence towards pharmacists' recommendations (like type and duration of medication use) should be further studied. Third, the time investment was acceptable and costs were low. Finally, we chose for a safe, but also feasible threshold for renal function alerts. However, as thresholds for dosage adjustment vary between different guidelines, a higher cut-off of  $\leq 50$  or  $60 \text{ ml/min/1.73m}^2$ , or drug specific thresholds could be discussed.<sup>25,26,36,56</sup> Besides, as the Cockcroft-Gault (CG) formula is often used in pharmacokinetic studies and for drug dosing recommendations, the implications of the use of renal function estimates like the MDRD equations for drug dosing, is under debate. Several studies have compared drug dosing recommendations based on the CG with those based on the MDRD.<sup>57-59</sup> In summary, the accuracy of the MDRD seems comparable to the CG.<sup>57-59</sup> Based on these studies, in our opinion, the MDRD is a reasonable alternative to the CG for drug dosing. This is of importance especially since there is an increasing trend of clinical laboratories reporting the MDRD along with serum creatinine, which is also recommended by national and international organizations.<sup>26,60</sup>

Some guidelines advise a higher cut-off point for dose adjustments (creatinine clearance  $50-60 \text{ ml/min}$ ),<sup>11,61</sup> but this was expected to result in an amount of alerts exceeding an acceptable workload. Moreover, as the MDRD tends to underestimate true GFR, and we presumably already included subjects with true GFR  $>40 \text{ ml/min}$ .<sup>62</sup>

## Conclusions and policy implications

The introduction of automatic renal function alerts in the ambulatory care setting, with the involvement of both GPs and community pharmacists, revealed that a considerable part of the population is at risk for ADEs due to impaired renal function. In conclusion, the introduction of

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: Not Bold

Formatted: Font: 9 pt

Formatted: Font: 9 pt

Formatted: Font: 9 pt

Formatted: Font: 9 pt

Formatted: Font: Not Bold

Formatted: Font: 9 pt

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

~~automatic renal function alerts towards pharmacists in primary care revealed that a considerable number of subjects is at risk for ADEs due to renal impairment.~~ Extending the availability of renal laboratory data ~~towards~~ community pharmacists resulted ~~in their presenting the prescribing physicians with a considerable number of medication adjustment recommendations in a considerable amount of drug adjustment proposals to prescribing physicians. In our opinion We feel that~~ nationwide implementation of this simple protocol could ~~potentially~~ identify many pADEs and ~~thus~~ substantially reduce the risks of ~~unnecessary~~ iatrogenic damage in ~~subjects-persons~~ with ~~impaired-decreased~~ renal function.

## Acknowledgments

We would like to thank ~~all the~~ community pharmacists, the dispensary employees, and ~~all the~~ general practitioners in Zwolle for their participation in this study. We would also like to acknowledge Anton Huisman (laboratory staff) and Ellen Witteveen (medical student) who both took care of data collection and Marcel Ariës (neurologist) for his careful comments on the manuscript.



Table 1 | Characteristics of participating pharmacists and GPs, and their practices

Characteristics	Pharmacists	GPs
Participants		
Number (%)	22 (100)	65 (100)
Sex, n (%)		
male	9 (40)	42 (65)
female	13 (60)	23 (35)
Years in practice, n (%)		
0-10	10 (45)	25 (39)
11-20	9 (41)	15 (23)
21-30	0 (0)	21 (32)
>30	3 (14)	4 (6)
Position in practice, n (%)		
(joint) owner	6 (27)	45 (70)
employee	16 (73)	20 (30)
Practice		
Number (%)	11 (100)	24 (100)
Practice type, n (%)		
independent	9 (80)	-
chain	2 (20)	-
Overall number of patients, n	114.033	117.147
Practice size, median [IQR]	10.000 [7.000, 14.000]	3426 [2691, 6586]
Prescription system, n (%)		
computer-based	11 (100)	24 (100)

IQR=Interquartile Range; GP=general practitioner

Table 2 Categories of potential adverse drug events (pADEs) according to severity

