Study protocol of an open-label proof-of-concept trial examining the safety and clinical efficacy of psilocybin-assisted therapy for veterans with PTSD

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ABSTRACT

Introduction  Psilocybin-assisted therapy has shown significant promise in treating the cluster of mood and anxiety symptoms that comprise post-traumatic stress disorder (PTSD) but has yet to be tested specifically in this condition. Furthermore, current pharmacological and psychotherapeutic treatments for PTSD are difficult to tolerate and limited in efficacy, especially in the US Military Veteran (USMV) population. This open-label pilot study will examine the safety and efficacy of two psilocybin administration sessions (15 mg and 25 mg), combined with psychotherapy, among USMVs with severe, treatment resistant PTSD.

Methods and analysis  We will recruit 15 USMVs with severe, treatment resistant PTSD. Participants will receive one low dose (15 mg) and one moderate/high dose (25 mg) of psilocybin in conjunction with preparatory and post-psilocybin therapy sessions. The primary safety outcome will be the type, severity and frequency of adverse events and suicidal ideation/behaviour, as measured by the Columbia Suicide Severity Rating Scale. The primary outcome measure for PTSD will be the Clinician Administered PTSD Scale-5. The primary endpoint will be 1 month following the second psilocybin administration session, and the total follow-up time will be 6 months.

Ethics and dissemination  All participants will be required to provide written informed consent. The trial has been authorised by the Ohio State University Institutional Review Board (study number: 2022H0280). Dissemination of results will occur via a peer-reviewed publication and other relevant media.

Trial registration number  NCT05554094.

INTRODUCTION

In recent years, there has been increasing interest in investigating the use of psilocybin, a classic hallucinogen, as an adjunct to psychotherapy for the treatment of various psychiatric conditions. Recently, completed trials have shown positive effects of this treatment among patients with depression and anxiety,1,3 obsessive compulsive disorder4 and substance use disorders.5-7 Results from these studies demonstrate that psilocybin-assisted therapy (PAT) is safe and has a minimal adverse event (AE) profile, with no documented serious AEs when provided in a context that includes substantial psychological support. In addition, data revealed robust short-term and long-term improvements in the constellation of mood, substance use and anxiety symptoms that represent the core aspects of post-traumatic stress disorder (PTSD).8

Current evidence supports the notion that PAT may be effective in treating PTSD, in part due to the high rates of comorbidity with depression and anxiety, and the overlap in symptomology in these conditions.9 Consistent with this hypothesis, a recent open-label trial of group PAT for demoralisation in long-term AIDS survivors showed a clinically meaningful change in PTSD symptom severity, as measured by the PTSD Checklist-5 (PCL-5), from baseline to 3-month follow-up with a large effect size.10 However, this study was not designed specifically to address symptoms of PTSD, nor did it focus on the broader population of people with this diagnosis.
Furthermore, no study to date has focused on examining the safety and efficacy of PAT among US Military Veterans (USMV), a population with a substantial burden of mental health problems compared with the general population. For example, despite inherent resiliencies and specialised training, members of the armed forces are often exposed to several military deployments and intense combat incidents, which are associated with a higher risk of PTSD compared with the civilian population. 

In addition, there has been an alarming increase in the incidence of suicides among Veterans, set in the context of limited effective treatment methods for this unique population. Although the association between PTSD and suicide risk is complex and sometimes related to other factors, such as psychiatric comorbidity and demographic, social and psychological characteristics, the need to reduce the risk associated with suicidality in this population remains critical. Moreover, evidence suggests a general association between psychedelic administration and reduced suicidality in the general population and in PAT trials, suggesting this approach could be helpful regardless of the aetiology of suicidality.

Although several pharmacological and psychotherapy interventions have been developed to treat PTSD, these treatments are difficult to complete, challenging to access and are lacking in efficacy for many Veterans. Furthermore, there is emerging evidence that standard pharmacological treatments are not as effective in Veterans with PTSD as they are in civilians, and are consequently no longer recommended as a front-line treatment in this population. Although there is encouraging preliminary data on the safety and efficacy of PAT from a variety of patient populations, more research is needed to establish safety and efficacy of this treatment among USMV with PTSD. Not only could this novel treatment help ameliorate the burden of PTSD in this population, but it could also potentially reduce the risk of suicides among USMV and reduce the devastating impact of this mental health crisis in families and communities across the USA.

