Examining the immediate and ongoing impact of the COVID-19 pandemic on population-based estimates of dementia: a population-based time series analysis in Ontario, Canada

Aaron Jones,1,2 Susan E Bronskill,2,3 Laura C Maclagan,2 Lisa Jaakkimainen,2,3,4 David Kirkwood,2 Alexandra Mayhew,1 Andrew P Costa,1,2 Lauren E Griffith1

ABSTRACT

Objectives Population-based chronic disease surveillance systems were likely disrupted by the COVID-19 pandemic. The objective of this study was to examine the immediate and ongoing impact of the COVID-19 pandemic on the claims-based incidence of dementia.

Methods We conducted a population-based time series analysis from January 2015 to December 2021 in Ontario, Canada. We calculated the monthly claims-based incidence of dementia using a validated case ascertainment algorithm drawing from routinely collected health administrative data. We used autoregressive linear models to compare the claims-based incidence of dementia during the COVID-19 period (2020–2021) to the expected incidence had the pandemic not occurred, controlling for seasonality and secular trends. We examined incidence by source of ascertainment and across strata of sex, age, community size and number of health conditions.

Results The monthly claims-based incidence of dementia dropped from a 2019 average of 11.9 per 10 000 to 8.5 per 10 000 in April 2020 (32.6% lower than expected). The incidence returned to expected levels by late 2020. Across the COVID-19 period there were fewer cases of dementia observed than expected, equivalent to 1.05 expected in hospital and among individuals with 11 or more health conditions.

Conclusions The claims-based incidence of dementia recovered to expected levels by late 2020, suggesting minimal long-term changes to population-based dementia surveillance. Continued monitoring of claims-based incidence is necessary to determine whether the lower than expected incidence among individuals aged 65–74 years and in large urban areas, and higher than expected incidence among individuals with 11 or more health conditions, is transitory.

STRENGTHS AND LIMITATIONS OF THIS STUDY

⇒ The population-based design enables examination of the research question over a large and representative population.
⇒ The validated case ascertainment algorithm used in the study draws on health system encounters from multiple sectors.
⇒ However, chronic disease ascertainment dates derived from health administrative data may not align with the date of clinical diagnosis.

INTRODUCTION

Dementia case ascertainment algorithms based on health administrative data are regularly used in population-based research and chronic disease surveillance.1–3 By tracking the incidence and prevalence of diseases over time, chronic disease surveillance systems provide critical information for public health planning and evaluation.4 In the absence of national registries or screening programmes, administrative databases are a vital source of data on the epidemiology of chronic diseases.5 Claims-based case ascertainment methods for dementia combine information gathered from routinely collected health records, including physician encounters, hospital admissions and dementia-specific medication use, to identify individuals who are likely to have been diagnosed with dementia. The performance of these algorithms varies by setting and jurisdiction, but they typically achieve high positive predictive value with reasonable sensitivity.6 While these algorithms have clear utility, there are also known challenges as the methods depend on interactions with the health system which can be used to identify dementia diagnoses.7


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For numbered affiliations see end of article.

Correspondence to Dr Aaron Jones; jonesa13@mcmaster.ca

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Accurate ascertainment requires equitable and consistent access to health services and recording of relevant diagnoses. The COVID-19 pandemic had a wide-ranging impact on health service use, including reductions in care volumes across settings, rapid uptake of virtual care and changes in the most common reasons for which healthcare was sought. Examining changes in the claims-based incidence of dementia will yield insight into the disruptions of the pandemic on physician diagnoses of dementia. The extent and longevity of any impact of the COVID-19 pandemic on claims-based incidence of dementia has important implications for the future use of population-based dementia estimates. The objective of this study was to examine how the claims-based incidence of dementia changed across the COVID-19 period in Ontario, Canada, both immediately at the start of the pandemic as well as over time. We examined differences in the claims-based incidence across contributing data sources (physician encounters, hospital admissions, medications) and across sociodemographic strata of age, sex, community size and health conditions.

