ABSTRACT

Objectives To develop a consensus on evidence-based principles and recommendations for perioperative hypothermia prevention in the Australian context.

Design This study was informed by CAN-IMPLEMENT using the ADAPTE process: (1) formation of a multidisciplinary development team; (2) systematic search process identifying existing guidance for perioperative hypothermia prevention; (3) appraisal using the AGREE II Rigor of Development domain; (4) extraction of recommendations from guidelines meeting a quality threshold using the AGREE-REX tool; (5) review of draft principles and recommendations by multidisciplinary clinicians nationally and (6) subsequent round of discussion, drafting, reflection and revision by the original panel member team.

Setting Australian perioperative departments.

Participants Registered nurses, anaesthetists, surgeons and anaesthetic allied health practitioners.

Results A total of 23 papers (12 guidelines, 6 evidence summaries, 3 standards, 1 best practice sheet and 1 evidence-based bundle) formed the evidence base. After evidence synthesis and development of draft recommendations, 219 perioperative clinicians provided feedback. Following refinement, three simple principles for perioperative hypothermia prevention were developed with supporting practice recommendations: (1) actively monitor core temperature for all patients at all times; (2) warm actively to keep body temperature above 36°C and patients comfortable and (3) minimise exposure to cold at all stages of perioperative care.

Conclusion This consensus process has generated principles and practice recommendations for hypothermia prevention that are ready for implementation with local adaptation. Further evaluation will be undertaken in a large-scale implementation trial across Australian hospitals.

INTRODUCTION

All clinicians caring for patients undergoing surgery have a responsibility to prevent perioperative hypothermia. Perioperative hypothermia, defined as a loss in core temperature to below 36°C, is in most cases preventable if proactive and coordinated planning is enacted. Yet, in Australia over a quarter of patients undergoing surgery are hypothermic on arrival to post-anaesthetic care. Specific surgical populations such as caesarean delivery have a higher prevalence. Warmed patients experience less shivering, increased satisfaction and a greater sense of well-being. In contrast, evidence suggests that perioperative hypothermia increases the risk of wound infection, surgical bleeding and blood transfusion, morbid cardiac events, increased recovery time and longer overall stay. Adverse outcomes associated with perioperative hypothermia cost the Australian healthcare system an estimated $1.3 billion per annum.
Coordinated core temperature monitoring and use of warming strategies are fundamental practices to prevent hypothermia and associated complications, underpinned by decades of evidence. Nonetheless, almost one-third of Australian patients receive no temperature monitoring at all before admission to post-anaesthetic care and many do not receive appropriate intraoperative active warming.

Factors contributing to low uptake of practices to prevent hypothermia are multidimensional, but low awareness of guidelines is common. While the challenges of improving guideline uptake are not unique to perioperative care, in the area of perioperative hypothermia prevention, low guideline uptake is profound and impedes coordinated care. There is no single nationally endorsed consensus or guideline for perioperative hypothermia prevention among professional groups in Australia. Since 2017, national professional guidance and standards have been produced by the Australian College of Perioperative Nurses (ACORN), the Australian and New Zealand College of Anaesthetists (ANZCA) and the Australian College of Perianaesthesia Nurses. Additionally, there are conflicting beliefs about roles and responsibilities among clinicians about who does what.

Consensus on practice recommendations for hypothermia prevention among perioperative clinicians can be valuable to support a team-based approach and resolve contradictions between guidelines. Consensus statements have an impact on policy and practice in many areas of healthcare. Developing consensus on perioperative hypothermia prevention may provide a basis for the development of national policy and care standards that are long overdue.

Perioperative care is an example of a complex adaptive system within the larger, complex adaptive system of the hospital. Clinicians are challenged to provide consistent, coordinated hypothermia prevention and the integrated approach needed to implement guidelines. Perioperative hypothermia prevention itself is a complex intervention. It involves many activities that should interact with each other (eg, temperature monitoring alongside warming), enacted by different teams at different times, and requiring adaptation to the context.

Striving to force complex systems to fit rigidly to ‘detailed standardisation’ can be futile because these systems are not machine-like and need adaptation and human input through judgement and expertise. The use of what Plsek calls ‘simple rules’ to guide practice enables action by providing overarching direction, but with sufficient freedom to adapt practice based on the complexity of the system. Through a consensus process, we aimed to synthesise knowledge on perioperative hypothermia prevention, not to add to the number of guidelines, but to synthesise current guidance and generate simple principles supported with practice recommendations. Simple principles allow enough direction to guide practice, while enabling further adaptation to context. The aim of this project was therefore to bring together clinicians to develop a multidisciplinary consensus on perioperative hypothermia prevention for adult patients. The outcome of this process was a knowledge product ready for local adaptation by clinicians during implementation.