Formatted Table

Score	Potential severity	Examples
0	<i>Drug error without potential harm</i>	<ul style="list-style-type: none"> <li>Not applicable</li> </ul>
1	<i>Significant</i>	<ul style="list-style-type: none"> <li>Gastro-intestinal complaints</li> <li>Therapeutically ineffective dose according to eGFR</li> <li>Mild neurological effects (e.g. motoric dysfunction)</li> <li>Hepatic dysfunction</li> <li>Any significant event identified by patient which does not require change in therapy</li> </ul>
2	<i>Serious</i>	<ul style="list-style-type: none"> <li>Hypoglycemia</li> <li>Nephrotoxicity or increased risk nephrolithiasis</li> <li>Electrolyte disturbances (e.g. hyperpotassiemia)</li> <li>Altered mental status due to sedation</li> <li>Myopathy or rhabdomyolysis</li> <li>Gastrointestinal bleed</li> </ul>
3	<i>Life threatening</i>	<ul style="list-style-type: none"> <li>Lactic acidosis</li> <li>Cardiac arrhythmia</li> <li>Decline in mental status with risk of falling</li> <li>Respiratory failure requiring intubation (e.g. bronchospasms)</li> </ul>
4	<i>Fatal</i>	<ul style="list-style-type: none"> <li>Death</li> </ul>

Table 3 | Characteristics of the study population

Variable	
Number of subjects, n (%)	1368 (100)
Demographics	
Age (years), median [IQR]	78 [69,84]
Male, n (%)	601 (44)
Diabetes, n (%)	346 (25)
Renal variables	
eGFR (ml/min/1.73m <sup>2</sup> ), median [IQR]	34 [27,38]
Serum creatinine (μmol/ml), median [IQR]	152 [128,186]
Actual drug regimen	
Number of drugs, median [IQR]	7 [4,9]
Polypharmacy, n (%)	993 (73)

IQR=interquartile range; eGFR=estimated glomerular filtration rate

**Table 4 | Adverse drug event (ADE) risk in subjects with a medication error.**

Comparison of ADE risk in subjects whose drug regimen was adjusted as proposed by the pharmacist versus subjects in whom drug regimen remained unchanged.

Subjects with medication error	Potential ADE (n=211)	ADE (n=34)	ADE Risk
<b>Drug regimen adjusted</b> (number of subjects)	139	9	<i>With intervention:</i> <b>6%</b>
<b>Drug regimen unchanged</b> (number of subjects)	60	23	<i>Without intervention:</i> <b>38%</b>
<b>Unknown</b> (number of subjects)	12	2	-

REFERENCES

1. Leendertse AJ, Egberts AC, Stoker LJ et al; HARM Study Group. Frequency of and risk factors for preventable medication-related hospital admissions in the Netherlands. *Arch Intern Med* 2008;168:1890-6.

2. Patel P, Zed PJ. Drug-related visits to the emergency department: how big is the problem? *Pharmacotherapy* 2002;22:915-23.

3. Beijer HJ, de Blaey CJ. Hospitalisations caused by adverse drug reactions (ADR): a meta-analysis of observational studies. *Pharm World Sci* 2002;24:46-54.

4. Gandhi TK, Weingart SN, Borus J, et al. Adverse drug events in ambulatory care. *N Engl J Med* 2003;348:1556-64.

5. Gurwitz JH, Field TS, Harrold LR, et al. Incidence and preventability of adverse drug events among older persons in the ambulatory setting. *JAMA* 2003;289:1107-16.

6. Thomsen LA, Winterstein AG, Søndergaard B, et al. Systematic review of the incidence and characteristics of preventable adverse drug events in ambulatory care. *Ann Pharmacother* 2007;41:1411-26.

7. Taché SV, Sönnichsen A, Ashcroft DM. Prevalence of adverse drug events in ambulatory care: a systematic review. *Ann Pharmacother* 2011;45:977-89.

8. Guthrie B, McCowan C, Davey P, et al. High risk prescribing in primary care patients particularly vulnerable to adverse drug events: cross sectional population database analysis in Scottish general practice. *BMJ* 2011;342:d3514.

9. Bates DW, Cullen DJ, Laird N, et al; for the ADE Prevention Study Group. Incidence of adverse drug events and potential adverse drug events: implications for prevention. *JAMA* 1995;247:29-34.

10. Hug BL, Witkowski DJ, Sox CM, et al. Occurrence of adverse, often preventable, events in community hospitals involving nephrotoxic drugs or those excreted by the kidney. *Kidney Int* 2009;76:1192-8.

11. Munar MY, Singh H. Drug dosing adjustments in patients with chronic kidney disease. *Am Fam Physician* 2007;75:1487-96.

12. Yap C, Dunham D, Thompson J, et al. Medication dosing errors for patients with renal insufficiency in ambulatory care. *Jt Comm J Qual Patient Saf* 2005;31:514-21.

