

# BMJ Open Short course antibiotic treatment of Gram-negative bacteraemia (GNB5): a study protocol for a randomised controlled trial

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## ABSTRACT

**Introduction** Prolonged use of antibiotics is closely related to antibiotic-associated infections, antimicrobial resistance and adverse drug events. The optimal duration of antibiotic treatment for Gram-negative bacteremia (GNB) with a urinary tract source of infection is poorly defined.

**Methods and analysis** Investigator-initiated multicentre, non-blinded, non-inferiority randomised controlled trial with two parallel treatment arms. One arm will receive shortened antibiotic treatment of 5 days and the other arm will receive antibiotic treatment of 7 days or longer. Randomisation will occur in equal proportion (1:1) no later than day 5 of effective antibiotic treatment as determined by antibiogram. Immunosuppressed patients and those with GNB due to non-fermenting bacilli (*Acinetobacter* spp, *Pseudomonas* spp), *Brucella* spp, *Fusobacterium* spp or polymicrobial growth are ineligible.

The primary endpoint is 90-day survival without clinical or microbiological failure to treatment. Secondary endpoints include all-cause mortality, total duration of antibiotic treatment, hospital readmission and *Clostridioides difficile* infection. Interim safety analysis will be performed after the recruitment of every 100 patients. Given an event rate of 12%, a non-inferiority margin of 10%, and 90% power, the required sample size to determine non-inferiority is 380 patients. Analyses will be performed on both intention-to-treat and per-protocol populations.

**Ethics and dissemination** The study is approved by the Danish Regional Committee on Health Research (H-19085920) and the Danish Medicines Agency (2019-003282-17). The results of the main trial and each of the secondary endpoints will be submitted for publication in a peer-reviewed journal.

**Trial registration number** ClinicalTrials.Gov: NCT04291768.

## INTRODUCTION

### Background

The incidence of Gram-negative bacteraemia continues to increase and remains a major cause of morbidity and mortality in both hospitalised and community-dwelling patients.<sup>1</sup> From 1997 to 2002, the proportion of bacteraemia caused by Gram-negative

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ The trial design—this is a multicentre, randomised, non-inferiority study—which will reduce the risk of confounding bias.
- ⇒ The design of the study strives to be as close to standard clinical practice as possible, which enables the findings of this study to be applicable in a routine clinical setting.
- ⇒ The strict eligibility criteria will possibly limit the generalisability of the results to all patient groups, as only patients with uncomplicated disease are included.

bacteria was 43% in Europe,<sup>2</sup> and a study from the European Antimicrobial Resistance Surveillance System reported an increase in bacteraemia due to *Escherichia coli* by 8.1% per year from 2002 to 2008.<sup>3</sup> Overall, Gram-negative bacteria account for half of all cases of bacteraemia.<sup>4</sup> In Denmark, in 2017 there were >6000 cases of bacteraemia due to the two most common Gram-negative bacteria, *E. coli* and *Klebsiella pneumoniae*.<sup>5</sup>

Prolonged use of antibiotics is closely related to antibiotic-associated infections, antimicrobial resistance and adverse drug events.<sup>6–9</sup> The latter is particularly concerning for patient safety as it may result in sequelae and prolonged hospital stay. It has been shown that the risk of acute renal failure and the risk of *C. difficile* infection increase with each day of prophylactic antibiotic treatment prior to surgery,<sup>10</sup> and that for every 10 days of additional antibiotic treatment, the risk of adverse drug events increases by 3%.<sup>9</sup> Antibiotic stewardship and rationale use of antibiotics to treat infections are important strategies that may reduce the duration of antibiotic therapy and thereby reduce adverse events, reduce selective pressure on the bacterial microbiota and prevent the emergence of resistance.<sup>11 12</sup>

The optimal duration of therapy for bloodstream infections due to Gram-negative bacteraemia has been poorly defined. International and Danish national recommendations suggest 7–14 days of antibiotic therapy for Gram-negative bacteraemia and pyelonephritis according to disease severity.<sup>13–15</sup> However, in the absence of guidelines, wide variability exists, and recommendations are based on individual expert opinions.<sup>16</sup> An observational study found that patients receiving short course (6–10 days) compared with prolonged course (11–16 days) antibiotic therapy for Gram-negative bacteraemia had similar outcomes.<sup>17</sup> Interestingly, there was a trend towards a protective effect of short-course antibiotic therapy on the subsequent emergence of multi-drug resistant Gram-negative bacteraemia (OR 0.59; 95% CI 0.32 to 1.09;  $p=0.09$ ). A recent randomised non-inferiority trial found that a 7-day course of antibiotics was the preferential treatment for Gram-negative bacteraemia if the source was properly controlled.<sup>18</sup> Another randomised controlled trial found that an antibiotic course of 7 days was non-inferior to 14 days in patients hospitalised with Gram-negative bacteraemia achieving clinical stability before day 7.<sup>19</sup> Investigators in Switzerland found that 7 days of treatment were non-inferior to 14 days of treatment and that 5 days of treatment was safe and efficient in the group receiving an individualised duration of treatment determined by clinical response and 75% reduction in peak C reactive protein values.<sup>20</sup>

Other studies on the duration of treatment in Gram-negative bacteraemia also support the safety of shorter antibiotic treatment, but many of these studies have important limitations including small sample sizes, lack of comparator arms or confounding by indication.<sup>21–25</sup> Randomised controlled trials evaluating the use of procalcitonin in the management of sepsis including those caused by Gram-negative bacteria also demonstrated the safety of shorter antibiotic courses.<sup>21 22</sup>

Given the high stakes of antibiotic overconsumption in an ageing population and that only a very few randomised controlled trials have investigated the optimal treatment length of Gram-negative bacteraemia, formal evaluation of the safety and efficacy of shortened antibiotic treatment is of immense clinical and public health importance. This study will be designed as a randomised controlled multicentre trial, that will determine whether 5 days of antibiotic therapy is non-inferior to 7 days or longer of therapy for Gram-negative bacteraemia. The inclusion of multiple centres, the study design and inclusion criteria allowing a representative cohort of eligible patients, make it highly likely that the outcomes of this trial will have a significant impact on clinical practice.