METHODS

We used a process of guideline adaptation proposed by the ADAPTE Collaboration and further described by CAN-IMPLEMENT. The ADAPTE Collaboration provides a process of adapting guidelines that have been developed in one or more settings for use in another organisational or cultural context. The ADAPTE method was appropriate as our aim was to adapt existing international guidelines and those produced by different professional groups, for use in the Australian context and to develop principles for practice, rather than to develop a new guideline. Additionally, this process allows for a participatory approach to foster ownership of guidance that is clinically relevant. This met our aim of facilitating multidisciplinary engagement with principles and practice recommendations for perioperative hypothermia prevention. As perioperative hypothermia prevention is a complex intervention, we aimed to generate simple principles to guide practice, with supporting practice recommendations. The assumption was that in large complex adaptive systems such as perioperative care, working with principles rather than rigid standardisation to shape practice change allows for accountability, but with greater freedom for adaptation to context.

This work is the first stage of a larger project to improve perioperative hypothermia prevention in Australian hospitals, guided by the Knowledge-to-Action framework. This framework includes a process of Knowledge Creation, followed by an Action Cycle. Our work to synthesise guidance to generate a knowledge tool or product (simple principles and practice recommendations) is situated within the Knowledge Creation phase. The following six-step process (see figure 1) was used.

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Establishing a multidisciplinary development team

A multidisciplinary development team was formed, comprising six perioperative clinicians and researchers. Team members also represented a Hypothermia Special Interest Group and members of the following professional colleges: ACORN; ANZCA and Royal Australasian College of Surgeons.

Search for existing evidence

Guidance for perioperative hypothermia prevention in adults was identified through a systematic search process in the following databases: PubMed; Agency for Healthcare Research and Quality Guideline Clearinghouse; Cochrane Library and Guidelines International Network. Initial search terms (perioperat*, hypotherm*, surg*) were expanded to include relevant MeSH headings and a health librarian was consulted on the final search strategy. Websites of relevant professional organisations and clinical sites relevant to perioperative practice were also searched. A date range from 2008 until 21 July 2021 was applied, on the basis that the National Institute for Health and Clinical Excellence published the seminal guidance on perioperative hypothermia prevention in 2008.¹ No language limit was applied. Guidelines, papers reporting bundled recommendations or evidence-based pathways were included. Papers that reported on processes to develop guidelines but did not report the actual guidelines were excluded. Additionally, papers that focused on implementation of guidelines were also ineligible. After the removal of duplicates, independent screening of titles and abstracts was conducted by two team members. Where there was disagreement, a third member was asked to adjudicate on guideline inclusion. Full texts were retrieved for papers deemed to be relevant. Online supplemental file 1 provides the complete search strategy across all databases, websites and registries. A Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow chart (figure 2) reports the flow of papers for inclusion at all stages.²

Appraisal

Full texts were critically appraised independently by team members using Domain Three of the Appraisal of Guidelines for Research & Evaluation (AGREE) II document: Rigor of Development³ and entered into REDCap. The AGREE II tool is an internationally recognised and extensively validated tool for assessing the quality and reporting of clinical practice guidelines.³ The complete tool comprises 23 items within six domains and then provides an overall assessment of the guideline being...
The AGREE-pan information about the study. A REDCap survey link an invitation to participate email, which included partici-
cation was purposively recruited with implied consent via
with modifications’ or ‘no’.
2. Practice recommendations. The second component, referred to as a pathway, provided more detailed prac-
tice recommendations with minimum requirements for perioperative hypothermia prevention accompany-
and as for the basis of, each principle.
Assessment of the quality, acceptability, applicability, comparative value and intention to follow the recommend-
dations as a pathway was sought from multidisciplinary perioperative clinicians nationally via a Qualtrics survey. The survey comprised items from The Clinicians’ Assess-
ments of Practice Guidelines in Oncology (CAPGO) tool with wording adapted for perioperative hypothermia prevention (see online supplemental file 2). The CAPGO tool was originally developed and tested in an oncology setting to determine perceptions, attitudes and beliefs on guideline utility, to assess factors that influence clinician intention to use guidelines. The tool has since been validated in other clinical settings, and is an integral component of the ADAPTE toolkit. Respondents could provide further detail if they responded ‘No’ or ‘Unsure’. Demographic questions included professional role and location (state or territory, public or private facility).
For recruitment at this phase, perioperative clinicians were contacted via snowballing and with assistance from national professional networks, including professional college mailing lists. Eligible professions included: regis-
tered or enrolled nurses; anaesthetic allied health practi-
tioners (anaesthetic technicians); anaesthetic medical practitioners and surgical medical practitioners. Respond-
dents were required to be involved in perioperative care during one or more of the following phases: preadmis-
sion, preoperative, intraoperative and/or postanaesthetic care. Consent was implied via completion of the anonym-
ous survey. We incentivised participation with a random prize draw via a separate survey link on completion.