13. Minutolo R, De Nicola L, Mazzaglia G, et al. Detection and awareness of moderate to advanced CKD by primary care practitioners: a cross-sectional study from Italy. *Am J Kidney Dis* 2008;52:444-53.

14. Fink JC, Brown J, Hsu VD, et al. CKD as an underrecognized threat to patient safety. *Am J Kidney Dis* 2009;53:681-8.

15. Stevens LA, Fares G, Fleming J, et al. Low rates of testing and diagnostic codes usage in a commercial clinical laboratory: evidence for lack of physician awareness of chronic kidney disease. *J Am Soc Nephrol* 2005;16:2439-48.

16. Hu KT, Matayoshi A, Stevenson FT. Calculation of the estimated creatinine clearance in avoiding drug dosing errors in the older patient. *Am J Med Sci* 2001;322:133-6.

17. Patel HR, Prunchnicki MC, Hall LE. Assessment for chronic kidney disease service in high-risk patients at community health clinics. *Ann Pharmacother* 2005;39:22-7.

18. Desrochers JF, Lemieux JP, Morin-Bélanger C, et al. Development and validation of the PAIR (Pharmacotherapy Assessment In chronic Renal disease) criteria to assess medication safety and use issues in patients with CKD. *Am J Kidney Dis* 2011;58:527-35.

19. Franke L, Avery AJ, Groom L, et al. is there a role for computerized decision support for drug dosing in general practice? A questionnaire survey. *J Clin Pharm Ther* 2000;25:373-7.

20. Kuo GM, Buckley TE, Fitzsimmons DS, et al. Collaborative drug therapy management services and reimbursement in a family medicine clinic. *Am J Health-Syst Pharm* 2004;61:343-54.

21. Chen YF, Neil KE, Avery AJ, et al. Prescribing errors and other problems reported by community pharmacists. *Ther Clin Risk Manag* 2005;1:333-42.

22. Molokhia M, Curcin V, Majeed A. Improving pharmacovigilance. Use of routinely collected data. *BMJ* 2010 May 4;340:c2403.
23. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;163:893-900.
24. Centraal Bureau voor de Statistiek (CBS). [www.cbs.nl](http://www.cbs.nl). Entry date 01-12-2011.
25. Koninklijke Nederlandse Maatschappij ter bevordering der Pharmacie (KNMP). Verminderde nierfunctie; doseringsadviezen voor geneesmiddelen. Geneesmiddel Informatie Centrum. Editie 2009. Den Haag. ISBN 978-90-812445-4-1.
26. De Grauw WJC, Kaasjager HAH, Bilo HJG, et al. Landelijke transmurale afspraak (LTA) chronische nierschade. *Huisarts Wet* 2009;52:586-97.
27. Levey AS, Coresh J, Greene T, et al. Chronic Kidney Disease Epidemiology Collaboration. Expressing the Modification of Diet in Renal Disease Study equation for estimating glomerular filtration rate with standardized serum creatinine values. *Clin Chem* 2007;53:766-72.
28. Anatomical Therapeutic Chemical (ATC) classification system. [www.whooc.no/atc](http://www.whooc.no/atc). Entry date 01-02-2009.
29. Morimoto T, Gandhi TK, Seger AC, et al. Adverse drug events and medication errors; detection and classification methods. *Qual Saf Health Care* 2004; 13:306-14.
30. Egbring M, Far E, Knuth A, et al. Performance of different data sources in identifying adverse drug events in hospitalized patients. *Eur J Clin Pharmacol* 2011;67:909-18.
31. Rupp MT, DeYoung M, Schondelmeyer SW. Prescribing problems and pharmacist interventions in community practice. *Med Care* 1992;30:926-40.
32. Hawsworth GM, Corlett AJ, Wright DJ, et al. Clinical pharmacy interventions by community pharmacists during the dispensing process. *Br J Clin Pharmacol* 1999;47:695-700.
33. Benrimoj SI, Langford JH, Berry G, et al. Clinical intervention rates in community pharmacy; a randomized trial of the effect of education and a professional allowance. *Int J Pharm Pract* 2003;11:71-80.
34. Monane M, Matthias DM, Nagle BA, et al. Improving prescribing patterns for the elderly through an online drug utilization review intervention: a system linking the physician, pharmacist, and computer. *JAMA* 1998;280:1249-52.
35. Zermansky AG, Petty DR, Raynor DK, et al. Randomised controlled trial of clinical medication review by a pharmacist of elderly patients receiving repeat prescriptions in general practice. *BMJ* 2001;323:1340-3.
36. Bhargwaja B, Carroll NM, Raebel MA, et al. Improving Prescribing Safety in Patients with Renal Insufficiency in the Ambulatory Setting: The Drug Renal Alert Pharmacy (DRAP) Program. *Pharmacother* 2011;31:346-56.
37. Nash IS, Rojas M, Hebert P, et al. Reducing excessive medication administration in hospitalized adults with renal dysfunction. *Am J Med Qual* 2005;20:64-9.
38. Falconnier AD, Haefeli WE, Schoenenberger RA, et al. Drug dosage in patients with renal failure optimized by immediate concurrent feedback. *J Gen Intern Med* 2001;16:369-75.
39. Goldberg DE, Baardsgaard G, Johnson MT, et al. Computer-based program for identifying medication orders requiring dosage modification based on renal function. *Am J Hosp Pharm* 1991;48:1965-9.
40. Chertow GM, Lee J, Kuperman GJ, Burdick E, et al. Guided medication dosing for inpatients with renal insufficiency. *JAMA* 2001;286:2839-44.
41. McCoy AB, Waitman LR, Gadd Cs, et al. A computerized provider order entry intervention for medication safety during acute kidney injury; a quality improvement report. *Am J Kidney Dis* 2010;56:832-41.
42. van Dijk EA, Drabbe NR, Kruijtbosch M, et al. Drug dosage adjustments according to renal function at hospital discharge. *Ann Pharmacother* 2006;40:1254-60.
43. Gandhi TK, Weingart SN, Seger AC, et al. Outpatient prescribing errors and the impact of computerized prescribing. *J Gen Intern Med* 2005;20:837-41.
44. Isaac T, Weissman JS, Davis RB, et al. Overrides of medication alerts in ambulatory care. *Arch Intern Med* 2009;169:305-11.