## Objective

This study aims to assess the efficacy and safety of shortened antibiotic duration (5 days) in the treatment of Gram-negative bacteraemia with a urinary tract source of infection in hospitalised immunocompetent adults compared with 7 days or more of antibiotic treatment.

## METHODS

### Trial design and randomisation

An investigator-initiated multicentre, non-blinded, non-inferiority randomised controlled trial with two parallel treatment arms. One arm will receive shortened antibiotic treatment guided by clinical stability criteria (intervention group) and the other arm will receive standard antibiotic treatment (control group). As the treatment duration relies on continuous evaluation of clinical stability criteria of the participants, blinding of study personnel and participants is not practicable. The design of the study also strives to be as close to standard clinical practice as possible. Therefore, study investigators, trial participants or treating physicians will not be blinded to treatment allocation.

Confirmation of study eligibility will be performed by entering key variables into a secure web-based programme (Research Electronic Data Capture, REDCap) with subsequent automatic patient randomisation in the two parallel arms (ratio 1:1) no later than day 5 after initiation of microbiologically effective empiric antibiotic treatment. The randomisation list will be generated centrally in random blocs and stratified according to hospital and aetiology. The randomisation key will be stored in a locked and secure environment at Copenhagen University Hospital—Hvidovre Hospital.

### Study setting

The following 12 hospitals, representing all 5 regions of Denmark, will participate in the study: Copenhagen University Hospital—Amager and Hvidovre, Copenhagen University Hospital—Rigshospitalet, Copenhagen University Hospital—Bispebjerg and Frederiksberg, Copenhagen University Hospital—Herlev and Gentofte, Copenhagen University Hospital—North Zealand, Zealand University Hospital—Roskilde, Odense University Hospital, Kolding Hospital, Silkeborg Hospital, Herning Hospital, Aarhus University Hospital and Aalborg University Hospital.

### Eligibility criteria

Inclusion and exclusion criteria are listed in [table 1](#).

Gram-negative bacteraemia is defined as the growth of a single Gram-negative micro-organism in one or more blood cultures associated with evidence of infection. Both community-acquired and hospital-acquired Gram-negative bacteraemia will be included.

Evidence of a urinary tract source of infection is defined as growth of the same species of Gram-negative micro-organism in blood and urine or at least one clinical symptom compatible with urinary tract infection (dysuria, polyuria, haematuria, pelvic pain, cloudy or strong-smelling urine).

Eligible participants must fulfil all the inclusion and none of the exclusion criteria.

### Interventions

Intervention group: will receive antibiotic treatment for 5 days if clinically stable, that is, discontinuation of

**Table 1** Inclusion and exclusion criteria

Inclusion criteria	Exclusion criteria
<ul style="list-style-type: none"> <li>▶ Age &gt;18 years</li> <li>▶ Blood culture positive for Gram-negative bacteria</li> <li>▶ Evidence of urinary tract source of infection (positive urine culture with the same bacteria as in the blood culture or at least one clinical symptom compatible with urinary tract infection)</li> <li>▶ Antibiotic treatment with antimicrobial activity to Gram-negative bacteria administered within 12 hours of first blood culture</li> <li>▶ Temperature &lt;37.8°C at randomisation</li> <li>▶ Clinically stable at randomisation (systolic blood pressure &gt;90 mm Hg, heart rate &lt;100 beats/min, respiratory rate &lt;24/min, peripheral oxygen saturation &gt;90%)</li> <li>▶ Oral and written informed consent</li> </ul>	<ul style="list-style-type: none"> <li>▶ Antibiotic treatment (&gt;2 days) with antimicrobial activity to Gram-negative bacteria within 14 days of inclusion</li> <li>▶ Gram-negative bacteraemia within 30 days of blood culture</li> <li>▶ Immunosuppression               <ul style="list-style-type: none"> <li>– Untreated HIV-infection</li> <li>– Neutropenia (absolute neutrophil count &lt;1.0×10<sup>9</sup>/L)</li> <li>– Untreated terminal cancer</li> <li>– Receiving immunosuppressive agents (ATC-code L04A)</li> <li>– Corticosteroid treatment (≥20 mg/day prednisone or the equivalent for &gt;14 days) within the last 30 days</li> <li>– Chemotherapy within the last 30 days</li> <li>– Immunosuppressed after solid organ transplantation</li> <li>– Asplenia</li> </ul> </li> <li>▶ Polymicrobial growth in blood culture</li> <li>▶ Bacteraemia with non-fermenting Gram-negative bacteria (<i>Acinetobacter</i> spp, <i>Burkholderia</i> spp, <i>Pseudomonas</i> spp), <i>Brucella</i> spp or <i>Fusobacterium</i> spp</li> <li>▶ Failure to remove the source of infection within 72 hours of first blood culture (eg, change of indwelling catheter)</li> <li>▶ Pregnancy or breast feeding</li> </ul>

ATC, anatomical therapeutic chemical; HIV, human immunodeficiency virus.

antibiotics at day 5 if the participant has a temperature of 37.8°C or less and fulfils all criteria of clinical stability at time of randomisation. Criteria of clinical stability are systolic blood pressure >90 mm Hg, heart rate <100 beats/min, respiratory rate <24/min and peripheral oxygen saturation >90% without supplemental oxygen.

Control group: will receive antibiotic treatment for a minimum of 7 days at the discretion of their treating physician.

### Treatment

Participants will receive antibiotic treatment according to local and national guidelines as well as to antimicrobial susceptibility of the identified Gram-negative bacteria. Participation in the study will only affect treatment duration and will not influence the choice of treatment concerning the type, dose or route of administration of antibiotic treatment.

Antibiotics considered appropriate for empiric treatment of Gram-negative bacteraemia are listed in [table 2](#).

Antibiotics considered appropriate for targeted treatment of Gram-negative bacteraemia are listed in [table 3](#).

Antibiotics will be administered to participants by clinical staff as in usual clinical care during hospitalisation. Practical procedures related to antibiotic treatment, including labelling of applied drugs, will follow normal local instructions while the participant is hospitalised. If participants are discharged before the end of therapy, the exact amount of remaining antibiotics will be delivered from the hospital in the original packaging supplied with the additional label. Trained personnel will perform the additional labelling.

