BMJ Open Goal attainment scaling as an outcome measure for randomised controlled trials: a scoping review

Benignus Logan (1),^{1,2} Dev Jegatheesan (1),^{3,4} Andrea Viecelli (1),^{3,5} Elaine Pascoe (1),⁵ Ruth Hubbard (1),^{2,6}

To cite: Logan B, Jegatheesan D, Viecelli A, et al. Goal attainment scaling as an outcome measure for randomised controlled trials: a scoping review. BMJ Open 2022;12:e063061. doi:10.1136/ bmjopen-2022-063061

Prepublication history for this paper is available online. To view these files, please visit the journal online (http://dx.doi. org/10.1136/bmjopen-2022-063061).

Received 28 March 2022 Accepted 07 July 2022



C Author(s) (or their employer(s)) 2022. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

For numbered affiliations see end of article.

Correspondence to

Dr Benignus Logan; benignus.logan@uq.edu.au

ABSTRACT

Objectives (1) Identify the healthcare settings in which goal attainment scaling (GAS) has been used as an outcome measure in randomised controlled trials. (2) Describe how GAS has been implemented by researchers in those trials.

Desian Scoping review using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for scoping reviews approach.

Data sources PubMed, CENTRAL, EMBASE and PsycINFO were searched through 28 February 2022.

Eligibility criteria English-language publications reporting on research where adults in healthcare settings were recruited to a randomised controlled trial where GAS was an outcome measure.

Data extraction and synthesis Two independent reviewers completed data extraction. Data collected underwent descriptive statistics.

Results Of 1,838 articles screened, 38 studies were included. These studies were most frequently conducted in rehabilitation (58%) and geriatric medicine (24%) disciplines/populations. Sample sizes ranged from 8 to 468, with a median of 51 participants (IQR: 30-96). A number of studies did not report on implementation aspects such as the personnel involved (26%), the training provided (79%) and the calibration and review mechanisms (87%). Not all trials used the same scale, with 24% varving from the traditional five-point scale. Outcome attainment was scored in various manners (self-report: 21%; observed: 26%; both self-report and observed: 8%; and not reported: 45%), and the calculation of GAS scores differed between trials (raw score: 21%; T score: 47%; other: 21%; and not reported: 66%).

Conclusions GAS has been used as an outcome measure across a wide range of disciplines and trial settings. However, there are inadequacies and inconsistencies in how it has been applied and implemented. Developing a cross-disciplinary practical guide to support a degree of standardisation in its implementation may be beneficial in increasing the reliability and comparability of trial results. PROSPERO registration number CRD42021237541.

INTRODUCTION

Person-centred care is gaining attention as a way to help orient healthcare towards what matters most to an individual, and is recognised as a pillar of quality healthcare

STRENGTHS AND LIMITATIONS OF THIS STUDY

- \Rightarrow Completing a scoping review has allowed for an exploratory analysis of goal attainment scaling as a research methodology.
- \Rightarrow This work benefits from the collection of a comprehensive range of data items.
- \Rightarrow Included articles in this review were limited to randomised controlled trials only.
- \Rightarrow Data for analysis were limited to information published in either the primary article or associated protocol, which often lacked detail.

and research.¹² A key component of personcentred care is goal setting.^{1 2} One method for setting goals, and scoring the extent to which they are achieved, is the outcome measurement instrument of Goal Attainment Scaling (GAS).³ It has been used in clinical and research settings across various healthcare disciplines including rehabilitation,⁴⁻⁶ geriatric medicine,⁷⁸ community health⁹ and drug trials.¹⁰ The basic steps of GAS include identifying goals; defining the current (baseline) status; identifying potentially better and worse attainment outcomes on a five-point scale, with consideration of patient and environmental factors such as their current status; weighting the goals; and at follow-up scoring the achieved outcome against the stated possible attainment levels.11 The extent to which goals are achieved is standardised into a T score by the formula^{3 12}:

GAS score = 50 +
$$\frac{\left[10 \sum (w_i \times x_i)\right]}{\sqrt{\left[0.7 \sum w_i^2 + 0.3 \left(\sum w_i\right)^2\right]}}$$

(where w_i =weight assigned to the goal area, *x*=the attained score for the goal area).

Using GAS has several advantages for researchers, particularly given its ability to be applied in research settings where other outcome measures may not be suitable due to heterogeneity of participants or outcomes.^{13–15} GAS captures what matters most to a participant,⁶¹⁶ and is an outcome measure which can truly be tailored to recognise these individual's priorities with respect to both the goal's domain and the scaled outcome attainment levels articulated. It also serves as a tool for monitoring progress throughout a trial.¹⁷ However, challenges exist in how GAS is practically implemented. Concerns relate to poorly written goals and scales,^{6 12} the investment of time required,^{6 14 17 18} suboptimal facilitator knowledge^{3 19} and over-reliance on self-reported scores.^{19 20} While some researchers have found GAS to be a valid, $^{21-23}$ reliable 2122 and responsive^{5 7 24} outcome measure, others question its psychometric properties.^{14 19 25} Often studies using GAS do not specifically report on such aspects,¹⁴ and arguably proof of validity or reliability in one setting cannot be extrapolated to another.^{13 19 26} Suggestions to address validity and reliability concerns include having third parties review goals and the outcomes reached, 671213192728 confirming goals are related to the intervention being assessed,¹⁹ ²⁸ ensuring equidistance between outcome levels¹⁹ and having adequate facilitator training.^{12 19}

The extent to which researchers have used GAS as an outcome measure in randomised controlled trials in unknown. It is undocumented how GAS has been practically implemented by researchers in trials, and the extent to which the concerns noted above are borne out in practice. A prior systematic review focused on the measurement properties of GAS as an outcome measure,¹⁴ hence we have not explored the psychometric properties in this research.