45. Weingart SN, Toth M, Sands DZ, et al. Physicians' decisions to override computerized drug alerts in primary care. *Arch Intern Med* 2003;163:2625-31.

46. Galanter WL, Moja J, Lambert BL. Using computerized provider order entry and clinical decision support to improve prescribing in patients with decreased GFR. *AJKD* 2010;56:809-12.

47. Warholak TL, Rupp MT. Analysis of community chain pharmacists' interventions on electronic prescriptions. *J Am Pharm Assoc* 2003;49:59-64.

48. Bell JS, Rosen A, Aslani P, et al. Developing the role of pharmacists as members of community mental health teams: perspectives of pharmacists and mental health professionals. *Res Social Adm Pharm* 2007;3:392-409.

49. Olvaei AJ, Bennett WM. Drug dosing in the elderly patients with chronic kidney disease. *Clin Geriatr Med* 2009;25:459-27.

50. Wetzels JF, Kiemeny LA, Swinkels DW, et al. Age-and gender-specific reference values of estimated GFR in Caucasians: the Nijmegen Biomedical Study. *Kidney Int* 2007;72:632-7.

51. Tegeder I, Levy M, Muth-Selbach U, et al. Retrospective analysis of the frequency and recognition of adverse drug reactions by means of automatically recorded laboratory signals. *Br J Clin Pharmacol* 1999;47:557-64.

52. Sellier E, Colombet I, Sabatier B, et al. Effect of alerts for drug dosage adjustment in inpatients with renal insufficiency. *J Am Med Inform Assoc* 2009;16:203-10.

53. Schiff GD, Klass D, Peterson J, et al. Linking laboratory and pharmacy: opportunities for reducing errors and improving care. *Arch Intern Med* 2003;28:893-900.

54. Coresh J, Astor BC, Greene T, et al. Prevalence of chronic kidney disease and decreased kidney function in the adult US population: Third National Health and Nutrition Examination Survey. *Am J Kidney Dis* 2003;41:1-12.

55. Pharmaceutisch Weekblad 2008;38:13. <http://www.pw.nl/archief/2008/2008-38/2008pw38p13.pdf/view>.

56. Long CL, Raebel MA, Price DW, et al. Compliance with Dosing Guidelines in Patients with Chronic Kidney Disease. *Ann Pharmacother*. 2004;38:853-58.

57. Stevens LA, Nolin TD, Richardson MM, et al. Comparison of drug dosing recommendations based on measured GFR and kidney function estimating equations. *Am J Kidney Dis* 2009;54:33-42.