METHODS

Setting and study design
We conducted a time series analysis using population-based health administrative data sets in Ontario, Canada. Ontario has a population of approximately 15 million individuals, including more than 2 million over the age of 65 years. Ontario’s health system includes publicly funded universal health insurance for medically necessary services, including physician care, hospital-based care and medication coverage for individuals aged 65 years and older. According to Canadian guidelines, routine cognitive screening of asymptomatic individuals for mild cognitive impairment or dementia is not recommended, but the assessment of cognition, activities of daily living and neuropsychiatric symptoms is indicated when there are clinically significant concerns for a cognitive disorder. In Ontario, there are no incentives for clinicians to screen for dementia.

Population
Our population was an open cohort of older adults aged 65 years and older at risk of dementia. We included older adults living in both community and congregate care settings.

Dementia case ascertainment
We used the dementia case definition from the Canadian Chronic Disease Surveillance System. The validated algorithm identifies individuals likely diagnosed with dementia using administrative records from physician encounters, hospital admissions and use of dementia-specific medications. Individuals are considered to have been likely diagnosed with dementia when they meet any one of the following criteria: (1) three separate physician encounters with a dementia International Classification of Diseases Ninth Revision (ICD-9)/ICD-10 code, with at least 30 days separating each encounter; (2) a single hospital admission with a dementia ICD-9/ICD-10 code; or (3) a single dispensation of a dementia-specific medication (ie, cholinesterase inhibitors). The ascertainment date is identified as the earliest of the hospital admission date, the medication dispensation date or the last date of the physician encounter sequence. In Ontario, the algorithm was found to outperform other claims-based formulations and achieved a specificity of 79.9%, a sensitivity of 99.1% and a positive predictive value of 80.4%. A full definition of the algorithm including all ICD-9/ICD-10 codes and Anatomical Therapeutic Chemical codes is listed in online supplemental table 1. The lookback window in the administrative data to exclude individuals with prevalent dementia from the incidence calculation extended back to 1996.

Data sources
Diagnosis codes from physician encounters and hospital admissions were extracted from the Ontario Health Insurance Plan database and the Canadian Institute for Health Information’s Discharge Abstract Database, respectively. Medication use was captured from the Ontario Drug Benefit database. Ontario’s insurable population was identified using the Registered Persons Database. These data sets were linked using unique encoded identifiers and analysed at ICES (formerly the Institute for Clinical Evaluative Sciences). ICES is an independent, non-profit research institute whose legal status under Ontario’s health information privacy law allows it to collect and analyse healthcare and demographic data, without consent, for health system evaluation and improvement.

Claims-based incidence of dementia
We calculated the monthly claims-based incidence of dementia per 10 000 individuals among older adults (65+ years) in Ontario at risk of dementia between January 2015 and December 2021. The incidence was calculated as the number of new ascertainment in a month, divided by the population at risk of dementia at the start of the month, divided by the count of days in the month, multiplied by 30.

Statistical analysis
We fit autoregressive linear regression models to the monthly claims-based dementia incidence. Seasonality was controlled for using an indicator variable for each month and long-term trend via a linear term on the number of months since beginning of the time series. The model was fit on the pre-COVID-19 pandemic period (2015–2019). This model was used to generate what the expected incidence of claims-based dementia would have been during the COVID-19 period (2020–2021) had the pandemic not occurred. We calculated relative and absolute differences between observed and expected claims-based dementia incidences. We characterised the
initial decline in claims-based incidence by comparing the observed and expected incidences at the month of the lowest observed incidence in 2020. We calculated the difference between the counts of observed and expected dementia case ascertainments by applying the difference between the observed and expected incidences to the population at risk each month. We examined cumulative differences in the count of observed and expected dementia case ascertainments within calendar years and across the entire COVID-19 period. We constructed 95% CIs around the cumulative differences in case ascertainments during the COVID-19 period using a 5000-replicate block bootstrap with a block size of 3 months. To facilitate comparison across strata of different sizes, we expressed the cumulative difference in case ascertainments in terms of the number of months of new ascertainments they represent based on 2019 figures.

We stratified the main analysis by data source (physician encounters, hospital admissions, medications) to identify whether certain sources were more strongly affected by the pandemic. We additionally stratified by age (65–74, 75–84, 85+), sex (male vs female), community size (large urban, small urban, rural) and count of health conditions (0–5, 6–10, 11+) to explore differential effects across sociodemographic strata. Community size was defined using the Rurality Index of Ontario. Health condition count was defined using the Canadian Institute for Health Information Population Health Grouper, which includes 226 health conditions that can be ascertained via administrative data sources. All analyses were performed using R 4.0.3.