This scoping review has been undertaken to (1) identify the healthcare settings in which GAS has been used as an outcome measure for randomised controlled trials and (2) identify and analyse the gaps as to how the implementation aspects of GAS have been reported when used as an outcome measure in those trials.

METHODS

A scoping review was selected as it allowed for an exploratory analysis of GAS as a research methodology.²⁹ The protocol for this review was registered with PROSPERO (CRD42021237541). Findings are reported according to the guidelines of the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for scoping reviews.³⁰

The PubMed, CENTRAL, EMBASE and PsycINFO electronic databases were searched for articles published from their respective inceptions through to 28 February 2022. To allow for an all-inclusive result, a broad 'all fields' search for 'goal attainment scaling' OR 'goal attainment scal*' without any limits was undertaken in consultation with a research librarian. A broader search strategy was not undertaken given the specificity of the term 'goal attainment scaling', and the review's focus on this outcome measurement instrument alone rather than other methods of goal setting.

Publications were eligible for inclusion if they were written in English, were published or 'in press' at the search date, included only participants aged 18 and over, were conducted in healthcare settings (including outpatient and community health), and had a randomised or quasi-randomised controlled trial design where GAS was an outcome measure.

Articles were excluded if they did not meet the stated inclusion criteria. Specifically, this included studies where a caregiver rather than the patient set goals, studies where the design was not a randomised controlled trial (including published protocols for as yet incomplete or unpublished randomised controlled trials), if GAS was an intervention (not an outcome measure), or where a modified GAS method was used (eg, GAS-Hem or GAS-Light).

One author (BL) completed the searches. Two reviewers (BL and DJ) used Covidence³¹ to independently screen titles and abstracts, and complete full-text reviews of potentially relevant articles. Any conflicts were reviewed and resolved by a third reviewer (AV).

A data-charting form was developed and piloted on three studies by two reviewers (BL and DJ). This form was then finalised and loaded into Covidence for data extraction. Two reviewers (BL and DJ) independently completed the data charting for each article, with a third reviewer (AV) adjudicating any conflicts. Data were collected as well from any published protocols, or supplementary material, which were publicly available. Investigators of the included studies were not contacted to obtain missing data.

Information was extracted in relation to the setting in which GAS was used as an outcome measure. Specifically: location of study, number of study sites, discipline, trial design, population, sample size, age, intervention, comparator and outcome type (ie, primary or secondary outcome).

Information relating to GAS implementation included personnel involved, training provided, calibration and review processes, administration process, number of goals set, goal domains, scale range used, approach to scoring baseline performance, time to complete GAS, support provided to participants, review interval, approach to scoring, calculation used for GAS score, action taken after review and use of existing GAS guidelines.

The data collected were aggregated through the use of descriptive statistics.

Patient and public involvement

There was no direct patient or public involvement in this review. The review does address an outcome measure with potential to more meaningfully involve patients in research endeavours.

RESULTS

Search results

The primary search yielded 2,993 articles. After removal of duplicates, 1,838 abstracts underwent screening. A

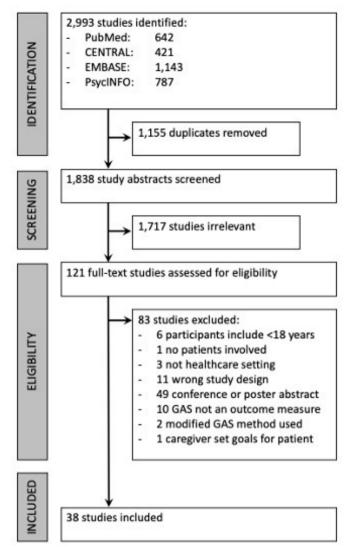


Figure 1 Preferred Reporting Items for Systematic Reviews and Meta-Analyses flow diagram for study selection. GAS, goal attainment scaling.

total of 121 articles proceeded to full-text review, with 83 of these excluded as they did not meet the inclusion criteria. Ultimately, 38 studies were included. Figure 1 provides an overview of the selection process resulting from the search run on 28 February 2022.

Study and participant characteristics

A summary of included study and participant characteristics are provided in table 1.

Over half of the studies were completed in the rehabilitation discipline (58%, n=22/38, where 'n' is the number of studies), with a significant number also completed in geriatric medicine (24%, n=9/38) and neurology (11%, n=4/38). Most studies were at a single centre (61%, n=23/38), and three studies (8%) included participants from two or more countries.^{32–34}

None had a quasi-randomised design. While the studies included date back to 2000, a large proportion of the studies were published in the last 5 years (42%, n=16/38), or 6–10 years ago (34%, n=13/38).

The majority (84%) of studies were conducted in an outpatient setting, which included community-based or home-based delivery of an intervention or assessment of outcome measure. The remainder (16%) were either conducted entirely in the inpatient setting or in a combination of inpatient and outpatient settings.

Sample sizes varied from 8 to 468 participants, with a median of 51 (IQR: 30–96). Eight studies had a pilot or feasibility intent.^{35–42} Most frequently, studies included participants who were stroke survivors (34%, n=13/38), had a brain injury (18%, n=7/38) or were community-dwelling older people (16%, n=6/38).

A broad range of interventions were reported including medications (eg, botulinum toxin), procedures (eg, electrical stimulator-guided obturator nerve block), psychotherapy (internet-based cognitive behavioural therapy) and goal management training.

Approaches to implementing GAS

Table 2 provides details of how investigators reported on the various implementation aspects of GAS in their trials.

GAS was a primary outcome in 14 (37%) studies and a secondary outcome in 24 studies (63%). Of the 14 studies in which GAS was a primary outcome, the basis of the sample size's determination only provided a statistical rationale in 6 of them. The staff responsible for administering GAS differed between studies. In 16 studies (42%), a mix of healthcare professionals were involved including psychologists, research nurses and doctors. Physiotherapists or occupational therapists were responsible in 12 studies (32%), with it not reported in 10 studies (26%).