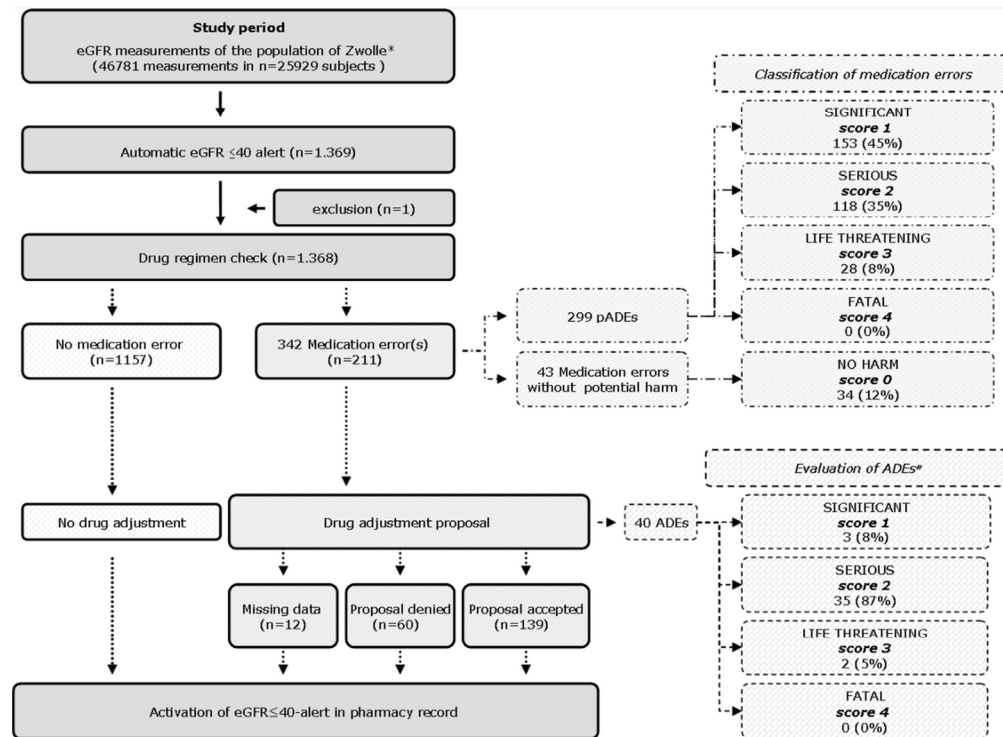
58. de Lemos ML, Hsieh T, Hamata L, et al. Evaluation of predictive formulae for glomerular filtration rate for carboplatin dosing in gynaecological malignancies. *Gynecol Oncol* 2006;103:1063-69.

59. Stevens LA and Levey AS. Use of the MDRD study equation to estimate kidney function for drug dosing. *Clin Pharmacol Ther* 2009;86:465-67

60. National Kidney Foundation. K/DOQI clinical practice guidelines for CKD evaluation, classification, and stratification. *Am J Kidney Dis* 2002;39:S1-266.

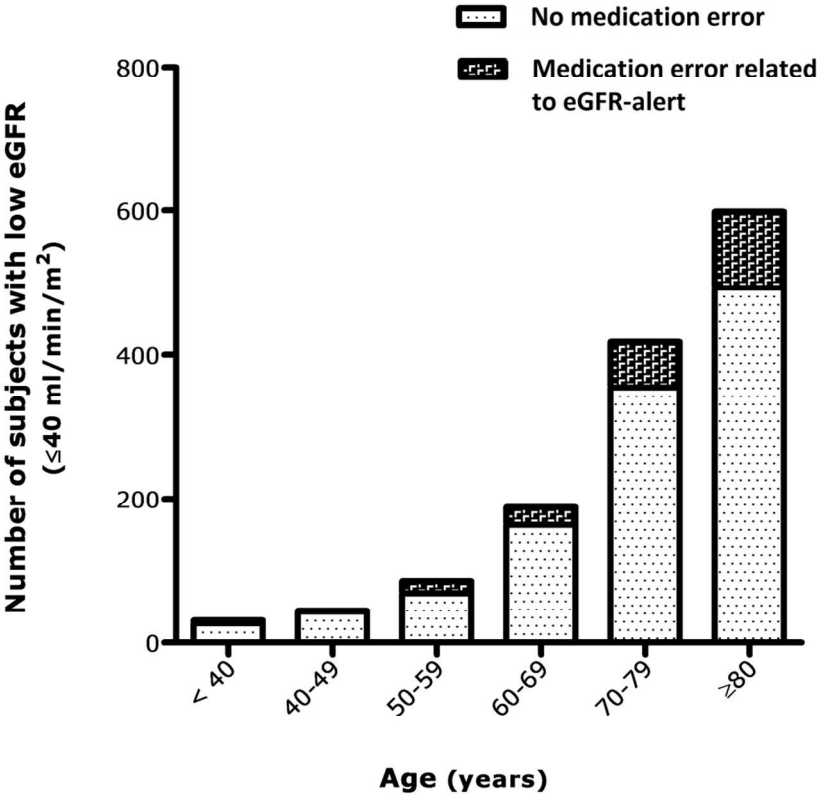
61. Hanlon JT, Aspinall SL, Semla TP, et al. Consensus guidelines for oral dosing of primarily renally cleared medications in older adults. *J Am Geriatr Soc* 2009;57:335-40.