**Sensitivity analysis**

To examine whether the changes in claims-based incidence were related to a shifting population composition, we repeated the main analyses using incidence rates that were standardised to the age-sex distribution of Ontario in January 2015. We also repeated the main analysis among only the community-dwelling older adult population to examine to what degree changes were due to the disproportionate impact of the pandemic on long-term care homes.

**Patient and public involvement**

No patients were involved at the conduct of this study due to limited time and resources. We have invited patients and stakeholders to help us develop and carry out our knowledge dissemination strategy.

**RESULTS**

The population of the older adults at risk of dementia varied from 2 030 431 (January 2015) to 2 569 017 (December 2021). The monthly claims-based incidence of dementia declined slightly across the pre-COVID-19 period from an average of 12.5 cases per 10 000 in 2015 to 11.9 cases per 10 000 in 2019. Physician encounters were the most common source of case ascertainment across the entire time series, representing approximately 50% of new cases. Claims-based incidence dropped sharply during the first months of the COVID-19 period reaching a nadir of 8.5 per 1000 in April 2020 (32.6% less than expected) (table 1). By late 2020, the observed incidence had returned to the prepandemic expected incidence but did not appreciably rebound above expected levels (figure 1).

Between January 2020 and December 2021, there were a cumulative 2990 (95% CI 2109 to 3704) fewer case ascertainments observed than expected, a gap equivalent to 1.05 months of cases based on 2019 averages. The vast majority of the fewer-than-expected ascertainments were accumulated between February 2020 and June 2020. Across 2021 as a whole, there were slightly more cases observed than expected (460 cases, 95% CI 49 to 957). In each of the final 5 months of the time series, the observed count exceeded the expected count by 3%–6% (table 1).

All data sources exhibited drops in claims-based incidence during the first months of the pandemic, with medication use demonstrating the largest relative decrease (59.4%) in April 2020, compared with 26.9% for physician encounters, and 27.4% for hospital admissions (figure 2, table 2). After the initial decline, ascertainments in the hospital setting recovered the quickest, followed by medication use. Throughout 2021, observed case ascertainment from physician encounters continued to lag behind expected ascertainments, while observed ascertainments in the other settings exceeded the expected number of cases.

**Analysis across sociodemographic strata**

Initial declines in claims-based incidence across sociodemographic strata were broadly similar, with the smallest drop at 30.0% less than expected among individuals aged 85+ and the largest drop at 38.8% less than expected among individuals living in rural locations (figure 2, table 2). Recoveries were uneven, however, and ascertainments in 2021 among individuals aged 65–74 and those residing in large urban locations tracked below expected levels, while ascertainments among those in small urban locations tracked significantly higher.

More differences were evident across strata defined by number of health conditions. The initial drop in the strata of 0–5 conditions was 34.4% compared with only 17.8% in the strata of those with 11+ conditions. Notably, while the claims-based incidence in the 0–5 condition group recovered much more slowly than the overall population, the incidence in the 11+ group exceeded the expected ascertainment counts even in 2020 and ended the 2020–2021 period with an excess of 3.44 months of ascertainments.

**Sensitivity analysis**

The standardised claims-based incidence rate remained similar to observed rate across the study period, drifting higher to a maximum difference of 0.18 in March 2021 (online supplemental table 2). Repeating the primary
analysis using the standardised incidence rate yielded a cumulative difference of 1.04 (0.73, 1.30) months fewer ascertainments than expected, nearly identical to the main analysis (online supplemental table 3). Including only the community-dwelling population reduced the average 2019 incidence per 10 000 from 12.04 to 10.32. Replicating the primary analysis resulted in a cumulative difference of 0.89 (0.57, 1.23) months fewer ascertainments than expected across the pandemic period, slightly lower than the primary analysis.