Treatment adherence is evaluated by checking medicine administration records of inpatients or by thoroughly interviewing outpatients about their consumption at the planned telephone interview on day 14. Outpatients will be instructed to document self-administered antibiotic treatment at home to ensure a more accurate measurement of adherence following hospital discharge. Protocol violations will be reported if patients are assessed to be non-compliant (received <80% of scheduled doses).

### Outcomes

#### Primary outcome

A 90-day survival without clinical or microbiological failure to treatment as defined:

1. All-cause mortality from the day of randomisation until day 90.
2. Microbiological failure: Recurrent bacteraemia due to the same micro-organism as verified by sequence analysis occurring after day 5 of antibiotic treatment and until day 90.
3. Clinical failure: Reinitiation of therapy against Gram-negative bacteraemia for more than 48 hours due to clinical worsening suspected to be due to the initial infecting organism and for which there is no alternate diagnosis/pathogen suspected from the day of randomisation and until day 90
  - a. Distant complications of initial infection, defined by the growth of the same bacteria as in the initial bacteraemia (eg, endocarditis, meningitis).
  - b. Local suppurative complication that was not present at infection onset (eg, renal abscess in pyelonephritis).

**Table 2** Acceptable empirical antibiotic treatment of Gram-negative bacteria if susceptible by antibiogram

Antibiotic	Administration*	Standard dose*	Frequency*	Dose adjustment*
Penicillins				
Piperacillin/tazobactam	IV	4g/0.5g	Every 6 or 8 hours	Renal impairment and weight
Ampicillin†	IV	1–2g	Every 6 or 8 hours	Renal impairment and weight
Mecillinam	IV	0.8–1g	Every 8 hours	Renal impairment and weight
Cephalosporins				
Cefuroxime‡	IV	1.5g	Every 6 hours	Renal impairment and weight
Cefotaxime‡	IV	1g	Every 12 hours	Renal impairment and weight
Ceftazidime	IV	1g	Every 8 or 12 hours	Renal impairment and weight
Ceftriaxone	IV	2g	Every 24 hours	Renal and hepatic impairment and weight
Carbapenems				
Meropenem	IV	1g	Every 8 hours	Renal impairment and weight
Ertapenem	IV	1g	Every 24 hours	–
Aminoglycosides				
Gentamicin†‡/ tobramycin	IV	5–7 mg/kg	Every 24 hours	Renal impairment and weight
Fluoroquinolone				
Ciprofloxacin	IV	400mg	Every 12 hours	Renal impairment and weight

\*Standard recommendations.  
†Combination therapy of ampicillin and gentamicin.  
‡Monotherapy of cefuroxime/cefotaxime or combination therapy of cefuroxime/cefotaxime and gentamicin.  
IV, intravenous.

### Secondary outcomes

- ▶ All-cause mortality on days 14, 30 and 90.
- ▶ Total duration of antibiotic treatment.
- ▶ Duration and type of antibiotic treatment.
- ▶ Total length of hospital stay.
- ▶ Hospital readmission within 30 and 90 days.
- ▶ Antibiotic adverse events.
- ▶ Use of any type of antimicrobials after discharge.
- ▶ Severe adverse events grade >3 as described elsewhere ([https://ctep.cancer.gov/protocoldevelopment/electronic\\_applications/ctc.htm](https://ctep.cancer.gov/protocoldevelopment/electronic_applications/ctc.htm)).
- ▶ Acute kidney injury.
- ▶ *C. difficile* infection.
- ▶ Infection with multidrug-resistance organism.

A single bacteraemic episode was defined as including all positive blood cultures with the same organism within a 5-day period, therefore, recurrence was defined as occurring after 5 days of antibiotic treatment and until day 90.

### Participant timeline

After an initial observation and information period, the participants will be included in the study and randomised no later than day 5 after the initiation of appropriate empiric antibiotic treatment. Participants will be followed for 90 days. On day 14 (12–16) of follow-up, participants will be scheduled for blood sample collection and standardised telephone interview. On day 90 (83–97) of follow-up, participants will be scheduled for a final standardised telephone interview. The study flow

chart depicts the inclusion, randomisation, allocation, follow-up and analysis of participants throughout the study (see [figure 1](#)). The participant timeline is illustrated in [figure 2](#).

### Sample size

Based on a similar previous study, short-term mortality is expected to be approximately 12% in both treatment arms. Failure is expected to be 8% in both study arms.<sup>19</sup> Because individuals who are not stable by day 5, who have complicated infections, who have a polymicrobial infection or infection with *Pseudomonas* spp, *Brucella* spp and *Fusobacterium* spp are not eligible, we anticipate these rates to be lower at 8% and 4%, respectively. This corresponds to an estimated event rate for the primary outcome of approximately 12%, equivalent to a 90-day survival without clinical or microbiological failure to treatment or relapse of 88% in both treatment arms.

Non-inferiority is defined as an absolute risk difference or margin in the primary endpoint of up to 10%, as recommended by the European Medicines Agency.<sup>26</sup> Given an  $\alpha$  of 5% and a  $\beta$  of 90% then 362 randomised individuals are required to be sure that the lower limit of a one-sided 95% CI will exclude a difference in favour of the longer course of antibiotics of more than 10%. Allowing for a dropout rate of 5%, 380 individuals will be included.