Revision and finalisation
A subsequent round of discussion, drafting, reflection and revision of the simple principles and recommenda-
tions was undertaken by the original development team, considering the results from the national survey.

Patient and public involvement
Consumer consultation with a patient representative occurred during the finalisation stage with reimburse-
ment for their time. Consumers will be involved in dissem-
ination and implementation, including the development of patient information.

RESULTS
Identification and selection of evidence
After searches, 1324 records were identified. Duplicates were removed and the remainder of records screened against inclusion criteria. A total of 69 full texts were assessed for eligibility, with consensus gained on 23 papers

Multidisciplinary review of draft recommendations
Following adaptation of the recommendations according to the assessment of clinical credibility and imple-
mentability in the preceding stage, the draft document was formatted and refined with assistance from a profes-
sional designer. The draft incorporated:
1. Simple principles for perioperative hypothermia pre-
vention. We organised perioperative hypothermia prevention into three principles: monitoring core temperature, active warming and minimising expo-
sures. These represent the three main domains of hypothermia prevention, providing a guide to action that can be adapted to context (eg, including the local

open access

reviewed. The Rigor domain comprises eight items that focus on evidence supporting the guideline, methods for recommendation development and the guideline process for review and updating. Items are graded using a seven-
point Likert scale with responses ranging from strongly disagree to strongly agree. Two team members reviewed and appraised each document independently, with a third reviewer adjudicating where needed. Responses were collated in REDCap and assessed for consensus on each item.
being deemed suitable for which to extract clinical recommendations. Reasons for the 46 excluded records are seen in the PRISMA flow diagram (figure 1). The 23 included papers included 12 guidelines, 1,18-36-45 6 evidence summaries,16-51 3 standards,16,52 53 1 best practice sheet1 and 1 paper reporting an evidence-based bundle of activities for the management of perioperative hypothermia.35

Where further duplicate guidance was found, the most recent document was included. Following appraisal with the AGREE II Rigor of Development domain, six sources were rated as high quality (scoring between 81 and 112) and considered of sufficient quality for extraction of recommendations.1,36-41 42 44 55 The remaining 17 evidence sources were rated as average quality, scoring between 33 and 80. Many of these documents omitted information on how they were developed. In summary, 23 articles were reviewed and appraised with recommendations extracted from the six highest quality sources.

**Recommendation extraction and development of draft recommendations**

After extraction of recommendations to preoperative, intraoperative and postoperative phases of care (which included combining of duplicate recommendations), a panel of multidisciplinary perioperative clinicians were invited to assess 37 recommendations against the AGREE-REX domains of clinical applicability, alignment to local values and preferences, and implementability.31 Ten multidisciplinary panel members consented to take part in the first round of review, following which the 37 recommendations were reduced to 25. Recommendations were either removed due to their overall score against the domains or were combined and condensed, if similar in content. For example, four items relating to the use of conductive warming were condensed to one recommendation. The mean score for most items was greater than 6 (out of a maximum score of 7). The requirement to complete incident reporting for any patient that arrived to operating theatres with a temperature less than 36°C achieved a mean score of 4.75 (SD=1.28). However, recommendations that ‘irrigation fluids should be warmed to 38–40°C’ and that ‘in adults scheduled for laparoscopic surgery, warming insufflation gases before administration is not advised until the clinical benefit is confirmed’ were included in the second round of review despite scoring <5, due to the disparities in comments made by the panel. Nine panel members participated in the second round of review for the remaining 25 items. Following this round, recommendations were condensed to 21 practice recommendations for inclusion in the draft document.