**DISCUSSION**

We found that the claims-based incidence of dementia in Ontario dropped sharply at the start of the COVID-19 pandemic in 2020. Claims-based incidence returned to expected levels by the end of 2020 but did not appreciably rebound above the expected levels. As a result, across the pandemic period there have been significantly fewer dementia ascertainments observed than expected. Although the overall incidence returned to normal levels, the recovery was uneven. Cases ascertained via physician encounters, among individuals 65–74 years of age, and in large urban areas have continued to lag expected counts. Cases ascertained in hospital and among individuals with 11 or more health conditions have exceeded expected counts.

The drop in the claims-based incidence of dementia in early 2020 mirrors the reductions in health service use...
that occurred in Ontario at the same time across multiple sectors, including outpatient physician visits, emergency department visits and hospital admissions. At the nadir in April 2020, hospitalisations and emergency department visits were approximately 50% lower than historical levels, while rates of outpatient physician services dropped by 40%. However, usage rates within all sectors returned to normal levels by the end of 2020. The observed claims-based incidence also returned to the expected incidence along the same timeline, which broadly suggests no major long-term changes to the performance of the case ascertainment algorithms. A temporary drop in the claims-based incidence due to lockdowns, avoidance of in-person visits and reduced access to community-based physician care may amount to a mere historical anomaly. However, the small, but enduring, ascertainment gap bears continued monitoring.

The aetiology of the persistent undercount in cases is likely multifactorial in nature. Given how closely the fall and rise of the claims-based incidence follows the broader rates of health service use, one likely contributor is change in health-seeking behaviour, patient access to healthcare services and delivery of health services during the pandemic and recovery. This is further supported by the observation of larger impacts in the younger and healthier groups that typically use less care. Younger individuals experienced greater relative reductions in health service use during the pandemic compared with older individuals, and therefore it may take more time for the ascertainment rates for younger individuals to regain their normal levels. Beyond changes in health service use, another likely contributing factor is higher relative mortality rates among individuals at higher risk of developing dementia. This effect would be most noticeable among population with the high COVID-related mortality, such as residents of long-term care homes. A mortality effect likely explains the differences we observed between the overall population and community-dwelling subset.

Notably, we found that ascertainment from physician encounters lagged expected counts throughout the entire pandemic period, despite the fact that overall physician visit volumes recovered to normal levels in 2020. This may be related to the rapid uptake of virtual care as the challenges of performing cognitive testing virtually may lead to fewer or delayed diagnoses of dementia as physicians adapt to new tools. For example, comorbid sensory impairment is a contraindication for remote cognitive screening. Additionally, virtual care may also be less accessible to older adults living with frailty or without a caregiver. Finally, ascertainment via physician encounters are more susceptible to disruption as the algorithm requires a specific number of visits within a specific time frame. An interruption in access may break the sequence of visits and delay ascertainment. The
lower-than-expected incidence within large urban areas is at a glance surprising as individuals within these areas typically have the greatest access to healthcare.\textsuperscript{29} However, the shift to virtual visits was most pronounced in urban areas.\textsuperscript{9} Additionally, urban areas were under strict public health measures for longer periods of time and therefore individuals in these areas may have experienced longer delays in resuming normal health service use levels.\textsuperscript{30}

While we observed fewer-than-expected cases within most strata, there were two subgroups for which we observed higher incidence—hospital ascertainment and individuals with 11 or more health conditions. The increase in the ascertainment in hospital is concordant with published reports that hospital admission rates for dementia and delirium increased or held steady during the pandemic even as overall hospitalisation rates declined.\textsuperscript{2,31–33} The population with 11 or more health conditions is small, representing approximately 7% of the older adult population without dementia, but is highly comorbid, is at high risk of developing dementia and frequently uses the healthcare system.\textsuperscript{34} The higher incidence in this population may be partially a result of increased social isolation in those living alone and visitation restrictions in hospitals and congregate care settings. Conversely, for those living in multigenerational households, the increase in remote work during the pandemic may have afforded caregivers additional opportunity to observe cognitive or behavioural changes in older family members, leading them to seek formal evaluation. Additionally, there is emerging evidence that cognitive decline, including increased risk of developing dementia, is a long-term sequela of COVID-19 infection.\textsuperscript{35} Further cohort studies should focus on changes in dementia incidence in this highly comorbid population.