A sample size re-estimation (SSR) will be considered at the first planned interim analysis. If the overall event rate



**Table 3** Acceptable targeted antibiotic treatment of Gram-negative bacteria if susceptible by antibiogram

Antibiotic	Administration*	Standard dose*	Frequency*	Dose adjustment*
<b>Penicillin</b>				
Mecillinam	IV	800–1000 mg	Every 8 hours	–
Pivmecillinam	PO	800 mg	Every 8 hours	–
Amoxicillin/clavulanate	PO	1000 mg/250 mg	Every 6 hours	Renal impairment and weight
Piperacillin/tazobactam	IV	4 g/0.5 g	Every 6 or 8 hours	Renal impairment and weight
Ampicillin	IV	2 g	Every 6 or 8 hours	Renal impairment and weight
Pivampicillin	PO	500 mg	Every 6 or 8 hours	Renal impairment and weight
Amoxicillin	PO	1 g	Every 6 or 8 hours	Renal impairment and weight
<b>Cephalosporin</b>				
Cefuroxime	IV	1.5 g	Every 8 hours	Renal impairment and weight
Cefuroxime	PO	500 mg	Every 12 hours	Renal impairment and weight
Cefotaxime	IV	1 g	Every 8 hours	Renal impairment and weight
Ceftazidime	IV	1 g	Every 8 or 12 hours	Renal impairment and weight
Ceftriaxone	IV	2 g	Every 24 hours	Renal and hepatic impairment and weight
<b>Carbapenem</b>				
Meropenem	IV	1 g	Every 8 hours	Renal impairment and weight
Ertapenem	IV	1 g	Every 24 hours	–
<b>Aminoglycoside</b>				
Gentamicin/tobramycin	IV	5–7 mg/kg	Every 24 hours	Renal impairment and weight
<b>Fluoroquinolone</b>				
Ciprofloxacin	IV	400 mg	Every 12 hours	Renal impairment and weight
Ciprofloxacin	PO	500–750 mg	Every 12 hours	Renal impairment and weight
Sulfamethizole	PO	1 g	Every 12 hours	Renal impairment and weight
Nitrofurantoin	PO	100 mg	Every 6 hours	Renal impairment and weight
Trimethoprim	PO	200 mg	Every 12 hours	Renal impairment and weight
Sulfamethoxazole/trimethoprim	PO	800 mg/160 mg	Every 12 hours	Renal impairment and weight
*Standard recommendation. IV, intravenous; PO, orally.				

falls outside the expected event rate of 12%, an SSR based on a blinded review of overall data (ie, without knowledge of the group-specific event rates) will be performed. If the overall event rate is lower than expected, then the final sample size will be reduced, using the original sample size formula and replacing the initial estimate with the observed rate. The non-inferiority margin may be reduced to ensure an appropriate margin relative to the event rate. If the overall event rate is higher than expected, the sample size will be increased correspondingly. Any sample size adjustment will be reported to the regulatory authorities as a protocol amendment.

### Recruitment

Investigators and treating physicians at participating sites will identify patients eligible for the trial during days 1–4 after initiation of empiric antibiotic treatment. Cases are identified by participating Departments of Clinical

Microbiology and Infectious Diseases at each centre. All participants are hospitalised at enrolment.

The principal investigator will handle questions concerning the recruitment or enrolment of participants, while the study is running.

### Patient and public involvement

No patient involved.

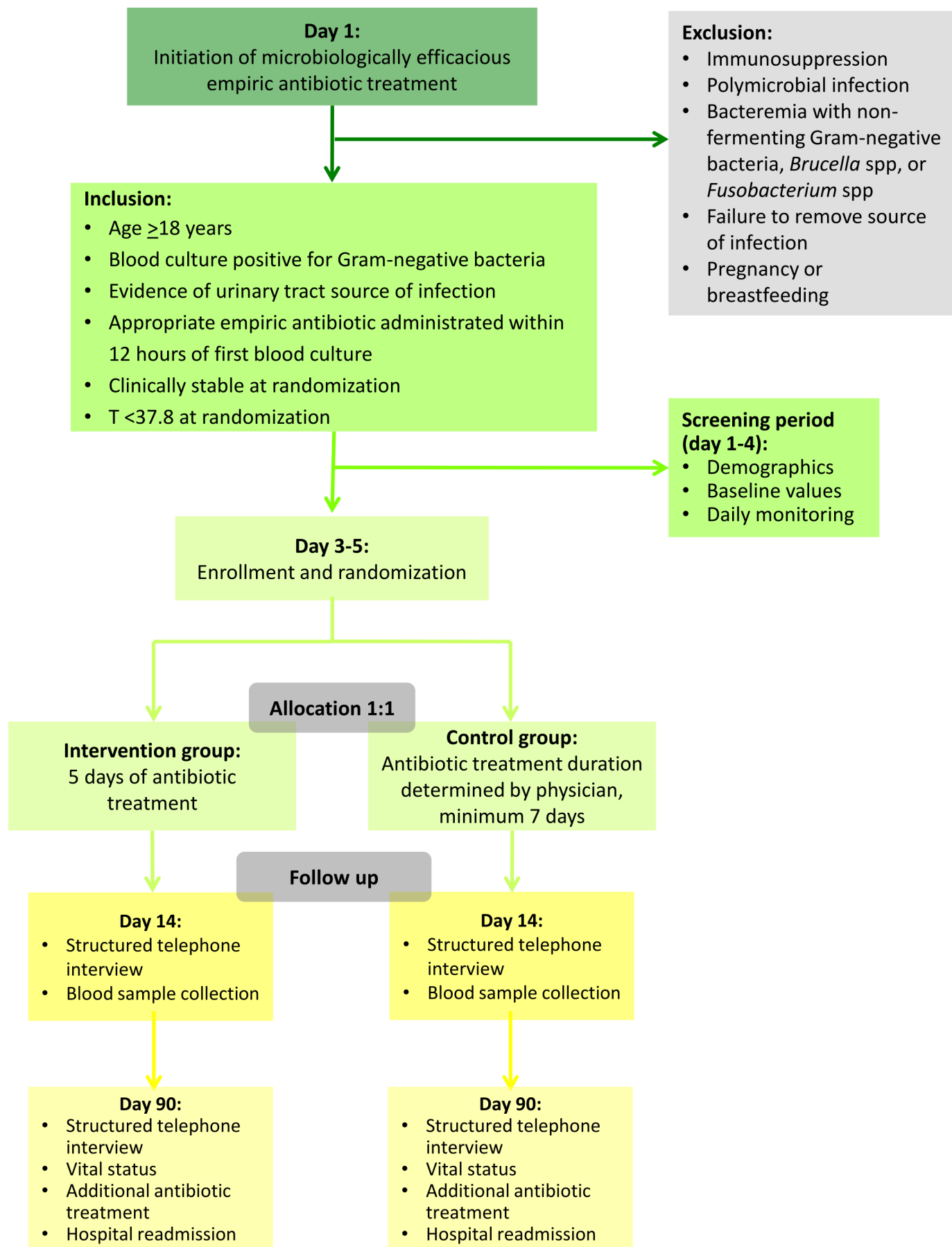
### Trial status

The first trial participant was enrolled in March 2020. Recruitment is expected to be completed in February 2026.