Therefore, the current study was designed as an open-label pilot study testing the following primary hypotheses in USMVs with severe, treatment resistant PTSD: (1) PAT is safe to administer among Veterans with PTSD (2) PAT will be associated with decreases in PTSD symptom severity at 1 month after final psilocybin session as measured by the Clinician Administered PTSD Scale (CAPS-5) and the PCL-5.

**METHODS AND ANALYSIS**

**Objectives**

The primary objective of the study is to investigate the safety of PAT among USMVs with severe, treatment-resistant PTSD based on the type, severity and frequency of AEs and suicidal ideation/behaviour, as measured by the Columbia Suicide Severity Rating Scale (CSSR-S), from baseline to primary endpoint, 1-month post-second psilocybin administration.

The secondary objectives of the study are as follows:

1. Investigate the effect of PAT on clinician rated PTSD symptom severity through the CAPS-5 measured at baseline and 1-month, 3-month and 6-month post-second psilocybin administration.
2. Investigate the effect of PAT on participant rated PTSD symptom severity using PCL-5 measured at baseline and 1-month, 3-month and 6-month post-second psilocybin administration.

**Setting**

This study takes place in the Clinical Research Centre at the Davis Medical Research Center within the Wexner Medical Center at The Ohio State University (OSU) in Columbus, Ohio, USA.

**Design**

The study was designed to partially replicate the PAT intervention used in a trial for people with major depressive disorder by conducting an open-label pilot study of two psilocybin administration sessions combined with psychotherapy for PTSD among USMV with severe, treatment resistant PTSD (Trial Protocol Version #1). Because this study is a single group open-label pilot safety and feasibility study, there is no randomisation procedure or blinding to condition.

**Participants and recruitment**

**Recruitment**

We will consent up to 100 volunteers (some will not pass the in-person screening). To achieve 15 individuals who will be enrolled in the study and subsequently complete both psilocybin sessions and the final follow-up assessment. We will aim to reflect recent data from the 2019 to 2020 National Health and Resilience in Veterans Study, which showed that 26.7% of US Veterans with past-month PTSD were female and 34.8% were non-white. Extrapolating from this analysis, we expect to recruit 11 male participants, 4 female participants, 10 white and 5 non-white participants. Recruitment will consist of electronic dissemination of study flyers, social media ads, provider network communications via in-person meetings/telephone/email, email networking and word of mouth.

**Screening**

Potential participants will be invited to prescreen for the study via word of mouth, clinician referrals, listserv postings, social media advertising and email distribution. Initial prescreening will occur via a secure online questionnaire to determine if major inclusion/exclusion criteria are met. Participants who meet all study criteria, and who are not currently taking any psychiatric medications, will be invited to the OSU Department of Psychiatry and Behavioral Health Davis Medical Research Center for an in-person screening. If a potential participant is currently taking psychiatric medications, they must taper off their medications under the direction and monitoring.
of their prescribing physician before they can be invited to an in-person screening. Written informed consent will be obtained by study staff during a scheduled meeting after participants have passed the initial online prescreening.

Inclusion/exclusion criteria

Inclusion:

▸ Participants must be USMV.
▸ Must have a Diagnostic and Statistical Manual of Mental Disorders, 5th Edition (DSM-5) diagnosis of PTSD with symptom duration of at least 6 months with a CAPS-5 total severity score of ≥35 at baseline.
▸ Have had at least 3 months of prior selective serotonin reuptake inhibitor (SSRI) or selective noradrenergic reuptake inhibitor (SNRI) treatment in addition to at least 6 months of any psychotherapy.
▸ Have at least a high school level of education or equivalent (eg, General Education Development (GED) Certification).
▸ No antidepressant medications for approximately five half-lives prior to baseline assessment and enrolment.
▸ Be judged by study team clinicians to be at low risk for suicidality.
▸ Be medically stable as determined by screening for medical problems via a personal interview, a medical questionnaire, a physical examination, an ECG, and routine medical blood and urinalysis laboratory tests.
▸ Have limited lifetime use of hallucinogens (the following criteria are preferred: no use in the past 5 years; total hallucinogen use less than 10 times).