The nature of the training provided to the personnel administering GAS was not articulated in 29 (76%) of the studies. Of the eight studies which did report on it, there was a variable amount of detail given. Completion of a simulation or mock goal setting session was mentioned in three studies,^{20 43 44} and nine (24%) studies described using a GAS guide.^{3 4 45} While these guides were primarily written for rehabilitation medicine, three of the studies referencing them were not conducted in rehabilitation settings.

In five studies (13%), some form of calibration or review of goals was undertaken, each with a different approach. Estival *et al*⁴⁶ were the most comprehensive with an external judge assessing each goal on seven criteria, and a process for evaluating whether scores were valid, reliable and meaningful by staff who knew study participants but were not directly involved in the trial. Another study⁴⁷ reported that goals were finalised at a team conference, and a blinded geriatrician assessed the reliability of the goal setting. In two studies,^{48,49} therapists worked with the participant to ensure their goals were realistic. A thirdparty review of the first three GAS administered by each investigator was completed in one of the studies.³³

Scoring of goal attainment was often not clearly reported, or not commented on at all. In 8 studies (21%), the attainment score was based on participant self-report, in 10 (26%) it was based on objective observation (such

Study setting Discipline Care setting	Participant Characteristics Patient population Sample size	Intervention
Rehabilitation In and outpatient	Hemiplegic shoulder pain post stroke Sample size=30	Pulsed radiofrequency to the suprascapular nerve
Psychiatry Outpatient	Social phobia Sample size=52	Internet-based cognitive behavioural therapy
Rehabilitation Outpatient	Brain injury with executive dysfunction Sample size=60	Combined errorless learning a goal management training
Psychiatry Outpatient	Social anxiety disorder Sample size=47	Psychodynamic psychotherap
Rehabilitation In and outpatient	Stroke, brain injury, multiple sclerosis Sample size=30	Motor imagery embedded in usual therapy
Neurology Outpatient	Parkinson's disease Sample size=50	Therapeutic goal setting and physical training
	Discipline Care settingRehabilitation In and outpatientPsychiatry OutpatientRehabilitation OutpatientPsychiatry OutpatientPsychiatry OutpatientPsychiatry OutpatientPsychiatry OutpatientPsychiatry OutpatientNeurology	Discipline Care settingPatient population Sample sizeRehabilitation In and outpatientHemiplegic shoulder pain post stroke Sample size=30Psychiatry OutpatientSocial phobia Sample size=52Rehabilitation OutpatientBrain injury with executive dysfunction Sample size=60Psychiatry OutpatientSocial anxiety disorder Sample size=47Rehabilitation In and outpatientStroke, brain injury, multiple sclerosis Sample size=30NeurologyParkinson's disease

	e alpanent		prijerea. Iran ing
Cadilhac ³⁵ 2020	Neurology Outpatient	Stroke Sample size=54	Comprehensive eHealth programme (iVERVE system)
Dahlberg ⁶³ 2007	Rehabilitation Outpatient	Brain injury with communication deficits Sample size=52	Group sessions to improve social communication
Ertzgaard ⁶⁴ 2018	Rehabilitation Outpatient	Spasticity Sample size=31	Assistive technology – a garment with integrated electrodes switched 'on'
Estival ⁴⁶ 2021	Rehabilitation Inpatient	Prader-Willi syndrome Sample size=53	Metacognitive strategy training
Fairhall ⁴⁸ 2012	Geriatric medicine Outpatient	Frail community-dwelling older people Sample size=241	Multifactorial, interdisciplinary intervention targeting frailty
Harrison-Felix ⁶⁵ 2018	Rehabilitation Outpatient	Brain injury with social difficulties Sample size=179	Interactive group treatment
Hart ³⁶ 2017	Rehabilitation Outpatient	Moderate/severe traumatic brain injury Sample size=8	Goal-related implementation intervention
Herdman ²⁰ 2019	Geriatric medicine Outpatient	Community-dwelling older adults Sample size=55	Group psychoeducation, lifestyle coaching, memory-strategy training
Högg ⁴³ 2020	Rehabilitation Inpatient	Stroke with arm hemiparesis Sample size=43	High-intensity arm resistance training
Hung ⁶⁶ 2019	Rehabilitation Outpatient	Stroke with arm impairment Sample size=30	*Two intervention arms: 1. Unilateral hybrid therapy and 2. Bilateral hybrid therapy (robot- assisted technology and arm training)
Klamroth-Marganska ⁴⁴ 2014	Rehabilitation Outpatient	Stroke with motor impairments Sample size=77	Robotic therapy
Lam ⁵³ 2015	Geriatric medicine Inpatient	Hip adductor spasticity Sample size=26	Ultrasound and electrical stimulator-guided obturator nerve block with phenol
Lannin ³⁷ 2018	Rehabilitation Outpatient	Stroke with arm or leg spasticity Sample size=37	Botulinum toxin and 8 weeks of intensive therapy
Lannin ⁵⁴	Rehabilitation	Stroke with arm spasticity	Botulinum toxin and evidence-