The unevenness of the rebound in claims-based incidence of dementia across various sociodemographic strata warrants ongoing monitoring to determine whether the incidence eventually reverts to the long-term averages. Research studies that rely on claims-based dementia ascertainment to generate cohorts or define outcomes need to carefully consider the impact of the pandemic on their research. Additionally, health system policymakers should carefully consider the impact of any future public health restrictions on individuals at elevated risk of developing dementia. In particular, ensuring family members and caregivers can visit patients in hospital and long-term care homes can reduce the risk of delirium and dementia associated with increased social isolation. Also, in-person healthcare visits for individuals with difficulty participating in virtual consultations should be preserved to protect access to care and diagnosis. A missed or delayed diagnosis of dementia reduces the time during which
Table 2  Changes in the claims-based dementia incidence during the COVID-19 pandemic with cumulative differences between observed and expected cases, by data source, sex, age, community size and chronic condition count in Ontario, Canada

<table>
<thead>
<tr>
<th>Measure</th>
<th>Overall</th>
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<td>27.4</td>
<td>59.4</td>
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<td>2020 Cumulative difference between observed and expected cases (in months of new cases)*</td>
<td>−1.21 (−1.32, −1.08)</td>
<td>−1.63 (−1.53, 1.41)</td>
<td>0.32 (−0.03, 0.72)</td>
<td>−1.78 (−2.19, −1.38)</td>
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<td>2021 Cumulative difference between observed and expected cases (in months of new cases)*</td>
<td>0.16 (0.01, 0.32)</td>
<td>−1.23 (−1.52, −0.94)</td>
<td>1.90 (1.43, 2.45)</td>
<td>1.51 (0.96, 2.04)</td>
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<td>2020–2021 Cumulative difference between observed and expected cases (in months of new cases)*</td>
<td>−1.05 (−1.31, −0.77)</td>
<td>−2.86 (−3.36, −2.35)</td>
<td>2.23 (1.38, 3.17)</td>
<td>−0.27 (−1.23, 0.66)</td>
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<td>−1.21 (−1.32, −1.08)</td>
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<td>0.16 (0.01, 0.32)</td>
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<td>0.04 (−0.16, 0.26)</td>
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<td>−1.05 (−1.31, −0.77)</td>
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<td>0.40 (0.16, 0.65)</td>
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<td>−1.67 (−2.26, −1.16)</td>
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Continued
the person living with dementia can maintain control of decision-making and care planning and delays the initiation of interventions that may slow cognitive decline.36 37

**Limitations**

Case ascertainment via administrative data enables population-based chronic disease surveillance, but does not perfectly correspond to clinical diagnoses or necessarily represent the experience of the individual. For example, a physician may communicate a diagnosis to the patient without entering it into the administrative record. In addition, case detection via administrative data requires equitable access to care and thus may underperform among populations with impaired access. Ultimately, research using case ascertainment from administrative data cannot replace traditional cohort studies to capture the patient experience of people living with dementia. Additionally, distinguishing delirium from dementia can be challenging, particularly in acute care settings.38 Higher ascertainment rates in highly comorbid populations and in hospital settings may be in part due to diagnostic challenges. Finally, differences in the severity of the COVID-19 pandemic and the public health system response may result in differences in how population-based dementia estimates have changed across jurisdictions.

**CONCLUSION**

Claims-based dementia incidence as estimated from routinely collected data fell early in the COVID-19 pandemic but returned to expected levels by late 2020. However, as of the end of 2021, there were still significantly fewer cumulative dementia cases observed than expected across the pandemic period. Rates of case ascertainment were lower than expected among individuals aged 65–74 years and in large urban areas even after health service use rebounded. Cases ascertained in hospital and among individuals with 11+ health conditions were higher than expected. Continued population-based monitoring of dementia incidence is necessary to identify whether these effects are transitory.

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ORCID iDs
Aaron Jones http://orcid.org/0000-0002-6282-3614
Susan E Bronskill http://orcid.org/0000-0002-7341-0655
Andrew P Costa http://orcid.org/0000-0001-9212-5641
Lauren E Griffith http://orcid.org/0000-0002-2794-9692

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