Exclusion:

▸ Participants who were assigned female at birth who are pregnant (as indicated by a positive urine pregnancy test assessed at intake and before each drug session) or nursing; people who are of childbearing potential and sexually active who are not practising a highly effective means of birth control (ie, implants, injectables, combined oral contraceptives, progesterin containing intrauterine device (IUD) or vasectomised partner).
▸ Participants who were assigned male at birth with partners of childbearing potential who are sexually active and not practising a highly effective means of contraception (ie, condom with spermicidal foam/gel/film/cream/suppository).
▸ Current medical condition incompatible with psilocybin administration (eg, coronary artery disease, uncontrolled hypertension).
▸ Systolic blood pressure >139 mm Hg; diastolic blood pressure >89 mm Hg; heart rate (HR)>90 bpm.
▸ Currently taking, on a regular (eg, daily) basis, any medication(s) having a primary centrally acting serotonergic effect, including monoamine oxidase inhibitors (MAOIs).
▸ Current or history of meeting DSM-5 criteria for schizophrenia spectrum or other psychotic disorders (except substance/medication-induced or due to another medical condition), bipolar I or II disorder.
▸ Current or history of (within 1 year of meeting DSM-5 criteria) a moderate or severe alcohol, tobacco or other drug use disorder (excluding caffeine).
▸ Have a first-degree or second-degree relative with schizophrenia spectrum or other psychotic disorders (except substance/medication-induced or due to another medical condition) or bipolar I or II disorder.
▸ Has a psychiatric condition that precludes the establishment of therapeutic rapport.
▸ History of a medically significant suicide attempt.
▸ Current antidepressant use.

Enrolment

After enrolment, participants will receive preparatory psychotherapy followed by one low dose (15 mg) and one moderate/high dose (25 mg) of psilocybin, followed by post-psilocybin therapy sessions. Each psilocybin session will last approximately 8 hours and will be overseen by two trained session facilitators (session facilitators can vary due to scheduling or other treatment considerations). Before the first psilocybin session, participants will meet with one or both session facilitators for approximately 8 hours of contact time (or up to 4 meetings). Two post-psilocybin therapy session visits will follow psilocybin sessions 1 and 2. Psilocybin sessions 1 and 2 will occur at least 2 weeks apart. Follow-up visits will occur 1 and 2 week(s) and 1, 3 and 6 month(s) after the final psilocybin session, with additional contact hours scheduled as needed. Thus, the intervention and follow-up require 15 visits over a period of about 8–10 months. A schematic of trial activities is provided in figure 1. Protocol amendments will be reported to the Food and Drug Administration, institutional review board (IRB) and ClinicalTrials.gov.

Preparation

Before the first psilocybin session, participants will meet with both session facilitators for approximately 8 hours before the first psilocybin session day. The main purpose of the preparation meetings is to develop rapport and trust, which helps minimise the risk of fear or anxiety reactions during the psilocybin sessions. This approach has been successful in previous studies conducted by the principal investigator, including in a recent psilocybin study of depression.1 Additional meetings and contact hours will be scheduled if it is judged necessary to establish sufficient rapport and trust prior to psilocybin administration. Consistent with previous psilocybin protocols authored and conducted by the lead investigator and others, the participant’s life history and current situation in life will be reviewed, and intentions and expectations for the psilocybin sessions will be discussed.27 28 In addition, during these sessions, the facilitators will explore the participant’s trauma history and experience of PTSD symptoms, as well as their goals, values, and perceived strengths and weaknesses. Lastly, an overview of common and uncommon psilocybin effects will be discussed, and
skills for navigating such experiences will be explored and practised.

Psilocybin dosing sessions

Procedures for psilocybin administration and the conduct of the session will be similar to procedures used in previous studies with psilocybin among patients diagnosed with major depressive disorder.1 Psilocybin is provided by Usona Institute in opaque gelatin capsules and will be administered with approximately 100 mL water. At least one session monitor, under the supervision of the investigators, will be present in the room and available to respond to participants’ physical and emotional needs during the full course of psilocybin effects and for at least 8 hours. Typically, both session monitors are present unless one needs to step out of the session room to manage personal needs or to communicate with study team members. A physician on the study team will be immediately available via pager or mobile phone for at least 3 hours, or until the peak effects of psilocybin have subsided—whichever is longer. The physician will also be available for consultation by phone for up to 8 hours post-dosing.