Sample size=140

Sample size=25

Sample size=14

Dementia associated with Parkinson's

Amyotrophic lateral sclerosis

Memantine

based movement training

Osteopathic manual treatment

2020

Leroi⁶⁷

Maggiani³⁸

2014

2016

Outpatient

Neurology

Outpatient

Neurology

Outpatient

Tabla 1

Continued

Table 1 Continued Paper Study setting Participant Characteristics Intervention			
Lead author	Discipline	Patient population	intervention
Publication year	Care setting	Sample size	
McCrory ⁶⁸	Rehabilitation	Stroke with arm spasticity	Botulinum toxin
2009	Outpatient	Sample size=96	
McMahon ⁶⁹ 2016	Geriatric medicine Outpatient	Community-dwelling older adults Sample size=30	'Ready-steady' – motivational support and fall-reducing physical activities
McPherson ³⁹ 2009	Rehabilitation In and outpatient	Brain injury Sample size=34	*Two intervention arms: 1. Goal management training and 2. Identity-oriented goals
Oliveira ⁵⁸ 2019	Geriatric medicine Outpatient	Community-dwelling older adults Sample size=131	Physiotherapy, telephone coaching, tailored fall preventio advice and brochure, and pedometer
Peirone ⁴⁰	Rehabilitation	Brain injury with balance impairments	Individualised dual-task home-
2014	Outpatient	Sample size=16	based programme
Phillips ⁴¹	Rehabilitation	Charcot-Marie-Tooth	Silicone ankle foot orthoses
2012	Outpatient	Sample size=8	
Ramos-Murguialday ⁵⁵	Rehabilitation	Severe hand weakness	Brain-machine training
2013	Outpatient	Sample size=32	
Rockwood ⁴⁷	Geriatric medicine	Rural-dwelling, frail older persons	Comprehensive geriatric
2000	Outpatient	Sample size=182	assessment
Rockwood ¹¹	Geriatric medicine	Alzheimer's disease	Galantamine
2006	Outpatient	Sample size=130	
Shearer ⁷⁰ 2010	Geriatric medicine Outpatient	Community-dwelling older adults Sample size=59	Health empowerment intervention
Skubik-Peplaski ⁴²	Rehabilitation	Stroke	Repetitive task practice
2017	Outpatient	Sample size=16	
Wallace ⁷¹	Rehabilitation	Stroke spasticity	Onabotulinumtoxin A
2020	Outpatient	Sample size=28	
Ward ³³ 2014	Rehabilitation Outpatient	Stroke spasticity n=273	Onabotulinumtoxin A
Wein ³⁴ 2018	Rehabilitation Outpatient	Stroke spasticity to leg n=468	Onabotulinumtoxin A
Wiechman ⁵⁷ 2015	Surgery Outpatient	Burns n=81	Expanded care coordinator services
Wilz ⁴⁹	Geriatric medicine	Family caregivers of dementia patients	Cognitive behavioural therapy
2011	Outpatient	n=229	

*Denotes a trial with two intervention arms.

as a blinded assessor attending a patient's home⁴⁸), and in 3 (8%) it was a mix of both self-report and observation. The use of a blinded assessor or third-party reviewer was mentioned in several studies, but it is unclear whether they relied on assessor observation or on participants' self-reporting.

Application of GAS

Table 3 provides an overview of the decisions investigatorstook in their application of GAS as an outcome measure.

Goals set in GAS are typically scaled to five possible levels, from -2 to $+2.^{3}$ 50 51 A five-point scale was used in 76% (n=29/38) of the studies. Three studies used a

six-point scale (-3 to +2),^{32–34} and one a seven-point scale (-2 to +4).⁵² Five studies (14%) did not report their approach,^{39 41 53–55} so it is unclear whether they used the typical five-point scale or not. How baseline performance was scored on the GAS varied between studies. Most studies (66%, n=25/38) did not report it. Where it was reported, -1 was the most frequent score (16%, n=6/38). There was heterogeneity in the calculation and reporting of GAS outcomes. Most commonly, a T score was derived (47%, n=18/38). Eight studies (21%) used raw scores, and eight (21%) used other approaches. Four (11%) did not specify how their calculation was undertaken.^{34 38 49 56}

Table 2Approach by investigators to the implementationof GAS		
Implementation aspect	Frequency, n (%) (total studies=38)	
Outcome measure		
Primary outcome	14 (37%)	
Secondary outcome	24 (63%)	
Identification of personnel involved	in administering GAS	
Therapist (physiotherapist or occupational therapist)	12 (32%)	
Other professional (including psychologist or nurse)	16 (42%)	
Not reported by investigators	10 (26%)	
Overview of training provided to the	se administering GAS	
Reported	8 (21%)	
Not reported by investigators	30 (79%)	
Description of calibration and review	v process	
Reported	5 (13%)	
Not reported by investigators	33 (87%)	
Method for scoring GAS attainment	level	
Self-reported	8 (21%)	
Observed (including completed by independent assessor)	10 (26%)	
Both self-reported and observed	3 (8%)	
Not reported by investigators	17 (45%)	
Administrative process		
Face to face or phone	3 (8%)	
Phone	1 (3%)	
Not reported by investigators	34 (89%)	
Support provided to patient detailed	b	
Copy of goals given to patient	1 (3%)	
Not reported by investigators	37 (97%)	
Time taken to complete initial GAS goal setting		
30 min	1 (3%)	
Not reported by investigators	37 (97%)	
Action taken after review of goals		
Not reported by investigators	38 (100%)	
Use of existing GAS guidelines iden	tified by investigators	
Bovend'Eerdt	2 (5%)	
Krasny-Pacini	1 (3%)	
Ottenbacher	1 (3%)	
Turner-Stokes	5 (13%)	
Not reported by investigators	29 (76%)	
GAS, goal attainment scaling.		

Other implementation aspects

A summary of the characteristics of goals set by patients is provided in table 4.