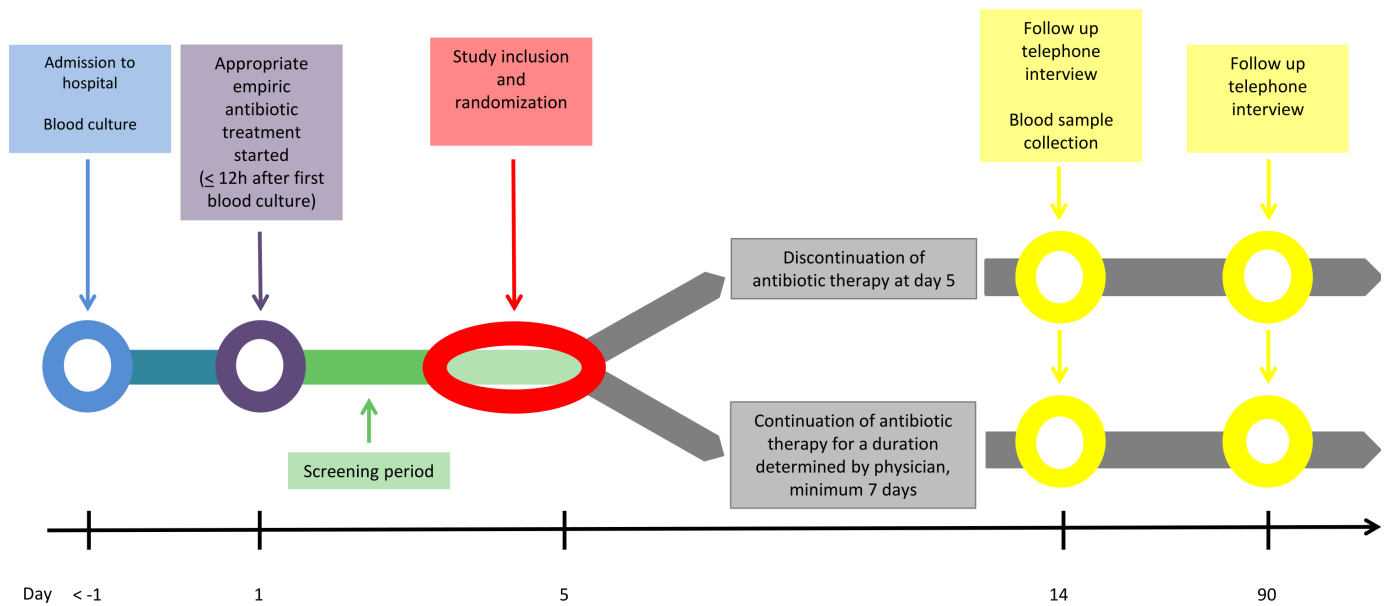
### DATA COLLECTION, MANAGEMENT AND ANALYSIS

#### Data collection

At inclusion, data will be obtained from the initial observation period, including baseline diagnostic values,



**Figure 1** Flow chart on study level.



**Figure 2** Flow chart on participant level.

daily vital signs, treatment adherence and microbiology test results. Furthermore, demographic characteristics will be obtained. Subsequently, participants are scheduled for standardised telephone consultation on days 12–16 after initiation of empiric antibiotic treatment. A follow-up on days 83–97 will include final standardised telephone consultation, registration of additional antibiotics, readmissions and vital status. Data collection during the observation and study period is specified in separate sections below and an overview is presented in [table 4](#).

### Data management

All data on participants, including demographics, medical history, laboratory and investigational results, will be registered and kept in an electronic case report form (eCRF). The eCRFs will be stored in a secure web application for managing online databases (REDCap) designed for non-commercial clinical research. There will exist one CRF for each participant for the collection of trial data. Obtained data will be entered manually by investigators or appointed research nurses/assistants into the CRFs. Only personnel associated with the research project (sponsor, investigators, subinvestigators and research nurses/assistants) will have encoded access to the CRFs via personal user ID and password.

Sponsor and investigators are obliged to handle all data on trial participants confidentially by the Act on Processing of Personal Data. The primary investigator is responsible for completed CFRs for all trial participants. At the end of the study, the primary investigator will extract data from the electronic database to perform the planned analyses on primary and secondary outcomes. Study data will subsequently be published only in pseudonymous form.

### Statistical methods

Descriptive statistics will be presented as frequency tables, means with SD, or medians with IQRs.

Both intention-to-treat (ITT) and per-protocol (PP) analyses will be performed. ITT analysis will comprise all participants including dropouts. Categorical variables will be analysed with  $\chi^2$  test or Fisher's exact test. Continuous variables will be subject to Student's t-test or Wilcoxon rank sum test.

Subgroup-analyses are planned for disease severity, antibiotic group, resistant pathogens and investigating centre. Resistant pathogens are defined as extended-spectrum beta-lactamase producing or carbapenemase-producing Enterobacteriaceae, or pathogens with lack of susceptibility to minimum one agent in three or more classes of antibiotic.

Non-inferiority plots will be performed on the primary outcome for both ITT and PP analyses.

For all statistical analyses except for the non-inferiority analysis, a two-sided  $p < 0.05$  is considered statistically significant.

The statistical analysis plan is available in online supplemental material.

### Data monitoring

External monitoring will be performed according to International Conference on Harmonisation-Good Clinical Practice (ICH-GCP). Following a monitoring plan and written standard operating procedures, monitors will verify that the clinical trial is conducted and generated, documented and reported in compliance with the protocol, GCP and applicable regulatory requirements.