**Multidisciplinary review of draft recommendations**

Of 246 clinicians that responded and provided demographic details, 219 proceeded to assess the quality, acceptability, applicability, comparative value and intention to follow the recommendations via the national electronic survey. Of these, 102 (46.6%) were registered nurses, 78 (35.6%) were anaesthetists, 16 (7.3%) were surgeons, 12 (5.5%) were anaesthetic technicians, 5 (1.4%) were enrolled nurses and 2 individuals (0.9%) worked in management or clinical governance roles but did not provide their professional background. Table 1 outlines additional respondent characteristics.

Online supplemental file 3 provides detailed results of clinicians’ assessment of the recommendations. Overall, 201/219 (92.8%) of participants agreed the rationale for developing perioperative hypothermia prevention recommendations was clear. The pathway recommendations were assessed favourably by most participants: 87.2% (179/219) responded that they were clear, and three-quarters (n=165/219, 75%) agreed with the recommendations as stated.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Characteristics of multidisciplinary survey respondents (n=219)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location of work</td>
<td>Queensland</td>
</tr>
<tr>
<td>Private/Public</td>
<td>Public</td>
</tr>
<tr>
<td>Professional College*</td>
<td>Australian College of Perioperative Nurses (ACORN)</td>
</tr>
</tbody>
</table>

*Some respondents were members of multiple professional colleges.
†ANZCA survey responses included 12 respondents from New Zealand.

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Overall, most respondents (n=123/139, 88.5%) stated that they would be ‘extremely’ or ‘somewhat likely’ to use the pathway recommendations in their own practice. Over half of respondents stated that they would be ‘extremely likely’ to use them (n=72/139, 51.8%). In addition, 51/139 (36.7%) stated they would be ‘somewhat likely’; 8/139 (5.8%) stated ‘neither likely nor unlikely’; 5/139 (3.6%) stated ‘somewhat unlikely’ and 3/139 (2.2%) stated that they would be ‘extremely unlikely’ to use the recommendations.

Free text responses to questions were analysed for recurrent themes to understand where adjustments to wording to improve clarity or refinements to presentation were needed. Wording was adjusted regarding the recommendation relating to the frequency of intraoperative temperature measurement as free text responses highlighted a need for clarity. Based on clinician feedback, we shifted away from the original framing of practice recommendations as a pathway. This language was viewed as prescriptive and inconsistent with our purpose to provide principles for adaptation to context. Consumer feedback on the content of the recommendations was sought, particularly regarding patient information and how these recommendations could be ultimately applied in practice.

DISCUSSION OF SYNTHESISED GUIDANCE
Rather than adding to existing guidelines, using a structured consensus process, we present simple principles with practice recommendations for perioperative hypothermia prevention to provide direction for practice, while allowing for local adaptation and implementation.

Simple principles for perioperative hypothermia prevention
By synthesising clinical guidance to form three principles for perioperative hypothermia prevention, we acknowledge the way in which perioperative clinicians think about and work towards perioperative hypothermia prevention may matter more than focusing on the complex details of how and when to do certain activities. Systems-level improvements in care are more likely achievable by adopting principles for practice, rather than specific and rigid targets that may be unachievable.26 Perioperative care delivery is influenced by combinations of factors that inhibit predictability, causing ‘control by design’ to be inherently resisted.42 Large-scale evaluations of perioperative practice improvement have demonstrated the challenges of achieving change across multiple levels and groups, especially when aiming for adherence to strict care pathways.56 57

To overcome the fragmentation of hypothermia prevention across the perioperative period, we present three domains of prevention that can be applied across all phases of care rather than segmentation into distinct phases (eg, preoperative, perioperative or intraoperative care) (see figure 3). This also serves to reduce the complexity of guidance and addresses the widely reported disparities in beliefs between perioperative clinicians about responsibility and ownership of hypothermia prevention.14 However, we acknowledge that clinicians will also, at times, require recommendations that provide greater detail about the how, why and when of specific activities, such as forced air warming. We provide greater detail in the practice recommendations, based on the synthesised evidence and consensus process, to accompany each principle (figure 4).

Monitoring core temperature
Monitoring core temperature is a central component of perioperative hypothermia guidelines,1 42 44 both as a mechanism to observe actual temperature at any time and over time, but also vital when warming interventions are used to measure efficacy and potential overheating. Monitoring core temperature should occur consistently throughout the surgical pathway; to this end, continuous temperature monitoring is preferable. Our consensus process synthesised recommendations that suggested continuous,1 44 15 minutely1 44 and 30 minutely monitoring.1 Distinct timepoints are also identified in existing guidance,1 44 55 often at transition points during the perioperative pathway. Our synthesised recommendations to accompany the overall simple principle are presented in figure 4.