During the psilocybin session, participants will be encouraged to lie on a couch, wear eyeshades and listen to a programme of music through headphones.1 The music playlist for this trial was designed by the research team specifically for this study and a detailed description of the methods used to develop this playlist will be available in a forthcoming manuscript. During the session, the participant will be encouraged to focus their attention inward. The eyeshades and music are intended to encourage this inward reflection. Vital signs and measures of the intensity of behaviours, signs and reported symptoms will be assessed throughout the day by the session monitors will be monitored at 30, 60, 90, 120, 180, 240, 300 and 360 min after capsule administration. Acute anxiety, agitation or panic will be handled with reassurance. In the unlikely event that these symptoms do not respond to reassurance, or in the unlikely event that the volunteer experiences unexpected psychosis, the clinical judgement of a study physician will determine the most appropriate medical treatment.

At the end of the experimental drug session, participants will complete paper or computer-based questionnaires (eg, mystical experience,29 30 psychological insight,31 challenging experience32) designed to assess acute subjective experiences associated with the psilocybin session. Participants will also be asked to write a narrative description of the experience of the psilocybin session before their next in-person meeting. Study facilitators will complete a safety assessment (C-SSRS), which will be completed at all visits throughout the study.

Participants will be released to the care of a significant other, who will pick them up at the end of the day and transport them to their residence or place of lodging. The pick-up person will remain with them overnight. At the permission of the participant, the pick-up person will be invited to the session room prior to leaving with the participant, where they will be instructed about procedures for care during the evening/night following the psilocybin session. In addition, at least one session monitor will be on-call via telephone for 24 hours after each psilocybin session.

Follow-up

The follow-up period is 6 months in total, with debriefing visits occurring immediately (1–3 days) following the psilocybin sessions, 1-week post-psilocybin sessions, and then at various time points following the final psilocybin dosing session. The follow-up sessions will include a discussion of the narrative description of the psilocybin
session(s), an exploration of the participant’s experience of PTSD symptoms, as well as the ongoing integration of their therapy experience into their day-to-day lives. Additional clinician and monitor contact hours will be scheduled if it is judged that the participant would benefit from additional meetings to discuss experiences from their session(s) or prepare for the next session. During the visits immediately following a psilocybin session, participants will be asked to discuss a narrative description of their most recent psilocybin session and psychological support will be provided. The primary goal of these sessions is to offer support for the participant’s reflections on the psilocybin session. Study clinicians will support the participant’s narrative expression of their experience and use their experience as a foundation for discussion about how to move forward and integrate this experience into their lives.

Psilocybin session facilitators

Each dyad of psilocybin session facilitators for this study includes at least one independently licensed clinician (eg, clinical psychologist, psychiatrist, social worker, counsellor) with clinical training in PAT and in the treatment of trauma and PTSD. The secondary facilitator could be another licensed clinician or a licence-eligible person under the supervision of the primary facilitator. Training in PAT is provided to all facilitators via a 2-day workshop led by the principal investigator, as well as direct clinical supervision and training in the therapeutic approach during the study. To qualify as a primary facilitator, each facilitator who is eligible for this role will first serve as a secondary facilitator under the supervision of the principal investigator (serving as primary facilitator) or another approved primary facilitator for at least two participants before determining whether they can serve as a primary facilitator. The principal investigator will continue to monitor the facilitators in this study via regular meetings for the duration of the study.

Fidelity

Those involved in providing the intervention in this trial will complete checklists to ensure consistency across staff in the delivery of intervention content.

Participant retention

Retention activities will include: (1) obtaining various methods of contact (ie, email, primary phone number, home address, secondary phone number), (2) release of information to contact a primary physician or mental health provider and (3) release of information to contact a primary supportive friend/partner/spouse. Participants will be engaged in the trial on a weekly basis through the primary endpoint (1-month post-psilocybin session 2). During each weekly study contact, participants will receive therapeutic intervention to assess for safety and need for ongoing support as necessary. During safety assessments, any unforeseen challenges with study participation will be addressed. During long-term follow-up time periods (between primary endpoint at 1 month and follow-up at 3 months and 6 months), participants will receive a monthly contact from study personnel reminding them of upcoming visits and encouraging them to schedule a telephone or in-person visit should one be needed prior to the next scheduled study visit.

To aid in study retention, participants will also receive monetary compensation for the time they spend participating in the preparatory, psilocybin and post-psilocybin therapy session meetings and measurements taken at the Ohio State University Medical Center (OSUMC). Remuneration will consist of US$25 per study visit after enrolment, except for psilocybin session visits which will be compensated at the rate of US$100/session visit as they will spend more time at the clinic on these days. Total remuneration will be up to US$475 per participant.