The investigating team will provide direct access to all trial-related source data, documents, and reports for monitoring and auditing by the sponsor and inspection by local and regulatory authorities.

**Table 4** Data collection

	Observation period*		Study period†	
	Day 1	Days 2–5	Day 14 (12–16)	Day 90 (83–97)
Informed consent		X		
Inclusion		X		
Randomisation		X		
Demographics‡		X		
Comorbidity§		X		
Vital signs¶	X	X		
Urine culture	X			
Blood test**	X	(X)	X	
Microbiology	X			X
CCI score††	X			
qSOFA score‡‡	X	X		
PBS§§	X	X		
Treatment adherence		X	X	
Adverse events			X	X
Additional antibiotics			X	X
Readmission				X
Vital status				X

\*From day 1 of efficacious empiric antibiotic treatment to inclusion.

†From inclusion to the end of the trial on day 90.

‡Age, gender, tobacco use, alcohol consumption, medication, medical history, nursing home residency and activities of daily living.

§Liver disease, heart disease, congestive heart failure, cerebrovascular disease, renal disease, chronic obstructive pulmonary disorder, diabetes mellitus, neoplastic disease, haematological disease, peripheral vascular disease, dementia, connective tissue disease and ulcer.

¶BP, heart rate, temperature, respiratory rate, peripheral oxygen saturation.

\*\*Haemoglobin, leucocytes (WCCs), platelet count, CRP, creatinine, urea, sodium, potassium, bilirubin, alanine aminotransferase, glucose.

††CCI: diabetes with diabetic complications, congestive heart failure, peripheral vascular disease, chronic pulmonary disease, mild and severe liver disease, hemiplegia, renal disease, leukaemia, lymphoma, metastatic tumour and AIDS.<sup>31</sup>

‡‡qSOFA score: Glasgow Coma Score <15, respiratory rate >22, systolic BP ≤100.

§§PBS: temperature, BP, mental status, respiratory status, cardiac status

BP, blood pressure; CCI, Charlson's Comorbidity Index; CRP, C reactive protein; PBS, Pitt Bacteraemia Score; qSOFA, quick sequential organ failure assessment; WCC, white cell count.

The primary endpoint will be evaluated and determined by an independent committee blinded to randomisation.

### Interim analysis

We will perform interim analyses after the recruitment of every 100 participants. This serves to evaluate primary endpoints and potential adverse events by an independent data and safety monitoring board.

The Haybittle-Peto method is applied to demonstrate overwhelming differences between the two treatment groups that necessitate premature termination of the trial. A significant p value of 0.001 in the interim analyses will correspond to a p value of 0.05 in the final analysis.

### Harms

Investigators and sponsor are obliged to follow the study protocol including reporting all adverse events, serious adverse events and suspected unexpected serious adverse reactions to the relevant authorities as outlined by the Danish Health and Medicine Authority and the European Commission.<sup>27 28</sup>

Participants will be thoroughly asked if they have experienced any adverse event at inclusion and the planned follow-up by phone on days 14 and 90. Adverse events will be registered in predefined CRFs. All adverse events will be followed until they have abated, or until a stable situation has been reached.

All adverse events must be evaluated by investigators and sponsor to determine possible causal association with the antibiotic treatment. At study termination, a final report of registered events in the CRFs will be sent to the Danish Medicines Agency and the Health Research Ethics Committee of the Capital Region of Denmark. Serious adverse reactions, suspected unexpected serious adverse reactions and information on the general safety of the participants will be listed in an annual safety report to the Danish Medicines Agency and the regional Health Research Ethics Committee.

### DISCUSSION

The results of this study may have major implications on antibiotic use in Gram-negative bacteraemia with urinary tract infection as source of infection. Regardless of the results, the study will provide valuable information about current treatment practices by either validating or revising them in light of the scientific evidence. To the best of our knowledge, this is the first study to assess the safety and efficacy of 5 days of treatment in Gram-negative bacteraemia guided by clinical stability criteria. We chose 90-day survival without microbiological and clinical failure as the primary endpoint, as we consider failure and mortality to be the most important measures for clinical safety.

As a potential disadvantage related to shortened antibiotic duration would be the risk of treatment failure, it is, therefore, crucial to carefully select study participants when considering both efficacy and safety. Accordingly, the inclusion and exclusion criteria of the study were thoroughly based on available data on risk factors related to clinical outcomes. Relying on clinical stability criteria in shortening antibiotic treatment is partly based on a large randomised controlled trial showing that shortened antibiotic treatment against Gram-negative bacteraemia is non-inferior to longer antibiotic treatment in patients that reached clinical stability within 7 days of treatment.<sup>19</sup> Treatment failure in patients hospitalised



with Gram-negative bacteraemia has been shown to correlate with initial disease severity, for example, by Pitt bacteraemia score, end-stage liver disease and immunosuppression.<sup>17</sup> According to protocol, all participants are scheduled for a blood test on day 14 and a standardised telephone interview on day 14, and the last day of follow-up, on day 90, which will ensure early detection of potential treatment failure.

A limitation to this study is that our strict eligibility criteria will possibly limit the generalisability of the results to all patient groups, as only patients with uncomplicated disease are included.

If shortened antibiotic duration in patients hospitalised with Gram-negative bacteraemia can be proven to be non-inferior to standard antibiotic treatment, it would likely relieve antibiotic selective pressure and thereby lower the development of bacterial resistance.<sup>3 6 11 12</sup> From the perspective of the participants, one might expect fewer side effects and better treatment adherence, as prolonged antibiotic use has been associated with an increased risk of side effects.<sup>9</sup> On a community level, this change would lead to a reduction in overall healthcare costs, as shortened antibiotic duration would result in a decrease in total antibiotic consumption and thereby length of hospital stay.<sup>29–31</sup> As Gram-negative bacteria are accountable for great proportions of bacteraemia and thereby antibiotic prescription, this decrease could be quite significant from a national perspective.

## ETHICS AND DISSEMINATION

### Research ethics approval

The study has been approved by Danish National Committee on Health Research (H-19085920), the Danish Medicines Agency (2019-003282-17), and the Danish Data Protection Registry (P-2020-42). The study will be conducted according to ICH-GCP and monitored by GCP units in Denmark.