Survey feedback suggested that clinicians desire recommendations on what monitoring devices should be used. Frustration with device accuracy was expressed. While some guideline documents offer scant information regarding device selection, these statements are often vague. Practical issues with temperature device selection are well known: the most accurate devices are the most invasive and not appropriate for use in most surgeries, and the most widely used, available and accessible non-invasive devices (in particular, infrared ear devices)2 13 are unreliable and inaccurate.58 It is well known that sites providing true core temperature measurement are the most invasive: the pulmonary artery, distal oesophagus, nasopharynx and tympanic membrane.59 Near-core temperature estimates can be obtained at oral and axillary sites, with care and in the appropriate circumstances.59 We have stopped short of providing recommendations for device selection, which depends on many factors including local availability, surgical procedure and monitoring site accessibility.42 Important future work lies in developing decision-support tools for device selection, based on accuracy and practicality of core and near-core temperature monitoring. This would complement the perioperative hypothermia prevention principles.

Warming actively
Active warming throughout the perioperative period is a core component of perioperative hypothermia guidelines.1 42 44 Forced air warming is the recommended mode of active warming, although some guidelines and recommendations provide additional recommendations on other modalities, such as conductive warming.1 42 44
Figure 3  Simple principles for perioperative hypothermia prevention.

Figure 4  Practice recommendations for perioperative hypothermia prevention. PACU, post anaesthetic care unit.
Intravenous fluid warming is included in guidelines, not as a replacement for, but as an additional intervention for use with forced air warming, the volume of administered fluids influences decision-making regarding efficacy, as does the method of fluid warming. Active warming (using forced air) is preferably commenced preoperatively and continued intraoperatively, switching the emphasis to pre-warming rather than re-warming. The clinical rationale for prewarming is based on increasing peripheral heat content to decrease heat loss through the core-periphery heat gradient during anaesthesia. Specific recommendations on required duration of preoperative warming vary from short periods of 10 min to longer periods of 60 min or longer. Our consensus process considered shorter periods of preoperative warming (from 10 to 30 min pre-induction) which may be easier to enact in practice than longer periods (over 30 min). Our final synthesised guidance suggests preoperative warming is commenced if temperature is less than 36°C and at least 30 min before anaesthesia induction unless this will delay emergency surgery. Evidence to guide target core temperature during active warming is lacking and more aggressive warming targeting >36°C may not be superior to current guidance. A recent large-scale randomised controlled trial found no clear benefit of preoperative and intraoperative forced air warming targeting at least 37.0°C compared with routine care (with forced air warming initiated to maintain at least 35.5°C) for myocardial injury, non-fatal cardiac arrest or mortality. We have synthesised practice recommendations as detailed in figure 4.

Minimising exposure
Minimising exposure to the cooler environment promotes a proactive approach to reducing heat loss including patients and carer involvement, as well as the entire perioperative team. Some guidelines recommend discussing the potential for heat loss during surgery and the need to keep warm and to bring additional clothing with patients and carers. Minimising exposure to the cooler environment is an approach to care that can be continued throughout all perioperative phases: intraoperatively, patients should only be exposed when and as necessary. Maintenance of ambient temperature to at least 21°C is recommended. Nonetheless, we acknowledge that maintenance of intraoperative ambient temperature is contested by surgical teams, as noted during our consensus process. However, minimising exposure and paying attention to ambient temperature—particularly if exposure is required—applies to all phases of perioperative care. Our synthesised practice recommendations are presented in figure 4.

Research and policy implications
The significance of our work is the development of a knowledge product that has been contextualised for implementation and is ready for adoption and adaptation in Australian practice. What is also new is how we have packaged the consensus-based evidence using simple principles, and how this functions to guide implementation in practice.

Our application of a consensus-based approach to knowledge creation demonstrates how practice guidelines can be distilled into a set of principles and recommendations that are easily grasped for implementation. The same process could be applied to multiple other areas where there is a proliferation of guidance, yet no clear impact on practice. Simple principles and recommendations that provide minimum specifications allow for creative adaptation and innovation in areas where complexity flourishes.