62. Stevens LA, Coresh J, Greene T, et al. Assessing kidney function--measured and estimated glomerular filtration rate. *N Engl J Med* 2006;354:2473-83.

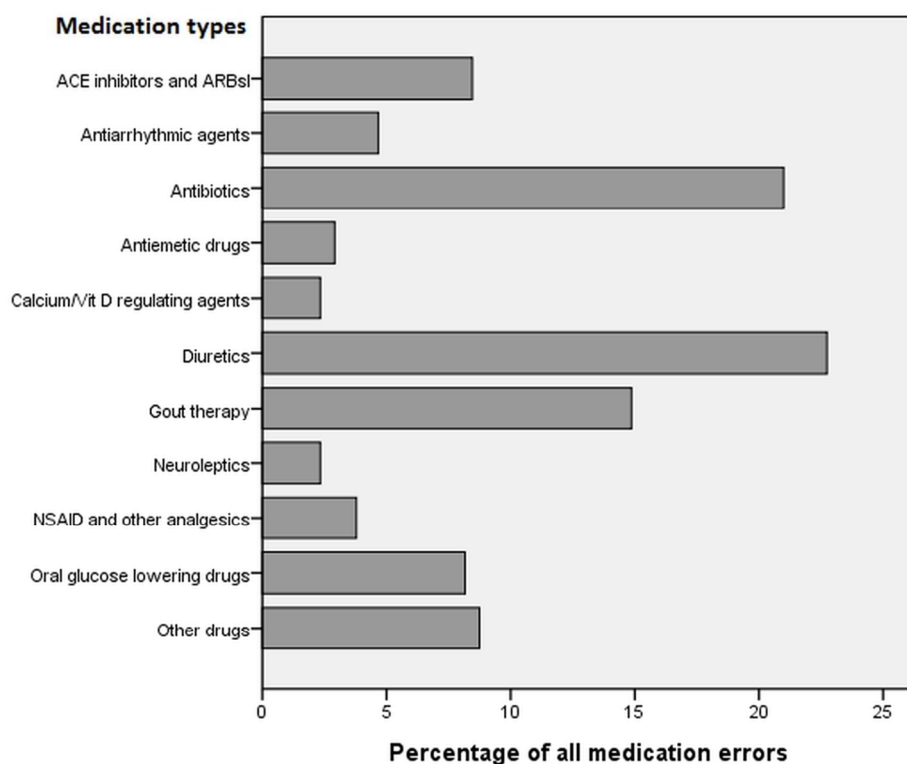


Flow chart summarizing study method and selection of study population.  
122x90mm (300 x 300 DPI)