 Table 3
 Decisions taken by investigators on how GAS was

GAS characteristic	Frequency, n (%) (total studies=38)	
Scale used		
5-point scale	29 (76%)	
6-point scale	3 (8%)	
7-point scale	1 (3%)	
Not reported by investigators	5 (13%)	
Where baseline performance was placed on the	ne scale	
-2 (much less than expected)	2 (5%)	
-1 (somewhat less than expected)	6 (16%)	
0 (expected)	4 (11%)	
Varied based on participant's circumstances	1 (3%)	
Not reported by investigators	25 (66%)	
Approach to calculating the GAS score for analysis		
Raw score	8 (21%)	
T score	18 (47%)	
Other approach	8 (21%)	
Not reported by investigators	4 (11%)	

GAS, goal attainment scaling.

used

 Table 4
 Characteristics of goals set by participants for goal
 attainment scaling

Goal characteristic	Frequency, n (%) (total studies=38)
Number of goals set by participants	
One goal	2 (5%)
Two goals	6 (16%)
Three goals	5 (13%)
Other number (including a range of goals)	11 (29%)
Not reported by investigators	14 (37%)
Goal domains in which goals were set	
Functional	8 (21%)
Social skills	2 (5%)
Multidomain	5 (13%)
Other	5 (13%)
Not reported by investigators	18 (47%)
Interval between setting and assessing goal a	attainment
A timepoint between 4 and 6 weeks	4 (11%)
A timepoint between 7 and 9 weeks	5 (13%)
A timepoint between 10 and 12 weeks	2 (5%)
Multiple timepoints were reviewed	17 (45%)
Other	5 (13%)
Not reported by investigators	5 (13%)

Predetermined goal domains were offered in 20 (53%) studies. The type and number of domains varied. In one study,⁵⁷ participants who had burns were asked to set goals in four domains: mental health, physical health, vocational and social. In another,⁵⁸ community-dwelling older adults were asked to set a functional mobility goal. Fourteen studies (37%) did not comment on the number of goals that participants were required to set. Only one study,²⁰ reported the time allocated to set goals (30 min).

DISCUSSION

This scoping review provides insights into the way GAS has been used and implemented in research settings. Importantly, it shows that GAS as an outcome measure has been used across a range of populations, disciplines, healthcare settings and interventions. The variety of settings in which GAS has been used illustrates its adaptability, and its potential feasibility for use by a range of investigators. However, implementation aspects are inadequately reported and the manner in which the GAS scale was used and scored was sometimes inconsistent. This may threaten its robustness as an outcome measure, and diminish interest from triallists to use it as a means to facilitate measurement of patient-important outcomes.

A large number of studies did not report implementation aspects such as which personnel administered GAS (26%), what training was provided to facilitators (79%) and whether a calibration or review process was undertaken (87%). The absence of these considerations may therefore threaten the validity and reliability of GAS. The way GAS attainment was scored was often not reported (45%) or relied solely on patient self-reporting (21%). While self-reporting without the involvement of a blinded assessor may be pragmatic, it is vulnerable to imprecision given a reliance on a participant's insight, awareness, recall and denial.^{19 20} Even scoring by a participant's clinician is not without issues. It has been found there is low agreement between their ratings and that of an external independent assessor.²⁷ The practicalities of scoring may be a matter underappreciated by investigators.

This review shows variability in how GAS has been used. First, a five-point scale (ie, -2 to +2) was not always used despite it being recognised as the preferred approach given that was how Kiresuk and Sherman first designed it,^{3 50 51} and statistical analyses support a five-point scale.¹⁵ Second, the differences in how baseline performance was handled is consistent with prior commentary,¹³ and is unsurprising given Kiresuk and Sherman did not provide specific guidance when initially describing GAS.^{50 51} While such heterogeneity may reflect specific participant populations, or an intent of researchers to allow it to be tailored to each participant, it is notable that most studies (66%) did not report their approach and thus their rationale cannot be understood. Finally, there were differences in how GAS scores were analysed. As has been reported on previously,¹² not all researchers report scores as a T score with some instead reporting raw scores or a

change from baseline. In this review, over half the studies (53%) did not report use of a T score. This is problematic given it is central to GAS and how it was first designed by Kiresuk and Sherman.⁵⁰ Where investigators diverge from not using the five-point (-2 to +2) scale, or not using the T score, it raises concerns they have moved too far away from the validated process to refer to it as GAS. It also impairs the comparison of scores across trials. Addressing this is important to ensuring GAS' fidelity, and warrants the consideration of researchers who use it as an outcome measure.

The heterogeneity and incomplete reporting of GAS measurement and implementation makes the interpretation and comparison of trial results challenging. A potential implication of inconsistent GAS implementation includes introducing risk of bias if delivery is too leading. Further, if scales are poorly constructed they may be open to selective interpretation with assessment erring more favourably. There is a growing recognition that detailed information on how GAS is practically implemented should be provided in publications.^{12 13 19} In the absence of guidelines for GAS development and scoring, researchers should be detailing their implementation strategy to facilitate reproducibility.⁵⁹ Our review shows that this is not occurring frequently, which threatens the robustness of GAS as an outcome measure in the trials it has been used in.

Practical guidelines^{3 4} have been published which may help address some of the implementation issues, particularly to highlight the importance of the five-point scale (-2 to +2) and the standardisation of outcomes into a T score, as well as providing a resource for facilitators to be appropriately educated on GAS. Only 24% of the trials specifically noted whether they had made reference to guidelines such as those from Turner-Stokes,³ Bovend'Eerdt⁴ or Krasny-Pacini,¹⁹ all of which were written with a focus on rehabilitation medicine.^{3 4} Guidance that is more interdisciplinary in nature may be beneficial given 43% of the studies in this review occurred in disciplines outside of rehabilitation medicine. This would be timely given the increased frequency with which GAS is being used in recent years. Caution should be exercised, however, in seeking to standardise and operationalise the GAS process too stringently, lest it risk losing its adaptability to be personalised to each unique participant.