The effectiveness and impact of the principles and recommendations on patient outcomes remain to be tested. Our planned implementation trial will evaluate the use of the principles and recommendations, integrating local adaptation and team-based strategies to implement practice change for perioperative hypothermia prevention. Further refinement may occur as the evidence base grows and after implementation and evaluation of the principles and recommendations in practice.

Strengths and limitations
We used a consensus-based approach, using the ADAPTE process, to develop principles and recommendations for perioperative hypothermia prevention. To address the complexity of perioperative hypothermia prevention, we present simple principles underpinned by practice recommendations to assist with adaptation and implementation. The practice recommendations incorporate minimum specifications which allow for creative adaptation and innovation while providing direction and boundaries. A multidisciplinary team representing nursing, anaesthesia and surgery contributed either as members of the core investigatory team, panel or wider network of clinicians to evaluate the recommendations. Clinicians electing to participate may place higher importance on perioperative hypothermia prevention than clinicians not participating, however, this is a strength in the context of the consensus process which requires involvement of team members with clinical expertise on the topic. In addition, survey dissemination through ANZCA also reached members in New Zealand, although only 12 respondents from New Zealand participated.

CONCLUSION
Our recommendations emphasise a proactive approach to perioperative hypothermia prevention and management. The simple principles and practice recommendations are intended for use in conjunction with clinical judgement and with knowledge of the local context. This work is an important conceptual stage in knowledge translation for perioperative hypothermia prevention and requires further testing in an implementation trial to determine the impact on patient care and outcomes.
Author affiliations
1School of Nursing/Centre for Healthcare Transformation, Faculty of Health, Queensland University of Technology, Kelvin Grove, Queensland, Australia
2Faculty of Health and Sports Sciences, University of Agder, Grimstad, Vest-Agder, Norway
3Nursing and Midwifery Research Centre, Royal Brisbane and Women’s Hospital, Herston, Queensland, Australia
4School of Surgery, Burn Injury Research Unit, The University of Western Australia, Perth, Western Australia, Australia
5Department of Health Government of Western Australia, Royal Perth and Princess Margaret Hospitals, Perth, Western Australia, Australia
6Department of Anaesthetics, Princess Alexandra Hospital, Woolloongabba, Queensland, Australia
7Faculty of Medicine, The University of Queensland, Saint Lucia, Queensland, Australia
8School of Health, University of the Sunshine Coast, Sippy Downs, Queensland, Australia
9Evidence In Practice Unit, Mater Health Services Brisbane, South Brisbane, Queensland, Australia

Twitter Judy Munday @judymunday2 and David Sturgess @timeandoxygen

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Contributors
This study was conceptualised by JM and JD. JM and JD contributed to methodology. JM and M-AR contributed to validation. JM, JD and M-AR contributed to formal analysis. M-AR conducted all searches and contributed to investigation. JM, JD, DS, FMW, NR and M-AR conducted appraisal of sources and recommendations. M-AR, JM and JD extracted and mapped recommendations. M-AR and JM contributed to data curation. JM contributed to writing – original draft. JM, M-AR, JD, FMW, DS and NR contributed to visualisation. JM and M-AR contributed to project administration. JM and JD contributed to funding acquisition. Writing – review and editing: final drafting of the manuscript was completed by JM, with assistance of M-AR and final review by JD, FMW, DS and NR. All coauthors approved the final manuscript for submission. JM: guarantor of work.

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Competing interests
JM has received educational consultancies from 3M, and her employer has received research support in the form of funding for work unrelated to this project. JM co-authored one of the documents reviewed during this project, but this document was not used to formulate recommendations. JD has received honorariums from 3M for educational consultancies, and his employer has received grants from 3M for work unrelated to this project. JD co-authored one of the documents included during this project but was not involved with the appraisal of this document.

Patient and public involvement
Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication
Not applicable.

Ethics approval
This study involves human participants and was approved by Queensland University of Technology’s Human Research Ethics Committee (HREC; reference: LR 1021 HE21). Participants gave informed consent to participate in the study before taking part.

Provenance and peer review
Not commissioned; externally peer reviewed.

Data availability statement
Data are available upon reasonable request. Data related to surveys are not available due to the requirements of ethical approval. However, data related to other phases of this study are available upon reasonable request.

Supplemental material
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ORCID iDs
Judy Munday http://orcid.org/0000-0003-3596-5235
David Sturgess http://orcid.org/0000-0002-4364-0038

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