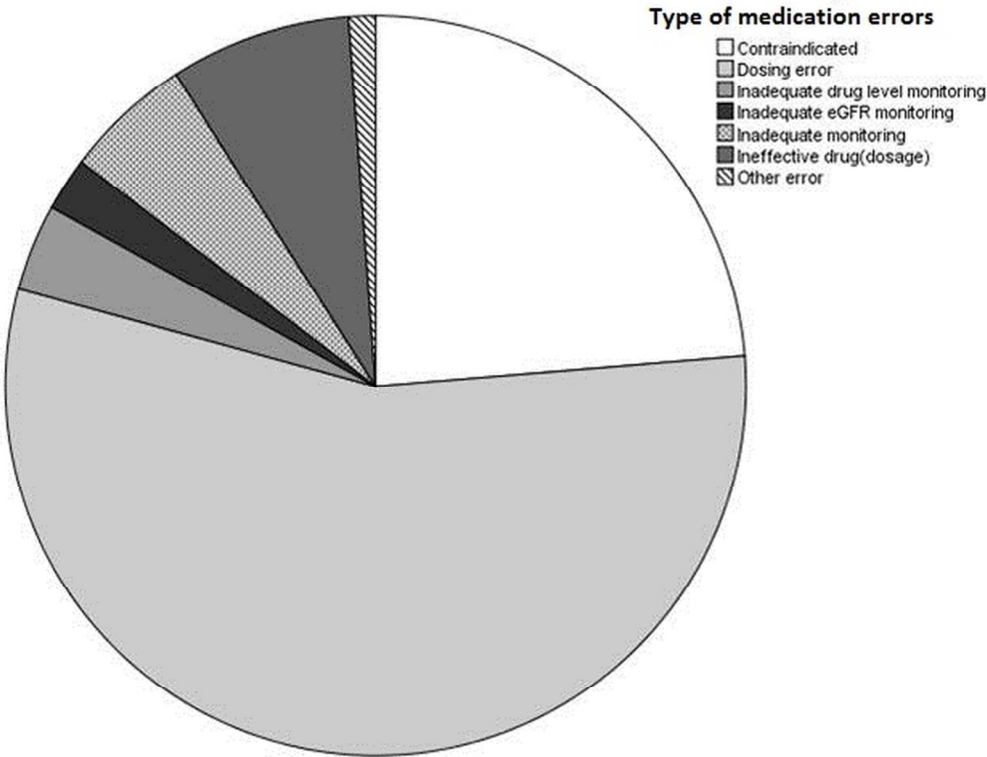




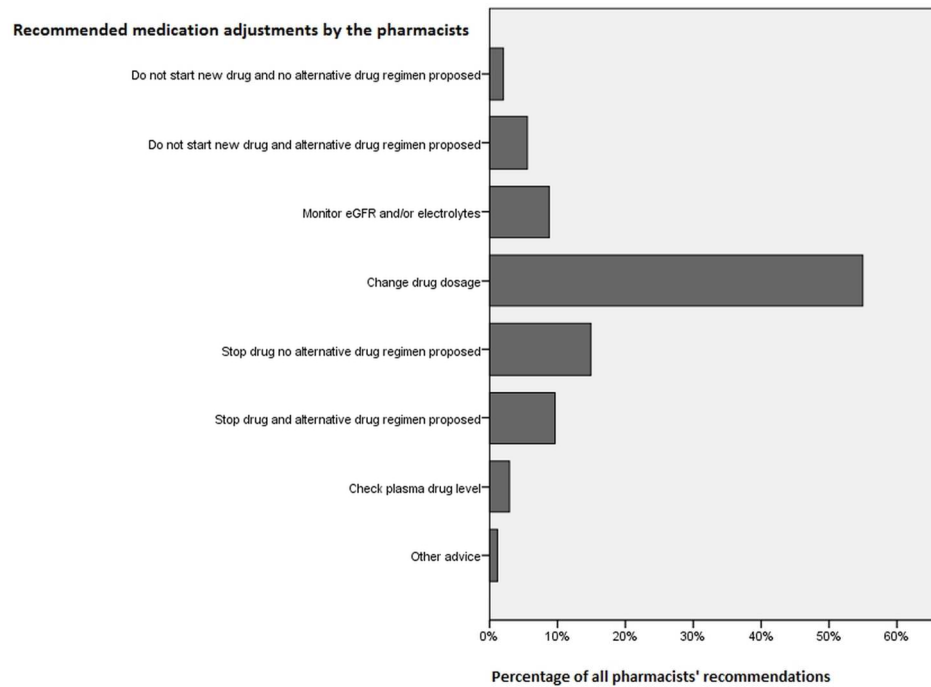
Age distribution of study population and risk of medication error per age category.  
105x90mm (300 x 300 DPI)



Medication groups associated with medication errors related to renal impairment.  
106x90mm (300 x 300 DPI)



Type of medication errors identified by the pharmacists.  
112x90mm (300 x 300 DPI)



Type and frequency of recommended medication adjustments by community pharmacists.  
129x90mm (300 x 300 DPI)

SUPPLEMENTAL DATA

Supplemental table A | Overview of medication use according to the ATC classification in the study