Outcomes

Data collection

Primary safety and clinical outcome data are collected by clinician interview (eg, AEs, suicidal ideation and behaviour, PTSD symptoms via the CAPS-5) and self-report (eg, PTSD symptoms via the PCL-5). Data will be entered by study staff (into a secure data collection platform; REDCap), using a double data entry method. Data will be stored on secure cloud servers and accessed only by approved study personnel. There was no requirement for a data monitoring committee for this trial, and thus none will be used for this trial.

Confidentiality

Participant confidentiality and privacy is strictly held in trust by the participating investigators and their staff. This confidentiality is extended to the data being collected as part of this study. Data that could be used to identify a specific study participant will be held in strict confidence within the research team. No personally identifiable information from the study will be released to any unauthorised third party. All research activities will be conducted in as private a setting as possible. The study monitor, other authorised representatives of the sponsor or funding agency, representatives of the IRB, regulatory agencies or representatives from companies or organisations supplying the product, may inspect all documents and records required to be maintained by the investigator, including but not limited to, medical records (office, clinic or hospital) and pharmacy records for the participants in this study. The clinical study site will permit access to such records. The study participant’s contact information will be securely stored for internal use during the study. At the end of the study, all records will continue to be kept in a secure location for as long a period as dictated by the reviewing IRB, institutional policies, or sponsor requirements.

Study participant research data, which is for purposes of statistical analysis and scientific reporting, will be transmitted to and stored in a locked room. This will not include the participant’s contact or identifying information.

information. Rather, individual participants and their research data will be identified by a unique study identification number. Some therapy sessions may occur via telephone or videoconferencing (eg, if a participant is unable to travel to our study site for an integration session or for a follow-up qualitative interview). Such sessions may be recorded using an encrypted audio/video format (eg, Zoom). The study data entry and study management systems used by research staff will be secured and password protected. At the end of the study, all study databases will be de-identified and archived. A Certificate of Confidentiality has also been obtained for this study.

Safety outcomes
The CSSRS will be used to assess the severity of suicidal ideation during every study visit (in-person and virtual; https://cssrs.columbia.edu/). The CSSRS is divided into four subscales based on (1) severity of ideation, (2) intensity of ideation, (3) suicidal behaviour and (4) lethality of attempt. Respondents are categorised as low, medium and high risk based on where their affirmative answers are in the various subscales as opposed to their total score.

In addition, the primary clinician/session facilitator for each participant will identify/record AEs (ie, the emergence of any untoward physical or psychological events or symptoms) and safety concerns at each study visit using a non-standardised form developed for use in prior studies. The type, severity and frequency of AEs will be collected to identify and characterise any safety concerns that may arise.

Clinical outcomes
PTSD symptom severity will be measured using the CAPS-5 and PCL-5. The CAPS-5 is an extensively validated, widely used 30-item structured interview that assesses PTSD diagnostic status and symptom severity. It is scored on a scale of 0–80 with moderate and severe PTSD ranges rationally derived and defined as 23–34 and ≥35, respectively. In addition to assessing the 20 DSM-5 PTSD symptoms, questions target the onset and duration of symptoms, subjective distress, impact of symptoms on social and occupational functioning, improvement in symptoms since a previous CAPS administration, overall response validity, overall PTSD severity, and specifications for the dissociative subtype (depersonalisation and derealisation). The CAPS-5 will be administered by independent raters (blinded to all other aspects of study participation) at baseline assessment, at the primary endpoint (1 month), and at 3 months and 6 months following psilocybin session 2. Independent raters will be composed of IRB-approved team members of this protocol and trained in the assessment of PTSD using the CAPS-5.

The PCL-5 is one of the most widely used self-report measures of PTSD, with scores ranging from 0 to 80, with higher scores indicating greater PTSD symptom severity. The PCL-5 will be self-administered at baseline as well as at additional time points (preparatory session 4, therapy session 1.2, 2.2, and follow-up 1, 2 and 3) to assess whether there is an immediate post-psilocybin effect on PTSD symptom severity. Other clinical outcomes (eg, depression and anxiety symptoms, psychological flexibility, personality) will be assessed in supplemental analyses separately from primary and secondary outcomes.

Statistical analysis
No prior investigations of the effects of psilocybin on PTSD symptoms have been published to date. Because this trial has a primary objective of establishing the safety of this treatment approach among this population, and based on effect sizes in prior laboratory studies of psilocybin for other clinical populations, we believe that a sample size of 15 will provide sufficient power to detect a moderate effect size of pre-psilocybin and post-psilocybin changes in PTSD symptoms. If the data suggest possible efficacy, these data should be sufficient to conduct a power analysis for a subsequent randomised controlled trial.