The limitations of this review include appraisal of GAS as an outcome measure being constrained by a lack of granularity in the methodology sections and published protocols. Actions may have been taken that were not documented in the published manuscripts. Only those studies with a randomised controlled trial design and adult participants were included in this scoping review. This may have limited insights into the scope of findings and transferability. Further, this scoping review does not consider or explore the possible therapeutic qualities which the act of setting goals in GAS may have independent of the intervention being assessed.^{20 39}

CONCLUSION

GAS is a valuable tool for researchers to assess participantimportant priorities, due to its demonstrated ability to be deployed as an outcome measure in such diverse trial populations and settings. It holds potential for more widespread use to support person-centred care. However, inconsistencies identified in how GAS is applied, and variations in implementation and reporting, do raise the need for greater standardisation to address threats to its validity and reliability. Further work is needed to better establish the credentials of GAS' psychometric properties. This may extend to the development of an implementation guideline applicable to all disciplines and populations.

Author affiliations

¹Medicine Service Line, Redcliffe Hospital, Redcliffe, Queensland, Australia ²Centre for Health Services Research, Faculty of Medicine, The University of Queensland, Saint Lucia, Queensland, Australia

³Department of Nephrology, Princess Alexandra Hospital, Woolloongabba, Queensland, Australia

⁴Centre for Kidney Disease Research, The University of Queensland—Saint Lucia Campus, Saint Lucia, Queensland, Australia

⁵Australasian Kidney Trials Network, Faculty of Medicine, The University of Queensland—Saint Lucia Campus, Saint Lucia, Queensland, Australia
⁶Department of Geriatric Medicine, Princess Alexandra Hospital, Woolloongabba, Queensland, Australia

Twitter Benignus Logan @benignuslogan, Dev Jegatheesan @dev_jeg and Andrea Viecelli @a_viecelli

Acknowledgements Search strategy generated in collaboration with Christine Dalais, Liaison Librarian at The University of Queensland.

Contributors BL wrote the protocol, which DJ, AV, EP and RH reviewed. BL and DJ completed all screening and data extraction, with AV adjudicating any conflicts. BL prepared the figures and tables. BL wrote the first draft of the main manuscript, which DJ, AV, EP and RH reviewed, edited and endorsed. BL is the guarantor for this work, and accepts full responsibility for it.

Funding Article processing charge will be paid by research funding support provided by Redcliffe Hospital (Queensland Health), employer of BL.

Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not applicable.

Ethics approval Not applicable.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement No data are available. Data will not be shared.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

ORCID iDs

Benignus Logan http://orcid.org/0000-0002-7673-4022 Dev Jegatheesan http://orcid.org/0000-0002-6525-7961 Andrea Viecelli http://orcid.org/0000-0003-2314-6654 Elaine Pascoe http://orcid.org/0000-0003-1653-5803 Ruth Hubbard http://orcid.org/0000-0002-8688-5836

REFERENCES

1 American Geriatrics Society Expert Panel on Person-Centered Care. Person-Centered care: a definition and essential elements. *J Am Geriatr Soc* 2016;64:15–18.

- 2 Tinetti ME, Naik AD, Dodson JA. Moving from Disease-Centered to patient Goals-Directed care for patients with multiple chronic conditions: patient value-based care. *JAMA Cardiol* 2016;1:9–10.
- 3 Turner-Stokes L. Goal attainment scaling (gas) in rehabilitation: a practical guide. *Clin Rehabil* 2009;23:362–70.
- 4 Bovend Eerdt TJH, Botell RE, Wade DT. Writing smart rehabilitation goals and achieving goal attainment scaling: a practical guide. *Clin Rehabil* 2009;23:352–61.
- Rockwood K, Joyce B, Stolee P. Use of goal attainment scaling in measuring clinically important change in cognitive rehabilitation patients. *J Clin Epidemiol* 1997;50:581–8.
- 6 Grant M, Ponsford J. Goal attainment scaling in brain injury rehabilitation: strengths, limitations and recommendations for future applications. *Neuropsychol Rehabil* 2014;24:661–77.
- 7 Rockwood K, Howlett S, Stadnyk K, et al. Responsiveness of goal attainment scaling in a randomized controlled trial of comprehensive geriatric assessment. J Clin Epidemiol 2003;56:736–43.
- 8 Gordon JE, Powell C, Rockwood K. Goal attainment scaling as a measure of clinically important change in nursing-home patients. *Age Ageing* 1999;28:275–81.
- 9 Kloseck M. The use of goal attainment scaling in a community health promotion initiative with seniors. *BMC Geriatr* 2007;7:16.
- Rockwood K, Stolee P, Howard K, et al. Use of goal attainment scaling to measure treatment effects in an anti-dementia drug trial. *Neuroepidemiology* 1996;15:330–8.
- Rockwood K, Fay S, Song X, *et al.* Attainment of treatment goals by people with Alzheimer's disease receiving galantamine: a randomized controlled trial. *CMAJ* 2006;174:1099–105.
- 12 Krasny-Pacini A, Hiebel J, Pauly F, et al. Goal attainment scaling in rehabilitation: a literature-based update. Ann Phys Rehabil Med 2013;56:212–30.
- 13 Gaasterland CMW, van der Weide MCJ, Roes KCB, et al. Goal attainment scaling as an outcome measure in rare disease trials: a conceptual proposal for validation. *BMC Med Res Methodol* 2019;19:227.
- 14 Gaasterland CMW, Jansen-van der Weide MC, Weinreich SS, et al. A systematic review to investigate the measurement properties of goal attainment scaling, towards use in drug trials. *BMC Med Res Methodol* 2016;16:99.
- 15 Urach S, Gaasterland C, Posch M, et al. Statistical analysis of goal attainment scaling endpoints in randomised trials. *Stat Methods Med Res* 2019;28:1893–910.
- 16 Evans DJ, Oakey S, Almdahl S, *et al.* Goal attainment scaling in a geriatric day Hospital. team and program benefits. *Can Fam Physician* 1999;45:954–60.
- 17 Stolee P, Awad M, Byrne K, et al. A multi-site study of the feasibility and clinical utility of goal attainment scaling in geriatric day hospitals. *Disabil Rehabil* 2012;34:1716–26.
- 18 Yip AM, Gorman MC, Stadnyk K, et al. A standardized menu for goal attainment scaling in the care of frail elders. *Gerontologist* 1998;38:735–42.
- 19 Krasny-Pacini A, Evans J, Schlberg MM, et al. Proposed criteria for appraising goal attainment scales used as outcome measures in rehabilitation research. Arch Phys Med Rehabil 2016;97:157–70.
- 20 Herdman KA, Vandermorris S, Davidson S, et al. Comparable achievement of client-identified, self-rated goals in intervention and no-intervention groups: Reevaluating the use of goal attainment scaling as an outcome measure. *Neuropsychol Rehabil* 2019;29:1600–10.
- 21 Stolee P, Stadnyk K, Myers AM, et al. An individualized approach to outcome measurement in geriatric rehabilitation. J Gerontol A Biol Sci Med Sci 1999;54:M641–7.
- 22 Vu M, Law AV. Goal-attainment scaling: a review and applications to pharmacy practice. *Res Social Adm Pharm* 2012;8:102–21.
- 23 Stolee P, Rockwood K, Fox RA, et al. The use of goal attainment scaling in a geriatric care setting. J Am Geriatr Soc 1992;40:574–8.
- 24 Rockwood K, Stolee P, Fox RA. Use of goal attainment scaling in measuring clinically important change in the frail elderly. *J Clin Epidemiol* 1993;46:1113–8.
- 25 Tennant A. Goal attainment scaling: current methodological challenges. *Disabil Rehabil* 2007;29:1583–8.
- 26 Shankar S, Marshall SK, Zumbo BD. A systematic review of validation practices for the goal attainment scaling measure. J Psychoeduc Assess 2020;38:236–55.
- 27 Bovend'Eerdt TJH, Dawes H, Izadi H, et al. Agreement between two different scoring procedures for goal attainment scaling is low. J Rehabil Med 2011;43): :46–9.
- 28 Shogren KA, Dean EE, Burke KM, et al. Goal attainment scaling: a framework for research and practice in the intellectual and developmental disabilities field. Intellect Dev Disabil 2021;59:7–21.