### Consent or assent

Eligible participants will be scheduled for consultation no later than on day 5 after initiation of appropriate empiric antibiotic treatment. They will receive both verbal and written information about the study, and subsequently, be offered participation.

Participants will need to sign the informed consent form to be randomised. Participants are asked regardless of initiating empirical treatment at inclusion. The informed consent process for women of childbearing age will include questions on possible pregnancy and will be registered in the eCRF. If there is a possibility of pregnancy, a pregnancy test will be performed with informed consent. As the intervention period is short (5–14 days) and occurs during hospital admission, it is not anticipated that contraceptive advice is relevant.

The consent form is available in online supplemental material.

### Post-trial care

All areas of the Danish healthcare system and all authorised healthcare professionals are covered by a publicly funded compensation scheme. The scheme covers if the participants are injured in connection with treatment at a public hospital. The scheme also covers medicinal product injuries. At inclusion, the participants will be informed by the investigator of the compensation and complaint avenues in case a drug injury arises with the participant, according to ‘Lov om klage- og erstatningssadgang inden for sundhedsvæsenet’.<sup>32</sup>

### Protocol amendments

All protocol amendments have been approved by Danish Regional Committee on Health Research and the Danish Medicines Agency. An overview of protocol changes is shown in table 5.

**Table 5** Summary of protocol changes

Protocol version	Protocol changes
Version 3, 18 February 2020	Approved for study start
Version 4, 22 September 2020	<ul style="list-style-type: none"> <li>▶ Exclusion criteria are added:               <ul style="list-style-type: none"> <li>– Gram-negative bacteraemia within the past 30 days.</li> <li>– Antibiotic treatment &gt;1 day with antimicrobial activity to Gram-negative bacteria within past 14 days.</li> <li>– Untreated terminal cancer.</li> </ul> </li> <li>▶ Exclusion criteria regarding chemotherapy and immunosuppression are further specified.</li> <li>▶ Temperature limit for afebrile is changed from 38.0°C to 37.8°C.</li> <li>▶ Change of site investigator at Copenhagen University Hospital—Gentofte.</li> </ul>
Version 5, 12 November 2021	<ul style="list-style-type: none"> <li>▶ Study period is extended with 2 years.</li> <li>▶ Copenhagen University Hospital—Bispebjerg and Frederiksberg is included as study site.</li> <li>▶ Exclusion criterium regarding antibiotic treatment prior to inclusion is changed from &gt;1 day to &gt;2 days.</li> </ul>



## Access to data

The study is registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) before initiation (ClinicalTrials.gov: NCT04291768, registered on the 24 February 2020).

Anonymised trial data will be made available through relevant public databases when the trial ends. On request, anonymised patient-level data, the statistical code and other relevant supporting information will be made available by contact to the corresponding author.

## Dissemination policy

The data obtained from all participating sites will be pooled and analysed together as soon as possible after trial completion. Individual researchers will not publish data from the trial until the main study publication has been released. A manuscript with the results of the primary study will be published in a peer-reviewed journal with the primary investigator as the first author, the sponsor as the senior author, and the participating investigators as coauthors according to their work and involvement in the study.

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**Contributors** TB is the sponsor of the study. ST is the coordinating principal investigator. TB conceived the research question of the study. TB and ST obtained the funding for the study. TB, ST, SBI, LT-U and CØA participated in the design of the study. ST drafted the study protocol. TB, ST, SBI, LT-U, BL, ISJ, SL, CØA, PR, KFK and AK contributed to the implementation of the study and revised the protocol critically for important intellectual content. All authors read and approved the final manuscript.

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**Patient and public involvement** Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

**Patient consent for publication** Not applicable.

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Eudra-CT no.: 2019-003282-17

GNB5

## STATISTICAL ANALYSIS PLAN (SAP)

### Short course antibiotic treatment in Gram-negative bacteremia:

A multicenter, randomized, non-blinded, non-inferiority interventional study

Eudra-CT no.: 2019-003282-17

Study protocol version 2, 07-01-2020

SAP version 1, 06-02-2020

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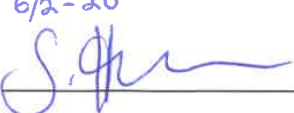
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Eudra-CT no.: 2019-003282-17

GNB5

## **1 INTRODUCTION**

This document describes the Statistical Analysis Plan (SAP) for GNB5 trial, a multicenter, randomized, non-blinded clinical trial comparing shortened antibiotic treatment (5 days) with 7 days or longer antibiotic treatment in patients hospitalized with Gram-negative bacteremia with a urinary tract source of infection. It details the statistical method to be used and outlines the planned analyses for the main study.

## **2 ANALYSIS OBJECTIVES**

These analyses will assess the efficacy and safety of shortened antibiotic treatment (5 days) in treatment of Gram-negative bacteremia with a urinary tract source of infection in hospitalized immunocompetent adults compared to  $\geq 7$  days of antibiotic treatment and will be included in the clinical study report (CSR).

## **3 STUDY METHOD**

### **3.1 Trial design**

Investigator initiated multicenter, non-blinded, non-inferiority randomized controlled trial with two parallel treatment arms. Randomization will occur in equal proportion (1:1) no later than day 5 of efficacious antibiotic treatment as determined by antibiogram. Participants are stratified by center and etiology.

Intervention group will receive antibiotic treatment for 5 days. The control group will receive antibiotic treatment for a minimum of 7 days at the discretion of their treating physician.

### **3.2 Sample size**

We anticipate short-term mortality to be 8% and failure to be 4%. This corresponds to an estimated event rate for the primary outcome of approximately 12%, equivalent to a 90-day survival without clinical or microbiological failure to treatment or relapse of 88 % in both treatment arms.

Non-inferiority is defined as a difference or margin in the primary endpoint of up to 10%. Given an  $\alpha$  of 5% and a  $\beta$  of 90% then 362 randomized individuals are required to be sure that the lower limit of a one-sided 95% confidence interval will exclude a difference in favor of the longer course of antibiotics of more than 10%. Allowing for a dropout rate of 5%, 380 individuals will be included.