All participants enrolled in the trial and who complete both psilocybin sessions will be the evaluable population for analyses. Missing data will be managed through the use of imputation. For primary and secondary measures assessed at baseline and follow-up, repeated-measures analysis of variances (ANOVAs) with post-hoc comparisons will be conducted to test for differences between baseline and follow-up visits. Planned comparisons from baseline to 1-month post-final psilocybin session will be conducted for primary outcome measures. These longitudinal outcome measures will be regressed on measures of acute and persisting psilocybin effects to examine whether such effects predict clinically relevant outcomes. Primary hypotheses/endpoints:

1. PAT will be safe to administer among USMV with PTSD as indicated by no statistically significant increases in mean ratings of suicidal ideation on the C-SSRS from Baseline through 1-month post-psilocybin session 2.
   a. Ratings of suicidal ideation on the C-SSRS will be included from baseline, 1-day post-psilocybin session 1, 1-day post-psilocybin session 2 and 1-month post-psilocybin session 2. A repeated-measures ANOVA will be conducted with post-hoc mean pairwise comparisons to test for differences in ratings of suicidal ideation from baseline through 1-month post-psilocybin session 2.
   b. Data will be presented with F-tests using an alpha (p value) cut-off of 0.05 for statistical significance. Partial eta squared effect sizes will be reported with 90% CIs.

2. PAT will be safe to administer among USMV with PTSD as indicated by no serious AE reporting related to the administration of psilocybin.
   a. A descriptive analysis of AE reporting logs will be conducted to determine if any serious AEs have been reported related to psilocybin administration. Secondary hypotheses/endpoints

1. We will find statistically significant decreases in PTSD symptom severity from baseline to 1 month after the final psilocybin session as measured by the CAPS-5.
   a. Mean ratings of PTSD symptoms severity will be included from baseline, preparation visit 4 and 1-month post-psilocybin session 2. A repeated-measures ANOVA will be conducted with post-hoc mean pairwise comparisons to test for differences in ratings of PTSD symptoms severity from baseline to 1-month post-psilocybin session 2.
   b. Data will be presented with F-tests using an alpha (p value) cut-off of 0.05 for statistical significance. Partial eta squared effect sizes will be reported with 90% CIs.

2. We will find statistically significant decreases in PTSD symptom severity from baseline to 1-month after the final psilocybin session as measured by the self-report PCL-5.
   a. Mean ratings of PTSD symptoms severity will be included from baseline, preparation visit 4 and 1-month post-psilocybin session 2. A repeated-measures ANOVA will be conducted with post-hoc mean pairwise comparisons to test for differences in ratings of PTSD symptom severity from baseline through 1 month post-psilocybin session 2.
   b. Data will be presented with F-tests using an alpha (p value) cut-off of 0.05 for statistical significance. Partial eta squared effect sizes will be reported with 90% CIs.

ETHICS AND DISSEMINATION
This study was granted an IND from the Food and Drug Administration (IND#162567). The study also is registered with the Drug Enforcement Administration. All participants will be required to provide written informed consent (See patient consent form). The trial has been authorised by the Ohio State University Institutional Review Board (study number: 2022H0280). Dissemination of results will occur via a peer-reviewed publication and other relevant media.

PATIENT AND PUBLIC INVOLVEMENT
Neither patients nor the public has been involved in the design and conduct of this study, the choice of outcome measures or recruitment. There are no plans to include patients/public in dissemination efforts. Research questions were informed by the professional experiences working with Veterans with PTSD.

Acknowledgements
The authors would like to thank Usona Institute for providing the study drug for this trial. We also thank Dr. Emil Coccaro for their work as a medical monitor of this study, and Deanna Golden-Kreutz, Holly Bookless, and the nursing staff at the Clinical Research Center at Ohio State University for their support during the trial. We also thank the following Ohio State University administrators, faculty, and staff who have been instrumental in establishing the infrastructure for this study: Tom Gregoire, Bridget Friesthiller, David Jenkins, Peter Mohler, Courtney Mankowski, Julia Behnfeldt, Hallie Barr, Kaitlyn Vitik, Ellen Kreutz, Holly Bookless, and Grace Adams.

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