- 29 Munn Z, Pollock D, Khalil H, *et al*. What are scoping reviews? providing a formal definition of scoping reviews as a type of evidence synthesis. *JBI Evid Synth* 2022;20:950–2.
- 30 Tricco AC, Lillie E, Zarin W, et al. PRISMA extension for scoping reviews (PRISMA-ScR): checklist and explanation. Ann Intern Med 2018;169:467–73.
- 31 Veritas Health Innovation. *Covidence systematic review software*. Melbourne Australia: Veritas Health Innovation, 2021.
- 32 Bertens D, Kessels RPC, Fiorenzato E, *et al.* Do old errors always lead to new truths? A randomized controlled trial of Errorless goal management training in brain-injured patients. *J Int Neuropsychol* Soc 2015;21:639–49.
- 33 Ward AB, Wissel J, Borg J, et al. Functional goal achievement in post-stroke spasticity patients: the BOTOX® economic spasticity trial (best). J Rehabil Med 2014;46:504–13.
- 34 Wein T, Esquenazi A, Jost WH, et al. OnabotulinumtoxinA for the treatment of poststroke distal lower limb spasticity: a randomized trial. Pm R 2018;10:693–703.
- 35 Cadilhac DA, Andrew NE, Busingye D, et al. Pilot randomised clinical trial of an eHealth, self-management support intervention (iVERVE) for stroke: feasibility assessment in survivors 12-24 months postevent. *Pilot Feasibility Stud* 2020;6:172.
- 36 Hart T, Vaccaro MJ. Goal intention reminding in traumatic brain injury: a feasibility study using implementation intentions and text messaging. *Brain Inj* 2017;31:297–303.
- 37 Lannin NA, Ada L, Levy T, et al. Intensive therapy after botulinum toxin in adults with spasticity after stroke versus botulinum toxin alone or therapy alone: a pilot, feasibility randomized trial. *Pilot Feasibility Stud* 2018;4:82.
- 38 Maggiani A, Tremolizzo L, Della Valentina A, et al. Osteopathic manual treatment for amyotrophic lateral sclerosis: a feasibility pilot study. Open Neurol J 2016;10:59–66.
- 39 McPherson KM, Kayes N, Weatherall M, et al. A pilot study of selfregulation informed goal setting in people with traumatic brain injury. *Clin Rehabil* 2009;23:296–309.
- 40 Peirone E, Goria PF, Anselmino A. A Dual-task home-based rehabilitation programme for improving balance control in patients with acquired brain injury: a single-blind, randomized controlled pilot study. *Clin Rehabil* 2014;28:329–38.
- 41 Phillips MF, Robertson Z, Killen B, *et al.* A pilot study of a crossover trial with randomized use of ankle-foot orthoses for people with Charcot-Marie-Tooth disease. *Clin Rehabil* 2012;26:534–44.
- 42 Skubik-Peplaski C, Custer M, Powell E, et al. Comparing Occupation-Based and repetitive task practice interventions for optimal stroke recovery: a pilot randomized trial. *Phys Occup Ther Geriatr* 2017;35:156–68.
- 43 Högg S, Holzgraefe M, Drüge C, et al. High-Intensity arm resistance training does not lead to better outcomes than low-intensity resistance training in patients after subacute stroke: a randomized controlled trial. J Rehabil Med 2020;52:jrm00067.
- 44 Klamroth-Marganska V, Blanco J, Campen K, et al. Three-Dimensional, task-specific robot therapy of the arm after stroke: a multicentre, parallel-group randomised trial. *Lancet Neurol* 2014;13:159–66.
- 45 Ottenbacher KJ, Cusick A. Goal attainment scaling as a method of clinical service evaluation. *Am J Occup Ther* 1990;44:519–25.
- 46 Estival S, Laurier V, Mourre F, et al. Improvement of planning abilities in adults with Prader-Willi syndrome: a randomized controlled trial. Dev Neurorehabil 2021;24:478–93.
- 47 Rockwood K, Stadnyk K, Carver D, et al. A Clinimetric evaluation of specialized geriatric care for rural dwelling, frail older people. J Am Geriatr Soc 2000;48:1080–5.
- 48 Fairhall N, Sherrington C, Kurrle SE, et al. Effect of a multifactorial interdisciplinary intervention on mobility-related disability in frail older people: randomised controlled trial. BMC Med 2012;10:120.
- 49 Wilz G, Schinköthe D, Soellner R. Goal attainment and treatment compliance in a cognitive-behavioral telephone intervention for family caregivers of persons with dementia. *GeroPsych* 2011;24:115–25.
- 50 Kiresuk TJ, Smith A, Cardillo JE. Goal attainment scaling: applications, theory, and measurement. Hillsdale, NJ: Erlbaum, 1994.
- 51 Kiresuk TJ, Sherman RE. Goal attainment scaling: a general method for evaluating comprehensive community mental health programs. *Community Ment Health J* 1968;4:443–53.