ATC CLASSIFICATION		Number (%)
<b>A</b>	<b>ALIMENTARY TRACT AND METABOLISM</b>	<b>1764 (19.1)</b>
<b>A01</b>	STOMATOLOGICAL PREPARATIONS	4
<b>A02</b>	DRUGS FOR ACID RELATED DISORDERS	556
<b>A03</b>	DRUGS FOR FUNCTIONAL GASTROINTESTINAL DISORDERS	27
<b>A04</b>	ANTIEMETICS AND ANTINAUSEANTS	15
<b>A05</b>	BILE AND LIVER THERAPY	7
<b>A06</b>	LAXATIVES	194
<b>A07</b>	ANTIDIARRHEALS, ANTIINFLAMMATORY/ANTIINFECTIVE	30
<b>A09</b>	DIGESTIVES, INCL. ENZYMES	6
<b>A10</b>	DRUGS USED IN DIABETES	558
<b>A11</b>	VITAMINS	179
<b>A12</b>	MINERAL SUPPLEMENTS	185
<b>A15</b>	APPETITE STIMULANTS	1
<b>A16</b>	OTHER ALIMENTARY TRACT AND METABOLISM PRODUCTS	2
<b>B</b>	<b>BLOOD AND BLOOD FORMING ORGANS</b>	<b>1107 (11.9)</b>
<b>B01</b>	ANTITHROMBOTIC AGENTS	902
<b>B02</b>	ANTIHEMORRHAGICS	2
<b>B03</b>	ANTIANEMIC PREPARATIONS	203
<b>C</b>	<b>CARDIOVASCULAR SYSTEM</b>	<b>4064 (43.8)</b>
<b>C01</b>	CARDIAC THERAPY	400
<b>C02</b>	ANTIHYPERTENSIVES	28
<b>C03</b>	DIURETICS	1145
<b>C04</b>	PERIPHERAL VASODILATORS	1
<b>C07</b>	BETA BLOCKING AGENTS	767
<b>C08</b>	CALCIUM CHANNEL BLOCKERS	316
<b>C09</b>	AGENTS ACTING ON THE RENIN-ANGIOTENSIN SYSTEM	830
<b>C10</b>	LIPID MODIFYING AGENTS	577
<b>D</b>	<b>DERMATOLOGICALS</b>	<b>3 (0.03)</b>
<b>G</b>	<b>GENITO URINARY SYSTEM AND SEX HORMONES</b>	<b>147 (1.6)</b>
<b>H</b>	<b>SYSTEMIC HORMONAL PREPARATIONS</b>	<b>254 (2.8)</b>
<b>J</b>	<b>ANTIINFECTIVES FOR SYSTEMIC USE</b>	<b>165 (1.9)</b>
<b>L</b>	<b>ANTINEOPLASTIC AND IMMUNOMODULATING AGENTS</b>	<b>100 (1.0)</b>
<b>M</b>	<b>MUSCULO-SKELETAL SYSTEM</b>	<b>312 (3.4)</b>
<b>N</b>	<b>NERVOUS SYSTEM</b>	<b>846 (9.2)</b>
<b>P</b>	<b>ANTIPARASITIC PRODUCTS, INSECTICIDES, REPELLENTS</b>	<b>6 (0.06)</b>
<b>R</b>	<b>RESPIRATORY SYSTEM</b>	<b>417 (4.6)</b>
<b>S</b>	<b>SENSORY ORGANS</b>	<b>6 (0.06)</b>
<b>V</b>	<b>VARIOUS</b>	<b>36 (0.5)</b>
<b>OVERALL</b>		<b>9227 (100)</b>

**Supplemental table B | The calculated number of patients in the study setting and the change in amount of patients (workload) when applying different thresholds for eGFR-alerts**

eGFR threshold (ml/min/1.73m <sup>2</sup> )	Number of patients	Change in workload (%)
<30	647	-47%
<40 (current study design)	1369	reference
<50	2696	+196%
<60	5041	+368%

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Supplemental table C. Technical details of automatic laboratory alerts**

In the management database system of our laboratory the relationship and indexes of different types of data are embedded. We defined a query in this database to select our study population. This query included: test code (eGFR), ambulatory laboratory requests (excluding clinical eGFR data), and data were filtered on age  $\geq 18$ , eGFR  $\leq 45$  and zip codes of the city of Zwolle. The query was run periodically (weekly). A module matching the patients' unique Citizens Service Number (CSN) with the patient's pharmacy code was developed for this project, which enabled us to address the eGFR-alerts to the right community pharmacy. The fact that in The Netherlands patients are generally registered at one single community pharmacy (en thus have a one personal pharmacy code) facilitates this method.

For peer review only