A sample size re-estimation (SSR) will be considered at the first planned interim analysis. If the overall event rate falls outside the expected event rate of 12%, an SSR based upon blinded review of overall

Eudra-CT no.: 2019-003282-17

GNBS

data (i.e. without knowledge of the group-specific event rates) will be performed. If the overall event rate is lower than expected, then the final sample size will be reduced, using the original sample size formula and replacing the initial estimate with the observed rate. The non-inferiority margin may be reduced to ensure an appropriate margin relative to the event rate. If the overall event rate is higher than expected, the sample size will be increased correspondingly. Any sample size adjustment will be reported to the regulatory authorities as a protocol amendment.

### 3.3 Interim analysis

We will perform interim analyses after the recruitment of every 100 participants. This serves to evaluate primary endpoints and potential adverse events by an independent data and safety monitoring board (DSMB).

The Haybittle-Peto method is applied to demonstrate overwhelming differences between the two treatment groups that necessitate premature termination of the trial. A significant p-value of 0.001 in the interim analyses will correspond to a p-value of 0.05 in the final analysis.

### 3.4 Framework

The primary outcome will be tested for non-inferiority. The secondary outcomes will be tested for superiority.

## 4 ANALYSIS SETS

Analyses will be conducted on the following data sets:

### 4.1 Intention-to-treat (ITT) Analysis Data Set

The ITT data set will include all randomized study participants who received at least one dose of study drug regardless of their compliance with the rules of the study. The ITT data set will be used for the analysis of all primary and secondary end points, and all safety-related analysis will be based on the ITT population.

### 4.2 Per protocol (PP) Analysis Data Set

The PP data set will include all randomized participants who received the full duration of study medication according to protocol. Participants with significant variations from the study protocol (e.g. ceasing study drugs early or withdrawal from study for any other reason) will be excluded from the PP

Eudra-CT no.: 2019-003282-17

GNB5

population. Minor procedural variations (e.g. failure to collect additional blood samples at inclusion) will not preclude patients from the PP analysis.

### 4.3 Protocol violations

All protocol violation occurring after randomization will be listed in the Clinical Study Report, tabulated by study ID and investigating center. Dropouts will be included in the ITT population.

## 5 ENDPOINTS

Definitions of study endpoints:

### 5.1 Primary Study Outcome

90-day survival without clinical or microbiological failure to treatment as defined:

1. All-cause mortality from day of randomization and until day 90, with day 1 defined as the date of the initiation of appropriate empiric antibiotic treatment.
2. Microbiological failure: Recurrent bacteremia due to the same microorganism as verified by sequence analysis occurring from after day 5 and until day 90
3. Clinical failure: Re-initiation of therapy against Gram-negative bacteremia for more than 48 hours due to clinical worsening suspected to be due to the initial infecting organism and for which there is no alternate diagnosis/pathogen suspected from the day of randomization and until day 90
  - a. Distant complications of initial infection, defined by growth of the same bacteria as in the initial bacteremia (e.g. endocarditis, meningitis)
  - b. Local suppurative complication that was not present at infection onset (e.g. renal abscess in pyelonephritis)

### 5.2 Secondary Study Outcomes

To compare shortened antibiotic treatment with longer antibiotic treatment on:

- All-cause mortality at days 14, 30 and 90
- Total duration of antibiotic treatment
- Duration and type of antibiotic treatment
- Total length of hospital stay
- Hospital re-admission within 30 and 90 days
- Antibiotic adverse events
- Use of and type of antimicrobials after discharge

Eudra-CT no.: 2019-003282-17

GNB5

- Severe adverse events grade  $\geq 3$
- Acute kidney injury
- *Clostridium difficile* infection
- Multidrug-resistance organism

## **6 STATISTICAL METHODOLOGY**

### **6.1 Data validation**

Data will be examined for missing values and outliers. Measures of central tendency and dispersion for continuous study parameters will be portrayed. Extreme or unexpected values will be examined individually for authenticity and data discrepancies addressed where appropriate. Additional audit and statistical checks will be performed as necessary.

### **6.2 Missing data**

No imputation of missing data will be conducted. Only observed data will be included in the analyses.

### **6.3 Analyses on continuous variables**

For continuous variables (e.g. age, duration of antibiotic therapy and hospital stay) results within the treatment arm will be summarized with the number of observations, medians and interquartile ranges or means and standard deviations, depending on distribution. Differences between the control group and interventional group will be calculated using the Wilcoxon Rank-sum test for nonparametric distribution or student's t test for parametric distribution.

### **6.4 Analyses on categorical variables**

For categorical variables (e.g. gender, readmissions, mortality) results within the treatment group will be summarized with subject counts and percentages. For endpoints, risk ratios (RR) and the absolute risk difference will be calculated (with 95% confidence intervals). The control group, receiving longer antibiotic treatment, will be used as the reference group. P-values will be based on either Pearson's Chi-square tests or Fischer's exact test. Results may also be represented using forest plots in comparison to the non-inferiority margin, using the Miettinen-Nurminen method.

### **6.5 Endpoint analyses**

Both ITT and PP population will be used for both primary and secondary efficacy analyses.



Eudra-CT no.: 2019-003282-17

GNB5

Non-inferiority must be met for the primary analysis of the ITT population for the shortened antibiotic treatment to be regarded as non-inferior to the longer antibiotic treatment. The findings in the PP population must be seen to be consistent in terms of direction and effect size estimates.

The secondary efficacy analyses will be adjusted for multiple testing.

### 6.6 Subgroup analyses

An analysis of the primary and secondary efficacy endpoints is proposed in the following subgroups:

1. Disease severity (given by qSOFA-score and Pitt bacteremia score)
2. Antibiotic group
3. Day of achieved clinical stability (defined as systolic blood pressure  $\geq 90$  mm Hg, heart rate  $\leq 100$  beats/min., respiratory rate  $\leq 24$ /minute, peripheral oxygen saturation  $\geq 90$  %)
4. Resistant pathogens
5. Investigating center

### 7 STATISTICAL SOFTWARE

Statistical analyses will be performed using R Studio.