- 52 Berger T, Hohl E, Caspar F. Internet-Based treatment for social phobia: a randomized controlled trial. J Clin Psychol 2009;65:1021–35.
- 53 Lam K, Wong D, Tam CK, et al. Ultrasound and electrical stimulatorguided obturator nerve block with phenol in the treatment of hip adductor spasticity in long-term care patients: a randomized, triple blind, placebo controlled study. J Am Med Dir Assoc 2015;16:238–46.
- 54 Lannin NA, Ada L, English C, *et al.* Effect of additional rehabilitation after botulinum toxin-A on upper limb activity in chronic stroke: the intense trial. *Stroke* 2020;51:556–62.
- 55 Ramos-Murguialday A, Broetz D, Rea M, et al. Brain-machine interface in chronic stroke rehabilitation: a controlled study. Ann Neurol 2013;74:100–8.
- 56 Bögels SM, Wijts P, Oort FJ, et al. Psychodynamic psychotherapy versus cognitive behavior therapy for social anxiety disorder: an efficacy and partial effectiveness trial. *Depress Anxiety* 2014;31:363–73.
- 57 Wiechman SA, Carrougher GJ, Esselman PC, *et al*. An expanded delivery model for outpatient burn rehabilitation. *J Burn Care Res* 2015;36:14–22.
- 58 Oliveira JS, Sherrington C, Paul SS, *et al*. A combined physical activity and fall prevention intervention improved mobility-related goal attainment but not physical activity in older adults: a randomised trial. *J Physiother* 2019;65:16–22.
- 59 Steenbeek D, Ketelaar M, Galama K, et al. Goal attainment scaling in paediatric rehabilitation: a report on the clinical training of an interdisciplinary team. *Child Care Health Dev* 2008;34:521–9.
- 60 Alanbay É, Aras B, Kesikburun S, *et al.* Effectiveness of Suprascapular nerve pulsed radiofrequency treatment for hemiplegic shoulder pain: a Randomized-Controlled trial. *Pain Physician* 2020;23:245–52.
- 61 Bovend'Eerdt TJ, Dawes H, Sackley C, et al. An integrated motor imagery program to improve functional task performance in neurorehabilitation: a single-blind randomized controlled trial. Arch Phys Med Rehabil 2010;91:939–46.
- 62 Cabrera-Martos I, Ortiz-Rubio A, Torres-Sánchez I, et al. A randomized controlled study of whether setting specific goals improves the effectiveness of therapy in people with Parkinson's disease. *Clin Rehabil* 2019;33:465–72.
- 63 Dahlberg CA, Cusick CP, Hawley LA, et al. Treatment efficacy of social communication skills training after traumatic brain injury: a randomized treatment and deferred treatment controlled trial. Arch Phys Med Rehabil 2007;88:1561–73.
- 64 Ertzgaard P, Alwin J, Sörbo A, et al. Evaluation of a self-administered transcutaneous electrical stimulation concept for the treatment of spasticity: a randomized placebo-controlled trial. Eur J Phys Rehabil Med 2018;54:507–17.
- 65 Harrison-Felix C, Newman JK, Hawley L, *et al*. Social competence treatment after traumatic brain injury: a multicenter, randomized controlled trial of interactive group treatment versus noninteractive treatment. *Arch Phys Med Rehabil* 2018;99:2131–42.
- 66 Hung C-S, Hsieh Y-W, Wu C-Y, et al. Hybrid rehabilitation therapies on upper-limb function and goal attainment in chronic stroke. OTJR 2019;39:116–23.
- 67 Leroi I, Atkinson R, Overshott R. Memantine improves goal attainment and reduces caregiver burden in Parkinson's disease with dementia. *Int J Geriatr Psychiatry* 2014;29:899–905.
- 68 McCrory P, Turner-Stokes L, Baguley IJ, et al. Botulinum toxin A for treatment of upper limb spasticity following stroke: a multicentre randomized placebo-controlled study of the effects on quality of life and other person-centred outcomes. J Rehabil Med 2009;41:536–44.
- 69 McMahon SK, Wyman JF, Belyea MJ, *et al.* Combining motivational and physical intervention components to promote Fall-Reducing physical activity among community-dwelling older adults: a feasibility study. *Am J Health Promot* 2016;30:638–44.
- 70 Crawford Shearer NB, Fleury JD, Belyea M. Randomized control trial of the health Empowerment intervention: feasibility and impact. *Nurs Res* 2010;59:203–11.
- 71 Wallace AC, Talelli P, Crook L, et al. Exploratory randomized double-blind placebo-controlled trial of botulinum therapy on GRASP release after stroke (PrOMBiS). *Neurorehabil Neural Repair* 2020;34:51–60.